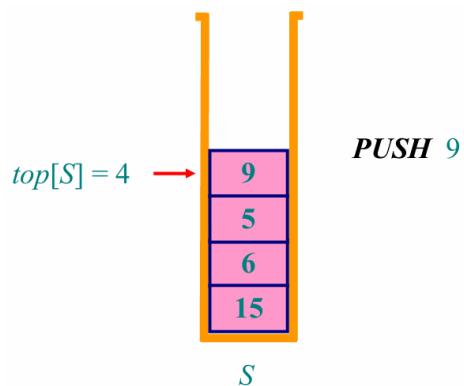


# Data Structure Lecture 3: Basic Data Structures and Sorting

## Part I. Basic Data Structures

### 1. Stack

First in, last out(FILO)



- Operation:
  - **Push:** push the new element into the end of the array
  - **Pop:** pop the first element of the end of the array
- Implementation:
  - **stack\_pointer:** point to the **top of the stack**(last element's index + 1)

```
my_stack
1  template<typename T>
2  class my_stack{
3  public:
4      int max_num;
5      int stack_pointer;
6      vector<T> stack_arr;
7      //Constructor
8      my_stack(){
9          stack_pointer = 0;
10         max_num = 100;
11         stack_arr = vector<T>(max_num);
12     }
13     my_stack(int num){
14         stack_pointer = 0;
```

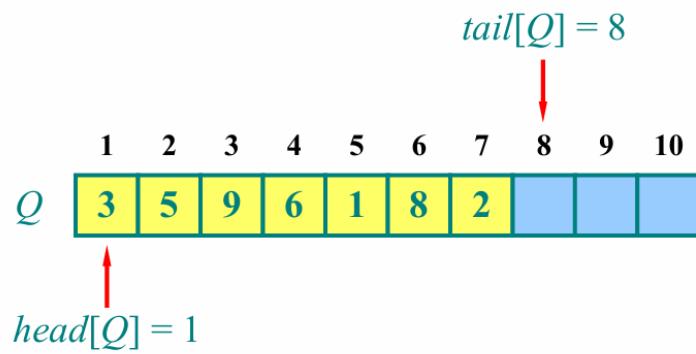
```

15         max_num = num;
16         stack_arr = vector<int>(max_num);
17     }
18
19     // Push Method
20     void push(T element){
21         if(stack_pointer >= max_num){
22             cout << "stack overflow" << endl;
23             return;
24         }
25         stack_arr[stack_pointer] = element;
26         stack_pointer++;
27     }
28
29     // Pop Method
30     T pop(){
31         if(stack_pointer <= 0){
32             cout << "stack downflow" << endl;
33             return NULL;
34         }
35         stack_pointer--;
36         return stack_arr[stack_pointer];
37     }
38 }
```

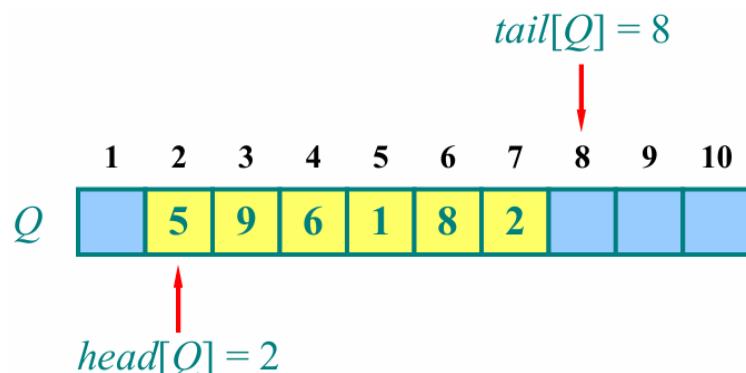
## 2.Queue

### First in, first out(FIFO)

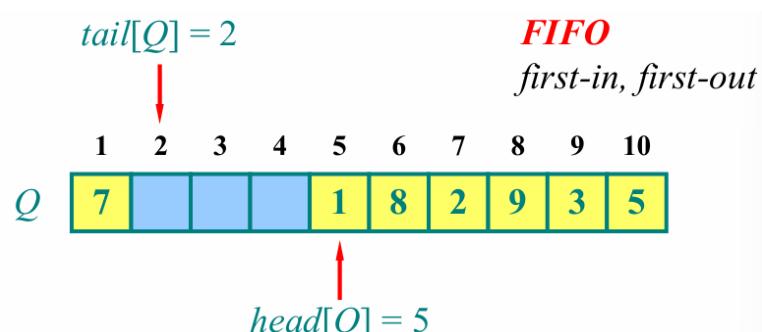
- Operation:
  - **Enqueue:** push the new element into the end of the array
  - **Dequeue:** pop the first element of the start of the array
- Implementation:
  - **Head:** first element's index
  - **Tail:** Last element's index + 1
  - **Idea : Cyclical array**(Suppose the end of the array is connected to the start of the array)



*ENQUEUE*    6  
*ENQUEUE*    1  
*ENQUEUE*    8  
*ENQUEUE*    2



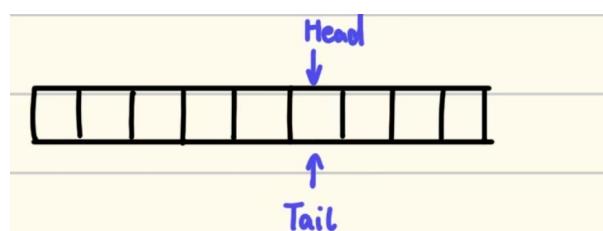
***DEQUEUE***

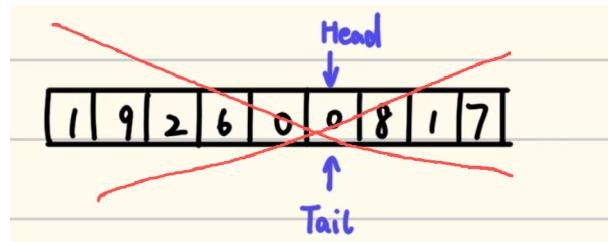


**Empty**  
 $head[Q] = tail[Q]$

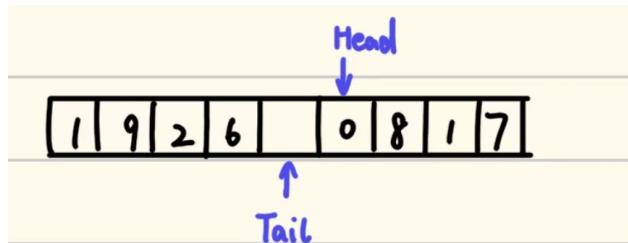
**Full**  
 $head[Q] = tail[Q] + 1$

- **Head == Tail** : The queue is **empty**





- `Head == Tail + 1` : The queue is **full**(There remains one grid not filled)



Understanding:

Suppose that the `Head` is fixed, and we only remove the `Tail`. There are  $n$  possible results, but we want to represent that the queue have  $0, 1, 2, 3, \dots, n$  elements, totally  $n+1$  states. This means there is one state that we cannot represent. We choose to sacrifice the state where the queue has  $n$  elements.

my\_queue

```

1  template<typename T>
2  class my_queue{
3  public:
4      int max_num;
5      int head;
6      int tail;
7      vector<T> queue_arr;
8      //Constructor
9      my_stack(){
10         head = 0;
11         tail = 0;
12         max_num = 100;
13         queue_arr = vector<T>(max_num);
14     }
15     my_stack(int num){
16         head = 0;
17         tail = 0;
18         max_num = num;
19         stack_arr = vector<int>(max_num);
20     }
21     // index up
22     int index_up(int index){
23         return index < max_num - 1 ? index + 1 : 0;
24 }
```

```

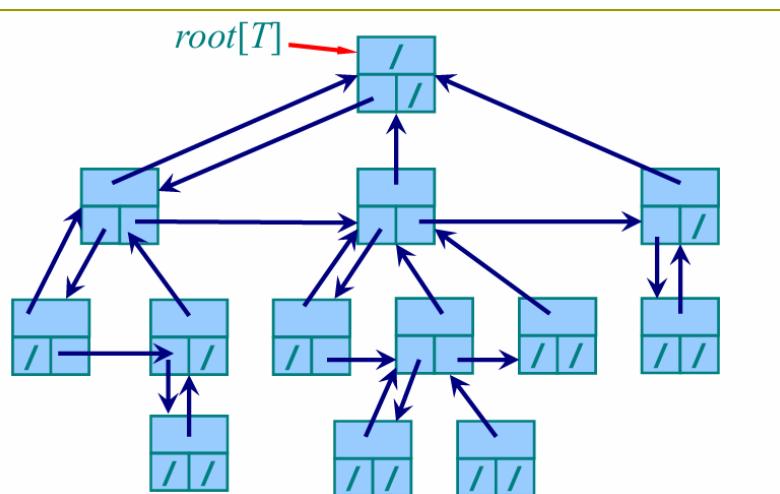
25     }
26
27     // Enqueue Method
28     void enqueue(T element){
29         if(tail == head - 1){
30             cout << "queue overflow" << endl;
31             return;
32         }
33         queue_arr[tail] = element;
34         tail = index_up(tail);
35     }
36
37     // Deque Method
38     T deque(){
39         if(head == tail){
40             cout << "queue downflow" << endl;
41             return NULL;
42         }
43         T ret = queue_arr[head];
44         head = index_up(head);
45         return ret;
46     }
47 }

```

### 3.Linked List

### 4.Tree

所有的树可以用“**儿子兄弟表示法**”转化为二叉树。一个节点的左子节点表示他的“长子”，右子节点表示比他“最大的弟弟”。向左的边表示父子关系，向右的边表示兄弟关系。



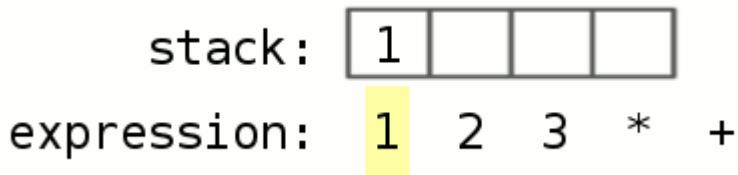
### 5.Graph

### 6.Postfix(reverse Polish notation): Application of Stack

Infix: 9 + 2 \* 7 + (2 \* 5 + 6) \* 2

Postfix: 9 3 7 \* + 4 5 \* 6 + 2 \* +

Postfix calculation:



Rules:

1. 每遇到1个运算数，将这个数字放入stack
2. 遇到一个二元运算符，从stack里面弹出2个运算数，进行该运算以后，将结果放入堆栈
3. 当所有运算符都已经用掉，stack里面只有一个元素时，停止计算，这个元素就是结果。

Infix to prefix:

- 
- **(10+20)\*(30+40)/(50+60)**
  - **Stack :**
  - **Çıktı :**
- 

Rules:

1. 遇到了算术运算符  $+$ ,  $-$ ,  $*$ ,  $/$ ,  $($ ,  $)$  的时候，尝试把运算符放入stack
  - 如果是  $($ , 直接放入
  - 如果是  $)$ , 依次弹出它与stack里面最近的  $($  之间的所有运算符。
  - 如果是  $+$ ,  $-$ ,  $*$ ,  $/$ 
    - 如果stack的top元素运算符优先级高于它，弹出top元素以后，放入它
    - 如果stack的top元素运算符优先级较低或者是  $($ ，直接放入它。
2. 遇到一个运算数，把它放入后缀表达式
3. 当所有运算数都用掉以后，依次弹出stack里面的所有运算符

## Part II. Sorting



Sorting Algorithms:

- Sorting with Comparison:

*lower bound:  $O(N \log N)$*

- Insertion Sort:

$O(N^2)$

*Space Complexity:  $O(1)$*

- Merge Sort:

$O(N \log N)$

*Space Complexity:  $O(N)$*

- Heap Sort:

$O(N \log N)$

- Quick Sort:

Expected case:  $\Theta(N \log N)$

Worst case:  $\Theta(N^2)$

*Space Complexity:  $O(1)$*

- Sorting without Comparison:

- Radix Sort

## 1. Quick Sort

Basic Idea of Quick Sort

1. Divide: **Partition** the array into two subarrays around a **pivot**  $x$  such that **elements in lower subarray  $\leq x$ , elements in upper subarray  $\geq x$** .



2. Conquer: Recursively sort the two subarrays

Expected conquer cost:  $O(n)$

3. Combine: Trivial(微不足道的)

1. Time complexity analysis:

- Expected Case:

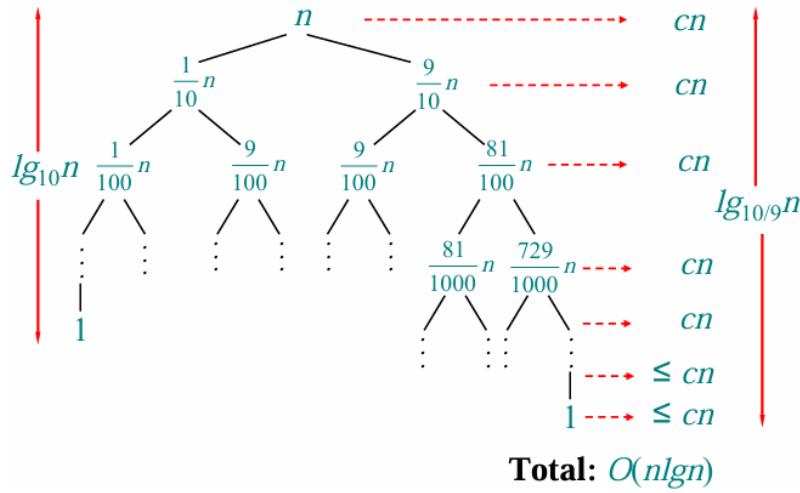
We suppose we can get the ideal case, in which the pivot divides the array into exactly two identically half subarrays.

$$T(n) = 2T\left(\frac{N}{2}\right) + O(N)$$

We can use the master method to analyze the time complexity.

$$T(N) = N \log(N)$$

Or we can suppose that pivot divides the array into exactly one subarray with  $k$  of the total elements and the other with  $1-k$  of the elements,  $0 < k < 1$



We assume  $\lambda = \max\{k, 1 - k\}$

Tree Height:  $\log_{\frac{1}{\lambda}} N$

Cost for each layer:  $cn$

$$\Rightarrow T(N) = \Theta(N \log N)$$

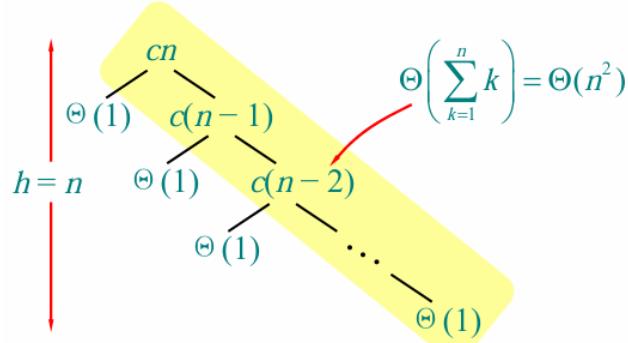
- Worst case:

We suppose we can get the worst case, in which the pivot divides the array into exactly one subarray with 0 element and the other with  $n-1$  element.

$$T(N) = T(0) + T(N-1) + \Theta(N)$$

$$T(N) = \Theta(1) + T(N-1) + \Theta(N) = \Theta(N^2)$$

$$T(n) = T(0) + T(n-1) + cn$$



## 2. Implementation:

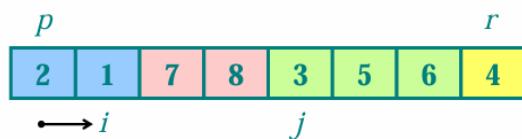
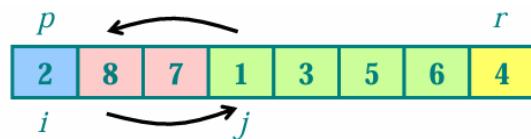
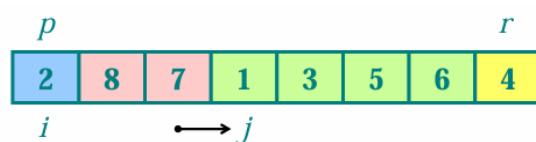
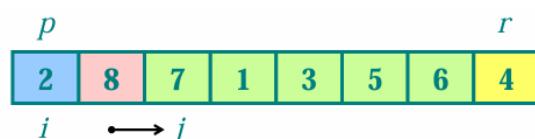
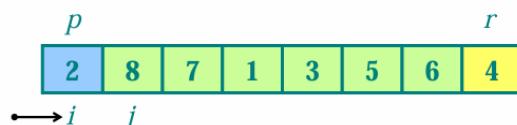
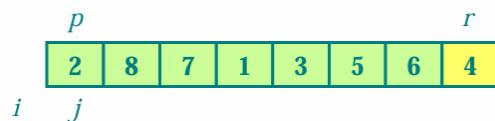
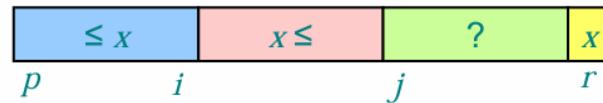
## QUICKSORT( $A, p, r$ )

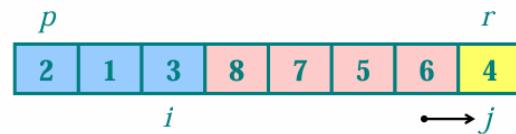
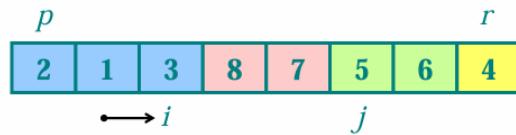
1. **if**  $p < r$
2.   **then**  $q \leftarrow \text{PARTITION}(A, p, r)$
3.      $\text{QUICKSORT}(A, p, q - 1)$
4.      $\text{QUICKSORT}(A, q + 1, r)$

**Initial call:**  $\text{QUICKSORT}(A, 1, n)$

### PARTITION( $A, p, r$ ) // $A[p \dots r]$

1.  $x \leftarrow A[r]$  // pivot =  $A[p]$
2.  $i \leftarrow p - 1$
3. **for**  $j \leftarrow p$  **to**  $r - 1$
4.   **do if**  $A[j] \leq x$
5.     **then**  $i \leftarrow i + 1$
6.       exchange  $A[i] \leftrightarrow A[j]$
7. exchange  $A[i] \leftrightarrow A[r]$
8. **return**  $i + 1$





We can use the last element as pivot, implemented in the way which the pseudocode describes:

### Quick Sort1

```

1  template<typename T>
2  void Quick_sort_1(std::vector<T>& arr){
3
4      std::function<void(int, int)> helper = [&](int left, int right) -> void{
5          // right is exclusive
6          if(left >= right - 1){
7              return;
8          }
9
10         // partition, choose arr[right - 1] to be the pivot
11         T pivot = arr[right - 1];
12         // i points to the last element we have explored that's smaller than
13         // the pivot
14         int i = left - 1;
15         // j points to the first element that we haven't explored.
16         int j = left;
17
18         while(j < right - 1){
19             if(arr[j] > pivot){
20                 j++;
21             }
22             else{
23                 std::swap(arr[i + 1], arr[j]);
24                 i++;
25                 j++;
26             }
27         }
28     }
29 }
```

```

27         std::swap(arr[i + 1], arr[right - 1]);
28
29         helper(left, i + 1);
30         helper(i + 2, right);
31     };
32
33
34     helper(0, arr.size());
35 }
```

We can also use the first element as pivot, implemented in a slightly different way.

### Quick Sort2

```

1  template<typename T>
2  void Quick_sort_2(std::vector<T>& arr){
3
4      std::function<void(int, int)> helper = [&](int left, int right) -> void{
5          // right is exclusive
6          if(left >= right - 1){
7              return;
8          }
9
10         // partition, choose arr[left] to be the pivot
11         T pivot = arr[left];
12
13         int small_point = left + 1;
14         int big_point = right - 1;
15         /**We can guarantee that:
16          * When index < small_point, elements must be smaller than the pivot
17          * When index > big_point, elements must be bigger than the pivot
18          */
19         while(small_point <= big_point){
20             if(arr[small_point] < pivot){
21                 small_point++;
22             }
23             else{
24                 std::swap(arr[small_point], arr[big_point]);
25                 big_point--;
26             }
27         }
28
29         std::swap(arr[left], arr[small_point - 1]);
30
31         helper(left, small_point - 1);
32         helper(small_point, right);
```

```
33      };
34
35      helper(0, arr.size());
36  }
```

Average case: no human effect(not  $n \lg n$  exactly)

Expected case: with human effect