

# Toric Varieties - Geometria Algebrica F

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# Introduction

## Syllabus

The first part of the course deals with:

- Algebraic tori, their actions and representations
- Affine toric varieties (with monoids)  $\leftrightarrow$  cones in some  $\mathbb{R}^n$
- Projective toric varieties  $\leftrightarrow$  polytopes in some  $\mathbb{R}^n$
- General toric varieties  $\leftrightarrow$  fans in  $\mathbb{R}^n$

We will then deal with

- Toric morphisms
- Divisors/line bundles on toric varieties
- Cox ring of a toric variety

The main reference for this course —“Toric varieties” by Cox, Little, Schenck [CLS11] —is available in the same folder as this PDF.

## What is the course about?

We will work over an algebraically closed field (and we will be lax about the characteristic of the field). In [CLS11] the authors work over  $\mathbb{C}$  but many results hold more generally.

The main goal of the course is understanding toric varieties:

**Definition 0.1** (Toric variety). An  $n$ -dimensional toric variety  $X$  is a (normal)  $k$ -variety equipped with an open immersion of an  $n$ -dimensional torus  $T \subseteq X$ , where  $T \cong (k^*)^n$ , and an action  $T \times T \rightarrow T$  which extends to the whole of  $X$ <sup>a</sup>.

<sup>a</sup>that is, it extends to a  $T \times X \rightarrow X$

**Remark 0.2.** Normality is a standard assumption that we’ll make at some point but some things work without it.

We'll see that the geometry of such an object is encoded in a combinatorial gadget, converting problems in algebraic geometry to problems in combinatorics, which is sometimes convenient.

The opposite reduction is also possible and has been used historically. One of the main examples of a combinatorial problem being solved via the geometry of toric varieties is

**Example 0.3** (McMullen's “ $g$ -conjecture”). The then conjecture, and now theorem, is a characterization of the  $f$ -vectors of simple polytopes<sup>1</sup>.

**Definition 0.4** ( $f$ -vectors). If  $P$  is a polytope, its  **$f$ -vector** is

$$(f_0(P), \dots, f_d(P)), \quad \text{where } d = \dim P$$

and  $f_i(P)$  is the number of  $i$ -dimensional faces. We may set  $f_{-1}(P) = 1$ .

It's reasonable to ask ourselves which  $f$ -vectors can appear. We may define the  **$h$ -vector** by setting

$$\sum_{i=0}^d f_i(t-1)^i = \sum_{i=0}^d h_i t^i, \quad \text{i.e. } h_i = \sum_{j=i}^d (-1)^{j-i} \binom{j}{i} f_j, \quad h_{-1} = 0.$$

It was a known theorem that the  $h$ -vector of a simple polytope is palindromic (i.e.  $h_i = h_{d-i}$ ). From the  $h$ -vector we obtain the  **$g$ -vector** by setting  $g_i = h_i - h_{i-1}$ .

The conjecture was that

**Theorem 0.5** ( $g$ -conjecture).  $f = (f_0, \dots, f_d) \in \mathbb{N}^{d+1}$  is the  $f$ -vector of a simple polytope if

1.  $h_i = h_{d-i}$  for all  $0 \leq i \leq \lfloor d/2 \rfloor$
2.  $g_i \geq 0$  for all  $0 \leq i \leq \lfloor d/2 \rfloor$
3.  $(g_1, \dots, g_{\lfloor d/2 \rfloor})$  is a “Macaulay vector” if, when we write (uniquely)

$$g_i = \binom{n_i}{i} + \dots + \binom{n_{r_i}}{r_i}$$

with  $n_i > n_{i-1} > \dots > n_{r_i}$  then

$$g_{i+1} \leq \binom{n_i + 1}{i + 1} + \dots + \binom{n_{r_i} + 1}{r_i + 1}$$

Stanley proved necessity using toric varieties. He proved that the  $g$ -vector of a simple polytope is the vector of dimensions for some cohomology ring of the associated toric variety.

Later McMullen found a completely combinatorial proof but for some time the only proof of this combinatorial fact passed through the geometry of toric varieties.

<sup>1</sup>for now a simple polytope is the convex hull of a finite subset of  $\mathbb{R}^n$

## Part I

# Geometry of toric varieties

# Chapter 1

## Algebraic tori and their actions

### 1.1 Basic definitions

**Definition 1.1** (Algebraic group). An **algebraic group**  $G$  is a  $k$ -variety equipped with the structure of a “group object” in the category of  $k$ -varieties, i.e. we have two morphisms and a *closed* point

$$m : G \times G \rightarrow G, \quad i : G \rightarrow G, \quad e \in G$$

that satisfy the usual group axioms “diagrammatically”.

**Example 1.2.** Associativity can be expressed “diagrammatically” as

$$\begin{array}{ccc} G \times G \times G & \xrightarrow{(id_G, m)} & G \times G \\ (m, id_G) \downarrow & & \downarrow m \\ G \times G & \xrightarrow{m} & G \end{array}$$

**Remark 1.3.** If  $G = \text{Spec } A$  is an affine variety, a structure of algebraic group is equivalent to a structure of **Hopf algebra** on  $A$ :

$$\begin{aligned} m : G \times G \rightarrow G &\longleftrightarrow \Delta : A \rightarrow A \otimes_k A \\ i : G \rightarrow G &\longleftrightarrow S : A \rightarrow A \\ e : \text{Spec } k \rightarrow G &\longleftrightarrow \varepsilon : A \rightarrow k \end{aligned}$$

and the homomorphisms  $\Delta$ ,  $S$ ,  $\varepsilon$  satisfy the diagrammatic group axioms with the arrows reversed.

**Remark 1.4.** If  $G$  and  $H$  are algebraic groups,  $G \times H$  is also naturally an algebraic group. For example

$$m_{G \times H} : \begin{array}{ccc} (G \times H) \times (G \times H) & \longrightarrow & G \times H \\ ((g_1, h_1), (g_2, h_2)) & \longmapsto & (m_G(g_1, g_2), m_H(h_1, h_2)) \end{array} .$$

**Definition 1.5** (Homomorphism between Algebraic groups). If  $G, H$  are algebraic groups over  $k$  then a homomorphism  $f : G \rightarrow H$  is a morphism of  $k$ -varieties such that

$$\begin{array}{ccc} G \times G & \xrightarrow{(f,f)} & H \times H \\ m \downarrow & & m \downarrow \\ G & \xrightarrow{f} & H \end{array}$$

**Remark 1.6.** If  $G$  and  $H$  are affine, the axioms of homomorphism dualize to what a homomorphism of Hopf algebras should be.

**Remark 1.7.** All algebraic subgroups of an algebraic group are closed subvarieties.

The first example of algebraic group we present is the multiplicative group

**Definition 1.8** (Multiplicative group). The **multiplicative group**, denoted  $\mathbb{G}_m$ , is the  $k$ -variety  $\mathbb{A}^1 \setminus \{0\}$  equipped with the morphisms

$$m : \begin{array}{ccc} \mathbb{G}_m \times \mathbb{G}_m & \longrightarrow & \mathbb{G}_m \\ (a, b) & \longmapsto & ab \end{array}$$

$$i : \begin{array}{ccc} \mathbb{G}_m & \longrightarrow & \mathbb{G}_m \\ a & \longmapsto & 1/a \end{array}$$

$$e = 1 \in \mathbb{A}^1 \setminus \{0\}$$

(we are identifying  $\mathbb{G}_m = k^*$ ).

**Remark 1.9.**  $\mathbb{G}_m$  is affine, indeed  $\mathbb{A}^1 = \operatorname{Spec} k[x]$  and  $\mathbb{A}^1 \setminus \{0\} = \mathbb{A}^1 \setminus V(x) = D(x)$ , thus

$$D(x) = \operatorname{Spec}(k[x])_x = \operatorname{Spec}(k[x, x^{-1}]) = \operatorname{Spec} k[x^{\pm 1}].$$

If you are uncomfortable with “ $x^{-1}$ ” appearing you may simply think of this coordinate ring as

$$\frac{k[x, y]}{(xy - 1)}.$$

**Remark 1.10.** The multiplication  $m : \mathbb{G}_m \times \mathbb{G}_m \rightarrow \mathbb{G}_m$  can be described as the morphism corresponding to the  $k$ -algebra homomorphism

$$\begin{array}{ccc} k[x^{\pm 1}] & \longrightarrow & k[y^{\pm 1}] \otimes_k k[z^{\pm 1}] \\ x & \longmapsto & y \otimes z \end{array}.$$

Similarly, the inverse corresponds to

$$\begin{array}{ccc} k[x^{\pm 1}] & \longrightarrow & k[y^{\pm 1}] \\ x & \longmapsto & y^{-1} \end{array}$$



and the neutral element corresponds to<sup>1</sup>

$$\begin{array}{ccc} k[x^{\pm 1}] & \longrightarrow & k \\ x & \longmapsto & 1 \end{array}$$

**Definition 1.11** (Algebraic tori). The **standard  $n$ -dimensional algebraic torus over  $k$**  is  $\mathbb{G}_m^n$ . An **algebraic torus**<sup>a</sup> is an algebraic group  $T$  which is isomorphic to  $\mathbb{G}_m^n$  for some  $n$ .

<sup>a</sup>we may simply say “torus” if no confusion can occur.

**Remark 1.12.** If  $k = \mathbb{C}$  then  $\mathbb{G}_m^n = (\mathbb{C}^*)^n$ , which is homotopy equivalent to  $(S^1)^n$ . This  $(S^1)^n$  is the “maximal compact subgroup” and is the reason why these groups are called tori in the first place.

## 1.2 Cartier duality

In this section we will define an equivalence of categories between finitely generated abelian groups<sup>2</sup> and a specific type of algebraic groups. Under this correspondence, tori will be “dual” to finitely generated free abelian groups.

### 1.2.1 Group algebra and Cartier dual

The first step is transforming general (finitely generated) abelian groups into (finite type reduced) algebras over  $k$ , the way we do this is via the

**Definition 1.13** (Associated group algebra). If  $M$  is a finitely generated abelian group, the  **$k$ -group algebra of  $M$** , denoted by  $k[M]$ , is the  $k$ -vector space spanned formally by the basis  $\{t^m \mid m \in M\}$  together with the multiplication induced by  $t^m t^{m'} = t^{m+m'}$ .

**Example 1.14.** If  $M = \mathbb{Z}^n$  then

$$k[\mathbb{Z}^n] = k[t^{(1,0,\dots,0)}, t^{(-1,0,\dots,0)}, \dots, t^{(0,\dots,0,-1)}] = k[x_1^{\pm 1}, \dots, x_n^{\pm 1}],$$

which is the coordinate ring of  $(\mathbb{G}_m)^n$ .

<sup>1</sup>recall that a  $k$ -point  $e$  of the variety  $G$  can be seen as a morphism  $\text{Spec } k \rightarrow G$  with set-theoretic image  $e$ .

<sup>2</sup>with no  $p$ -torsion if  $p = \text{char } k \neq 0$

**Fact 1.15.** These formulas give  $k[M]$  a Hopf algebra structure for all finitely generated abelian groups  $M$

$$\begin{aligned}\Delta : \frac{k[M]}{t^m} &\longrightarrow \frac{k[M] \otimes_k [M]}{t^m \otimes t^m} \\ S : \frac{k[M]}{t^m} &\longrightarrow \frac{k[M]}{t^{-m}} \\ \varepsilon : \frac{k[M]}{t^m} &\longrightarrow k\end{aligned}$$

**Remark 1.16.** If we see  $\mathbb{G}_m^n$  as  $\text{Spec } k[\mathbb{Z}^n]$  then the usual algebraic group structure is the one induced by the maps we just mentioned.

**Remark 1.17.** If  $M$  is finitely generated then  $k[M]$  is of finite type over  $k$ . It turns out that it is also reduced when  $M$  has no elements of order divided by the characteristic of  $k$ .

**Definition 1.18** (Cartier dual). If  $M$  is a finitely generated abelian group,  $D(M) := \text{Spec } k[M]$  is the **cartier dual** of  $M$ .

Let us compute the cartier dual of another type of finitely generated abelian group:

**Example 1.19.** If  $M = \mathbb{Z}/n\mathbb{Z}$  then the group algebra is

$$k[\mathbb{Z}/n\mathbb{Z}] = \frac{k[t]}{(t^n - 1)}.$$

$\text{Spec } k[\mathbb{Z}/n\mathbb{Z}]$  then is the closed subvariety (and subgroup) of  $\mathbb{G}_m$  described by the equation  $t^n = 1$ , i.e. the group of the  $n$ -th roots of unity  $\mu_n$

**Definition 1.20** (Group of  $n$ -th roots of unity).  $\mu_n = D(\mathbb{Z}/n\mathbb{Z})$ .

**Remark 1.21.** If  $n = p = \text{char } k$  then  $(t^p - 1) = (t - 1)^p$ , so  $\mu_p$  would be a point. To get any interesting geometric information in this case you need to allow nilpotents, stumbling into the territory of group schemes.

Since we know the structure theorem for finitely generated abelian groups, let us consider the following

**Exercise 1.22.**  $D(M \oplus N) = D(M) \times D(N)$ .

*Solution (Sketch).*

It is enough to note that  $k[M \oplus N] = k[M] \otimes k[N]$  and this follows from the fact that

$$t^{(m,n)} = t^{(m,0)} t^{(0,n)}.$$

□

It follows that

**Proposition 1.23.** For a general finitely generated abelian group

$$M = \mathbb{Z}^n \oplus \mathbb{Z}/n_1\mathbb{Z} \oplus \cdots \oplus \mathbb{Z}/n_k\mathbb{Z}$$

the Cartier dual is

$$D(M) \cong \mathbb{G}_m^n \times \mu_{n_1} \times \cdots \times \mu_{n_k}.$$

Since we hope to find an equivalence of categories, let us try to understand another way in which we can view these types of algebraic groups.

**Remark 1.24.**  $\mathrm{GL}_n$  is an algebraic group: It is a variety when seen as<sup>3</sup>  $\mathbb{A}^{n^2} \setminus V(\det)$  and it can be checked that matrix multiplication and inversion are morphisms of  $k$ -varieties.

**Definition 1.25** (Diagonalizable group). An algebraic group is called **diagonalizable** if it is isomorphic to a (closed) subgroup of  $\mathrm{Diag}_n \subseteq \mathrm{GL}_n$  for some  $n$

**Remark 1.26.**  $\mathrm{Diag}_n \cong \mathbb{G}_m^n$  and the isomorphism is given by ignoring the entries which aren't on the diagonal.

**Remark 1.27.**  $D(M)$  is diagonalizable, because

$$D(M) \cong \mathbb{G}_m^n \times \mu_{n_1} \times \cdots \times \mu_{n_k} \subseteq \mathbb{G}_m^{n+k} \cong \mathrm{Diag}_{n+k}.$$

**Proposition 1.28.** If  $\varphi : M \rightarrow N$  is a group homomorphism

$$k[\varphi] : \begin{array}{ccc} k[M] & \longrightarrow & k[N] \\ t^m & \longmapsto & t^{\varphi(m)} \end{array}$$

is a  $k$ -algebra homomorphism and so  $D(\varphi) = \mathrm{Spec}(k[\varphi]) : D(N) \rightarrow D(M)$  is a morphism of  $k$ -varieties.

This is actually a homomorphism of algebraic groups and the association is functorial.

Cartier duality is that statement that

$$D : (\mathrm{fin.gen.AbGps}_{\mathrm{no } p\text{-tors}})^{op} \rightarrow (\mathrm{Diag.AlgGps}),$$

where  $p = \mathrm{char } k$ , is an equivalence of categories. To prove this fact we will build an inverse functor

<sup>3</sup>the determinant is a homogeneous polynomial of degree  $n$

### 1.2.2 Character group

To find the “inverse” functor, we want to build a finitely generated abelian group from an algebraic group. The construction that will end up being what we want is the *group of characters*

**Definition 1.29** (Character). A **character** of an algebraic group  $G$  is a homomorphism  $\chi : G \rightarrow \mathbb{G}_m$ . We denote the set of all characters  $X(G)$ .

**Remark 1.30.** The characters of an algebraic group  $G$  form an abelian group via:

$$\chi_1 : G \rightarrow \mathbb{G}_m, \quad \chi_2 : G \rightarrow \mathbb{G}_m \quad \rightsquigarrow \quad \chi_1 \cdot \chi_2 : G \xrightarrow{(\chi_1, \chi_2)} \mathbb{G}_m \times \mathbb{G}_m \xrightarrow{m} \mathbb{G}_m.$$

From now on  $X(G)$  will always also have the group structure.

**Example 1.31.** If  $G = \mathbb{G}_m$  then for  $k \in \mathbb{Z}$

$$\begin{array}{ccc} \mathbb{G}_m & \longrightarrow & \mathbb{G}_m \\ a & \longmapsto & a^k \end{array}$$

is a character, which corresponds to

$$\begin{array}{ccc} k[x^{\pm 1}] & \longrightarrow & k[x^{\pm 1}] \\ x & \longmapsto & x^k \end{array}$$

**Example 1.32.** If  $G = \mathbb{G}_m^n$  and  $(k_1, \dots, k_n) \in \mathbb{Z}^n$  then

$$\begin{array}{ccc} \mathbb{G}_m^n & \longrightarrow & \mathbb{G}_m \\ (a_1, \dots, a_n) & \longmapsto & a_1^{k_1} \cdots a_n^{k_n} \end{array}.$$

We will see that these are all the characters on the torus.

**Example 1.33.** If  $G = \mathrm{GL}_n$  the determinant is a character

$$\begin{array}{ccc} \mathrm{GL}_n & \longrightarrow & \mathbb{G}_m \\ M & \longmapsto & \det M \end{array}$$

**Definition 1.34** (Group-like elements). A **group-like element** in a Hopf algebra  $A$  is an  $a \in A$  such that  $a$  is invertible and  $\Delta(a) = a \otimes a$ .

**Lemma 1.35.** If  $G = \mathrm{Spec} A$  is an affine algebraic group, characters of  $G$  correspond to group-like elements of  $A$ .

*Proof.*

A character  $\chi : \mathrm{Spec} A \rightarrow \mathbb{G}_m$  corresponds to a homomorphism of Hopf algebras  $k[x^{\pm 1}] \rightarrow A$  which sends  $x$  to some  $a \in A$ . The homomorphism is uniquely determined by  $a$  so we just need to check which elements of  $A$  can be the image of  $x$ . Since  $x$  has an inverse,  $a \in A^*$  and  $\Delta(a) = a \otimes a$  because  $\Delta(x) = x \otimes x$ . On the other hand, an element which satisfies those properties does yield a Hopf-algebra homomorphism, so we are done.  $\square$

### 1.2.3 Proof of Cartier duality

**Remark 1.36.** Constructing the character group extends to a functor

$$X : (\text{AlgGps}) \rightarrow (\text{AbGps})$$

via pullback, i.e. the map  $f : G \rightarrow H$  becomes

$$\begin{array}{ccc} X(f) & X(H) & \longrightarrow & X(G) \\ & \chi & \longmapsto & \chi \circ f \end{array}$$

Now that we have built our candidate for the inverse functor, all we need to show is that the two compositions are naturally isomorphic to the identity.

**Proposition 1.37.** The map  $M \rightarrow X(D(M))$  which to an element  $m \in M$  assigns the character which corresponds to the Hopf-Algebra homomorphism

$$\begin{array}{ccc} k[x^{\pm 1}] & \longrightarrow & k[M] \\ x & \longmapsto & t^m \end{array}$$

is a natural isomorphism.

*Proof.*

It is easy to check that  $M \rightarrow X(D(M))$  is a group homomorphism.

**inj.** If  $m_1 \neq m_2$  then  $t^{m_1} \neq t^{m_2}$  and so the induced Hopf algebra homomorphisms are different.

**onto** Given lemma (1.35), we just need to show that the only group-like elements of  $k[M]$  are the  $t^m$  for  $m \in M$ . Let us take any element  $a = \sum_{m \in M} a_m t^m$  of  $k[M]$  and impose that  $\Delta(a) = a \otimes a$ , then

$$\begin{aligned} \Delta(a) &= \Delta\left(\sum_{m \in M} a_m t^m\right) = \sum_{m \in M} a_m \Delta(t^m) = \sum_{m \in M} a_m t^m \otimes t^m \\ a \otimes a &= \left(\sum_{m \in M} a_m t^m\right) \otimes \left(\sum_{m' \in M} a_{m'} t^{m'}\right) = \left(\sum_{m, m' \in M} a_m a_{m'} t^m \otimes t^{m'}\right). \end{aligned}$$

Since the  $t^m \otimes t^{m'}$  form a basis of  $k[M] \otimes k[M]$ , if  $m \neq m'$  then  $a_m a_{m'} = 0$ . Thus there exists at most one nonzero coefficient  $a_{m_0}$  and  $a = a_{m_0} t^{m_0}$ , but  $a$  must be invertible so  $a_{m_0} \neq 0$ . Also, again imposing the comultiplication condition,  $a_{m_0}^2 = a_{m_0}$ , which implies that  $a_{m_0} = 1$  since it isn't 0.

□

**Corollary 1.38.** For  $M = \mathbb{Z}^n$  we get  $X(\mathbb{G}_m^n) \cong \mathbb{Z}^n$  and the characters are the ones we wrote above<sup>a</sup>.

---


$$^a(a_1, \dots, a_n) \mapsto a_1^{k_1} \dots a_n^{k_n}$$

Let us now consider the other composition:

**Remark 1.39.** There is a canonical map  $\text{Spec } A = G \rightarrow D(X(G))$ .

*Proof.*

Let  $\chi : G \rightarrow \mathbb{G}_m$  be a character of  $G$ . Upon composition with the inclusion  $\mathbb{G}_m \subseteq \mathbb{A}^1$  we get a morphism in  $\text{Hom}(G, \mathbb{A}^1)$  and this set is canonically identified with  $A$ , so we get a map

$$\varphi : X(G) \rightarrow A.$$

This is a group homomorphism, which induces the desired map

$$\begin{array}{ccc} k[X(G)] & \longrightarrow & A \\ t^m & \longmapsto & \varphi(m) \end{array}.$$

□

**Lemma 1.40.** Let  $G$  be an abstract group (no algebraic structure) and  $\mathbb{K}$  be any field, if we take  $\phi_i : G \rightarrow \mathbb{K}^*$  distinct group homomorphisms then the  $\phi_i$  are linearly independent in  ${}^a \text{Fun}(G, \mathbb{K})$

<sup>a</sup>not homomorphisms of any kind, just set theoretic functions. It is a  $\mathbb{K}$ -vector space by looking at the structure on the codomain.

*Proof.*

Let us assume by contradiction that we have a non-trivial relation  $\sum a_i \phi_i = 0$  for some  $a_i \in \mathbb{K}$  and let's assume that this relation has minimal length.

By definition,  $\sum a_i \phi_i(gh) = \sum a_i \phi_i(g) \phi_i(h) = 0$  for all  $g, h \in G$ . Pick  $\bar{g} \in G$  such that  $\phi_1(\bar{g}) \neq \phi_2(\bar{g})$  (which we can do because  $\phi_1 \neq \phi_2$ ). Setting  $g = \bar{g}$  in the expression we get

$$\sum a_i \phi_i(\bar{g}h) = \sum \underbrace{a_i \phi_i(\bar{g})}_{\in \mathbb{K}} \phi_i(h) = 0$$

that is,  $\sum a_i \phi_i(\bar{g}) \phi_i = 0$  is an equality in  $\text{Fun}(G, \mathbb{K})$ . Multiplying the initial relation by  $\phi_1(\bar{g})$  we get

$$\sum a_i \phi_1(\bar{g}) \phi_i = 0$$

subtracting the two functions we get

$$\sum a_i (\phi_1(\bar{g}) - \phi_i(\bar{g})) \phi_i = 0$$

which is a shorter (look at  $i = 1$ ) non-trivial (look at  $i = 2$ ) relation, which is a contradiction. □

**Proposition 1.41.** If  $G$  is diagonalizable then the homomorphism  $G \rightarrow D(X(G))$  is an isomorphism and  $X(G)$  is finitely generated. Moreover, if  $\text{char } k = p \neq 0$  then  $X(G)$  has no  $p$ -torsion.

*Proof.*

Take a diagonalizable group  $G$  and consider it as a closed subgroup  $G \subseteq \mathbb{G}_m^n = \text{Diag}_n$ .

Since it is *closed* and  $\mathbb{G}_m^n$  is affine,  $G = \text{Spec } A$  is also affine and we get a surjection<sup>4</sup>  $k[\mathbb{Z}^n] \rightarrow A$ .

Now note that we have  $\mathbb{Z}^n \cong X(\mathbb{G}_m^n) \rightarrow X(G)$  and the surjection above factors

$$k[\mathbb{Z}^n] \rightarrow k[X(G)] \rightarrow A$$

since the composition is surjective,  $k[X(G)] \rightarrow A$  is also surjective. To conclude the first part of the proof then, we just need to show that the map is also injective, but this follows from the lemma.

Now we concern ourselves with finite generation. Because of the isomorphism we just proved, the factorization

$$k[\mathbb{Z}^n] \rightarrow k[X(G)] \rightarrow A$$

now shows that  $k[\mathbb{Z}^n] \rightarrow k[X(G)]$  is surjective because  $k[\mathbb{Z}^n] \rightarrow A$  was. This lets us conclude that  $\mathbb{Z}^n \rightarrow X(G)$  is surjective (and thus  $X(G)$  is finitely generated) because otherwise  $k[\mathbb{Z}^n] \rightarrow k[X(G)]$  wouldn't be.

Suppose now that  $0 \neq p = \text{char } k$ . Let  $\chi \in X(G)$  be a  $p$ -torsion character, i.e.  $\chi^p = 1$ , that is,  $\chi(g)^p = 1$  for all  $g \in G$ . Because  $x^p - 1 = (x - 1)^p$  in characteristic  $p$ ,  $\chi(g) = 1$  for all  $g \in G$ , showing that  $\chi = 1$  and thus the absence of  $p$ -torsion.  $\square$

**Corollary 1.42.** A connected subgroup of a torus is a torus.

*Proof.*

If  $G \subseteq \mathbb{G}_m^n$ , from the proposition we get that

$$G = D(X(G)) \cong \mathbb{G}_m^k \times \mu_{n_1} \times \cdots \times \mu_{n_r},$$

but if  $G$  is connected then all  $n_i$  must be 1 because otherwise that product would be disconnected.  $\square$

Having now verified both compositions we may formally state Cartier duality as a theorem now

**Theorem 1.43** (Cartier duality). The functor

$$D : (\text{fin.gen.AbGps}_{\text{no } p\text{-tors}})^{op} \rightarrow (\text{Diag.AlgGps}),$$

where  $p = \text{char } k$ , is an equivalence of categories. The inverse functor is  $X$ .

**Remark 1.44.** If we allow group schemes the problem with  $p$ -torsion doesn't come up.

<sup>4</sup>the surjection corresponds to taking  $k[\mathbb{Z}^n] \rightarrow k[\mathbb{Z}^n]/I$  where  $I$  is the ideal which defines  $G$  as  $V(I) \subseteq \mathbb{G}_m^n$ .

## Image of map between tori is a torus

**Proposition 1.45.** Let  $f : T_1 \rightarrow T_2$  be a homomorphism of tori, then the image is also a torus.

*Proof.*

Since  $T_1 \rightarrow D(X(T_1))$  and  $T_2 \rightarrow D(X(T_2))$  are isomorphisms and the appropriate diagrams commute, we have that  $f$  is induced by the corresponding homomorphism  $M_2 \rightarrow M_1$  where  $M_1 = X(T_1)$  and  $M_2 = X(T_2)$ .

Let  $K = \ker(M_2 \rightarrow M_1)$  and note that  $M_2 \twoheadrightarrow M_2/K \hookrightarrow M_1$ . We claim that  $L := \ker(k[M_2] \rightarrow k[M_1])$  is the ideal  $I = (t^m - t^{m'} \mid \varphi(m) = \varphi(m'))$ :

$I \subseteq L$  It suffices to note that the generators of  $I$  lie in  $L$ , indeed  $t^m - t^{m'} \mapsto t^{\varphi(m)} - t^{\varphi(m')} = 0$ .

$L \subseteq I$  Let  $\sum_{m \in M_2} a_m t^m$  be a general element of  $L$ , then

$$\sum_{n \in M_1} \left( \sum_{m \in \varphi^{-1}(n)} a_m \right) t^n = 0 \xrightarrow{\text{lin.ind.}} \sum_{m \in \varphi^{-1}(n)} a_m = 0 \quad \forall n \in M_1$$

For a fixed  $n$ , if  $a_{m_1}, a_{m_2} \neq 0$  for some  $m_1, m_2 \in \varphi^{-1}(n)$  (if all are 0 ok, just one nonzero is impossible given that the whole sum is zero) we can write

$$\sum a_m t^m = \underbrace{a_{m_1}(t^{m_1} - t^{m_2})}_{\in I} + \underbrace{(a_{m_2} + a_{m_1})t^{m_2} + \sum_{m \neq m_1, m_2} a_m t^m}_{\text{removed term with } t^{m_1}}$$

iterating this process shows the other inclusion.

Thus we can factor  $k[M_2] \rightarrow k[M_1]$  as  $k[M_2] \twoheadrightarrow k[M_2]/I \hookrightarrow k[M_1]$ . One can check that  $k[M_2]/I = k[M_2/K]$ . Since  $M_2/K \hookrightarrow M_1$  and  $M_1$  is a free abelian group,  $M_2/K$  is also free and thus

$$T_1 \twoheadrightarrow \underbrace{\text{Spec } k[M_2/K]}_{\text{torus}} \hookrightarrow T_2$$

where to check injectivity we use  $k[M_2] \rightarrow k[M_2/K]$  surjective and to check surjectivity, because subgroups are closed, it is enough to check for dominance and indeed  $k[M_2/K] \rightarrow k[M_1]$  is injective.  $\square$

**Remark 1.46.** We could have just said that the image is a connected subgroup of a torus and thus is also a torus, but the proof given is more instructive.

## 1.3 1 parameter subgroups and lattices

We now define a *dual* notion to characters (we will make this precise shortly).

**Definition 1.47** (1-parameter subgroup). A **1-parameter subgroup** (or **cocharacter** or **1-ps**) of an algebraic group  $G$  is a homomorphism  $\lambda : \mathbb{G}_m \rightarrow G$ .



**Exercise 1.48.** A non-trivial quotient of  $\mathbb{G}_m$  is isomorphic to  $\mathbb{G}_m$ . More generally, a non-trivial quotient of a torus is isomorphic to a torus.

**Remark 1.49.** If  $\lambda : \mathbb{G}_m \rightarrow G$  is a homomorphism, the image is isomorphic to  $\mathbb{G}_m / \ker \lambda$  by the first isomorphism theorem and because of the above exercise this quotient is again isomorphic to  $\mathbb{G}_m$ .

**Remark 1.50.** 1-parameter subgroups of  $G$  form a group via

$$\lambda_1 \cdot \lambda_2 : \mathbb{G}_m \xrightarrow{(\lambda_1, \lambda_2)} G \times G \xrightarrow{m} G.$$

**Remark 1.51.** If  $G$  is abelian the group of 1-ps is abelian.

**Proposition 1.52.** If  $(h_1, \dots, h_n) \in \mathbb{Z}^n$ , the morphism

$$\begin{array}{ccc} \mathbb{G}_m & \longrightarrow & \mathbb{G}_m^n \\ a & \longmapsto & (a^{h_1}, \dots, a^{h_n}) \end{array}$$

is a 1-ps of  $\mathbb{G}_m^n$ . Moreover, all 1-ps of  $\mathbb{G}_m^n$  are of this form. In particular, the group of 1-ps of  $\mathbb{G}_m^n$  is isomorphic to  $\mathbb{Z}^n$ .

*Proof.*

If  $\lambda : \mathbb{G}_m \rightarrow \mathbb{G}_m^n$  is a 1-ps then the compositions with the projections  $\pi_i : \mathbb{G}_m^n \rightarrow \mathbb{G}_m$  yield characters of  $\mathbb{G}_m$ , so  $\pi_i \circ \lambda(a) = a^{h_i}$  for some  $h_i \in \mathbb{Z}$ .  $\square$

**Remark 1.53.** In general, if  $f : \mathbb{G}_m^{n_1} \rightarrow \mathbb{G}_m^{n_2}$  is a homomorphism then there are  $k_1, \dots, k_{n_1} \in \mathbb{Z}^{n_2}$  such that

$$f(a_1, \dots, a_{n_1}) = a_1^{k_1} \cdots a_{n_1}^{k_{n_1}}$$

where  $a^{(k_{1,h}, \dots, k_{n_2,h})} = (a^{k_{1,h}}, \dots, a^{k_{n_2,h}})$ .

### 1.3.1 Character- and cocharacter- lattice

We have seen that the group of characters and cocharacters are free abelian groups of finite rank, let us formalize this by introducing lattices

**Definition 1.54** (Lattice). A **lattice** is a free abelian group of finite rank.

**Definition 1.55** (Character lattice). The **character lattice** of a torus  $T$  is the group of characters  $M = X(T)$ .

**Definition 1.56** (Cocharacter lattice). The **cocharacter lattice** of a torus  $T$  is the group of 1-parameter subgroups  $N$ .

**Notation.** If  $m \in M$  we may write  $\chi^m$  to mean the character  $m$ , similarly for  $n \in N$  and  $\lambda^n$ . While this is technically redundant, it is useful when we identify  $M$  and  $N$  with the abstract  $\mathbb{Z}^k$ .

**Proposition 1.57.** The lattices  $M$  and  $N$  are dual.

*Proof.*

We have a symmetric  $\mathbb{Z}$ -bilinear pairing

$$\langle \cdot, \cdot \rangle : \begin{array}{ccc} M \times N & \longrightarrow & \mathbb{Z} \\ (\chi, \lambda) & \longmapsto & k \end{array}$$

where  $k$  is the unique integer such that  $\chi \circ \lambda(a) = a^k$ .

One can check that this becomes<sup>5</sup> the standard pairing  $\mathbb{Z}^n \times \mathbb{Z}^n \rightarrow \mathbb{Z}$  given by the dot product upon choosing an isomorphism  $T \cong \mathbb{G}_m^n$ . In particular this is a non-degenerate pairing.  $\square$

**Remark 1.58.** There is an isomorphism of groups

$$\begin{array}{ccc} N \otimes_{\mathbb{Z}} k^* & \longrightarrow & T \\ u \otimes t & \longmapsto & \lambda^u(t) \end{array}$$

this amounts to saying that  $T \cong \mathbb{G}_m^{\text{rk } N}$ .

**Notation.** From now on, the torus with cocharacter lattice  $N$  will be denoted by  $T_N$ . It's "the" torus because  $T_N = D(N^*)$  where  $N^*$  is the dual of  $N$  in the sense we had above.

**Remark 1.59.** Fixing an isomorphism  $T_N \cong \mathbb{G}_m^n$  is equivalent to fixing a  $\mathbb{Z}$ -basis of  $N$  (or  $M$  equivalently).

## 1.4 Actions and representations

**Definition 1.60.** An **action** of an algebraic group  $G$  on a variety  $X$  is a morphism  $\mu : G \times X \rightarrow X$  that satisfies the diagrammatic axioms of an action:

$$\begin{array}{ccc} G \times G \times X & \xrightarrow{(id_G, \mu)} & G \times X \\ (m, id_X) \downarrow & & \downarrow \mu \\ G \times X & \xrightarrow{\mu} & X \end{array} \qquad \begin{array}{ccc} \text{Spec } k \times X & \xrightarrow{\sim} & X \\ (e, id_X) \downarrow & \nearrow \mu & \\ G \times X & & \end{array}$$

<sup>5</sup> $\chi^{(k_1, \dots, k_n)}(a_1, \dots, a_n) = a_1^{k_1} \dots a_n^{k_n}$  and  $\lambda^{(k_1, \dots, k_n)}(a) = (a^{k_1}, \dots, a^{k_n})$ , thus

$$\chi^{e_j}(\lambda^{e_i}(a)) = \chi^{e_j}(1, \dots, \overset{i}{\downarrow} a, \dots, 1) = a^{\delta_{ij}}.$$

**Example 1.61.** The conjugation action

$$\begin{array}{ccc} \mathrm{GL}_n \times \mathcal{M}(n) & \longrightarrow & \mathcal{M}(n) \\ (A, B) & \longmapsto & ABA^{-1} \end{array}$$

is an action of the algebraic group  $\mathrm{GL}_n$  on  $\mathcal{M}(n)$ .

**Example 1.62.** Multiplication

$$\begin{array}{ccc} \mathbb{G}_m & \longrightarrow & \mathbb{A}^1 \\ (a, z) & \longmapsto & a \cdot z \end{array}$$

is an action. This action *extends* to the projective line

$$\begin{array}{ccc} \mathbb{G}_m \times \mathbb{P}^1 & \longrightarrow & \mathbb{P}^1 \\ (a, [x_0, x_1]) & \longmapsto & [x_0, ax_1] \end{array}$$

**Definition 1.63.** A (finite dimensional) **representation** of an algebraic group  $G$  is an algebraic group homomorphism  $G \rightarrow \mathrm{GL}(V)$  for a (finite dimensional)  $k$ -vector space  $V$ .

**Remark 1.64.** A representation in this sense yields a set-theoretic linear action of  $G$  on  $V \cong k^n$ .

**Fact 1.65.** If  $G = \mathrm{Spec} A$  is affine, so that  $A$  is a Hopf algebra, representations of  $G$  are in bijection with  $A$ -comodules, that is,  $k$ -vector spaces  $V$  equipped with the following data:

- $\rho : V \rightarrow V \otimes_k A$  a  $k$ -linear map

•

$$\begin{array}{ccc} V & \xrightarrow{\rho} & A \otimes V \\ \rho \downarrow & & \downarrow id_A \otimes \rho \\ A \otimes V & \xrightarrow{\Delta \otimes id_V} & A \otimes A \otimes V \end{array}$$

•

$$\begin{array}{ccc} k \otimes V & \xleftarrow{\sim} & V \\ \varepsilon \otimes id_V \uparrow & \swarrow \rho & \\ A \otimes V & & \end{array}$$

Moreover, subrepresentations correspond to subcomodules.

*Idea.*

From  $\rho$  you get  $\begin{array}{ccc} G \times V & \longrightarrow & V \\ (g, v) & \longmapsto & \rho(v)(g) \end{array}$  and this is a linear action. □

**Proposition 1.66.** Let  $\alpha : T \rightarrow \mathrm{GL}(V)$  be a finite dimensional representation of the torus  $T$ . For  $m \in M$  set

$$V_m = \{v \in V \mid \forall t \in T, \alpha(t)(v) = \chi^m(t) \cdot v\},$$

then

$$V = \bigoplus_{m \in M} V_m.$$

*Sketch for  $n = 1$ .*

Let  $\rho : V \rightarrow V \otimes_k k[x^{\pm 1}]$  be the corresponding coaction to  $\alpha$ .

- If  $m \in M = \mathbb{Z}$  we have that (exercise)

$$V_m = \{v \in V \mid \rho(v) = v \otimes x^m\}.$$

- If  $\rho(v) = \sum_{m \in \mathbb{Z}} f_m(v) \otimes x^m$ , then  $f_m : V \rightarrow V$  is linear and  $v = \sum_{m \in M} f_m(v) \cdot x^{-m}$ .
- $f_m(v) \in V_m$
- $f_m \circ f_n = 0$  if  $n \neq m$

This tells us that  $\{f_n\}_{n \in \mathbb{Z}}$  is a family of orthogonal projectors, so  $V = \bigoplus_{m \in M} V_m$ .  $\square$

## Chapter 2

# Affine toric varieties

### 2.1 Introduction

**Definition 2.1** (Affine toric variety). An **affine toric variety** is an irreducible affine variety  $X$  equipped with an open embedding of a torus  $T$  such that the translation action  $T \times T \rightarrow T$  extends to an action of  $T$  on  $X$ .

**Remark 2.2.** The open torus is automatically dense in, and of the same dimension of,  $X$ .

**Remark 2.3.** The extension of the action is unique because if  $X$  and  $Y$  are irreducible affine and  $f, g : X \rightarrow Y$  agree on a dense open subset then  $f = g$ .

**Example 2.4.** A torus is a toric variety.

**Example 2.5.** Affine space  $\mathbb{A}^n$  is a toric variety, via the trivial embedding

$$\mathbb{G}_m^n = \{x_1 \cdots x_n \neq 0\} \subseteq \mathbb{A}^n.$$

**Example 2.6.** Let  $C = V(x^3 - y^2) \subseteq \mathbb{A}^2$  with torus

$$\begin{array}{ccc} \mathbb{G}_m & \longrightarrow & C \\ t & \longmapsto & (t^2, t^3) \end{array}$$

and action

$$\begin{array}{ccc} \mathbb{G}_m \times C & \longrightarrow & C \\ (t, (x, y)) & \longmapsto & (t^2x, t^3y) \end{array}.$$

Notice that this affine toric variety is neither smooth nor normal<sup>1</sup>.

**Fact 2.7.** A normal variety is smooth in codimension 1, that is, the singular locus has codimension at least 2. In particular a curve is normal iff it's smooth.

**Example 2.8.** Let  $X = V(xy - z^2) \subseteq \mathbb{A}^3$  be the *quadric cone*. It can be shown that  $X$  is normal, but it is not smooth (not at the origin).

<sup>1</sup>Spec  $A$  irreducible affine variety is **normal** if all local rings are integrally closed in  $\text{Frac } A$ . This is equivalent to  $A$  being integrally closed in  $\text{Frac } A$ .

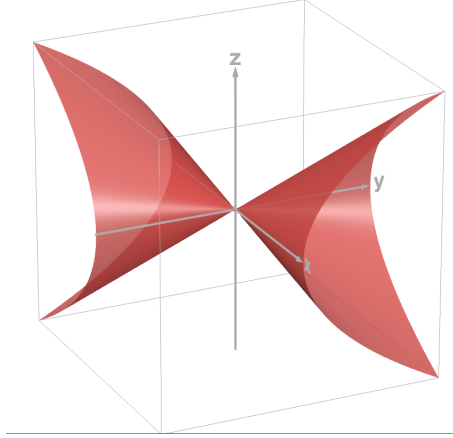


Figure 2.1: Quadric cone over the real numbers.

$X$  is a toric variety with torus given by the image of<sup>2</sup>

$$\begin{array}{ccc} \mathbb{G}_m^2 & \longrightarrow & X \\ (s, t) & \longmapsto & (s^2, t^2, st) \end{array}$$

and action

$$\begin{array}{ccc} \mathbb{G}_m^2 \times X & \longrightarrow & X \\ ((s, t), (x, y, z)) & \longmapsto & (sx, st^2y, stz) \end{array}$$

**Example 2.9.**  $X = V(xy - zw) \subseteq \mathbb{A}^4$  is a toric variety with torus

$$\begin{array}{ccc} \mathbb{G}_m^3 & \longrightarrow & X \\ (t_1, t_2, t_3) & \longmapsto & (t_1, t_2, t_3, t_1 t_2 t_3^{-1}) \end{array}$$

and action

$$\begin{array}{ccc} \mathbb{G}_m^3 \times X & \longrightarrow & X \\ ((t_1, t_2, t_3), (x, y, z, w)) & \longmapsto & (t_1 x, t_2 y, t_3 z, t_1 t_2 t_3^{-1} w) \end{array}$$

## 2.2 Monoids

**Definition 2.10** (Monoid). A **monoid** is a set  $S$  with an operation  $+$ , which is commutative, associative and with a neutral element  $0 \in S$ .

**Remark 2.11.** The reference book [CLS11] calls these *semigroups*.

<sup>2</sup>this map is 2:1, to get the actual parametrization we need

$$\begin{array}{ccc} \mathbb{G}_m^2 & \longrightarrow & X \\ (s, t) & \longmapsto & (s, st^2, st) \end{array}$$

This is related to the fact that  $X$  is the quotient  $\mathbb{A}^2/\mu_2$  by the action  $-1(x, y) = (-x, -y)$ .

**Definition 2.12.** If  $A \subseteq S$  is a subset of a monoid, the **submonoid generated by  $A$  in  $S$**  is the smallest submonoid which contains  $A$ . Concretely it is

$$\langle A \rangle = \left\{ \sum_{a \in A} n_a a \mid n_a \in \mathbb{N}, n_a = 0 \text{ for all but finitely many } a \right\}$$

A monoid  $S$  is **finitely generated** if there exists a finite subset  $A \subseteq S$  such that  $S = \langle A \rangle$ .

**Remark 2.13.**  $S$  is a finitely generated monoid if there exists a surjective monoid homomorphism

$$\mathbb{N}^n \twoheadrightarrow S.$$

**Definition 2.14.**  $S$  is an **affine monoid** if it is finitely generated and it is a submonoid of a lattice  $M$ .

**Example 2.15.**  $\mathbb{N}^k \subseteq \mathbb{Z}^k$  is an affine monoid.

**Example 2.16.**  $\mathbb{Z}/n\mathbb{Z}$  is a monoid but it is NOT affine because a lattice can't have a submonoid with torsion.

**Example 2.17.**  $\langle (1,0), (1,1) \rangle \subseteq \mathbb{N} \oplus \mathbb{Z}/2\mathbb{Z}$  is also not affine because of torsion.

**Definition 2.18** (Integrality). A monoid  $S$  is **integral** (or **cancellative**) if  $a + b = a + c \implies b = c$ .

**Fact 2.19.** A monoid  $S$  is affine if and only if  $S$  is

- finitely generated,
- integral and
- torsion free.

Let us now define the left adjoint to the forgetful  $\text{Ab} \rightarrow \text{Mon}$ :

**Definition 2.20** (Associated group). Let  $S$  be a monoid. There is an **associated abelian group**  $S^{gp}$ , which is the initial group with a morphism from  $S$ . Concretely

$$S^{gp} = \frac{\{(s, s') \mid s, s' \in S\}}{\sim}$$

where  $(s_1, s'_1) \sim (s_2, s'_2)$  if there exists  $s \in S$  such that<sup>a</sup>

$$s + s_1 + s'_2 = s + s_2 + s'_1.$$

<sup>a</sup>think about localization on rings which are not domains.

**Remark 2.21.**  $S^{gp}$  is an abelian group and we have a map  $S \rightarrow S^{gp}$  given by  $s \mapsto [(s, 0)]_{\sim}$ .

**Fact 2.22.** Any morphism  $S \rightarrow G$  for  $G$  abelian group factors uniquely through  $S^{gp}$ . More precisely

$$\text{Hom}_{\text{Mon}}(S, G) = \text{Hom}_{\text{Ab}}(S^{gp}, G)$$

**Remark 2.23.**  $S$  is integral if and only if  $S \rightarrow S^{gp}$  is injective, which happens if and only if  $S$  can be injected into an abelian group.

**Definition 2.24.** A monoid is **sharp** if the only invertible element is 0.

**Definition 2.25.** An element  $m$  of a sharp monoid  $S$  is **irreducible** if  $m = m' + m''$  in  $S$  implies  $m' = 0$  or  $m'' = 0$ .

**Remark 2.26.** If  $S$  is a sharp monoid, the irreducible elements generate the monoid.

### Presentations of monoids

With monoids, the kernel is “sort of useless”

**Example 2.27.** Consider

$$\begin{array}{ccc} \mathbb{N}^2 & \longrightarrow & \mathbb{N} \\ (a, b) & \longmapsto & a + b \end{array}$$

this has trivial kernel (preimage of 0 is just  $(0, 0)$ ) but it is far from being injective.

Let  $f : S \rightarrow S'$  be a surjective homomorphism. What we should look at instead of the kernel for the right analogue of the first isomorphism theorem is

$$E = \{(s, s') \in S \times S \mid f(s) = f(s')\}.$$

This set is an equivalence relation on  $S \times S$ , which is also a submonoid.

**Definition 2.28** (Congruence relations). A submonoid of  $S \times S$  which defines an equivalence relation is called **congruence relation**.

**Definition 2.29** (Coequalizer). If  $f, g : X \rightarrow Y$ , the coequalizer is an object  $Z$  together with  $h : Y \rightarrow Z$  such that  $h \circ f = h \circ g : X \rightarrow Z$  and if  $W$  together with  $h' : Y \rightarrow W$  is also such that  $h' \circ f = h' \circ g$  then there exists a unique  $Z \rightarrow W$  making everything commute.

$$\begin{array}{ccccc} & & & & W \\ & & & \nearrow h' & \uparrow \exists! \\ X & \xrightarrow[f]{g} & Y & \xrightarrow{h} & Z \\ & & & \searrow & \downarrow \end{array}$$



**Fact 2.30.** We can construct quotients of  $S$  by a congruence relation  $E$  on  $S \times S$  by setting it to be the coequalizer of  $E \subseteq S \times S \rightrightarrows S$ , where the arrows are the two projections from  $S \times S$  to  $S$ .

We call this object the **quotient of  $S$  by  $E$**  and denote it  $S/E$ .

**Remark 2.31.** If  $E$  is the relation constructed from  $f : M \rightarrow M'$  homomorphism of abelian groups viewed as monoids then  $E = \{(m, m') \in M \times M \mid f(m) = f(m')\} = \{(m, m') \mid m - m' \in \ker f\}$ . It follows that  $M' \cong M/\ker f$  is a coequalizer for  $E \rightrightarrows M$ , so our definition makes sense.

**Definition 2.32** (presentation of a monoid). The monoid associated to

$$\langle p_1, \dots, p_r \mid a_i = b_i, i \in \{1, \dots, k\} \rangle,$$

where  $a_i, b_i \in \langle p_1, \dots, p_r \rangle_{\mathbb{N}}$ , is the quotient of  $\mathbb{N}^r$  by the congruence relation generated by the  $(a_i, b_i)$  in  $\mathbb{N}^r \times \mathbb{N}^r$ .

A **presentation** of a monoid  $S$  is an isomorphism with a monoid constructed as above.

### 2.2.1 Monoid algebra

Since from abelian groups we constructed the group algebra and found connections to geometric objects, we want to generalize that construction to monoids.

**Definition 2.33** (Monoid algebra). For a monoid  $S$ , its **monoid algebra**  $k[S]$  is the  $k$ -vector space which is freely generated by  $\{t^s \mid s \in S\}$  and with multiplication induced by the operation on  $S$ .

**Remark 2.34.** In [CLS11] they write  $\chi^s$  instead of  $t^s$  because they think of  $S$  inside  $M = X(T)$  for some torus.

**Remark 2.35.** If  $S$  is actually a group then the monoid algebra and group algebras coincide.

**Example 2.36.** If  $S = \mathbb{N}^n \subseteq \mathbb{Z}^n$  then  $k[S] = k[x_1, \dots, x_n]$ .

**Proposition 2.37.** If  $S$  is a monoid with presentation

$$\langle p_1, \dots, p_r \mid a_i = b_i, 1 \leq i \leq k \rangle,$$

then

$$k[S] = \frac{k[t_1, \dots, t_r]}{(t^{a_i} - t^{b_i})}$$

where if  $a_i = \sum a_{ij} p_j$  we set  $t^{a_i} = \prod t_j^{a_{ij}}$ .

*Sketch.*

Let  $R$  be the congruence relation on  $\mathbb{N}^r$  generated by  $\{(a_i, b_i)\}_{1 \leq i \leq k}$ . Since  $R \rightrightarrows \mathbb{N}^r \rightarrow S$  is a coequalizer and  $S \mapsto k[S]$  is a left adjoint ( $\text{Hom}_{\text{Mon}}(S, A) \cong \text{Hom}_{k\text{-Alg}}(k[S], A)$ ) it follows that

$$k[R] \xrightleftharpoons[g]{f} k[\mathbb{N}^r] \rightarrow k[S]$$

is a coequalizer in  $k$ -algebras, so  $k[S] \cong k[\mathbb{N}^r]/I$  where  $I = (f(x) - g(x) \mid x \in k[R])$ .  $\square$

**Example 2.38.** Let  $S = \langle (2, 0), (1, 1), (0, 2) \rangle \subseteq \mathbb{Z}^2$ . This monoid can be seen to be isomorphic to

$$\langle p, q, r \mid p + q = 2r \rangle.$$

It follows that

$$k[S] \cong \frac{k[x, y, z]}{(xy - z^2)},$$

which is the coordinate ring of the quadric cone.

**Example 2.39.** Consider  $S = \langle 2, 3 \rangle \subseteq \mathbb{N}$ , which has presentation

$$\langle p, q \mid 3p = 2q \rangle.$$

It follows that

$$k[S] \cong \frac{k[x, y]}{(x^3 - y^2)},$$

the coordinate ring of the cusp curve.

## 2.3 Toric variety associated to a monoid

Inspired by the success of Cartier duality, we consider the analogous construction with affine monoids. Instead of diagonalizable algebraic groups we will get affine toric varieties:

**Proposition 2.40.** If  $S$  is an affine monoid then

1.  $k[S]$  is a domain and a finitely generated  $k$ -algebra.
2.  $\text{Spec } k[S]$  is an affine toric variety, with torus  $\text{Spec } k[S^{gp}]$ .

*Proof.*

Let us prove the two propositions

1. Since  $S \subseteq M$ , we have an obvious inclusion  $k[S] \subseteq k[M]$  and  $k[M]$  is a domain, so  $k[S]$  also is. Since  $S$  is finitely generated, just take the formal variables associated to those generators and they will generate  $k[S]$  as a  $k$ -algebra.
2. The inclusion  $S \rightarrow M$  must factor through  $S \rightarrow S^{gp} \rightarrow M$  by the universal property. Since  $M$  is free of finite rank,  $S^{gp}$  also is, thus  $T = \text{Spec } k[S^{gp}] = D(S^{gp})$  is a torus

(1.23) of dimension equal to the rank of  $S^{gp}$ . Moreover,  $k[S^{gp}]$  is a localization of  $k[S]$  in a single element: if  $\{s_i\}_{1 \leq i \leq k}$  are generators of  $S$  then<sup>3</sup>

$$k[S^{gp}] \cong k[S]_{\prod t^{s_i}} = k[S][t^{-s_1}, \dots, t^{-s_k}]$$

and this isomorphism is induced by the natural map  $k[S] \rightarrow k[S^{gp}]$ . The induced morphism  $\text{Spec } k[S^{gp}] \rightarrow \text{Spec } k[S]$  is then an open embedding (iso. on local rings).

The translation action of  $T$  on itself is the one given by

$$\begin{array}{ccc} k[S^{gp}] & \longrightarrow & k[S^{gp}] \otimes k[S^{gp}] \\ t^m & \longmapsto & t^m \otimes t^m \end{array},$$

which extends to an action on  $\text{Spec } k[S]$  by

$$\begin{array}{ccc} k[S] & \longrightarrow & k[S^{gp}] \otimes k[S] \\ t^m & \longmapsto & t^m \otimes t^m \end{array},$$

which makes sense because  $S \subseteq S^{gp}$ .

□

There is another construction to describe the toric variety associated to the monoid generated by a finite subset  $A \subseteq M$  (recall that  $M$  is the character lattice of  $T$  for some torus).

Consider the morphism

$$\phi_A : \begin{array}{ccc} T_N & \longrightarrow & (\mathbb{A}^1)^A \\ x & \longmapsto & (\chi^a(x))_{a \in A} \end{array}$$

**Remark 2.41.** The image of  $\phi_A$  is contained in the standard torus  $\text{Imm } \phi_A \subseteq (\mathbb{G}_m)^A \subseteq (\mathbb{A}^1)^A$ . It follows that  $\text{Imm } \phi_A$  is also a torus because it is the image of a homomorphism between tori (1.45).

Let  $Y_A$  be the closure of  $\text{Imm } \phi_A$  in  $(\mathbb{A}^1)^A$ .

**Proposition 2.42.**  $Y_A$  is an affine toric variety, with torus given by the one associated to  $\mathbb{Z}A \subseteq M$ . More precisely,  $Y_A \cong \text{Spec } k[\mathbb{N}A]$ .

*Proof.*

The morphism  $\phi_A$  corresponds to the algebra homomorphism

$$\varphi_A : k[x_a \mid a \in A] \rightarrow k[M]$$

Note that

$$\overline{\text{Imm } \phi_A} = V(\ker \varphi_A) = \text{Spec } \frac{k[x_a \mid a \in A]}{\ker \varphi_A} = \text{Spec } \text{Imm } \varphi_A.$$

It is easy to see that  $\text{Imm } \varphi_A = k[\mathbb{N}A] \subseteq k[M]$ . Since  $\mathbb{N}A$  is an affine monoid we are done by (2.40) □

---

<sup>3</sup>exercise

**Remark 2.43.** The two constructions are the same upon choosing a finite set of generators  $A$  for  $S$ , letting us write  $S = \mathbb{N}A$ .

**Definition 2.44** (Toric ideals). The ideals of  $k[\mathbb{N}^A]$  which give rise to toric varieties are called **Toric ideals**

**Fact 2.45.** Toric ideals are exactly the prime ideals which can be generated by binomials (differences of monic monomials).

We now want to show that this construction covers all affine toric varieties:

**Remark 2.46.** The torus  $T_N$  acts linearly on its own ring of regular functions  $k[M]$  as follows: for  $t \in T_N$  and  $f \in k[M]$  ( $f : T_N \rightarrow \mathbb{A}^1$ ) we define<sup>4</sup>  $t \cdot f \in k[M]$  as

$$t \cdot f : \begin{array}{ccc} T_N & \longrightarrow & \mathbb{A}^1 \\ p & \longmapsto & f(t^{-1} \cdot p) \end{array}$$

where the product  $t^{-1} \cdot p$  is the product of  $T_N$  as an algebraic group.

To be more precise, the action of  $T_N$  is induced by a comodule structure on  $k[M]$ , specifically

$$k[M] \xrightarrow{\Delta} k[M] \otimes k[M] \xrightarrow{S \otimes id} k[M] \otimes k[M].$$

Technically  $k[M]$  is infinite dimensional, but every time we consider this action we will actually consider the restriction to a stable finite dimensional subspace.

**Lemma 2.47.** The only simultaneous eigenvectors of the action  $T_N \curvearrowright k[M]$  given above are the characters.

*Proof.*

Note that  $t \cdot \chi^m(p) = \chi^m(t^{-1} \cdot p) = \chi^m(t^{-1})\chi^m(p)$  on the torus, thus  $t \cdot \chi^m = \chi^m(t^{-1})\chi^m$ , that is, characters are simultaneous eigenvectors for this action of  $T_N$ .

Let us now prove that they are the only ones (up to scalars): if  $\sum a_m \chi^m$  in  $k[M]$  is a simultaneous eigenvector then

$$\alpha(t) \left( \sum a_m \chi^m \right) = t \cdot \left( \sum a_m \chi^m \right) = \sum \chi^m(t^{-1}) a_m \chi^m$$

for some function  $\alpha : T_N \rightarrow k$ , thus  $a_m \alpha(t) = a_m \chi^m(t^{-1})$  for all  $m$ . If  $a_{m_1} \neq 0 \neq a_{m_2}$  then  $\chi^{m_1}(t^{-1}) = \alpha(t) = \chi^{m_2}(t^{-1})$ , so  $m_1 = m_2$  and thus the simultaneous eigenvector we chose must be of the form  $a_m \chi^m$  for some  $m \in M$ .  $\square$

**Lemma 2.48.** If  $A \subseteq k[M]$  is a subspace which is stable under the action above then

$$A = \bigoplus_{t^m \in A} k t^m,$$

that is,  $A$  is generated by characters.

<sup>4</sup>the inverse in the definition is not needed since  $T_N$  is abelian, but it is put there for consistency with more general theory where it is needed to verify that the map given is indeed a left-action.

*Proof.*

Call  $A' = \bigoplus_{t^m \in A} kt^m$ . Clearly  $A' \subseteq A$  so we just need the other inclusion. Pick  $f \in A$  and write

$$f = \sum_{m \in B} c_m t^m$$

for  $B \subseteq M$  finite and such that  $c_m \neq 0$  for all  $m \in B$ . Note that

$$f \in A \cap \langle t^m \mid m \in B \rangle := V.$$

This intersection is a finite dimensional  $k$ -vector space which is stable under the  $T_N$ -action, so it is a finite dimensional representation of  $T_N$ . By proposition (1.66) it follows that  $V$  is generated by simultaneous eigenvectors of the action, which are the  $t^m$  by the lemma above. Writing what we have just said in symbols:

$$f \in V = \bigoplus_{\substack{m \in B \text{ s.t.} \\ t^m \in A}} kt^m \subseteq \bigoplus_{t^m \in A} kt^m = A'.$$

□

**Theorem 2.49.** All affine  $T_N$ -toric varieties are isomorphic to one of the form  $\text{Spec } k[S]$  for some monoid  $S \subseteq M = X(T_N)$ .

*Proof.*

If  $X = \text{Spec } A$  is an affine toric variety, then  $A \subseteq k[M]$  is stable for the action of  $T_N$  on  $k[M]$ . This is because  $\cdot t^{-1} : T_N \rightarrow T_N$  extends to  $X$  by definition of toric variety. By the lemma above

$$A = \bigoplus_{t^m \in A} kt^m = k[S],$$

where  $S = \{m \in M \mid t^m \in A\}$ , which is a submonoid of  $M$  because  $A$  is an algebra.

Since  $A$  is finitely generated, there exist  $f_1, \dots, f_k$  such that  $A = k[f_1, \dots, f_k]$ . By replacing each  $f_i$  with all the characters that you need to write it out, we can assume that the  $f_i$  are all of the form  $t^m$ .

It is now easy to check that the corresponding exponents generate  $S$ . □

## 2.4 Cones

It will turn out that (normal) affine toric varieties are described by cones lying in  $N_{\mathbb{R}} = N \otimes_{\mathbb{Z}} \mathbb{R}$  where  $N$  is a lattice (it will be the cocharacter lattice of the resulting toric variety).

**Definition 2.50.** A **convex polyhedral cone** (from now on just **cone**) is a subset of  $N_{\mathbb{R}}$  of the form

$$\sigma = \text{Cone}(A) = \left\{ \sum_{n \in A} \lambda_n \cdot n \mid \lambda_n \geq 0 \right\} \subseteq N_{\mathbb{R}}$$

where  $A \subseteq N_{\mathbb{R}}$  is a finite subset.

**Remark 2.51.** A cone  $\sigma$  is a convex subset of  $N_{\mathbb{R}}$  and it is a “positive” cone, in the sense that if  $v \in \sigma$  and  $\lambda \in [0, +\infty) \subseteq \mathbb{R}$  then  $\lambda v \in \sigma$ .

**Example 2.52.** The positive quadrant

$$\{(x, y) \in \mathbb{R}^2 \mid x \geq 0, y \geq 0\} = \text{Cone}((1, 0), (0, 1))$$

is a cone.  $\text{Cone}((1, 0), (1, 2))$  is also a cone, which is embedded differently.

**Definition 2.53** (Orthant). An **orthant** is a cone of the form  $\text{Cone}(e_1, \dots, e_k) \subseteq \mathbb{R}^n$ .

**Example 2.54.**  $\text{Cone}((1, 0, 0), (0, 1, 0), (1, 0, 1), (0, 1, 1)) \subseteq \mathbb{R}^3$  is a cone.

**Example 2.55.** A line in  $\mathbb{R}^2$  is a cone, since it can be written  $\text{Cone}(v, -v)$ . In general linear subspaces are cones.

**Definition 2.56.** A cone  $\sigma$  is **strongly** or **strictly convex** if it does not contain any positive dimensional subspace.

**Definition 2.57.** The **dimension** of  $\sigma$ , denoted  $\dim \sigma$ , is the dimension of the vector subspace of  $N_{\mathbb{R}}$  spanned by  $\sigma$ .  
A cone is **full-dimensional** if its dimension is the same as the rank of  $N$ .

### 2.4.1 General facts about cones

For references you can look at Fulton [Ful93] for most of these facts.

**Proposition 2.58.** A cone is closed in the respective  $N_{\mathbb{R}}$ .

*Sketch.*

Assume the following theorem by Carathéodory: *if  $v \in \text{Cone}(A)$  then there exists  $B \subseteq A$  linearly independent such that  $v \in \text{Cone}(B)$ .*

It follows that

$$\text{Cone}(A) = \bigcup_{\substack{B \subseteq A \\ B \text{ lin. ind.}}} \text{Cone}(B)$$

and this is a finite union of closed sets because  $\text{Cone}(B)$  can be identified with  $\mathbb{R}_{\geq 0}^k \times \mathbb{R}^{n-k}$  via a linear transformation for some  $k$ .  $\square$

**Definition 2.59.** Two polytopes are said to be **combinatorially equivalent** if their poset of faces are isomorphic.

Is there any polytope which is combinatorially equivalent to one with rational vertices (i.e. vertices in  $\mathbb{Q}^n$ )? Surprisingly, no. In all dimensions above 8 there are some polytopes that contradict this (which is weird because one would think “I can just move the vertices a little”).

For more details look up *non-realizable matroids*.

## Hyperplanes and dual cone

**Definition 2.60** (Hyperplane and closed half-space). If  $m \in M_{\mathbb{R}}$ , we write

$$H_m = \{n \in N_{\mathbb{R}} \mid \langle m, n \rangle = 0\}$$

(the product is the one induced by  $M \times N \rightarrow \mathbb{Z}$  upon tensoring with  $\mathbb{R}$ ). Sets of this form are **hyperplanes** in  $N_{\mathbb{R}}$ .

We write  $H_m^+$  for  $\{n \in N_{\mathbb{R}} \mid \langle m, n \rangle \geq 0\}$  and call this a **closed half-space**.

**Definition 2.61.**  $H_m$  is a **supporting hyperplane** for a cone  $\sigma$  if  $\sigma \subseteq H_m^+$ . We call  $H_m^+$  a **supporting half-space**.

**Definition 2.62.** The **dual cone** to a cone  $\sigma$  is

$$\sigma^\vee = \{m \in M_{\mathbb{R}} \mid \langle m, n \rangle \geq 0 \ \forall n \in \sigma\} \subseteq M_{\mathbb{R}}$$

**Remark 2.63.** By definition

$$\sigma^\vee = \bigcap_{\substack{m \in M_{\mathbb{R}} \text{ s.t.} \\ H_m^+ \text{ supp. half-sp.}}} H_m^+,$$

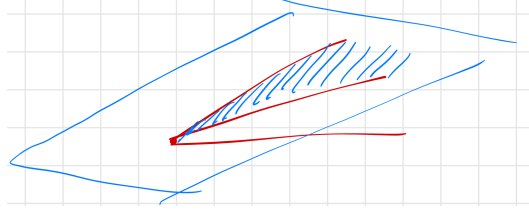
so  $H_m$  is supporting if and only if  $m \in \sigma^\vee \setminus \{0\}$ .

**Fact 2.64.**  $\sigma^\vee$  is also a cone and  $(\sigma^\vee)^\vee \cong \sigma$  under the identification  $(N_{\mathbb{R}}^\vee)^\vee \cong N_{\mathbb{R}}$ .

**Fact 2.65.**  $m_1, \dots, m_s$  generate  $\sigma^\vee$  if and only if  $\sigma = H_{m_1}^+ \cap \dots \cap H_{m_s}^+$ . In particular, every cone is a finite intersection of half-spaces.

**Definition 2.66.** A **face** of a cone  $\sigma$  is a subset of the form  $\tau = \sigma \cap H_m$  for some  $m \in \sigma^\vee$ . In this case we write  $\tau \leq \sigma$ .

**Remark 2.67.** If  $\sigma = \text{Cone}(A)$  then  $\tau = \text{Cone}(a \in A \mid a \in H_m)$ . In particular  $\tau$  is also a cone.



**Definition 2.68.** A face is **proper** if it is not  $\sigma$  itself.

**Definition 2.69.** The **relative interior** of a cone  $\sigma$  is

$$\text{Relint}(\sigma) = \sigma \setminus \bigcup_{\tau < \sigma} \tau,$$

that is, the topological interior of the cone as a subset of  $\text{Span}_{\mathbb{R}}(\sigma)$ .

**Fact 2.70.** The following are true:

- If  $\tau_1, \tau_2 \leq \sigma$  then  $\tau_1 \cap \tau_2 \leq \sigma$
- if  $\tau' \leq \tau$  and  $\tau \leq \sigma$  then  $\tau' \leq \sigma$
- if  $\tau \leq \sigma$  and  $v, w \in \sigma$  are such that  $v + w \in \tau$  then  $v, w \in \tau$ .

**Definition 2.71.** A **ray** (or **edge**) is a 1 dimensional face. A **facet** is a  $\dim \sigma - 1$  dimensional face.

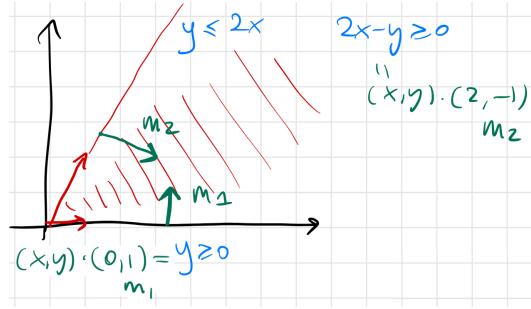
**Fact 2.72.** If  $\sigma$  is full-dimensional in  $N_{\mathbb{R}}$  then in the representations like  $\sigma = H_{m_1}^+ \cap \dots \cap H_{m_s}^+$  we can assume that  $\sigma \cap H_{m_i}$  is a facet of  $\sigma$  for all  $i$ .

**Remark 2.73.** This is not the case if  $\sigma$  is not full-dimensional, for example for  $\sigma = \text{Cone}((1, 0)) \subseteq \mathbb{R}^2$  the only facet is  $\{(0, 0)\}$  but in order to write  $\sigma$  as the intersection of half-spaces we need some half-spaces with associated hyperplane being  $\text{Span}((1, 0))$  and so  $\sigma \cap H$  for those hyperplanes is  $\sigma$  itself.

**Fact 2.74.** Every proper face is the intersection of all facets containing it.

**Remark 2.75.** If  $N_{\mathbb{R}} \cong \mathbb{R}^n$  then we know that  $M_{\mathbb{R}} \cong \mathbb{R}^n$  via the dual basis and we can think of one of the  $m_i$  that generate the dual cone as an “inward-pointing” normal vector to a facet of  $\sigma$





**Example 2.76.** Let  $\sigma = \text{Cone}((1,0), (1,2))$ .

The half-planes that bound the cone are  $y \geq 0$  and  $2x - y \geq 0$ , which correspond to  $(0,1)$  and  $(2,-1)$ , which can be used to generate  $\sigma^\vee = \text{Cone}((0,1), (-2,1))$ .

**Example 2.77.** Take  $\sigma = \text{Cone}((1,0)) \subseteq \mathbb{R}^2$ , so  $\sigma^\vee$  is  $\text{Cone}((1,0), (0,1), (0,-1))$  which correspond to  $x \geq 0$ ,  $y \geq 0$  and  $-y \geq 0$

**Fact 2.78.** The following are equivalent:

- $\sigma$  is strictly convex,
- $\{0\}$  is a face of  $\sigma$ ,
- $\sigma \cap (-\sigma) = \{0\}$ ,
- $\dim \sigma^\vee = \dim M_{\mathbb{R}}$ .

**Fact 2.79.** Any cone  $\sigma$  contains a maximal linear subspace given by  $\sigma \cap (-\sigma) = W$ . Moreover,  $\sigma/W \subseteq N_{\mathbb{R}}/W$  is strictly convex.

**Definition 2.80.**  $\sigma$  is **rational** if  $\sigma = \text{Cone}(A)$  for  $A \subseteq N$  (not  $N_{\mathbb{R}}$  like before).

**Fact 2.81.** The dual and the faces of a rational cone are rational.

**Fact 2.82.** If  $A \subseteq N$  then

$$\text{Cone}(A) \cap N_{\mathbb{Q}} = \left\{ \sum_{a \in A} q_a a \mid q_a \in \mathbb{Q} \right\}.$$

**Definition 2.83.** Let  $\sigma$  be a rational cone, its **minimal ray generators** are given as follows: if  $\rho \leq \sigma$  is a ray (and thus rational), the minimal ray generator correspondint to it is the minimal generator of  $\rho \cap N$  as a monoid, which is denoted  $u_\rho$ .

**Fact 2.84.** A strictly convex rational cone is “canonically” generated by its minimal ray generators:

$$\sigma = \text{Cone}(u_\rho \mid \rho \text{ is a ray}).$$

**Corollary 2.85.** If  $\sigma$  is a rational full-dimensional cone then  $\sigma$  has minimal facet normals (minimal ray generators of the dual).

## 2.5 Affine toric varieties from cones

**Notation.** Let  $\sigma$  be a cone in  $N_{\mathbb{R}}$ . We write

$$S_\sigma = \sigma^\vee \cap M.$$

**Remark 2.86.**  $S_\sigma$  is a submonoid of  $M$  because if  $m, m' \in \sigma^\vee \cap M$  then

$$\langle m + m', n \rangle = \langle m, n \rangle + \langle m', n \rangle \geq 0 + 0 = 0.$$

**Lemma 2.87** (Gordan). If  $\sigma$  is a rational polyhedral cone in  $N_{\mathbb{R}}$ , then  $S_\sigma = \sigma^\vee \cap M$  is finitely generated.

*Proof.*

Write  $\sigma^\vee = \text{Cone}(T)$  with  $T \subseteq M$  some finite subset. Consider

$$K = \left\{ \sum_{m \in T} a_m m \mid 0 \leq a_m < 1 \right\}.$$

Clearly  $K$  is bounded in  $M_{\mathbb{R}}$ , so  $K \cap M$  is a finite set. We claim that  $T \cup (K \cap M)$  generates  $S_\sigma$  as a monoid:

Let  $w \in S_\sigma = \sigma^\vee \cap M$ . We can write  $w = \sum_{m \in T} \lambda_m m$  with  $\lambda_m > 0$  real numbers. We can write  $\lambda_m = \lfloor \lambda_m \rfloor + \{\lambda_m\}$  (floor and fractional part), so that

$$w = \underbrace{\sum_{m \in T} \lfloor \lambda_m \rfloor m}_{\in M} + \underbrace{\sum_{m \in T} \{\lambda_m\} m}_{\in K}.$$

But  $\sum_{m \in T} \{\lambda_m\} m$  is also in  $M$  because it is  $w - \sum_{m \in T} \lfloor \lambda_m \rfloor m$ , so we have written  $w$  in the desired form.  $\square$

Because of the correspondence between affine toric varieties and affine monoids that we built (2.40) we can give the following definition:

**Definition 2.88.** Let  $\sigma \subseteq N_{\mathbb{R}}$  be a rational cone. Its affine toric variety is

$$U_\sigma = \text{Spec } k[S_\sigma].$$

**Remark 2.89.** The torus of  $U_\sigma$  has character lattice  $S_\sigma^{gp} \subseteq M$ .

**Remark 2.90.** Why are we not taking  $\text{Spec}[\sigma \cap M]$  (for  $\sigma$  cone in  $M_{\mathbb{R}}$ ) instead? This is because the gluing process of affine pieces will be more natural if the cones are in  $N_{\mathbb{R}}$

**Proposition 2.91.** The following are equivalent

1.  $\dim U_\sigma = n = \dim N_{\mathbb{R}}$
2. the torus of  $U_\sigma$  is  $T_N$
3.  $\sigma$  is strictly convex.

*Proof.*

First note that

$$\dim U_\sigma = \text{rk } S_\sigma^{gp} = \dim \text{Cone}(S_\sigma) = \dim \sigma^\vee$$

From this,  $\dim U_\sigma = n$  is equivalent to  $\dim \sigma^\vee = n$  which we know is equivalent to  $\sigma$  being strongly convex.

For the other equivalence, we claim  $M/S_\sigma^{gp}$  is torsion free. This gives the desired equivalence because we get

$$\dim U_\sigma = n \iff \text{rk } S_\sigma^{gp} = \text{rk } M \xLeftrightarrow{\text{claim}} M = S_\sigma^{gp} \iff T_N \text{ is the torus in } U_\sigma.$$

We now prove that the claim holds. Let  $m \in M$  and assume that  $km \in S_\sigma^{gp}$  for some  $k \in \mathbb{N}$ . Then  $km = m_1 - m_2$  for some  $m_1, m_2 \in S_\sigma$  and so

$$M \ni m + m_2 = \frac{1}{k}m_1 + \frac{k-1}{k}m_2 \in \sigma^\vee$$

where the last inclusion holds by convexity. Thus  $m = (m + m_2) - m_2$  implies  $m \in S_\sigma^{gp}$   $\square$

Because of this result, from now on a cone  $\sigma$  will be assumed to be strictly convex (i.e.  $S_\sigma^{gp} = M$ ) and rational unless otherwise stated.

**Example 2.92.** Let  $\sigma = \text{Cone}(e_1) \subseteq \mathbb{R}^2$ , then  $\sigma^\vee = \text{Cone}(e_1, e_2, -e_2)$

**Example 2.93.** If  $\sigma = \text{Cone}(e_1, \dots, e_k) \subseteq \mathbb{R}^n$  is an orthant then

$$\sigma^\vee = \text{Cone}(e_1, \dots, e_k, \pm e_{k+1}, \dots, \pm e_n).$$

It follows that  $k[S_\sigma] = k[x_1, \dots, x_k, x_{k+1}^{\pm 1}, \dots, x_n^{\pm 1}]$  and so for an orthant

$$U_\sigma \cong \mathbb{A}^k \times \mathbb{G}_m^{n-k}.$$

**Example 2.94.** If  $\sigma = \text{Cone}(0) = \{0\}$  then  $\sigma^\vee = M$  and so  $U_\sigma = T_N$

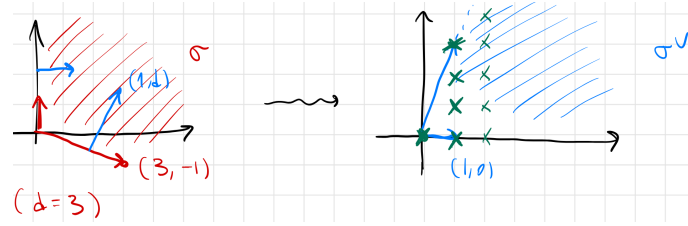
**Example 2.95** (Rational normal cone of degree  $d$ ). Let  $d \in \mathbb{N} \setminus \{0\}$  and take  $\sigma = \text{Cone}(de_1 - e_2, e_2)$

It turns out that  $S_\sigma = \langle (1, i) \mid 0 \leq i \leq d \rangle$  (not trivial yet). Let us study

$$U_\sigma = \text{Spec } k[S_\sigma]$$

Setting  $A = \{(1, i) \mid 0 \leq i \leq d\}$ , we can see  $U_\sigma$  as  $Y_A$ , the closure of the image of

$$\begin{aligned} \mathbb{G}_m^2 &\longrightarrow \mathbb{A}^{d+1} \\ (s, t) &\longmapsto (s, st^1, \dots, st^d) \end{aligned}$$



**Definition 2.96.** The toric variety from the previous example is called the **rational normal cone of degree  $d$** . It is the affine cone over the so called *rational curve of degree  $d$*  in  $\mathbb{P}^d$ .

**Remark 2.97.** It turns out that the ideal of the rational normal cone of degree  $d$  is  $(x_i x_{j+1} - x_{i+1} x_j \mid 0 \leq i < j \leq d)$ . Note that the generators are determinants of  $2 \times 2$  matrices, specifically, all minors of

$$\begin{pmatrix} x_0 & \cdots & x_{d-1} \\ x_1 & \cdots & x_d \end{pmatrix}$$

**Example 2.98.** Consider  $\sigma = \text{Cone}(e_1, e_2, e_1 + e_3, e_2 + e_3)$ . The equations that define this cone are  $y \geq 0, z \geq 0, x \geq 0$  and  $x + y - z \geq 0$ , so  $\sigma^\vee = \text{Cone}(e_1, e_2, e_3, e_1 + e_2 - e_3)$ .

You can check that  $S_\sigma = \sigma^\vee \cap M \cong \langle p, q, r, s \mid p + q = r + s \rangle$ , showing that

$$k[S_\sigma] \cong \frac{k[x, y, z, w]}{(xy - zw)}.$$

**Remark 2.99.** When  $\sigma$  is full-dimensional ( $\sigma^\vee$  is strictly convex) it follows that  $S_\sigma$  is sharp and so (2.26) the irreducible elements of  $S_\sigma$  give a canonical generating set.

**Definition 2.100.** Let  $\sigma$  be a cone. If  $S_\sigma$  is sharp, the set

$$H = \{m \in S_\sigma \mid m \text{ irreducible}\}$$

is called the **Hilbert basis** of  $S_\sigma$ .

**Fact 2.101.** If  $\sigma$  is full dimensional (and so  $S_\sigma$  is sharp) then

- $H$  is finite and generates  $S_\sigma$
- $H$  contains the minimal generators of the rays of  $\sigma^\vee$
- every generating set of  $S_\sigma$  contains  $H$

## 2.6 Normality and smoothness of affine toric varieties

### 2.6.1 Normality

**Definition 2.102.** If  $X = \operatorname{Spec} A$  is an irreducible affine algebraic variety ( $A$  is a domain) then  $X$  is **normal** if  $A \subseteq \operatorname{Frac} A$  is integrally closed.

**Remark 2.103.**  $X$  is normal if and only if all local rings of  $X$  are integrally closed in  $\operatorname{Frac} A$ . We are identifying the local rings with the subrings of  $\operatorname{Frac} A$  below

$$A_{\mathfrak{m}_p} \cong \mathcal{O}_{X,p} = \left\{ f \in \operatorname{Frac} A \mid f = \frac{g}{h}, h(p) \neq 0 \right\}.$$

**Definition 2.104.** An integral monoid  $S$  is **saturated** if for all  $s \in S^{gp}$  such that there exists  $k \in \mathbb{N} \setminus \{0\}$  such that  $ks \in S$  we have  $s \in S$ .

**Example 2.105.**  $S = \langle 2, 3 \rangle \subseteq \mathbb{N}$  is not saturated because  $S^{gp} = \mathbb{Z}$  ( $1 = 3 - 2$ ) and  $2 \cdot 1 = 2 \in S$  but  $1 \notin S$ .

**Remark 2.106.** In [CLS11] they say that  $S \subseteq M$  is saturated if the condition holds for  $m \in M$ . The two definitions are not equivalent because  $2\mathbb{N} \subseteq \mathbb{Z}$  is saturated for our definition but not theirs.

If  $S^{gp} = M$  the two definitions are the same and this is always assumed in [CLS11] so nothing really changes but the true definition in monoid theory is the one we gave.

**Proposition 2.107.** For an affine toric variety  $X$  with torus  $T_N$ , the following are equivalent

1.  $X$  is normal
2.  $X = \operatorname{Spec} k[S]$  for  $S$  saturated
3. There exists a strictly convex cone  $\sigma$  in  $N_{\mathbb{R}}$  with  $X \cong U_{\sigma}$

*Proof.*

Let us give the implications

1  $\implies$  2 Suppose  $X$  is normal and let  $S \subseteq M$  be some monoid such that  $S^{gp} = M$  and  $X \cong \operatorname{Spec} k[S]$ . Let  $m \in S^{gp} = M$  and  $k \in \mathbb{N} \setminus \{0\}$  be such that  $km \in S$ , then  $t^{km} \in k[S]$  and  $t^m \in k[M] \subseteq \operatorname{Frac}(k[S])$  is a root of the polynomial

$$y^k - t^{km} \in k[S][y].$$

Since  $k[S]$  is integrally closed we get  $t^m \in k[S]$  and so  $m \in S$

2  $\implies$  3 Suppose  $S$  is saturated with  $S^{gp} = M$ . Let  $A \subseteq S$  be a set of generators and take  $\tau = \text{Cone}(A) \subseteq M_{\mathbb{R}}$ . Define  $\sigma = \tau^{\vee}$ . This  $\sigma$  is strictly convex because  $\tau$  is full dimensional by construction and clearly  $S \subseteq \tau \cap M = \sigma^{\vee} \cap M$ . We just need the other inclusion now. If  $m \in \tau \cap M$  then  $m \in M \subseteq M_{\mathbb{Q}}$  and so

$$m = \sum_{a \in A} q_a a$$

for some  $q_a \in \mathbb{Q}$ ,  $q_a \geq 0$ . Upon taking the least common multiple of the denominators  $N$  we get a positive integer such that  $Nm$  is an integral linear combination of the elements of  $A$ , thus  $Nm \in S$  and by saturatedness we have  $m \in S$  as desired.

3  $\implies$  1 Let  $\rho_1, \dots, \rho_r$  be the rays of  $\sigma$ , then  $\sigma^{\vee} = \bigcap_{i=1}^r \rho_i^{\vee}$  and so

$$k[S_{\sigma}] = \bigcap_{i=1}^r k[S_{\rho_i}] \subseteq k[M].$$

Since the intersection of integrally closed subrings is integrally closed we may suppose without loss of generality that  $\sigma = \rho$  is a ray.

Let  $u_{\rho}$  be the minimal ray generator of  $\rho$ , then we can complete  $u_{\rho}$  to a  $\mathbb{Z}$ -basis of  $N$ : consider the exact sequence ( $N' = \text{coker}(\langle u_{\rho} \rangle \subseteq N)$ )

$$0 \rightarrow \langle u_{\rho} \rangle \rightarrow N \rightarrow N' \rightarrow 0$$

Note that  $N'$  is torsion free and thus free (finitely generated abelian group), so the sequence splits and we have  $N \cong \langle u_{\rho} \rangle \oplus N'$ .

We may therefore assume that  $\rho = \text{Cone}(e_1)$ , so that  $\rho^{\vee} = \text{Cone}(e_1, \pm e_2, \dots, \pm e_n)$ , so  $k[S_{\rho}] = k[x_1, x_2^{\pm 1}, \dots, x_n^{\pm 1}]$  and this is integrally closed.

□

**Remark 2.108.** If  $S$  is integral but not saturated then it has a saturation  $S^{sat}$  given by  $\{m \in S^{gp} \mid \exists k > 0, km \in S\}$ . Note that

- $S \subseteq S^{sat} \subseteq S^{gp}$
- $S^{sat}$  is finitely generated
- $(S^{sat})^{gp} = S^{gp}$

Moreover, the inclusion  $k[S] \rightarrow k[S^{sat}]$  gives the “normalization”  $\text{Spec } k[S^{sat}] \rightarrow \text{Spec } k[S]$

**Example 2.109.** Let  $S = \langle 2, 3 \rangle \subseteq \mathbb{N}$  and note that  $S^{sat} = \mathbb{N}$ . Recall that  $\langle 2, 3 \rangle = \langle p, q \mid 3p = 2q \rangle$ , so

$$k[S] = \frac{k[x, y]}{(x^3 - y^2)}$$

and  $C = \text{Spec } k[S]$  is the cuspidal cubic in  $\mathbb{A}^2$  (not normal variety). The normalizaion of this is

$$\begin{array}{ccc} \mathbb{A}^1 & \longrightarrow & C \subseteq \mathbb{A}^2 \\ t & \longmapsto & (t^2, t^3) \end{array}$$

**Example 2.110.** Consider the monoid  $S = \langle (2, 0), (1, 1), (0, 2) \rangle \subseteq \mathbb{Z}^2$ . We know that  $\text{Spec } k[S]$  is a normal variety, but the monoid does not “look” saturated. For example,  $(0, 1) \in \mathbb{Z}^2 \setminus S$  but  $2(0, 1) = (0, 2) \in S$ . The issue is that  $S^{gp}$  is smaller than  $\mathbb{Z}^2$  and  $(0, 1) \notin S^{gp}$ .

## 2.6.2 Smoothness

**Remark 2.111.** Recall that  $T_x X = (\mathfrak{m}_x / \mathfrak{m}_x^2)^\vee$  in general. If  $X \subseteq \mathbb{A}^n$  as  $V(I)$  with  $I = (f_1, \dots, f_s)$  then  $T_x X$  is defined by the linear equations  $0 = d_x(f_i) = \sum_{j=1}^n \frac{\partial f_i}{\partial x_j}(x) x_j$  with  $1 \leq i \leq s$ .

**Definition 2.112.** An irreducible affine variety  $X = \text{Spec } A$  is **smooth** if  $\dim T_x X = \dim X$  for all  $x \in X$ .

**Fact 2.113** (Jacobian criterion). An irreducible  $X = V(f_1, \dots, f_s) \subseteq \mathbb{A}^n$  of dimension  $d$  is smooth at  $x \in X$  if and only if

$$\text{rk} \left( \frac{\partial f_i}{\partial x_j}(x) \right) = n - d.$$

We will see that an affine toric variety  $U_\sigma$  is smooth if and only if  $\sigma$  is a *smooth cone*:

**Definition 2.114.** A rational strongly convex cone  $\sigma \subseteq N_{\mathbb{R}}$  is

- **smooth** (or **regular**) if the minimal ray generators of  $\sigma$  are part of a  $\mathbb{Z}$ -basis of  $N$
- **simplicial** if the minimal ray generators are  $\mathbb{R}$ -linearly independent in  $N_{\mathbb{R}}$ .

**Example 2.115.** The cone  $\mathbb{R}_{\geq 0}^k \subseteq \mathbb{R}^n$  is smooth. Moreover, all smooth cones are of this form up to the action of some element of  $\text{GL}(\mathbb{Z}, n)$ .

**Example 2.116.** The cone  $\sigma = \text{Cone}((1, 0), (1, 2))$  is simplicial because  $(1, 0)$  and  $(1, 2)$  are linearly independent, but  $(1, 0)$  and  $(1, 2)$  cannot be part of a basis for  $\sigma$  because the element  $(1, 1)$  would never be reached despite being in the cone.

**Example 2.117.** The cone  $\text{Cone}((1, 0, 0), (0, 1, 0), (1, 0, 1), (0, 1, 1)) \subseteq \mathbb{R}^4$  is not simplicial because it has 4 minimal ray generators.

**Remark 2.118.** Points of  $\text{Spec } k[S]$  are in bijection with homomorphisms of monoids  $S \rightarrow (k, \cdot)$ :

$$\begin{aligned} \{\text{points of } \text{Spec } k[S]\} &\stackrel{NSS}{=} \{\text{max. ideals of } k[S]\} = \\ &= \{\text{surjections of } k\text{-algebras } k[S] \rightarrow k\} = \\ &= \{\text{monoid homomorphisms } S \rightarrow (k, \cdot)\} \end{aligned}$$

where the last equality works because it amounts to choosing a value in  $k$  for each  $s \in S$  (or equivalently  $t^s \in k[S]$ ) which is compatible with the operations. The surjectivity works because  $S \rightarrow (k, \cdot)$  being a homomorphism means that 0 goes to 1 and so the corresponding  $k$ -algebra homomorphism has 1 in the image, making the map surjective.

**Lemma 2.119.** The action of  $T_N$  on  $\text{Spec } k[S]$  has a fixed point if and only if  $S$  is sharp. In this case there is exactly one fixed point, which corresponds to  $S \rightarrow (k, \cdot)$  given by  $s \mapsto \begin{cases} 0 & \text{if } s \neq 0 \\ 1 & \text{if } s = 0 \end{cases}$

*Proof.*

If  $p \in \text{Spec } k[S]$  corresponds to  $\gamma : S \rightarrow (k, \cdot)$  and we fix  $a \in T_N$ , let us compute  $a \cdot p$ : recall that the action is described by

$$\begin{array}{ccc} k[S] & \longrightarrow & k[M] \otimes k[S] \\ t^s & \longmapsto & t^s \otimes t^s \end{array}$$

and so it maps  $(a, p) \in T_N \times X$  to the point which corresponds to  $k[S] \rightarrow k$  given by

$$k[S] \longrightarrow k[M] \otimes k[S] \longrightarrow k \otimes k = k$$

$$t^s \longmapsto t^s \otimes t^s \longmapsto \chi^s(a)\gamma(s)$$

so the homomorphism  $\gamma' : S \rightarrow (k, \cdot)$  which corresponds to  $a \cdot p$  is given by  $\gamma'(s) = \chi^s(a)\gamma(s)$ .

The point is fixed if  $\chi^s(a)\gamma(s) = \gamma(s)$  for all  $a \in T_N, s \in S$ . For  $s = 0$   $\gamma(s) = 1$  ok because it has to be a homomorphism, for  $s \neq 0$  this implies that  $\gamma(s) = 0$  in  $k$  (because  $\exists a \in T_N$  such that  $\chi^s(a) \neq 1$ ), so the only possible  $\gamma$  is the one in the statement, which is a homomorphism if and only if  $S$  is sharp.  $\square$

**Remark 2.120.** The point in the statement of the lemma above can be thought of as the “most singular point of  $X$ ”.

**Remark 2.121.** A toric variety  $U_\sigma$  has a fixed point for the action of the torus if and only if  $\sigma$  is full-dimensional.

**Remark 2.122.** The maximal ideal of  $k[S]$  corresponding to the the torus fixed point (when  $S$  is sharp) is  $(t^m \mid m \in S \setminus \{0\})$ .

**Example 2.123.** In  $k[\mathbb{N}^n] = k[x_1, \dots, x_n]$  this ideal would be  $(x_1, \dots, x_n)$ .

**Proposition 2.124.** If  $\sigma$  is a strongly convex cone of maximal dimension and  $p_\sigma \in U_\sigma$  is the torus fixed point then

$$\dim_k T_{p_\sigma} U_\sigma = |H|$$

where  $H$  is the Hilbert basis of  $S_\sigma$ .

*Proof.*

The maximal ideal which corresponds to  $p_\sigma$  is  $\mathfrak{m} = (t^m \mid m \in \sigma^\vee \cap M \setminus \{0\})$  and as a  $k$ -vector space we have

$$\mathfrak{m} = \bigoplus_{\substack{m \neq 0, \\ m \in S_\sigma}} kt^m = \bigoplus_{m \in H \setminus \{0\}} kt^m \oplus \underbrace{\bigoplus_{\substack{m \text{ reducible} \\ m \neq 0}} kt^m}_{\mathfrak{m}^2}$$



so  $\dim_k(\mathfrak{m}/\mathfrak{m}^2) = |H|$ . Since  $\mathfrak{m}/\mathfrak{m}^2 \cong \frac{\mathfrak{m}A_{\mathfrak{m}}}{\mathfrak{m}^2A_{\mathfrak{m}}} = \mathfrak{m}_{p_{\sigma}}/\mathfrak{m}_{p_{\sigma}}^2$  we are done.  $\square$

**Theorem 2.125.** We have that  $U_{\sigma}$  is smooth  $\iff \sigma$  is a smooth cone.

*Proof.*

We give the two implications

$\Leftarrow$  If  $\sigma$  is smooth then we can assume up to an integral change of basis that  $\sigma = \text{Cone}(e_1, \dots, e_k) \subseteq \mathbb{R}^n$  and  $\sigma^{\vee} = \text{Cone}(e_1, \dots, e_k, \pm e_{k+1}, \dots, \pm e_n)$ , so  $U_{\sigma} = \mathbb{A}^n \times \mathbb{G}_m^{n-k}$ , which is a smooth variety.

$\Rightarrow$  We first consider the full dimensional case and then massage the general case into it:

**full-dim** Note that  $\sigma^{\vee}$  is strictly convex. Let  $p_{\sigma} \in U_{\sigma}$  be the torus fixed point. Smoothness at  $p_{\sigma}$  implies that

$$n = \dim U_{\sigma} = \dim T_{p_{\sigma}}U_{\sigma} = |H| \geq |\text{rays of } \sigma^{\vee}| \geq n$$

where the first inequality comes from the fact that  $H$  contains the minimal ray generators, while the second comes from  $\sigma^{\vee}$  being full-dimensional<sup>5</sup>. It follows that  $\sigma^{\vee}$  has  $n$  rays.

Since  $M = S_{\sigma}^{gp}$ , the  $n$  minimal ray generators of  $\sigma^{\vee}$  must be a  $\mathbb{Z}$ -basis of  $M$  by a rank argument. Thus  $\sigma^{\vee}$  is smooth and so  $\sigma$  itself is smooth.

**general** Consider the saturated (so we also consider elements of  $N$  that lie in  $\sigma$  after taking some multiple)  $\mathbb{Z}$ -span  $N_1 \subseteq N$  of  $\sigma \cap N$ . We can now write  $N = N_1 \oplus N_2$  because we constructed  $N_2 = N/N_1$  in a way that makes it torsion-free.

We can now think of  $\sigma$  as a cone in  $(N_1)_{\mathbb{R}}$  also, not just  $N_{\mathbb{R}}$ . These give two monoid algebras

$$k[S_{\sigma, N}] = k[\sigma^{\vee} \cap M], \quad k[S_{\sigma, N_1}] = k[\sigma^{\vee} \cap M_1]$$

where  $M_1 = (N_1)^{\vee}$ .

It turns out that<sup>6</sup> (exercise)  $S_{\sigma, N} \cong S_{\sigma, N_1} \oplus M_2$ , and so  $k[S_{\sigma, N}] \cong k[S_{\sigma, N_1}] \otimes k[M_2]$ , so

$$U_{\sigma, N} \cong U_{\sigma, N_1} \times T_{N_2}.$$

Now if  $U_{\sigma}$  is smooth, it follows that  $U_{\sigma, N_1}$  is smooth (exercise, look at dimensions of tangents in products). Now  $U_{\sigma, N_1}$  is like in the above case, so  $\sigma \subseteq N_1$  is smooth, meaning that it must be smooth in  $N$  also.

$\square$

## 2.7 Faces correspond to affine open subsets

Consider  $\sigma$  a strictly convex rational cone. Let  $\tau \leq \sigma$  be a face. We will now see that  $U_{\tau}$  can naturally be identified with a principal open subset of  $U_{\sigma}$ .

<sup>5</sup>we always assume  $\sigma$  strictly convex.

<sup>6</sup>for example, take  $\sigma = \text{Cone}(e_1) \subseteq \mathbb{R}^2$ .  $N_1 = \mathbb{Z} \times \{0\} \subseteq \mathbb{Z}^2$ ,  $M_2$  is the perpendicular  
\*\*\*\*\*

Recall that  $\tau \leq \sigma$  means that there exists some  $m \in \sigma^\vee$  such that

$$\tau = \sigma \cap H_m.$$

**Proposition 2.126.** If  $\tau \leq \sigma$  is cut out by the hyperplane  $H_m$  we have that  $k[S_\tau] = k[\tau^\vee \cap M]$  is naturally identified with  $k[S_\sigma]_{t^m}$ .

*Proof.*

If  $\tau \leq \sigma$  then  $S_\sigma$  is a submonoid of  $S_\tau$  and  $\langle m, n \rangle = 0$  for all  $n \in \tau$  means that  $\pm m \in S_\tau$ . This implies that  $S_\sigma + \mathbb{N}(-m)$  is a submonoid of  $S_\tau$ . If we check that this inclusion is an equality we are done because localizing at  $t^m$  is the same as adding  $t^{-m}$  to the generators.

Take  $m' \in S_\tau$ . Note that  $\langle m', n \rangle \geq 0$  for all  $n \in \tau$ . Let  $\sigma = \text{Cone}(S)$  with  $S \subseteq N$  finite and consider

$$C = \max \{ |\langle m', s \rangle| \mid s \in S \} \in \mathbb{N}.$$

If we show that  $m' + Cm \in S_\sigma$  then we are done. To check this note that if  $u \in \sigma$  then

$$\langle m' + Cm, u \rangle = \langle m', u \rangle + C \langle m, u \rangle.$$

If  $u \in \tau$  then  $\langle m, u \rangle = 0$  and  $\langle m', u \rangle \geq 0$  since  $m' \in S_\tau$  and we are done. Otherwise  $\langle m, n \rangle \geq 1$  and therefore, for  $u = s \in S$  minimal ray generator, we have

$$\langle m', s \rangle + C \langle m, s \rangle \geq \langle m', s \rangle + C \geq 0$$

where the last inequality comes from the definition of  $C$ . □

**Remark 2.127.** If  $\sigma$  and  $\sigma'$  are cones in  $N_{\mathbb{R}}$  and  $\sigma \cap \sigma' = \tau$  is a face of both, we have a diagram

$$\begin{array}{ccc} U_\sigma & & U_{\sigma'} \\ & \supseteq & \subsetneq \\ & U_\tau & \end{array}$$

We will be able to glue  $U_\sigma$  and  $U_{\sigma'}$  along  $U_\tau$  to get a, possibly non-affine, toric variety.

## Chapter 3

# Projective toric varieties

### 3.1 Introduction

**Definition 3.1.** A **projective toric variety** is an irreducible, normal projective variety  $X$  equipped with an open embedding  $T \subseteq X$  of an algebraic torus such that the translation action of  $T$  extends to  $X$ .

**Remark 3.2.** Projective space  $\mathbb{P}^n$  is a projective toric variety with torus given by

$$\mathbb{P}^n \setminus V(x_0 \cdots x_n).$$

This is the same torus that we get on all the affine charts.

The translation action extends as follows:

$$\begin{array}{ccc} \mathbb{G}_m^n \times \mathbb{P}^n & \longrightarrow & \mathbb{P}^n \\ ((a_1, \dots, a_n), [x_0, \dots, x_n]) & \longmapsto & [x_0, a_1 x_1, \dots, a_n x_n] \end{array}$$

The character lattice of this torus  $T_{\mathbb{P}^n}$  can be thought of as follows: recall that we have

$$\mathbb{A}^{n+1} \setminus \{0\} \xrightarrow{\pi} \frac{\mathbb{A}^{n+1} \setminus \{0\}}{\mathbb{G}_m} \cong \mathbb{P}^n$$

and this induces

$$0 \rightarrow \mathbb{G}_m \rightarrow \mathbb{G}_m^{n+1} \rightarrow T_{\mathbb{P}^n} \rightarrow 0$$

where the first inclusion is via matrices of the form  $\lambda I$ . Dually we get a short exact sequence of the character lattices

$$0 \rightarrow M_{\mathbb{P}^n} \rightarrow \mathbb{Z}^{n+1} \rightarrow \mathbb{Z} \rightarrow 0$$

so we may write

$$M_{\mathbb{P}^n} = \left\{ (a_0, \dots, a_n) \in \mathbb{Z}^{n+1} \mid \sum a_i = 0 \right\} \subseteq \mathbb{Z}^{n+1}.$$

Now, given a finite subset  $A \subseteq M$  (let us write  $A = \{a_1, \dots, a_s\}$ ) we can consider

$$\varphi_A : \begin{array}{ccc} T_N & \longrightarrow & \mathbb{G}_m^s \\ t & \longmapsto & (\chi^{a_1}(t), \dots, \chi^{a_s}(t)) \end{array}$$

and then the composition

$$\psi_A : T_N \xrightarrow{\varphi_A} \mathbb{G}_m^s \hookrightarrow \mathbb{A}^2 \setminus \{0\} \twoheadrightarrow \mathbb{P}^{s-1}.$$

The closure of the image of  $\psi_A$  inside  $\mathbb{P}^{s-1}$  is the **projective toric variety**  $X_A$  **associated to**  $A$

**Proposition 3.3.**  $X_A$  as above is a projective toric variety.  $\dim X_A = \dim \text{Affspan}_{\mathbb{R}} A$  where the last notation means *the affine subspace generated by*  $A$  in  $M_{\mathbb{R}}$ .

*Proof.*

Let  $T$  be the image of  $T_N \rightarrow \mathbb{G}_m^s \rightarrow T_{\mathbb{P}^{s-1}}$ , which is still a torus by (1.45). Note that  $X_A$  is the closure of  $T$  in  $\mathbb{P}^{s-1}$ .

If  $t \in T$ ,  $t \cdot T = T \subseteq X_A$  and  $\overline{t \cdot T} = t \cdot \overline{T} = t \cdot X_A$ , so  $t \cdot X_A \subseteq X_A$ , but the same holds for  $t^{-1}$ , thus the action extends.

$\dim X_A = \dim T = \text{rk}_{\mathbb{Z}} M'$  where  $M' = X(T)$ . We can compute  $M'$ :

$$\begin{array}{ccc} T_N & \longrightarrow & T \\ & \searrow & \downarrow \\ & & T_{\mathbb{P}^{s-1}} \end{array}$$

yields dually (apply  $X(\cdot)$  functor)

$$\begin{array}{ccc} M & \longleftarrow & M' \\ & \nwarrow & \uparrow \\ & & M_{\mathbb{P}^{s-1}} \end{array}$$

so  $M'$  is the image of  $M_{\mathbb{P}^{s-1}} \rightarrow M$ , which is induced by the map  $\mathbb{Z}^s \rightarrow M$  which sends  $e_i$  to  $a_i$ , so the image is exactly

$$\left\{ \sum k_i a_i \mid \sum k_i = 0 \right\} = \langle a_i - a_j \mid i \neq j \rangle \subseteq M.$$

Upon tensoring this with  $\mathbb{R}$  we get the vector subspace of  $M_{\mathbb{R}}$  associated to the affine subspace generated by  $A$ . □

**Remark 3.4.** One may expect  $Y_A \subseteq \mathbb{A}^s$  to be related to the affine cone over  $X_A$ . The two are the same if and only if  $I(Y_A)$  is homogeneous iff exists  $n \in \mathbb{N}$  and  $k$  positive such that \*\*\*\*\* (i.e.  $A$  is contained in an affine hyperplane of  $M_{\mathbb{R}}$ ).

**Remark 3.5.** The toric variety  $X_A \subseteq \mathbb{P}^{s-1}$  is covered by affine toric varieties, given by the intersections  $X_A \cap U_i$ . The  $X_A \cap U_i$  are indeed affine and they are toric because they all contain  $T$ . In fact  $X_A \cap U_i = \overline{T}^{U_i}$ .

**Proposition 3.6.** The monoid of  $X_A \cap U_i$  is the submonoid  $A_i$  of  $M$  generated by  $a_j - a_i$  for  $j \neq i$ .

*Proof.*

It suffices to show that  $X_A \cap U_i$  is the closure of the image of  $T_N \rightarrow U_i \rightarrow \mathbb{A}^{s-1}$ . If  $t \in T_N$  then the maps go

$$t \mapsto [\chi^{a_1}(t), \dots, \chi^{a_s}(t)] \mapsto (\chi^{a_1-a_i}(t), \dots, \chi^{a_s-a_i}(t))$$

and this is exactly what we want.  $\square$

**Remark 3.7.**  $A_i^{gp}$  is exactly the character lattice of  $T$  that we found the proof before.

**Example 3.8** (Rational normal curve). Let  $A \subseteq \mathbb{Z}^2$  be the subset given by  $A = \{(0, d), (1, d-1), \dots, (d, 0)\}$ . The affine toric variety  $Y_A$  is what we called *rational normal cone of degree  $d$* .

The projective toric variety  $X_A$  is called the **rational normal curve of degree  $d$**  in  $\mathbb{P}^d$  and  $Y_A$  is its affine cone in  $\mathbb{A}^{d+1}$ .

**Example 3.9.** Let  $A = \{e_1, e_2, e_3, e_1 + e_2 - e_3\}$ . The affine toric variety is

$$Y_A = \text{Spec } \frac{k[x, y, z, w]}{(xy - zw)} \subseteq \mathbb{A}^4$$

The projective toric variety  $X_A$  is the one in  $\mathbb{P}^3$  given by the same equation  $xy = zw$ . This is actually isomorphic to  $\mathbb{P}^1 \times \mathbb{P}^1$  via the Segre embedding

$$\begin{aligned} \mathbb{P}^1 \times \mathbb{P}^1 &\longrightarrow \mathbb{P}^3 \\ ([y_0, y_1], [z_0, z_1]) &\longmapsto [y_0 z_0, y_1 z_1, y_0 z_1, y_1 z_0] \end{aligned}$$

## 3.2 Polytopes

We have seen that affine toric varieties are described by cones. For projective toric varieties we have a similar correspondence with polytopes.

**Definition 3.10.** A **polytope** in  $M_{\mathbb{R}}$  is the convex hull of a finite subset  $A \subseteq M_{\mathbb{R}}$ , i.e.

$$P = \text{Conv}(A) = \left\{ \sum_{a \in A} \lambda_a a \mid \lambda_a \geq 0, \sum \lambda_a = 1 \right\}.$$

Given such a  $P$  we can construct a cone

$$\text{Cone}(A \times \{1\}) \subseteq M_{\mathbb{R}} \oplus \mathbb{R}.$$

We can recover the polytope by slicing the cone at height 1.

This correspondence is sometimes useful to prove things about polytopes by reducing to the case of cones.

**Definition 3.11.** The **dimension** of a polytope  $P$  is the dimension of the smallest affine subspace of  $M_{\mathbb{R}}$  which contains  $P$ .

**Definition 3.12.** Let  $u \in N_{\mathbb{R}}$  and  $b \in \mathbb{R}$ . They determine an **affine hyperplane**

$$H_{u,b} = \{m \in M_{\mathbb{R}} \mid \langle m, u \rangle = b\} \subseteq M_{\mathbb{R}}$$

and a **closed half-space**

$$H_{u,b}^+ = \{m \in M_{\mathbb{R}} \mid \langle m, u \rangle \geq b\} \subseteq M_{\mathbb{R}}$$

**Definition 3.13.** A subset  $Q \subseteq P$  is a **face** if there exist  $n \in N_{\mathbb{R}}$  and  $b \in \mathbb{R}$  such that  $P \subseteq H_{u,b}^+$  (in this case we say that  $H_{u,b}$  is a **supporting hyperplane**) and  $Q = P \cap H_{u,b}$ .

**Remark 3.14.** Faces of a polytope are polytopes. Moreover, if  $P = \text{Conv}(A)$  then  $Q = \text{Conv}(A \cap H_{u,b})$  for  $H_{u,b}$  supporting hyperplane which defines  $Q$ .

**Definition 3.15.** Faces of dimension 0 are called **vertices**, those of dimension 1 are **edges** and those of codimension 1 are **facets**.

**Fact 3.16.** If  $P$  is a polytope then

- $P = \text{Conv}(\text{vertices of } P)$
- If  $P = \text{Conv}(A)$  and  $v \in P$  is a vertex then  $v \in A$
- if  $Q \leq P$  then

$$\{\text{faces of } Q\} = \{\text{faces of } P \text{ contained in } Q\}$$

- if  $Q < P$  (proper face) then

$$Q = \bigcap_{\substack{F \text{ facet of } P \\ Q \leq F}} F$$

- a polytope is a finite intersection of closed half-spaces
- any finite intersection of closed half-spaces which is bounded is a polytope

**Fact 3.17.** When  $P$  is full-dimensional, each facet  $F$  has a *unique* supporting hyperplane.

**Notation.** If  $F$  is a facet of  $P$  full-dimensional we use  $H_F^+$  to denote the associated supporting hyperplane and we denote by  $u_F \in N_{\mathbb{R}}$ ,  $a_F \in \mathbb{R}$  the pair such that

$$H_F^+ = H_{u_F, -a_F}.$$

The sign of  $a_F$  is that way just for convention, it will make some computations easier later on. Note that the pair  $(u_F, a_F)$  is not unique but it become unique up to positive scaling.

**Definition 3.18.** A polytope  $P$  is a **lattice polytope** if there exists  $A \subseteq M$  finite such that  $P = \text{Conv}(A)$ .

**Remark 3.19.** This is equivalent to saying that all vertices of  $P$  lie in  $M$ .

**Fact 3.20.** The following propositions hold

- Faces of lattice polytopes are lattice polytopes
- in the description of  $P$  as  $P = \bigcup_{i=1}^s H_{u_i, s_i}^+$  we can assume that the  $u_i$  are also points in the lattice  $N$
- If  $P$  is a full-dimensional lattice polytope we have a presentation

$$P = \bigcup_{F \text{ facet of } P} H_F^+$$

and we can assume that  $u_F$  is the minimal ray generator of  $\text{Cone}(u_F)$ .

- The presentation above for a given  $P$  is unique and the pairs  $(u_F, a_F)$  chosen as above ( $u_F$  minimal ray generator) are unique.

$$P = \{m \in M_{\mathbb{R}} \mid \langle m, u_F \rangle \geq -a_F \ \forall F \text{ facet of } P\}.$$

**Example 3.21.** The standard  $n$ -dimensional simplex  $\Delta_n = \text{Conv}(0, e_1, \dots, e_n)$  is a polytope of dimension  $n$ . It has exactly  $n + 1$  vertices.

### 3.3 Toric varieties from polytopes

Now the idea is, given a lattice polytope  $P$ , which we assume to be full-dimensional, is to take  $X_{P \cap M}$

**Remark 3.22.** If  $M$  is a lattice and  $P$  is a lattice polytope in  $M_{\mathbb{R}}$ ,  $P \cap M$  is a finite set.

This works, but if we want the combinatorics of  $P$  to reflect the geometry of  $X_{P \cap M}$  correctly, we need  $P$  to have “enough” lattice points.

There are two notions that are related to this issue: *normality* and *very ampleness*. We will only discuss the second one.

### 3.3.1 Very ampleness

**Definition 3.23.** A lattice polytope is **very ample** if for all vertices  $v$  of  $P$ , the monoid

$$\langle P \cap M - v \rangle = \langle m - v \mid m \in P \cap M \rangle$$

is saturated.

**Remark 3.24.** The idea of taking the difference with  $v$  translates to making  $v$  the origin

PICTURE IN THE NOTES

**Definition 3.25.** If  $P, Q$  are subsets of  $M_{\mathbb{R}}$ , their **Minkowski sum** is

$$P + Q = \{p + q \mid p \in P, q \in Q\}$$

**Remark 3.26.** If  $P = \text{Conv}(A)$  and  $Q = \text{Conv}(B)$  then  $P + Q = \text{Conv}(A + B)$ .

**Notation.** If  $k > 0$  and  $P = \text{Conv}(A)$ , then we set  $kP$  to be the polytope defined by  $\text{Cone}(\{ka \mid a \in A\})$ . If  $k \in \mathbb{N}$ , this also coincides with the iterated Minkowski sum

$$\underbrace{P + \cdots + P}_{k \text{ times}} = \{m_1 + m_2 + \cdots + m_k \mid m_i \in P\}.$$

**Remark 3.27.** If  $P$  is defined by  $\{\langle m, n_i \rangle \geq b_i \mid i \in \{1, \dots, s\}\}$  then

$$kP = \{\langle m, n_i \rangle \geq kb_i \mid \forall i\}$$

**Fact 3.28.** Let  $P \subseteq M_{\mathbb{R}}$  be a full-dimensional lattice polytope with  $\text{rk } M \geq 2$ . Then  $kP$  is very ample for all  $k \geq n - 1$ .

**Remark 3.29.** If  $\text{rk } M = 1$  we have no issue in finding a very ample multiple.

### 3.3.2 The projective variety

Let  $P$  be a full-dimensional lattice polytope. The associated projective variety is

$$X_P = X_{(kP) \cap M}$$

for  $k \in \mathbb{N}$  such that  $kP$  is very ample.

**Remark 3.30.** This will yield a well defined abstract variety, though the embedding in the ambient projective spaces change with respect to  $k$ .

Recall that  $X_A \subseteq \mathbb{P}^{s-1}$  is covered by affine toric varieties: via (3.6) we have (for  $A = \{a_1, \dots, a_s\}$ )

$$X_A = \bigcup_{i=1}^s X_A \cap U_i$$



**Lemma 3.31.** If  $A = P \cap M$  then

$$X_A = \bigcup_{a_i \text{ vertex of } P} X_A \cap U_i$$

*Proof.*

Let  $\{a_j\}_{j \in J}$  be the vertices of  $P$ . Fix  $a_i \in A \setminus \{a_j\}_{j \in J}$ . We want to find  $j \in J$  such that  $X_A \cap U_i \subseteq X_A \cap U_j$ . Note that (exercise)

$$P \cap M_{\mathbb{Q}} = \left\{ \sum_{j \in J} r_j a_j \mid r_j \in \mathbb{Q}_{\geq 0}, \sim r_j = 1 \right\},$$

so we can write

$$a_i = \sum_{j \in J} r_j a_j.$$

If we clear the denominators we get

$$ka_i = \sum k_j a_j, \quad k, k_j \in \mathbb{N}, \quad k \neq 0, \quad \sum k_j = k.$$

From this we get

$$\sum_{j \in J} k_j (a_j - a_i) = 0.$$

Let  $j_0 \in J$  be such that  $k_{j_0} \neq 0$ . It follows that

$$k_{j_0}(a_i - a_{j_0}) = \sum_{j \in J \setminus \{j_0\}} k_j(a_j - a_i)$$

so  $a_i - a_{j_0} \in S_i = \langle a_k - a_i \mid k \neq i \rangle$  and  $S_i$  is the monoid which corresponds to  $X_A \cap U_i$ . Note that  $a_{j_0} - a_i \in S_i$  by definition, so also having  $k_{j_0}(a_i - a_{j_0}) \in S_i$  means that  $a_{j_0} - a_i$  is invertible in  $S_i$ .

Note that  $k[S_i]_{t^{a_j - a_i}}$  is the coordinate ring of  $X_A \cap U_i \cap U_j$ , but for  $j_0$

$$k[S_i]_{t^{a_{j_0} - a_i}} = k[S_i]$$

so  $X_A \cap U_i \cap U_{j_0} = X_A \cap U_i$ , that is,  $X_A \cap U_i \subseteq X_A \cap U_{j_0}$ .  $\square$

**Theorem 3.32.** Assume  $P$  is a very ample full-dimensional lattice polytope. Then

- if  $a_i \in P \cap M$  is a vertex, then  $X_{P \cap M} \cap U_i \cong U_{\sigma_i} = \text{Spec } k[\sigma_i^{\vee} \cap M]$  where  $\sigma_i \subseteq N_{\mathbb{R}}$  is the strongly convex cone which is dual to  $C_i = \text{Cone}(P \cap M - a_i)$ . Moreover  $\dim \sigma_i = n$
- The torus of  $X_{P \cap M}$  is  $T_N$ .

*Proof.*

Since  $a_i$  is a vertex and  $P$  is full-dimensional,  $C_i$  is strongly convex and full-dimensional.

Now  $S_i$  (monoid that corresponds to  $X_A \cap U_i$ ) is a submonoid  $S_i \subseteq C_i \cap M = \sigma_i^\vee \cap M$  by construction.

Since  $P$  is very ample,  $S_i$  is saturated and as in a proof which we have seen ( $2 \implies 3$  from (2.107)) it follows that we have equality.

The fact that the torus is  $T_N$  follows from the fact that the  $\sigma_i$  are strictly convex and that the torus of  $X_A$  is the same as the torus of  $X_A \cap U_i$  for any  $i$ .  $\square$

The cones  $\sigma_i$  assemble into the **normal fan** of the polytope  $P$ : if we write

$$P = \{m \in M_{\mathbb{R}} \mid \langle m, u_F \rangle \geq -a_F \ \forall F \text{ facet}\}$$

and fix a vertex  $v \in P$ , at  $v$  we have a cone

$$C_v = \text{Cone}(P \cap M - v)$$

and  $\sigma_v = C_v^\vee$  as in the proof. There is a bijection

$$\begin{array}{ccc} \{Q \leq P, v \in Q\} & \longleftrightarrow & \{\tau \leq C_v\} \\ Q & \mapsto & Q_v = \text{Cone}(Q \cap M - v) \\ Q_\tau = (\tau + v) \cap P & \longleftarrow & \tau \end{array}$$

This bijection preserves inclusions, intersection, dimension etc.

PICTURE

In particular facets of  $C_v$  correspond to facets of  $P$  containing  $v$ , so

$$C_v = \{m \in M_{\mathbb{R}} \mid \langle m, u_F \rangle \geq 0 \ \forall \text{facet containing } v\}.$$

So  $\sigma_v = C_v^\vee = \text{Cone}(u_F \mid v \in F)$ .

We can extend this association *vertices*  $\rightarrow$  *cones* to all faces of  $P$  as follows:

$$Q \leq P \mapsto \sigma_Q = \text{Cone}(u_F \mid Q \subseteq F)$$

**Example 3.33.** If  $F \leq P$  is a facet,  $\sigma_F$  is the ray generated by  $u_F$ . If  $Q = P$  then  $\sigma_P = \text{Cone}(\emptyset) = \{0\}$ .

**Definition 3.34.** The cones  $\{\sigma_Q \mid Q \leq P\}$  give the **normal fan** of  $P$ , denoted  $\Sigma_P$ .

**Definition 3.35.** A **fan**  $\Sigma$  in  $N_{\mathbb{R}}$  is a finite collection of strongly convex cones such that

1. for all  $\sigma, \sigma' \in \Sigma$ ,  $\sigma \cap \sigma'$  is a face of both
2. if  $\sigma \in \Sigma$  and  $\tau \leq \sigma$  then  $\tau \in \Sigma$ .

**Example 3.36.** PICTURE

**Proposition 3.37.** If  $\tau \leq \sigma$  there is a dual face  $\tau^* \leq \sigma^\vee$  defined as  $\sigma^\vee \cap ((\text{Span}_{\mathbb{R}} \tau)^\perp)$ . This construction gives an inclusion-reversing bijection between faces of  $\sigma$  and faces of  $\sigma^\vee$ .

**Example 3.38.** DRAWING FROM LECTURES

**Remark 3.39.** For all  $u \in N_{\mathbb{R}} \setminus \{0\}$  there exists a unique  $b \in \mathbb{R}$  such that  $H_{u,b}^+ \supseteq P$  and  $H_{u,b} \cap P \neq \emptyset$ .

**Theorem 3.40.** The normal fan of a polytope  $P$  is a fan.

*Sketch.*

We have the following steps:

1. Note that

$$\sigma_Q = \{u \in N_{\mathbb{R}} \mid \exists b \in \mathbb{R} \text{ s.t. } H_{u,b} \text{ is supporting and } Q \subseteq H_{u,b} \cap P\},$$

indeed

$\subseteq$  take  $u \in \sigma_Q$ , then  $u = \sum_{Q \subseteq F} \lambda_F u_F$  for  $\lambda_F \geq 0$ . Let  $b_0 = \sum_F \text{facet}, Q \subseteq F - \lambda_F a_F \in \mathbb{R}$ . By construction<sup>1</sup>,  $P \subseteq H_{u,b_0}^+$  and  $Q \subseteq H_{u,b_0} \cap P$  because  $Q = \bigcap_{Q \subseteq F} F$

$\supseteq$  Assume that  $b \in \mathbb{R}$  is such that  $H_{u,b}$  is supporting and  $Q \subseteq H_{u,b} \cap P$ . Let  $v$  be a vertex of  $Q$  (which is also a vertex of  $P$ ). From  $P \subseteq H_{u,b}^+$  and  $P \in H_{u,b}$  it follows that  $C_v \subseteq H_{u,0}^+$ , i.e.  $u \in (C_v)^\vee = \sigma_v = \text{Cone}(u_F \mid v \in F)$ , thus  $u = \sum_{v \in F} \lambda_F u_F$  with some  $\lambda_F \geq 0$ . We have to show that if  $Q \not\subseteq F$  then  $\lambda_F = 0$ : fix  $F_0$  such that  $Q \not\subseteq F_0$  and  $p \in Q \setminus F_0$ .  $p, v \in Q \subseteq H_{u,b}$ , so

$$b = \langle p, u \rangle = \sum \lambda_F \langle p, u_F \rangle$$

but also

$$b = \langle v, u \rangle = \sum \lambda_F \langle v, u_F \rangle = - \sum_{v \in F} \lambda_F a_F$$

so  $\sum_{v \in F} \lambda_F \langle p, u_F \rangle = - \sum_{v \in F} \lambda_F a_F$ , but  $\langle p, u_F \rangle \geq -a_F$  for all  $F$ , so we get equality everywhere  $\lambda_F \neq 0$ . Since  $p \notin F_0$  we have  $\langle p, u_{F_0} \rangle > -a_{F_0}$ , so  $\lambda_{F_0} = 0$ .

2. If  $Q \leq P$  and  $F \leq P$  facet then  $u_F \in \sigma_Q$  if and only if  $Q \subseteq F$
3. if  $Q \subseteq Q'$  then  $\sigma_{Q'} \leq \sigma_Q$  and all faces of  $\sigma_Q$  are of this form<sup>2</sup>.
4.  $\sigma_Q \cap \sigma_{Q'} = \sigma_{Q''}$  where  $Q''$  is the smallest face of  $P$  which contains both  $Q$  and  $Q'$ .

□

**Remark 3.41.**  $\sigma_Q$  is strictly convex because each  $\sigma_Q$  is a face of some  $\sigma_v$  and  $\sigma_v$  is strictly convex because  $P$  is full-dimensional.

**Remark 3.42.** The  $\sigma_v$  are the **maximal cones** of  $\Sigma_P$  since any other  $\sigma_Q$  is a face of some  $\sigma_v$ .

<sup>1</sup> $\langle m, u \rangle = \sum \lambda_F \langle m, u_F \rangle \geq - \sum \lambda_F a_F = b_0$

<sup>2</sup>for this you need duality of faces for a cone  $\sigma$  (3.37).

**Definition 3.43.** A fan  $\Sigma$  in  $N_{\mathbb{R}}$  is called **complete** if

$$|\Sigma| = \bigcup_{\sigma \in \Sigma} \sigma = N_{\mathbb{R}}$$

**Proposition 3.44.** If  $P$  is a full-dimensional lattice polytope then  $\Sigma_P$  is complete.

*Proof.*

Fix  $u \in N_{\mathbb{R}} \setminus \{0\}$  and set  $b = \min \{\langle v, u \rangle \mid v \text{ vertex of } P\}$ . Then  $P \subseteq H_{u,b}^+$  and there exists  $v_0$  vertex such that  $\langle v_0, u \rangle = b$ , that is,  $v_0 \in H_{u,b_0}$ . From what we have seen, this implies that  $u \in \sigma_{v_0} \subseteq |\Sigma_P|$ .  $\square$

**Remark 3.45.** The normal fan of  $P$  is invariant with respect to dilations and translations by integral vectors, that is,

$$\Sigma_P = \sigma_{kP+m}$$

for any  $k \in \mathbb{N}$  and  $m \in M$ .

Together with the next proposition, this implies that the projective toric varieties  $X_{kP}, X_P, X_{P+m}$  are all abstractly isomorphic. The only difference is the embedding in projective space.

**Proposition 3.46.** If  $P$  is a very ample full-dimensional lattice polytope. Let  $v \neq w$  be vertices of  $P$  and let  $Q$  be the smallest face of  $P$  which contains both. Then<sup>a</sup>

$$X_{P \cap M} \cap U_v \cap U_w \cong U_{\sigma_Q} = \text{Spec } k[\sigma_Q^\vee \cap M].$$

<sup>a</sup> $\sigma_Q = \sigma_v \cap \sigma_w$  so intersections at the level of cones in the fan describe how the affine patches of the toric variety are glued together.

*Proof.*

We have inclusions

$$\begin{array}{ccc} U_{\sigma_v} & & U_{\sigma_w} \\ \parallel & & \parallel \\ X_{P \cap M} \cap U_v & & X_{P \cap M} \cap U_w \\ & \supseteq & \\ & X_{P \cap M} \cap U_v \cap U_w & \end{array}$$

and we identify the double intersection both with  $(U_{\sigma_v})_{t^{w-v}} \subseteq U_{\sigma_v}$  and  $(U_{\sigma_w})_{t^{v-w}} \subseteq U_{\sigma_w}$ .

We need to show that, for instance,  $(U_{\sigma_v})_{t^{w-v}}$  can be identified with  $U_{\sigma_Q}$ . Note that  $w - v \in C_v = \sigma_v^\vee$  so  $\tau := H_{w-v} \cap \sigma_v \leq \sigma_v$ . We saw that  $(U_{\sigma_v})_{t^{w-v}} \cong U_\tau$  (3.32).

Let us check that  $\tau = \sigma_Q$ . We know that  $\sigma_Q = \sigma_v \cap \sigma_w$  from the proof that the normal fan is a fan (3.40), i.e. we want  $H_{w-v} \cap \sigma_v = \sigma_w \cap \sigma_v$ .

- ⊆ If  $n \in H_{w-v} \cap \sigma_v \setminus \{0\}$  then there exists a unique  $b \in \mathbb{R}$  such that  $H_{u,b}$  is supporting for  $P$  and  $u \in \sigma_v$  implies  $v \in H_{u,b}$  (proposition from little ago). Also  $u \in H_{w-v}$ , that is,  $\langle w, u \rangle = \langle v, u \rangle$ , so putting the two facts together  $\langle w, u \rangle = b$ , that is,  $u \in \sigma_w$ .
- ⊇ If  $u \in \sigma_v \cap \sigma_w \setminus \{0\}$  and  $b \in \mathbb{R}$  such that  $H_{u,b}$  supporting then  $u \in \sigma_v$  implies  $v \in H_{u,b}$  and so .....  $\langle w - v, u \rangle = 0$  which implies  $u \in H_{w-v}$ .

□

**Remark 3.47.** What we are saying is that the toric variety depends only on the fan in some sense, not the polytope.

**Remark 3.48.** This shows that for a full-dimensional lattice polytope  $P$ ,  $X_P = X_{(kP) \cap M}$  where  $kP$  is very ample as an abstract variety / scheme only depends on the normal fan  $\Sigma_P$  and can be constructed directly from it.

**Example 3.49.** Let  $P = \Delta_n = \text{Cone}(0, e_1, \dots, e_n) \subseteq \mathbb{R}^n$ . Let  $A = \Delta_n \cap \mathbb{Z}^n = \{0, e_1, \dots, e_n\}$ .

$$\phi_A : \begin{array}{ccc} \mathbb{G}_m^n & \longrightarrow & \mathbb{P}^n \\ (a_1, \dots, a_n) & \longmapsto & [1, a_1, a_2, \dots, a_n] \end{array}$$

and this is exactly an embedding of the torus of  $\mathbb{P}^n$ , which is dense, so  $X_{\Delta_n} = \mathbb{P}^n$ .

Let us now try  $k\Delta_n$ . Then  $X_{k\Delta_n}$  is still isomorphic to  $\mathbb{P}^n$  but it is embedded in  $\mathbb{P}^{\binom{n+k}{k}-1}$  via the Veronese embedding. For example, for  $n = k = 2$  we have  $2\Delta_2 \cap \mathbb{Z}^2 = \{(0, 0), (1, 0), (2, 0), (0, 1), (0, 2), (1, 1)\}$  and

$$\phi_A : \begin{array}{ccc} \mathbb{G}_m^2 & \longrightarrow & \mathbb{P}^5 \\ (a, b) & \longmapsto & [1, a, a^2, b, b^2, ab] \end{array}$$

This extends to

$$\begin{array}{ccc} \mathbb{P}^2 & \longrightarrow & \mathbb{P}^5 \\ [x_0, x_1, x_2] & \longmapsto & [x_0^2, x_0x_1, x_1^2, x_0x_2, x_2^2, x_1x_2] \end{array}$$

which is the Veronese embedding.

**Example 3.50.** Consider the trapezoids given by the convex hull of

$$(0, 1), (0, 0), (0, b), (0, a)$$

and let  $X_{a,b}$  be the associated toric variety. If  $b - a = b' - a'$  then  $X_{a,b} \cong X_{a',b'}$  because the fan doesn't change (even though it's not necessarily the case that we get between such isomorphic polytope by scaling and translating).

This toric variety is called Hirzebruch surface  $H_r$  where  $r = b - a \in \mathbb{N}$ . Another description for it is  $\mathbb{P}(\mathcal{O} \oplus \mathcal{O}(-r))$ .

**Proposition 3.51.** If  $P$  is a full-dimensional lattice polytope, then  $X_P$  is normal (because the affine pieces are of the form  $U_{\sigma_v}$  for  $\sigma_v$  strictly convex) and  $X_P$  is smooth if and only if  $\Sigma_P$  is smooth fan (i.e. all cones in  $\Sigma_P$  are smooth).

*Proof.*

It follows from previous results and locality of the two properties.

□

## Chapter 4

# General normal toric varieties

Recall that a scheme is **separated** if the image of the diagonal is closed.

**Fact 4.1.** All quasi-projective varieties are separated.

**Definition 4.2.** An **(abstract) variety over  $k$**  is an integral separated scheme of finite type over  $k$ .

**Definition 4.3.** A **toric variety** is a variety  $X$  over  $k$  with dense open torus  $T_N \subseteq X$  such that the translation action of  $T_N$  on itself extends to  $X$ .

### 4.1 Toric varieties from fans

Given a fan  $\Sigma$  in  $N_{\mathbb{R}}$  we have affine toric varieties  $U_{\sigma}$  for each  $\sigma \in \Sigma$ , which we are going to glue together as follows:

Recall that if  $\tau \leq \sigma$  then  $\tau = H_m \cap \sigma$  and (2.126)

$$k[S_{\tau}] \cong k[S_{\sigma}]_{t^m}$$

and so

$$U_{\tau} \cong (U_{\sigma})_{t^m}.$$

**Lemma 4.4.** If  $\tau = \sigma_1 \cap \sigma_2$  and it is a face of both then there exists  $m \in (\sigma_1^{\vee}) \cap (-\sigma_2)^{\vee} \cap M$  such that

$$\sigma_1 \cap H_m = \sigma_2 \cap H_m = \tau.$$

This is called the **separating hyperplane**.

By the lemma, we can identify  $U_{\tau}$  with both  $(U_{\sigma_1})_{t^m}$  and  $(U_{\sigma_2})_{t^{-m}}$ , so we can use this isomorphism  $g_{\sigma_1, \sigma_2} : (U_{\sigma_1})_{t^m} \rightarrow (U_{\sigma_2})_{t^{-m}}$  to glue  $U_{\sigma_1}$  and  $U_{\sigma_2}$  along  $U_{\tau}$ .

It is possible to check (exercise) that the compatibilities are satisfied (descent data stuff). It is useful in the verification to consider the following diagram (showing its commutativity) for  $\sigma, \sigma', \sigma'' \in \Sigma$ :

$$\begin{array}{ccccc}
 & & U_{\sigma \cap \sigma'} & \xrightarrow{\quad} & U_{\sigma} \\
 & \nearrow & & \searrow & \\
 & & U_{\sigma \cap \sigma''} & \xrightarrow{\quad} & U_{\sigma'} \\
 U_{\sigma \cap \sigma' \cap \sigma''} & \xrightarrow{\quad} & & & \\
 & \searrow & & \nearrow & \\
 & & U_{\sigma' \cap \sigma''} & \xrightarrow{\quad} & U_{\sigma''}
 \end{array}$$

We denote the resulting variety by  $X_{\Sigma}$ .

**Theorem 4.5.**  $X_{\Sigma}$  is a toric variety.

*Proof.*

The torus of  $X_{\Sigma}$  is  $U_{\sigma} \cong T_N$  for  $\sigma = \{0\}$ , which is contained in any other  $U_{\sigma}$  as a dense open. So it is a dense open in  $X_{\Sigma}$  as well. The actions  $T_N \times U_{\sigma} \rightarrow U_{\sigma}$  are compatible with the gluing data so they glue to a global action  $T_N \times X_{\Sigma} \rightarrow X_{\Sigma}$  which extends the torus action.

Let us now check that  $X_{\Sigma}$  is separated. It is enough to show that for all  $\sigma_1, \sigma_2 \in \Sigma$  with intersection  $\tau$  then the “diagonal”  $\Delta : U_{\tau} \rightarrow U_{\sigma_1} \times U_{\sigma_2}$  has closed image. This is because the image of the actual diagonal is the union of these images and so it will be a finite union of closed subsets of  $X_{\Sigma} \times X_{\Sigma}$ . This is now an algebraic question because that morphism is closed when the map

$$\begin{array}{ccc}
 k[S_{\sigma_1}] \otimes k[S_{\sigma_2}] & \longrightarrow & k[S_{\tau}] \\
 t^m \otimes t^n & \longmapsto & t^{m+n}
 \end{array}$$

is surjective. This is the case because  $S_{\tau} = S_{\sigma_1} + S_{\sigma_2}$  as submonoids of  $M$ , indeed

- $\subseteq$  Recall that  $S_{\tau} = S_{\sigma_1} + \mathbb{N}(-m) \subseteq S_{\sigma_1} + S_{\sigma_2}$  for  $H_m$  separating hyperplane. The inclusion of  $-m$  in  $S_{\sigma_2}$  follows because  $m \in (-\sigma_2)^{\vee} \implies -m \in \sigma_2^{\vee}$ .
- $\supseteq$   $\sigma_1^{\vee} + \sigma_2^{\vee} \subseteq (\sigma_1 \cap \sigma_2)^{\vee} = \tau^{\vee}$  and now intersect with  $M$ .

□

We will see later that every toric variety is of this form.

### 4.1.1 Examples

**Example 4.6.** The fan of  $\mathbb{P}^2$  is the normal fan of the the simplex  $\Delta_2$ :

$$\Sigma_{\Delta_2} = \{\sigma_1, \sigma_2, \sigma_3, \sigma_1 \cap \sigma_2, \sigma_1 \cap \sigma_3, \sigma_2 \cap \sigma_3, \{0\}\}$$

where  $\sigma_1 = \text{Cone}((1, 0), (0, 1))$ ,  $\sigma_2 = \text{Cone}((0, 1), (-1, -1))$  and  $\sigma_3 = \text{Cone}((1, 0), (-1, -1))$ .

Note that  $\det \begin{pmatrix} 1 & 0 \\ -1 & -1 \end{pmatrix} = -1$  is invertible in  $\mathbb{Z}$ , so  $(1, 0), (-1, -1)$  is a  $\mathbb{Z}$ -basis of  $\mathbb{Z}^2$  and  $\sigma_3$  is smooth. A similar remark holds for the other cones.

Note that  $\sigma_1^{\vee} \cap M = \langle e_1, e_2 \rangle$  so  $U_{\sigma_1} \cong \text{Spec } k[x, y]$ . Similarly  $U_{\sigma_2} = \text{Spec } k[x^{-1}, x^{-1}y]$  and  $U_{\sigma_3} = \text{Spec } k[y^{-1}, xy^{-1}]$ . Abstractly  $U_{\sigma_1} \cong U_{\sigma_2} \cong U_{\sigma_3} \cong \mathbb{A}^2$  but the notation shows us the transition functions.

If in  $\mathbb{P}^2$  we have  $[x_0, x_1, x_2]$  we are saying  $x = x_1/x_0$  and  $y = x_2/x_0$ . Indeed  $x_0/x_1 = x^{-1}$ ,  $x_2/x_1 = x^{-1}y$  etc.

**Example 4.7.** The fan of  $\mathbb{P}^n$  is the one in  $\mathbb{R}^n$  given by the cones generated by proper (possibly empty) subsets of

$$\{e_1, \dots, e_n, -e_1 - \dots - e_n\}.$$

**Example 4.8.** Affine and projective toric varieties are of this form. For  $U_\sigma$  take  $U_\sigma = \{\text{faces of } \sigma\}$  and in the projective case we take the normal fan.

**Remark 4.9.** All toric varieties of dimension 1 are  $\mathbb{G}_m$ ,  $\mathbb{A}^1$  and  $\mathbb{P}^1$ , given by the possible fans in  $\mathbb{R}$ :  $\{\{0\}\}$ ,  $\{\text{Cone}(1), \{0\}\}$  and  $\{\text{Cone}(1), \text{Cone}(-1), \{0\}\}$ .

**Example 4.10.** Consider the fan  $\Sigma = \{\tau_1, \tau_2, \{0\}\}$  with  $\tau_1 = \text{Cone}((1, 0))$  and  $\tau_2 = \text{Cone}((0, 1))$  in  $\mathbb{R}^2$ .

$X_\Sigma$  is obtained by gluing together  $U_{\tau_1} = \mathbb{A}^1 \times \mathbb{G}_m$  and  $U_{\tau_2} = \mathbb{G}_m \times \mathbb{A}^1$  along  $\mathbb{G}_m \times \mathbb{G}_m$ . This results in  $\mathbb{A}^2 \setminus \{0\}$ , which we know to be neither affine nor projective.

**Remark 4.11.** We will see that there is a bijection between torus orbits on  $X_\Sigma$  and cones in  $\Sigma$ , so deleting a cone  $\sigma$  (and all other cones which contain it as a face) from the fan corresponds to removing the corresponding orbit.

**Example 4.12.** Consider  $\Sigma = \{\sigma_1, \sigma_2\}$  with  $\sigma_1 = \text{Cone}((0, 1), (1, 1))$  and  $\sigma_2 = \text{Cone}((1, 0), (1, 1))$ . It turns out that  $X_\Sigma$  in this case is  $\text{Bl}_{(0,0)} \mathbb{A}^2$ . Recall that  $\text{Bl}_{(0,0)} \mathbb{A}^2 = V(xy - x_1x) \subseteq \mathbb{P}^1 \times \mathbb{A}^2$ . If  $x_0 \neq 0$  and we name  $t = x_1/x_0$  then we get that  $\text{Bl}_{(0,0)} \mathbb{A}^2 \cap U_0 \times \mathbb{A}^2 = \mathbb{A}^3$  looks like  $V(y - tx)$ , which is isomorphic to  $\mathbb{A}^2 = \text{Spec } k[x, t]$ .

The  $X_\Sigma$  is obtained by gluing two copies of  $U_{\sigma_1} \cong \mathbb{A}^2$  and  $U_{\sigma_2} \cong \mathbb{A}^2$ . It is possible to check that the gluing conditions look like the ones we implied while looking at the affine charts of  $\text{Bl}_{(0,0)} \mathbb{A}^2$ :  $\sigma_1^\vee = \text{Cone}(e_1, e_2 - e_1)$ ,  $\sigma_2^\vee = \text{Cone}(e_2, e_1 - e_2)$ , so  $U_{\sigma_1} = \text{Spec } k[x, yx^{-1}]$ ,  $U_{\sigma_2} = \text{Spec } k[y, xy^{-1}]$  and now if we say  $y = xt$  then we get the conditions from before.

**Remark 4.13.** More generally, the fan generated by  $\{e_1, \dots, e_n, e_1 + \dots + e_n\}$  gives  $\text{Bl}_0 \mathbb{A}^n$ .

**Definition 4.14.** If  $\Sigma'$  and  $\Sigma$  are fans in  $N_{\mathbb{R}}$ ,  $\Sigma'$  is a **refinement** of  $\Sigma$  if for all  $\sigma' \in \Sigma'$  there exists  $\sigma \in \Sigma$  such that  $\sigma' \subseteq \sigma$ .

**Remark 4.15.** The previous example was a special case of the following result: if  $\Sigma'$  is a refinement of  $\Sigma$  there is an induced “toric morphism”  $X_{\Sigma'} \rightarrow X_\Sigma$  which is always proper and birational.

## 4.2 Orbit-cone correspondence

As we mentioned, there is a correspondence between torus orbits in  $X_\Sigma$  and cones in  $\Sigma$ . This allows us to reconstruct the fan  $\Sigma$  starting from  $X_\Sigma$ .

The way to detect cones of  $\Sigma$  from the  $T_N$ -action is by looking at limits  $\lim_{t \rightarrow 0} \lambda^n(t)$  of 1-parameter subgroups  $\lambda^n : \mathbb{G}_m \rightarrow T_N$ . This statement doesn’t make sense as stated but we are trying to emulate limits like for 1-ps in differential geometry. If  $k = \mathbb{C}$  the limit is the actual limit in the euclidia topology.



**Definition 4.16.** Let  $\lambda^n : \mathbb{G}_m \rightarrow T_N \subseteq X_\Sigma$  be a 1-ps.  $\lim_{t \rightarrow 0} \lambda^n(t)$  is defined to be  $\widehat{\lambda^n}(0)$  if  $\lambda^n$  extends to a morphism  $\widehat{\lambda^n} : \mathbb{A}^1 \rightarrow X_\Sigma$  (which is uniquely determined if it exists by separatedness of  $X_\Sigma$ ).

**Example 4.17.** The 1-ps  $\mathbb{G}_m \rightarrow \mathbb{G}_m \subseteq \mathbb{A}^1$  given by  $\lambda^n(t) = t$  has  $\lim_{t \rightarrow 0} \lambda^n(t) = 0$ . The one given by  $\lambda^n(t) = t^{-1}$  does not extend and so has no limit.

**Remark 4.18.** The codomain of the extension matters. The map  $t \mapsto t^{-1}$  seen as a morphism  $\mathbb{G}_m \rightarrow \mathbb{P}^1$  DOES extend to  $\mathbb{A}^1 \rightarrow \mathbb{P}^1$  and the value at 0 would be the point at infinity.

For  $u$  varying in  $N$ , the possible limits  $\lim_{t \rightarrow 0} \lambda^u(t) \in X_\Sigma$  are finitely many, one for each cone in  $\Sigma$ . It will be the case that the limit is  $\gamma_\sigma$  for  $\sigma$  cone exactly when  $u \in \text{Relint}(\sigma) \subseteq N_\mathbb{R}$ .

**Example 4.19.** In  $\mathbb{P}^2$  consider the cocharacter  $u = (a, b) \in N = \mathbb{Z}^2$  and the relative 1-parameter subgroup

$$\lambda^{(a,b)} : \begin{array}{ccc} \mathbb{G}_m & \longrightarrow & \mathbb{P}^2 \\ t & \longmapsto & [1, t^a, t^b] \end{array}$$

What is the limit

$$\lim_{t \rightarrow 0} [1, t^a, t^b] = ?$$

- If  $a, b > 0$  then  $[1, t^a, t^b] \rightarrow [1, 0, 0]$ .
- If  $a < 0$  and  $b > a$  then  $[1, t^a, t^b] = [t^{-a}, 1, t^{b-a}]$  so in that case the limit is  $[0, 1, 0]$ .
- If  $b < 0$  and  $a > b$  then the limit is  $[0, 0, 1]$ .
- If  $a = 0$  and  $b > 0$  then  $[1, 1, t^b] \rightarrow [1, 1, 0]$ .
- If  $a = b$  and  $b < 0$  then  $[0, 1, 1]$ .
- If  $b = 0$  and  $a > 0$  then  $[1, 0, 1]$ .
- Finally, for  $a = b = 0$  we get  $[1, 1, 1]$ .

**Definition 4.20.** Given a fan  $\Sigma$ , the limit points  $\gamma_\sigma$  are defined as follows:  $\gamma_\sigma \in U_\sigma \subseteq X_\Sigma$  is defined by the monoid homomorphism<sup>a</sup>

$$\gamma_\sigma : \begin{array}{ccc} S_\sigma & \longrightarrow & (k, \cdot) \\ m & \longmapsto & \begin{cases} 1 & \text{if } m \in \sigma^\vee \cap M \cap \sigma^\perp \\ 0 & \text{otherwise} \end{cases} \end{array}$$

<sup>a</sup>the intersection with  $\sigma^\perp$  is relevant only if  $\sigma$  is not full-dimensional.

**Remark 4.21.** The map  $\gamma_\sigma$  above is a homomorphism

*Proof.*

$\sigma^\perp \cap \sigma^\vee$  is a face of  $\sigma^\vee$ , so if  $m, m' \in \sigma^\vee \cap M$ , having  $m + m' \in \sigma^\vee \cap M \cap \sigma^\perp$  implies  $m + m' \in \sigma^\perp$  \*\*\*\*\*  $\square$

**Remark 4.22.** \*\*\*\*\* and the torus-fixed point  $p_\sigma$  of  $U_\sigma$  \*\*\*\* that we analyzed before.

**Example 4.23.** If  $\sigma = \mathbb{R}_{\geq 0} \subseteq \mathbb{R}^2$  (Cone( $e_1$ )) then  $S_\sigma = \mathbb{N} \oplus \mathbb{Z} (\sigma^\vee \cap \mathbb{Z}^2)$  then

$$\begin{aligned} \mathbb{N} \oplus \mathbb{Z} &\longrightarrow k \\ \gamma_\sigma : (n, m) &\longmapsto \begin{cases} 1 & \text{if } n = 0 \\ 0 & \text{otherwise} \end{cases} \end{aligned}$$

$U_\sigma = \mathbb{A}^1 \times \mathbb{G}_m$  and  $\gamma_\sigma \leftrightarrow (0, 1)$ , when there is a torus factor, i.e.  $\sigma$  not full-dimensional, and  $U_\sigma \cong U_{\sigma, N_1} \times T_{N_2}$  where  $N_1$  is the saturated  $\mathbb{Z}$ -span of  $\sigma \cap N$

$\gamma_\sigma = (p_{\sigma, N_1}, e)$  where the first is the torus-fixed point of  $U_{\sigma, N_1}$  and  $e$  is the neutral element of  $T_{N_2}$ .

**Remark 4.24.** If  $\tau \leq \sigma$  then  $U_\tau \subseteq U_\sigma$  as a principal open, so  $\gamma_\tau$  is also a point of  $U_\sigma$ , corresponding to the monoid homomorphism

$$\begin{aligned} S_\sigma &\longrightarrow k \\ m &\longmapsto \begin{cases} 1 & \text{if } m \in \sigma^\vee \cap \tau^\perp \cap M \\ 0 & \text{otherwise} \end{cases} \end{aligned}$$

**Remark 4.25.** The different  $\gamma_\sigma$  are distinct as points of  $X_\Sigma$ . The idea is to prove that if  $\tau < \sigma$  then<sup>1</sup>  $\gamma_\sigma \notin U_\tau$ , because in that case  $\gamma_\sigma = \gamma_{\sigma'}$  but  $\sigma \cap \sigma'$  would be a proper face of at least one of  $\sigma$  or  $\sigma'$  if they were different cones, contradiction.

The idea now is to show that the orbits of the torus action are precisely the orbits of these  $\gamma_\sigma$ , which we write  $\mathcal{O}(\sigma) = T_N \cdot \gamma_\sigma$ .

**Lemma 4.26.** The limit  $\lim_{t \rightarrow 0} \lambda^u(t)$  exists in  $U_\sigma$  if and only if for all  $m \in S_\sigma$ ,  $\lim_{t \rightarrow 0} \chi^m \lambda^u(t)$  exists in  $\mathbb{A}^1$ .

$$\mathbb{G}_m \xrightarrow{\lambda^u} T_N \subseteq U_\sigma \xrightarrow{\chi^m} \mathbb{A}^1$$

*Proof.*

We give the two implications

$\Rightarrow$  If  $\mathbb{G}_m \rightarrow U_\sigma$  extends to  $\mathbb{A}^1$  then the composite  $\mathbb{G}_m \rightarrow U_\sigma \rightarrow \mathbb{A}^1$  will also extend by composing the extension with  $\chi^m : U_\sigma \rightarrow \mathbb{A}^1$ .

$\Leftarrow$  If  $A = \{a_1, \dots, a_s\} \subseteq M$  is a finite set of generators for  $S_\sigma$  then  $k[x_1, \dots, x_s] \rightarrow k[S_\sigma]$  and this induces a closed embedding  $U_\sigma \hookrightarrow \mathbb{A}^s$ . By assumption,  $\mathbb{G}_m \rightarrow U_\sigma \rightarrow \mathbb{A}^s$  extends to  $\mathbb{A}^1 \rightarrow \mathbb{A}^s$  (it does in all coordinates). Since  $U_\sigma$  is closed, the extension will factor through  $U_\sigma$  (you can take the closure  $Z$  of the images of  $\mathbb{G}_m$  and  $\mathbb{A}^1$  in  $\mathbb{A}^s$ , which are the same because  $\mathbb{G}_m$  is dense in  $\mathbb{A}^1$ , and then  $Z \subseteq U_\sigma$  because  $U_\sigma$  is closed and  $Z$  is the closure of the image of  $\mathbb{G}_m$  which is contained in the image of  $U_\sigma$ , showing the desired factorization).

□

<sup>1</sup>use the fact that the image of the embedding of  $U_\tau \hookrightarrow U_\sigma$  is given by the homomorphisms  $S_\sigma \rightarrow k$  such that  $\gamma(m) \in k^*$ , where  $m \in M$  is such that  $\tau = \sigma \cap H_m$ .

**Remark 4.27.** We can also say that, when the limit exists, the limit point in  $U_\sigma$  corresponds to the homomorphism

$$\begin{array}{ccc} S_\sigma & \longrightarrow & k \\ m & \longmapsto & \lim_{t \rightarrow 0} \chi^m \lambda^u(t) \end{array} ,$$

indeed, using the embedding  $U_\sigma \subseteq \mathbb{A}^s$  as in the proof, points of  $U_\sigma$  become points of  $\mathbb{A}^s$  (homomorphisms  $\mathbb{N}^s \rightarrow k$  obtained by precomposing with the presentation of  $S_\sigma$  given by fixing generators) and the limit point is now the one with coordinates given by that formula for  $m = a_i$  with  $1 \leq i \leq s$ . Since  $a_1, \dots, a_s$  generate  $S_\sigma$ , the homomorphisms agree on generators of the domain.

**Proposition 4.28.** The limit  $\lim_{t \rightarrow 0} \lambda^u(t)$  exists in  $U_\sigma$  if and only if  $u \in \sigma$  in  $N_\mathbb{R}$  and if  $u \in \text{Relint}(\sigma)$  then the limit is  $\gamma_\sigma$ .

*Proof.*

By the lemma (4.26), the limit exists in  $U_\sigma$  if and only if  $\lim_{t \rightarrow 0} \chi^m \lambda^u(t)$  exists in  $\mathbb{A}^1$  for all  $m \in S_\sigma$ . Let us write  $t^{\langle m, u \rangle} = \chi^m \lambda^u(t)$ . We have that the limit exists if and only if for all  $m \in S_\sigma$  we have  $\langle m, u \rangle \geq 0$ , that is,  $u \in (\sigma^\vee)^\vee = \sigma$ .

Thanks to the previous remark, we can say that the limit point will correspond to the homomorphism

$$\begin{array}{ccc} S_\sigma & \longrightarrow & k \\ m & \longmapsto & \lim_{t \rightarrow 0} t^{\langle m, u \rangle} \end{array}$$

Now, if  $u \in \text{Relint}(\sigma)$  then (exercise)

$$\begin{cases} \langle m, u \rangle > 0 & \text{if } m \in S_\sigma \setminus \sigma^\perp \\ \langle m, u \rangle = 0 & \text{if } m \in S_\sigma \cap \sigma^\perp \end{cases}$$

and this gives exactly  $\gamma_\sigma$  as a limit point<sup>2</sup>. □

We will now describe the orbits  $\mathcal{O}(\sigma)$  of the torus action on  $X_\Sigma$  and their closures  $V(\sigma)$  starting from the fan  $\Sigma$  and then embed them in  $X_\Sigma$ .

For  $\sigma \in \Sigma$ , let  $N_\sigma \subseteq N$  be the saturated sublattice of  $N$  generated by  $\sigma \cap N$ . We have that

$$N(\sigma) = N/N_\sigma$$

is also a lattice and its dual can be canonically identified with  $M(\sigma) = \sigma^\perp \cap M$  via the non-degenerate pairing  $M(\sigma) \times N(\sigma) \rightarrow \mathbb{Z}$  induced by  $M \times N \rightarrow \mathbb{Z}$ .

Let  $\mathcal{O}(\sigma)$  be the torus corresponding to these lattices,  $\mathcal{O}(\sigma) = \text{Spec } k[M(\sigma)]$ . Note that  $\dim_\mathbb{R}(N_\sigma)_\mathbb{R} = \dim \sigma$ , so  $\dim \mathcal{O}(\sigma) = n - \dim \sigma$ , where  $n = \text{rk } N$ .

Also  $M(\sigma) \subseteq M$  gives a surjection of tori  $T_N \twoheadrightarrow \mathcal{O}(\sigma)$ , which gives an action of  $T_N$  on  $\mathcal{O}(\sigma)$ .

To define  $V(\sigma)$  we consider the “star” of  $\sigma$  in  $\Sigma$ :

**Definition 4.29.** Given a fan  $\Sigma$  and a cone  $\sigma$  in the fan, the **star** of  $\sigma$  is

$$\text{Star}(\sigma) = \{\tau \in \Sigma \mid \sigma \leq \tau\}.$$

<sup>2</sup>the idea is that  $\lim_{t \rightarrow 0} t^a$  for  $a > 0$  is 0, while  $\lim_{t \rightarrow 0} t^0 = \lim_{t \rightarrow 0} 1 = 1$ .

**Remark 4.30.** the images of the cones in  $\text{Star}(\sigma)$  in the quotient  $N(\sigma) = N/S_\sigma$  form a fan, which we still denote  $\text{Star}(\sigma)$ .

PICTURE

Let  $V(\sigma) = X_{\text{Star}(\sigma)}$ , the toric variety given by this fan in  $N(\sigma)_\mathbb{R}$ . This is an  $\mathcal{O}(\sigma)$ -toric variety (i.e.,  $\mathcal{O}(\sigma)$  is the torus for this variety).

By construction,  $V(\tau) = \bigcup_{\tau \leq \sigma} U_\sigma(\tau)$  where

$$U_\sigma(\tau) = \text{Spec } k[\bar{\sigma}^\vee \cap M(\tau)]$$

where  $\bar{\sigma} \in \text{Star}(\tau)$  is the quotient  $\sigma/N_\tau$ .

We can embed  $V(\tau)$  in  $X_\sigma$  as an orbit closure: we can construct the embedding locally as follows:

fix  $\sigma$  such that  $\tau \leq \sigma$ . We have a closed embedding  $U_\sigma(\tau) \hookrightarrow U_\sigma$  corresponding the homomorphism  $k[\sigma^\vee \cap M] \rightarrow k[\sigma^\vee \cap M \cap \tau^\perp]$  given by sending  $t^m$  to  $t^m$  if  $m \in \tau^\perp$  or to 0 otherwise. Equivalently this amounts to extending  $\gamma : \sigma^\vee \cap M \cap \tau^\perp \rightarrow k$  to

$$\begin{array}{ccc} \sigma^\vee \cap M & \longrightarrow & k \\ \tilde{\gamma} : m & \longmapsto & \begin{cases} \gamma(m) & \text{if } m \in \tau^\perp \\ 0 & \text{otherwise} \end{cases} \end{array}$$

This makes sense because  $\sigma^\vee \cap \tau^\perp$  is a face of  $\sigma^\vee$ .

These embeddings are compatible: if  $\tau \leq \sigma \leq \sigma'$  then

$$\begin{array}{ccc} U_\sigma(\tau) & \xhookrightarrow{\text{closed}} & U_\sigma \\ \text{open} \downarrow & & \downarrow \text{open} \\ U_{\sigma'}(\tau) & \xhookrightarrow{\text{closed}} & U_{\sigma'} \end{array}$$

commutes (check on the algebras).

So these maps glue to a closed embedding  $V(\tau) \rightarrow \bigcup_{\tau \leq \sigma} U_\sigma \subseteq X_\Sigma$ , that is, we now only know that the first of the two immersions is closed. To finish we just need to show that if  $V(\tau) \cap U_{\sigma'} \neq \emptyset$  then  $\tau \leq \sigma'$ .

**Lemma 4.31.** If  $\tau, \sigma$  are cones such that  $\tau \subseteq \sigma$  then  $\tau$  is a face of  $\sigma$  if and only if for all  $v, w \in \sigma$  we have  $v + w \in \tau \implies v, w \in \tau$ .

**Corollary 4.32.** If  $\tau, \tau'$  are faces of a cone  $\sigma$  such that  $\tau \subseteq \tau'$  then  $\tau \leq \tau'$ .

**Proposition 4.33.** If  $V(\tau) \cap U_{\sigma'} \neq \emptyset$  then  $\tau \leq \sigma'$ .

*Proof.*

Assume  $\gamma \in V(\tau) \cap U_{\sigma'}$ . From what we have seen, there exists  $\sigma \in \Sigma$  such that  $\tau \leq \sigma$  and  $\gamma \in U_\sigma$ , so

$$\gamma \in V(\tau) \cap U_\sigma \cap U_{\sigma'} = U_\sigma(\tau) \cap U_{\sigma'} = \text{Spec } k[\sigma^\vee \cap \tau^\perp \cap M] \cap U_{\sigma'}.$$

The inclusion  $U_\sigma(\tau) \subseteq U_\sigma$  at the level of points corresponds to extension by 0 (if we view the points as monoid homomorphisms to  $(k, \cdot)$ ).

Since  $U_\sigma(\tau) \subseteq U_\sigma$ ,  $U_\sigma(\tau) \cap U_{\sigma'} = U_\sigma(\tau) \cap U_{\sigma \cap \sigma'}$  and  $U_{\sigma \cap \sigma'} \subseteq U_\sigma$  corresponds to the points  $\alpha : \sigma^\vee \cap M \rightarrow k$  such that  $\alpha(m) \in k^*$  for  $m \in M$  such that  $H_m \cap \sigma = \sigma \cap \sigma'$ .

Since  $\gamma \in U_\sigma(\tau) \cap U_{\sigma \cap \sigma'}$  it must be the case that  $m \in \tau^\perp$  because outside of  $\tau^\perp$  we extend by 0 and  $\alpha(m)$  has to be invertible. This means that  $\langle m, n \rangle = 0$  for  $n \in \tau$  and since  $H_m \cap \sigma = \sigma \cap \sigma'$  we have  $\tau \subseteq \sigma \cap \sigma'$ . By corollary (4.32), we have  $\tau \leq \sigma \cap \sigma'$  and since  $\sigma \cap \sigma' \leq \sigma'$  we have  $\tau \leq \sigma'$ .  $\square$

**Remark 4.34.** These maps are  $T_N$ -equivariant, where the action of  $T_N$  on  $\mathcal{O}(\sigma)$  and  $V(\sigma)$  are induced by the surjection  $T_N \rightarrow \mathcal{O}(\sigma)$  corresponding to  $M(\sigma) \subseteq M$ .

*Proof.*

If  $\tau \leq \sigma$  we need to check that the diagram commutes

$$\begin{array}{ccccc} T_N \times U_\sigma(\tau) & \longrightarrow & \mathcal{O}(\sigma) \times U_\sigma(\tau) & \longrightarrow & U_\sigma(\tau) \\ \downarrow & & & & \downarrow \\ T_N \times U_\sigma & \longrightarrow & & \longrightarrow & U_\sigma \end{array}$$

and this is true after looking at the coordinate rings (exercise).  $\square$

**Remark 4.35.** This implies that  $\mathcal{O}(\sigma) \subseteq X_\Sigma$  is an orbit for the torus action and that  $V(\sigma)$  is its closure (because it is closed and  $\mathcal{O}(\sigma)$  is its dense torus when seen as a toric variety).

If  $\tau \leq \tau'$  then we have a closed embedding  $V(\tau') \hookrightarrow V(\tau)$  making the diagram commute

$$\begin{array}{ccc} V(\tau') & \hookrightarrow & V(\tau) \\ & \searrow & \swarrow \\ & X_\Sigma & \end{array}$$

This can be described locally as: for  $\tau' \leq \sigma$  we have  $U_\sigma(\tau') \hookrightarrow U_\sigma(\tau)$  closed immersion described algebraically by

$$\begin{array}{ccc} k[\sigma^\vee \cap \tau^\perp \cap M] & \longrightarrow & k[\sigma^\vee \cap (\tau')^\perp \cap M] \\ t^m & \longmapsto & \begin{cases} t^m & \text{if } m \in (\tau')^\perp \\ 0 & \text{otherwise} \end{cases} \end{array}$$

This is compatible with the embedding in  $U_\sigma$ .

**Remark 4.36.** This embedding  $V(\tau') \subseteq V(\tau)$  can also be seen as the embedding  $V(\tau') \subseteq X_{\text{Star}(\tau)}$ .

This gives a map

$$\begin{array}{ccc} \{\text{cones in } \Sigma\} & \longrightarrow & \{\text{torus orbits in } X_\Sigma\} \\ \sigma & \longmapsto & \mathcal{O}(\sigma) \end{array}$$

(or equivalently we may take  $\{\text{orbit closures}\}$  and assign  $\sigma \mapsto V(\sigma)$ ).

**Remark 4.37.** The map is injective because of the fact (exercise) that  $\gamma_\sigma \in \mathcal{O}(\sigma)$  and  $\gamma_\sigma \notin \mathcal{O}(\sigma')$  for  $\sigma' \neq \sigma$ .

This also shows that  $\mathcal{O}(\sigma) = T_N \cdot \gamma_\sigma$ .

Recall that  $\dim \mathcal{O}(\sigma) = \dim T_N - \dim \sigma$ .

**Proposition 4.38.** We have

1.  $U_\sigma = \bigcup_{\tau \leq \sigma} \mathcal{O}(\tau)$ , so in particular every torus orbit is one of the  $\mathcal{O}(\sigma)$ .
2.  $\mathcal{O}(\sigma) = V(\sigma) \setminus \bigcup_{\sigma < \tau} V(\tau)$ .
3.  $V(\tau) = \bigcup_{\tau \leq \sigma} \mathcal{O}(\sigma)$ .

*Proof.*

We prove the three propositions

1. Pick  $\gamma \in U_\sigma$ , which we see as a homomorphism  $\gamma : \sigma^\vee \cap M \rightarrow k$ . Look at  $\gamma^{-1}(k^*) \subseteq \sigma^\vee \cap M$  and note that the cone generated by this submonoid is a face of  $\sigma^\vee$  (follows from the fact that if  $m, m' \in \sigma^\vee \cap M$  are such that  $m+m' \in \gamma^{-1}(k^*)$  then  $\gamma(m)\gamma(m') \in k^* \implies \gamma(m), \gamma(m') \in k^*$ , that is,  $m, m' \in \gamma^{-1}(k^*)$ ). By taking the dual (as a face, not as a cone) of this face we get  $\gamma^{-1}(k^*) = \sigma^\vee \cap \tau^\perp \cap M$  for some  $\tau \leq \sigma$ . This means exactly that  $\gamma \in \mathcal{O}(\tau) = \text{Spec } k[\tau^\perp \cap M]$ .
2. Changing notation so that  $N = N(\sigma)$ , we reduce to proving that

$$T_N = X_\Sigma \setminus \bigcup_{\tau \neq 0} V(\tau)$$

Intersecting with  $U_\sigma$  for some  $\sigma \in \Sigma$  this becomes

$$T_N = U_\sigma \setminus \bigcup_{\tau \neq 0} V(\tau) \cap U_\sigma = U_\sigma \setminus \bigcup_{\tau \neq 0} U_\sigma(\tau)$$

and thus follows from 1. when applied to  $U_\sigma$ :

$$U_\sigma = \coprod_{\tau \leq \sigma} \mathcal{O}(\tau) = T_N \sqcup \coprod_{0 \neq \tau \leq \sigma} \mathcal{O}(\tau) \subseteq T_N \sqcup \bigcup_{0 \neq \tau \leq \sigma} U_\sigma(\tau).$$

3. Follows from 2. by induction on  $n - \dim \tau$ .

□

**Example 4.39.** Let  $\Sigma$  be the fan of  $\mathbb{A}^2$ , that is

$$\Sigma = \{\sigma, \tau_1, \tau_2, \{0\}\}$$

for

$$\sigma = \text{Cone}(e_1, e_2) \quad \text{and} \quad \tau_i = \text{Cone}(e_i).$$

We get

$$\mathbb{A}^2 = U_\sigma = \mathcal{O}(\sigma) \sqcup \mathcal{O}(\tau_1) \sqcup \mathcal{O}(\tau_2) \sqcup \mathcal{O}(0) = \{(0, 0)\} \sqcup \{(0, y) \mid y \neq 0\} \sqcup \{(x, 0) \mid x \neq 0\} \sqcup \mathbb{G}_m^2.$$

Indeed:  $N(\tau_2) = N/N_{\tau_2} = \mathbb{Z}$  and

$$V(\tau_2) = X_{\text{Star}(\tau_2)} = \mathbb{A}^1 = \text{Spec } k[t] \hookrightarrow U_\sigma = \text{Spec } k[x, y]$$

let  $\sigma' = \tau_1/N_{\tau_2}$

$(\sigma')^\vee \tau_2^\perp \cap M = \langle e_1 \rangle \cap (\sigma')^\vee \cong \mathbb{N}$ . So  $V(\tau_2) \subseteq \mathbb{A}^2$  is the  $x$ -axis.....

In the projective case, i.e. for  $X_P$  with  $P$  full-dimensional lattice polytope, for a face  $Q \leq P$  there is a cone  $\sigma_Q \in \Sigma_P$ , so the orbit closures on  $X_P$  are exactly the  $V(\sigma_Q)$  for faces  $Q \leq P$ . This correspondence becomes more “visual”.

For example, note that  $Q \mapsto V(\sigma_Q) \subseteq X_P$  preserves dimensions.

**Proposition 4.40.**  $V(\sigma_Q) \cong X_Q$  as toric varieties.

**Example 4.41.**  $\mathbb{P}^2$  comes from  $\Delta_2$  for example. The edges of  $\Delta_2$  correspond to the coordinate hyperplanes in  $\mathbb{P}^2$ , the intersection points are the three origins  $([1, 0, 0], [0, 1, 0], [0, 0, 1])$ . The interior corresponds to the torus.

**Remark 4.42.** If  $k = \mathbb{C}$  there is a continuous (for the euclidian topology) function (called moment/momentum map)

$$\mathbb{CP}^2 \rightarrow \Delta_2$$

which is a “degenerate torus fibration”, i.e. the fibers of points in the interior of  $\Delta_2$  the fiber is a torus, over an edge the fibers are circles and over the vertices they are points.

In the case of  $\mathbb{CP}^1$  the fibers over points in  $(0, 1)$  are circles and over the extremes  $\{0, 1\}$  you get points.

### 4.3 Rough sketch that every toric variety comes from a fan

**Theorem 4.43** (Sumihiro). If  $T_N \curvearrowright X$  with  $X$  normal and separated then there exists  $\{U_i\}$  open affine invariant cover of  $X$ .

Every such  $U_i$  is an affine normal  $T_N$ -toric variety  $U_i \cong U_{\sigma_i}$  for  $\sigma_i \subseteq N_{\mathbb{R}}$  strongly convex rational cone. Then one shows

- $U_i \cap U_j$  can be identified with  $U_{\sigma_i \cap \sigma_j}$
- $\sigma_i \cap \sigma_j$  is a face of both (this follows from the general fact that if  $\tau \subseteq \sigma$  and  $U_\tau \rightarrow U_\sigma$  is an open embedding (induced by  $\sigma^\vee \rightarrow \tau^\vee$ ) then it follows that  $\tau \leq \sigma$ ).
- $X \cong X_\Sigma$  where  $\Sigma$  is the fan consisting of the  $\sigma_i$  and their faces.

### 4.4 Properness of toric varieties

#### 4.4.1 Properness and valuative criterion

Note that in algebraic geometry, classical compactness is almost always verified because Zariski open sets are very big. Properness is the correct analogue.

**Definition 4.44.** An abstract variety  $X$  is **proper** if it is separated and for all  $Y$  abstract variety the projection  $X \times Y \rightarrow Y$  is closed.

**Example 4.45.**  $\mathbb{P}^n$  is proper,  $Z \subseteq \mathbb{P}^n$  closed is also proper.

**Example 4.46.**  $\mathbb{A}^n$  is not proper. For example  $\mathbb{A}^1 \times \mathbb{A}^1 \rightarrow \mathbb{A}^1$  is not closed ( $V(xy-1)$  goes to  $\mathbb{G}_m$ , which is not closed in  $\mathbb{A}^1$ ).

**Remark 4.47.** Sometimes proper is called complete. The idea is that  $X$  does not have any “punctures”, i.e. limits of points on curves always exist.

**Remark 4.48.**  $X$  is both proper and affine only if  $X$  composed of a finite amount of points.

**Definition 4.49.** A morphism  $f : X \rightarrow Y$  of varieties is **proper** if it is universally closed<sup>a</sup>, i.e.  $f$  is closed and for all  $Z \rightarrow Y$  morphism the projection  $X \times_Y Z \rightarrow Z$  is closed.

<sup>a</sup>in general you also impose separated of finite type but these conditions are automatic from our definition of abstract varieties

**Fact 4.50** (Valuative criterion for properness). If  $X, Y$  are varieties (finite type schemes over  $k$ ) and  $f : X \rightarrow Y$  is a morphism, then  $f$  is proper if and only if for all  $R$  DVR over  $k$  with fraction field  $\mathbb{K}$ , in any diagram

$$\begin{array}{ccc} \text{Spec } \mathbb{K} & \longrightarrow & X \\ \downarrow & \nearrow \text{dotted} & \downarrow \\ \text{Spec } R & \longrightarrow & Y \end{array}$$

there is a unique dotted arrow that makes everything commute.

**Example 4.51.** Let  $R = k[[t]]$ . The fraction field is  $\mathbb{K} = k[[t]][t^{-1}] = k((t))$ .  $\text{Spec } R$  has two elements, the ideal  $(0)$  and the maximal ideal  $(t)$ .

$\text{Spec } \mathbb{K} \rightarrow \text{Spec } R$  is an embedding of the generic point in  $\text{Spec } R$  (because  $K = R_{(0)}$ ). The generic point is like a “fuzzy neighborhood of a curve” or “a piece of a curve”. A diagram like before means that we have some piece of a curve in  $X$  and we have a curve plus an actual point in  $Y$ , then the criterion says that  $f$  is proper when we can find a unique “limit point” of the piece of curve in  $X$  which commutes with the projections to  $Y$ .

If we take  $Y = \text{Spec } k$ , we see that properness of  $X$  corresponds to some kind of existence and uniqueness of limits for curves in  $X$ .

**Remark 4.52.** You could rephrase the valuative criterion using smooth projective curves instead of  $\text{Spec } R$  and a non-empty open  $U \subseteq C$  instead of  $\text{Spec } \mathbb{K}$ , but this form is much more convenient.

**Example 4.53.** Let’s check that  $\mathbb{P}^n \rightarrow \text{Spec } k$  is proper using the valuative criterion. Let  $R$  be a DVR with uniformizing parameter  $\pi$  and fraction field  $\mathbb{K}$ . Suppose we have a diagram

$$\begin{array}{ccc} \text{Spec } \mathbb{K} & \longrightarrow & \mathbb{P}^n \\ \downarrow & & \downarrow \\ \text{Spec } R & \longrightarrow & \text{Spec } k \end{array}$$



The morphism  $\text{Spec } \mathbb{K} \rightarrow \mathbb{P}^n$  corresponds to a closed point  $\mathbb{P}^n(\mathbb{K})$ , that is,  $[x_0, \dots, x_n]$  with  $x_i \in \mathbb{K}$  and (say)  $x_0 \neq 0$ . Since  $R$  is a DVR, the  $x_i$  have a valuation  $v(x_i)$ . Let  $k = \min \{v(x_i) \mid 0 \leq i \leq n, x_i \neq 0\}$ . Note that

$$[x_0, \dots, x_n] = [\pi^{-k}x_0, \dots, \pi^{-k}x_n]$$

and now by construction the valuation of the coordinates in the second form lie in  $R$ . Moreover, there is a  $j$  such that  $v(\pi^{-k}x_j) = 0$ , that is,  $\pi^{-k}x_j \in R^*$ , so the morphism  $\text{Spec } R \rightarrow \mathbb{A}^n \cong U_j \subseteq \mathbb{P}^n$  given by

$$\begin{array}{ccc} k[y_1, \dots, y_n] & \longrightarrow & R \\ y_i & \longmapsto & \frac{\pi^{-k}x_i}{\pi^{-k}x_j} \end{array}$$

provides a lift, which is also unique, showing the hypothesis of the valuative criterion.

Using the valuative criterion, we will show that  $X_\Sigma$  is proper if and only if  $\Sigma$  is a complete fan.

#### 4.4.2 Toric morphisms

Let  $N, N'$  be two lattices with fans  $\Sigma$  in  $N_\mathbb{R}$  and  $\Sigma'$  in  $N'_\mathbb{R}$ .

Given a group homomorphism  $\varphi : N \rightarrow N'$  which is compatible with the fans, i.e. for all  $\sigma \in \Sigma$  there exists  $\sigma' \in \Sigma'$  such that  $\varphi_\mathbb{R}(\sigma) \subseteq \sigma'$  for  $\varphi_\mathbb{R} = \varphi \otimes \text{id}_\mathbb{R} : N_\mathbb{R} \rightarrow N'_\mathbb{R}$ .

In this situation we can construct an induced morphism  $\varphi_* : X_\Sigma \rightarrow X_{\Sigma'}$ .

If  $\sigma \in \Sigma$  and  $\sigma' \in \Sigma'$  such that  $\varphi_\mathbb{R}(\sigma) \subseteq \sigma'$  then we have  $\varphi_\mathbb{R}|_\sigma : \sigma \rightarrow \sigma'$  and this defines a morphism of monoids  $(\sigma')^\vee \cap M' \rightarrow \sigma^\vee \cap M$ , which gives a morphism  $U_\sigma \rightarrow U_{\sigma'}$ .

These morphisms are compatible (exercise) so they glue to a global morphism  $X_\Sigma \rightarrow X_{\Sigma'}$ .

**Definition 4.54.** A morphism defined as above is called a **toric morphism**.

**Remark 4.55.** Any toric morphism  $f = \varphi_* : X_\Sigma \rightarrow X_{\Sigma'}$  is<sup>3</sup>  $(T_N \rightarrow T_{N'})$ -equivariant, that is, we have a commutative diagram

$$\begin{array}{ccc} T_N \times X_\Sigma & \longrightarrow & T_{N'} \times X_{\Sigma'} \\ \downarrow & & \downarrow \\ X_\Sigma & \longrightarrow & X_{\Sigma'} \end{array}$$

This is because it commutes at the level of

$$\begin{array}{ccc} T_N \times T_N & \longrightarrow & T_{N'} \times T_{N'} \\ \downarrow & & \downarrow \\ T_N & \longrightarrow & T_{N'} \end{array}$$

which are dense (and all schemes are separated here).

<sup>3</sup>the map  $T_N \rightarrow T_{N'}$  is defined by starting from  $\varphi : N \rightarrow N'$ , getting  $\varphi^\vee : M' \rightarrow M$  and then taking the Cartier duals  $T_N = D(M) \rightarrow D(M') = T_{N'}$

**Example 4.56.** Consider  $\varphi = id_{\mathbb{Z}^2} : \mathbb{Z}^2 \rightarrow \mathbb{Z}^2$ ,  $\Sigma$  the fan generated by  $\sigma_1 = \text{Cone}((0, 1), (1, 1))$ ,  $\sigma_2 = \text{Cone}((1, 0), (1, 1))$  and  $\Sigma'$  the fan generated by  $\text{Cone}((0, 1), (1, 0))$ .

Recall that  $X_\Sigma = \text{Bl}_{(0,0)} \mathbb{A}^2$  and  $X_{\Sigma'} = \mathbb{A}^2$ . Note that  $\varphi$  is compatible with the fans and the induced toric morphism  $\varphi_* : \text{Bl}_{(0,0)} \mathbb{A}^2 \rightarrow \mathbb{A}^2$  is the blow-up map.

**Theorem 4.57.** A toric morphism  $\varphi_*$  is proper if and only if  $\varphi_{\mathbb{R}}^{-1}(|\Sigma'|) = |\Sigma|$ .

*Proof.*

Note that the inclusion  $|\Sigma| \subseteq \varphi_{\mathbb{R}}^{-1}(|\Sigma'|)$  is true by definition.

We use the valuative criterion for both arrows:

$\Rightarrow$  Assume  $\varphi_*$  is proper and by contradiction let  $u \in N_{\mathbb{R}}$  be such that  $\varphi_{\mathbb{R}}(u) \in |\Sigma'|$  but  $u \notin |\Sigma|$ . Restating the first part of the assumption, there exists  $\sigma' \in \Sigma'$  such that  $\varphi(u) \in \sigma'$ . Recall that  $\lim_{t \rightarrow 0} \lambda^u(t)$  exists in  $U_\sigma$  if and only if  $u \in \sigma$  (4.26), so by assumption  $\lambda^{\varphi(u)} : \mathbb{G}_m \rightarrow T_{N'} \subseteq U_{\sigma'}$  has a limit as  $t \rightarrow 0$ , but  $\lambda^u : \mathbb{G}_m \rightarrow T_N \subseteq X_\Sigma$  does not have a limit.

$$\begin{array}{ccccc} \mathbb{G}_m & \longrightarrow & T_N & \longrightarrow & X_\Sigma \\ & & \downarrow & & \downarrow \\ & & T_{N'} & \longrightarrow & X_{\Sigma'} \end{array}$$

Note that  $\varphi_* \circ \lambda^u = \lambda^{\varphi(u)}$  so

$$\begin{array}{ccccc} \text{Spec } \mathbb{K} & \longrightarrow & \mathbb{G}_m & \longrightarrow & X_\Sigma \\ \downarrow & & \downarrow & \nearrow \exists & \downarrow \\ \text{Spec } R & \longrightarrow & \mathbb{A}^1 & \xrightarrow[\widetilde{\lambda^{\varphi(u)}}{} & X_{\Sigma'} \end{array}$$

where  $R = \mathcal{O}_{\mathbb{A}^1, 0}$  and  $\widetilde{\lambda^{\varphi(u)}}$  is the extension of  $\lambda^{\varphi(u)}$ . Since the blue arrow cannot exist, the lift from the DVR also can't (details to check), contradicting properness.

$\Leftarrow$  Suppose we have  $\varphi_{\mathbb{R}}^{-1}(|\Sigma'|) = |\Sigma|$ . Let us consider a diagram

$$\begin{array}{ccccc} \text{Spec } \mathbb{K} & \longrightarrow & X_\Sigma & \supseteq & T_N \\ \downarrow & & \downarrow \varphi_* & & \downarrow \varphi_* \\ \text{Spec } R & \longrightarrow & X_{\Sigma'} & \supseteq & T_{N'} \end{array}$$

we take the following (non trivial) fact as a given: since  $X_\Sigma$  is irreducible, we allow ourselves to check the lifting property for diagrams where  $\text{Spec } \mathbb{K}$  maps into  $T_N$ .

Now now that  $\text{Spec } R \rightarrow X_{\Sigma'}$  will factor through some  $U_{\sigma'}$  for some  $\sigma' \in \Sigma'$ . We want to find  $\sigma \in \Sigma$  and a lifting

$$\begin{array}{ccccc} \text{Spec } \mathbb{K} & \longrightarrow & T_N & \subseteq & U_\sigma \\ \downarrow & & & \nearrow \varphi_* & \\ \text{Spec } R & \longrightarrow & U_{\sigma'} & & \end{array}$$

Passing to the algebras this means the following:

$$\begin{array}{ccccc}
 \mathbb{K} & \longleftarrow & k[M] & \supseteq & k[S_\sigma] \\
 \cup & & \uparrow & \nearrow & \\
 R & \longleftarrow & k[S_{\sigma'}] & & 
 \end{array}$$

the homomorphism  $k[M] \rightarrow \mathbb{K}$  is encoded by a group homomorphism  $\alpha : M \rightarrow (\mathbb{K}^*, \cdot)$ .

If we compose  $v \circ \alpha : M \rightarrow \mathbb{K}^* \rightarrow \mathbb{Z}$  we get an element of  $(M^\vee)^\vee = N$  and a lift exists only when  $v(\alpha(m)) \geq 0$  for all  $m \in S_\sigma$ , that is, when  $v \circ \alpha \in (\sigma^\vee)^\vee = \sigma$ . So the existence of a lift reduces to showing that something belongs to  $\sigma$ .

Now,  $\alpha \circ \varphi^\vee : M' \rightarrow \mathbb{K}^*$  corresponds to  $\text{Spec } \mathbb{K} \rightarrow T_N \rightarrow T_{N'}$  and we know that this lifts to  $\text{Spec } R$  so  $v \circ \alpha \circ \varphi^\vee$  is non-negative on  $S_{\sigma'}$ . So  $\varphi(v \circ \alpha) \in (\sigma'^\vee)^\vee = \sigma'$ .

Now, by assumption, there exists a cone  $\sigma \in \Sigma$  such that  $v \circ \alpha \in \sigma$  (and  $\varphi_\mathbb{R}(\sigma) \subseteq \sigma'$ ) so  $v \circ \alpha \geq 0$  on  $S_\sigma$  and thus we have a factorization of  $k[S_\sigma] \rightarrow k[M] \xrightarrow{\alpha} \mathbb{K}$  through  $R$ .

Uniqueness of the lift follows from separatedness. □

**Remark 4.58.** If  $N' = \{0\}$  then  $X_{\Sigma'} = \text{Spec } k$ , so if  $\Sigma$  is complete then  $X_\Sigma$  is proper.

## 4.5 More on toric morphisms

There are two important types of toric morphisms: refinements and changes of lattice.

**Definition 4.59.** A fan  $\Sigma'$  is a **refinement** (ir **subdivision**) of  $\Sigma$  if for all  $\sigma' \in \Sigma'$  there exists some  $\sigma \in \Sigma$  with  $\sigma' \subseteq \sigma$  (this says that  $\text{id}_N : N \rightarrow N$  is compatible with the fans) and  $|\Sigma| = |\Sigma'|$ .

**Theorem 4.60.** Refinements induce proper and birational toric morphisms.

**Remark 4.61.** Refinements can be used to reduce singularities.

**Fact 4.62.** Blowups at torus-invariant closed subvarieties can also be described by refinements.

**Definition 4.63.** Let  $\Sigma$  be a fan in  $N_\mathbb{R}$  and consider a finite-index<sup>a</sup> sublattice  $N' \subseteq N$ . Note that  $N_\mathbb{R} = N'_\mathbb{R}$ , so  $\Sigma$  is also a fan in  $N'_\mathbb{R}$ . The inclusion  $N' \hookrightarrow N$  is compatible with  $\Sigma$ .

<sup>a</sup> $N/N'$  finite. For example  $n_1\mathbb{Z} \times \cdots \times n_n\mathbb{Z} \subseteq \mathbb{Z}^n$  with  $n_i \neq 0$ .

$$0 \rightarrow N' \rightarrow N \rightarrow Q \rightarrow 0$$

with  $Q$  torsion

$$0 \rightarrow \underbrace{\text{Hom}(Q, \mathbb{Z})}_{=0} \rightarrow \underbrace{\text{Hom}(N, \mathbb{Z})}_{=M} \rightarrow \underbrace{\text{Hom}(N', \mathbb{Z})}_{=M'} \rightarrow \text{Ext}^1(Q, \mathbb{Z}) \rightarrow 0$$

so we have  $M \hookrightarrow M'$ , which yields  $T_{N'} = D(M') \twoheadrightarrow D(M) = T_N$ .

$G = \ker(T_{N'} \rightarrow T_N) \subseteq T_{N'}$  is a finite group ( $\text{char } k = 0$ ). Now  $T_{N'}$  acts on  $X_{\Sigma, N'}$  and therefore  $G$  also acts on  $X_{\Sigma, N'}$ .

**Fact 4.64.** We have an isomorphism<sup>a</sup>  $X_{\Sigma, N'}/G \cong X_{\Sigma, N}$

<sup>a</sup>there is a way to construct quotients of schemes for actions of finite groups. In the affine case you take the spectrum of the subring of invariants of the ring of regular functions.

Recall that a toric morphism  $\varphi_* : X_{\Sigma} \rightarrow X_{\Sigma'}$  restricts to a homomorphism of algebraic groups  $T_N \rightarrow T_{N'}$ .

**Theorem 4.65.** A morphism  $f : X_{\Sigma} \rightarrow X_{\Sigma'}$  is toric if and only if when we restrict it to  $T_N$  we get a homomorphism of algebraic groups  $f|_{T_N} : T_N \rightarrow T_{N'}$ .

*Proof.*

The homomorphism  $f|_{T_N} : T_N \rightarrow T_{N'}$  gives a homomorphism  $\varphi : N \rightarrow N'$  by functoriality. This homomorphism yields  $T_N \rightarrow T_{N'}$  back by the usual construction ( $\varphi$  gives  $\varphi^\vee : M' \rightarrow M$  which gives  $k[M'] \rightarrow k[M]$  and so  $T_N \rightarrow T_{N'}$ ). To conclude we just need to check compatibility with the fans because then  $\varphi_* : X_{\Sigma} \rightarrow X_{\Sigma'}$  will be well defined and on the torus it gives  $f|_{T_N}$  back, which by separatedness shows that  $f = \varphi_*$ .

Note that  $f : X_{\Sigma} \rightarrow X_{\Sigma'}$  is  $(T_N \rightarrow T_{N'})$ -equivariant (commutes on tori and extend). The equivariance implies that the image of a  $T_N$ -orbit in  $X_{\Sigma}$  is contained in a  $T_{N'}$ -orbit of  $X_{\Sigma'}$ .

The idea is to use the orbit-cone correspondence to show compatibility.

Pick  $\sigma \in \Sigma$  and consider  $\mathcal{O}(\sigma) \subseteq X_{\Sigma}$ . We just noted that there must exist  $\mathcal{O}(\sigma') \subseteq X_{\Sigma'}$  orbit such that  $f(\mathcal{O}(\sigma)) \subseteq \mathcal{O}(\sigma')$ .

Let us show that  $f|_{U_{\sigma}}$  has image in  $U_{\sigma'}$ . Recall that

$$U_{\sigma} = \coprod_{\tau \leq \sigma} \mathcal{O}(\tau)$$

If  $\tau \leq \sigma$  there will exist some  $\tau' \in \Sigma'$  with  $f(\mathcal{O}(\tau)) \subseteq \mathcal{O}(\tau')$ . The factorization we want happens if  $\tau'$  is a face of  $\sigma'$ . Recall that  $\overline{\mathcal{O}(\tau)} = V(\tau) = \coprod_{\tilde{\sigma} \leq \tau} \mathcal{O}(\tilde{\sigma}) \supseteq \mathcal{O}(\sigma)$ . So

$$f(\mathcal{O}(\sigma)) \subseteq f(\overline{\mathcal{O}(\tau)}) \subseteq \overline{\mathcal{O}(\tau')} = V(\tau')$$

Since  $V(\tau') = \coprod_{\tilde{\sigma}' \leq \tau'} \mathcal{O}(\tilde{\sigma}')$  this shows that  $\tau' \leq \sigma'$  because intersecting orbits must be the same.

Having now reduced to the affine case  $f|_{U_\sigma} : U_\sigma \rightarrow U_{\sigma'}$ , now we can show that  $\varphi_{\mathbb{R}}(\sigma) \subseteq \sigma'$ . It is enough to show that  $\varphi(\sigma \cap N) \subseteq \sigma' \cap N'$ .

Pick  $u \in \sigma \cap N$ , so that  $\lim_{t \rightarrow 0} \lambda^u(t)$  exists in  $U_\sigma$ . Note that  $f \circ \lambda^u = \lambda^{\varphi(u)}$  and thanks to the equivariance, the image of the limit of  $\lambda^u(t)$  via  $f$  will be a limit for  $\lambda^{\varphi(u)}(t)$  in  $U_{\sigma'}$ . Therefore  $\varphi(u) \in \sigma'$ .  $\square$

Another interesting kind of toric morphisms are “locally trivial fibrations”.

**Example 4.66.** The fan for  $\mathbb{P}^1 \times \mathbb{P}^1$  is given by the four quadrants of  $\mathbb{R}^2$ .

**Example 4.67.** See [CLS11] for details. Consider the trapezoid  $\text{Conv}((0, 0), (0, 1), (a, 0), (b, 1))$ . Set  $r = b - a$ . The associated toric variety is the Hirzebruch surface. The normal fan of this shape is a kind of funky version of the fan for  $\mathbb{P}^1 \times \mathbb{P}^1$  above.

You can take the projection  $\mathbb{Z}^2 \rightarrow \mathbb{Z}$  which is compatible with the fans of the Hirzebruch surface and of  $\mathbb{P}^1$  respectively. It turns out that locally  $H_r \rightarrow \mathbb{P}^1$  looks like  $U \times \mathbb{P}^1$  but globally it is not a product.

The fan of the fibers look like vertical sections.

## Chapter 5

# Divisors on toric varieties

### 5.1 Class group

Let  $\Sigma$  be a fan in  $N_{\mathbb{R}}$ .

**Notation.** Set  $\Sigma(k) := \{\sigma \in \Sigma \mid \dim \sigma = k\}$ .

For each  $\rho \in \Sigma(1)$ , by orbit-cone correspondence (4.38), we get a prime divisor  $D_\rho = V(\rho) = \overline{\mathcal{O}(\rho)}$ .

Let  $v_\rho : k(X_\Sigma)^* \rightarrow \mathbb{Z}$  be the valuation of the local ring of  $D_\rho$ .

Recall that each  $\rho$  has a minimal ray generator  $u_\rho \in \rho \cap N$ . Note that for  $m \in M$ ,

$$\chi^m : T_N \rightarrow \mathbb{G}_m$$

is a regular function defined on an open subset of  $X_\Sigma$ , so it yields a rational function on  $X_\Sigma$ .

**Proposition 5.1.**  $v_\rho(\chi^m) = \langle m, u_\rho \rangle$ .

*Proof.*

Extend  $u_\rho$  to a  $\mathbb{Z}$ -basis of  $N$ , say  $u_\rho, e_2, \dots, e_n$ . Recall that  $U_\rho \cong \mathbb{A}^1 \times \mathbb{G}_m^{n-1}$  and  $D_\rho \cap U_\rho$  under the isomorphism is described by  $x_1 = 0$ , so

$$\mathcal{O}_{X_\Sigma, D_\rho} \cong \mathcal{O}_{U_\rho, D_\rho \cap U_\rho} \cong \mathcal{O}_{\mathbb{A}^1 \times \mathbb{G}_m^{n-1}, \{x_1=0\}} = k[x_1, x_2^\pm, \dots, x_n^\pm]_{(x_1)}.$$

Thus  $v_\rho(f)$  is the unique integer such that  $f = x_1^{v_\rho(f)} g/h$  where  $g, h \notin (x_1)$  for any  $f \in k(X_\Sigma)^*$ .

Using the dual basis to the  $u_\rho, e_2, \dots, e_n$  of  $N$  we can write  $m = \sum \langle m, e_i \rangle e_i^\vee$  (we set  $e_1 = u_\rho$ ), so

$$\chi^m = \prod \chi^{\langle m, e_i \rangle e_i^\vee} = x_1^{\langle m, u_\rho \rangle} \cdot x_2^{\langle m, e_2 \rangle} \cdots x_n^{\langle m, e_n \rangle}.$$

□

**Proposition 5.2.** We have that

$$\operatorname{div}(\chi^m) = \sum_{\rho \in \Sigma(1)} \langle m, u_\rho \rangle D_\rho$$

*Proof.*

The irreducible components of  $X_\Sigma \setminus T_N$  are exactly the  $D_\rho$  by the orbit-cone correspondence. Moreover,  $\chi^m$  is defined and not zero on  $T_N$  so it follows that  $\text{Supp}(\text{div}(\chi^m)) \subseteq X_\Sigma \setminus T_N$ . By the previous computation we are done.  $\square$

**Remark 5.3.** Note that  $D_\rho$  are torus invariant and so every linear combination  $\sum a_\rho D_\rho$  is torus-invariant (with the obvious induced action).

These are actually ALL the torus-invariant divisors (easy from the orbit-cone correspondence).

**Notation.** We use  $\text{Div}_{T_N}(X_\Sigma)$  to denote the subgroup of  $\text{Div}(X_\Sigma)$  given by the torus-invariant divisors.

**Proposition 5.4.** There is an exact sequence

$$M \rightarrow \text{Div}_{T_N}(X_\Sigma) \rightarrow \text{Cl}(X_\Sigma) \rightarrow 0$$

where the first map is  $m \mapsto \text{div}(\chi^m)$ . In particular every divisor on  $X_\Sigma$  is linearly equivalent to a torus-invariant one.

Moreover, the first map is injective if and only if  $\{u_\rho \mid \rho \in \Sigma(1)\}$  spans  $N_{\mathbb{R}}$ .

*Proof.*

We start from the localization sequence induced by the inclusion  $T_N \subseteq X_\Sigma$  (we are using the fact that the  $D_\rho$  generate the invariant divisors)

$$\text{Div}_{T_N}(X_\Sigma) \rightarrow \text{Cl}(X_\Sigma) \rightarrow \text{Cl}(T_N) \rightarrow 0$$

Since  $k[x_1^\pm, \dots, x_n^\pm]$  is a UFD we have that  $\text{Cl}(T_N) = 0$ .

The composite  $M \rightarrow \text{Div}_{T_N}(X_\Sigma) \rightarrow \text{Cl}(X_\Sigma)$  is clearly 0 because the images of the first map are principal.

Suppose  $D \in \text{Div}_{T_N}(X_\Sigma)$  is such that  $D = \text{div}(f) = \sum a_\rho D_\rho$ . Then  $\text{div}(f)|_{T_N} = 0$ , so  $f \in \mathcal{O}_{T_N}^*$ , that is,  $f = c\chi^m$ . So  $\text{div}(f) = \text{div}(c\chi^m) = \text{div}(\chi^m)$ , proving exactness.

Now,  $\text{div}(\chi^m) = 0$  in  $\text{Div}_{T_N}(X_\Sigma)$  means that  $\langle m, u_\rho \rangle = 0$  for all  $\rho \in \Sigma(1)$ . This is equivalent to  $m = 0$  exactly when the  $\{u_\rho\}$  span  $N_{\mathbb{R}}$ .  $\square$

**Remark 5.5.** The condition “ $\{u_\rho\}$  spans  $N_{\mathbb{R}}$ ” corresponds geometrically to the fact that  $X_\Sigma$  has no “torus factors”, that is,  $X_\Sigma$  is not equivariantly isomorphic to some  $\mathbb{G}_m^k \times X_{\Sigma'}$ .

**Proposition 5.6.** The following are equivalent

1.  $X_\Sigma$  has a torus factor
2. there exists a non-constant morphism  $X_\Sigma \rightarrow \mathbb{G}_m$
3. The  $\{u_\rho \mid \rho \in \Sigma(1)\}$  do not span  $N_{\mathbb{R}}$ .

*Proof.*

We give the implications

1.  $\implies$  2. If  $X_\Sigma \cong \mathbb{G}_m^k \times X_{\Sigma'}$  we can take any projection on one of the first  $k$  factors.

2.  $\implies$  3. If  $f : X_\Sigma \rightarrow \mathbb{G}_m$  is non-constant then the restriction  $f|_{T_N} : T_N \rightarrow \mathbb{G}_m$  is a non-constant morphism, i.e. a non-constant invertible element of  $\mathcal{O}_{T_N}(T_N)$ , so  $f|_{T_N} = c\chi^m$  for  $c \in k^*$  and  $m \in M \setminus \{0\}$ .

By multiplying by  $c^{-1}$  we can assume that  $c = 1$ , so  $f : X_\Sigma \rightarrow \mathbb{G}_m$  is now a toric morphism, since it restricts to a homomorphism on the tori. These are induced by a non-constant homomorphism  $\varphi : N \rightarrow \mathbb{Z}$  which is compatible with the fans (4.65). The fan of  $\mathbb{G}_m$  is the origin inside  $\mathbb{R} = \mathbb{Z}_\mathbb{R}$ , so  $\varphi(|\Sigma|) = 0$  and so  $\{u_\rho \mid \rho \in \Sigma(1)\}$  does not span  $N_\mathbb{R}$ , otherwise  $\varphi : N \rightarrow \mathbb{Z}$  would have to be the 0 map.

3.  $\implies$  1. Basically already seen. You get a proper sublattice of  $N$  by taking the  $\mathbb{Z}$ -span of  $\{u_\rho\}$  and now so complete to a basis.

□

We can now compute  $\text{Cl}(X_\Sigma)$  algorithmically:

1. Fix a basis  $e_1, \dots, e_n$  for  $M$
2. Fix minimal ray generators  $u_1, \dots, u_r$  which form a basis for  $\text{Div}_{T_N}(X_\Sigma)$  \*\*\*\*\*
3. If  $\{\rho_1, \dots, \rho_r\}$
- 4.

$$\begin{array}{ccc} M & \longrightarrow & \text{Div}_{T_N}(X_\Sigma) \\ \parallel & & \parallel \\ \mathbb{Z}^n & \longrightarrow & \mathbb{Z}^r \end{array}$$

where the second isomorphism is using the basis  $D_\rho$ . This map corresponds to the matrix

$$\begin{pmatrix} \langle e_1, u_1 \rangle & \cdots & \langle e_n, u_1 \rangle \\ \vdots & \ddots & \vdots \\ \langle e_1, u_r \rangle & \cdots & \langle e_n, u_r \rangle \end{pmatrix}$$

and you compute the cokernel of this matrix using the smith normal form.

**Remark 5.7.** Using this description, you can show that  $\text{Cl}(X_\Sigma \times X_{\Sigma'}) = \text{Cl}(X_\Sigma) \oplus \text{Cl}(X_{\Sigma'})$ , which is NOT true for general normal varieties.

## 5.2 Cartier divisors on toric varieties

To get  $\text{Pic}(X_\Sigma)$  we want to consider  $\text{CDiv}_{T_N}(X_\Sigma) \subseteq \text{Div}_{T_N}(X_\Sigma)$ . Note that  $M \rightarrow \text{Div}_{T_N}(X_\Sigma)$  has image contained in  $\text{CDiv}_{T_N}(X_\Sigma)$ .

**Proposition 5.8.** We have an exact sequence

$$M \rightarrow \text{CDiv}_{T_N}(X_\Sigma) \rightarrow \text{Pic}(X_\Sigma) \rightarrow 0$$

This is also exact on the left exactly when  $X_\Sigma$  has no torus factors



### 5.2.1 Torus invariant Cartier divisors: affine case

What is  $\text{CDiv}_{T_N}(X_\Sigma)$ ? For Weil divisors we had  $\text{Div}_{T_N}(X_\Sigma) = \bigoplus \mathbb{Z}D_\rho$ .

**Proposition 5.9.** Let  $\sigma \subseteq N_{\mathbb{R}}$  be a strongly convex cone. Then every  $T_N$ -invariant Cartier divisor on  $U_\sigma$  is the divisor of a character, so  $\text{Pic}(U_\sigma) = 0$ .

*Proof.*

Let  $R = k[S_\sigma]$ . Suppose that  $D = \sum a_\rho D_\rho$  is  $T_N$ -invariant and Cartier. Suppose  $a_\rho \neq 0$  for the  $\rho$  which appear in the sum.

Suppose  $D$  is effective. Note that the  $D_\rho$  intersect non-trivially:  $\mathcal{O}(\sigma) \subseteq \overline{\mathcal{O}(\rho)} = D_\rho$ , so  $\mathcal{O}(\sigma) \subseteq \bigcap D_\rho$ . Pick any point  $p \in \mathcal{O}(\sigma)$ . Since  $D$  is Cartier, there exists  $U \subseteq U_\sigma$  open such that  $p \in U$  and  $D|_U = \text{div}(f)|_U$  for some  $f \in k(U_\sigma)^*$ . We can assume  $U = (U_\sigma)_h = \text{Spec } R_h$  for some  $h \in R$  because principal open sets form a basis. Since  $D$  is effective,  $\text{div}(f)|_U \geq 0$ , so  $f \in R_h$ . If  $f = g/h^k$ , since  $h \in R_h^*$  and multiplying by  $h$  doesn't change  $\text{div}(f)|_U$ , we can just assume  $f = g \in R$ .

Consider now<sup>1</sup>  $I = \{g \in k(U_\sigma) \mid g = 0 \text{ or } \text{div } g \geq D\}$ . Since  $D \geq 0$  we have  $\text{div } g \geq 0$  so  $I$  is an ideal of  $R$ . This ideal is  $T_N$ -invariant because  $D$  is. Therefore  $I$  is a subrepresentation of  $R$  under the action of  $T_N$ , meaning that

$$I = \bigoplus_{\text{div}(t^m) \geq D} k t^m$$

Note that  $f \in I$  because

$$\text{div } f = \sum v_\rho(f) D_\rho + \underbrace{\sum_{E \neq D_\rho} v_E(f) E}_{\geq 0 \text{ because } f \in R} \geq \sum v_\rho(f) D_\rho + 0 = D$$

where the last equality holds because of our choice for  $U$ .

Thus  $f = \sum a_i t^{m_i}$  with  $\text{div}(t^{m_i}) \geq D$  for all  $i$ . On  $U$ ,

$$\text{div}(t^{m_i})|_U \geq D|_U = \text{div}(f)|_U \implies \text{div}(t^{m_i}/f)|_U \geq 0 \implies t^{m_i}/f \in \mathcal{O}(U)$$

So we can write

$$1 = \sum a_i t^{m_i} / f$$

and the fact that  $t^{m_i}/f \in \mathcal{O}(U)$  we can evaluate that equality at  $p \in U$  to get

$$1 = \sum a_i t^{m_i}(p) / f(p)$$

so  $t^{m_i}/f(p) \neq 0$  for some  $i$ . Let  $V \subseteq U$  be an open set where  $t^{m_i}/f$  is never 0 and  $p \in V$ . We get

$$\text{div}(t^{m_i}/f)|_V = 0 \implies \text{div}(t^{m_i})|_V = \text{div}(f)|_V = D|_V$$

Since  $V$  intersects every  $D_\rho$  non-trivially we get that  $\text{div}(t^{m_i}) = D$  on  $U_\sigma$ .

Consider now a general invariant Cartier divisor  $D$ . Since  $\sigma$  is strongly convex, there exists some  $m \in M$  such that  $\langle m, u_\rho \rangle > 0$  for all  $\rho \in \sigma(1)$  (because the origin is a face of  $\sigma$ ). This implies that  $D' = D + \text{div}(t^{km})$  for  $k$  big enough we get an effective invariant Cartier divisor. By the previous case  $D' = \text{div}(t^{m_i})$  and so  $D = \text{div}(t^{m_i - km})$ .  $\square$

<sup>1</sup>this set is  $\Gamma(\mathcal{O}(-D))$

**Example 5.10.** If  $\sigma = \text{Cone}((d, -1), (0, 1)) \subseteq \mathbb{R}^2$  we have that  $\text{Cl}(U_\sigma) \cong \mathbb{Z}/d\mathbb{Z}$  but  $\text{Pic}(U_\sigma) = 0$ , so the generator of the class group corresponds to a Weil divisor which is not Cartier.

If we take out the torus fixed point  $\gamma_\sigma \in U_\sigma$ ,  $X = U_\sigma \setminus \{\gamma_\sigma\}$  (we remove the maximal cone). Now  $X$  is a smooth toric variety and in this case  $\text{Pic}(X) = \text{Cl}(X)$ . We left the rays untouched so  $\text{Cl}(X) = \text{Cl}(U_\sigma)$ , so we suddenly got nontrivial line bundles by removing a point!

**Remark 5.11.** You can show that if  $\Sigma$  contains a cone of dimension equal to that of the lattice then  $\text{Pic}(X_\Sigma)$  is torsion free.

*Sketch.*

Suppose  $\text{Pic}(X_\Sigma)$  has torsion and take a representative in  $\text{CDiv}_{T_N}(X_\Sigma)$ , that is, take an invariant Cartier Divisor  $D$  such that  $kD = \text{div}(t^m)$ . We have to show that  $D = \text{div}(t^{m'})$ .

If  $\sigma$  is a cone of maximal dimension then  $\{u_\rho \mid \rho \in \sigma(1)\}$  spans  $N_\mathbb{R}$ .  $D|_{U_\sigma}$  is the divisor of a character  $t^{m'}$  by (5.9).

Since  $\text{div}(t^m)|_{U_\sigma} = \text{div}(t^{km'})|_{U_\sigma}$  then  $m = km'$  and so we get  $D = \text{div}(t^{m'})$  on  $X_\Sigma$  because the rays of  $\sigma$  span (details to fill in).  $\square$

**Proposition 5.12.**  $X_\Sigma$  is smooth if and only if  $\text{Pic}(X_\Sigma) = \text{Cl}(X_\Sigma)$

*Proof.*

The first implication is always true. Suppose  $\text{Pic}(X_\Sigma) = \text{Cl}(X_\Sigma)$ . We want to show that  $\sigma \in \Sigma$  is smooth.

Since  $\text{Cl}(X_\Sigma) \rightarrow \text{Cl}(U_\sigma)$  is surjective, every divisor on  $U_\sigma$  is Cartier because the restriction of a Cartier divisor is Cartier and  $\text{Cl}(X_\Sigma) = \text{Pic}(X_\Sigma)$ . Since  $\text{Pic}(U_\sigma) = 0$  it follows that  $\text{Cl}(U_\sigma) = 0$ , thus  $M \rightarrow \text{Div}_{T_N}(U_\sigma)$  is surjective.

If  $\sigma(1) = \{\rho_1, \dots, \rho_r\}$  then the map  $M \rightarrow \text{Div}_{T_N}(U_\sigma)$  in coordinate is

$$\begin{aligned} M &\longrightarrow \mathbb{Z}^r \\ m &\longmapsto (\langle m, u_{\rho_i} \rangle) \end{aligned}$$

This map is dual to

$$\begin{aligned} \phi : \mathbb{Z}^r &\longrightarrow N \\ e_i &\longmapsto u_{\rho_i} \end{aligned}$$

Since  $\phi^\vee$  is surjective it follows that (using a little homological algebra)  $\phi$  is injective and that  $\text{coker } \phi$  is torsion-free. This implies that  $\{u_{\rho_i}\}$  can be completed to a  $\mathbb{Z}$ -basis of  $N$  and so  $\sigma$  is a smooth cone.  $\square$

**Remark 5.13.** One can also show that  $X_\Sigma$  is simplicial (every cone in  $\Sigma$  is simplicial, that is, the minimal ray generators are  $\mathbb{R}$ -linearly independent) if and only if every Weil divisor is  $\mathbb{Q}$ -Cartier, that is, it has a positive multiple which is Cartier, that is, the index  $[\text{Cl}(X_\Sigma) : \text{Pic}(X_\Sigma)]$  is finite.

### 5.2.2 Torus invariant Cartier divisors

Recall that if  $D \in \text{Div}(X)$  is Cartier then there exists an open cover  $\{U_i\}$  of  $X$  and  $f_i \in k(X)$  for all  $i$  such that  $D|_{U_i} = \text{div}(f_i)|_{U_i}$ . We may call  $\{(U_i, f_i)\}$  a *Cartier data* for  $D$ .

**Proposition 5.14.** Let  $D \in \text{Div}_{T_N}(X_\Sigma)$  and let us write  $D = \sum a_\rho D_\rho$ . The following are equivalent

1.  $D$  is Cartier
2.  $D$  is principal on  $U_\sigma$  for all  $\sigma \in \Sigma$ .
3. For all  $\sigma \in \Sigma$  there exists  $m_\sigma \in M$  such that <sup>a</sup>  $\langle m_\sigma, u_\rho \rangle = -a_\rho$  for all  $\rho \in \sigma(1)$ .
4. for all <sup>b</sup>  $\sigma \in \Sigma_{\max}$  there exists  $m_\sigma \in M$  such that  $\langle m_\sigma, u_\rho \rangle = -a_\rho$  for all  $\rho \in \sigma(1)$ .

Moreover, if  $D$  is Cartier, then the  $m_\sigma$  in proposition 4 are unique in the quotient  $M/M(\sigma)$  for  $M(\sigma) = \sigma^\perp \cap M$ .

In particular, if  $\tau \leq \sigma$  then  $m_\tau \equiv m_\sigma$  modulo  $M(\tau)$ .

<sup>a</sup>i.e.  $\sum a_\rho D_\rho \cap U_\sigma = D|_{U_\sigma} = \text{div}(t^{-m_\sigma})|_{U_\sigma}$  and  $D_\rho \cap U_\sigma \neq \emptyset$  if and only if  $\rho \leq \sigma$ .

<sup>b</sup>maximal cones in  $\Sigma$  by inclusion. They may be of different dimension.

*Proof.*

The only new part for the equivalences is 4.. 3. clearly implies 4.. The fact that 4 implies the others follows from the fact that if  $\sigma \leq \sigma'$  then  $m_{\sigma'}$  is a valid choice for  $m_\sigma$  in proposition 3.

Now we prove the uniqueness. If  $m_\sigma$  and  $m_{\sigma'}$  are two elements that satisfy the condition, then  $\langle m_\sigma, u_\rho \rangle = -a_\rho = \langle m_{\sigma'}, u_\rho \rangle$  for all  $\rho \in \sigma(1)$ . Thus

$$\langle m_\sigma - m_{\sigma'}, u_\rho \rangle = 0 \implies \langle m_\sigma - m_{\sigma'}, u \rangle = 0 \quad \forall u \in \sigma.$$

□

**Notation.** We call  $\{m_\sigma\}_{\sigma \in \Sigma}$  the *Cartier data* for the divisor  $D$ .

**Remark 5.15.** The minus sign in  $\langle m_\sigma, u_\rho \rangle = -a_\rho$  is a convention. It matches the convention used when writing the presentations for polytopes  $\langle m, u_F \rangle \geq -a_F$ .

This choice boils down to the fact that the  $\mathcal{O}_X$ -module associated to a divisor  $D$  is defined as

$$\mathcal{O}_X(D)(U) = \left\{ f \in k(X)^* \mid (\text{div} f + D)|_U \geq 0 \right\} \cup \{0\}.$$

Note that if  $\tau \leq \sigma$  then we have  $\sigma^\perp \cap M \subseteq \tau^\perp \cap M$  and this induces a map  $M/M(\sigma) \rightarrow M/M(\tau)$ . This maps  $[m_\sigma]$  to  $[m_\tau]$ .

The groups  $\{M/M(\sigma)\}_{\sigma \in \Sigma}$  form an inverse system ordered by  $\Sigma$  and the face-relation. It turns out that

$$\text{CDiv}_{T_N}(X_\Sigma) \cong \varprojlim_{\sigma \in \Sigma} M/M(\sigma).$$

This just means that Cartier divisors correspond exactly to compatible collections of  $\{[m_\sigma]\}_{\sigma \in \Sigma}$ .

### Support functions

These  $(m_\sigma)_{\sigma \in \Sigma}$  can be thought of as piecewise linear functions on  $|\Sigma|$

**Definition 5.16.** A **support function** on a fan  $\Sigma$  is  $N_{\mathbb{R}}$  is a function  $\varphi : |\Sigma| \rightarrow \mathbb{R}$  which is linear on every cone  $\sigma$ . Such a function is **integral** if  $\varphi(|\Sigma| \cap N) \subseteq \mathbb{Z}$ . We use  $SF(\Sigma, N)$  to denote the group (sum induced via the codomain) of integral support functions on  $\Sigma$ .

**Theorem 5.17.** Given  $D = \sum a_\rho D_\rho \in \text{CDiv}_{T_N}(X_\Sigma)$  with Cartier data  $(m_\sigma)_{\sigma \in \Sigma}$ , we get a function

$$\varphi_D : \begin{array}{ccc} |\Sigma| & \longrightarrow & \mathbb{R} \\ u & \longmapsto & \langle m_\sigma, u \rangle \text{ if } u \in \sigma \end{array}$$

This is a well defined integral support function on  $\Sigma$ .

Moreover  $D = -\sum \varphi_D(u_\rho) D_\rho$  and the map  $D \mapsto \varphi_D$  given an isomorphism between  $\text{CDiv}_{T_N}(X_\Sigma) \cong SF(\Sigma, N)$ .

*Proof.*

The fact that  $\varphi_D$  is well defined follows from the fact that if  $u \in \sigma \cap \sigma'$  then  $m_\sigma \equiv m_{\sigma'} \pmod{M(\sigma \cap \sigma')}$  and so  $m_\sigma - m_{\sigma'} \in (\sigma \cap \sigma')^\perp \subseteq u^\perp$ .

It is integral because  $\langle \cdot, \cdot \rangle$  is a pairing between  $N$  and  $M$ .

The identity  $\varphi_D(u_\rho) = -\langle m_\sigma, u_\rho \rangle$  is already known for Cartier data (5.14).

It is clear that  $D \mapsto \varphi_D$  is a homomorphism. It is injective thanks to the fact we just mentioned. Consider now  $\varphi \in SF(\Sigma, N)$ . For a fixed  $\sigma \in \Sigma$  note that  $\varphi|_{\sigma \cap N} : \sigma \cap N \rightarrow \mathbb{Z}$  is a monoid homomorphism by linearity of  $\varphi|_\sigma$ , this induces a homomorphism  $N_\sigma := \text{Span}(\sigma) \cap N \rightarrow \mathbb{Z}$ , which gives an element of  $(N_\sigma)^\vee \cong M/M(\sigma)$ . These  $m_\sigma$  yield Cartier data of a divisor and by construction  $\varphi_D = \varphi$ .  $\square$

We may therefore rewrite the localization sequence (5.8) as

$$M \rightarrow SF(\Sigma, N) \rightarrow \text{Pic}(X_\Sigma) \rightarrow 0$$

where the first map is  $m \mapsto (u \mapsto -\langle m, u \rangle)$ . The image of  $M$  in  $SF(\Sigma, N)$  are exactly the linear functions (seen as a subgroup of the piecewise linear ones).

### 5.3 Proper but not projective variety

These piecewise linear functions are useful to state some geometric properties, for example:

**Fact 5.18.** A divisor  $D$  is ample if and only if  $\varphi_D$  is strictly convex, that is, it is convex and it is linear only on the cones of  $\Sigma$ , not bigger subsets.

We will produce a toric 3-fold whose fan is complete (and thus is proper) but such that all support functions are linear, meaning that  $\text{Pic}(X_\Sigma) = 0$  and so we have no hope of finding an ample line bundle to embed  $X_\Sigma$  in projective space.

Consider the cube  $[-1, 1]^3 \subseteq \mathbb{R}^3$  and take the fan over its faces. Now take one vertex (say  $(1, 1, 1)$ ) and we drag it up, making it  $(1, 2, 3)$ .

This is a complete fan by construction, so  $X_\Sigma$  is proper by (4.57).

Consider now  $\varphi \in SF(\Sigma, \mathbb{Z}^3)$ . The minimal ray generators are

$$u_1 = (1, 2, 3), u_2 = (1, -1, 1), u_3 = (1, 1, -1), u_4 = (-1, 1, 1)$$

$$u_5 = (1, -1, -1), u_6 = (-1, -1, 1), u_7 = (-1, 1, -1), u_8 = (-1, -1, -1)$$

Let  $\sigma_1 = \text{Cone}(u_1, u_2, u_3, u_5)$ . By definition  $\varphi_{\sigma_1}$  is linear, say given by  $m_1 \in \mathbb{Z}^3$ . We can replace  $\varphi$  by  $\varphi - \langle m_1, \cdot \rangle$ . We claim that now  $\varphi = 0$  (so the original  $\varphi$  was the linear function  $\langle m, \cdot \rangle$ ). On  $\sigma_1$  we have  $\varphi = 0$  by construction, so in particular

$$\varphi(u_1) = \varphi(u_2) = \varphi(u_3) = \varphi(u_5) = 0$$

we have to show that  $\varphi$  is zero on the other minimal ray generators. Every cone of  $\sigma$  gives a linear relation between the four corresponding ray generators:

$$2u_1 + 5u_5 = 4u_2 + 3u_3$$

$$2u_1 + 4u_7 = 3u_3 + 5u_4$$

$$2u_1 + 3u_6 = 4u_2 + 5u_4$$

$$u_2 + u_8 = u_5 + u_6$$

$$u_3 + u_8 = u_5 + u_7$$

$$u_4 + u_8 = u_6 + u_7$$

Applying  $\varphi$  we get some equations between the values of  $\varphi$  at the ray generators, which will give the desired result after some computation.

**Remark 5.19.** This example is clearly singular (it's not simplicial since the cones all have four generators). One can also produce a smooth example by producing a smooth fan with no strictly convex support function.

The reason why we considered 3-folds is because

**Fact 5.20.** Every proper toric surface is projective.

**Fact 5.21.** Smooth proper surfaces over an algebraically closed field are always projective, but there are singular proper non-projective surfaces.

**Remark 5.22.** If  $X$  is a normal, proper and connected variety,  $D \in \text{Div}(X)$ ,  $D \neq 0$  and  $D \geq 0$  then  $D$  is not principal. Indeed if  $0 \leq D = \text{div}(f)$  then  $f$  is regular and since  $X$  is proper  $f$  must be constant. If  $X$  is also smooth this basically shows that  $\text{Pic}(X) \neq 0$ .

**Remark 5.23.** If  $X_\Sigma$  is smooth and proper then  $\text{Pic}(X_\Sigma) \neq 0$ , so if we want to look for a smooth, non-projective but proper toric variety we must lack *ample* line bundles (but we can't have trivial Picard group).

## 5.4 Projective case

Recall that if we have a full dimensional lattice polytope in  $M_{\mathbb{R}}$

$$P = \{m \in M_{\mathbb{R}} \mid \langle m, u_F \rangle \geq -a_F \text{ for } F \text{ facet}\}$$

The toric variety only depends on the normal fan  $\Sigma_P$ , the polytope itself actually encodes a divisor on  $X_P$ , specifically the divisor

$$D_P = \sum a_F D_F$$

where  $D_F = D_{\text{Cone}(u_F)}$  (recall that rays of  $\Sigma_P$  correspond to facets of  $P$ ).

**Proposition 5.24.**  $D_P$  is Cartier.

*Proof.*

We produce Cartier data, that is, for every maximal cone  $\sigma \in \Sigma_P$  we want  $m_{\sigma} \in M$  such that  $\langle m_{\sigma}, u_F \rangle = -a_F$  for every facet  $F$  such that  $\rho_F \in \sigma(1)$ . Recall that maximal cones in  $\Sigma_P$  correspond to vertices  $v \in P$ , so  $\rho_F \in \sigma_v(1)$  if and only if  $v \in F$ .

If  $v \in F$  then  $\langle v, u_F \rangle = -a_F$  by definition.  $m_{\sigma_v} = v$  works.  $\square$

In terms of support functions

**Proposition 5.25.** If  $P$  is a full dimensional lattice polytope then<sup>a</sup>

$$\varphi_P : \begin{array}{ccc} N_{\mathbb{R}} = |\Sigma_P| & \longrightarrow & \mathbb{R} \\ u & \longmapsto & \min(\langle m, u \rangle \mid m \in P) \end{array}$$

is an integral support function on  $\Sigma_P$ . The corresponding divisor is  $D_P$ .

<sup>a</sup>that minimum exists because  $P$  is compact and  $\langle \cdot, u \rangle$  is continuous

*Proof.*

Recall that  $\varphi_{D_P}(u_F) = -a_F$ . We have to check that  $\varphi \in SF(\Sigma_P, N)$  and that  $\varphi_P(u_F) = -a_F$ .

If  $v \in P$  is a vertex then  $\sigma_v = \text{Cone}(u_F \mid v \in F)$ . If  $u \in \sum_{v \in F} \lambda_F u_F \in \sigma_v$  then

$$\langle m, u \rangle = \sum_{v \in F} \lambda_F \langle m, u_F \rangle \geq - \sum_{v \in F} \lambda_F a_F$$

so  $\varphi(u) \geq - \sum_{v \in F} \lambda_F a_F$  and equality holds for  $m = v$  because  $v \in F$  and so  $\langle v, u_F \rangle = -a_F$ , so that value is the minimum, showing that  $\varphi(u) = - \sum \lambda_F a_F = \langle v, u \rangle$ . This shows that  $\varphi|_{\sigma_v}$  is linear and that  $\varphi(u_F) = -a_F$ .  $\square$

We can actually go the other way around now: for all  $D \in \text{Div}_{T_N(X_{\Sigma})}$  we can define a polyhedron  $P_D \subseteq M_{\mathbb{R}}$  (which might be unbounded and/or have non-integral vertices). This construction satisfies  $P_{D_P} = P$  and gives a bijection

$$\{\text{full dimensional lattice polytopes}\} \leftrightarrow \left\{ (X_{\Sigma}, D) \mid \begin{array}{l} X_{\Sigma} \text{ projective,} \\ D \text{ ample and torus invariant} \end{array} \right\}$$

Starting from  $D = \sum a_\rho D_\rho$  we define

$$P_D = \{m \in M_{\mathbb{R}} \mid \langle m, u_\rho \rangle \geq -a_\rho \ \forall \rho \in \Sigma(1)\}.$$

This is the intersection of finitely many half-spaces a.k.a. a polyhedron.

The divisor  $D$  corresponds to a sheaf  $\mathcal{O}_X(D)$  and

$$\mathcal{O}_{X_\Sigma}(D)(X_\Sigma) = \{f \in kX_\Sigma^* \mid \operatorname{div} f + D \geq 0\} \cup \{0\} = \bigoplus_{\operatorname{div}(t^m) + D \geq 0} k \cdot t^m$$

and  $\operatorname{div}(t^m) = \sum \langle m, u_\rho \rangle D_\rho$ , so  $\operatorname{div}(t^m) + D \geq 0$  means that  $\langle m, u_\rho \rangle + a_\rho \geq 0$ . This shows that

$$\mathcal{O}_{X_\Sigma}(D)(X_\Sigma) = \bigoplus_{m \in P_D \cap M} k \cdot t^m$$

and indeed these are the characters that we used when defining  $X_P$  for  $P$  very ample. This corresponds to the general construction of projective embeddings coming from very ample line bundles.

# Chapter 6

## Cox rings

We seek to generalize the construction of  $\mathbb{P}^n$  as

$$\mathbb{P}^n = \mathbb{A}^{n+1} \setminus \{0\} / \mathbb{G}_m.$$

This procedure yields *homogeneous* coordinates on  $\mathbb{P}^n$  which we can use to study its geometry (homogeneous ideals correspond to closed subvarieties etc).

We will write

$$X_\Sigma = (\mathbb{A}^r \setminus Z) // G$$

where  $r = \#\Sigma(1)$ ,  $Z$  is some closed subset,  $G$  is some algebraic group and the double slash indicates that we are taking the GIT quotient. In some cases this gives *homogeneous coordinates* on the toric variety.

The definition of the coordinate ring was later generalized to more general varieties<sup>1</sup>

### 6.1 Quick GIT primer

Given an action of an affine group  $G$  on a variety  $X$  one wants to construct a quotient “ $X/G$ ” as a scheme. We would also want this to be an orbit space. We also want a  $G$ -invariant morphism  $X \rightarrow X/G$  which is universal with respect to  $G$ -invariant morphisms, that is

$$\begin{array}{ccc} X & \longrightarrow & Y \\ \downarrow & \nearrow \exists! & \\ X/G & & \end{array}$$

If  $X = \operatorname{Spec} A$  then  $G \curvearrowright X$  induces a representation  $G \curvearrowright A$  given by<sup>2</sup>

$$g \cdot f(x) = f(g^{-1} \cdot x).$$

The best guess of  $X/G$  in this case is  $\operatorname{Spec} A^G$  with the map  $X \rightarrow \operatorname{Spec} A^G$  induced by the inclusion  $A^G \subseteq A$ . This works for nice enough  $G$  (called reductive).

From now on assume  $\operatorname{char} k = 0$  for simplicity.

In the non-affine case the idea is to glue the local affine quotients given by the ring of invariants.

<sup>1</sup>look up *Cox ring* and *Mori dream spaces*.

<sup>2</sup>at least on closed points of  $G$



**Definition 6.1.** Assume that  $G \curvearrowright X$  with  $G$  affine algebraic group and  $X$  variety. A morphism  $\pi : X \rightarrow Y$  is called a **good quotient** if the following conditions hold

1. for all  $U \subseteq Y$  open, the homomorphism  $\mathcal{O}_Y(U) \rightarrow \mathcal{O}_X(\pi^{-1}(U))$  induces an isomorphism
$$\mathcal{O}_Y(U) \rightarrow \mathcal{O}_X(\pi^{-1}(U))^G.$$
2. If  $W \subseteq X$  is  $G$ -invariant and closed then  $\pi(W) \subseteq Y$  is closed
3. If  $W_1, W_2 \subseteq X$  are  $G$ -invariant closed and disjoint then  $\pi(W_1)$  and  $\pi(W_2)$  are also disjoint.

If  $X \rightarrow Y$  is such a good quotient we write  $Y = X // G$ .

**Fact 6.2.** If  $G \curvearrowright X$  admits a good quotient  $X // G$  then

1.  $X \rightarrow X // G$  is a categorical quotient (and so the quotient is unique up to unique isomorphism)
2.  $\pi : X \rightarrow X // G$  is surjective
3.  $A \subseteq X // G$  is open if and only if  $\pi^{-1}(A) \subseteq X$  is open (it has the quotient topology)
4. If  $U \subseteq X // G$  is open then  $\pi|_{\pi^{-1}(U)} : \pi^{-1}(U) \rightarrow U$  is also a good quotient. Moreover, if  $\pi : X \rightarrow Y$  is a  $G$ -invariant morphism and  $\{U_i\}$  is an open cover of  $Y$  such that  $\pi|_{\pi^{-1}(U_i)} : \pi^{-1}(U_i) \rightarrow U_i$  are good quotients then  $\pi$  is also a good quotient.
5. If  $x, y \in X$  then  $\pi(x) = \pi(y)$  if and only if  $\overline{Gx} \cap \overline{Gy} \neq \emptyset$ .
6. Every fiber of  $\pi : X \rightarrow X // G$  contains exactly one closed orbit, so

$$\{\text{points of } X // G\} \leftrightarrow \{\text{closed orbits of } X\}$$

**Definition 6.3.** A good quotient is called **geometric** if the orbits of the action are closed, so that  $X // G$  is really an orbit space. In this case we usually write  $X/G$ .

**Fact 6.4.** If  $G$  is reductive<sup>a</sup> and  $X = \text{Spec } A$  then  $\text{Spec } A \rightarrow \text{Spec } A^G$  is a good quotient.

<sup>a</sup>whatever that means, if  $G$  is diagonalizable it works

**Definition 6.5.** If there exists  $U \subseteq X // G$  dense open such that  $\pi|_{\pi^{-1}(U)} : \pi^{-1}(U) \rightarrow U$  is geometric then  $\pi$  is called an **almost geometric quotient**.

**Example 6.6.**  $\mathbb{G}_m \curvearrowright \mathbb{A}^{n+1}$  by scaling has a good quotient  $\mathbb{A}^{n+1} \rightarrow \operatorname{Spec} k$ , which is not almost geometric.

**Example 6.7.**  $\mathbb{G}_m \curvearrowright (\mathbb{A}^{n+1} \setminus \{0\})$  by scaling has  $\mathbb{P}^n$  as a geometric quotient.

**Example 6.8.** Consider  $\mathbb{G}_m \curvearrowright \mathbb{A}^4$  given by  $t \cdot (x, y, z, w) = (tx, ty, t^{-1}z, t^{-1}w)$ . The coordinate ring of  $\mathbb{A}^4$  is  $R = k[x, y, z, w]$  and it turns out that

$$R^G = k[xz, xw, yz, yw] = \frac{k[X, Y, Z, W]}{(XW - YZ)}$$

We have  $\mathbb{A}^4 \rightarrow \operatorname{Spec} R^G \subseteq \mathbb{A}^4$  and it turns out that  $\pi^{-1}(p)$  is a single orbit for  $p \neq (0, 0, 0, 0)$  but  $\pi^{-1}((0, 0, 0, 0))$  contains more than one orbit (the only closed one is  $\{(0, 0, 0, 0)\}$ ).

We see that  $\mathbb{A}^4 \rightarrow \operatorname{Spec} R^G$  is a good and almost geometric quotient.

## 6.2 Toric variety as a good quotient

### 6.2.1 The group

The group  $G$  that we will consider will be the Cartier dual of  $\operatorname{Cl}(X_\Sigma)$ , which is finitely generated because  $\operatorname{Div}_{T_N}(X_\Sigma)$  is.

At the level of closed points we have

$$G = \operatorname{Hom}_{\operatorname{Grp}}(\operatorname{Cl}(X_\Sigma), k^*) = \operatorname{Hom}_{\mathbb{Z}}(\operatorname{Cl}(X_\Sigma), k^*).$$

If  $\operatorname{Cl}(X_\Sigma) \cong \mathbb{Z}^n \oplus \bigoplus \mathbb{Z}/n_i\mathbb{Z}$  then  $G(k) \cong (k^*)^n \oplus \bigoplus \mu_{n_i}(k)$ .

Let's assume that  $X_\Sigma$  has no torus factors<sup>3</sup>. The general case is similar.

With this assumption we have

$$0 \rightarrow M \rightarrow \underbrace{\bigoplus_{\rho \in \Sigma(1)} \mathbb{Z} \cdot D_\rho}_{\mathbb{Z}^{\Sigma(1)} :=} \rightarrow \operatorname{Cl}(X_\Sigma) \rightarrow 0$$

By applying  $\operatorname{Hom}_{\mathbb{Z}}(\cdot, k^*)$  we get<sup>4</sup>

$$1 \rightarrow \operatorname{Hom}(\operatorname{Cl}(X_\Sigma), k^*) \rightarrow \operatorname{Hom}(\mathbb{Z}^{\Sigma(1)}, k^*) \rightarrow \operatorname{Hom}(M, k^*) \rightarrow 1$$

that is,

$$1 \rightarrow \operatorname{Hom}(\operatorname{Cl}(X_\Sigma), k^*) \rightarrow \mathbb{G}_m^{\Sigma(1)}(k) \rightarrow T_N(k) \rightarrow 1$$

**Proposition 6.9.** If  $e_1, \dots, e_n$  is a basis of  $M$  then

$$\begin{aligned} G(k) &= \left\{ (t_\rho) \in \mathbb{G}_m^{\Sigma(1)}(k) \mid \forall m \in M, \prod_{\rho} t_\rho^{\langle m, u_\rho \rangle} = 1 \right\} = \\ &= \left\{ (t_\rho) \in \mathbb{G}_m^{\Sigma(1)}(k) \mid \prod_{\rho} t_\rho^{\langle e_i, u_\rho \rangle} = 1 \text{ for } 1 \leq i \leq n \right\} \end{aligned}$$

<sup>3</sup>recall that that means that  $\{u_\rho \mid \rho \in \Sigma(1)\}$  span  $N_{\mathbb{R}}$

<sup>4</sup>we don't get Ext groups showing up because  $k^*$  is divisible.

*Proof.*

Just remember that  $M \rightarrow \mathbb{Z}^{\Sigma(1)}$  is given by  $m \mapsto (\langle m, u_\rho \rangle)_{\rho \in \Sigma(1)}$  and use the short exact sequence.  $\square$

**Example 6.10.** Recall that we can obtain  $\mathbb{P}^n$  from the fan in  $\mathbb{R}^n$  with ray generators  $u_i = e_i$ ,  $u_0 = -e_1 \cdots -e_n$ . So  $\mathbb{G}_m^{\Sigma(1)} = \mathbb{G}_m^{n+1}$  and  $(t_0, \dots, t_n) \in \mathbb{G}_m^{n+1}$  is in the group  $G$  if and only if

$$1 = t_0^{\langle m, -e_1 \cdots -e_n \rangle} t_1^{\langle m, e_1 \rangle} \cdots t_n^{\langle m, e_n \rangle}$$

for all  $m \in M$ . Taking  $m = e_i$  we get  $t_0^{-1} t_i = 1$ , that is,  $t_0 = t_i$ . Since this holds for all  $i$  we have

$$G = \{(t, \dots, t) \mid t \in \mathbb{G}_m\} \subseteq \mathbb{G}_m^{n+1}$$

which is exactly how  $\mathbb{G}_m$  acts on  $\mathbb{A}^{n+1} \setminus \{0\}$  to get  $\mathbb{P}^n$ .

**Example 6.11.** For  $\sigma = \text{Cone}((d, -1), (0, 1))$ ,  $\text{Cl}(U_\sigma) \cong \mathbb{Z}/d\mathbb{Z}$  so  $G = \mu_d$ , which is described as a subgroup of  $\mathbb{G}_m^2$  by  $s^{\langle m, (d, -1) \rangle} t^{\langle m, (0, 1) \rangle} = 1$ , which yields  $s^d t = 1$ , so  $G = \{(t, t) \mid t^d = 1\}$ .

## 6.2.2 The closed subset to remove

We now have to describe the closed subset  $Z$  to remove from  $\mathbb{A}^{\Sigma(1)}$ .

Note that  $\mathbb{G}_m^{\Sigma(1)} \curvearrowright \mathbb{A}^{\Sigma(1)}$ , so  $G \subseteq \mathbb{G}_m^{\Sigma(1)}$  also does. Let us write

$$S = k[x_\rho \mid \rho \in \Sigma(1)]$$

for the coordinate ring of  $\mathbb{A}^{\Sigma(1)}$ . This is called the **total coordinate ring** of  $X_\Sigma$ .

For  $\sigma \in \Sigma$  we define

$$x^{\hat{\sigma}} = \prod_{\rho \notin \sigma(1)} x_\rho \in S$$

and consider the ideal  $B(\Sigma) = (x^{\hat{\sigma}} \mid \sigma \in \Sigma)S$ . This is called the **irrelevant ideal**.

**Remark 6.12.** If  $\tau \leq \sigma$  then  $x^{\hat{\tau}} \mid x^{\hat{\sigma}}$ , so  $B(\Sigma) = (x^{\hat{\sigma}} \mid \sigma \in \Sigma \text{ maximal cone})S$ .

Define  $Z(\Sigma) = V(B(\Sigma)) \subseteq \mathbb{A}^{\Sigma(1)}$ .

**Remark 6.13.**  $Z(\Sigma)$  is a union of coordinate vector subspaces. These can be described explicitly.

**Definition 6.14.** A subset  $C \subseteq \Sigma(1)$  is a **primitive collection** if

- $\nexists \sigma \in \Sigma$  such that  $C \subseteq \sigma(1)$
- for all  $C' \subsetneq C$  there exists some  $\sigma \in \Sigma$  such that  $C' \subseteq \sigma(1)$ .

**Proposition 6.15.**  $Z(\Sigma) = \bigcup_{C \text{ primitive collection}} V(x_\rho \mid \rho \in C)$ .

*Proof.*

We observed that  $Z(\Sigma)$  is a union of coordinate subspaces so it suffices to describe the maximal ones. If  $V(x_{\rho_1}, \dots, x_{\rho_s})$  is one such maximal subspace then we claim that

$C = \{\rho_1, \dots, \rho_s\}$  is a primitive collection: if  $\sigma \in \Sigma$  then  $x^{\widehat{\sigma}}$  vanishes on  $Z(\Sigma)$  and so  $x^{\widehat{\sigma}} \in B(\Sigma)$ . Since  $(x_{\rho_1}, \dots, x_{\rho_s})$  is prime, there exists some  $i$  such that  $x_{\rho_i} \mid x^{\widehat{\sigma}}$ , i.e.,  $C \subsetneq \sigma(1)$ .

The minimality of  $C$  is given by the maximality of the subspace.

Viceversa, if  $C$  is a primitive collection then  $V(x_\rho \mid \rho \in C)$  is a maximal coordinate hyperplane in  $Z(\Sigma)$  by a similar argument.  $\square$

**Example 6.16.** For  $\mathbb{P}^n$  the maximal cones are  $\sigma_i = \text{Cone}(u_0, \dots, \widehat{u_i}, \dots, u_n)$ . So  $x^{\widehat{\sigma_i}} = x_i$  and  $B(\Sigma) = (x_0, \dots, x_n)$  as was to be expected. Indeed the only primitive collection is  $\{\rho_0, \dots, \rho_n\}$ .

**Example 6.17.** Let us consider  $\mathbb{P}^1 \times \mathbb{P}^1$  with ray generators for  $\Sigma$  given by  $u_1 = e_1$ ,  $u_2 = -u_1$ ,  $u_3 = e_2$  and  $u_4 = -u_3$ .

We have  $S = k[x_1, x_2, x_3, x_4]$ ,

$$B(\Sigma) = (x_2x_4, x_2x_3, x_1x_4, x_1x_3),$$

so  $Z(\Sigma) = \{(0,0)\} \times \mathbb{A}^2 \cup \mathbb{A}^2 \times \{(0,0)\} \subseteq \mathbb{A}^4$  as we would expect, and in fact the primitive collections are  $\{\rho_1, \rho_2\}$  and  $\{\rho_3, \rho_4\}$ .

### 6.2.3 Bringing it together

Now note that  $\mathbb{G}_m^{\Sigma(1)}$  acts on  $\mathbb{A}^{\Sigma(1)}$  via diagonal matrices, which preserve coordinate subspaces, so the action restricts to an action on  $\mathbb{A}^{\Sigma(1)} \setminus Z(\Sigma)$ . This restricts to an action of  $G$ .

We'll construct the quotient map  $\mathbb{A}^{\Sigma(1) \setminus Z(\Sigma)} \rightarrow X_\Sigma$  as a toric morphism. In particular,  $\mathbb{A}^{\Sigma(1) \setminus Z(\Sigma)}$  is a toric variety. Its fan  $\widetilde{\Sigma}$  is given as follows: let  $e_\rho$  be the standard basis vectors of  $\mathbb{Z}^{\Sigma(1)}$ . For each  $\sigma \in \Sigma$  consider  $\widetilde{\sigma} \subseteq \mathbb{R}^{\Sigma(1)}$  given by

$$\widetilde{\sigma} = \text{Cone}(e_\rho \mid \rho \in \sigma(1))$$

We now set  $\widetilde{\Sigma} = \{\tau \mid \tau \leq \widetilde{\sigma}, \sigma \in \Sigma\}$ . Note that we need to consider the faces because not every such  $\tau$  comes from a face of  $\sigma \in \Sigma$  (the new faces look like “diagonals” in the original cone).

**Proposition 6.18.** The following hold

1.  $\mathbb{A}^{\Sigma(1)} \setminus Z(\Sigma) \cong X_{\widetilde{\Sigma}}$
2. The morphism  $\mathbb{Z}^{\Sigma(1)} \rightarrow N$  given by  $e_\rho \mapsto u_\rho$  is compatible with the fans
3. The induced toric morphism  $\mathbb{A}^{\Sigma(1)} \setminus Z(\Sigma) \rightarrow X_\Sigma$  is  $G$ -invariant.

*Proof.*

We prove the propositions

1. The fan of  $\mathbb{A}^{\Sigma(1)}$  is given by the cone  $\text{Cone}(e_\rho \mid \rho \in \Sigma(1))$  and all of its faces. Note that  $\widetilde{\Sigma}$  is a subfan of this one. The complement of this subfan is given by the cones  $\text{Cone}(e_\rho \mid \rho \in C)$  which are not faces of any  $\widetilde{\sigma} = \text{Cone}(e_\rho \mid \rho \in \sigma(1))$ , i.e.,  $\nexists \sigma \in \Sigma$  such that  $C \subseteq \sigma(1)$ . The minimal such cones are exactly the ones where  $C$  is a primitive collection and this determines which cones we remove to get  $\widetilde{\Sigma}$ . Removing these cones from the fan corresponds to removing the corresponding torus orbits from  $\mathbb{A}^{\Sigma(1)}$ , that is, removing  $V(x_\rho \mid \rho \in C) = Z(\Sigma)$  by what we have shown.

2. Obvious by construction: if  $\tau \leq \tilde{\sigma}$  then it mapst into  $\sigma$ .
3. The morphism on the tori  $\mathbb{G}_m^{\Sigma(1)} \rightarrow T_N$  is the map induced by  $\mathbb{Z}^{\Sigma(1)} \rightarrow N$ , or dually,  $M \rightarrow \mathbb{Z}^{\Sigma(1)}$ , so  $G = \ker(\mathbb{G}_m^{\Sigma(1)} \rightarrow T_N)$  and since  $\mathbb{A}^{\Sigma(1)} \setminus Z(\Sigma) \rightarrow X_\Sigma$  is  $(\mathbb{G}_m^{\Sigma(1)} \rightarrow T_N)$ -equivariant, it follows that it is  $G$ -invariant.

□

**Theorem 6.19.** The map  $\pi : \mathbb{A}^{\Sigma(1)} \setminus Z(\Sigma) \rightarrow X_\Sigma$  is an almost geometric quotient by  $G$  and it is geometric if and only if  $X_\Sigma$  is simplicial.

*Proof.*

We carefully prove that the map is a good quotient, the rest will be sketched

good It is enough to show that for every  $\sigma \in \Sigma$ ,  $\pi_\sigma := \pi|_{\pi^{-1}(U_\sigma)} : \pi^{-1}(U_\sigma) \rightarrow U_\sigma$  is a good quotient. Call  $\varphi : \mathbb{Z}^{\Sigma(1)} \rightarrow N$ . Since  $\varphi(\tilde{\tau}) \subseteq \sigma \iff \tau \leq \sigma$  for  $\tau, \sigma \in \Sigma$ , it follows that  $\pi^{-1}(U_\sigma) = U_{\tilde{\sigma}}$  (use orbit-cone correspondence).

We have to show that  $\pi_\sigma : U_{\tilde{\sigma}} \rightarrow U_\sigma$  is a good quotient. We will check that  $\pi_\sigma^* : k[U_\sigma] \rightarrow U_{\tilde{\sigma}}$  induces an isomorphism  $k[U_\sigma] \cong k[U_{\tilde{\sigma}}]^G$ . Recall that

$$k[U_\sigma] = k[\sigma^\vee \cap M], \quad k[U_{\tilde{\sigma}}] = k[\tilde{\sigma}^\vee \cap \mathbb{Z}^{\Sigma(1)}]$$

Note that

$$\tilde{\sigma}^\vee \cap \mathbb{Z}^{\Sigma(1)} = \left\{ (a_\rho) \in \mathbb{Z}^{\Sigma(1)} \mid a_\rho \geq 0 \ \forall \rho \in \sigma(1) \right\},$$

so

$$k[U_{\tilde{\sigma}}] = k\left[\prod x_\rho^{a_\rho} \mid a_\rho \geq 0 \ \forall \rho \in \sigma(1)\right] = S_{x_{\tilde{\sigma}}}$$

where  $S = k[x_\rho \mid \rho \in \Sigma(1)]$ .

The morphism  $\varphi$  dualizes to  $\varphi^\vee(m) = (\langle m, u_\rho \rangle)$ , so

$$\begin{array}{ccc} \pi_\sigma^* k[U_\sigma] & \longrightarrow & k[U_{\tilde{\sigma}}] = S_{x_{\tilde{\sigma}}} \\ t^m & \longmapsto & \prod x_\rho^{\langle m, u_\rho \rangle} \end{array}$$

We already know that  $\pi_\sigma^*$  factors thorough  $S_{x_{\tilde{\sigma}}}^G$  because we showed that  $\pi$  is  $G$ -invariant. We also know that the morphism is injective because at the level of varieties it is dominant (surjective on the tori).

Let us check surjectivity. If  $f \in S_{x_{\tilde{\sigma}}}$  then

$$f = \sum c_a x^a, \quad a = (a_\rho) \in \mathbb{Z}^{\Sigma(1)}$$

where  $a_\rho \geq 0$  for  $\rho \in \sigma(1)$ . Such an element is  $G$ -invariant if and only if for every  $t = (t_\rho) \in G \subseteq \mathbb{G}_m^{\Sigma(1)}$  we have

$$\sum c_a x^a = \sum c_a t^{-a} x^a$$

so  $t^{-a} = 1$  for all  $t \in G$  and  $a$  such that  $c_a \neq 0$ , i.e. the character  $t \mapsto t^a$ , an element of  $X(G) = \text{Cl}(X_\Sigma)$  is trivial. Using the exact sequence

$$0 \rightarrow M \rightarrow \mathbb{Z}^{\Sigma(1)} \rightarrow \text{Cl}(X_\Sigma) \rightarrow 0$$

it follows that there is some  $m \in M$  such that  $a_\rho = \langle m, u_\rho \rangle$  for all  $\rho \in \Sigma(1)$ . Since  $a_\rho = \langle m, u_\rho \rangle \geq 0$  for all  $\rho \in \sigma(1)$  we have that a  $G$ -invariant  $f$  must be of the form  $\sum c_a x^{\varphi^\vee(m)}$ , that is, it comes from  $k[U_\sigma]$ .

*geometric  $\equiv$  simple*

We want to show that  $\pi_\sigma : U_{\tilde{\sigma}} \rightarrow U_\sigma$  is geometric if and only if  $\sigma$  is simplicial. We use the following fact:

If  $X$  is an affine (possibly non-normal)  $T$ -toric variety then for all  $x \in X$  there exists a 1-parameter subgroup  $\lambda : \mathbb{G}_m \rightarrow T$  and  $y \in T \subseteq X$  such that  $x = \lim_{t \rightarrow 0} \lambda(t) \cdot y$ .

If  $\sigma$  is simplicial we need to show that all  $G$ -orbits are closed. Without loss of generality we may suppose that  $G$  is connected (and so it is a torus by classification of diagonalizable groups). Take  $p \in U_{\tilde{\sigma}}$  and  $x \in \overline{G \cdot p}$ . We want to show that  $x \in G \cdot p$ . Note that  $\overline{G \cdot p}$  is a toric variety with torus  $T = G / \text{stab}_G(p)$ , so by the claimed fact there exists some  $\lambda$  1ps and  $y \in T$  such that  $x = \lim(\lambda(t) \cdot y) \cdot p$ . It turns out that  $\lambda$  has to be trivial by simpliciality of  $\sigma$  so  $\lambda(t)y = y$ , which implies  $x = y \cdot p \in G \cdot p$ .

Viceversa, if  $\sigma$  is not simplicial then from a non-trivial linear relation between the ray generators one can construct a 1-ps  $\lambda$  and a point  $p \in U_{\tilde{\sigma}}$  such that  $\bar{p} = \lim \lambda(t) \cdot p$  exists in  $U_{\tilde{\sigma}}$  but  $\bar{p} \notin G \cdot p$ , showing that the orbit is not closed.

*almost geom.*

It is enough to note that if  $\Sigma' \subseteq \Sigma$  is the subfan of simplicial cones in  $\Sigma$  then  $X_{\Sigma'} \subseteq X_\Sigma$  is a dense open over which  $\pi$  is geometric.

□

**Remark 6.20.** Note that all rays of  $\Sigma$  are simplicial, so  $X_\Sigma \setminus X_{\Sigma'}$  has codimension at least 2.

### 6.3 Analogies with projective space

The total coordinate ring  $S = k[x_\rho \mid \rho \in \Sigma(1)]$  is graded by  $\text{Cl}(X_\Sigma)$ : we say that  $x^a = \prod_\rho x_\rho^{a_\rho}$  has degree  $[\sum a_\rho D_\rho] \in \text{Cl}(X_\Sigma)$ .

Let  $S_\beta$  denote the graded summand of  $S$  corresponding to  $\beta \in \text{Cl}(X_\Sigma)$ .

Recall that  $G = \text{Hom}(\text{Cl}(X_\Sigma), k^*)$  acts on  $\mathbb{A}^{\Sigma(1)}$  via  $\mathbb{G}_m^{\Sigma(1)}$ , so it acts on  $S$  and the action is given by

$$(g \cdot f)(x) = f(g^{-1} \cdot x)$$

In particular,  $g \cdot \chi^m(x) = \chi^m(g^{-1})\chi^m(x)$  for  $m \in \mathbb{Z}^{\Sigma(1)}$ . If  $m \mapsto \beta$  in  $\text{Cl}(X_\Sigma)$  we may write  $g\chi^m(x) = \chi^\beta(g^{-1})\chi^m(x)$ .

From this one can check that  $f \in S_\beta$  if and only if  $g \cdot f = \chi^\beta(g^{-1})f$ , that is,  $S_\beta$  is generated by  $t^m$  for  $m$  mapping to  $\beta$  under  $\mathbb{Z}^{\Sigma(1)} \rightarrow \text{Cl}(X_\Sigma)$ . This is in turn equivalent to

$$f(g^{-1}x) = \chi^\beta(g^{-1})f(x)$$

equivalently

$$f(gx) = \chi^\beta(g)f(x).$$

**Definition 6.21.** The elements of  $S_\beta$  are called **homogeneous of degree  $\beta$** .

**Remark 6.22.** Homogeneity ensures that  $f(x) = 0$  is well defined in the quotient.

**Definition 6.23.** If  $I$  is homogeneous we define

$$V(I) = \{x \in X_\Sigma \mid f(x) = 0 \ \forall f \in I\}.$$

**Proposition 6.24.** Suppose that  $X_\Sigma$  is simplicial and has no torus factors. The following holds

1. If  $I \subseteq S$  is a homogeneous ideal then  $V(I) \subseteq X_\Sigma$  is a closed subvariety and every closed subvariety of  $X_\Sigma$  arises this way.
2.  $V(I) = \emptyset \iff B(\Sigma)^\ell \subseteq I$  for some  $\ell \in \mathbb{N}_+$
3. There is a bijection between closed subvarieties of  $X_\Sigma$  and radical homogeneous ideals  $I$  such that  $I \subseteq B(\Sigma)$ .

*Proof.*

Let  $W = V_{aff}(I) \cap (\mathbb{A}^{\Sigma(1)} \setminus Z(\Sigma))$  and note that it is closed and  $G$ -invariant as a subset of  $\mathbb{A}^{\Sigma(1)} \setminus Z(\Sigma)$ . So  $\pi(W) = V(I) \subseteq X_\Sigma$  is closed.

Conversely, suppose that  $Y \subseteq X_\Sigma$  is closed, then  $\pi^{-1}(Y) \subseteq \mathbb{A}^{\Sigma(1)} \setminus Z(\Sigma)$  is closed and  $G$ -invariant. It follows that  $\overline{\pi^{-1}(Y)} \subseteq \mathbb{A}^{\Sigma(1)}$  is also closed and  $G$ -invariant. This gives an ideal in  $S$  which is homogeneous by  $G$ -invariance.

The rest follows from the affine nullstellensatz like in the projective case (note that  $V(I) = V(\sqrt{I \cap B(\Sigma)})$ ).  $\square$

**Remark 6.25.** The condition  $I \subseteq B(\Sigma)$  ensures that  $Z(\Sigma) \subseteq V_{aff}(I)$ , so that we lose the ambiguity that would come from the step where we remove  $Z(\Sigma)$ .

**Remark 6.26** (Non-simplicial case). If  $X_\Sigma$  is not simplicial then the closed subvarieties of  $X_\Sigma$  are the  $V(I)$  but we have to define

$$V(I) = \{x \in X_\Sigma \mid f(p) = 0 \text{ for some } p \in \pi^{-1}(x)\}.$$

Unfortunately we lose the bijection.

There are also local coordinates obtained by dehomogeneization.

If  $\sigma \in \Sigma$  is smooth and its dimension realizes the maximal dimension of cones in  $\Sigma$  then  $U_\sigma \cong \mathbb{A}^n$  and we have

$$\phi_\sigma : \begin{array}{ccc} \mathbb{A}^n & \longrightarrow & \mathbb{A}^{\Sigma(1)} \\ (a_\rho)_{\rho \in \sigma(1)} & \longmapsto & (b_\rho)_{\rho \in \Sigma(1)} \end{array}$$

where  $b_\rho = a_\rho$  if  $\rho \in \sigma(1)$  and  $b_\rho = 1$  otherwise.

**Proposition 6.27.** There is a commutative diagram

$$\begin{array}{ccc} \mathbb{A}^{\sigma(1)} & \xrightarrow{\phi_\sigma} & \mathbb{A}^{\Sigma(1)} \setminus Z(\Sigma) \\ \parallel & & \downarrow \pi \\ U_\sigma & \subseteq & X_\Sigma \end{array}$$

*Proof.*

Since  $\pi^{-1}(U_\sigma) = U_{\tilde{\sigma}}$  where  $\tilde{\sigma} = \text{Cone}(e_\rho \mid \rho \in \sigma(1))$ , it suffices to check that this diagram commutes

$$\begin{array}{ccc} \mathbb{A}^{\sigma(1)} & \xrightarrow{\phi_\sigma} & U_{\tilde{\sigma}} \\ \cong \swarrow & & \searrow \pi|_{U_{\tilde{\sigma}}} \\ & U_\sigma & \end{array}$$

This can be done by looking at the coordinate rings. □

**Remark 6.28.** There is also a correspondence between graded  $S$ -modules and quasi-coherent sheaves on  $X_\Sigma$  that generalizes the well known one for projective space.

**Remark 6.29.** There is a notion of homogenization for characters  $\chi^m$ , but it depends on the choice of a divisor on  $X_\Sigma$ . One can prove that there is an isomorphism

$$S_\beta \cong \Gamma(X_\Sigma, \mathcal{O}_{X_\Sigma}(D))$$

where  $[D] = \beta$  in  $\text{Cl}(X_\Sigma)$ . This leads to the definition of the Cox ring in more general contexts:<sup>5</sup>

$$S = \bigoplus_{[D] \in \text{Cl}(X)} \Gamma(X, \mathcal{O}_X(D)).$$

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<sup>5</sup>the quotes account for various technical details.



# Bibliography

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