

A Memory-Bounded, Deterministic and Terminating Semantics for the Synchronous Programming Language CÉU

Anonymous Author(s)

Abstract

CÉU is a synchronous programming language for embedded soft real-time systems. It focus on control-flow safety features, such as safe shared-memory concurrency and safe abortion of lines of execution, while enforcing memory-bounded, deterministic, and terminating reactions to the environment. In this work, we present a small-step structural operational semantics for CÉU and a proof that reactions have the properties enumerated above: that for a given arbitrary timeline of input events, multiple executions of the same program always react in bounded time and arrive at the same final finite memory state.

CCS Concepts • Theory of computation → Operational semantics; • Software and its engineering → Concurrent programming languages; • Computer systems organization → Embedded software;

Keywords Determinism, Termination, Operational semantics, Synchronous languages

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1 Introduction

CÉU [16, 18] is a Esterel-based [8] programming language for embedded soft real-time systems that aims to offer a concurrent, safe, and expressive alternative to C with the characteristics that follow:

Reactive: code only executes in reactions to events.

Structured: programs use structured control mechanisms, such as `await` (to suspend a line of execution), and `par` (to combine multiple lines of execution).

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Synchronous: reactions run atomically and to completion on each line of execution, i.e., there's no implicit preemption or real parallelism.

Structured reactive programming lets developers write code in direct style, recovering from the inversion of control imposed by event-driven execution [1, 13, 15]. Synchronous languages offer a simple run-to-completion execution model that enables deterministic execution and make formal reasoning tractable. For this reason, it has been successfully adopted in safety-critical real-time embedded systems [3].

Previous work in the context of embedded sensor networks evaluates the expressiveness of CÉU in comparison to event-driven code in C and attests a reduction in source code size (around 25%) with a small increase in memory usage (around 5–10%) [18]. CÉU has also been used in the context of multimedia systems [19] and games [17].

CÉU inherits the synchronous and imperative mindset of Esterel but adopts a simpler semantics with fine-grained execution control [16]. The list that follows summarizes the semantic peculiarities of CÉU:

- Fine-grained, intra-reaction deterministic execution, which makes CÉU fully deterministic.
- Stack-based execution for internal events, which provides a limited but memory-bounded form of subroutines.
- Finalization mechanism for lines of execution, which makes abortion safe with regards to external resources.

In this work, we present a formal small-step structural operational semantics for CÉU and proofs that it enforces memory-bounded, deterministic, and terminating reactions to the environment, i.e., that for a given arbitrary timeline of input events, multiple executions of the same program always react in bounded time and arrive at the same final finite memory state.

2 CÉU

CÉU is a synchronous reactive language in which programs evolve in a sequence of discrete reactions to external events. It is designed for control-intensive applications, supporting concurrent lines of execution, known as *trails*, and instantaneous broadcast communication through events. Computations within a reaction (such as expressions, assignments, and system calls) are also instantaneous considering the synchronous hypothesis [9]. CÉU provides an `await` statement

that blocks the current running trail allowing the program to execute its other trails; when all trails are blocked, the reaction terminates and control returns to the environment.

In C  U, every execution path within loops must contain at least one `await` statement to an external input event [6, 18]. This restriction, which is statically checked by the compiler, ensures that every reaction runs in bounded time, eventually terminating with all trails blocked in `await` statements. C  U has an additional restriction, which it shares with Esterel and synchronous languages in general [4]: computations that take a non-negligible time to run (e.g., cryptography or image processing algorithms) violate the zero-delay hypothesis, and thus cannot be directly implemented.

Listing 1 shows a compact reference of C  U:

```

// Declarations
input <type> <id>;           // declares an external input event
event <type> <id>;           // declares an internal event
var <type> <id>;             // declares a variable

// Event handling
<id> = await <id>;           // awaits an event and assigns the received value
emit <id>(<exp>);            // emits an event passing a value

// Control flow
<stmt> ; <stmt>               // sequence
if <exp> then <stmts> else <stmts> end // conditional
loop do <stmts> end           // repetition
every <id> in <id> do <stmts> end // event iteration
finalize [<stmts>] with <stmts> end // finalization

// Logical parallelism
par/or do <stmts> with <stmts> end // aborts when any side ends
par/and do <stmts> with <stmts> end // terminates when all sides ends

// Assignment & Integration with C
<id> = <exp>;                 // assigns a value to a variable
_<id>(<exps>)                 // calls a C function (id starts with '_')
```

Listing 1. The concrete syntax of C  U.

Listing 2 shows a complete example in C  U that toggles a LED whenever a radio packet is received, terminating with a button press always with the LED off. The implementation first declares the `BUTTON` and `RADIO_RECV` as input events (ln. 1–2). Then, it uses a `par/or` composition to run two activities in parallel: a single-statement trail that waits for a button press before terminating (ln. 4), and an endless loop that toggles the LED on and off on radio receives (ln. 9–14). The `finalize` clause (ln. 6–8) ensures that, no matter how its enclosing trail terminates, the LED will be unconditionally turned off (ln. 7).

The `par/or` composition, which stands for a *parallel-or*, provides an orthogonal abortion mechanism [4] in which its composed trails do not know when and how they are aborted (i.e., abortion is external to them). The finalization mechanism extends orthogonal abortion to also work with activities that use stateful resources from the environment

(such as files and network handlers), as we discuss in Section 2.3.

```

1 input void BUTTON;
2 input void RADIO_RECV;
3 par/or do
4   await BUTTON;
5 with
6   finalize with
7     _led(0);
8   end
9   loop do
10    _led(1);
11    await RADIO_RECV;
12    _led(0);
13    await RADIO_RECV;
14  end
15 end
```

Listing 2. A program in C  U that toggles a LED on every radio receive, terminating on a button press always with the LED off.

In C  U, any identifier prefixed with an underscore (e.g., `_led`) is passed unchanged to the underlying C compiler. Therefore, access to C is straightforward and syntactically traceable. To ensure that programs operate under the synchronous hypothesis, the compiler environment should only provide access to C operations that can be assumed to be instantaneous, such as non-blocking I/O and simple data structure accessors.

2.1 External and Internal Events

C  U defines time as a discrete sequence of reactions to unique external input events received from the environment. Each input event delimits a new logical unit of time that triggers an associated reaction. The life-cycle of a program in C  U can be summarized as follows [18]:

- i The program initiates a “boot reaction” in a single trail (parallel constructs may create new trails).
- ii Active trails execute until they await or terminate, one after another. This step is called a *reaction chain*, and always runs in bounded time.
- iii When all trails are blocked, the program goes idle and the environment takes control.
- iv On the occurrence of a new external input event, the environment awakes *all* trails awaiting that event, and the program goes back to step (ii).

A program must react to an event completely before handling the next one. By the synchronous hypothesis, the time the program spends in step (ii) is conceptually zero (in practice, negligible). Hence, from the point of view of the environment, the program is always idle on step (iii). In practice, if a new external input event occurs while a reaction executes, the event is saved on a queue, which effectively schedules it to be processed in a subsequent reaction.

External events and discrete time

The sequential processing of external input events induces a discrete notion of time in C_{ÉU}, as illustrated in Figure 1. The continuous timeline shows an absolute reference clock with “physical timestamps” for the event occurrences (e.g., event C occurs at 17ms521us). The discrete timeline shows how the same occurring events fit in the logical notion of time of C_{ÉU}. The boot reaction boot-0 happens before any input, at program startup. Event A “physically” occurs during boot-0 but, because time is discrete, its corresponding reaction only executes afterwards, at logical instant A-1. Similarly, event B occurs during A-1 and its reaction is postponed to execute at B-2. Event C also occurs during A-1 but its reaction must also wait for B-2 to execute and so it is postponed to execute at C-3. Finally, event D occurs during an idle period and can start immediately at D-4.

Unique input events imply mutually exclusive reactions, which execute atomically and never overlap. Automatic mutual exclusion is a prerequisite for deterministic reactions as we discuss in Section 3.

In practice, the synchronous hypothesis for C_{ÉU} holds if reactions execute faster than the rate of incoming input events. Otherwise, the program would continuously accumulate delays between physical occurrences and actual reactions for the input events. Considering the context of soft real-time systems, postponed reactions might be tolerated as long as they are infrequent and the application does not take too long to catch up with real time. Note that the synchronous semantics is also the norm in typical event-driven systems, such as event dispatching in UI toolkits, game loops in game engines, and clock ticks in embedded systems.

Internal events as subroutines

In C_{ÉU}, queue-based processing of events applies only to external input events, i.e., events submitted to the program by the environment. Internal events, which are events generated internally by the program via `emit` statements, are processed in a stack-based manner. Internal events provide a fine-grained execution control, and, because of their stack-based processing, can be used to implement a limited form of subroutines, as illustrated in Listing 3:

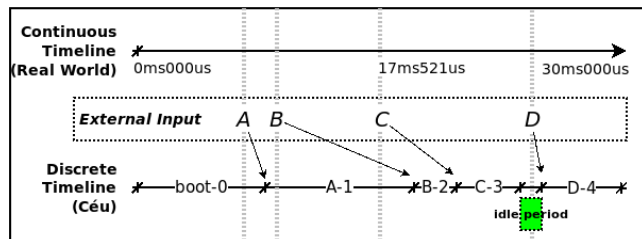


Figure 1. The discrete notion of time in C_{ÉU}.

```

1 event int* inc;           // declares subroutine "inc"
2 par/or do
3   var int* p;
4   every p in inc do       // implements "inc" through an event iterator
5     *p = *p + 1;
6   end
7 with
8   var int v = 1;
9   emit inc(&v);           // calls "inc"
10  emit inc(&v);           // calls "inc"
11  _assert(v==3);          // asserts result after the two returns
12 end

```

Listing 3. A C_{ÉU} program with a “subroutine”.

In the example, the “subroutine” `inc` is defined as an event iterator (ln. 4–6) that continuously awaits its identifying event (ln. 4), and increments the value passed by reference (ln. 5). A trail in parallel (ln. 8–11) invokes the subroutine through two consecutive `emit` statements (ln. 9–10). Given the stack-based execution for internal events, as the first `emit` executes, the calling trail pauses (ln. 9), the subroutine awakes (ln. 4), runs its body (yielding `v=2`), iterates, and awaits the next “call” (ln. 4, again). Only after this sequence does the calling trail resumes (ln. 9), makes a new invocation (ln. 10), and passes the assertion test (ln. 11).

C_{ÉU} also supports nested `emit` invocations, e.g., the body of the subroutine `inc` (ln. 5) could `emit` an event targeting another subroutine, creating a new level in the stack. We can think of the stack as a record of the nested, fine-grained internal reactions that happen inside the same outer reaction to a single external event.

This form of subroutines has a significant limitation that it cannot express recursion, since an `emit` to itself is always ignored as a running trail cannot be waiting on itself. That being said, it is this very limitation that brings important safety properties to subroutines. First, they are guaranteed to react in bounded time. Second, memory for locals is also bounded, not requiring data stacks.

At first sight, event iteration such as in “`every e do <...> end`” seems to be equivalent to “`loop do await e; <...> end`”. However, the loop variation would not compile because it does not contain a path to an external input `await` (since `e` is an internal event). However, event iterators enforce other syntactic restrictions and cannot contain `await` or `break` statements. The absence of `break` guarantees that an iterator never terminates from itself, essentially behaving as a safe blocking point in the program. For this reason, the restriction that execution paths within loops must contain at least one external `await` is extended to alternatively contain an `every` statement.

2.2 Shared-Memory Concurrency

Embedded applications make extensive use of global memory and shared resources, such as through memory-mapped

registers and system calls to device drivers. Hence, an important goal of C  U is to ensure a reliable behavior for programs with concurrent lines of execution sharing memory and interacting with the environment.

<pre> 336 input void A; 337 input void B; 338 var int x = 1; 339 par/and do 340 await A; 341 x = x + 1; 342 with 343 await B; 344 x = x * 2; 345 end </pre>	<pre> 1 input void A; 2 // (empty line) 3 var int y = 1; 4 par/and do 5 await A; 6 y = y + 1; 7 with 8 await A; 9 y = y * 2; 10 end </pre>
---	--

[a] Accesses to x are never concurrent. [b] Accesses to y are concurrent but still deterministic.

Figure 2. Shared-memory concurrency in C  U: example [a] is safe because the trails access x atomically in different reactions; example [b] is unsafe because both trails access y in the same reaction.

In C  U, when multiple trails are active during the same reaction, they are scheduled in lexical order, i.e., in the order they appear in the program source code. For instance, consider the two examples in Figure 2, both defining shared variables (ln. 3), and assigning to them in parallel trails (ln. 6, 9).

In the example [a], the two assignments to x can only execute in reactions to different events A and B (ln. 5,8), which cannot occur simultaneously by definition. Hence, for the sequence of events A→B, x becomes 4 ((1+1)*2), while for B→A, x becomes 3 ((1*2)+1).

In the example [b], the two assignments to y are simultaneous because they execute in reaction to the same event A. Since C  U employs lexical order for intra-reaction statements, the execution is still deterministic, and y always becomes 4 ((1+1)*2). However, note that an apparently innocuous change in the order of trails modifies the behavior of the program. To mitigate this threat, C  U performs concurrency checks at compile time to detect conflicting accesses to shared variables: if a variable is written in a trail segment, then a concurrent trail segment cannot read or write to that variable [18]. Nonetheless, the static checks are optional and are not a prerequisite for the deterministic semantics of the language.

2.3 Abortion and Finalization

The par/or of C  U is an orthogonal abortion mechanism because the two sides in the composition need not be tweaked with synchronization primitives or state variables in order to affect each other. In addition, abortion is *immediate* in the sense that it executes atomically in the current micro reaction.

<pre> par/or do var _msg_t msg; <...> // prepare msg finalize _send(&msg); with _cancel(&msg); end await SEND_ACK; with <...> end // </pre>	<pre> 1 par/or do 2 var _FILE* f; 3 finalize 4 f = _fopen(...); 5 with 6 _fclose(f); 7 end 8 _fwrite(..., f); 9 await A; 10 _fwrite(..., f); 11 with 12 <...> 13 end </pre>
---	---

[a] Local resource finalization [b] External resource finalization

Figure 3. C  U enforces the use of finalization to prevent *dangling pointers* for local resources and *memory leaks* for external resources.

Immediate orthogonal abortion is a distinctive feature of synchronous languages and cannot be expressed effectively in traditional (asynchronous) multi-threaded languages [4, 14].

However, aborting lines of execution that deal with external resources may lead to inconsistencies. For this reason, C  U provides a *finalize* construct to unconditionally execute a series of statements even if the enclosing block is externally aborted.

C  U also enforces the use of *finalize* for system calls that deal with pointers representing resources, as illustrated in the two examples of Figure 3:

- If C  U **passes** a pointer to a system call (ln. [a]:5), the pointer represents a **local** resource (ln. [a]:2) that requires finalization (ln. [a]:7).
- If C  U **receives** a pointer from a system call return (ln. [b]:4), the pointer represents an **external** resource (ln. [b]:2) that requires finalization (ln. [b]:6).

C  U tracks the interaction of system calls with pointers and requires finalization clauses to accompany them. In the example in Figure 3.a, the local variable msg (ln. 2) is an internal resource passed as a pointer to _send (ln. 5), which is an asynchronous call that transmits the buffer in the background. If the block aborts (ln. 11) before receiving an acknowledge from the environment (ln. 9), the local msg goes out of scope and the external transmission now holds a *dangling pointer*. The finalization ensures that the transmission also aborts (ln. 7). In the example in Figure 3.b, the call to _fopen (ln. 4) returns an external file resource as a pointer. If the block aborts (ln. 12) during the await A (ln. 9), the file remains open as a *memory leak*. The finalization ensures that the

file closes properly (ln. 6). In both cases, the code does not compile without the `finalize` construct.¹

The finalization mechanism of Céu is fundamental to preserve the orthogonality of the `par/or` construct since the clean up code is encapsulated in the aborted trail itself.

3 Formal Semantics

In this section, we introduce a reduced syntax of Céu and propose an operational semantics to formally describe the behavior of programs. We describe a small synchronous kernel highlighting the peculiarities of Céu, in particular, the stack-based execution for internal events. For the sake of simplicity, we focus on the control aspects of the language, leaving out side-effects and system calls (which behave like in conventional imperative languages).

3.1 Abstract Syntax

The grammar below defines the syntax of a subset of Céu that is sufficient to describe all semantic peculiarities of the language.

$P ::= \text{mem}(id)$	<i>any memory access to “id”</i>
$ \text{await}_{\text{ext}}(id)$	<i>await external event “id”</i>
$ \text{await}_{\text{int}}(id)$	<i>await internal event “id”</i>
$ \text{emit}_{\text{int}}(id)$	<i>emit internal event “id”</i>
$ \text{break}$	<i>loop escape</i>
$ \text{if mem}(id) \text{ then } P_1 \text{ else } P_2$	<i>conditional</i>
$ P_1 ; P_2$	<i>sequence</i>
$ \text{loop } P_1$	<i>repetition</i>
$ \text{every } id \ P_1$	<i>event iteration</i>
$ P_1 \text{ and } P_2$	<i>par/and</i>
$ P_2 \text{ or } P_2$	<i>par/or</i>
$ \text{fin } P$	<i>finalization</i>
$ P_1 @ \text{loop } P_2$	<i>unwinded loop</i>
$ P_1 @ \text{and } P_2$	<i>unwinded par/and</i>
$ P_1 @ \text{or } P_2$	<i>unwinded par/or</i>
$ @ \text{canrun}(n)$	<i>can run on stack level n</i>
$ @ \text{nop}$	<i>terminated program</i>

The `mem(id)` primitive represents all accesses, assignments, and system calls that affect a memory location identified by `id`. According to the synchronous hypothesis of Céu, `mem` expressions are considered to be atomic and instantaneous. As the challenging parts of Céu reside on its control structures, we are not concerned here with a precise semantics for side effects, but only with their occurrences in programs.

We assume that `mem`, `awaitext`, `awaitint` and `emitint` expressions do not share identifiers: any identifier is either a variable, an external event, or an internal event.

Most expressions in the abstract language are mapped to their counterparts in the concrete language. The exceptions

are the finalization block `fin P` and the `@`-expressions which result from expansions in the transition rules to be presented.

Regarding mismatches between the concrete and abstract languages, the concrete `await` and `emit` primitives support communication of values between them, e.g., an “`emit a(10)`” awakes a “`v=await a`” setting variable `v` to 10. To reproduce this functionality in the formal semantics, we can use a shared variable to hold the value of an `emitint` and access it after the corresponding `awaitint` awakes. Also, a “`finalize A with B end; C`” in the concrete language is equivalent to “`A; ((fin B) or C)`” in the abstract language. In the concrete language, `A` and `C` execute in sequence, and the finalization code `B` is implicitly suspended waiting for `C` termination. In the abstract language, “`fin B`” suspends forever when reached (it is an awaiting expression that never awakes). Hence, we need an explicit `or` to execute `C` in parallel, whose termination aborts “`fin B`”, which finally causes `B` to execute (by the semantic rules to be discussed).

3.2 Operational Semantics

The core of our semantics describes how a program reacts to a single external input event, i.e., starting from an input event, how the program behaves and becomes idle again to proceed to a subsequent reaction. We use a set of small-step operational rules, which are designed in such a way that at most one transition is possible at any time, resulting in deterministic reactions. The transition rules map a triple with a program p , a stack level n , and an emitted event e to a modified triple as follows:

$$\langle p, n, e \rangle \longrightarrow \langle p', n', e' \rangle,$$

where $p, p' \in P$ are abstract-language programs, $n, n' \in \mathbb{N}$ are non-negative integers representing the current stack level, and $e, e' \in E \cup \{\varepsilon\}$ are the events emitted before and after the transition (both being possibly the empty event ε). E is a set of primitive events.

We will refer to the triples on the left-hand and right-hand sides of symbol \rightarrow as *descriptions* (denoted δ). The triple on the left-hand side of symbol \rightarrow is called the *input description*, and the triple on its right-hand side is called the *output description*.

At the beginning of a reaction to an input event id , the input description is initialized with stack level 0 ($n = 0$) and with the externally emitted event ($e = id$). At the end of a reaction, after an arbitrary but finite number of transitions, the last output description will block with a (possibly) modified program p' , at stack level 0, and with no event emitted (e):

$$\langle p, 0, e \rangle \xrightarrow{*} \langle p', 0, \varepsilon \rangle.$$

We distinguish between two types of transition rules: *outermost transitions* $\xrightarrow{\text{out}}$ and *nested transitions* $\xrightarrow{\text{nst}}$.

¹ The compiler only forces the programmer to write the finalization clause, but cannot check if it actually handles the resource properly.

Outermost transitions

The $\xrightarrow{\text{out}}$ rules **push** and **pop** are non-recursive definitions which only apply to the program as a whole, and are the only to manipulate the stack level:

$$\frac{e \neq \varepsilon}{\langle p, n, e \rangle \xrightarrow{\text{out}} \langle \text{bcast}(p, e), n + 1, \varepsilon \rangle} \quad (\text{push})$$

$$\frac{n > 0 \quad p = @nop \vee \text{isblocked}(p, n)}{\langle p, n, \varepsilon \rangle \xrightarrow{\text{out}} \langle p, n - 1, \varepsilon \rangle} \quad (\text{pop})$$

Rule **push** matches whenever there is an emitted event in the input description, and instantly broadcasts the event to the program, which means (a) awaking active $\text{await}_{\text{ext}}$ or $\text{await}_{\text{int}}$ expressions altogether (see function *bcast* in Figure 4), (b) creating a nested reaction by increasing the stack level, and, at the same time, (c) consuming the event (e becomes ε). Rule **push** is the only rule in the semantics that matches an emitted event and also immediately consumes it.

Rule **pop** only decreases the stack level, not affecting the program, and only applies if the program is blocked (see function *isblocked* in Figure 4). This condition ensures that an emit_{int} only resumes after its internal reaction completes and blocks in the current stack level.

At the beginning of the reaction, an external event is emitted, which will trigger rule **push**, which will immediately raise the stack level to 1. At the end of the reaction, the program will block or terminate and successive applications of rule **pop** will eventually lead to a description containing this same program at stack level 0.

Nested transitions

The $\xrightarrow{\text{nst}}$ rules are recursive definitions of the form

$$\langle p, n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle p', n, \varepsilon \rangle.$$

Nested transitions do not affect the stack level and never have an emitted event as a precondition. The distinction between $\xrightarrow{\text{out}}$ and $\xrightarrow{\text{nst}}$ prevents rules **push** and **pop** from matching and, consequently, from inadvertently modifying the current stack level before the nested reaction is complete.

A complete reaction consists of a series of transitions of the form

$$\langle p, 0, e_{\text{ext}} \rangle \xrightarrow{\text{push}_{\text{out}}} \langle p_1, 1, \varepsilon \rangle \left[\xrightarrow{\text{nst}}^* \xrightarrow{\text{out}} \right]^* \xrightarrow{\text{nst}}^* \xrightarrow{\text{pop}_{\text{out}}} \langle p', 0, \varepsilon \rangle.$$

First, a $\xrightarrow{\text{push}_{\text{out}}}$ starts a nested reaction at level 1. Then, a series of alternations between zero or more $\xrightarrow{\text{nst}}$ transitions (nested reactions) and a single $\xrightarrow{\text{out}}$ transition (stack operation) takes place. Finally, a last $\xrightarrow{\text{pop}_{\text{out}}}$ transition decrements the stack level to 0 and terminates the reaction.

The $\xrightarrow{\text{nst}}$ rules for atoms are defined as follows:

$$\langle \text{mem}(id), n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle @nop, n, \varepsilon \rangle \quad (\text{mem})$$

$$\langle \text{emit}_{\text{int}}(id), n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle @\text{canrun}(n), n, id \rangle \quad (\text{emit-int})$$

$$\langle @\text{canrun}(n), n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle @nop, n, \varepsilon \rangle \quad (\text{can-run})$$

A mem operation becomes a @nop which indicates the memory access (rule **mem**). An $\text{emit}_{\text{int}}(id)$ generates an event id and transits to a $@\text{canrun}(n)$ which can only resume at level n (rule **emit-int**). Since all $\xrightarrow{\text{nst}}$ rules can only transit with $e = \varepsilon$, an emit_{int} inevitably causes rule **push** to execute at the outer level, creating a new level $n + 1$ on the stack. Also, with the new stack level, the resulting $@\text{canrun}(n)$ itself cannot transit yet (rule **can-run**), providing the desired stack-based semantics for internal events.

The rules for conditionals and sequences are straightforward:

$$\frac{\text{eval}(\text{mem}(id))}{\langle \text{if mem}(id) \text{ then } p \text{ else } q, n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle p, n, \varepsilon \rangle} \quad (\text{if-true})$$

$$\frac{\neg \text{eval}(\text{mem}(id))}{\langle \text{if mem}(id) \text{ then } p \text{ else } q, n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle q, n, \varepsilon \rangle} \quad (\text{if-false})$$

$$\frac{\langle p, n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle p', n, \varepsilon \rangle}{\langle p ; q, n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle p' ; q, n, \varepsilon \rangle} \quad (\text{seq-adv})$$

$$\langle @nop ; q, n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle q, n, \varepsilon \rangle \quad (\text{seq-nop})$$

$$\langle \text{break} ; q, n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle \text{break}, n, \varepsilon \rangle \quad (\text{seq-brk})$$

Given that our semantics focuses on control, rules **if-true** and **if-false** are the only to query mem expressions. Function *eval* evaluates a given mem expression to a boolean value.

The rules for loops are similar to those for sequences, but use “@” as separators to bind breaks to their enclosing loops:

$$\langle \text{loop } p, n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle p @ \text{loop } p, n, \varepsilon \rangle \quad (\text{loop-expd})$$

$$\frac{\langle q, n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle q', n, \varepsilon \rangle}{\langle q @ \text{loop } p, n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle q' @ \text{loop } p, n, \varepsilon \rangle} \quad (\text{loop-adv})$$

$$\langle @nop @ \text{loop } p, n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle \text{loop } p, n, \varepsilon \rangle \quad (\text{loop-nop})$$

$$\langle \text{break} @ \text{loop } p, n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle @nop, n, \varepsilon \rangle \quad (\text{loop-brk})$$

When a program encounters a loop, it first expands its body in sequence with itself (rule **loop-expd**). Rules **loop-adv** and **loop-nop** are similar to rules **seq-adv** and **seq-nop**, advancing the loop until a @nop is reached. However, what follows the loop is the loop itself (rule **loop-nop**). Note that if we used “;” as a separator in loops, rules **loop-brk** and **seq-brk** would conflict. Rule **loop-brk** escapes the enclosing loop, transforming everything into a @nop.

The rules for and and or compositions make sure that their left branches always transition before their right branches:

$$\langle p \text{ and } q, n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle p @ \text{and } (@\text{canrun}(n); q), n, \varepsilon \rangle \quad (\text{and-expd})$$

$$\frac{\langle p, n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle p', n, \varepsilon \rangle}{\langle p @ \text{and } q, n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle p' @ \text{and } q, n, \varepsilon \rangle} \quad (\text{and-adv1})$$

$$\frac{\text{isblocked}(p, n) \quad \langle q, n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle q', n, \varepsilon \rangle}{\langle p @ \text{and } q, n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle p @ \text{and } q', n, \varepsilon \rangle} \quad (\text{and-adv2})$$

$$\begin{aligned}
\langle p \text{ or } q, n, \varepsilon \rangle &\xrightarrow{nst} \langle p @or (@canrun(n); q), n, \varepsilon \rangle \quad (\text{or-expd}) \\
\frac{\langle p, n, \varepsilon \rangle \xrightarrow{nst} \langle p', n, \varepsilon \rangle}{\langle p @or q, n, \varepsilon \rangle \xrightarrow{nst} \langle p' @or q, n, \varepsilon \rangle} &\quad (\text{or-adv1}) \\
\frac{isblocked(p, n) \quad \langle q, n, \varepsilon \rangle \xrightarrow{nst} \langle q', n, \varepsilon \rangle}{\langle p @or q, n, \varepsilon \rangle \xrightarrow{nst} \langle p @or q', n, \varepsilon \rangle} &\quad (\text{or-adv2})
\end{aligned}$$

Rules **and-expd** and **or-expd** insert a $@canrun(n)$ at the beginning of the right branch. This ensures that any $emit_{int}$ on the left branch, which transits to a $@canrun(n)$, still resumes before the right branch starts. The deterministic behavior of the semantics relies on the *isblocked* predicate (see Figure 4) which appears in rules **and-adv2** and **or-adv2**. These rules require the left branch p to be blocked for the right branch to transition from q to q' .

In a parallel $@and$, if one of the sides terminates, the composition is simply substituted by the other side (rules **and-nop1** and **and-nop2** below). In a parallel $@or$, however, if one of the sides terminates, the whole composition terminates and function *clear* is used to properly finalize the aborted side (rules **or-nop1** and **or-nop2**).

$$\begin{aligned}
\langle @nop @and q, n, \varepsilon \rangle &\xrightarrow{nst} \langle q, n, \varepsilon \rangle \quad (\text{and-nop1}) \\
\frac{isblocked(p, n)}{\langle p @and @nop, n, \varepsilon \rangle \xrightarrow{nst} \langle p, n, \varepsilon \rangle} &\quad (\text{and-nop2}) \\
\langle @nop @or q, n, \varepsilon \rangle &\xrightarrow{nst} \langle clear(q), n, \varepsilon \rangle \quad (\text{or-nop1}) \\
\frac{isblocked(p, n)}{\langle p @or @nop, n, \varepsilon \rangle \xrightarrow{nst} \langle clear(p), n, \varepsilon \rangle} &\quad (\text{or-nop2})
\end{aligned}$$

The *clear* function (see Figure 4) concatenates all active *fin* bodies of the side being aborted, so that they execute before the composition rejoins. Note that there are no transition rules for *fin* expressions. This is because once reached, a *fin* expression halts and will only execute when it is aborted by a parallel trail and is expanded by the *clear* function. Note also that there is a syntactic restriction that postulates that *fin* bodies cannot contain awaiting expressions ($await_{ext}$, $await_{int}$, *every*, or *fin*), i.e., the result of a *clear* call is guaranteed to execute entirely within a reaction.

Finally, a break in one of the sides in parallel escapes the closest enclosing loop, properly aborting the other side with the *clear* function:

$$\begin{aligned}
\langle break @and q, n, \varepsilon \rangle &\xrightarrow{nst} \langle clear(q); break, n, \varepsilon \rangle \quad (\text{and-brk1}) \\
\frac{isblocked(p, n)}{\langle p @and break, n, \varepsilon \rangle \xrightarrow{nst} \langle clear(p); break, n, \varepsilon \rangle} &\quad (\text{and-brk2}) \\
\langle break @or q, n, \varepsilon \rangle &\xrightarrow{nst} \langle clear(q); break, n, \varepsilon \rangle \quad (\text{or-brk1}) \\
\frac{isblocked(p, n)}{\langle p @or break, n, \varepsilon \rangle \xrightarrow{nst} \langle clear(p); break, n, \varepsilon \rangle} &\quad (\text{or-brk2})
\end{aligned}$$

A reaction eventually blocks in $await_{ext}$, $await_{int}$, *every*, *fin*, and $@canrun$ expressions in parallel trails. Then, if none of the trails is blocked in $@canrun$ expressions, it means that the program cannot advance in the current reaction. However, $@canrun$ expressions can still resume at lower stack indexes and will eventually resume in the current reaction (see rule **pop**).

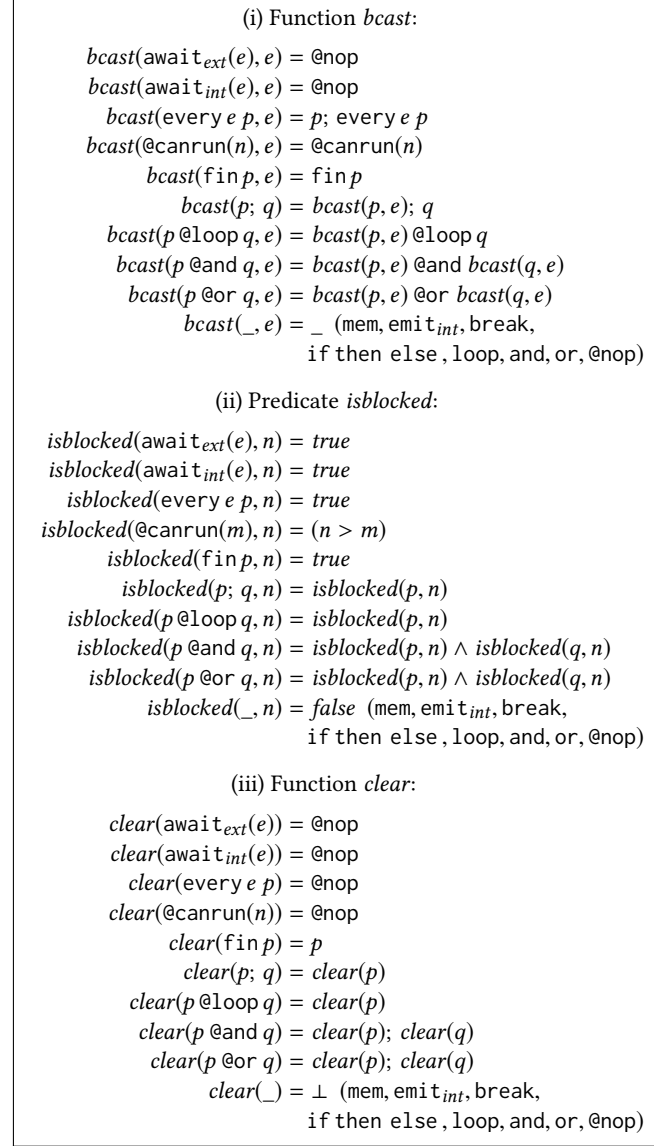


Figure 4. (i) Function *bcast* awakes awaiting trails matching the event by converting $await_{ext}$ and $await_{int}$ to $@nop$ expressions, and by unwinding *every* expressions. (ii) Predicate *isblocked* is true only if all branches in parallel are blocked waiting for events, for finalization clauses, or for certain stack levels. (iii) Function *clear* extracts *fin* expressions in parallel and put their bodies in sequence.

3.3 Properties

3.3.1 Determinism

Transitions \xrightarrow{out} and \xrightarrow{nst} are defined in such a way that given an input description either no rule is applicable or exactly one of them can be applied. This means that the resulting relation \longrightarrow is in fact a partial function.

The next two lemmas establish the determinism of a single application of \xrightarrow{out} and \xrightarrow{nst} . Lemma 3.1 follows from a simple inspection of rules **push** and **pop**. The proof of Lemma 3.1, however, requires an induction on the structure of the derivation trees produced by the rules for \xrightarrow{nst} . Both lemmas are used in the proof of Theorem 3.3, the main result of this section. Theorem 3.3 establishes that any given number of applications of \longrightarrow starting from the same input description will always lead to the same output description.

Lemma 3.1. *If $\delta \xrightarrow{out} \delta_1$ and $\delta \xrightarrow{out} \delta_2$ then $\delta_1 = \delta_2$.*

Lemma 3.2. *If $\delta \xrightarrow{nst} \delta_1$ and $\delta \xrightarrow{nst} \delta_2$ then $\delta_1 = \delta_2$.*

Theorem 3.3 (Determinism).

If $\delta \xrightarrow{i} \delta_1$ and $\delta \xrightarrow{i} \delta_2$ then $\delta_1 = \delta_2$.

Proof. By induction on i . The theorem is trivially true if $i = 0$ and follows directly from the lemmas if $i = 1$. Suppose

$$\delta \xrightarrow{1} \delta'_1 \xrightarrow{i-1} \delta_1 \quad \text{and} \quad \delta \xrightarrow{1} \delta'_2 \xrightarrow{i-1} \delta_2,$$

for some $i > 1$, δ'_1 and δ'_2 . Then, by Lemma A.1 or A.2, depending on whether the first transition is \xrightarrow{out} or \xrightarrow{nst} (it cannot be both), $\delta'_1 = \delta'_2$, and by the induction hypothesis, $\delta_1 = \delta_2$. \square

3.3.2 Termination

We proceed to prove that a sufficiently long sequence of applications of \longrightarrow will eventually lead to an irreducible description, i.e., one that cannot be modified by further transitions. Before that, however, we need to introduce some definitions and basic results regarding \xrightarrow{nst} and \xrightarrow{out} .

Definition 3.4. A description $\delta = \langle p, n, e \rangle$ is *nested-irreducible* iff $e \neq \varepsilon$ or $p = @nop, break$ or $isblocked(p, n)$ is true.

Nested-irreducible descriptions serve as normal forms for \xrightarrow{nst} transitions: they embody the result of an exhaustive number of \xrightarrow{nst} applications. We will write $\delta_{\#nst}$ to indicate that description δ is nested-irreducible.

To characterize irreducible descriptions in general, we will need to define the notions of potency of a program and the rank of a description.

Definition 3.5. The potency of a program p in reaction to event e , denoted $pot(p, e)$, is the maximum number of $emit_{int}$ expressions that can be executed during a reaction of p to e .

More formally,

$$pot(p, e) = pot'(bcast(p, e)),$$

where pot' is an auxiliary function that counts the number of reachable $emit_{int}$ expressions in the program resulting from the broadcast of event e to p .

The auxiliary function pot' is defined by the following clauses:

- (a) $pot'(emit_{int}(e)) = 1$;
- (b) $pot'(\text{if mem}(id) \text{ then } p_1 \text{ else } p_2) = \max\{pot'(p_1), pot'(p_2)\}$;
- (c) $pot'(\text{loop } p_1) = pot'(p_1)$;
- (d) $pot'(p_1 \text{ and } p_2) = pot'(p_1) + pot'(p_2)$;
- (e) $pot'(p_1 \text{ or } p_2) = pot'(p_1) + pot'(p_2)$;
- (f) If $p_1 \neq break, await_{ext}(e)$,

$$pot'(p_1; p_2) = pot'(p_1) + pot'(p_2)$$

$$pot'(p_1 @loop p_2) = \begin{cases} pot'(p_1) & \text{if } (\dagger) \\ pot'(p_1) + pot'(p_2) & \text{otherwise} \end{cases}$$

where (\dagger) stands for: “a break or $await_{ext}$ occurs in all execution paths of p_1 ”;

- (g) If $p_1, p_2 \neq break$,

$$pot'(p_1 @and p_2) = pot'(p_1) + pot'(p_2);$$

- (h) If $p_1, p_2 \neq break$ and $p_1, p_2 \neq @nop$,

$$pot'(p_1 @or p_2) = pot'(p_1) + pot'(p_2);$$

- (i) Otherwise, if none of (a)–(h) applies, $pot'(_) = 0$.

Definition 3.6. The *rank* of a description $\delta = \langle p, n, e \rangle$, denoted $rank(\delta)$, is a pair of nonnegative integers $\langle i, j \rangle$ such that

$$i = pot(p, e) \quad \text{and} \quad j = \begin{cases} n & \text{if } e = \varepsilon \\ n + 1 & \text{otherwise.} \end{cases}$$

Definition 3.7. A description δ is *irreducible* (in symbols, $\delta_{\#}$) iff it is nested-irreducible and its $rank(\delta)$ is $\langle i, 0 \rangle$, for some $i \geq 0$.

An irreducible description $\delta_{\#} = \langle p, n, e \rangle$ serves as a normal form for transitions \longrightarrow in general. Such descriptions cannot be advanced by \xrightarrow{nst} , as it is nested-irreducible, and neither by $\xrightarrow{push_{out}}$ nor $\xrightarrow{pop_{out}}$, as the second coordinate of its rank is 0, which implies $e = \varepsilon$ and $n = 0$.

TODO: Lemas e teorema da terminação. Sketch da prova. Mencionar que as restrições sintáticas são fundamentais.

Memory Bounds

TODO: Acho que sai direto do $pot(p)$.

- program is finite - lexical scope - no heap allocation - no code reentrancy - reexecution only due to loops - loop reuse nested vars -

4 Related Work

CÉU follows the lineage of imperative synchronous languages initiated by Esterel [8]. These languages typically define time as a discrete sequence of logical “ticks” in which multiple simultaneous input events can be active [16]. The presence of multiple inputs requires careful static analysis to detect and reject programs with *causality cycles* and *schizophrenia problems* [5]. In contrast, CÉU defines time as a discrete sequence

of reactions to unique input events, which is a prerequisite for the concurrency checks that enable safe shared-memory concurrency, as discussed in Section 2.2.

In most synchronous languages, the behavior of external and internal events is equivalent. However, in Céu, internal events introduce stack-based micro reactions within external reactions, providing more fine-grained control for intra-reaction execution. This allows for memory-bounded subroutines that can execute multiple times during the same external reaction. The synchronous languages Statecharts [20] and Statemate [11] also distinguish internal from external events. In the former, “reactions to external and internal events (...) can be sensed only after completion of the step”. In the latter, “the receiving state (of the internal event) acts here as a function”. Although the descriptions suggest a stack-based semantics, we are not aware of formalizations for these ideas for a deeper comparison with Céu.

Like Céu, many other synchronous languages [2, 7, 10, 12, 21] also rely on lexical scheduling to preserve determinism. In contrast, in Esterel, the execution order for operations within a reaction is non-deterministic: “if there is no control dependency, as in (call f1() || call f2()), the order is unspecified and it would be an error to rely on it” [6]. For this reason, Esterel, does not support shared-memory concurrency: “if a variable is written by some thread, then it can neither be read nor be written by concurrent threads” [6].

Regarding the integration with C language-based environments, Céu supports a finalization mechanism for external resources. In addition, Céu also tracks pointers representing resources that cross C boundaries and forces the programmer to provide associated finalizers. As far as we know, this extra safety level is unique to Céu.

5 Conclusion

The programming language Céu aims to offer a concurrent, safe, and realistic alternative to C for embedded soft real-time systems, such as sensor networks and multimedia systems. Céu inherits the synchronous and imperative mindset of Esterel but adopts a simpler semantics with fine-grained execution control, which makes the language fully deterministic. In addition, its stack-based execution for internal events provides a limited but memory-bounded form of subroutines. Céu also provides a finalization mechanism for resources when interacting with the external environment.

We propose a small-step structural operational semantics for Céu and a proof that reactions are deterministic, terminate in finite time, and use bounded memory, i.e., that for a given arbitrary timeline of input events, multiple executions of the same program always react in bounded time and arrive at the same final finite memory state.

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A Proofs

Determinism

Lemma A.1. *If $\delta \xrightarrow{out} \delta_1$ and $\delta \xrightarrow{out} \delta_2$ then $\delta_1 = \delta_2$.*

Proof. The lemma is vacuously true if δ cannot be advanced by \xrightarrow{out} transitions. Suppose that is not the case and let $\delta = \langle p, n, e \rangle$, $\delta_1 = \langle p_1, n_1, e_1 \rangle$ and $\delta_2 = \langle p_2, n_2, e_2 \rangle$. Then, there are two possibilities.

Case 1. $e \neq \varepsilon$. Both transitions are applications of **push**. Hence $p_1 = p_2 = bcast(p, e)$, $n_1 = n_2 = n + 1$, and $e_1 = e_2 = \varepsilon$.

Case 2. $e = \varepsilon$. Both transitions are applications of **pop**. Hence $p_1 = p_2 = p$, $n_1 = n_2 = n - 1$, and $e_1 = e_2 = \varepsilon$. \square

Lemma A.2. *If $\delta \xrightarrow{nst} \delta_1$ and $\delta \xrightarrow{nst} \delta_2$ then $\delta_1 = \delta_2$.*

Proof. By induction on the structure of \xrightarrow{nst} derivations. The lemma is vacuously true if δ cannot be advanced by \xrightarrow{nst} transitions. Suppose that is not the case and let $\delta = \langle p, n, e \rangle$, $\delta_1 = \langle p_1, n_1, e_1 \rangle$ and $\delta_2 = \langle p_2, n_2, e_2 \rangle$. Then, by the hypothesis of the lemma, there are derivations π_1 and π_2 such that

$$\begin{aligned}\pi_1 &\vdash \langle p, n, e \rangle \xrightarrow{nst} \langle p_1, n_1, e_1 \rangle \\ \pi_2 &\vdash \langle p, n, e \rangle \xrightarrow{nst} \langle p_2, n_2, e_2 \rangle\end{aligned}$$

i.e., the conclusion of π_1 is $\langle p, n, e \rangle \xrightarrow{nst} \langle p_1, n_1, e_1 \rangle$ and the conclusion of π_2 is $\langle p, n, e \rangle \xrightarrow{nst} \langle p_2, n_2, e_2 \rangle$.

By definition of \xrightarrow{nst} , we have that $e = \varepsilon$ and $n_1 = n_2 = n$. It remains to be shown that $p_1 = p_2$ and $e_1 = e_2$.

Depending on the structure of program p , the following 11 cases are possible. (Note that p cannot be an `awaitext`, `awaitint`, `break`, `every`, `fin`, or `@nop` expression as there are no \xrightarrow{nst} rules to transition such programs.)

Case 1. $p = \text{mem}(id)$. Then derivations π_1 and π_2 are instances of rule **mem**, i.e., their conclusions are obtained by an application of this rule. Hence $p_1 = p_2 = @nop$ and $e_1 = e_2 = \varepsilon$.

Case 2. $p = \text{emit}_{int}(e')$. Then π_1 and π_2 are instances of **emit-int**. Hence $p_1 = p_2 = @canrun(n)$ and $e_1 = e_2 = e'$.

Case 3. $p = @canrun(n)$. Then π_1 and π_2 are instances of **can-run**. Hence $p_1 = p_2 = @nop$ and $e_1 = e_2 = \varepsilon$.

Case 4. $p = \text{if mem}(id) \text{ then } p' \text{ else } p''$. There are two subcases.

Case 4.1. $\text{eval}(\text{mem}(id))$ is true. Then π_1 and π_2 are instances of **if-true**. Hence $p_1 = p_2 = p'$ and $e_1 = e_2 = \varepsilon$.

Case 4.2. $\text{eval}(\text{mem}(id))$ is false. Then π_1 and π_2 are instances of **if-false**. Hence $p_1 = p_2 = p''$ and $e_1 = e_2 = \varepsilon$.

Case 5. $p = p'; p''$. There are three subcases.

Case 5.1. $p' = @nop$. Then π_1 and π_2 are instances of **seq-nop**. Hence $p_1 = p_2 = p''$ and $e_1 = e_2 = \varepsilon$.

Case 5.2. $p' = \text{break}$. Then π_1 and π_2 are instances of **seq-brk**. Hence $p_1 = p_2 = \text{break}$ and $e_1 = e_2 = \varepsilon$.

Case 5.3. $p' \neq @nop, \text{break}$. Then π_1 and π_2 are instances of **seq-adv**. Thus there are derivations π'_1 and π'_2 such that

$$\begin{aligned}\pi'_1 &\vdash \langle p', n, \varepsilon \rangle \xrightarrow{nst} \langle p'_1, n, e'_1 \rangle \\ \pi'_2 &\vdash \langle p', n, \varepsilon \rangle \xrightarrow{nst} \langle p'_2, n, e'_2 \rangle\end{aligned}$$

for some p'_1, p'_2, e'_1 , and e'_2 . By the induction hypothesis, $p'_1 = p'_2$ and $e'_1 = e'_2$. Hence $p_1 = p'_1; p'' = p'_2; p'' = p_2$ and $e_1 = e'_1 = e'_2 = e_2$.

Case 6. $p = \text{loop } p'$. Then π_1 and π_2 are instances of **loop-expd**. Hence $p_1 = p_2 = p' @ \text{loop } p'$ and $e_1 = e_2 = \varepsilon$.

Case 7. $p = p' @ \text{loop } p''$. There are three subcases.

Case 7.1. $p' = @nop$. Then π_1 and π_2 are instances of **loop-nop**. Hence $p_1 = p_2 = \text{loop } p''$ and $e_1 = e_2 = \varepsilon$.

Case 7.2. $p' = \text{break}$. Then π_1 and π_2 are instances of **loop-brk**. Hence $p_1 = p_2 = @nop$ and $e_1 = e_2 = \varepsilon$.

Case 7.3. $p' \neq @nop, \text{break}$. Then π_1 and π_2 are instances of **loop-adv**. Thus there are derivations π'_1 and π'_2 such that

$$\begin{aligned}\pi'_1 &\vdash \langle p', n, \varepsilon \rangle \xrightarrow{nst} \langle p'_1, n, e'_1 \rangle \\ \pi'_2 &\vdash \langle p', n, \varepsilon \rangle \xrightarrow{nst} \langle p'_2, n, e'_2 \rangle\end{aligned}$$

for some p'_1, p'_2, e'_1 , and e'_2 . By the induction hypothesis, $p'_1 = p'_2$ and $e'_1 = e'_2$. Hence $p_1 = p'_1 @ \text{loop } p'' = p'_2 @ \text{loop } p'' = p_2$ and $e_1 = e'_1 = e'_2 = e_2$.

Case 8. $p = p' \text{ and } p''$. Then π_1 and π_2 are instances of **and-expd**. Hence $p_1 = p_2 = p' @ \text{and } (@canrun(n); p'')$ and $e_1 = e_2 = \varepsilon$.

Case 9. $p = p' @ \text{and } p''$. There are two subcases.

Case 9.1. $\text{isblocked}(p', n)$ is false. There are three subcases.

Case 9.1.1. $p' = @nop$. Then π_1 and π_2 are instances of **and-nop1**. Hence $p_1 = p_2 = p''$ and $e_1 = e_2 = \varepsilon$.

Case 9.1.2. $p' = \text{break}$. Then π_1 and π_2 are instances of **and-brk1**. Hence $p_1 = p_2 = \text{clear}(p''); \text{break}$ and $e_1 = e_2 = \varepsilon$.

Case 9.1.3. $p' \neq @nop, \text{break}$. Then π_1 and π_2 are instances of **and-adv1**. Thus there are derivations π'_1 and π'_2 such that

$$\begin{aligned}\pi'_1 &\vdash \langle p', n, \varepsilon \rangle \xrightarrow{nst} \langle p'_1, n, e'_1 \rangle \\ \pi'_2 &\vdash \langle p', n, \varepsilon \rangle \xrightarrow{nst} \langle p'_2, n, e'_2 \rangle\end{aligned}$$

for some p'_1, p'_2, e'_1, e'_2 . By the induction hypothesis, $p'_1 = p'_2$ and $e'_1 = e'_2$. Hence $p_1 = p'_1$ and $p'' = p'_2$ and $p'' = p_2$ and $e_1 = e'_1 = e'_2 = e_2$.

Case 9.2. $\text{isblocked}(p', n)$ is true. There are three subcases.

Case 9.2.1. $p'' = @nop$. Then π_1 and π_2 are instances of **and-nop2**. Hence $p_1 = p_2 = p'$ and $e_1 = e_2 = \varepsilon$.

Case 9.2.2. $p'' = \text{break}$. Then π_1 and π_2 are instances of **and-brk2**. Hence $p_1 = p_2 = \text{clear}(p')$; break and $e_1 = e_2 = \varepsilon$.

Case 9.2.3. $p'' \neq \text{@nop, break}$. Then π_1 and π_2 are instances of **and-adv2**. Thus there are derivations π_1'' and π_2'' such that

$$\begin{aligned}\pi_1'' &\vdash \langle p'', n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle p_1'', n, e_1'' \rangle \\ \pi_2'' &\vdash \langle p'', n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle p_2'', n, e_2'' \rangle\end{aligned}$$

for some p_1'', p_2'', e_1'' , and e_2'' . By the induction hypothesis, $p_1'' = p_2''$ and $e_1'' = e_2''$. Hence $p_1 = p'$ and $p_1'' = p'$ and $p_2'' = p_2$ and $e_1 = e_1'' = e_2'' = e_2$.

Case 10. $p = p'$ or p'' . Then π_1 and π_2 are instances of **or-expd**. Hence $p_1 = p_2 = p' \text{@or} (\text{@canrun}(n); p'')$ and $e_1 = e_2 = \varepsilon$.

Case 11. $p = p' \text{@or} p''$. There are two subcases.

Case 11.1. $\text{isblocked}(p', n)$ is false. There are three subcases.

Case 11.1.1. $p' = \text{@nop}$. Then π_1 and π_2 are instances of **or-nop1**. Hence $p_1 = p_2 = \text{clear}(p'')$ and $e_1 = e_2 = \varepsilon$.

Case 11.1.2. $p' = \text{break}$. Similar to Case 9.1.2.

Case 11.1.3. $p' \neq \text{@nop, break}$. Similar to Case 9.1.3.

Case 11.2. $\text{isblocked}(p', n)$ is true. There are three subcases.

Case 11.2.1. $p'' = \text{@nop}$. Then π_1 and π_2 are instances of **or-nop1**. Hence $p_1 = p_2 = \text{clear}(p')$ and $e_1 = e_2 = \varepsilon$.

Case 11.2.2. $p'' = \text{break}$. Similar to Case 9.2.2.

Case 11.2.3. $p'' \neq \text{@nop, break}$. Similar to Case 9.2.3. \square

The next theorem establishes that transitions are deterministic, i.e., that the transition relation \longrightarrow between descriptions is a function.

Theorem A.3 (Determinism). *If $\delta \xrightarrow{i} \delta_1$ and $\delta \xrightarrow{i} \delta_2$ then $\delta_1 = \delta_2$.*

Proof. By induction on i . The theorem is trivially true if $i = 0$ and follows directly from Lemmas A.1 and A.2 for $i = 1$. Suppose

$$\delta \xrightarrow{1} \delta_1' \xrightarrow{i-1} \delta_1 \quad \text{and} \quad \delta \xrightarrow{1} \delta_2' \xrightarrow{i-1} \delta_2,$$

for some $i > 1$, δ_1' and δ_2' . There are two possibilities.

Case 1. $\delta \xrightarrow{\text{out}} \delta_1'$ and $\delta \xrightarrow{\text{out}} \delta_2'$. Then, by Lemma A.1, $\delta_1' = \delta_2'$, and by the induction hypothesis, $\delta_1 = \delta_2$.

Case 2. $\delta \xrightarrow{\text{nst}} \delta_1'$ and $\delta \xrightarrow{\text{nst}} \delta_2'$. Then, by Lemma A.2, $\delta_1' = \delta_2'$, and by the induction hypothesis, $\delta_1 = \delta_2$. \square

Termination

Definition A.4. A description $\delta = \langle p, n, e \rangle$ is *nested-irreducible* iff $e \neq \varepsilon$ or $p = \text{@nop, break}$ or $\text{isblocked}(p, n)$ is true.

Nested-irreducible descriptions serve as normal forms for $\xrightarrow{\text{nst}}$ transitions: they embody the result of an exhaustive number of $\xrightarrow{\text{nst}}$ applications. We will write $\delta_{\# \text{nst}}$ to indicate that description δ is nested-irreducible.

The next lemma justifies the use of qualifier “irreducible” in Definition A.4.

Lemma A.5. *If $\delta \xrightarrow{i} \delta'_{\# \text{nst}}$ then, for all $k \neq i$, there is no $\delta''_{\# \text{nst}}$ such that $\delta \xrightarrow{k} \delta''_{\# \text{nst}}$.*

Proof. By contradiction on the hypothesis that there is such k . Let $\delta \xrightarrow{i} \delta'_{\# \text{nst}}$, for some $i \geq 0$. There are two cases.

Case 1. Suppose there are $k > i$ and $\delta''_{\# \text{nst}}$ such that $\delta \xrightarrow{k} \delta''_{\# \text{nst}}$. Then, by definition of \xrightarrow{k} ,

$$\delta \xrightarrow{i} \delta' \xrightarrow{i+1} \delta_1' \xrightarrow{i+2} \dots \xrightarrow{k} \delta''_{\# \text{nst}}. \quad (1)$$

Since $\delta' = \langle p', n, e' \rangle$ is nested-irreducible, $e' = \varepsilon$ or $p = \text{@nop, break}$ or $\text{isblocked}(p', n)$. In any of these cases, by the definition of $\xrightarrow{\text{nst}}$, there is no δ_1' such that $\delta' \xrightarrow{1} \delta_1'$, which contradicts (1). Therefore, no such k can exist.

Case 2. Suppose there are $k < i$ and $\delta''_{\# \text{nst}}$ such that $\delta \xrightarrow{k} \delta''_{\# \text{nst}}$. Then, since $i > k$, by Case 1, δ' cannot exist, which is absurd. Therefore, the assumption that there is such k is false. \square

The next lemma establishes some basic properties of sequences of $\xrightarrow{\text{nst}}$ transitions.

Lemma A.6.

If $\langle p_1, n, e \rangle \xrightarrow{i} \langle p'_1, n, e' \rangle$ then, for any p_2 :

- (a) $\langle p_1; p_2, n, e \rangle \xrightarrow{i} \langle p'_1; p_2, n, e' \rangle$;
- (b) $\langle p_1 \text{@loop} p_2, n, e \rangle \xrightarrow{i} \langle p'_1 \text{@loop} p_2, n, e' \rangle$;
- (c) $\langle p_1 \text{@and} p_2, n, e \rangle \xrightarrow{i} \langle p'_1 \text{@and} p_2, n, e' \rangle$;
- (d) $\langle p_1 \text{@or} p_2, n, e \rangle \xrightarrow{i} \langle p'_1 \text{@or} p_2, n, e' \rangle$.

If $\langle p_2, n, e \rangle \xrightarrow{i} \langle p'_2, n, e' \rangle$, for any p_1 such that $\text{isblocked}(p_1, n)$:

- (e) $\langle p_1 \text{@and} p_2, n, e \rangle \xrightarrow{i} \langle p_1 \text{@and} p'_2, n, e' \rangle$;
- (f) $\langle p_1 \text{@or} p_2, n, e \rangle \xrightarrow{i} \langle p_1 \text{@or} p'_2, n, e' \rangle$.

Proof. By induction on i .

(a) The lemma is trivially true for $i = 0$, as $p_1 = p'_1$, and follows directly from **seq-adv** for $i = 1$. Suppose

$$\langle p_1, n, e \rangle \xrightarrow{1} \langle p_1', n, e' \rangle \xrightarrow{i-1} \langle p'_1, n, e' \rangle, \quad (2)$$

for some $i > 1$. Then $\langle p_1', n, e' \rangle$ is not nested-irreducible, i.e., $e' = \varepsilon$ and $p \neq \text{@nop, break}$ and $\text{isblocked}(p_1', n)$ is false. By (2) and by **seq-adv**,

$$\langle p_1; p_2, n, e \rangle \xrightarrow{1} \langle p_1'; p_2, n, e' \rangle. \quad (3)$$

From (2), by the induction hypothesis,

$$\langle p_1'; p_2, n, e' \rangle \xrightarrow{i-1} \langle p'_1; p_2, n, e' \rangle. \quad (4)$$

From (3) and (4),

$$\langle p_1; p_2, n, e \rangle \xrightarrow{nst} \langle p'_1; p_2, n, e' \rangle.$$

(b) Similar to Case (a).

(c) Similar to Case (a).

(d) Similar to Case (a).

(e) The lemma is trivially true for $i = 0$, as $p_2 = p'_2$, and follows directly from **and-adv2** for $i = 1$. Suppose

$$\langle p_2, n, e \rangle \xrightarrow{nst} \langle p'_2, n, e'' \rangle \xrightarrow{i-1} \langle p'_2, n, e' \rangle, \quad (5)$$

for some $i > 1$. Then $\langle p'_2, n, e'' \rangle$ is not nested-irreducible.

By (5) and by **and-adv2**,

$$\langle p_1 @and p_2, n, e \rangle \xrightarrow{nst} \langle p_1 @and p'_2, n, e'' \rangle. \quad (6)$$

From (5), by the induction hypothesis,

$$\langle p_1 @and p'_2, n, e'' \rangle \xrightarrow{i-1} \langle p_1 @and p'_2, n, e' \rangle. \quad (7)$$

From (6) and (7),

$$\langle p_1 @and p_2, n, e \rangle \xrightarrow{nst} \langle p_1 @and p'_2, n, e' \rangle.$$

(f) Similar to Case (e). \square

The syntactic restrictions discussed in Section 3, regarding the body of **fin** and loop expressions, are formalized by the next assumption.

Assumption A.7 (Syntactical restrictions).

(a) If $p = \text{fin } p_1$ then p_1 contain no occurrences of await_{ext} , await_{int} , **every**, or **fin** expressions. Consequently, for any n ,

$$\langle \text{clear}(p_1), n, \varepsilon \rangle \xrightarrow{nst} \langle @nop, n, \varepsilon \rangle.$$

(b) If $p = \text{loop } p_1$ then all execution paths of p_1 contain at least one occurrence of a matching **break** or an await_{ext} expression. Consequently, for all n , there are p'_1 and e such that

$$\langle \text{loop } p_1, n, \varepsilon \rangle \xrightarrow{nst} \langle p'_1, n, e \rangle,$$

where $p'_1 = \text{break}@loop p_1$ or $\text{isblocked}(p'_1, n)$.

Theorem A.8. For any δ there is a $\delta'_{\#nst}$ such that $\delta \xrightarrow{nst} \delta'_{\#nst}$.

Proof. By induction on the structure of programs. Let $\delta = \langle p, n, \varepsilon \rangle$. The theorem is trivially true if δ is nested-irreducible, as by definition $\delta \xrightarrow{0} \delta$. Suppose that is not the case. Then, depending on the structure of p , there are 11 possibilities. In each one of them, we show that such $\delta'_{\#nst}$ indeed exists.

Case 1. $p = \text{mem}(id)$. Then, by **mem**,

$$\langle \text{mem}(id), n, \varepsilon \rangle \xrightarrow{nst} \langle @nop, n, \varepsilon \rangle_{\#nst}.$$

Case 2. $p = \text{emit}_{int}(e)$. Then, by **emit-int**,

$$\langle \text{emit}_{int}(e), n, \varepsilon \rangle \xrightarrow{nst} \langle @canrun(n), n, e \rangle_{\#nst}.$$

Case 3. $p = @canrun(n)$. Then, by **can-run**,

$$\langle @canrun(n), n, \varepsilon \rangle \xrightarrow{nst} \langle @nop, n, \varepsilon \rangle_{\#nst}.$$

Case 4. $p = \text{if mem}(id) \text{ then } p' \text{ else } p''$. There are two sub-cases.

Case 4.1. $\text{eval}(\text{mem}(id))$ is true. Then, by **if-true** and by the induction hypothesis, there is a δ' such that

$$\langle \text{if mem}(id) \text{ then } p' \text{ else } p'', n, \varepsilon \rangle \xrightarrow{nst} \langle p', n, e \rangle \xrightarrow{nst} \delta'_{\#nst}.$$

Case 4.2. $\text{eval}(\text{mem}(id))$ is false. Similar to Case 4.1.

Case 5. $p = p'; p''$. There are three subcases.

Case 5.1. $p' = @nop$. Then, by **seq-nop** and by the induction hypothesis, there is a δ' such that

$$\langle @nop; p'', n, \varepsilon \rangle \xrightarrow{nst} \langle p'', n, e \rangle \xrightarrow{nst} \delta'_{\#nst}.$$

Case 5.2. $p' = \text{break}$. Then, by **seq-brk**,

$$\langle \text{break}; p'', n, \varepsilon \rangle \xrightarrow{nst} \langle \text{break}, n, \varepsilon \rangle_{\#nst}.$$

Case 5.3. $p' \neq @nop, \text{break}$. Then, by the induction hypothesis, there are p'_1 and e such that

$$\langle p', n, \varepsilon \rangle \xrightarrow{nst} \langle p'_1, n, e \rangle_{\#nst}.$$

By item (a) of Lemma A.6,

$$\langle p'; p'', n, \varepsilon \rangle \xrightarrow{nst} \langle p'_1; p'', n, e \rangle. \quad (8)$$

It remains to be shown that $\langle p'_1; p'', n, e \rangle$ is nested-irreducible.

There are four possibilities following from the fact that the simpler $\langle p'_1, n, e \rangle$ is nested-irreducible.

Case 5.3.1. $e \neq \varepsilon$. Then, by the definition of $\#nst$, description $\langle p'_1; p'', n, e \rangle$ is nested-irreducible.

Case 5.3.2. $p'_1 = @nop$. From (8),

$$\langle p'; p'', n, \varepsilon \rangle \xrightarrow{nst} \langle @nop; p'', n, e \rangle.$$

From this point on, this case is similar to Case 5.1.

Case 5.3.3. $p'_1 = \text{break}$. From (8),

$$\langle p'; p'', n, \varepsilon \rangle \xrightarrow{nst} \langle \text{break}; p'', n, e \rangle.$$

From this point on, this case is similar to Case 5.2.

Case 5.3.4. $\text{isblocked}(p'_1, n)$ is true. Then, by definition,

$$\text{isblocked}(p'_1; p'', n) = \text{isblocked}(p'_1, n) = \text{true}.$$

Hence, from (8) and by the definition $\#nst$, description $\langle p'_1; p'', n, e \rangle$ is nested-irreducible.

Case 6. $p = \text{loop } p'$. Then, by item (b) of Assumption A.7,

$$\langle \text{loop } p', n, \varepsilon \rangle \xrightarrow{nst} \langle p'_1, n, e \rangle, \quad (9)$$

for some e and p'_1 such that either $p'_1 = \text{break}@loop p'$ or $\text{isblocked}(p'_1, n)$.

Case 6.1. $p'_1 = \text{break}@loop p'$. From (9), by **loop-brk**,

$$\langle \text{loop } p', n, \varepsilon \rangle \xrightarrow{nst} \langle \text{break}@loop p', n, e \rangle \xrightarrow{nst} \langle @nop, n, e \rangle_{\#nst}.$$

Case 6.2. $isblocked(p'_1, n)$ is true. Hence, from (9) and by the definition of $\#nst$, $\langle p'_1, n, e \rangle_{\#nst}$.

Case 7. $p = p' @loop p''$. There are three subcases.

Case 7.1. $p' = @nop$. Then, by **loop-nop**,

$$\langle @nop @loop p'', n, \varepsilon \rangle \xrightarrow{1_{nst}} \langle loop p'', n, \varepsilon \rangle.$$

From this point on, this case is similar to Case 6.

Case 7.2. $p' = break$. Then, by **loop-brk**,

$$\langle break @loop p'', n, \varepsilon \rangle \xrightarrow{1_{nst}} \langle @nop, n, \varepsilon \rangle_{\#nst}.$$

Case 7.3. $p' \neq @nop, break$. Then, by the induction hypothesis, there are p'_1 and e such that

$$\langle p', n, \varepsilon \rangle \xrightarrow{*_{nst}} \langle p'_1, n, e \rangle_{\#nst}.$$

By item (b) of Lemma A.6,

$$\langle p' @loop p'', n, \varepsilon \rangle \xrightarrow{*_{nst}} \langle p'_1 @loop p'', n, e \rangle.$$

It remains to be show that $\langle p'_1 @loop p'', n, e \rangle$ is nested-irreducible. The rest of this proof is similar to that of Case 5.3.

Case 8. $p = p'$ and p'' . Then, by **and-expd**,

$$\langle p' \text{ and } p'', n, \varepsilon \rangle \xrightarrow{1_{nst}} \langle p' @and (@canrun(n); p''), n, \varepsilon \rangle.$$

From this point on, this case is similar to Case 9.

Case 9. $p = p' @and p''$. There are two subcases.

Case 9.1. $isblocked(p', n)$ is false. There are three subcases.

Case 9.1.1. $p' = @nop$. Then, by **and-nop1** and by the induction hypothesis, there is a δ' such that

$$\langle @nop @and p'', n, \varepsilon \rangle \xrightarrow{1_{nst}} \langle p'', n, \varepsilon \rangle \xrightarrow{*_{nst}} \delta'_{\#nst}.$$

Case 9.1.2. $p' = break$. Then, by **and-brk1**,

$$\begin{aligned} \langle break @and p'', n, \varepsilon \rangle \\ \xrightarrow{1_{nst}} \langle clear(p''); break, n, \varepsilon \rangle. \end{aligned} \quad (10)$$

From (10), by item (a) of Assumption A.7 and by **seq-nop**,

$$\begin{aligned} \langle clear(p''); break, n, \varepsilon \rangle \xrightarrow{*_{nst}} \langle @nop; break, n, \varepsilon \rangle \\ \xrightarrow{1_{nst}} \langle break, n, \varepsilon \rangle_{\#nst}. \end{aligned}$$

Case 9.1.3. $p' \neq @nop, break$. Then, by the induction hypothesis, there are p'_1 and e such that

$$\langle p', n, \varepsilon \rangle \xrightarrow{*_{nst}} \langle p'_1, n, e \rangle_{\#nst}.$$

By item (c) of Lemma A.6,

$$\langle p' @and p'', n, \varepsilon \rangle \xrightarrow{*_{nst}} \langle p'_1 @and p'', n, e \rangle.$$

It remains to be show that $\langle p'_1 @and p'', n, e \rangle$ leads to an nested-irreducible description. There are four possibilities following from the fact that the simpler $\langle p'_1, n, e \rangle$ is nested-irreducible.

1. If $e \neq \varepsilon$ then, by definition, $\langle p'_1 @and p'', n, e \rangle_{\#nst}$.

2. If $p'_1 = @nop$, this case is similar to Case 9.1.1.
3. If $p'_1 = break$, this case is similar to Case 9.1.2.
4. If $isblocked(p'_1, n)$, this case is similar to Case 9.2.

Case 9.2. $isblocked(p', n)$ is true. There are three subcases.

Case 9.2.1. $p'' = @nop$. Then, by **and-nop2**,

$$\langle p' @and @nop, n, \varepsilon \rangle \xrightarrow{1_{nst}} \langle p', n, \varepsilon \rangle_{\#nst}.$$

Case 9.2.2. $p'' = break$. Then, by **and-brk2**,

$$\langle p' @and break, n, \varepsilon \rangle \xrightarrow{1_{nst}} \langle clear(p'); break, n, \varepsilon \rangle.$$

From this point on, this case is similar to Case 9.1.2.

Case 9.2.3. $p'' \neq @nop, break$. Then, by the induction hypothesis, there are p''_1 and e such that

$$\langle p'', n, \varepsilon \rangle \xrightarrow{*_{nst}} \langle p''_1, n, e \rangle_{\#nst}.$$

By item (e) of Lemma A.6,

$$\langle p' @and p'', n, \varepsilon \rangle \xrightarrow{*_{nst}} \langle p' @and p''_1, n, e \rangle.$$

It remains to be show that $\langle p' @and p''_1, n, e \rangle$ leads to an nested-irreducible description. There are four possibilities following from the fact that the simpler $\langle p'_1, n, e \rangle$ is nested-irreducible.

1. If $e \neq \varepsilon$ then, by definition, $\langle p' @and p''_1, n, e \rangle_{\#nst}$.
2. If $p''_1 = @nop$, this case is similar to Case 9.2.1.
3. If $p''_1 = break$, this case is similar to Case 9.2.2.
4. If $isblocked(p''_1, n)$ then, as both sides are blocked, by definition, $\langle p' @and p''_1, n, e \rangle_{\#nst}$.

Case 10. $p = p'$ or p'' . Then, by **or-expd**,

$$\langle p' \text{ or } p'', n, \varepsilon \rangle \xrightarrow{1_{nst}} \langle p' @or (@canrun(n); p''), n, \varepsilon \rangle.$$

From this point on, this case is similar to Case 11.

Case 11. $p = p' @or p''$. There are two subcases.

Case 11.1. $isblocked(p', n)$ is false. There are three subcases.

Case 11.1.1. $p' = @nop$. Then, by **or-nop1**,

$$\langle @nop @or p'', n, \varepsilon \rangle \xrightarrow{1_{nst}} \langle clear(p''), n, \varepsilon \rangle. \quad (11)$$

From (11), by item (a) Assumption A.7,

$$\langle clear(p''), n, \varepsilon \rangle \xrightarrow{*_{nst}} \langle @nop, n, \varepsilon \rangle_{\#nst}.$$

Case 11.1.2. $p' = break$. Similar to Case 9.1.2.

Case 11.1.3. $p' \neq @nop, break$. Similar to Case 9.1.3.

Case 11.2. $isblocked(p', n)$ is true. There are three subcases.

Case 11.2.1. $p'' = @nop$. Then, by **or-nop2**,

$$\langle p' @or @nop, n, \varepsilon \rangle \xrightarrow{1_{nst}} \langle clear(p'), n, \varepsilon \rangle. \quad (12)$$

From (12), by item (a) of Assumption A.7 and by definition of $clear$,

$$\langle clear(p'), n, \varepsilon \rangle \xrightarrow{*_{nst}} \langle @nop, n, \varepsilon \rangle_{\#nst}.$$

Case 11.2.2. $p'' = \text{break}$. Similar to Case 9.2.2.

Case 11.2.3. $p'' \neq \text{@nop, break}$. Similar to Case 9.2.3.

□

Definition A.9. The potency of a program p in reaction to event e , denoted $\text{pot}(p, e)$, is the maximum number of emit_{int} expressions that can be executed during a reaction of p to e .

More formally,

$$\text{pot}(p, e) = \text{pot}'(\text{bcast}(p, e)),$$

where pot' is an auxiliary function that counts the number of reachable emit_{int} expressions in the program resulting from the broadcast of event e to p .

The auxiliary function pot' is defined by the following clauses:

- (a) $\text{pot}'(\text{emit}_{\text{int}}(e)) = 1$;
- (b) $\text{pot}'(\text{if mem}(id) \text{ then } p_1 \text{ else } p_2) = \max\{\text{pot}'(p_1), \text{pot}'(p_2)\}$;
- (c) $\text{pot}'(\text{loop } p_1) = \text{pot}'(p_1)$;
- (d) $\text{pot}'(p_1 \text{ and } p_2) = \text{pot}'(p_1) + \text{pot}'(p_2)$;
- (e) $\text{pot}'(p_1 \text{ or } p_2) = \text{pot}'(p_1) + \text{pot}'(p_2)$;
- (f) If $p_1 \neq \text{break}$, $\text{await}_{\text{ext}}(e)$,

$$\text{pot}'(p_1; p_2) = \text{pot}'(p_1) + \text{pot}'(p_2)$$

$$\text{pot}'(p_1 @ \text{loop } p_2) = \begin{cases} \text{pot}'(p_1) & \text{if } (\dagger) \\ \text{pot}'(p_1) + \text{pot}'(p_2) & \text{otherwise} \end{cases}$$

where (\dagger) stands for: “a break or $\text{await}_{\text{ext}}$ occurs in all execution paths of p_1 ”;

- (g) If $p_1, p_2 \neq \text{break}$,

$$\text{pot}'(p_1 @ \text{and } p_2) = \text{pot}'(p_1) + \text{pot}'(p_2);$$

- (h) If $p_1, p_2 \neq \text{break}$ and $p_1, p_2 \neq \text{@nop}$,

$$\text{pot}'(p_1 @ \text{or } p_2) = \text{pot}'(p_1) + \text{pot}'(p_2);$$

- (i) Otherwise, if none of (a)–(h) applies, $\text{pot}'(_) = 0$.

Definition A.10. The *rank* of a description $\delta = \langle p, n, e \rangle$, denoted $\text{rank}(\delta)$, is a pair of nonnegative integers $\langle i, j \rangle$ such that

$$i = \text{pot}(p, e) \quad \text{and} \quad j = \begin{cases} n & \text{if } e = \varepsilon \\ n + 1 & \text{otherwise} \end{cases}.$$

The next two lemmas establish that a single application of $\xrightarrow{\text{out}}$ or $\xrightarrow{\text{nst}}$ either preserves or decreases the rank of the input description. All rank comparisons assume lexicographical order.

Lemma A.11.

- (a) If $\delta \xrightarrow{\text{push}_{\text{out}}} \delta'$ then $\text{rank}(\delta) = \text{rank}(\delta')$.
- (b) If $\delta \xrightarrow{\text{pop}_{\text{out}}} \delta'$ then $\text{rank}(\delta) > \text{rank}(\delta')$.

Proof. Let $\delta = \langle p, n, e \rangle$, $\delta' = \langle p', n', e' \rangle$, $\text{rank}(\delta) = \langle i, j \rangle$, and $\text{rank}(\delta') = \langle i', j' \rangle$.

- (a) Suppose $\langle p, n, e \rangle \xrightarrow{\text{push}_{\text{out}}} \langle p', n', e' \rangle$. Then, by **push**, $e \neq \varepsilon$, $p' = \text{bcast}(p, e)$, $n' = n + 1$, and $e' = \varepsilon$. By Definition A.10, $j = n + 1$, as $e \neq \varepsilon$, and $j' = n + 1$, as $e' = \varepsilon$ and $n' = n + 1$; hence $j = j'$. It remains to be shown that $i = i'$:

$$\begin{aligned} i &= \text{pot}(p, e) && \text{by Definition A.10} \\ &= \text{pot}'(\text{bcast}(p, e)) && \text{by Definition A.9} \\ &= \text{pot}'(p') && \text{since } p' = \text{bcast}(p, e) \\ &= \text{pot}'(\text{bcast}(p', \varepsilon)) && \text{by definition of } \text{bcast} \\ &= \text{pot}'(\text{bcast}(p', e')) && \text{since } e' = \varepsilon \\ &= \text{pot}(p', e') && \text{by Definition A.9} \\ &= i' && \text{by Definition A.10} \end{aligned}$$

Therefore, $\langle i, j \rangle = \langle i', j' \rangle$.

- (b) Suppose $\langle p, n, e \rangle \xrightarrow{\text{pop}_{\text{out}}} \langle p', n', e' \rangle$. Then, by **pop**, $p = p'$, $n > 0$, $n' = n - 1$, and $e = e' = \varepsilon$. By Definition A.9, $\text{pot}(\text{bcast}(p, e)) = \text{pot}(\text{bcast}(p', e'))$; hence $i = i'$. And by Definition A.10, $j = n$, as $e = \varepsilon$, and $j' = n - 1$, as $e' = \varepsilon$ and $n' = n - 1$; hence $j > j'$. Therefore, $\langle i, j \rangle > \langle i', j' \rangle$. □

Lemma A.12. If $\delta \xrightarrow{\text{nst}} \delta'$ then $\text{rank}(\delta) \geq \text{rank}(\delta')$.

Proof. We proceed by induction on the structure of $\xrightarrow{\text{nst}}$ derivations. Let $\delta = \langle p, n, e \rangle$, $\delta' = \langle p', n', e' \rangle$, $\text{rank}(\delta) = \langle i, j \rangle$, and $\text{rank}(\delta') = \langle i', j' \rangle$. By the hypothesis of the theorem, there is a derivation π such that

$$\pi \Vdash \langle p, n, e \rangle \xrightarrow{\text{nst}} \langle p', n', e' \rangle.$$

By definition of $\xrightarrow{\text{nst}}$, $e = \varepsilon$ and $n = n'$. Depending on the structure of program p , there are 11 possibilities. In each one of them, we show that $\text{rank}(\delta) \geq \text{rank}(\delta')$.

Case 1. $p = \text{mem}(id)$. Then π is an instance of **mem**. Hence $p' = \text{@nop}$ and $e' = \varepsilon$. Thus $\text{rank}(\delta) = \text{rank}(\delta') = \langle 0, n \rangle$.

Case 2. $p = \text{emit}_{\text{int}}(e_1)$. Then π is an instance of **emit-int**. Hence $p' = \text{@nop}$ and $e' = e_1 \neq \varepsilon$. Thus

$$\text{rank}(\delta) = \langle 1, n \rangle > \langle 0, n + 1 \rangle = \text{rank}(\delta').$$

Case 3. $p = \text{@canrun}(n)$. Then π is an instance of **can-run**. Hence $p' = \text{@nop}$ and $e' = \varepsilon$. Thus

$$\text{rank}(\delta) = \text{rank}(\delta') = \langle 0, n \rangle.$$

Case 4. $p = \text{if } p \text{ then } p_1 \text{ else } p_2$. There are two subcases.

Case 4.1. $\text{eval}(\text{mem}(id))$ is true. Then π is an instance of **if-true**. Hence $p' = p_1$ and $e' = \varepsilon$. Thus

$$\begin{aligned} \text{rank}(\delta) &= \langle \max\{\text{pot}'(p_1), \text{pot}'(p_2)\}, n \rangle \\ &\geq \langle \text{pot}'(p_1), n \rangle = \text{rank}(\delta'). \end{aligned}$$

Case 4.2. $\text{eval}(\text{mem}(id))$ is false. Similar to Case 4.1.

Case 5. $p = p_1; p_2$. There are three subcases.

Case 5.1. $p_1 = \text{@nop}$. Then π is an instance of **seq-nop**. Hence $p' = p_2$ and $e' = \varepsilon$. Thus

$$\begin{aligned} \text{rank}(\delta) &= \langle \text{pot}'(p_1) + \text{pot}'(p_2), n \rangle \\ &\geq \langle \text{pot}'(p_2), n \rangle = \text{rank}(\delta'). \end{aligned}$$

Case 5.2. $p_1 = \text{break}$. Then π is an instance of **seq-brk**. Hence $p' = p_1$ and $e' = \varepsilon$. Thus

$$\text{rank}(\delta) = \text{rank}(\delta') = \langle 0, n \rangle.$$

Case 5.3. $p_1 \neq \text{@nop}, \text{break}$. Then π is an instance of **seq-adv**. Hence there is a derivation π' such that

$$\pi' \Vdash \langle p_1, n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle p'_1, n, e'_1 \rangle,$$

for some p'_1 and e'_1 . Thus $p' = p'_1; p_2$ and $e' = e'_1$. By the induction hypothesis,

$$\text{rank}(\langle p_1, n, \varepsilon \rangle) \geq \text{rank}(\langle p'_1, n, e'_1 \rangle). \quad (13)$$

There are two subcases.

Case 5.3.1. $e' = \varepsilon$. Then

$$\begin{aligned} \text{rank}(\delta) &= \langle \text{pot}'(p_1) + \text{pot}'(p_2), n \rangle \text{ and} \\ \text{rank}(\delta') &= \langle \text{pot}'(p'_1) + \text{pot}'(p_2), n \rangle. \end{aligned}$$

By (13), $\text{pot}'(p_1) \geq \text{pot}'(p'_1)$. Thus

$$\text{rank}(\delta) \geq \text{rank}(\delta').$$

Case 5.3.2. $e' \neq \varepsilon$. Then π' contain one application of **emit-int**, which consumes one $\text{emit}_{\text{int}}(e')$ expression from p_1 and implies $\text{pot}'(p_1) > \text{pot}'(p'_1)$. Thus

$$\begin{aligned} \text{rank}(\delta) &= \langle \text{pot}'(p_1) + \text{pot}'(p_2), n \rangle \\ &> \langle \text{pot}'(p'_1) + \text{pot}'(p_2), n + 1 \rangle = \text{rank}(\delta'). \end{aligned}$$

Case 6. $p = \text{loop } p_1$. Then π is an instance of **loop-expd**. Hence $p' = p_1 @ \text{loop } p_1$ and $e' = \varepsilon$. By item (b) of Assumption A.7, all execution paths of p_1 contain at least one occurrence of **break** or **wait_{ext}**. Thus, by condition (+) in Definition A.9,

$$\text{rank}(\delta) = \text{rank}(\delta') = \langle \text{pot}'(p_1), n \rangle.$$

Case 7. $p = p_1 @ \text{loop } p_2$. There are three cases.

Case 7.1. $p_1 = \text{@nop}$. Similar to Case 5.1.

Case 7.2. $p_1 = \text{break}$. Similar to Case 5.2.

Case 7.3. $p_1 \neq \text{@nop}, \text{break}$. Then π is an instance of **loop-adv**. Hence there is a derivation π' such that

$$\pi' \Vdash \langle p_1, n, \varepsilon \rangle \xrightarrow{\text{nst}} \langle p'_1, n, e'_1 \rangle,$$

for some p'_1 and e'_1 . Thus $p' = p'_1; p_2$ and $e' = e'_1$. There are two subcases.

Case 7.3.1. $\text{pot}'(p) = \text{pot}'(p_1)$. Then all execution paths of p_1 contain a **break** or **wait_{ext}** expression. A single $\xrightarrow{\text{out}}$ cannot terminate the loop, since $p_1 \neq \text{break}$, nor can it consume an **wait_{ext}**, which means that all execution paths in p'_1 still contain a **break** or **wait_{ext}**. Hence $\text{pot}'(p') = \text{pot}'(p'_1)$. The rest of this proof is similar to that of Case 5.3.

Case 7.3.2. $\text{pot}'(p) = \text{pot}'(p_1) + \text{pot}'(p_2)$. Then some execution path in p_1 does not contain a **break** or **wait_{ext}** expression. Since $p_1 \neq \text{@nop}$, a single $\xrightarrow{\text{out}}$ cannot restart the loop, which means that p'_1 still contain some execution path in which a **break** or **wait_{ext}** does not occur. Hence $\text{pot}'(p') = \text{pot}'(p'_1) + \text{pot}'(p_2)$. The rest of this proof is similar to that of Case 5.3.

Case 8. $p = p_1$ and p_2 . Then π is an instance of **and-expd**. Hence $p' = p_1 @ \text{and} (\text{@canrun}(n); p_2)$ and $e' = \varepsilon$. Thus

$$\text{rank}(\delta) = \text{rank}(\delta') = \langle \text{pot}'(p_1) + \text{pot}'(p_2), n \rangle.$$

Case 9. $p = p_1 @ \text{and } p_2$. There are two subcases.

Case 9.1. $\text{isblocked}(p_1, n)$ is false. There are three subcases.

Case 9.1.1. $p_1 = \text{@nop}$. Then π is an instance of **and-nop1**. Hence $p' = p_2$ and $e' = \varepsilon$. Thus

$$\text{rank}(\delta) = \text{rank}(\delta') = \langle 0 + \text{pot}'(p_2), n \rangle.$$

Case 9.1.2. $p_1 = \text{break}$. Then π is an instance of **and-brk1**. Hence $p' = \text{clear}(p_2); \text{break}$ and $e' = \varepsilon$. By item (a) of Assumption A.7 and by the definition of **clear**, $\text{clear}(p_2)$ does not contain emit_{int} expressions. Thus

$$\text{rank}(\delta) = \text{rank}(\delta') = \langle 0, n \rangle.$$

Case 9.1.3. $p_1 \neq \text{@nop}, \text{break}$. Then π is an instance of **and-adv1**. As $p_1 \neq \text{break}$ and $p_2 \neq \text{break}$ (otherwise **and-brk2** would have taken precedence), the rest of this proof is similar to that of Case 5.3.

Case 9.2. $\text{isblocked}(p_1, n)$ is true. Similar to Case 9.1

Case 10. $p = p_1$ or p_2 . Then π is an instance of **or-expd**. Hence $p' = p_1 @ \text{or} (\text{@canrun}(n); p_2)$ and $e' = \varepsilon$. Thus

$$\text{rank}(\delta) = \text{rank}(\delta') = \langle \text{pot}'(p_1) + \text{pot}'(p_2), n \rangle.$$

Case 11. $p = p_1 @ \text{or } p_2$. There are two subcases.

Case 11.1. $\text{isblocked}(p_1, n)$ is false. There are three subcases.

Case 11.1.1. $p_1 = \text{@nop}$. Then π is an instance of **or-nop1**. Hence $p' = \text{clear}(p_2)$ and $e' = \varepsilon$. By item (a) of Assumption A.7 and by the definition of **clear**, p' does not contain emit_{int} expressions. Thus

$$\text{rank}(\delta) = \text{rank}(\delta') = \langle 0, n \rangle.$$

Case 11.1.2. $p_1 = \text{break}$. Similar to Case 9.1.2.

Case 11.1.3. $p_1 \neq \text{@nop}, \text{break}$. Similar to Case 9.1.3.

Case 11.2. $\text{isblocked}(p_1, n)$ is true. Similar to Case 11.1. \square

Theorem A.13. If $\delta \xrightarrow{\text{nst}}^* \delta'$ then $\text{rank}(\delta) \geq \text{rank}(\delta')$.

Proof. If $\delta \xrightarrow{\text{nst}}^* \delta'$ then $\delta \xrightarrow{\text{nst}}^i \delta'$, for some i . We proceed by induction on i . The theorem is trivially true for $i = 0$ and follows directly from Lemma A.12 for $i = 1$. Suppose $\delta \xrightarrow{\text{nst}}^i \delta'_1 \xrightarrow{\text{nst}}^{i-1} \delta'$, for some $i > 1$ and δ'_1 . Thus, by Lemma A.12 and by the induction hypothesis,

$$\text{rank}(\delta) \geq \text{rank}(\delta'_1) \geq \text{rank}(\delta'). \quad \square$$

Definition A.14. A description δ is *irreducible* (in symbols, $\delta_{\#}$) iff it is nested-irreducible and its $\text{rank}(\delta)$ is $\langle i, 0 \rangle$, for some $i \geq 0$.

An irreducible description $\delta_{\#} = \langle p, n, e \rangle$ serves as a normal form for general transitions \longrightarrow . Such descriptions cannot be advanced by \xrightarrow{nst} , as it is nested-irreducible, and neither by $\xrightarrow{push_{out}}$ nor $\xrightarrow{pop_{out}}$, as the second coordinate of its rank is 0, which implies $e = \varepsilon$ and $n = 0$.

Theorem A.15 (Termination).

For any δ , there is a $\delta'_{\#}$ such that $\delta \xrightarrow{*} \delta'_{\#}$.

Proof. By lexicographic induction on $\text{rank}(\delta)$. Let $\delta = \langle p, n, e \rangle$ and $\text{rank}(\delta) = \langle i, j \rangle$.

Basis. If $\langle i, j \rangle = \langle 0, 0 \rangle$ then δ cannot be advanced by \xrightarrow{out} , as $j = 0$ implies $e = \varepsilon$ and $n = 0$ (neither **push** nor **pop** can be applied). There are two possibilities: either δ is nested-irreducible or it is not. In the first case, the theorem is trivially true, as $\delta \xrightarrow{0_{nst}} \delta_{\#nst}$. Suppose δ is not nested-irreducible. Then, by Theorem A.8, $\delta \xrightarrow{*_{nst}} \delta'_{\#nst}$ for some $\delta'_{\#nst}$. By Theorem A.13,

$$\langle i, j \rangle = \langle 0, 0 \rangle \geq \text{rank}(\delta'),$$

which implies $\text{rank}(\delta') = \langle 0, 0 \rangle$.

Induction. Let $\langle i, j \rangle \neq \langle 0, 0 \rangle$. There are two subcases.

Case 1. δ is nested-irreducible. There are two cases.

Case 1.1. $j = 0$. By Definition A.14, $\delta_{\#}$. Thus $\delta \xrightarrow{0} \delta_{\#}$.

Case 1.2. $j > 0$. There are two subcases.

Case 1.2.1. $e \neq \varepsilon$. Then, by **out** and by Theorem A.8, there are δ'_1 and $\delta'_{\#nst} = \langle p', n+1, e' \rangle$ such that

$$\delta \xrightarrow{push_{out}} \delta'_1 \xrightarrow{*_{nst}} \delta'_{\#nst}.$$

Thus, by Lemma by item (a) of Lemma A.11 and by Theorem A.13,

$$\begin{aligned} \text{rank}(\delta) &= \text{rank}(\delta'_1) = \langle i, j \rangle \\ &\geq \text{rank}(\delta') = \langle i', j' \rangle. \end{aligned}$$

If $e' = \varepsilon$, then $i = i'$ and $j = j'$, and the rest of this proof is similar to that of Case 1.2.2. Otherwise, if $e' \neq \varepsilon$ then $i > i'$, since an $\text{emit}_{int}(e')$ was consumed by the nested transitions. Thus,

$$\text{rank}(\delta) > \text{rank}(\delta').$$

By the induction hypothesis, $\delta' \xrightarrow{*} \delta''_{\#}$, for some $\delta''_{\#}$. Therefore, $\delta \xrightarrow{*} \delta''_{\#}$.

Case 1.2.2. $e = \varepsilon$. Then, since $j > 0$, $\delta \xrightarrow{pop_{out}} \delta'$, for some δ'' . By item (b) of Lemma A.11,

$$\text{rank}(\delta) > \text{rank}(\delta').$$

Hence, by the induction hypothesis, there is a $\delta''_{\#}$ such that $\delta' \xrightarrow{*} \delta''_{\#}$. Therefore, $\delta \xrightarrow{*} \delta''_{\#}$.

Case 2. δ is not nested-irreducible. There are two subcases.

Case 2.1. $e \neq \varepsilon$. Similar to Case 1.2.1.

Case 2.2. $e = \varepsilon$. Then, by Theorems A.13 and A.13 there is a $\delta'_{\#nst}$ such that $\delta \xrightarrow{*_{nst}} \delta'_{\#nst}$ with $\text{rank}(\delta) \geq \text{rank}(\delta'_{\#nst})$. The rest of this proof is similar to that of Case 1. \square