# cryslatt documentation

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November 22, 2024

### 1 Introduction

The *cryslatt* script performs the lattice parameter optimization of a crystal using the Boys-Bernardi counterpoise correction, according to the chosen level of theory. It may be used for both Hartree-Fock (HF) or Density Functional Theory (DFT) calculations and employs the quantum chemistry program CRYSTAL [1]. Calculations are launched using the external Python script ACMxc.

In order to obtain an energy-volume curve, a range of lattice constants is chosen and for each value L two calculations are run: one for the bulk, the other one for a single atom surrounded by ghost atoms as in the bulk structure. The total energy per atom  $E^{\text{bulk}}(L)$  of the bulk system, as well as the total energy  $E^{\text{atom}}(L)$  for the atom surrounded by ghost atoms, are computed and their difference is considered:

$$E^{\text{coh}}(L) = E^{\text{bulk}}(L) - E^{\text{atom}}(L)$$

The resulting energy-volume curve is fitted using an equation of state, and the optimal lattice constant, bulk modulus and cohesive energy are computed.

## 2 Prerequisites

The *cryslatt* script requires some external programs and libraries:

- Python 3 (or newer);
- CRYSTAL;
- ACMxc (available on GitHub).

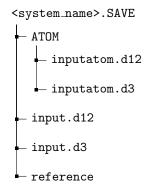
Adiabatic Connection Model (ACM) calculations require the values of the  $W_{\infty}$  and  $W'_{\infty}$ , which are not currently provided by the latest CRYSTAL official version. This feature will be added in a future CRYSTAL version. Meanwhile, if you already own a CRYSTAL licence, the currently working version may be requested directly to the CRYSTAL developers, along with the launching scripts.

The script requires the following environment variables:

- CRY23\_UTILS, which contains the path of the directory containing the CRYSTAL scripts;
- PYTHONBIN, containing the path of the Python 3 executable.

Furthermore, the variable STORE, defined in the script, must coincide with the path of the directory which contains the reference directories for calculations.

These reference directories must have the following structure:



The name of the reference system directory must have the .SAVE extension. Bulk input files are contained in that directory and must have the names input.d12 and input.d3, while atomic ones must be contained in a subdirectory called ATOM and their names must be inputatom.d12 and inputatom.d3. If a file called METAL is present in either the bulk or atom directory, ACMxc will be run in metallic mode for the corresponding calculation (namely  $E^{MP2} = -\infty$ ).

The CRYSTAL input files (ending with .d12) are modified by the *cryslatt* script and have the following form:

#### inputatom.d12:

```
ATOMSPIN
                                                     sodium bcc
    input.d12:
                                                     CRYSTAL
                                                                            1
                                                                        23
                                                     0 0 0
                                                                            1 1
                                                                        24
    sodium bcc
                            CCCC
                                                     229
                                                                            TOLDEE
    CRYSTAL
                           SHRINK
                                                     4.0
                                                                            CCCC
    0 0 0
                           18 36
                                                     1
                                                                            EXCHGENE
                       18
                                                                        27
    229
                           EXCHGENE
                                                     11 0. 0. 0.
                                                                            GUESSP
    3.79
                           GUESSP
                                                     CLUSTER
                                                                            FIXINDEX
    1
                           END
                                                     O. O. O. AAAA 10 30
                                                                            END
    11 0. 0. 0.
                           GEOM
                                                     0 0
                                                                             GEOM
                                                 10
    END
                            CRYSTAL
                                                 11
                                                     0
                                                                             CRYSTAL
    [BASIS SET]
                           0 0 0
                                                     END
                                                                            0 0 0
    END
                            229
                                                     [BASIS SET]
                                                                             229
                       25
                                                 13
                                                                        34
10
                           LLLL
    DFT
                                                     END
                                                                            LLLL
                       26
                                                 14
                                                                        35
11
    FFFF
                                                     DFT
                           1
                                                                             1
                       27
                                                 15
                                                                        36
12
                                                     FFFF
    XXLGRID
                            11 0. 0. 0.
                                                                             11 0. 0. 0.
    #END
                            END
                                                                            ATOMBSSE
                                                     XXLGRID
14
                                                 17
                                                                        38
   TOLDEE
                                                     #END
                                                     UHF
                                                 19
                                                                             AAAA 10
                                                                            END
                                                     SPINLOCK
                                                     1 6
                                                 21
```

In the code above, the elements highlighted in red are modified by the *cryslatt* script. The dummy variable FFFF is replaced with the name of the DFT functional; if DFT is not used, the whole DFT block is deleted. The dummy variable CCCC is replaced with the tolerance on the total energy, LLLL is substituted by the lattice constant, AAAA by the number of ghost atoms shells. The keyword GUESSP is deleted if no guess density matrix is available.

The reference file contains the experimental data for the system, in the order shown by the following example file and using the units of measurement in parentheses:

#### reference:

```
4.214 ! lattice constant (Angstroem)
7.7 ! bulk modulus (Gigapascal)
1.119 ! cohesive energy (electronvolt)
A2 ! lattice type (strukturbericht type)
```

Only the first element of each row of the reference file is read by the *cryslatt* script.

### 3 Details

#### 3.1 Functions

The following functions are used in the script:

- make\_scaling\_factors\_nomin: prepare values to be added to the starting lattice constant, if the initial minimization procedure is not active
- make\_scaling\_factors: prepare values to be added to the starting lattice constant, if the initial minimization procedure is active
- prepare\_crystal\_input: prepare the CRYSTAL input files for both bulk and atom calculations
- run\_guessp: run CRYSTAL and properties using the CRYSTAL scripts
- run\_program: run CRYSTAL calculations for bulk and atom
- get\_ene\_cyc\_dee: read values of SCF energy, number of cycles and tolerance of energy from CRYSTAL output files
- extract\_results: read values from ACMxc output files
- make\_fit: call the fit.py script for fitting the energy-volume curve with the equation of state
- run\_at\_lat: run calculation at a specific lattice constant
- run\_lat\_range: run calculation on selected range of lattice constants
- find\_parabolic\_minimum: use a parabolic fit to estimate the starting lattice constant, if the initial minimization procedure is active
- check\_parabolic\_convergence: check if another parabolic fit is needed
- vol2lat: obtain volume from lattice constant
- lat2vol: obtain lattice constant from volume
- make\_latrange: obtain the final range of lattice constants to perform calculations on
- shrink\_latrange: reduce the number of lattice constant of the range if previous calculations are already present

## 3.2 Options

The following options can be used:

- -f THEORY: use the THEORY level of theory. Possible values: pbe, HF (default: HF)
- -readonly: read results of existing calculations only
- -rerun: run again all calculations
- -r SYST: perform calculations on system SYST. SYST must coincide with the name of a directory contained in REFDIR, not including the .SAVE extension
- -n NUM: use NUM processors (default: 10)
- -conv NUM: convergence on total energy (10<sup>-NUM</sup>) (default: 9)
- -copyprev: use the density matrix of previous calculations
- -nocopyprev: do not use the density matrix of previous calculations

- -forcedmetal: assume the system is metallic (it does not compute the MP2 correlation energy)
- -do\_min: use the initial minimization procedure (active by default)
- -nodo\_min: do not use the initial minimization procedure
- -center LAT: use LAT as the starting lattice constant when no initial minimization procedure is used. It must be used along with -nodo\_min (default: the reference lattice constant is used)
- -npnt NUM: compute NUM points of the energy-volume curve, if the initial minimization procedure is used (default: 12)
- -npnt\_nomin NUM: compute NUM points of the energy-volume curve, if the initial minimization procedure is not used (default: 13)
- -ashell NUM: use NUM shells of ghost atoms (default: 3)
- -w METH, -wfunc METH: use the METH correlation energy approximation. Possible values: pc, hpc, lda (default: hpc)
- -acm FUNC: use the FUNC ACM functional (default: genisi)
- -h, -help: display help menu

## References

[1] A. Erba, J. K. Desmarais, S. Casassa, B. Civalleri, L. Donà, I. J. Bush, B. Searle, L. Maschio, L. Edith-Daga, A. Cossard, C. Ribaldone, E. Ascrizzi, N. L. Marana, J.-P. Flament, and B. Kirtman. Crystal23: A program for computational solid state physics and chemistry. *Journal of Chemical Theory and Computation*, 19(20):6891–6932, 2023. PMID: 36502394.