

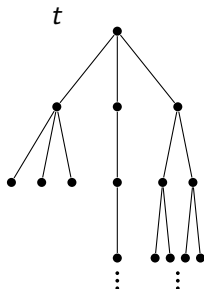
RANDOM TREE RECURSIONS:
WHICH FIXED POINTS CORRESPOND TO
TANGIBLE SETS OF TREES?

Toby Johnson, Moumanti Podder, Fiona Skerman
arxiv:1808.03019

Czech Academy of Sciences

T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 1: Survival

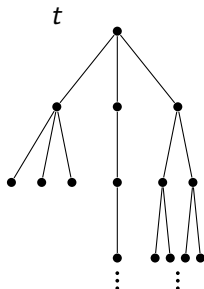


$\mathcal{T}_{\text{inf}} = \{ \text{infinite rooted trees} \}$

$p = \Pr[T_\lambda \in \mathcal{T}_{\text{inf}}]$

T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 1: Survival



$\mathcal{T}_{\text{inf}} = \{ \text{infinite rooted trees} \}$

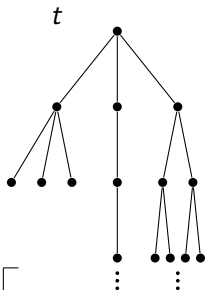
$$p = \Pr[T_\lambda \in \mathcal{T}_{\text{inf}}]$$

metaproperty: a tree survives if root has at least one child who survives

$$p \text{ satisfies } p = \Pr[\text{Po}(\lambda p) \geq 1] = 1 - e^{-\lambda p}$$

T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 1: Survival

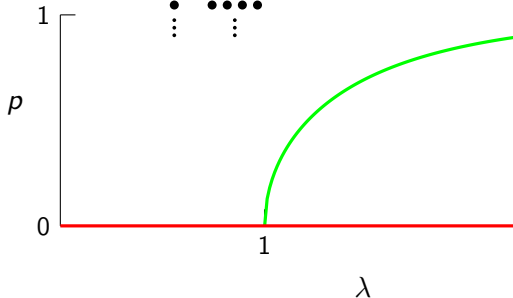


$\mathcal{T}_{\text{inf}} = \{ \text{infinite rooted trees} \}$

$$p = \Pr[T_\lambda \in \mathcal{T}_{\text{inf}}]$$

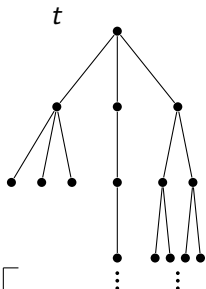
metaproperty: a tree survives if root has at least one child who survives

$$p \text{ satisfies } p = \Pr[\text{Po}(\lambda p) \geq 1] = 1 - e^{-\lambda p}$$



T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 1: Survival

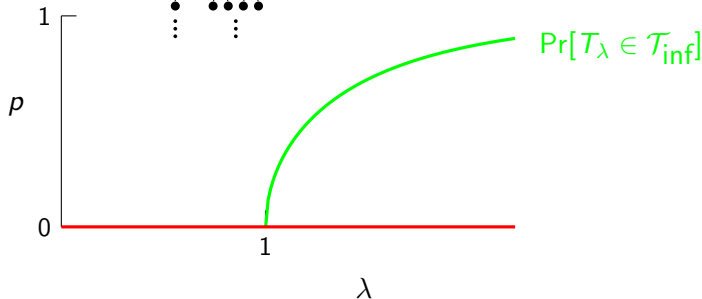


$\mathcal{T}_{\text{inf}} = \{ \text{infinite rooted trees} \}$

$$p = \Pr[T_\lambda \in \mathcal{T}_{\text{inf}}]$$

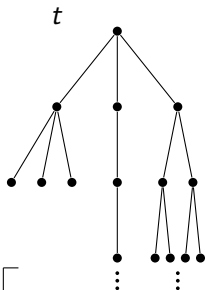
metaproperty: a tree survives if root has at least one child who survives

$$p \text{ satisfies } p = \Pr[\text{Po}(\lambda p) \geq 1] = 1 - e^{-\lambda p}$$



T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 1: Survival



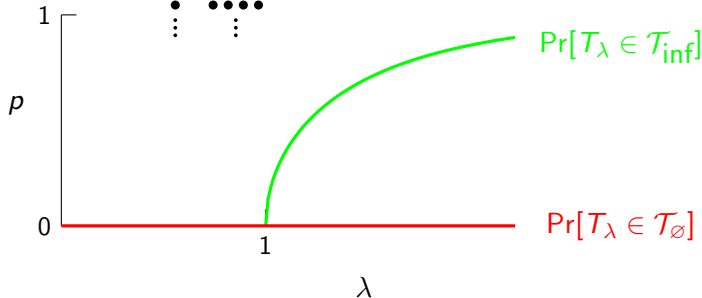
$\mathcal{T}_{\text{inf}} = \{ \text{infinite rooted trees} \}$

$\mathcal{T}_\emptyset = \emptyset$

$p = \Pr[T_\lambda \in \mathcal{T}_{\text{inf}}]$

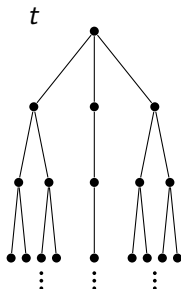
metaproperty: a tree survives if root has at least one child who survives

p satisfies $p = \Pr[\text{Po}(\lambda p) \geq 1] = 1 - e^{-\lambda p}$



T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2: Infinite binary tree from root



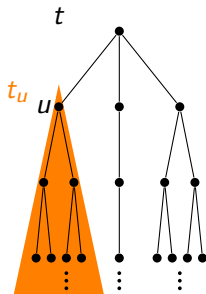
$\mathcal{T}_{\text{bin}} = \{ \text{tree contains infinite binary at root} \}$

$p = \Pr[T_\lambda \in \mathcal{T}_{\text{bin}}]$

metaproperty: ?

T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2: Infinite binary tree from root



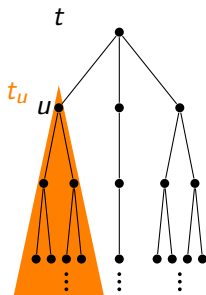
$\mathcal{T}_{\text{bin}} = \{ \text{tree contains infinite binary at root} \}$

$p = \Pr[T_\lambda \in \mathcal{T}_{\text{bin}}]$

metaproperty:

T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2: Infinite binary tree from root



$\mathcal{T}_{\text{bin}} = \{ \text{tree contains infinite binary at root} \}$

$$p = \Pr[T_\lambda \in \mathcal{T}_{\text{bin}}]$$

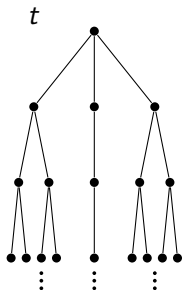
metaproperty:

tree $t \in \mathcal{T}_{\text{bin}}$ iff children u, v & $t_u, t_v \in \mathcal{T}_{\text{bin}}$

hence p satisfies $p = 1 - (1 + \lambda p)e^{-\lambda p}$

T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2: Infinite binary tree from root



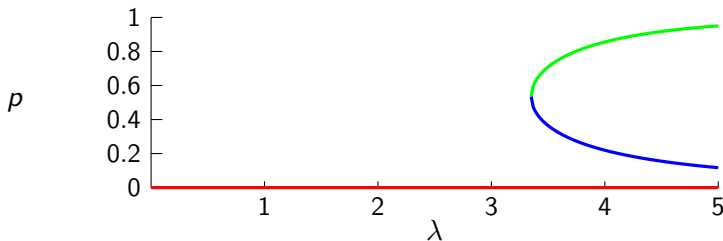
$\mathcal{T}_{\text{bin}} = \{ \text{tree contains infinite binary at root} \}$

$p = \Pr[T_\lambda \in \mathcal{T}_{\text{bin}}]$

metaproperty:

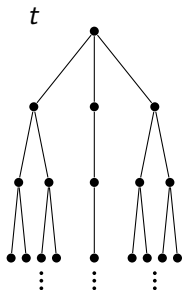
tree $t \in \mathcal{T}_{\text{bin}}$ iff children u, v & $t_u, t_v \in \mathcal{T}_{\text{bin}}$

hence p satisfies $p = 1 - (1 + \lambda p)e^{-\lambda p}$



T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2: Infinite binary tree from root



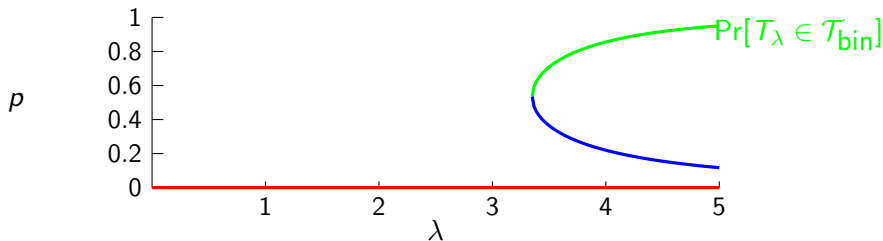
$\mathcal{T}_{\text{bin}} = \{ \text{tree contains infinite binary at root} \}$

$p = \Pr[T_\lambda \in \mathcal{T}_{\text{bin}}]$

metaproperty:

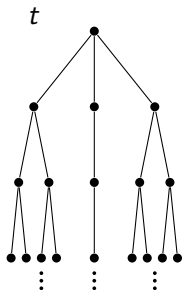
tree $t \in \mathcal{T}_{\text{bin}}$ iff children u, v & $t_u, t_v \in \mathcal{T}_{\text{bin}}$

hence p satisfies $p = 1 - (1 + \lambda p)e^{-\lambda p}$



T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2: Infinite binary tree from root



$\mathcal{T}_{\text{bin}} = \{ \text{tree contains infinite binary at root} \}$

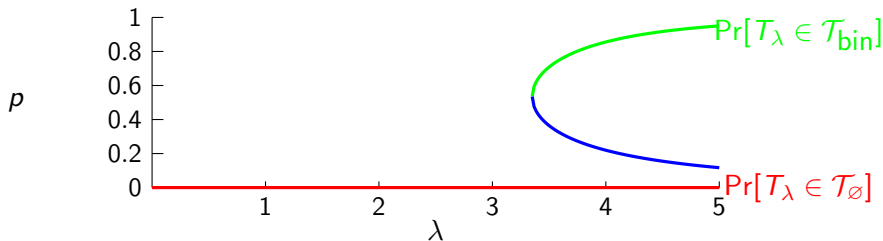
$p = \Pr[T_\lambda \in \mathcal{T}_{\text{bin}}]$

$\mathcal{T}_\emptyset = \emptyset$

metaproperty:

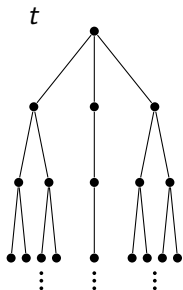
tree $t \in \mathcal{T}_{\text{bin}}$ iff children u, v & $t_u, t_v \in \mathcal{T}_{\text{bin}}$

hence p satisfies $p = 1 - (1 + \lambda p)e^{-\lambda p}$



T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2: Infinite binary tree from root



$\mathcal{T}_{\text{bin}} = \{ \text{tree contains infinite binary at root} \}$

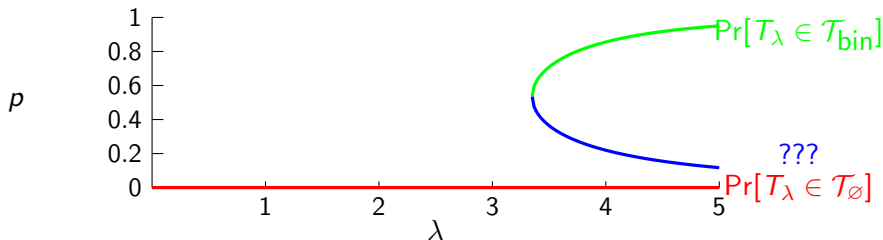
$p = \Pr[T_\lambda \in \mathcal{T}_{\text{bin}}]$

$\mathcal{T}_\emptyset = \emptyset$

metaproperty:

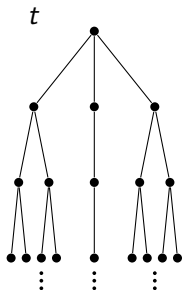
tree $t \in \mathcal{T}_{\text{bin}}$ iff children u, v & $t_u, t_v \in \mathcal{T}_{\text{bin}}$

hence p satisfies $p = 1 - (1 + \lambda p)e^{-\lambda p}$



T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2: Infinite binary tree from root



$\mathcal{T}_{\text{bin}} = \{ \text{tree contains infinite binary at root} \}$

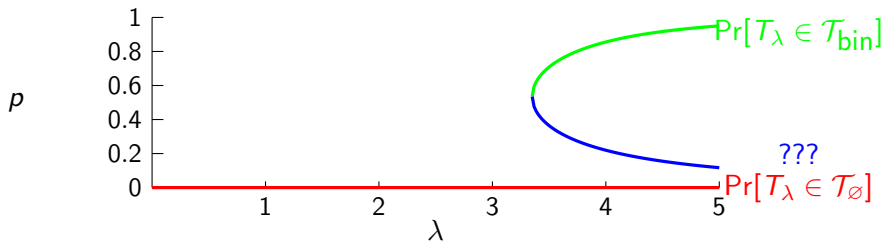
$p = \Pr[T_\lambda \in \mathcal{T}_{\text{bin}}]$

$\mathcal{T}_\emptyset = \emptyset$

metaproperty:

tree $t \in \mathcal{T}_{\text{bin}}$ iff children u, v & $t_u, t_v \in \mathcal{T}_{\text{bin}}$

hence p satisfies $p = 1 - (1 + \lambda p)e^{-\lambda p}$



T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2 (AGAIN): start with metaproperty

metaproperty:

tree $t \in \mathcal{T}^$ iff children u, v & $t_u, t_v \in \mathcal{T}^*$*

T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2 (AGAIN): start with metaproperty

metaproperty:

tree $t \in \mathcal{T}^$ iff children u, v & $t_u, t_v \in \mathcal{T}^*$*

$p = \Pr(T_\lambda \in \mathcal{T}^*)$ satisfies $p = 1 - (1 + \lambda p)e^{-\lambda p}$

T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

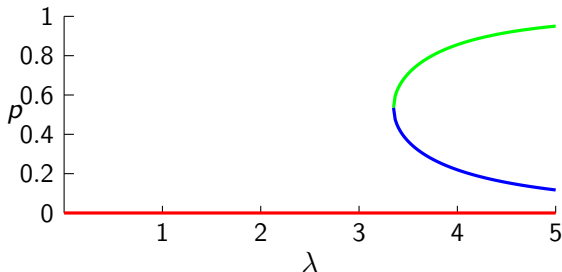
EXAMPLE 2 (AGAIN): start with metaproperty

metaproperty:

tree $t \in \mathcal{T}^$ iff children u, v & $t_u, t_v \in \mathcal{T}^*$*

$p = \Pr(T_\lambda \in \mathcal{T}^*)$ satisfies $p = 1 - (1 + \lambda p)e^{-\lambda p}$

fixed points



T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2 (AGAIN): start with metaproperty

metaproperty:

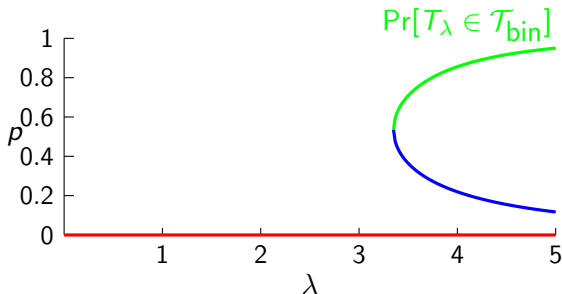
subsets of trees

tree $t \in \mathcal{T}^*$ iff children u, v & $t_u, t_v \in \mathcal{T}^*$

\mathcal{T}_{bin}

$p = \Pr(T_\lambda \in \mathcal{T}^*)$ satisfies $p = 1 - (1 + \lambda p)e^{-\lambda p}$

fixed points



T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2 (AGAIN): start with metaproperty

metaproperty:

subsets of trees

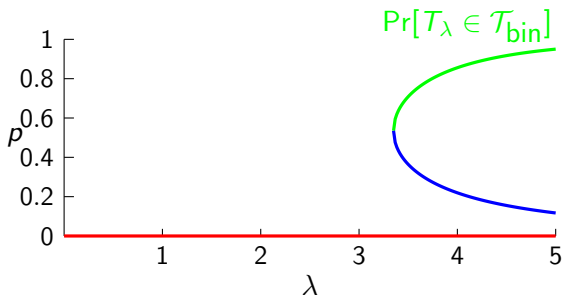
tree $t \in \mathcal{T}^*$ iff children u, v & $t_u, t_v \in \mathcal{T}^*$

\mathcal{T}_{bin}

$p = \Pr(T_\lambda \in \mathcal{T}^*)$ satisfies $p = 1 - (1 + \lambda p)e^{-\lambda p}$

$\mathcal{T}_\emptyset = \emptyset$

fixed points



T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2 (AGAIN): start with metaproperty

metaproperty:

subsets of trees

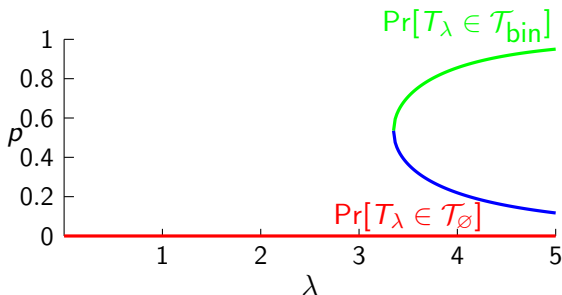
tree $t \in \mathcal{T}^*$ iff children u, v & $t_u, t_v \in \mathcal{T}^*$

\mathcal{T}_{bin}

$p = \Pr(T_\lambda \in \mathcal{T}^*)$ satisfies $p = 1 - (1 + \lambda p)e^{-\lambda p}$

$\mathcal{T}_\emptyset = \emptyset$

fixed points



T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2 (AGAIN): start with metaproperty

metaproperty:

subsets of trees

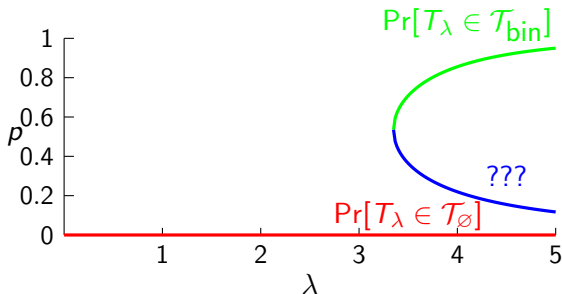
tree $t \in \mathcal{T}^*$ iff children u, v & $t_u, t_v \in \mathcal{T}^*$

\mathcal{T}_{bin}

$p = \Pr(T_\lambda \in \mathcal{T}^*)$ satisfies $p = 1 - (1 + \lambda p)e^{-\lambda p}$

$\mathcal{T}_\emptyset = \emptyset$

fixed points



QUESTION:

Is there set of trees \mathcal{T}' satisfying metaproperty with $\Pr(T_\lambda \in \mathcal{T}') =$ blue line

T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2 (AGAIN): start with metaproperty

metaproperty:

subsets of trees

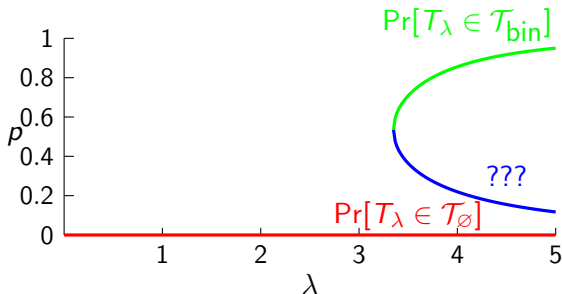
tree $t \in \mathcal{T}^*$ iff children u, v & $t_u, t_v \in \mathcal{T}^*$

\mathcal{T}_{bin}

$p = \Pr(T_\lambda \in \mathcal{T}^*)$ satisfies $p = 1 - (1 + \lambda p)e^{-\lambda p}$

$\mathcal{T}_\emptyset = \emptyset$

fixed points



QUESTION:

Is there set of trees \mathcal{T}' satisfying metaproperty with $\Pr(T_\lambda \in \mathcal{T}') =$ blue line

TREE AUTOMATA

- nodes states '0' and '1'
- automata $A \sim$ rules to determine the state of a parent from the number of children with states '0', '1'.
- examples, n_i number of children state i

at-least-one $A(n_0, n_1) = \mathbf{1}[n_1 \geq 1]$

at-least-two $A(n_0, n_1) = \mathbf{1}[n_1 \geq 2]$

three musketeers

$$A(n_0, n_1) = \mathbf{1}[(n_0 = 0) \wedge (n_1 = 3) \vee ((n_0 = 3) \wedge (n_1 = 0))]$$

DISTRIBUTION MAP for automaton A

- $\Phi_A(p)$ probability a root has state '1' after applying automaton A to children which have indep. state '1' with prob. p , '0' otherwise.
- $\Phi_A(p) = \Pr[A(\text{Po}((1-p)\lambda), \text{Po}(p\lambda)) = 1]$

INTERPRETATION for automaton A

- intuitively (indicator of) set of trees satisfying the automaton.
- $\iota : \mathcal{T} \rightarrow \{0, 1\}$ measurable map. ι interpretation if $\forall t \notin \mathcal{T}_{\text{bad}}$, assigned states $\iota(v) = \iota(t_v)$ compatible with A for some exceptional set $\Pr(T_\lambda \in \mathcal{T}_{\text{bad}}) = 0$

QUESTION

Given a two state automaton A which fixed pts of Φ_A have an interpretation?

T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2 (AGAIN AGAIN): start with automaton

let $A(n_0, n_1) = \mathbf{1}[n_1 \geq 2]$

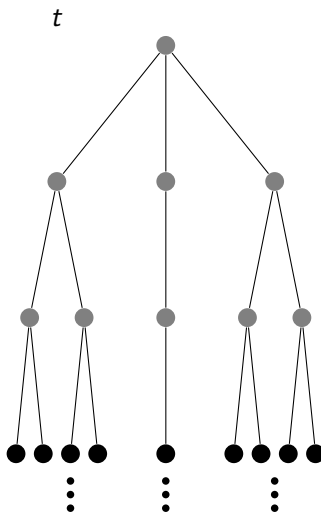
states '0': \circ and '1': \bullet

T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2 (AGAIN AGAIN): start with automaton

let $A(n_0, n_1) = \mathbf{1}[n_1 \geq 2]$

states '0': \circ and '1': \bullet

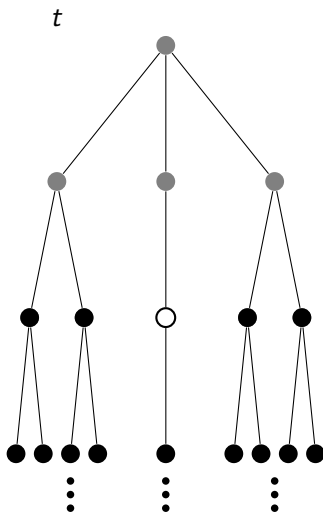


T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2 (AGAIN AGAIN): start with automaton

let $A(n_0, n_1) = \mathbf{1}[n_1 \geq 2]$

states '0': \circ and '1': \bullet

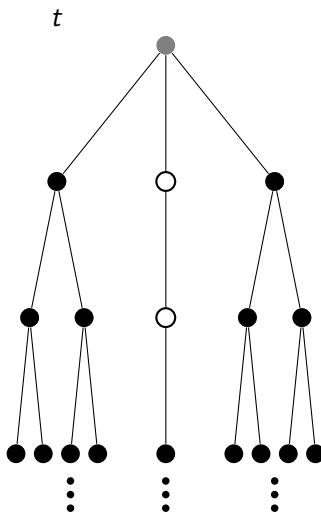


T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2 (AGAIN AGAIN): start with automaton

let $A(n_0, n_1) = \mathbf{1}[n_1 \geq 2]$

states '0': \circ and '1': \bullet

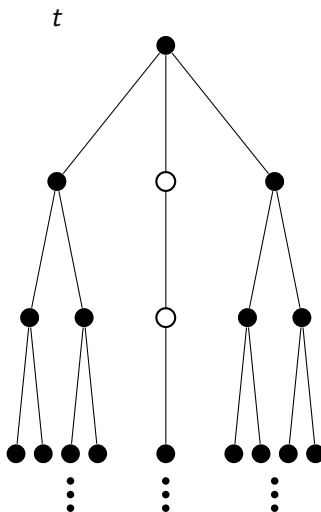


T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2 (AGAIN AGAIN): start with automaton

let $A(n_0, n_1) = \mathbf{1}[n_1 \geq 2]$

states '0': \circ and '1': \bullet



T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2 (AGAIN AGAIN): start with automaton

let $A(n_0, n_1) = \mathbf{1}[n_1 \geq 2]$

states '0': \circ and '1': \bullet

$$\Phi_A(p) = 1 - (1 + \lambda p)e^{-\lambda p}$$

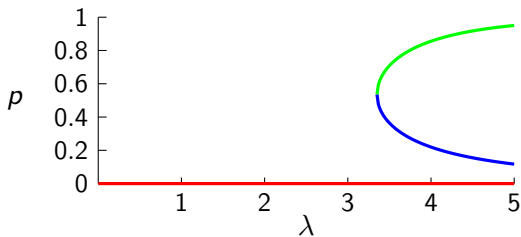
T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2 (AGAIN AGAIN): start with automaton

let $A(n_0, n_1) = \mathbf{1}[n_1 \geq 2]$

states '0': \circ and '1': \bullet

$$\Phi_A(p) = 1 - (1 + \lambda p)e^{-\lambda p}$$



T_λ Galton-Watson tree with offspring distribution $\text{Po}(\lambda)$

EXAMPLE 2 (AGAIN AGAIN): start with automaton

let $A(n_0, n_1) = \mathbf{1}[n_1 \geq 2]$

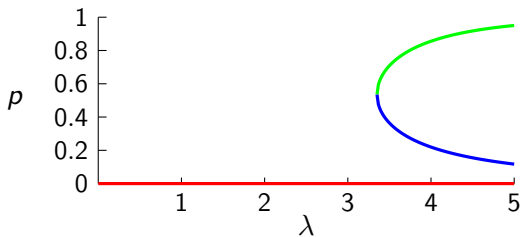
states '0': \circ and '1': \bullet

$$\Phi_A(p) = 1 - (1 + \lambda p)e^{-\lambda p}$$

interpretations for fixed pts

$$\iota_1(v) = \mathbf{1}[t_v \in \mathcal{T}_{\text{bin}}]$$

$$\iota_\emptyset(v) = 0$$



RESULT: there is NO interpretation for blue fixed pts

PIVOTAL VERTICES

- a vertex is pivotal if switching its colour and applying automaton to its ancestors switches the colour at the root.
- parent of a pivotal node is pivotal

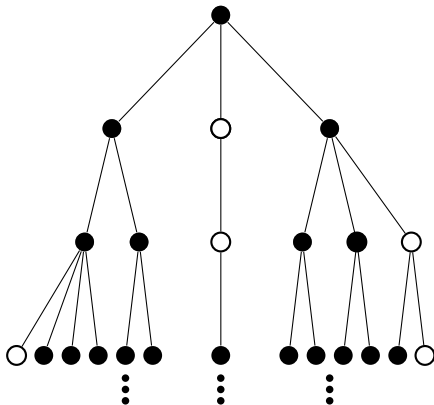
PIVOT TREE for zero-one labelled tree (t, ω)

- t_{piv} is the subgraph induced by pivotal vertices
- Observe t_{piv} is a tree from the root

at-least-two

$$A(n_0, n_1) = \mathbf{1}[n_1 \geq 2]$$

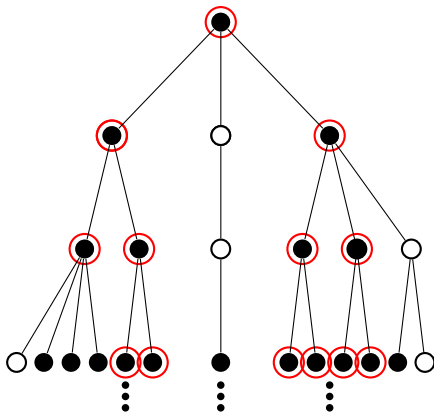
states '0':○ and '1':●



at-least-two

$$A(n_0, n_1) = \mathbf{1}[n_1 \geq 2]$$

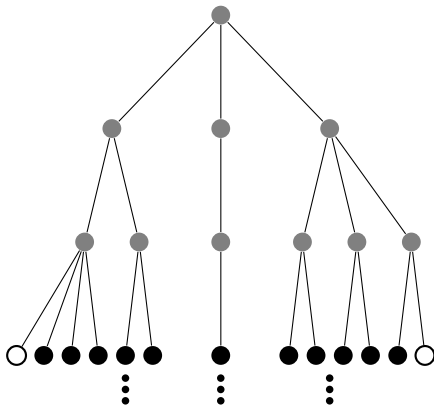
states '0': \circ and '1': \bullet



multiple-that-agree

$$A(n_0, n_1) = \mathbf{1}[(n_0 = 0 \wedge (n_1 \geq 2)) \vee ((n_0 \geq 2) \wedge (n_1 = 0))]$$

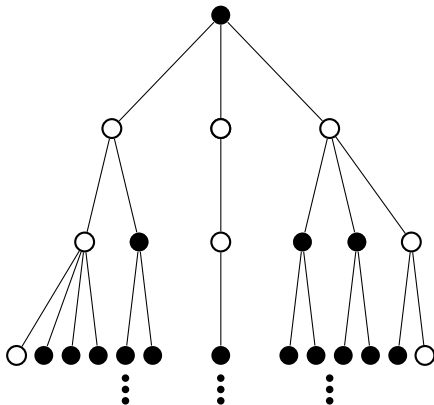
states '0':○ and '1':●



multiple-that-agree

$$A(n_0, n_1) = \mathbf{1}[(n_0 = 0 \wedge (n_1 \geq 2)) \vee ((n_0 \geq 2) \wedge (n_1 = 0))]$$

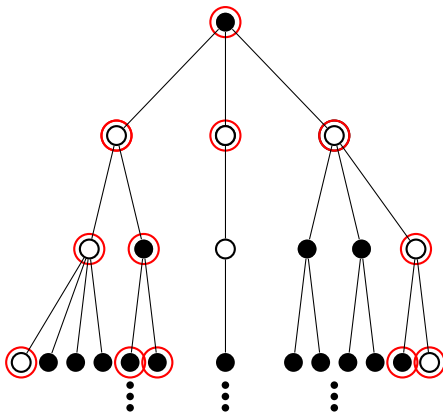
states '0':○ and '1':●



multiple-that-agree

$$A(n_0, n_1) = \mathbf{1}[\left((n_0 = 0) \wedge (n_1 \geq 2)\right) \vee \left((n_0 \geq 2) \wedge (n_1 = 0)\right)]$$

states '0': \circ and '1': \bullet



RANDOM STATE TREE (T, ω) for automaton A and p a fixed pt of Φ_A

- (i) $T \sim T_\lambda$
- (ii) for every n the conditional distribution of $(\omega(v) : d(v) = n)$ given $T|_n$ is i.i.d. $\text{Ber}(p)$.
- (iii) ω almost surely compatible with A

THM (JOHNSON, PODDER, S. 2018+)

Given automata A and p a fixed point of Φ_A . Let $T_{\text{piv}}(\lambda)$ denote the pivot tree of the random state tree of A and p . The fixed point p admits an interpretation iff T_{piv} is subcritical or critical.

BOOLEAN FUNCTIONS AND INFLUENCE

function $f : \{0,1\}^m \rightarrow \{0,1\}$

say $\sigma = \sigma_1 \dots \sigma_m$ **pivotal at i** if flipping i -th bit flips value of f

$l_i(f)$ = **influence of i** is probability the i -th co-ordinate pivotal

$$I(f) = \sum_i l_i(f)$$

DICTATOR $f(\sigma) = \sigma_1$

$$l_1(f) = 1 \text{ and } i > 1 \ l_i = 0 \text{ so } I(f) = 1$$

MAJORITY $f(\sigma) = \mathbf{1}[\sum_i \sigma_i > m/2]$

$l_i(f)$ is probability $\sigma \setminus \sigma_i$ has same #'1's and #'0's, order $m^{-\frac{1}{2}}$. $I(f) \sim m^{1/2}$.

PARITY $f(\sigma) = (-1)^{\sum_i \sigma_i}$

$$l_i(f) = 1 \text{ for each } i. \ I(f) = m.$$

THM (BKKKL)

$\exists c$ such that:

Given $g : \{0, 1\}^m \rightarrow \{0, 1\}$, $x = \mathbb{P}[g(S_1, \dots, S_m) = 1]$,

$S_i \sim \text{Ber}(p)$ independent we have

$$I(g) \geq c \min\{1 - x, x\} \log \frac{1}{\max_i I_i(g)}.$$

'if total influence and max influence small then g nearly constant'

SKETCH T_{piv} subcritical implies p interpretable

SET-UP Condition on $T|_n$ and colour n -level $S_v \sim \text{Ber}(p)$, $g = \omega(\text{root} | T|_n)$

$I_v(g)$ is probability that $v \in T_{piv}$.

$\max_v I_v(g) \leq$ the probability T_{piv} survives to height n .

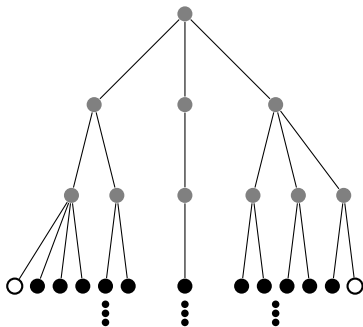
$p = \mathbb{P}[\omega(\text{root}) = 1 \mid T|_n] \rightarrow \{0, 1\}$ almost surely.

SKETCH SWITCHING: T_{piv} supercritical implies non-interpretable.

multiple-that-agree

$$A(n_0, n_1) = \mathbf{1}[(n_0 = 0) \wedge (n_1 \geq 2)) \vee ((n_0 \geq 2) \wedge (n_1 = 0))]$$

Generate a 1-state tree, by taking 0-state tree and switching along path in T_{piv} .

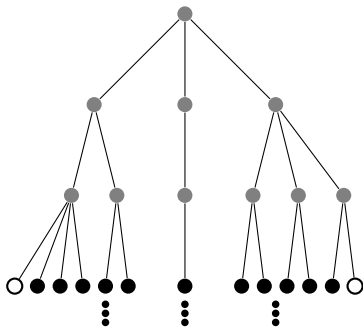


SKETCH SWITCHING: T_{piv} supercritical implies non-interpretable.

multiple-that-agree

$$A(n_0, n_1) = \mathbf{1}[(n_0 = 0) \wedge (n_1 \geq 2)) \vee ((n_0 \geq 2) \wedge (n_1 = 0))]$$

Generate a 1-state tree, by taking 0-state tree and switching along path in T_{piv} .

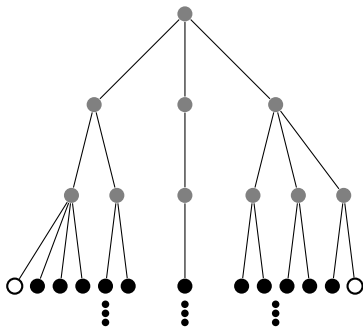


SKETCH SWITCHING: T_{piv} supercritical implies non-interpretable.

multiple-that-agree

$$A(n_0, n_1) = \mathbf{1}[(n_0 = 0) \wedge (n_1 \geq 2)) \vee ((n_0 \geq 2) \wedge (n_1 = 0))]$$

Generate a 1-state tree, by taking 0-state tree and switching along path in T_{piv} .

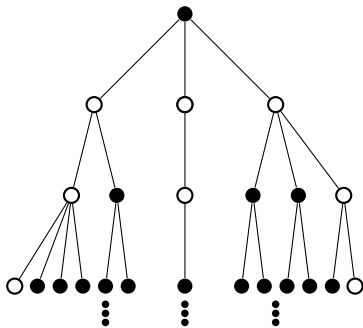


SKETCH SWITCHING: T_{piv} supercritical implies non-interpretable.

multiple-that-agree

$$A(n_0, n_1) = \mathbf{1}[(n_0 = 0) \wedge (n_1 \geq 2) \vee ((n_0 \geq 2) \wedge (n_1 = 0))]$$

Generate a 1-state tree, by taking 0-state tree and switching along path in T_{piv} .

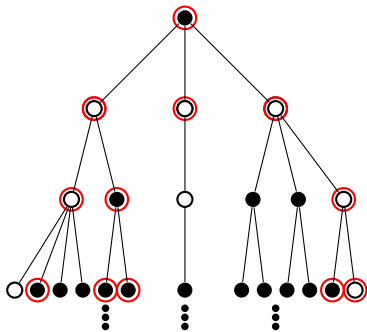


SKETCH SWITCHING: T_{piv} supercritical implies non-interpretable.

multiple-that-agree

$$A(n_0, n_1) = \mathbf{1}[(n_0 = 0) \wedge (n_1 \geq 2) \vee ((n_0 \geq 2) \wedge (n_1 = 0))]$$

Generate a 1-state tree, by taking 0-state tree and switching along path in T_{piv} .

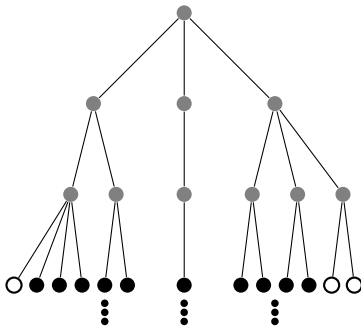
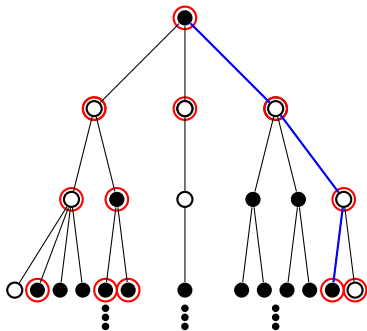


SKETCH SWITCHING: T_{piv} supercritical implies non-interpretable.

multiple-that-agree

$$A(n_0, n_1) = \mathbf{1}[(n_0 = 0) \wedge (n_1 \geq 2) \vee ((n_0 \geq 2) \wedge (n_1 = 0))]$$

Generate a 1-state tree, by taking 0-state tree and switching along path in T_{piv} .

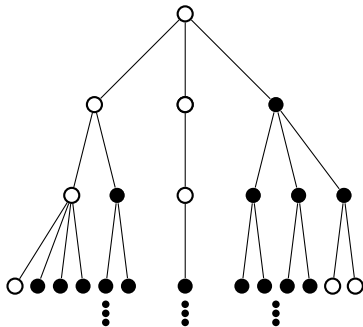
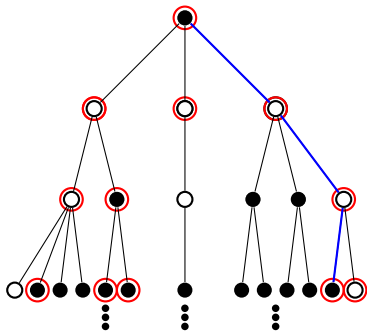


SKETCH SWITCHING: T_{piv} supercritical implies non-interpretable.

multiple-that-agree

$$A(n_0, n_1) = \mathbf{1}[(n_0 = 0) \wedge (n_1 \geq 2) \vee ((n_0 \geq 2) \wedge (n_1 = 0))]$$

Generate a 1-state tree, by taking 0-state tree and switching along path in T_{piv} .

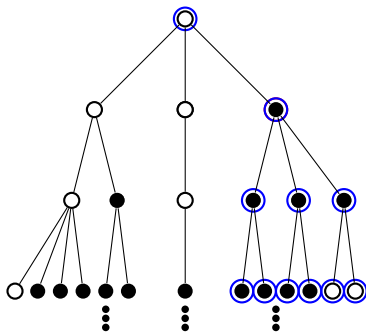
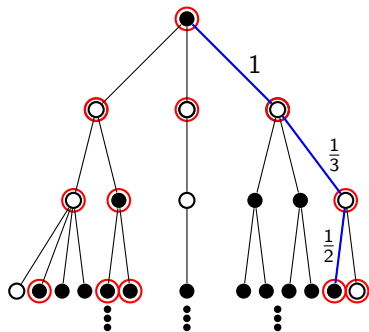


SKETCH SWITCHING: T_{piv} supercritical implies non-interpretable.

multiple-that-agree

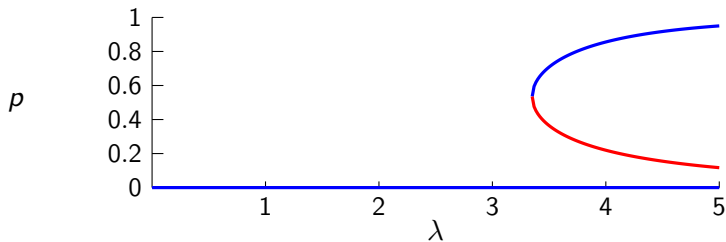
$$A(n_0, n_1) = \mathbf{1}[(n_0 = 0) \wedge (n_1 \geq 2) \vee ((n_0 \geq 2) \wedge (n_1 = 0))]$$

Generate a 1-state tree, by taking 0-state tree and switching along path in T_{piv} .



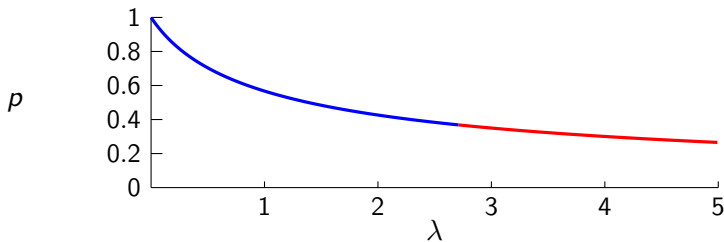
$$A((n_0, n_1)) = \mathbf{1}\{n_1 \geq 2\}$$

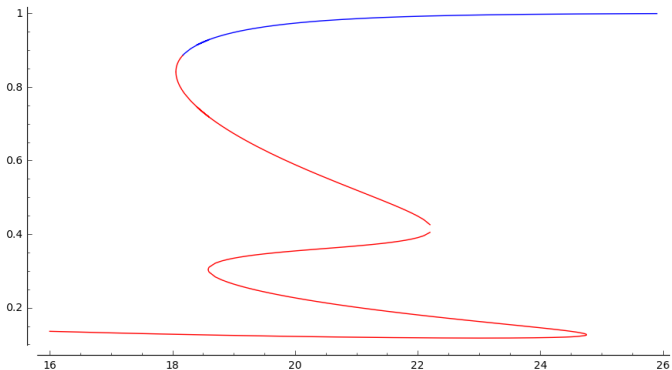
— interpretable
— rogue



$$A((n_0, n_1)) = \mathbf{1}\{n_1 = 0\}$$

— interpretable
— rogue





$$A((n_0, n_1)) = \mathbf{1}\{(n_1 \in \{0, 6, 7\}) \vee (n_1 \geq 12)\}$$

— interpretable
— rogue