

# 1 Magnetic Anisotropy Effects

We have discussed the energy as a function of the magnitude of the magnetization  $|\vec{M}|$ , but have neglected the energy dependence of the direction of  $\vec{M}$ . The Heisenberg Hamiltonian:

$$H = - \sum_{i < j} J_{ij} S_i S_j \quad (1)$$

is completely isotropic and its energy levels do not depend on the direction in space which the crystal is magnetized in. If there is no other energy term the magnetization would always vanish in zero applied field, however real magnetic materials are not isotropic.

## 1.1 Overview of Magnetic Anisotropies

There are five basic types of magnetic anisotropies:

- Magnetocrystalline anisotropy - The magnetization is oriented along specific crystalline axes.
- Shape anisotropy - The magnetization is affected by the macroscopic shape of the solid
- Induced magnetic anisotropy - Specific magnetization directions can be stabilized by tempering the sample in an external magnetic field.
- Stress anisotropy(magnetostriction) - Magnetization leads to a spontaneous deformation.
- Surface and interface anisotropy - Surfaces and interfaces often exhibit different magnetic properties compared to the bulk due to their asymmetric environment.

## 1.2 Magnetocrystalline Anisotropy

The most important type of anisotropy is the magnetocrystalline anisotropy which is caused by the spin orbit interaction of the electrons. The electron orbitals are linked to the crystallographic structure. Due to their interaction with the spins they make the latter prefer to align along well-defined crystallographic axes. Therefore, there are directions in space which a magnetic material is easier to magnetize in than other ones(easy axes). I will give the magnetocrystalline energy per volume  $E_{cry}$  for cubic, tetragonal, and hexagonal systems.

$$E_{cry}^{cub} = K_0 + K_1(\alpha_1^2\alpha_2^2 + \alpha_1^2\alpha_3^2 + \alpha_2^2\alpha_3^2) + K_2\alpha_1^2\alpha_2^2\alpha_3^2 + K_3(\alpha_1^2\alpha_2^2 + \alpha_1^2\alpha_3^2 + \alpha_2^2\alpha_3^2) + \dots \quad (2)$$

$$E_{cry}^{tet} = K_0 + K_1\cos^2\theta + K_2\cos^4\theta + K_3\sin^4\theta(\sin^4\phi + \cos^4\phi) \quad (3)$$

$$E_{cry}^{hex} = K_0 + K_1\sin^2\theta + K_2\sin^4\theta + K_3\sin^6\theta + K_4\sin^6\theta\cos 6\theta \quad (4)$$

where the coefficients  $K_i$  are the magnetocrystalline anisotropy constants which are functions of the basis. The directional cosine  $\alpha_i$  is given by  $\alpha_1 = \sin\theta\cos\phi$ ,  $\alpha_2 = \sin\theta\sin\phi$ , and  $\alpha_3 = \cos\theta$ .

In general:

- The crystal anisotropy constants depend on the material and temperature
- $K_1$  and  $K_2$  are sufficient for a good agreement between experiment and calculation
- Sign and ratio of the constants determine the easy axes

Consider a cubic system. We can now obtain the energies for a given axes.

- $E_{100} \implies \theta = 90$  and  $\phi = 0 \implies \alpha_1 = 1, \alpha_2 = \alpha_3 = 0$
- $E_{110} \implies \theta = 90$  and  $\phi = 45 \implies \alpha_1 = \alpha_2 = \frac{1}{\sqrt{2}}, \alpha_3 = 0$
- $E_{111} \implies \theta = 54.7(\tan\theta = \sqrt{2})$  and  $\phi = 45 \implies \alpha_1 = \alpha_2 = \alpha_3 = \frac{1}{\sqrt{3}}$

From this, the corresponding energies are:

$$E_{100} = K_0 \tag{5}$$

$$E_{110} = K_0 + \frac{1}{4}K_1 \tag{6}$$

$$E_{111} = K_0 + \frac{1}{3}K_1 + \frac{1}{27}K_2 \tag{7}$$

This shows that the  $K_1$  anisotropy constant is the most important. For a positive value  $K_1 > 0$ , as in bcc-Fe, the [100] direction is the easy magnetization axis and the [111] direction is the hard axis due to the relationship  $E_{100} < E_{110} < E_{111}$ . For a negative value  $K_1 < 0$ , as in fcc-Ni, the [111] direction is the easy magnetization axis and the [100] direction is the hard axis due to the relationship  $E_{111} < E_{110} < E_{100}$ .

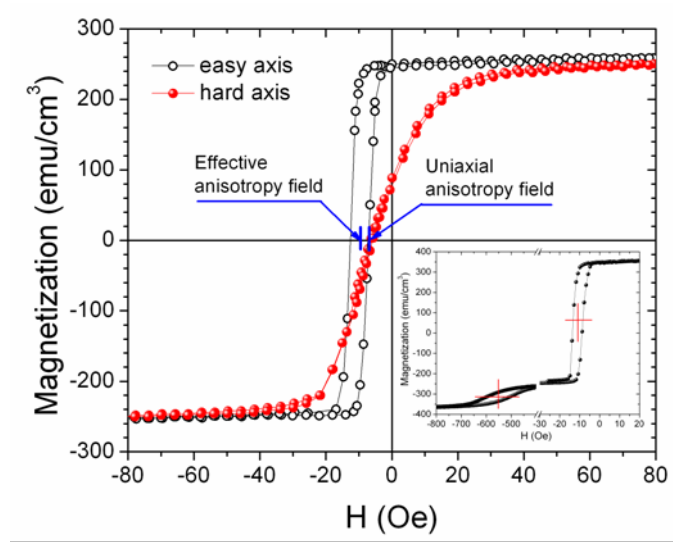


Figure 1: Easy axis and Hard axis

The additional consideration of the anisotropy constant  $K_2$  may significantly vary the magnetic properties. If  $K_1$  and  $K_2$  are of the same order of magnitude the  $[110]$  direction can be the easy as well as the hard axis. The ratio of  $\frac{K_1}{K_2}$  is about 250 for Fe. For Ni the ratio is about 2, i.e. both constants nearly exhibit the same value. Therefore the temperature dependence of the anisotropy constants must be taken into account. There will exist some temperature at which  $K_1$  will change signs, thus the magnetic properties will be different in both temperature regimes.

The concentration of the material also effects the anisotropy constants.

### 1.3 Shape Anisotropy

Polycrystalline samples without a preferred orientation of the grains do not possess any magnetocrystalline anisotropy. But, an overall isotropic behavior concerning the energy being needed to magnetize it along an arbitrary direction is only given for a spherical shape. If the sample is not spherical then one or more of the specific directions occur which represent easy magnetization axes which are solely caused by the shape. This is known as shape anisotropy.

The relationship  $B = \mu_0 (H + M)$  is only valid for infinite systems. A finite sample exhibits poles at its surfaces which leads to a stray field outside the sample. This stray field results in a demagnetizing field inside the sample.

The energy of a sample in its own stray field is given by the stray field energy  $E_{str}$ :

$$E_{str} = -\frac{1}{2} \int \mu_0 M \cdot H_{demag} dV \quad (8)$$

This can be easily solved for symmetric objects. For a perfect sphere the stray field density  $E_{str}^{sph}$  is given by:

$$E_{str}^{sph} = \frac{1}{6}\mu_0 M^2 \quad (9)$$

From this, it can be seen that the stray field has no directional dependence for a spherical object. For an infinitely expanded thin plate ( $a = b = \infty$ ) the stray field energy density  $E_{str}^{film}$  is:

$$E_{str}^{film} = \frac{1}{2}\mu_0 M^2 \cos^2(\theta) \quad (10)$$

From this, it can be seen that the stray field is minimum for  $\theta = 90^\circ$ . This means that the shape anisotropy favors a magnetization direction parallel to the surface, i.e. within the film plane.

For bcc-Fe, fcc-Ni, and hcp-Co the shape anisotropy constant is larger than the crystalline anisotropy constant  $K_{shape}^V > K_1$ , thus shape anisotropy dominates the magnetocrystalline anisotropy which results in an in-plane magnetization for thin film systems.

## 1.4 Induced Magnetic Anisotropy

### 1.5 Stress Anisotropy (Magnetostriction)

In addition to magnetocrystalline anisotropy, there is another effect related to spin-orbit coupling called magnetostriction. Magnetostriction arises from the strain dependence of the anisotropy constants. Upon magnetization, a previously demagnetized crystal experiences a strain that can be measured as a function of applied field along the principal crystallographic axes. A magnetic material will therefore change its dimension when magnetized.

The inverse effect, or the change of magnetization with stress also occurs. A uniaxial stress can produce a unique easy axis of magnetization if the stress is sufficient to overcome all other anisotropies. The magnitude of the stress anisotropy is described by two more empirical constants known as the magnetostriction constants ( $\lambda_{111}$  and  $\lambda_{100}$ ) and the level of stress.

The coefficient  $\lambda$  may be positive or negative, and is usually on the order of  $10^{-5}$

### 1.6 Magnetic Surface and Interface Anisotropy

The considerations above were carried out for volume systems neglecting interfaces and surfaces. Due to the broken symmetry at interfaces the anisotropy energy contains terms with lower order in  $\alpha$  which are forbidden for the three-dimensional systems. Therefore, each effective anisotropy constant  $K^{eff}$  is divided into two parts: one describing the volume and one the surface contribution:

$$K^{eff} = K^V + \frac{2K^S}{d} \quad (11)$$

with  $K^V$  being the volume dependent magnetocrystalline anisotropy constant and  $K^S$  being the surface dependent magnetocrystalline anisotropy constant. The second term has an inverse

dependence on the thickness  $d$  of the system which is important for thin films. The factor of 2 arises from the layer being bounded by two interfaces.

By rewriting the previous equation, we can plot the dependence as a  $d \cdot K^{eff}(d)$  diagram allowing us to determine  $K^V$  as the slope of the resulting line and  $2K^S$  as the zero-crossing. This can be seen in Figure 1:

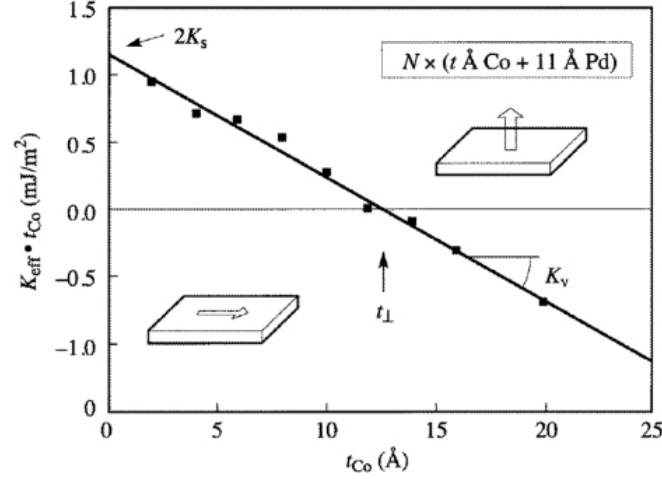


Figure 2: Magnetic anisotropy as a function of film thickness

Due to the shape anisotropy  $K^V$  is negative. This can directly be seen by the negative slope which results in an in-plane magnetization. The zero-crossing occurs at a positive value  $K^S$ , which leads to a critical thickness  $d_c$ :

$$d_c = -\frac{2K^2}{K^V} \quad (12)$$

with

- $d < d_c$  : perpendicular magnetization
- $d > d_c$  : in-plane magnetization

due to the change of sign of  $K^{eff}$ . Thus, the volume contribution always dominates for thick films with a magnetization being within the film plane.