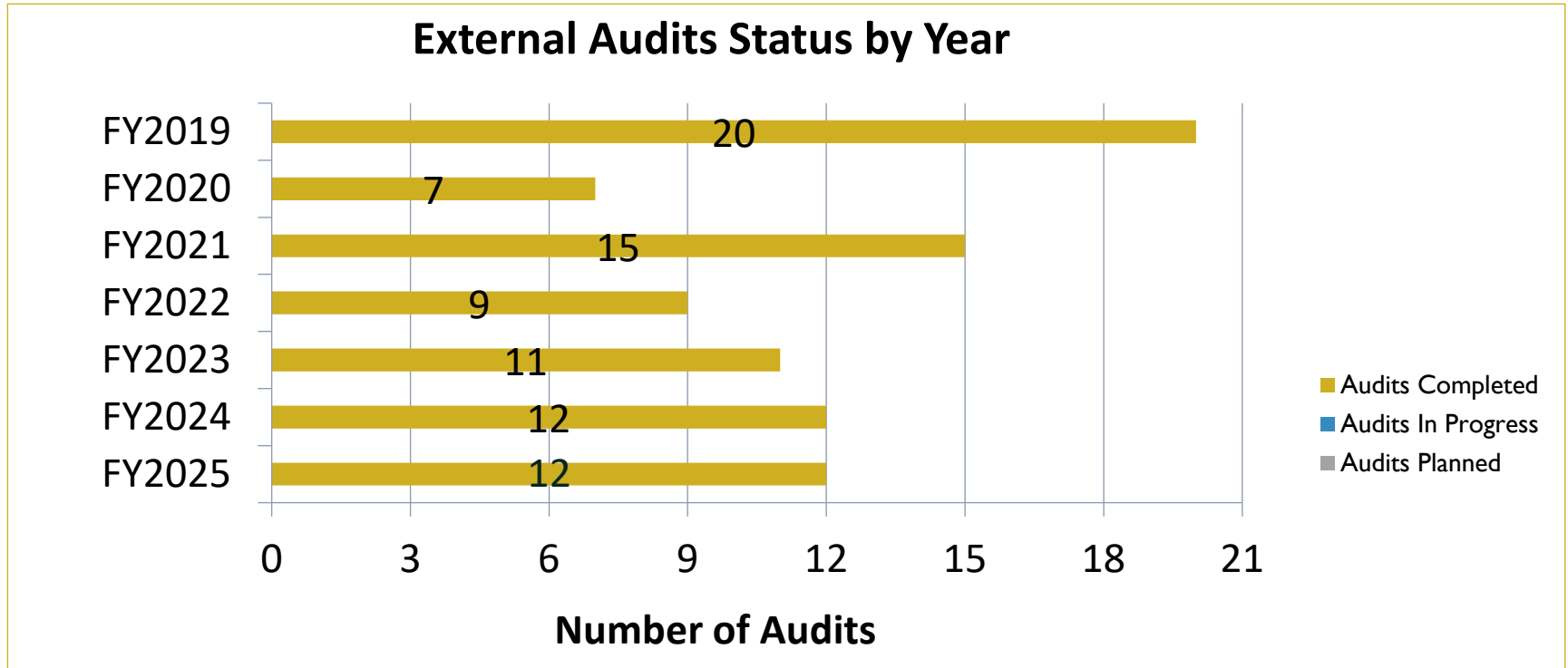


# Quarterly External Audit and Remediation Status

Presented By  
Trevor Williams, Chief Financial Officer  
October 28, 2025

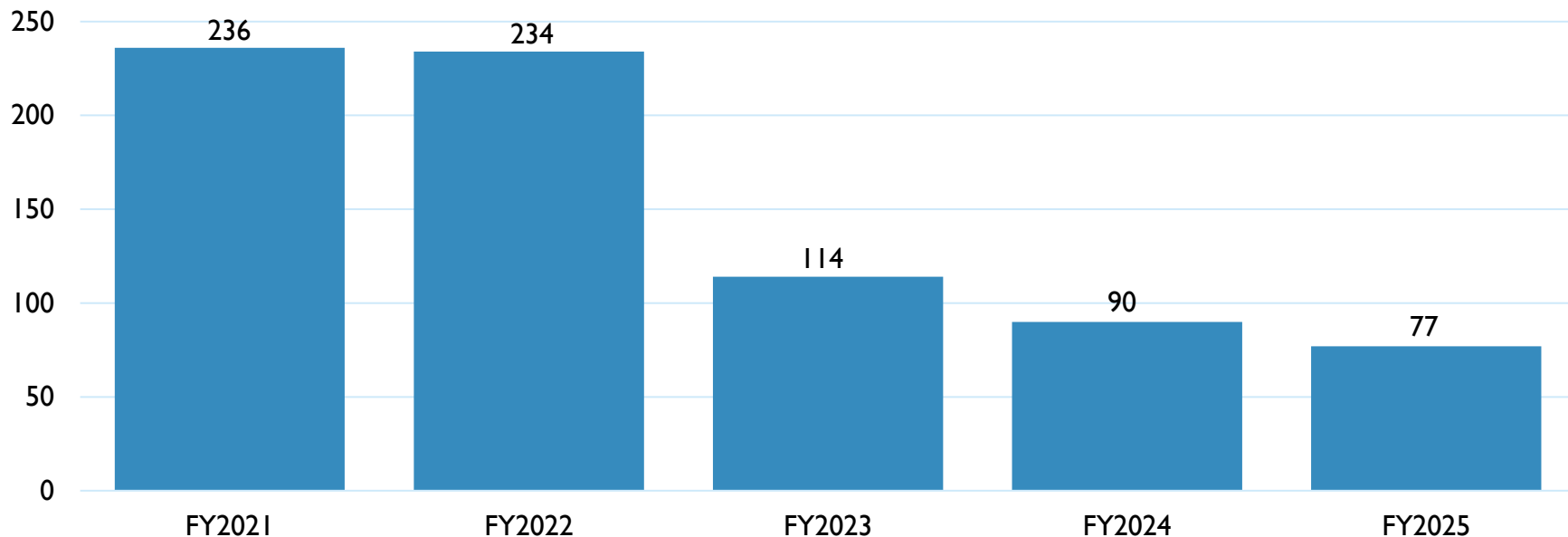
# External Audit Activity (FYs 2019-2025)



# External Audit Status

Audits Completed (FY 2025)	Audits in Progress (FY 2026)	Audits Planned (FY 2026)
<ol style="list-style-type: none"><li>1. Access and Data Security Controls</li><li>2. Information Protection Processes and Procedures</li><li>3. CaMI Security Controls</li><li>4. Service Provider TSP Operations – DFAS</li><li>5. Investment Management Operations – BlackRock</li><li>6. Board Staff</li><li>7. Detection and Monitoring Security Controls</li><li>8. Vendor Risk Management and Agency Oversight Controls</li><li>9. Status of Prior Year Findings</li><li>10. Annual F/S Audit (CY 2024)</li><li>11. FISMA (FY 2025)</li><li>12. Use of Minority and Women-owned Asset Management Firms</li></ol>		<ol style="list-style-type: none"><li>1. FISMA (FY 2026)</li><li>2. Annual F/S Audit (CY 2025)</li><li>3. EBSA Audits (TBD)</li></ol> <div><b>Auditor Legend</b> EBSA Sikich Williams Adley GAO</div>

# Open External Auditor Recommendations FY2021 – FY2025



Audit activity includes external audit results from Dept. of Labor (EBSA), Financial Statement Audit, GAO reviews, and FISMA.

# External Auditor Recommendations

## FY2025 Q4 Activity



Audit activity includes external audit results from Dept. of Labor (EBSA), Financial Statement Audit, GAO reviews, and FISMA.