



SVEUČILIŠTE U ZAGREBU

Fakultet  
elektrotehnike i  
računarstva

# Explainability of Machine Learning Models in Prediction of Affective Disorders

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# Overview

- 1** Introduction & Motivation
- 2** Literature Review
- 3** Dataset
- 4** Methodology
- 5** Results
- 6** Conclusion



# Overview

## **1 Introduction & Motivation**

2 Literature Review

3 Dataset

4 Methodology

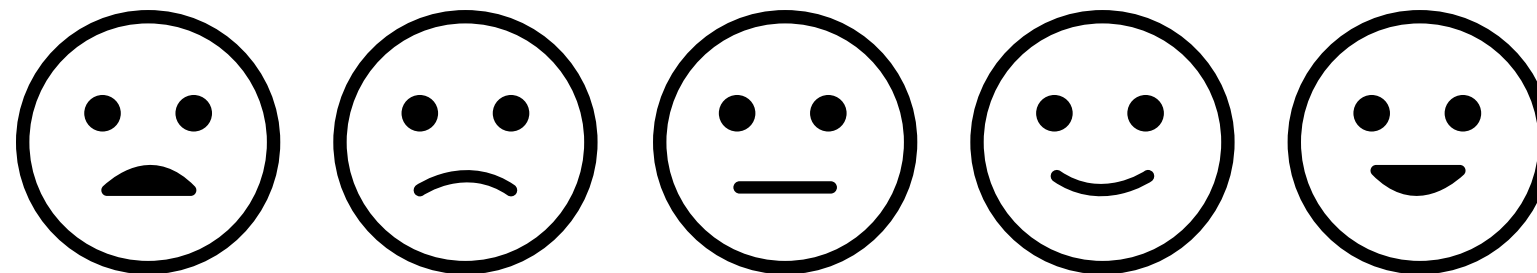
5 Results

6 Conclusion

## Introduction

# Affective disorders

- Mental and behavioral disorders characterized by a shift in mood to either elation or depression
- Mainly diagnosed with patient interviews and questionnaires



Introduction

# Electroencephalography (EEG)

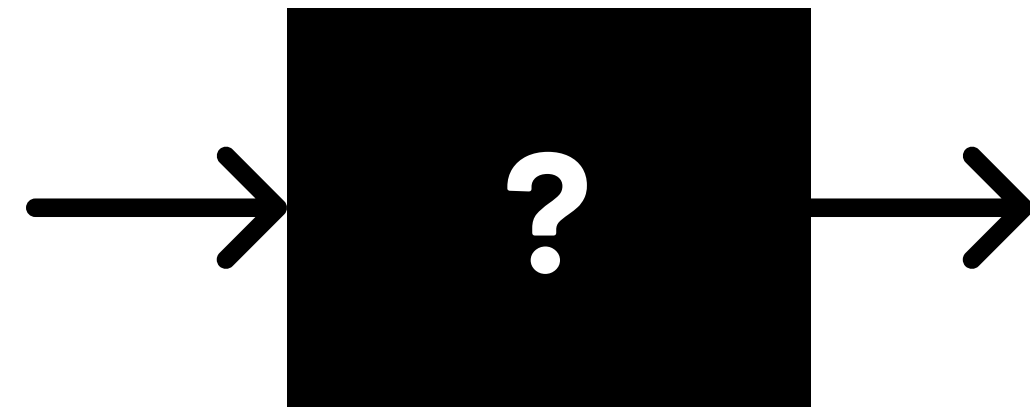
- Brain activity recording method
- Captures electrical signals fired by groups of neurons synchronizing



## Introduction

# Explainable Artificial Intelligence (XAI)

- Rising area of research aiming to increase trust and adoption of AI
- Focused on improving understanding of increasingly complex AI systems



Motivation

# Brain Awareness Week 2022



Motivation

# Objectives

1. Identify potential EEG biomarkers of depression
2. Compare different explainable AI methods







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Literature Review

# Identifying EEG Biomarkers of Depression with Novel Explainable Deep Learning Architectures (2024)

Input	raw EEG signal
Model	deep convolutional
Explainability	visualization of model internals
Findings	<ul style="list-style-type: none"><li>• <math>\beta</math> &amp; <math>\delta</math> power</li><li>• brain-wide correlation</li><li>• right hemisphere</li></ul>

## Literature Review

# An Explainable Assessment for Depression Detection Using Frontal EEG (2023)

Input	EEG extracted features from frontal lobes: Higuchi's fractal dimension, sample entropy
Model	Decision Tree, LDA, k-NN, Random Forest, XGBoost
Explainability	feature importance
Findings	<ul style="list-style-type: none"><li>• complexity</li><li>• high-frequency features</li></ul>

## Literature Review

# Depression detection based on analysis of EEG signals in multi brain regions (2023)

Input	EEG extracted features: Lempel-Ziv complexity, power spectral density
Model	Support Vector Machine
Explainability	subset evaluation
Findings	<ul style="list-style-type: none"><li>• temporal region</li><li>• frontal, temporal, and central regions combined</li></ul>



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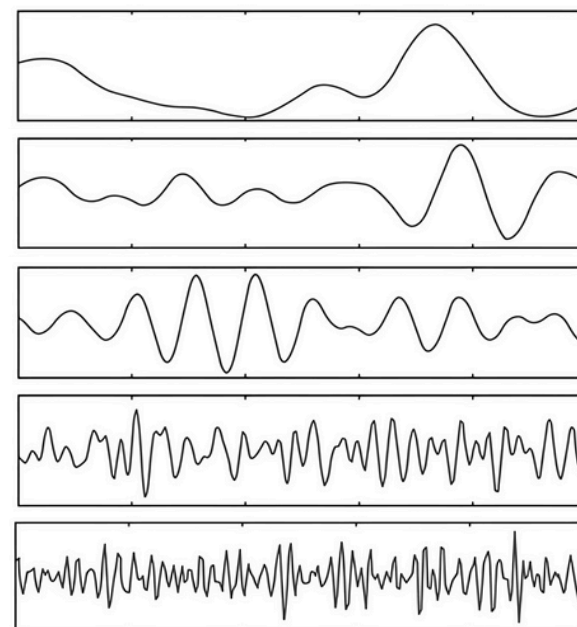
Dataset

# Composition

- **105 individuals**
  - 75 depressed
  - 30 healthy
- **representative train-test split**
  - 75 train examples
  - 30 test examples



# Features



- absolute band power
- relative band power
- spectral centroids
- relative wavelet energy
- wavelet entropy
- Katz fractal dimension

[illegible]



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Methodology

# Approach

- **Feature Ranking with XAI Methods**
  - identify features that contribute most to the predictive power of a classification model
- **Feature Subset Evaluation**
  - identify subsets of features that contribute most to the predictive power of a classification model

Methodology

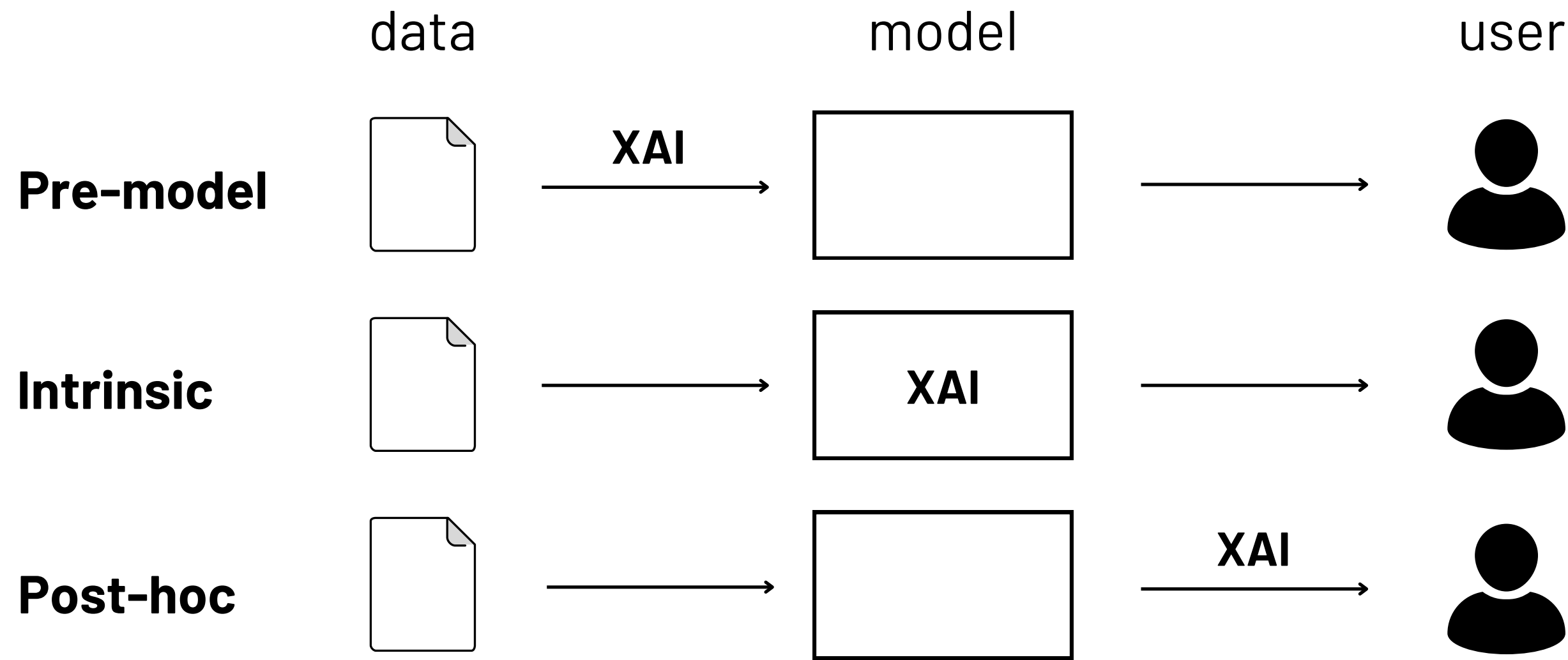
## Ideal outcomes

- **Feature Ranking with XAI Methods**
  - agreement across methods
- **Feature Subset Evaluation**
  - agreement across models

→ both would imply a reliable EEG biomarker

Methodology

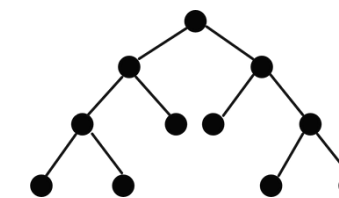
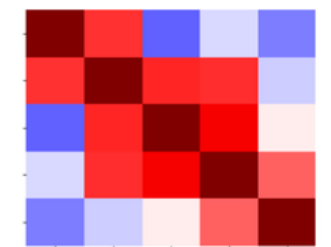
# Feature Ranking with XAI Methods



Methodology

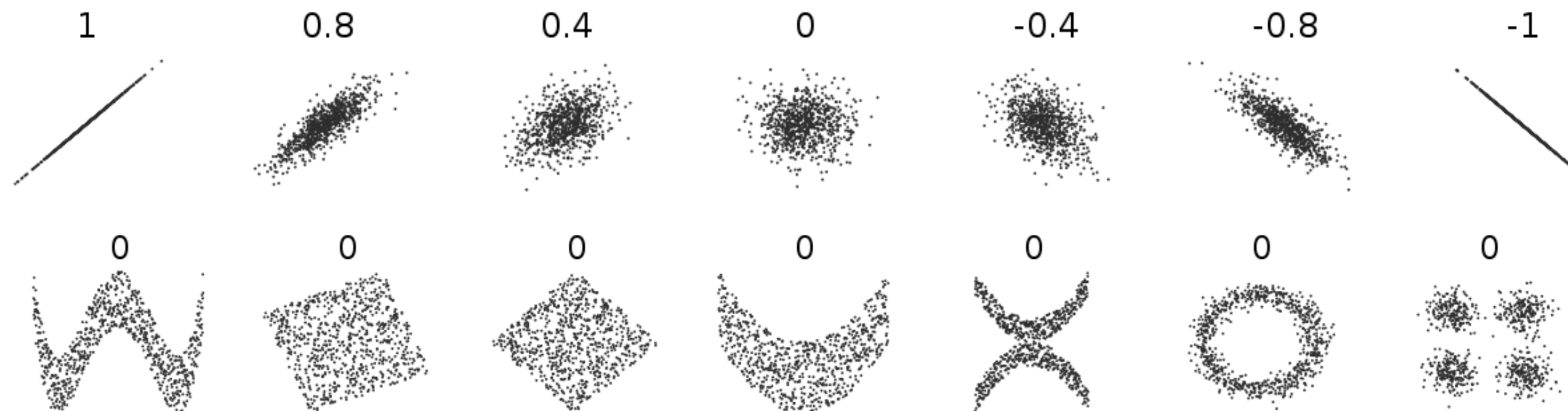
# Feature Ranking with XAI Methods

- Correlation with Diagnosis
- Decision Tree Importance
- Shapley Additive Explanations on SVM



# Correlation with Diagnosis

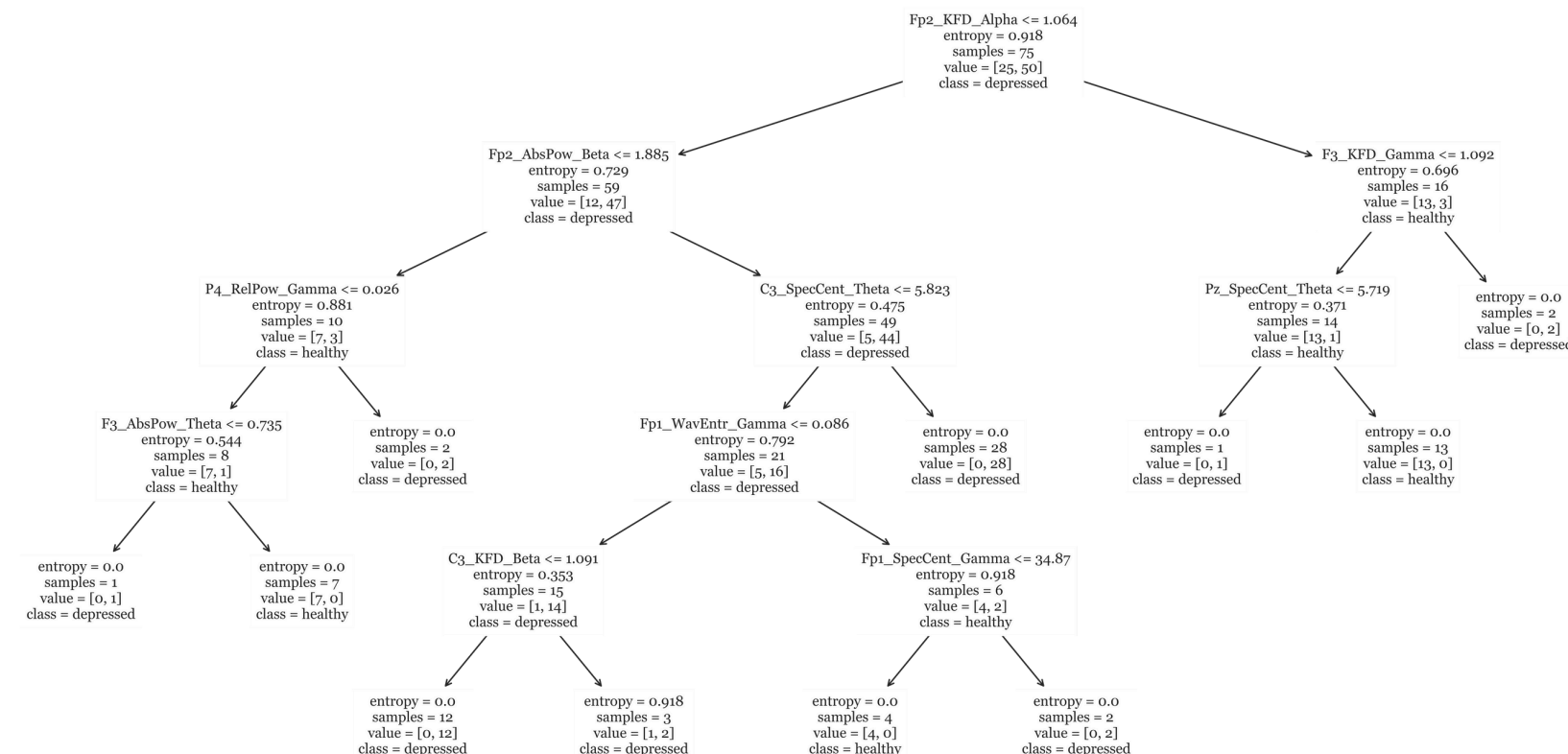
- Measure of the strength and direction of a relationship
- Assumes linear or monotonic relationship



## Methodology

# Decision Tree Importance

- A tree is built by recursively partitioning based on features that best separate the data into similar subsets



Methodology

# Shapley Additive Explanations (SHAP)

- Rooted in cooperative game theory
  - distribution of the total gain among players based on their contribution to the overall outcome

$$\phi_i(v) = \sum_{S \subseteq N \setminus \{i\}} \frac{|S|!(n - |S| - 1)!}{n!} (v(S \cup \{i\}) - v(S))$$

Methodology

# Support Vector Machine

- Aims to find the best separation of classes
  - by maximizing the margin between the nearest data points of different classes, known as support vectors
- Uses the kernel trick for implicit mapping into high dimensional feature spaces where data becomes separable by a hyperplane
  - reduced interpretability



## Methodology

# Feature Subset Evaluation

- Domain-informed subsets
    - per electrode
    - per brain wave type
    - per feature extraction method
  - Analyses-informed subsets
    - literature
    - hypothesis testing
    - feature ranking
- all on both DT & SVM ,  
using F1-score as primary metric

- **dataset nature**
  - imbalanced
  - single train-test split
  - ~5:1 feature to instance ratio
- **multiple comparisons problem**

[illegible]



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## Results

# Feature Ranking

### Correlation

T4\_AbsPow\_Delta  
F8\_AbsPow\_Alpha  
T3\_AbsPow\_Gamma  
**T3\_AbsPow\_Delta**  
T4\_AbsPow\_Alpha  
F8\_KFD\_Alpha  
F8\_AbsPow\_Gamma  
T3\_AbsPow\_Theta  
Cz\_AbsPow\_Delta  
P3\_AbsPow\_Gamma

### Decision Tree

Fp2\_KFD\_Beta  
Fp2\_AbsPow\_Beta  
C3\_SpecCent\_Beta  
F3\_KFD\_Gamma  
Fp1\_WavEntr\_Gamma  
Fp1\_SpecCent\_Gamma  
Pz\_SpecCent\_Theta  
P4\_RelPow\_Gamma  
F3\_AbsPow\_Theta  
C3\_KFD\_Beta

### SHAP Values

01\_AbsPow\_Delta  
**T3\_AbsPow\_Delta**  
Fp2\_RelPow\_Delta  
01\_WavEntr\_Alpha  
Fp2\_RWE\_Delta  
T6\_WavEntr\_Alpha  
P4\_AbsPow\_Alpha  
Pz\_SpecCent\_Alpha  
Fp2\_RWE\_Theta  
Fp2\_WavEntr\_Theta

- poor agreement → different paradigms & assumptions

## Results

# Feature Subset Evaluation

- subsets with good predictive power:
  - P1, F7, C4, P3 and P4 electrodes
  - beta wave subset
  - left hemisphere with midline
  - decision tree important features
- results largely varied between Decision Tree and SVM
  - different paradigms & assumptions



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Revisiting the Objectives

# **Identify potential EEG biomarkers of depression**

- prefrontal, frontal, temporal, and parietal region
- left hemisphere combined with the midline
- beta alone or a subset of alpha, delta and gamma waves combined

Revisiting the Objectives

# Compare different XAI methods

Method	Advantages	Disadvantages
Correlation with Diagnosis	simplicity, directionality, model independence	linearity or monotonicity assumption, feature interactions not considered
Decision Tree Importance	easy to compute, hierarhical information	instability, no directional information
SHAP Values	model-agnostic, robustness, directionality	computationally expensive



Conclusion

# General Takeaways

- understanding ML models  $\neq$  understanding depression
  - model explainability ~ human-computer interaction
  - depression explainability ~ biomarkers
- single train-test split
  - does not make sense for subset feature evaluation
  - introduce any variability to assess significance

# References

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# Thank you.

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