

**STAG 2016** - Smart Tools and Apps in computer Graphics

# Persistent homology: a step-by-step introduction for newcomers

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**MARYLAND**

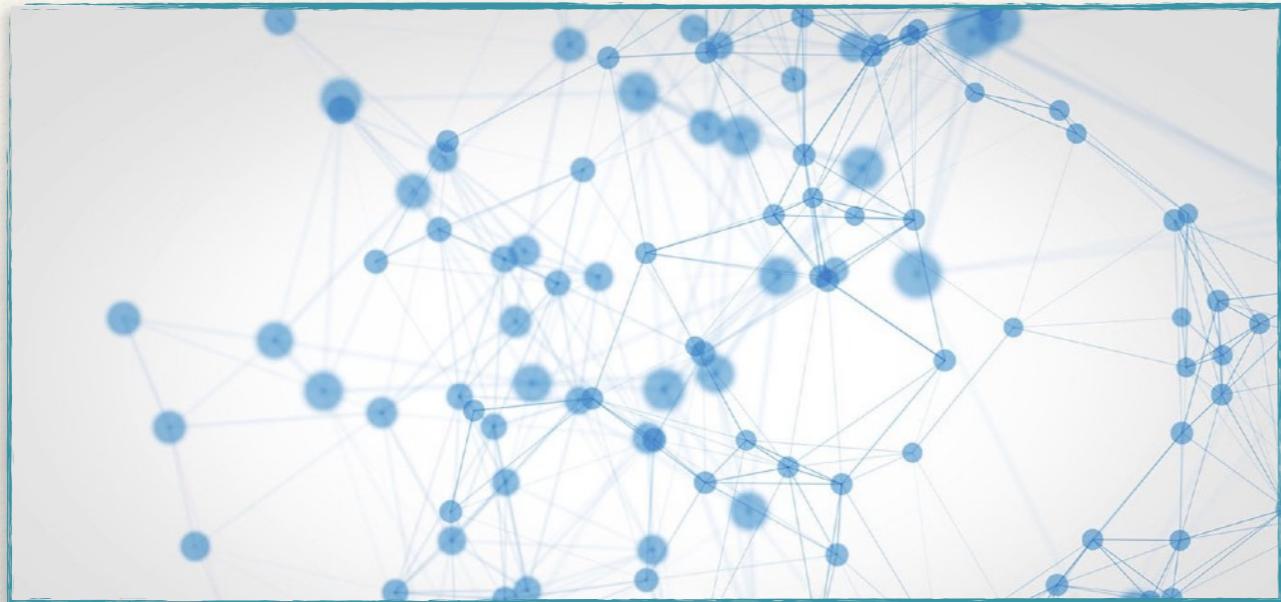


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DEGLI STUDI  
DI GENOVA

*Joint work with:*

*Sara Scaramuccia, Federico Iuricich, and Leila De Floriani*

# Topological Data Analysis



*“Data has shape and  
shape has meaning”*

*Gunnar Carlsson*

**Topological Data Analysis (TDA)** is that branch of mathematics concerned with characterizing the properties of a shape

One of the most **meaningful tool** in TDA is

*Persistent Homology*

# Persistent Homology

Persistent homology allows for describing the changes in the shape of an evolving object

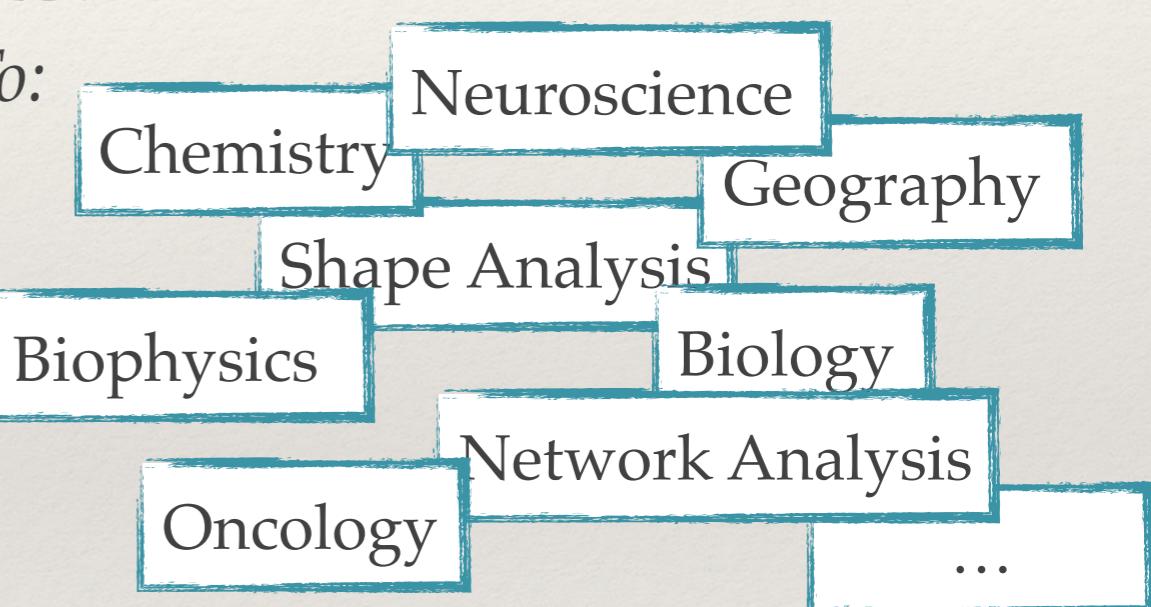
## Application domains of persistent homology:

From:

Shape Analysis



To:



This leads to the need of:

- ♦ *Introducing persistent homology to newcomers*

Combining:



Intuition



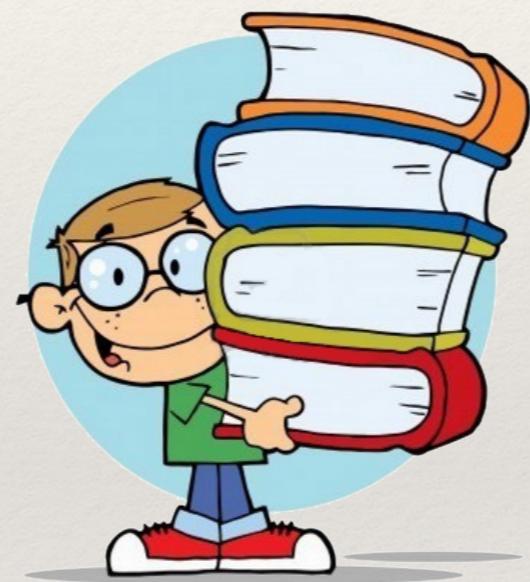
Formalism

# Our Contribution

A threefold task:



Interactive website  
for beginners



Visualization tool  
for curious users

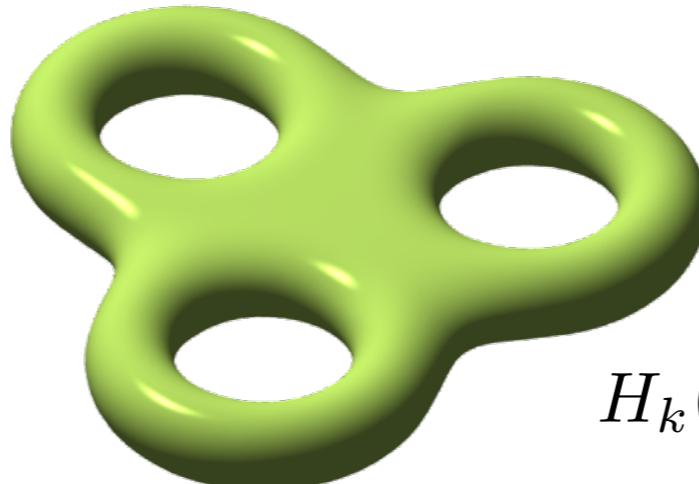


In-depth overview  
for interested researchers

# Persistent Homology

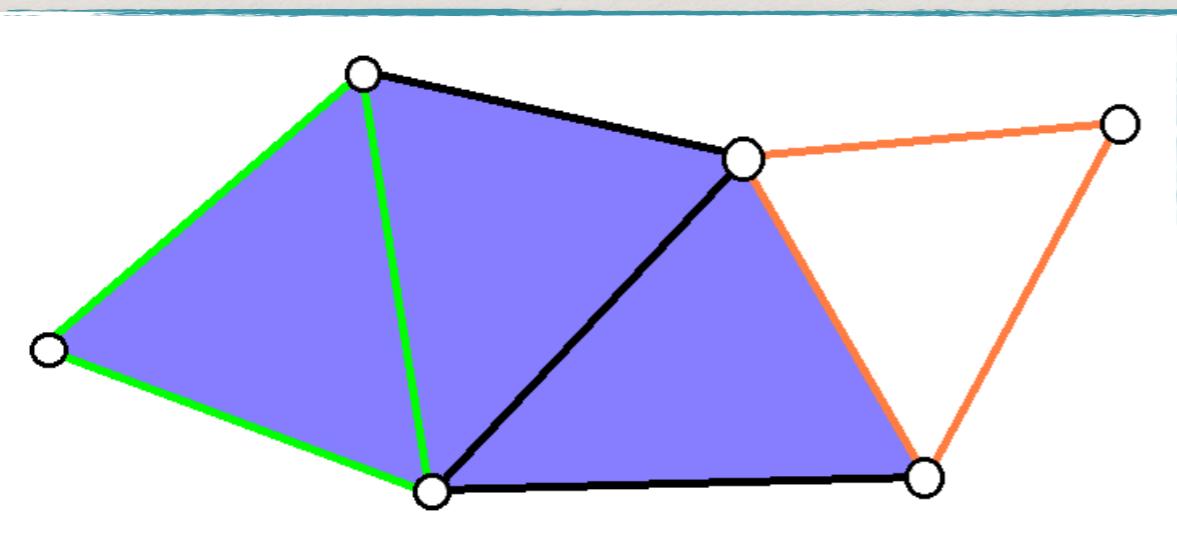
## Homology:

A topological invariant  
detecting “holes” of a shape



$$H_k(\Sigma) = \begin{cases} \mathbb{Z} & \text{for } k = 0 \\ \mathbb{Z}^6 & \text{for } k = 1 \\ \mathbb{Z} & \text{for } k = 2 \end{cases}$$

Given a simplicial complex  $\Sigma$ , the  $k$ -homology group of  $\Sigma$  is defined as



$$H_k(\Sigma) := Z_k / B_k$$

where:

- ❖  $Z_k$  is the group of  $k$ -cycles of  $\Sigma$
- ❖  $B_k$  is the group of  $k$ -boundaries of  $\Sigma$

# Persistent Homology

Given a simplicial complex  $\Sigma$  evolving according with a filtration,

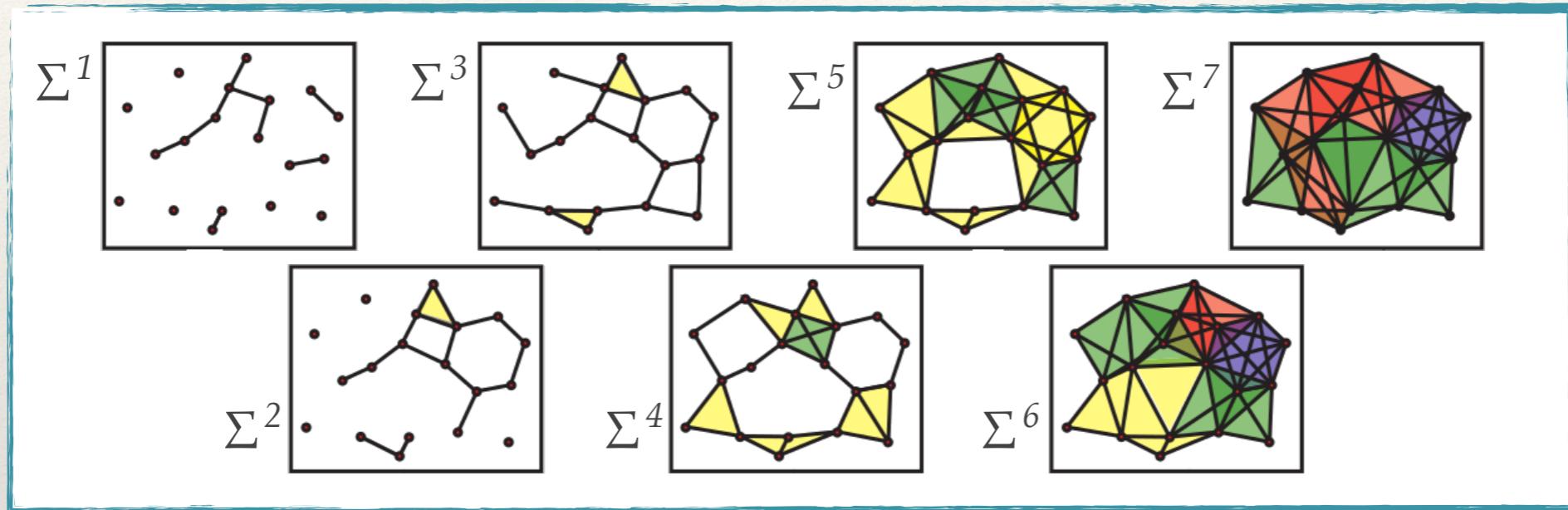


Image from  
[Ghrist 2008]

The  $(p,q)$ -persistent  $k$ -homology group of  $\Sigma$  is defined as

$$H_k^{p,q}(\Sigma) := \text{Im}(i_k^{p,q})$$

where  $i_k^{p,q}$  is the map between  $H_k(\Sigma^p)$  and  $H_k(\Sigma^q)$  induced by the inclusion of  $\Sigma^p$  in  $\Sigma^q$

**Intuitively:**

Persistent homology describes the *changes in homology* occurring during the filtration

# Defining Persistent Homology

## Timeline:

1990

Frosini

## Size Functions:

- ◆ *Estimation of natural pseudo-distance* between shapes endowed with a function  $f$
- ◆ Tracking of the *connected components* of a shape along its evolution induced by  $f$

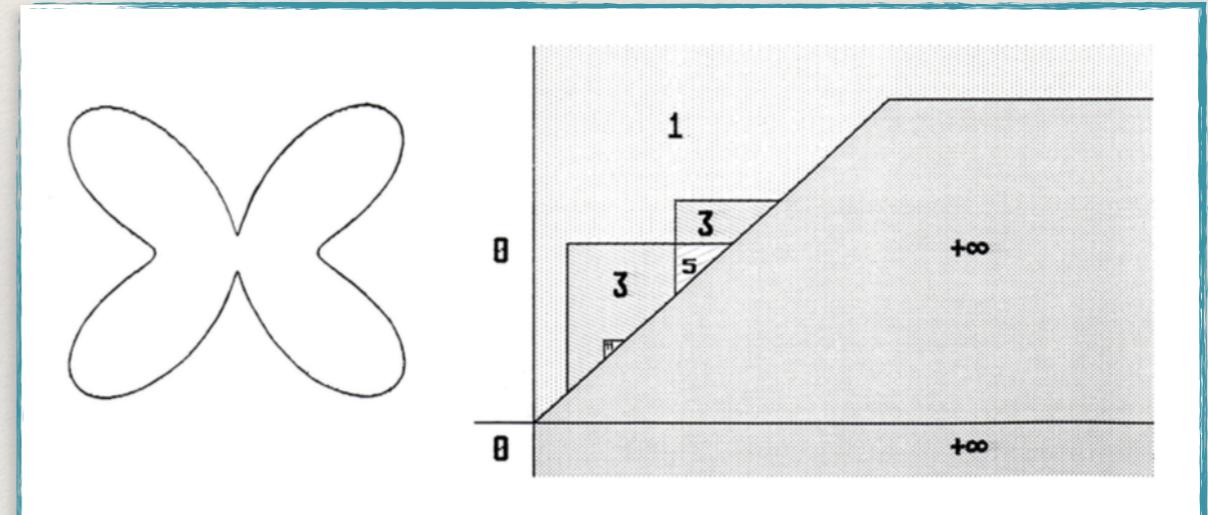


Image from [Frosini 1992]

Actually, this coincides with *persistent homology in degree 0*

# Defining Persistent Homology

## Timeline:

1990

Frosini

1994

Delfinado,  
Edelsbrunner

## Incremental Algorithm for Betti Numbers:

- ♦ Introduction of the notion of *filtration*
- ♦ De facto computation of *persistence pairs*

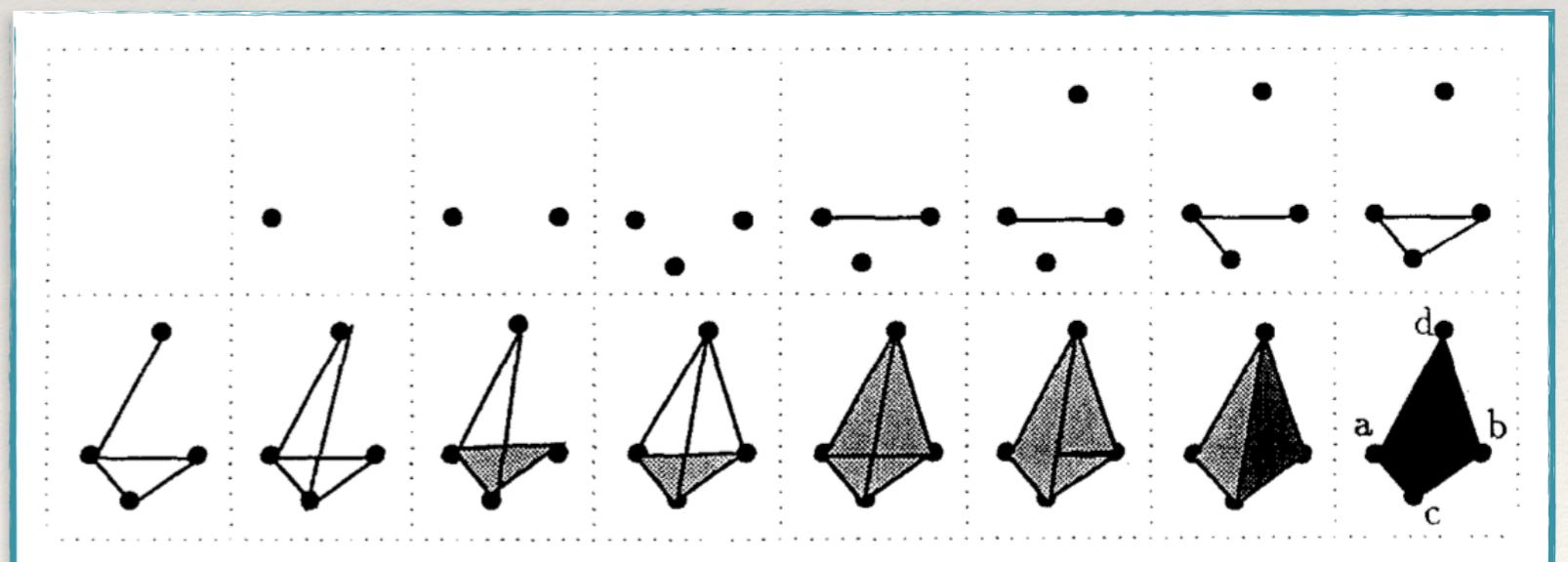


Image from [Delfinado, Edelsbrunner 1995]

# Defining Persistent Homology

## Timeline:

1990

Frosini

1994

Delfinado,  
Edelsbrunner

1999

Robins

## Homology from Finite Approximations:

- ◆ *Extrapolation of the homology of a metric space from a finite point-set approximation*
- ◆ Introduction of *persistent Betti numbers*

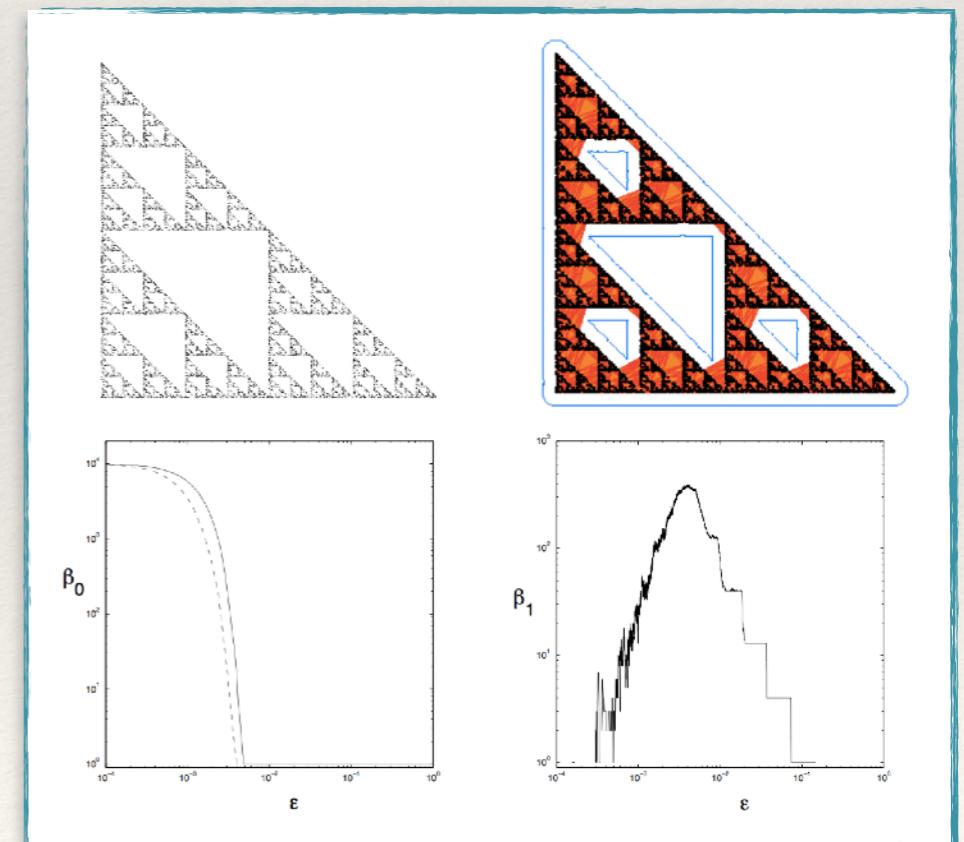
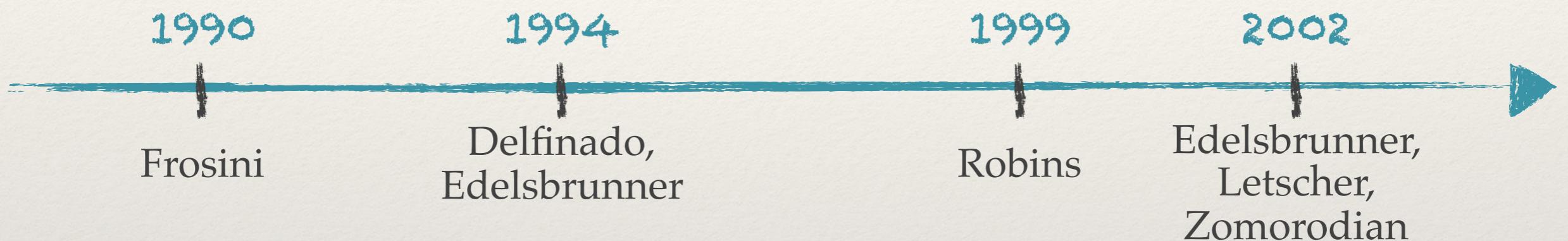


Image from [Robins 1999]

# Defining Persistent Homology

## Timeline:



## Topological Persistence:

- ◆ Introduction and algebraic formulation of the notion of *persistent homology*
- ◆ *Description of an algorithm* for computing persistent homology

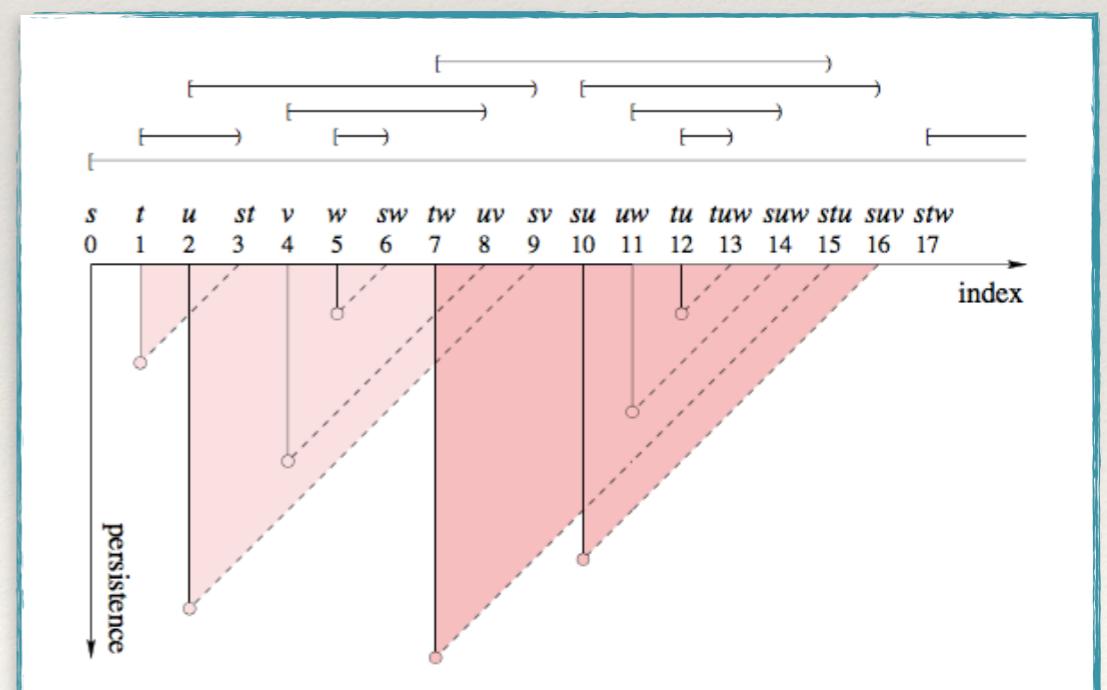
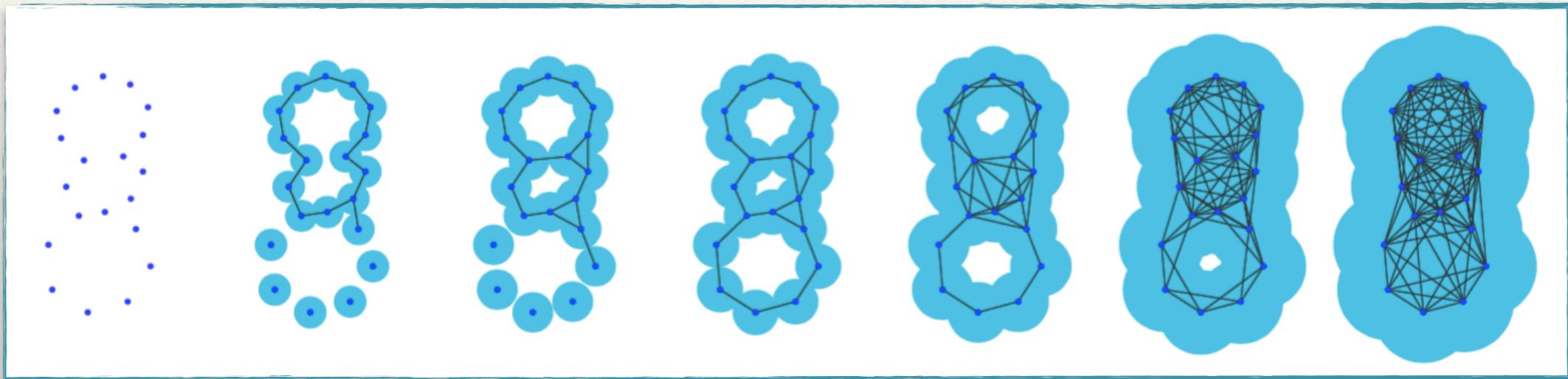


Image from [Edelsbrunner et al. 2002]

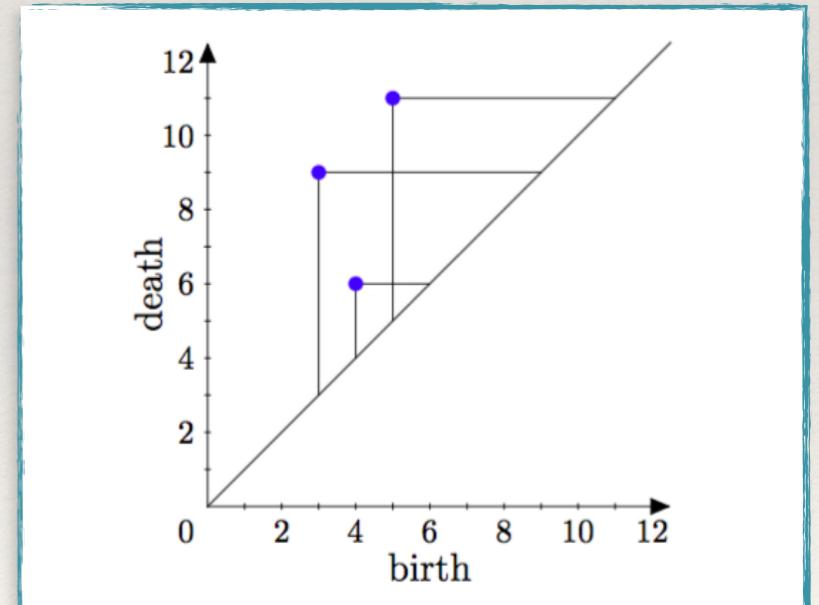
# Visualizing Persistent Homology

Given a filtered simplicial complex  $\Sigma$ ,



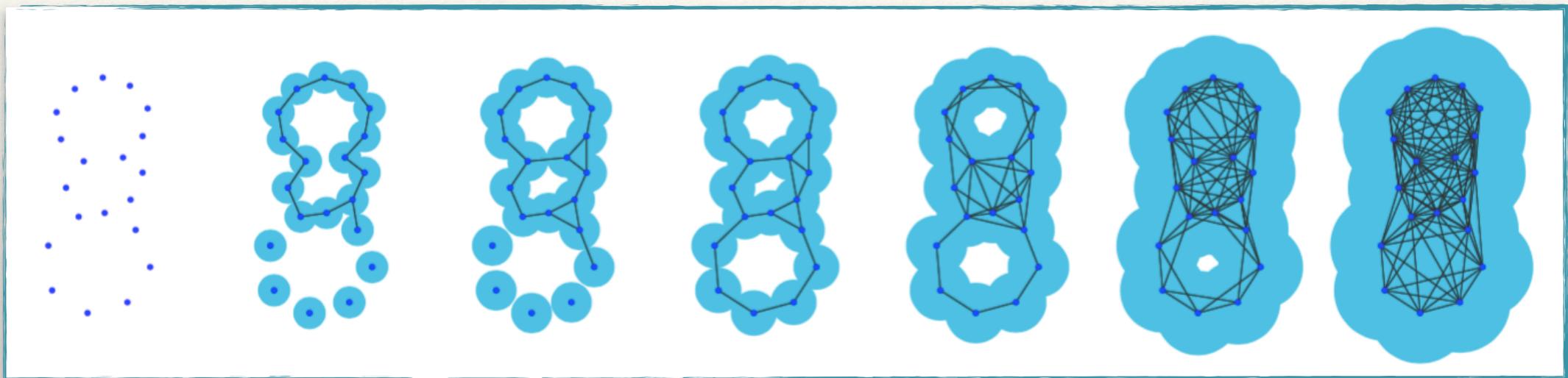
Persistent homology of  $\Sigma$  can be visualized through:

- ◆ *Persistence diagrams* [Edelsbrunner, Harer 2008]



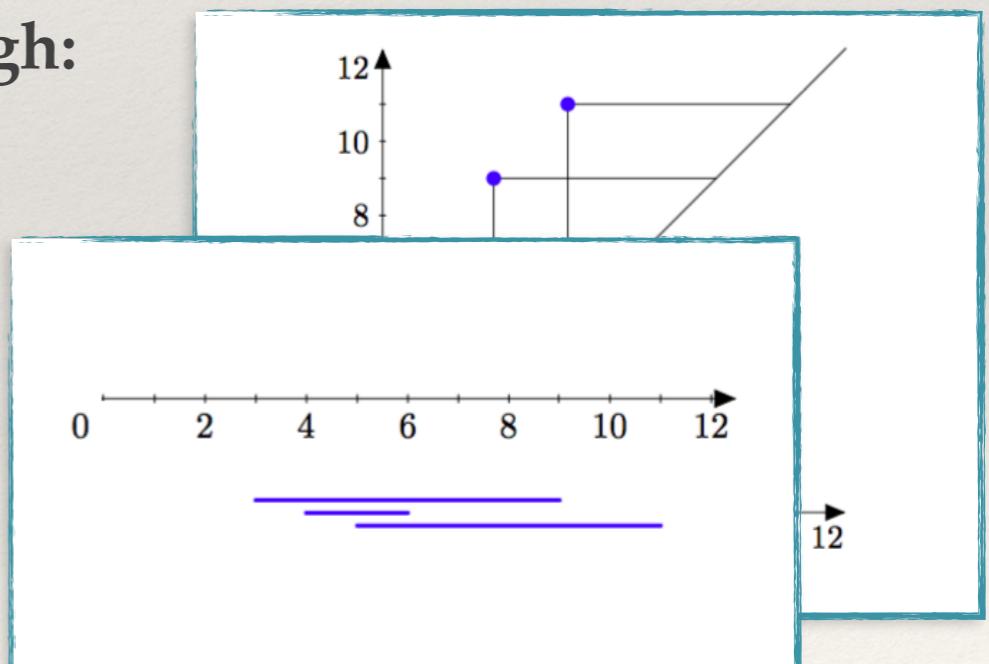
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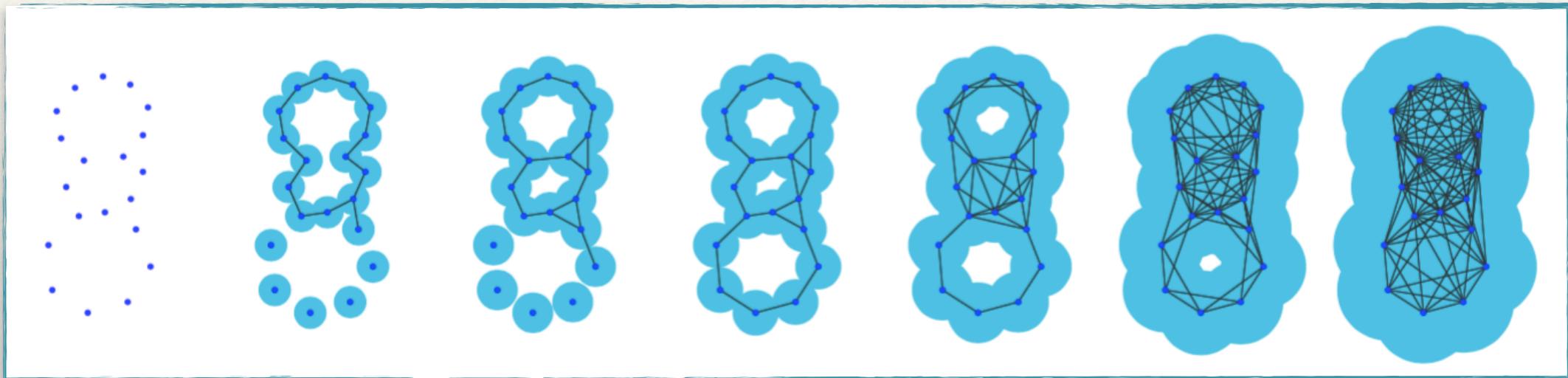
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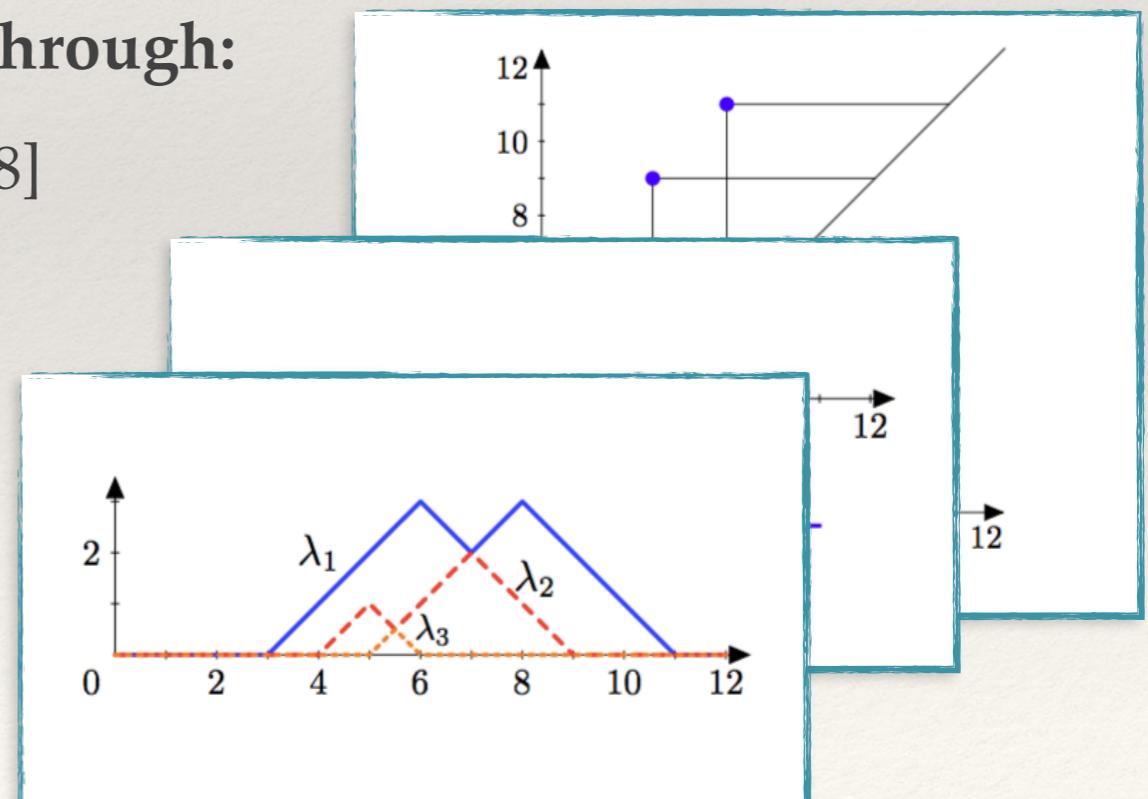
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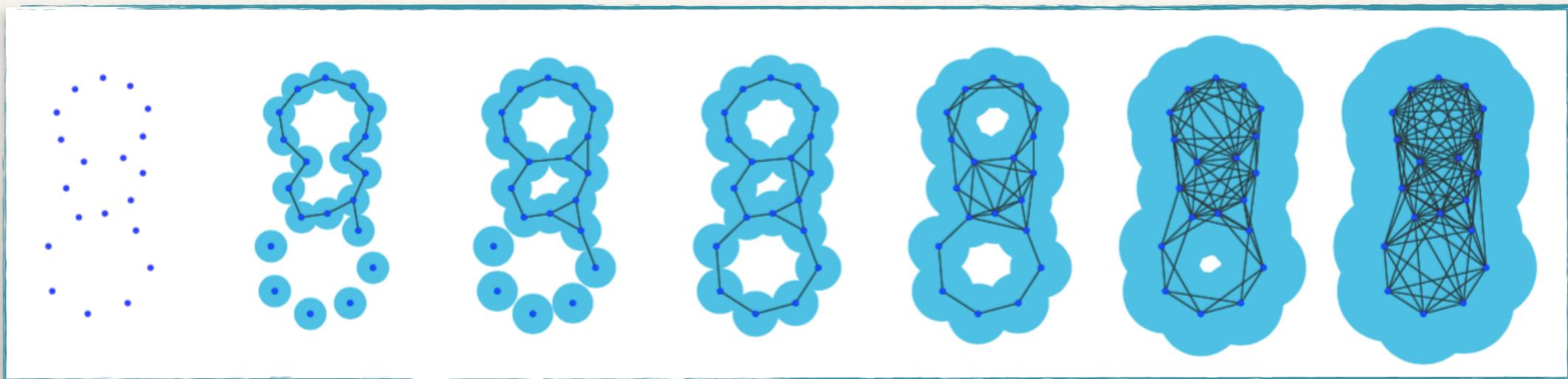
- ◆ *Persistence diagrams* [Edelsbrunner, Harer 2008]
- ◆ *Barcodes* [Carlsson et al. 2005; Ghrist 2008]
- ◆ *Persistence landscapes* [Bubenik 2015]



Images from [Bubenik 2015]

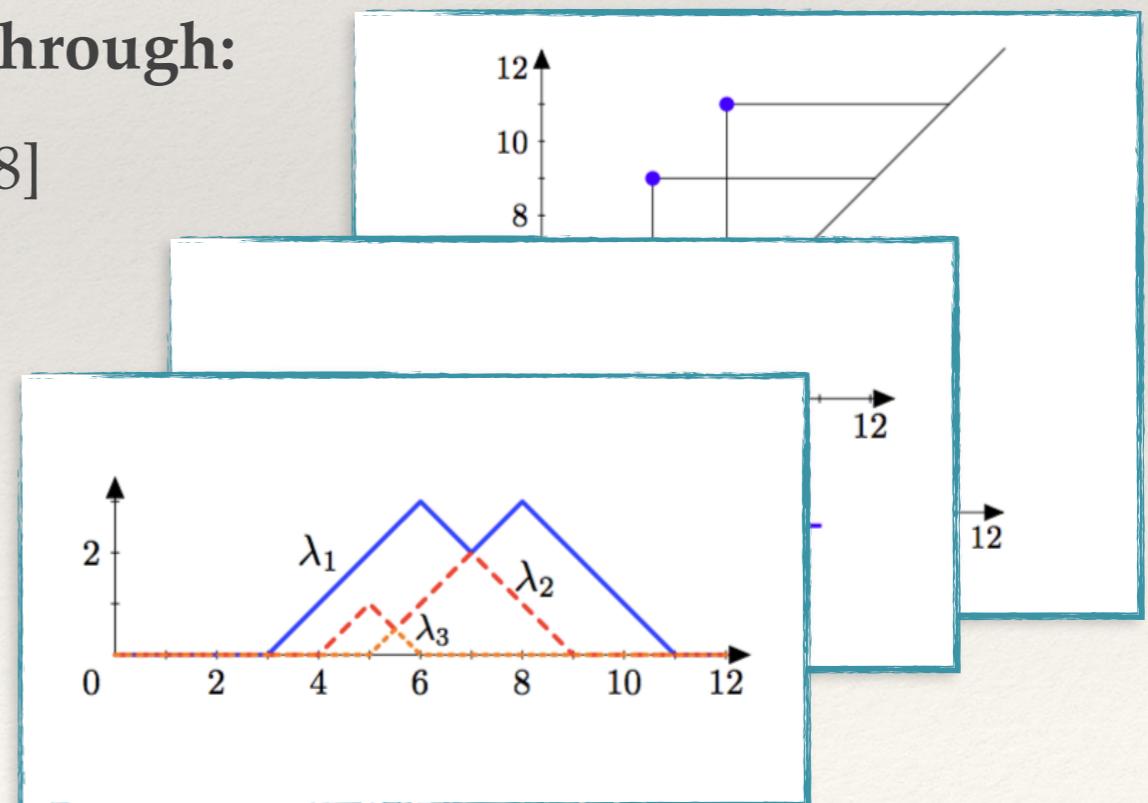
# Visualizing Persistent Homology

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Persistent homology of  $\Sigma$  can be visualized through:

- ◆ *Persistence diagrams* [Edelsbrunner, Harer 2008]
- ◆ *Barcodes* [Carlsson et al. 2005; Ghrist 2008]
- ◆ *Persistence landscapes* [Bubenik 2015]
- ◆ *Corner points and lines* [Frosini, Landi 2001]
- ◆ *Half-open intervals* [Edelsbrunner et al. 2002]
- ◆ *k-triangles* [Edelsbrunner et al. 2002]
- ◆ ...



Images from [Bubenik 2015]

# Computing Persistent Homology

*Standard algorithm* to compute persistent homology [Zomorodian, Carlsson 2005]:

- ◆ Based on a **matrix reduction**
- ◆ **Linear complexity** in practical cases
- ◆ **Super-cubical complexity** in the worst case

Several different strategies:

## Direct optimizations

- ◆ *Zigzag persistent homology* [Milosavljević et al. 2005]
- ◆ *Computation with a twist* [Chen, Kerber 2011]
- ◆ *Dual algorithm* [De Silvia et al. 2011]
- ◆ *Output-sensitive algorithm* [Chen, Kerber 2013]
- ◆ *Multi-field algorithm* [Boissonnat, Maria 2014]

## Coarsening approaches

- ◆ *Topological operators and simplifications* [Mrozek, Wanner 2010; Dlotko, Wagner 2014]
- ◆ *Morse-based approaches* [Robins et al. 2011; Harker et al. 2014; Fugacci et al. 2014]

## Distributed approaches

- ◆ *Spectral sequences* [Edelsbrunner, Harer 2008; Lipsky et al. 2011]
- ◆ *Multicore coreductions* [Murty et al. 2013]
- ◆ *Multicore homology* [Lewis, Zomorodian 2014]
- ◆ *Persistent homology in chunks* [Bauer et al. 2014a]
- ◆ *Distributed persistent computation* [Bauer et al. 2014b]

## Annotation-based methods

- ◆ *Compressed annotation matrix* [Boissonnat et al. 2013]
- ◆ *Persistence for simplicial maps* [Dey et al. 2014]

# Interactive User-Guide

We propose a **web-based user-guide** on persistent homology  
for beginners and researchers coming from other fields

## Main Contributions:

- ◆ Intuitive and self-contained *introduction to persistent homology*
- ◆ Step-by-step *description of the standard algorithm* for computing persistent homology
- ◆ *Overview of the state of the art* in persistent homology



### Intuitiveness & Interactivity

- ◆ Accessible language and elementary definitions
- ◆ Step-by-step descriptions of notions and algorithms
- ◆ Different colors for different tasks
- ◆ Interactive examples
- ◆ Focus on  $\mathbb{Z}_2$  coefficients and standard algorithm

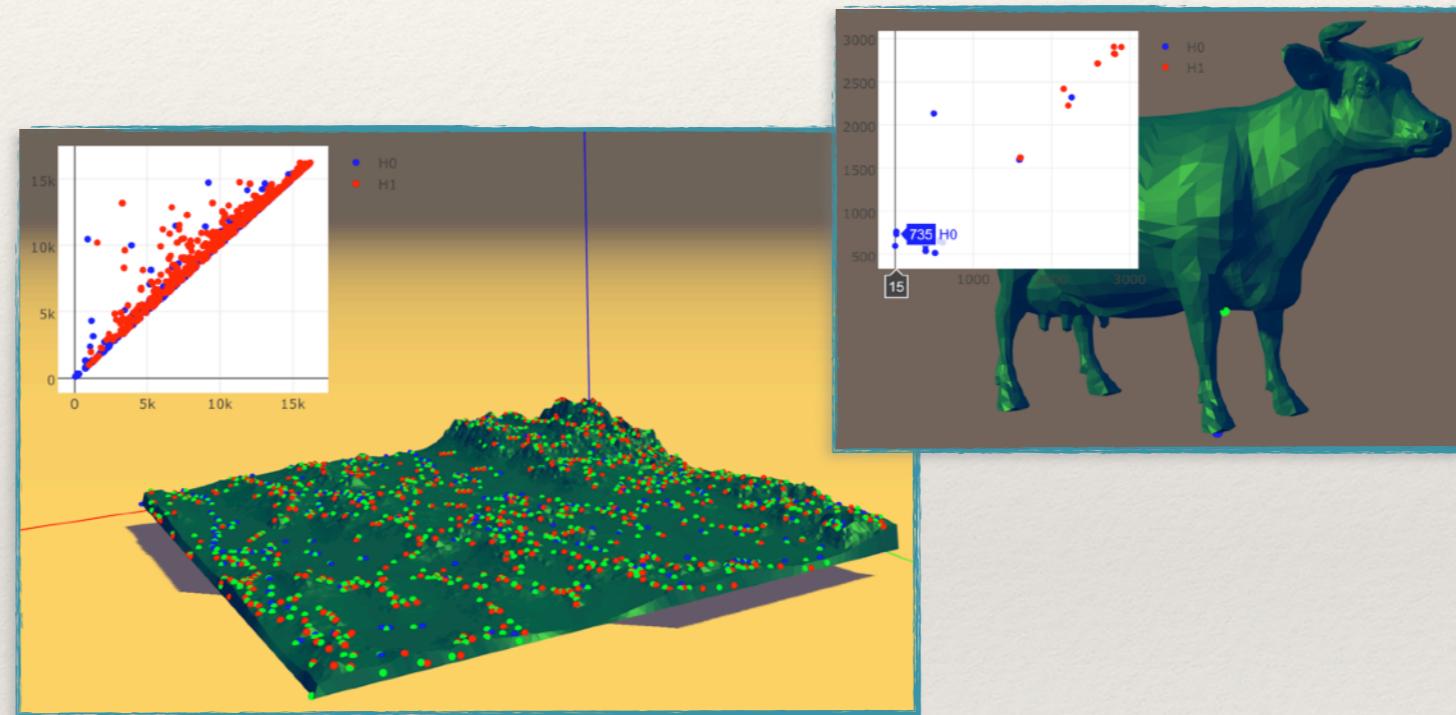


### Formalism & Completeness

- ◆ Self-contained and theoretically consistent
- ◆ Pseudo-code description of the algorithms
- ◆ Classification of the state of the art
- ◆ Insights on some relevant theoretical aspects
- ◆ Links to relevant contents and cited works

# Web-GL Interface

We develop a **visualization tool** for studying persistence pairs  
on a triangulated surface



Two Packages:

1. From a filtered simplicial complex  $\Sigma$  to its persistence pairs
2. From the persistence pairs of  $\Sigma$  to their visualization in an interactive interface

# Web-GL Interface

## 1. Computing Persistent Homology

- ◆ Accepted input:
  - $\Sigma$ , triangulated surface ( supported formats: .ply, .off )
  - $f: \Sigma_0 \rightarrow \mathbb{R}$ , filtering function defined on the vertices of  $\Sigma$
- ◆ Computation of the persistence pairs:
  - based on the standard algorithm implemented in PHAT Library [Bauer et al. 2013]

## 2. Visualizing Persistence Pairs

- ◆ Accepted input:
  - $\Sigma$ , triangulated surface ( supported formats: .ply )
  - $PP$ , list of the persistence pairs of  $\Sigma$  ( supported formats: .json )
- ◆ Visualization of the persistence pairs of  $\Sigma$  through:
  - 3D scene, implemented using the Threejs Library, a Javascript library based on Web-GL
  - scatter plot, implemented using the Plotly Library

# Current and Future Developments

## In Summary:

- ◆ A new approach for *spreading persistent homology as a practical tool* has been proposed
- ◆ It consists of:
  - *Interactive web-based user-guide* introducing persistent homology
  - *Web-GL interface* for analyzing persistence pairs on a triangulated surface
  - *In-depth overview* on the evolution of persistent homology and the state-of-the-art methods

## What's Next?

- ◆ We are planning to *expand the user-guide and the visualization tool* including:
  - *Morse theory*
  - *Forman's discrete Morse theory*
  - *Reeb graphs*
- ◆ **Long-term goal:** *a shared framework where researchers can participate in building user-friendly guides*

# Thank you

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*Joint work with:*

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