



Regulatory Compliance Framework

Entity: SC DRONERA SRL, Romania

Project: DRONERA Autonomous Swarm Drone Platform

1. Purpose

The purpose of this Regulatory Compliance Framework is to ensure that SC DRONERA SRL operates in full compliance with applicable laws, regulations, and industry standards relevant to its activities, including UAV technology development, AI systems, and blockchain-based solutions.

2. Scope

This framework applies to all operations, employees, contractors, subsidiaries, and partners engaged in the DRONERA project, including development, commercialization, tokenization, and data management.

3. Governance and Responsibilities

The Board of Directors oversees overall compliance. The Compliance Officer ensures implementation of policies, reporting, and audits. All employees are responsible for adhering to compliance obligations.

4. Regulatory Requirements

DRONERA complies with: European Union regulations (e.g., GDPR, MiCA, CE drone certification), Romanian national laws, anti-money laundering (AML) and Know Your Customer (KYC) rules, export control, and aviation safety standards.

5. Policies and Procedures

Key policies include Data Protection Policy, KYC/AML Policy, Anti-Bribery Policy, Financial Reporting Policy, and Incident Response Plan. Procedures cover onboarding, transaction monitoring, incident escalation, and audits.

6. Training and Awareness

All employees and key partners receive regular training on regulatory requirements, compliance risks, and company policies to maintain awareness and capability to meet obligations.



7. Monitoring and Reporting

Compliance is monitored through internal controls, periodic audits, and automated systems for risk detection. Non-compliance or suspicious activities are reported through designated internal channels.

8. Breach Management

Any compliance breaches are promptly investigated, documented, and remediated. Where required, reports are filed with authorities, and corrective actions are implemented.

9. Review and Updates

This framework is reviewed annually or when major regulatory or operational changes occur, ensuring continuous alignment with evolving legal and industry standards.