

Modeling Dynamic Comparative Public Opinion [DRAFT]*

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The study of public opinion in comparative context has been hampered by data that is sparse, that is, unavailable for many countries and years; incomparable, i.e., ostensibly addressing the same issue but generated by different survey items; or, most often, both. Questions of representation and of policy feedback on public opinion, for example, cannot be explored fully from a cross-national perspective without comparable time-series data for many countries that span their respective times of policy adoption. Recent works (Claassen 2019; Caughey, O’Grady, and Warshaw 2019) have introduced a latent variable approach to the study of comparative public opinion that maximizes the information gleaned from available surveys to overcome issues of missing and incomparable data and allow comparativists to examine the dynamics of public opinion. This paper advances this field of research by presenting a new model and software for estimating latent variables of public opinion from cross-national survey data that yield superior fit and more quantities of theoretical interest than previous works allow.

Keywords: public opinion, item response theory, measurement

A perennial problem confronting scholars of comparative public opinion is that the exact question relevant to their research topic is only infrequently asked, making response data scarce across countries and over time. Although a wealth of surveys may provide information on the state of public opinion on the issue of interest in different countries over the years, significant hurdles exist to putting all of this information to use in any comparative study.

As a result, much work in the study of comparative public opinion examines one cross-section of countries, typically provided by a single cross-national survey (see, e.g., Ansell 2014; Clayton et al. 2019). Some studies capture some element of change over time by taking advantage of multiple waves of an ongoing cross-national survey (see, e.g., Abou-Chadi and Finnigan 2019; Busemeyer, Abrassart and Nezi 2020) or, less commonly, drawing on multiple surveys that employed the same items (see, e.g., Ezrow and Hellwig 2014; Dalton 2017). Only very rarely are scholars able to capitalize on a relevant item that has been frequently asked by the same survey in many countries: see, for example, how Norris (2011, 70-77) took advantage of the Eurobarometer’s longstanding questions on trust in government, and Hagemann, Hobolt and Wratil (2017) that program’s equally

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longstanding item on whether European integration is a “good thing.”

A growing body of work takes a different tack, examining the dynamics of public opinion in one or a few countries over time. One approach of this sort compiles responses to the same survey questions asked on an annual or near-annual basis (see, e.g., Hobolt and Klemmensen 2008; Soroka and Wlezien 2010). But few topics enjoy the benefit of items asked with such regularity, even within only a single country, and concerns that any single question can adequately capture opinion are well placed. These factors have led to works applying the dyad-ratios method elaborated in Stimson (1991), tremendously influential in the study of American politics, beyond the U.S. case: among others, see, Bellucci and Pellegata (2017) on Italian policy mood and Jennings et al. (2017) on British political discontent.

Multicountry Stimson: Carlin et al. (2018); Guinaudeau and Schnatterer (2019)

Latent variable models have become a very useful tool for combining the information available in disparate sources into cross-nationally comparable time series. They have been used to measure many difficult concepts in comparative politics, from democracy (Treier and Jackman 2008; Pemstein, Meserve and Melton 2010) through governance (Arel-Bundock and Mebane 2011) and state capacity (Hanson and Sigman 2019) to judicial independence (Linzer and Staton 2015) and respect for human rights (Fariss 2014).

Two recent works, Claassen (2019) and Caughey, O’Grady and Warshaw (2019), have introduced a latent variable approach to the study of comparative public opinion that maximizes the information gleaned from available surveys to overcome issues of missing and incomparable data and allow comparativists to examine the dynamics of public opinion.

This paper presents DCPO, a new model and software for estimating latent variables of comparative dynamic public opinion from cross-national survey data. The DCPO model yields superior fit and more quantities of theoretical interest than previous works allow. The DCPO software not only estimates this model, but also facilitates the formidable data management tasks involved: collecting and managing the survey datasets, identifying the country-years in which respondents were contacted in each, and preparing the response data for the model.

The paper begins with a detailed description of the DCPO model, building up from the individual level. It continues with a comparison of the performance of the DCPO model to the alternate models of public opinion presented in Claassen (2019) and Caughey, O’Grady and Warshaw (2019).

A discussion of the workflow involved in generating estimates of dynamic comparative public opinion with the DCPO software follows. The paper concludes with notes on how DCPO estimates and those of other latent variable models are best employed in analyses.

Estimating Dynamic Comparative Public Opinion

The logic underlying DCPO’s approach to estimating dynamic comparative public opinion starts at the individual level with the two-parameter logistic item response theory (“2PL IRT”) model. In this model, the probability that individual i responds affirmatively to a dichotomous question q is a function of the individual’s score on the unbounded latent trait, θ'_i , plus two parameters that characterize the question, its *difficulty*, β_q , and its *dispersion*, α_q :

$$\Pr(y_{iq} = 1) = \text{logit}^{-1}\left(\frac{\theta'_i - \beta_q}{\alpha_q}\right) \quad (1)$$

Figure 1 shows how these parameters interact using simulated data. First, both panels show that regardless of the question, as an individual’s score on the unbounded latent trait, θ'_i , increases, the individual becomes more likely to give an affirmative response. Second, the left panel reveals that individuals with the same score on the latent trait are less likely to respond affirmatively to a question as the question’s difficulty, β_q , increases; here, all three questions depicted have a dispersion of 1. When θ'_i and β_q are equal, the probability of an affirmative response is 50%. Third, the right panel shows that as the *dispersion* of the question, α_q , which is proportional to its measurement error, increases, individuals with lower scores on the latent trait become more likely to respond affirmatively and those with higher scores on the latent trait are more likely to respond negatively; in other words, the slope of the curve describing the relationship between the latent trait and the probability of answering affirmatively flattens out.

To aggregate individual-level responses to generate a population-level estimate of public opinion is a matter of integration. If scores on the unbounded latent trait are modeled as normally distributed within the population of country k at time t , integrating Equation 1 yields the population-

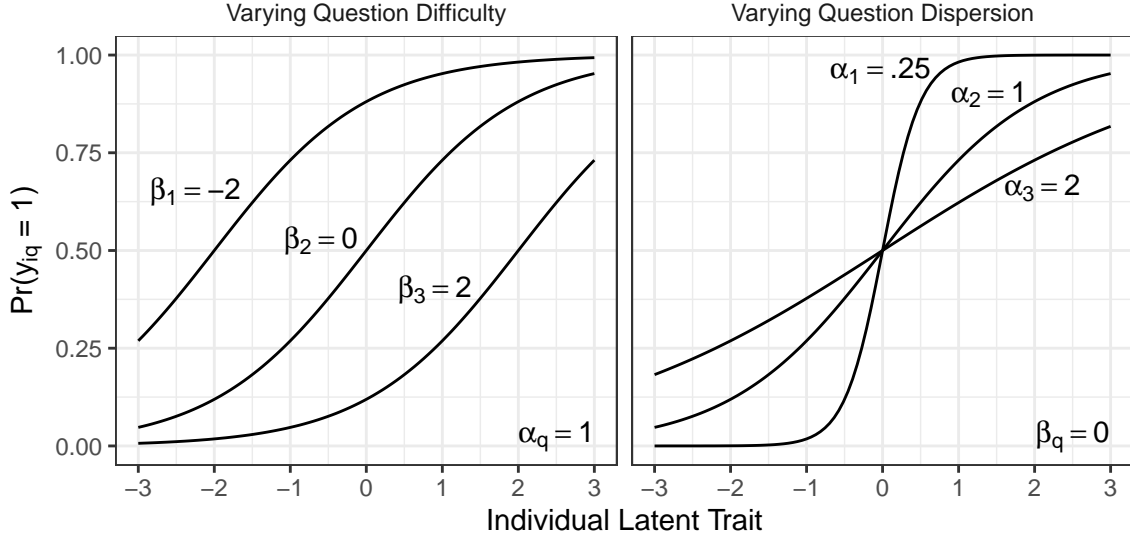


Figure 1: Probability of an Affirmative Response in the 2PL IRT Model

level two-parameter logistic IRT model:

$$\eta_{ktq} = \text{logit}^{-1}\left(\frac{\bar{\theta}'_{kt} - \beta_q}{\sqrt{\alpha_q^2 + (1.7 * \sigma_{kt})^2}}\right) \quad (2)$$

where η_{ktq} is the expected probability that a random person in country k at time t answers question q affirmatively and $\bar{\theta}'_{kt}$ and σ_{kt} are the mean and standard deviation of the unbounded latent trait θ' in the population of country k at time t (see Mislevy 1983, 280; see also, using the two-parameter probit IRT model, McGann 2014, 120).

Further, many survey questions are not simply dichotomous, but instead measure respondents' attitudes on ordinal scales, assumed here to range from 1 to R . To take advantage of this additional information, DCPO uses the cumulative logit formulation, in which the probability to be estimated is not that individual i provided a simple affirmative response, as in Equation 2 above, but instead the probability that individual i provided a response at least as positive as response r for all r greater than 1 and less than or equal to R . This yields a population-level graded response model:

$$\eta_{ktqr} = \text{logit}^{-1}\left(\frac{\bar{\theta}'_{kt} - \beta_{qr}}{\sqrt{\alpha_q^2 + (1.7 * \sigma_{kt})^2}}\right) \quad (3)$$

Again, the differences between Equation 3 for the population-level graded response model and

Equation 2 for the population-level two-parameter logistic IRT model above are in the additional subscripts for r to η and β . In Equation 3, η_{ktqr} is the expected probability that a random individual in country k at time t replies to question q with a response at least as positive as response r . And the additional subscript to β_{qr} indicates that this parameter represents the difficulty of response r of question q , constrained to be increasing for increasing r .

A final addition to the DCPO model of the probability η_{ktqr} takes into account differences in item response bias across countries. Responses to survey questions may vary across different countries not only as a result of differences in attitudes and preferences but also due to translation issues (see, e.g., Davidov and De Beuckelaer 2010), cultural differences in acquiescence and extreme response styles (see, e.g., van Herk, Poortinga and Verhallen 2004), or other idiosyncrasies—recall Tarrow’s (1971, 344) famous observation that the French understood survey questions regarding their ‘interest in politics’ as inquiring about the strength of their partisan affiliations. Rather than simply allowing such problems of equivalence to contribute to the error of the model, they can be addressed explicitly by modeling the country-specific item bias (Stegmuller 2011), denoted here as δ_{kq} . In the context of the population-level graded response model presented in Equation 3, including country-specific item bias can be readily understood as a country-varying shift in the difficulty of each question:

$$\eta_{ktqr} = \text{logit}^{-1}\left(\frac{\bar{\theta}'_{kt} - (\beta_{qr} + \delta_{kq})}{\sqrt{\alpha_q^2 + (1.7 * \sigma_{kt})^2}}\right) \quad (4)$$

Estimating δ_{kq} in Equation 4 requires repeated administrations of question q in country k . When responses to question q are observed in country k in only a single year, δ_{kq} is set to zero by assumption. This means that incorporating these ‘one-shot’ surveys will come at the cost of increasing the error of the model by any country-item bias that is present.¹ Of course, questions that are asked repeatedly over time in only a single country pose no risk of country-specific item bias, so δ_{kq} in such cases can be set to zero without harm.

Before continuing, it is useful to pause to briefly review the relationships of the parameters in this equation. The numerator of Equation 4 implies first that η_{ktqr} , the expected probability of a response at least as positive as r , increases as the mean value of the unbounded latent trait in the population, $\bar{\theta}'_{kt}$, increases and second that η_{ktqr} decreases with more difficult questions and

¹ *Pace Claassen’s* (2019, 5-6) approach, which is also to estimate country-specific item bias, but to discard survey data on questions that were not administered more than once in a given country.

higher response categories, β_{qr} , and particularly so where the item-response bias, δ_{kq} , is positive. The equation’s denominator implies that η_{ktqr} is drawn closer to 50% as the geometric mean of the question’s dispersion, α_q , and the standard deviation in opinion in the population, σ_{kt} , increases: individuals sampled even from a population with a negative mean value on the unbounded latent trait and answering a difficult question will still be more likely to supply more positive responses—and those from a population with a positive mean score on the unbounded latent trait and answering an easy question will be more likely to supply more negative responses—if the question’s dispersion is greater or attitudes are more polarized in the population.

Given this expected probability η_{ktqr} , the total number of survey responses at least as positive as r to each question q in country k at time t , y_{ktqr} , out of the total number of respondents surveyed, n_{ktqr} , is then modeled using the beta-binomial distribution, which allows for an overall dispersion parameter, ϕ , to account for additional sources of survey error (see McGann 2014, 120; Claassen 2019, 4-5).

$$a_{ktqr} = \phi \eta_{ktqr} \quad (5)$$

$$b_{ktqr} = \phi(1 - \eta_{ktqr}) \quad (6)$$

$$y_{ktqr} \sim \text{BetaBinomial}(n_{ktqr}, a_{ktqr}, b_{ktqr}) \quad (7)$$

Then, to estimate the dynamics of comparative public opinion—the change over time—the prior distributions for the public opinion parameters in Equation 4, $\bar{\theta}'_{kt}$ and σ_{kt} , are given by simple local-level dynamic linear models:

$$\bar{\theta}_{kt} \sim N(\bar{\theta}'_{k,t-1}, \sigma_{\bar{\theta}'}^2) \quad (8)$$

$$\sigma_{kt} \sim \text{LN}(\sigma_{k,t-1}, \sigma_{\sigma}^2) \quad (9)$$

By treating these parameters’ values at time $t - 1$ as their expected values at time t , these priors work to smooth estimates of both the mean and the standard deviation of the latent trait in the population of each country over time. If no survey data is available for a particular time, these models provide estimates based on the estimates for previous and subsequent periods. The variances $\sigma_{\bar{\theta}'}^2$ and σ_{σ}^2 are estimated from the data.

Previous efforts to measure cross-national aggregate public opinion as a latent variable have generated estimates on an unbounded scale with mean zero and unit variance (see Claassen 2019, 14; Caughey, O’Grady and Warshaw 2019, 8), and this is the scale of $\bar{\theta}'_{kt}$. However, like many other concepts in political science (see Linzer and Staton 2015, 229), many aspects of public opinion are conceptually bounded, that is, it make sense to think of them as lying along a scale from fully absent to fully present in the relevant public. Take attitudes toward immigration as an example. One hypothetical country’s citizens are, at a given time, absolutely opposed to any immigration, while another’s are completely welcoming to migrants. Actual countries’ levels of public opinion toward immigration are better understood not as unbounded but as falling somewhere between the bounds described by these two hypothetical countries. It is not surprising, then, that earlier works aggregating public opinion within a single country presented results on bounded scales (see Stimson 1991; McGann 2014). Even if the issue in question is less easily viewed as bounded, bounding is still a good idea because it reduces the uncertainty for the estimates for countries at the extremes, that is, those countries whose values should in fact be easier to estimate. As Linzer and Staton (2015, 229) note, “bounding the latent variable may do little harm to the scale and produce more sensible estimates of uncertainty.” DCPO therefore uses the logistic function to transform the unbounded estimates, $\bar{\theta}'_{kt}$, to the unit interval:

$$\bar{\theta}_{kt} = \text{logit}^{-1}(\bar{\theta}'_{kt} - 1) \quad (10)$$

The resulting $\bar{\theta}_{kt}$ is the DCPO estimate of the mean public opinion for country k at time t . Together, σ_{kt} , which provides a measure of polarization in public opinion, and $\bar{\theta}_{kt}$ will typically be the main quantities of interest.

The model is identified by imposing a series of constraints that fix location, direction, and scale. The dispersion parameters α are constrained to be positive—and all survey responses are coded to have the same polarity—to fix direction. One specified difficulty parameter β is set to a value of 1 to identify location, and, as mentioned previously, for each question q the difficulties for increasing response values r are constrained to be increasing. To ensure identification, the sum of δ_{kq} across

all countries k is fixed to zero for each question q :

$$\sum_{k=1}^K \delta_{kq} = 0 \quad (11)$$

Weakly informative priors are placed on most parameters. The dispersion parameters α_q are drawn from standard half-normal prior distributions, that is, the positive half of $N(0, 1)$. The first difficulty parameters for each question, β_{q1} , are drawn from standard normal prior distributions, and the differences between β s for each r for the same question q are drawn from standard half-normal prior distributions. The item-bias parameters δ_{kq} receive normally-distributed hierarchical priors with mean 0 and standard deviations drawn from standard half-normal prior distributions. The initial value of the mean unbounded latent trait for each country, $\bar{\theta}'_{k1}$, is assigned a standard normal prior, as are the transition variances $\sigma_{\theta'}^2$ and σ_{σ}^2 ; the initial value of the standard deviation of the unbounded latent trait for each country, σ_{k1} , is drawn from a standard lognormal prior distribution. The overall dispersion, ϕ , receives a somewhat more informative prior drawn from a gamma(4, 0.1) distribution that yields values that are well scaled for that parameter.

Table 1: Comparing Latent Variable Models of Public Opinion

	McGann (2014)	Claassen (2019) Model 5	Caughey, O’Grady, and Warshaw (2019)	DCPO
Cross-National	No	Yes	Yes	Yes
Ordinal	No	No	Yes	Yes
Country-Varying Question Difficulty	No	Yes	No	Yes
Bounded Mean Public Opinion	Yes	No	No	Yes
Country-Year Public Opinion Std. Deviation	Yes	No	No	Yes

Table 1 summarizes the DCPO model just described in comparison to three alternate ways of modeling public opinion: that presented by McGann (2014), Claassen’s (2019) preferred Model 5, and that employed in Caughey, O’Grady and Warshaw (2019). It reveals that DCPO incorporates the desirable features from each of those three models. DCPO generates estimates of public opinion that are comparable not only over time but also across countries, takes advantage of all of the information encoded in responses to ordinal questions, explicitly accounts for country-item bias by

including terms to capture country-varying question difficulty, provides easily interpreted bounded estimates of mean public opinion, and includes the standard deviation of public opinion by country-year to represent the extent of polarization. The next section provides a demonstration of how these features allow DCPO to provide a better fit to actual data.

Relative Fit

To assess the ability of DCPO to fit public opinion data relative to the two alternative approaches, I use the set of survey questions on support for democracy employed in Claassen (2019, 7-8).

The first three columns of Table 2 present the results of an internal validation test, that is, a test that uses the same data that was used to fit the model (see, e.g., Claassen 2019, 9). In column 1, the mean absolute error (MAE) measures the average difference between the observed proportion of survey respondents in country k in year t with replies to question q with a response at least as positive as response r (or with an affirmative response, in the case of Claassen’s (2019) Model 5) and the model’s predicted proportion across all countries, years, questions, and response categories. Given, however, that Claassen’s (2019) Model 5 is fit to dichotomized data, while the Caughey, O’Grady and Warshaw (2019) and DCPO models are fit to the original, possibly ordinal, survey data, which have higher variance, comparing the MAE across all three models can be somewhat misleading. Therefore, the MAE of the country means—the average proportions answering affirmatively (for Claassen’s (2019) Model 5) or with a response at least as positive as response r (for Caughey, O’Grady and Warshaw (2019) and DCPO) in each country across all years and all questions—serve as a baseline (see Claassen 2019, 11-12); these appear in column 2. The percentage reduction in MAE achieved by each model, listed in column 3, represents the improvement in fit compared to this baseline.

The last three columns of Table 2 present the results of an external validation test, a test of the models’ ability to predict out-of-sample survey responses. This test employs k -fold validation with 10 folds, that is, it randomly divides the available country-year-questions into tenths and then sequentially treats each tenth as a test set to be predicted while fitting the model on a training set consisting of the other nine tenths of the data. Column 4 shows the mean of the MAEs of the ten resulting sets of out-of-sample predictions, while column 5 presents the mean improvement over of

these ten MAEs over their respective ten country-mean MAEs. Column 6 reports the discrepancy between the percentage of all response proportions that fall within their corresponding predictions’ 80% confidence intervals and the expected 80%; it therefore provides a gauge of the accuracy of the models’ estimates of uncertainty. A negative value in this column indicate that less than 80% of the observed out-of-sample survey proportions are included with the model’s predictions’ nominal 80% credible intervals, and so its uncertainty estimates are overconfident, while a positive value indicates the opposite, that more than 80% of the observed proportions fall within the credible intervals and so the model’s uncertainty estimates are overly conservative.

Table 2: Internal and External Validation Tests

	Internal Validation Test			External Validation Test		
	(1)	(2)	(3)	(4)	(5)	(6)
Model	Mean Absolute Error (MAE)	Country- Means MAE	% Im- prove- ment in MAE	<i>k</i> -fold Mean MAE	<i>k</i> -fold Mean % Improve- ment	<i>k</i> -fold 80% Credible Interval Coverage
Claassen (2019)	0.032	0.112	71.4	0.057	51.7	+4.9
Model 5						
Caughey, O’Grady, and Warshaw (2019)	0.049	0.186	73.7	0.063	66.1	-67.4
DCPO	0.031	0.186	83.3	0.055	70.5	-4.5

The internal validation test uses the same data for model fitting and validation; the external validation test employs k-fold validation with 10 folds, randomly dividing the data into tenths and then sequentially treating each tenth as a test set while fitting the model on a training set consisting of the remaining 90 percent of the data. Percent improvement in MAE (column 3) compares the model’s MAE (column 1) and the corresponding country-means MAE (column 2).

Comparing first the results for Claassen’s (2019) Model 5 with those for the Caughey, O’Grady and Warshaw (2019) model reveals that, at least for this set of survey questions, while the former has a smaller MAE, the latter accounts for a larger percentage of the variation in its source data left unexplained by its respective country-means model. This is true both in the internal validation test and on average across the ten folds of the external validation test. Again, this discrepancy is possible due to the greater variance in the survey data when its ordinal nature is preserved, as in the Caughey, O’Grady and Warshaw (2019) model, rather than dichotomized, as in Claassen’s (2019) approach. An examination of column 6 reveals that the Caughey, O’Grady and Warshaw (2019) model yielded predictions with credible intervals that are much too narrow, encompassing

only about 13% of the actual observations, while those for Claassen’s (2019) Model 5 were slightly too conservative.²

However, it is the DCPO model that provides what is unambiguously the best fit. It features the smallest mean absolute error: on average, in the internal validation test its predictions are just over 3 percentage points away from the actual sample observations, and in the external validation test they are only 5.5 percentage points away from the actual out-of-sample observations. In both cases, these represent the largest percentage improvement over the country-means MAE. Further, in the external validation test, the DCPO credible intervals come closest to matching the nominal 80% level of any of the three models.

Usage

Uncertainty

Since ci can be large, need to take uncertainty into account—don’t use means only.

Follow example of work using other latent variables (Crabtree and Fariss 2015) and other measures incorporating uncertainty, like the SWIID (Solt 2020).

Existing work: O’Grady and Abou-Chadi (2019) (doesn’t look like it, but confirm) Claassen (2020a) (no) Claassen (2020b) (no).

Collecting Data

Conclusions

Single-country studies of public opinion have flourished since the release of Stimson’s (1991) algorithm for identifying the common trends in any collection of survey questions that have been repeatedly asked over many years. By extending this work to allow the creation of cross-national pooled time series that identify how public opinion varies both across countries and over time, DCPO has the potential to trigger a new wave of research on the causes and consequences of public opinion that will take into account the experiences of many countries.

Further, this allows a broadly comparative approach that is new to work on the relationship

²The relatively poor uncertainty estimates of the Caughey, O’Grady and Warshaw (2019) model are similar to those found for the dichotomous version presented in Caughey and Warshaw (2015) by Claassen (2019, 12).

between opinion and policy. Existing studies on that examine this topic over time investigate only a single country or, much more rarely, a handful of countries. By examining a broad sample of democracies, DCPO helps researchers avoid conclusions based on the idiosyncrasies of any given political setting and provide a firmer grounding for our understanding of how democracies work and the threats to representation that they face.

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