

# Analysis Note

## Measurement of charged particle production in diffractive proton-proton collisions at $\sqrt{s} = 200$ GeV with tagging of the forward scattered proton

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In this note we present the analysis of the Single Diffractive Dissociation process with the STAR Roman Pot detectors at RHIC. The measurement is focused on the charged particle multiplicity, its dependence on the transverse momentum and pseudorapidity in three regions of  $\xi$ :  $0.02 < \xi < 0.05$ ,  $0.05 < \xi < 0.1$  and  $0.1 < \xi < 0.2$ . The identified particle to antiparticle (pion, kaon, proton and their antiparticle) multiplicity ratios as a function of transverse momentum in above three  $\xi$  regions are also measured. The data come from proton-proton collisions collected in 2015. The forward proton was tagged in the STAR Roman Pot system while the charged particle tracks were reconstructed in the STAR Time Projection Chamber (TPC). We describe all stages of the analysis involving comparison of the data with MC simulations and systematic uncertainty studies. More technical parts of the analysis are described in a supplementary analysis note [1].

## List of contributions

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Leszek Adamczyk	Analysis coordination/supervision, production of picoDST, production of embedded MC samples
Łukasz Fulek*	Main analyzer, write-up author
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## Change log

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30 May 2019	ver. 1.0	Initial revision
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# Chapter 1.

## Introduction

Inclusive measurements of charged-particle distributions in proton–proton ( $pp$ ) collisions probe the strong interaction in the low-momentum transfer, non-perturbative regime of Quantum Chromodynamics (QCD). In this kinematic region interactions are usually described by phenomenological models implemented in Monte Carlo (MC) event generators. Measurements can be used to constrain the free parameters of these models. An accurate description of low-energy strong interaction processes is essential for understanding and precise simulation of different types of  $pp$  processes and the effects of multiple  $pp$  collisions in the same bunch crossing at high instantaneous luminosity at hadron colliders. Measurements with tagging of the forward-scattered proton are of special interest. They give direct access to specific but still significant part of  $pp$  processes called diffraction. In addition precise modelling of forward particle production is essential for better understanding of the longitudinal development of air showers observed in experiments studying cosmic radiation.

We present a measurement of charged particle production in Single Diffractive Dissociation (SD:  $p + p \rightarrow p + X$ ). The following observables are studied:

$$\frac{1}{N} \frac{dN}{dn_{ch}}, \quad \frac{1}{N} \frac{1}{2\pi p_T} \frac{d^2 N_{ch}}{d\bar{\eta} dp_T}, \quad \frac{1}{N} \frac{dN_{ch}}{d\bar{\eta}} \quad (1.1)$$

where  $n_{ch}$  is the number of primary charged particles within kinematic range given by  $p_T > 200$  MeV and  $|\eta| < 0.7$ ,  $N$  is the total number of events with  $2 \leq n_{ch} \leq 8$ ,  $N_{ch}$  is the total number of charged particles within the above kinematic acceptance and  $\bar{\eta}$  is the pseudorapidity of the charged particle with longitudinal momentum taken with respect to direction of the forward scattered proton. To suppress non-SD events the trigger system required no signal in BBC-small in the direction of forward scattered proton and signal in BBC-small in opposite direction. The measurements are performed in a fiducial phase space of the forward scattered protons of  $0.04 < -t < 0.16$  GeV<sup>2</sup>/c<sup>2</sup> and  $0.02 < \xi < 0.2$ , where  $\xi$  is the fractional energy loss of the scattered proton. In case of SD process  $\xi = M_X^2/s$ , where  $M_X$  is the mass of the state  $X$  into which one of the incoming proton dissociates and  $s$  is the center of mass energy squared of the  $pp$  system. The above mentioned observables are presented in three  $\xi$  regions:  $0.02 < \xi < 0.05$ ,  $0.05 < \xi < 0.1$  and  $0.1 < \xi < 0.2$ . In addition their average values in an event are presented as a function of  $\xi$ .

We have also studied an identified particle to antiparticle (pion, kaon, proton and their antiparticle) multiplicity ratios as a function of  $p_T$  also in the above mentioned three regions of  $\xi$ . The system  $X$  into which proton diffractively dissociates has net charge and baryon number +1. It is believed that initial charge and baryon number should appear in the very forward direction leading to the equal amount of particles and antiparticles in the central region created by fragmentation and hadronization processes. However other scenarios are also possible where extra baryon is uniformly distributed over rapidity [2] or even appear close to the gap edge [3]. It is natural to expect that possible charge and baryon number transfer to central region will be better visible at small  $\xi$  where amount of

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particle-antiparticle creation is smaller due to the generally smaller particle multiplicity or due to the fact that gap edge is inside our fiducial region of  $|\eta| < 0.7$ .

## Chapter 2.

# Data Sample and Signal Selection in STAR

### 2.1. Data Sample

Analyzed data were collected during RHIC Run 15, i.e. year 2015. During this year proton-proton centre of mass energy was equal to  $\sqrt{s} = 200$  GeV. Data from the RP related triggers is stored in the `st_rp` data stream. All of the studies in this work use data from only the SDT trigger condition, which was the main trigger designed for SDD studies in Run 15 and used in this analysis. Integrated luminosity delivered by the RHIC to the STAR detector in  $pp$  collisions during Run 15 amounts to  $185.1 \text{ pb}^{-1}$  [4], shown in Fig. 2.1, whereas about 34.4M SDT events were gathered by the STAR detector, which corresponds to  $16 \text{ nb}^{-1}$  of integrated luminosity.

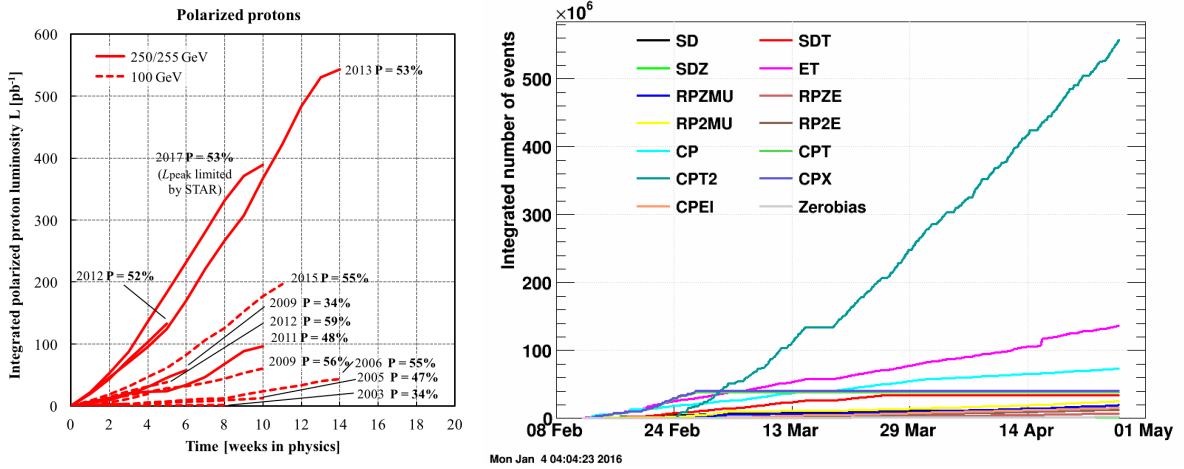


Figure 2.1.: Integrated luminosity delivered by the collider over the seventeen years of operation of RHIC (left) [4]. Dashed lines are for 100 GeV/c proton momentum mainly for transverse spin physics programs, while continuous lines are for 250/255 GeV/c proton beams aimed predominantly at the  $W$ -physics program. The percentage polarization reached in each run is indicated next to the curves. Integrated number of events collected for each trigger in the `st_rp` data stream during Run 15 (right). About 34.4M SDT events were gathered, which corresponds to  $16 \text{ nb}^{-1}$  of integrated luminosity.

## 2.2. Online Selection

The first stage of event selection was at online level. The SDT trigger was formed by the following conditions combined with the logical AND:

1. RP\_EOR || RP\_WOR - signal in at least one RP on one side of the STAR central detector.
2. Veto on any signal in small BBC tiles or ZDC on the triggered RP side of the STAR central detector.
3. At least two TOF hits.

Above requirements were imposed in accordance with the diffractive events topology. Veto on any signal in small BBC tiles and ZDC allows to accept only events with rapidity gap and reject diffractive events with parallel pile-up event. The requirement of at least two TOF hits was to ensure activity in the mid-rapidity.

## 2.3. Reconstruction

Raw data was processed with the library version SL17f with the following BFC options:

```
DbV20160418,pp2015c,btof,mtd,mtdCalib,pp2pp,-beamline,beamline3D,  
UseBTOfmatchOnly,VFStoreX, fmsDat,fmsPoint,fpsDat,BEmcChkStat,-evout,  
CorrX,0SpaceZ2,0GridLeak3D,-hitfilt
```

The `UseBTOfmatchOnly` option was used to form the vertices only from the global TPC tracks matched with TOF hits. It was found that this option provides better signal reconstruction efficiency and resolutions.

The produced MuDst files (standard STAR data format) were further reduced to Cracow's picoDst data format.

## 2.4. Event Selection

Events were selected from those triggering the SDT trigger condition. In order to remove events having poor quality the following cuts were applied:

1. RP trigger in exactly two stations of one arm,
2. Any signal in small BBC tiles or ZDC on the opposite side of the STAR central detector to the triggered RP station,
3. Exactly one proton track in the above RP stations with  $0.02 < \xi < 0.2$  and  $0.04 < -t < 0.16 \text{ GeV}^2/c^2$ .
4. Exactly one primary vertex with TPC tracks matched with hits in TOF (later in the text such vertex is referred as a *TOF vertex*),

5. TPC vertex is placed within  $|V_z| < 80$  cm - events with vertices away from the IP have low acceptance for the central and forward tracks,
6. At least two but no more than eight primary TPC tracks,  $2 \leq n_{sel} \leq 8$ , matched with hits in TOF and satisfying the selection criteria described in Sec. 2.5,
7. If there are exactly two primary tracks satisfying above criteria and exactly two global tracks used in vertex reconstruction (Sec. 3.2.3), the longitudinal distance between these global tracks should be smaller than 2 cm,  $|\Delta z_0| < 2$  cm.

Figure 3.12 shows the multiplicity of TOF vertices (left) and the  $z$ -position of primary vertex in a single TOF vertex events (right).

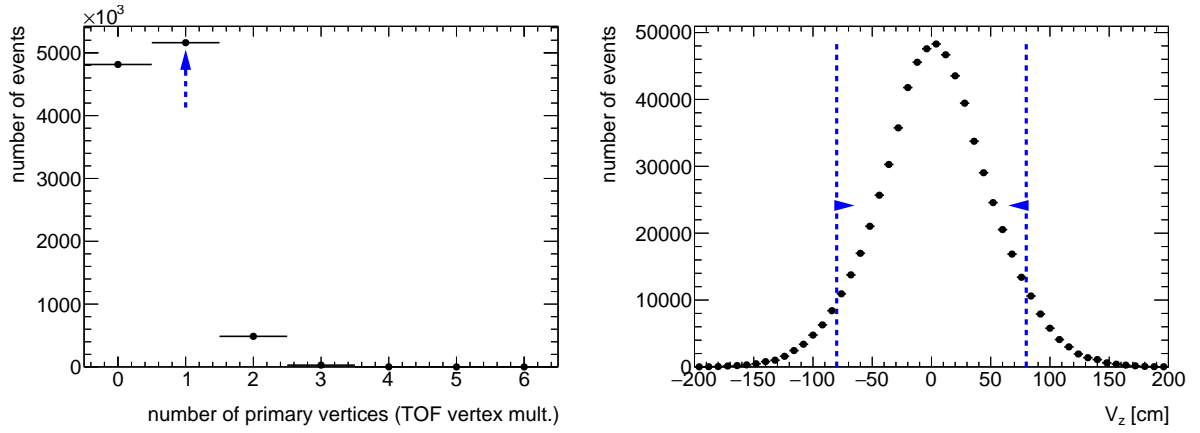


Figure 2.2.: Primary vertex multiplicity (left) and the  $z$ -position of primary vertex in a single TOF vertex events (right) before applying the corresponding cuts. Blue lines indicate regions accepted in the analysis.

## 2.5. Track Selection

The following quality cuts had to be passed by the selected primary tracks in this analysis:

1. The tracks must be matched with hits reconstructed in TOF,
2. The number of the TPC hits used in the helix fit  $N_{hits}^{fit}$  must be greater than 24,
3. The number of the TPC hits used to determine the  $dE/dx$  information  $N_{hits}^{dE/dx}$  must be greater than 14,
4. The transverse impact parameter with respect to the beamline  $d_0$  must be less than 1.5 cm,
5. The radial component of the distance of the closest approach between the global helix and the vertex  $DCA_{xy}$  must be less than 1.5 cm (consistent with the  $d_0$  limit),
6. The absolute magnitude of longitudinal component of the distance of the closest approach between the global helix and the vertex  $|DCA_x|$  must be less than 1 cm,

## Chapter 2. Data Sample and Signal Selection in STAR

7. The track's transverse momentum  $p_T$  must be greater than 0.2 GeV/c,
8. The track's absolute value of pseudorapidity  $|\eta|$  must be smaller than 0.7.

The  $N_{hits}^{fit}$  cut is used to reject low quality TPC tracks and avoid track splitting effects. The  $d_0$  and global  $DCA_{xy}$ ,  $|DCA_z|$  cuts are used to select tracks that originate from the primary interaction vertex. The cut on  $N_{hits}^{dE/dx}$  is used to ensure that selected tracks have sufficient energy loss information for particle identification purposes. In this analysis tracks without identification are required to have  $p_T > 0.2$  GeV/c and  $|\eta| < 0.7$  due to high track reconstruction and TOF matching efficiencies in that region. For the identified particle-antiparticle ratio analysis, where in addition to charged pions, charged kaons and (anti)proton are measured, the  $p_T$  cut was increased to 0.3 and 0.4 GeV/c, respectively. The full  $2\pi$  azimuthal coverage of the TPC is utilized. The effects of  $DCA_{xy}$ ,  $|DCA_z|$ ,  $d_0$ ,  $N_{hits}^{fit}$  and  $N_{hits}^{dE/dx}$  cuts are shown in Fig. 2.3.

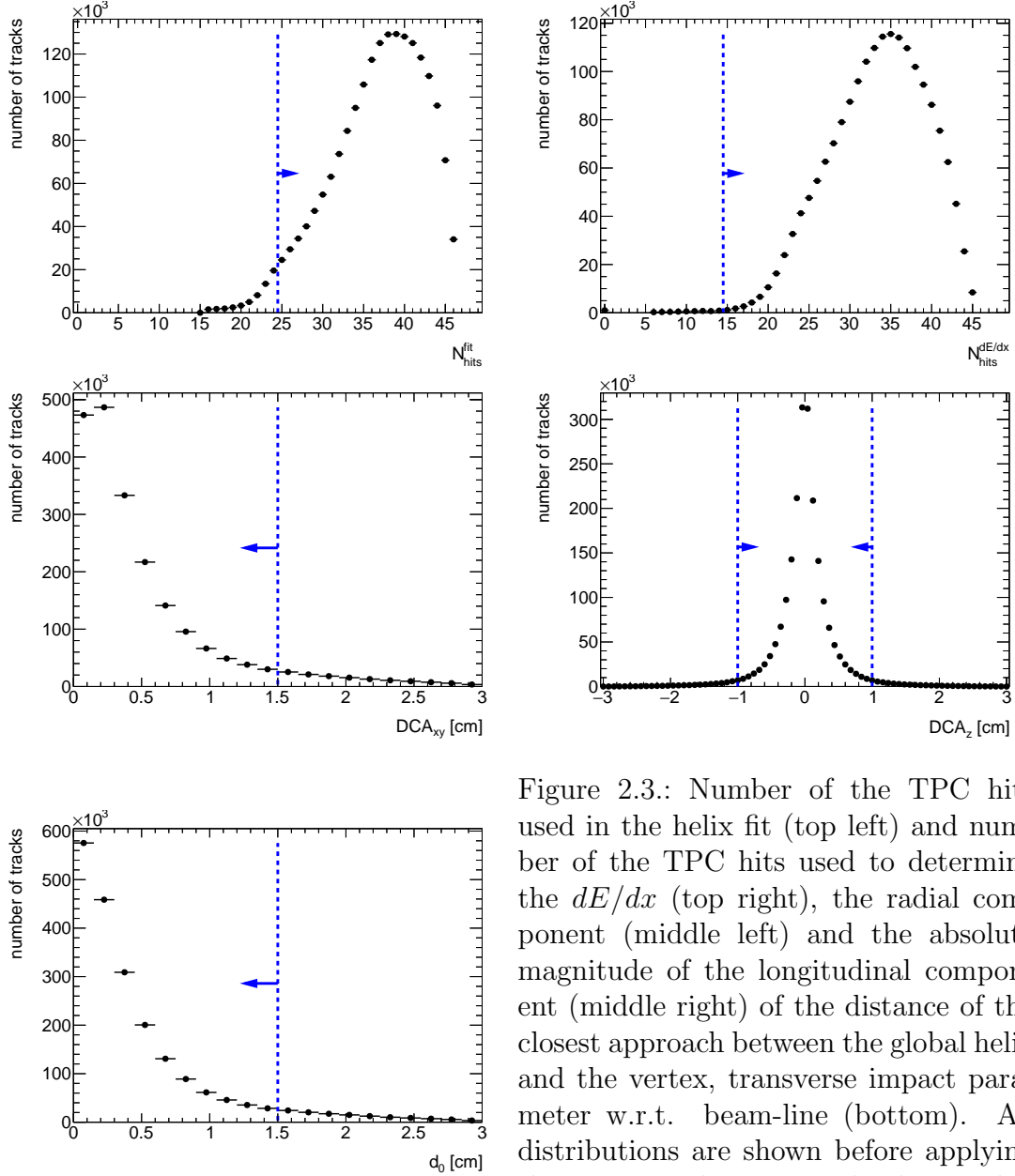


Figure 2.3.: Number of the TPC hits used in the helix fit (top left) and number of the TPC hits used to determine the  $dE/dx$  (top right), the radial component (middle left) and the absolute magnitude of the longitudinal component (middle right) of the distance of the closest approach between the global helix and the vertex, transverse impact parameter w.r.t. beam-line (bottom). All distributions are shown before applying the corresponding cuts. Blue lines indicate regions accepted in the analysis.

## 2.6. Fiducial Region of the Measurement

A fiducial region of measurement that is compatible with above selection was chosen. Primary charged particles are defined as charged particles with a mean lifetime  $\tau > 300$  ps, either directly produced in  $pp$  interactions or from subsequent decays of directly produced particles with  $\tau < 30$  ps. In this analysis the total number of primary charged particles (without identification),  $n_{ch}$ , was required to be between two and eight,  $2 \leq n_{ch} \leq 8$ .

These primary charged particles had to be contained within the kinematic range of  $p_T > 0.2$  GeV/c and  $|\eta| < 0.7$ . In identified charged antiparticle to particle ratios measurement, the lower transverse momentum limit was changed for the analyzed particles as follows:

pions:  $p_T > 0.2$  GeV/c  
 kaons:  $p_T > 0.3$  GeV/c  
 (anti)protons:  $p_T > 0.4$  GeV/c

The measurements were performed in a fiducial phase space of the forward scattered protons of  $0.04 < -t < 0.16$  GeV<sup>2</sup>/c<sup>2</sup> and  $0.02 < \xi < 0.2$ . All measured observables are presented in three  $\xi$  regions:  $0.02 < \xi < 0.05$ ,  $0.05 < \xi < 0.1$  and  $0.1 < \xi < 0.2$ .

## 2.7. Monte Carlo Samples

The factors used to correct the data for the detector effects were obtained by the embedding MC technique [5], where simulated particles are mixed with the real Zerobias events at the raw data level. Zerobias data events used in the embedding were sampled over the entire data-taking period in order to properly describe the data set used in the analysis. Two samples of embedding MC were produced:

1. Single particle MC, where particle kinematics is taken from flat distributions in  $\eta$  and  $p_T$ . The flat distributions were used in order to have similar statistics in all bins.
2. The SaS (Schüler and Sjöstrand) [6] model implemented in PYTHIA8 [7] with 4C.

The particles were propagated through the full simulation of the STAR-TPC and RP system detectors using GEANT3 [8] and GEANT4 [8], respectively. The obtained information for the simulated particles was blended into the existing information of the real data. Next, these events were processed through the reconstruction chain.

It is preferred to get the detector true-level corrections from the MC, which is dedicated to the studied physics process. However, for this purpose, the statistics in the MC should be several times greater than we have in the data for analysis. Since this is not possible with low efficiency of TPC and TOF, the basic method of corrections used in the analysis is the method of factorization of global efficiency into the product of single-particle efficiencies. Thereby, statistically precise multidimensional corrections on TPC and TOF are obtained from the single particle MC.

Additionally, a several pure MC samples were generated. The simulated particles were propagated through full simulation and reconstruction chain but were not embedded into Zerobias events. Systematic effects related to hadronization of the diffractive system was determined by an alternative model implemented in HERWIG [9]. The comparison to the corrected data distribution was done for these two generators, in addition all results were compared to the EPOS [10] and alternative PYTHIA 8 model MBR (Minimum Bias Rockefeller) [11] with A2 tune [12]. EPOS predicts very large contribution of forward protons well separated in rapidity from other final state particles from non-diffractive events. This is the result of low mass excitation of the proton remnant ( $< 1$  GeV) leading to hadronization of the beam remnant back to the proton. Therefore for the comparison with data EPOS predictions were separated in two classes: diffractive (EPOS-SD) modelled by Pomeron exchange and non-diffractive modelled by low mass excitation of the proton



## *Chapter 2. Data Sample and Signal Selection in STAR*

remnant (EPOS-SD'). In all PYTHIA 8 models diffractive cross sections are arbitrary suppressed at relatively large values of  $\xi$  ( $>0.05$ ). This arbitrary suppression significantly changes predicted distribution of  $\xi$  and fractions of different processes in our fiducial phase space. Therefore data was also compared with expectations obtained without suppression of diffractive cross sections (MBR-tuned).

## Chapter 3.

# Charged Particle Production Measured with the STAR Detector

### 3.1. Background Contribution

The total background contribution to the charged-particle density can be broken down into event-level and track-level backgrounds, which are described in detail in the following sections:

- Accidental background refers to events which do not originate from a single collision of two protons.
- Non-SD background comprise contribution of non-SD events which originate from a single  $pp$  collision.
- Track backgrounds from non-primary tracks consist of secondary tracks and fake tracks; the first come mostly from decays, the short-lived particles with mean life  $30 < \tau < 300$  ps, or secondary interactions with the detector dead material, while the second comes from the track reconstruction algorithms and out-of-time pile-up with no corresponding generated particles.

#### 3.1.1. Accidental Background

The accidental backgrounds (same bunch pile-up background) are quantified using data-driven method and defined as a process where in one proton-proton bunch crossing there is coincidence of two interactions, where any single-side proton signal is collected in coincidence with a diffractive like signal in the TPC-TOF detector. This has the same signature as a signal process but would not come from a DD, a CD or a ND interaction. This type of background may come from the overlap of:

1. RP:

- proton from beamhalo,
- low mass SD process without activity in TOF,
- elastic or low mass CD processes with undetected proton on the other side,

2. TPC+TOF:

- ND events without forward proton (dominant)
- beam-gas and beam-halo: should be effectively reduced by the reconstructed vertex requirement.

### Accidental Background Contribution

The accidental background contribution was calculated analytically from Zerobias data, where two signatures of such background are investigated: the reconstructed proton in RP and the reconstruction of vertex in TPC. The analysis was done for each RP arm separately and thus the Zerobias data was firstly required to pass the following criteria:

1. no trigger in any RP or trigger in exactly one arm (two RPs) with exactly one reconstructed proton track in that arm,
2. veto on any signal in small BBC tiles or ZDC on the same side of the IP as RP under study.
3. no reconstructed vertex in TPC or exactly one vertex with at least two TOF-matched tracks passing the quality criteria. The latter includes also signal in BBC small tiles on the opposite side of the IP to the RP under study.

The sample of selected Zerobias data with number events  $N$  was divided into four classes of interactions:

$$N = N(P, S) + N(R, S) + N(P, T) + N(R, T) \quad (3.1)$$

where:

$N(P, S)$  - number of events with a reconstructed proton in exactly one RP and reconstructed vertex in TPC,

$N(R, S)$  - number of events with no trigger in any RP and a reconstructed vertex in TPC,

$N(P, T)$  - number of events with a reconstructed proton in exactly one RP, vertex in TPC not reconstructed,

$N(R, T)$  - number of events with no trigger in any RP, vertex in TPC not reconstructed.

Since the signature of the signal is a reconstructed proton in exactly on RP and a reconstructed vertex in TPC, the number of such events can be expressed as:

$$N(P, S) = N(p_3 + p_1 p_2) \quad (3.2)$$

where:

$p_1$  - probability that process provides reconstructed proton in RP and no reconstructed vertex in TPC,

$p_2$  - probability that process provides no reconstructed proton in RP and reconstructed vertex in TPC,

$p_3$  - probability that process provides reconstructed proton in RP and reconstructed vertex in TPC (not accidental).

The rest classes of interaction given in Eq. 3.1 are then described by above probabilities as:

$$\begin{aligned} N(R, S) &= N(1 - p_1)p_2(1 - p_3) \\ N(P, T) &= N(1 - p_2)p_1(1 - p_3) \\ N(R, T) &= N(1 - p_1)(1 - p_2)(1 - p_3) \end{aligned} \quad (3.3)$$

Finally, the accidental background contribution  $A_{bkg}^{accidental}$  is given by:

$$\begin{aligned} A_{bkg}^{accidental} &= \frac{p_1 p_2}{p_3 + p_1 p_2} \\ &= \frac{N(R, S)N(P, T)N}{N(R)N(T)N(P, S)} \end{aligned} \quad (3.4)$$

where:

$$N(R) = N(R, S) + N(R, T) \text{ and } N(T) = N(P, T) + N(R, T).$$

## Background Templates

The shapes of the accidental background to the TPC-related distributions come from the above Zerobias data events which pass all the analysis selection except have no trigger in any RP and thus fail the overall selection. On the other hand, the templates corresponding to RP distributions are from protons in the above data events but with no reconstructed vertex in the TPC. The normalization derives from the data-driven probabilities.

## Systematics

The selection of Zerobias events may provide some bias to the normalization. As a systematic check, the criteria for Zerobias events selection were changed to:

1. no trigger in any RP or trigger in exactly one arm (two RPs) with *no more* than one reconstructed proton track in that arm,
2. veto on any signal in small BBC tiles or ZDC on the same side of the IP as RP under study,
3. no reconstructed vertex in TPC or exactly one vertex (do not require that the selected vertex has at least two TOF-matched tracks passing the quality criteria). The requirement of signal in BBC small tiles remains unchanged.

As a result of this change in the procedure, the accidental background normalization increases twice with respect to the nominal value. Since that the background change by  $\pm 50\%$  was taken as a systematic uncertainty related to the accidentals.

### 3.1.2. Non-SD Background

The background contributions coming from non-diffractive, double-diffractive and central-diffractive events are accounted for by MC simulations. Protons from elastic interactions and beam halo are not included in the simulation process. Background single-diffractive signatures which are modeled in the Monte Carlo simulations are only coming from :

- forward protons produced in the SD, CD or DD diffractive systems or through non-diffractive QCD,
- reconstructed tracks coming from showering.

Figure 3.1 shows the uncorrected  $\xi$  and  $t$  data compared to various MC models: PYTHIA 8 A2 (MBR), PYTHIA 8 A2 (MBR-tuned) and EPOS, where the MC distributions are split into SD, ND, DD and CD components. For EPOS low mass excitation of the proton remnant (SD') is separated from the ND events. Additionally, the accidental background is also shown. Without arbitrary suppression of diffractive cross sections at large  $\xi$  PYTHIA8 A2 (MBR-tuned) predictions agree much better with the data and result also in a suppression of non-SD events. EPOS describes data better than PYTHIA8 but shows a dominant contribution of SD' events. All MCs predict significant non-SD background at large  $\xi$ , thereby the analysis was limited to  $\xi < 0.2$ .

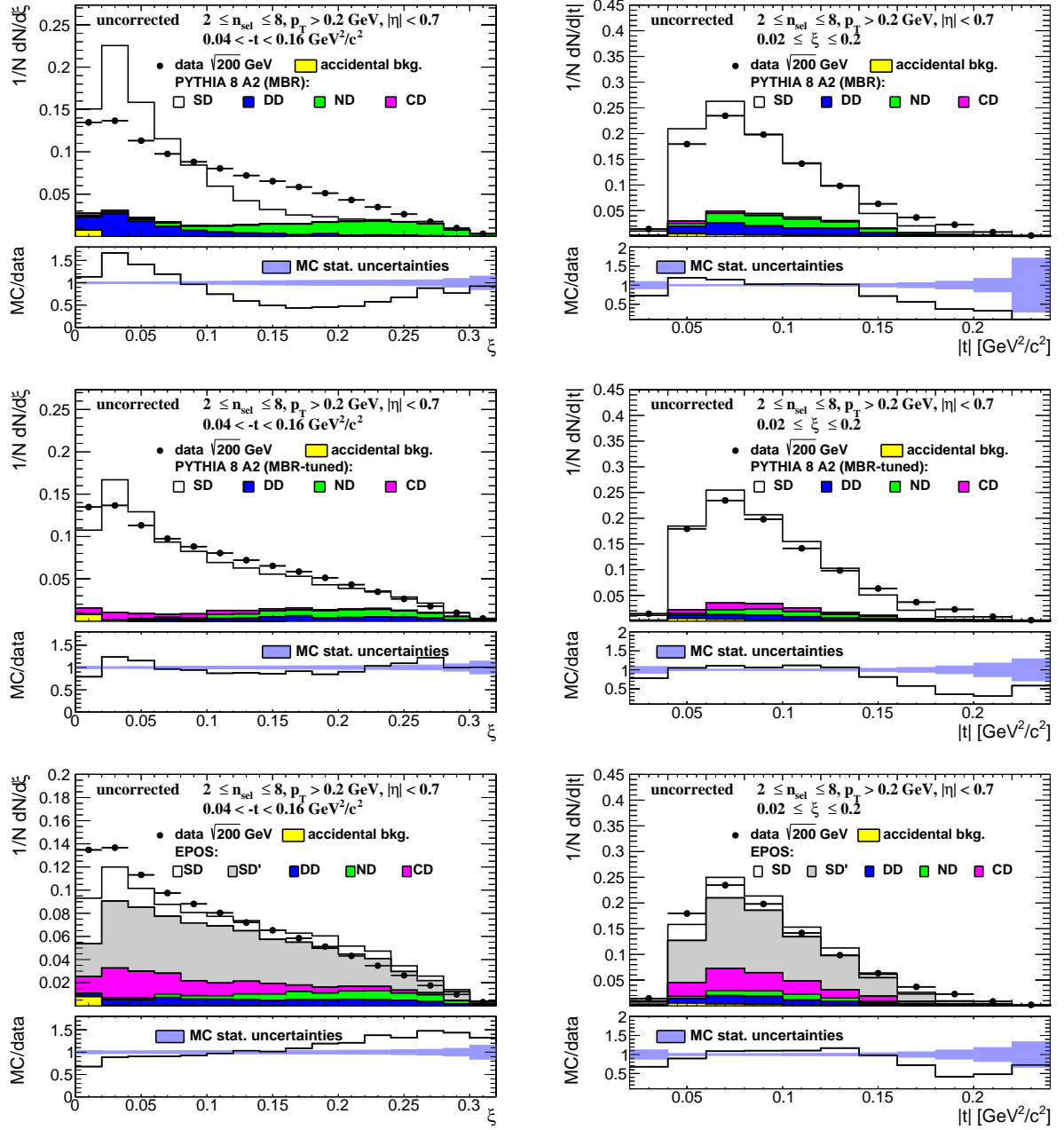


Figure 3.1.: Uncorrected distributions of data compared to various MC models: (top) PYTHIA8 A2 (MBR), (middle) PYTHIA8 A2 (MBR-tuned) and (bottom) EPOS, as a function of  $\xi$  (left column) and  $|t|$  (right column). Both data and MC are scaled by the respective numbers of events in the samples.

On the other hand, Figs. 3.2 to 3.4 show the uncorrected distributions of variables used in the later analysis:  $n_{\text{sel}}$ ,  $p_T$  and  $\bar{\eta}$ . The background contributions from non-SD interactions differ a bit between each other, i.e. EPOS predicts significantly larger CD contribution,

whereas DD and ND are suppressed in PYTHIA 8 A2 (MBR-tuned). As a result PYTHIA 8 A2 (MBR) was used as the default model of non-SD with systematic uncertainty  $\pm 50\%$ , which covers all differences between models. Moreover, SD' in EPOS was not subtracted but used separately for comparisons.

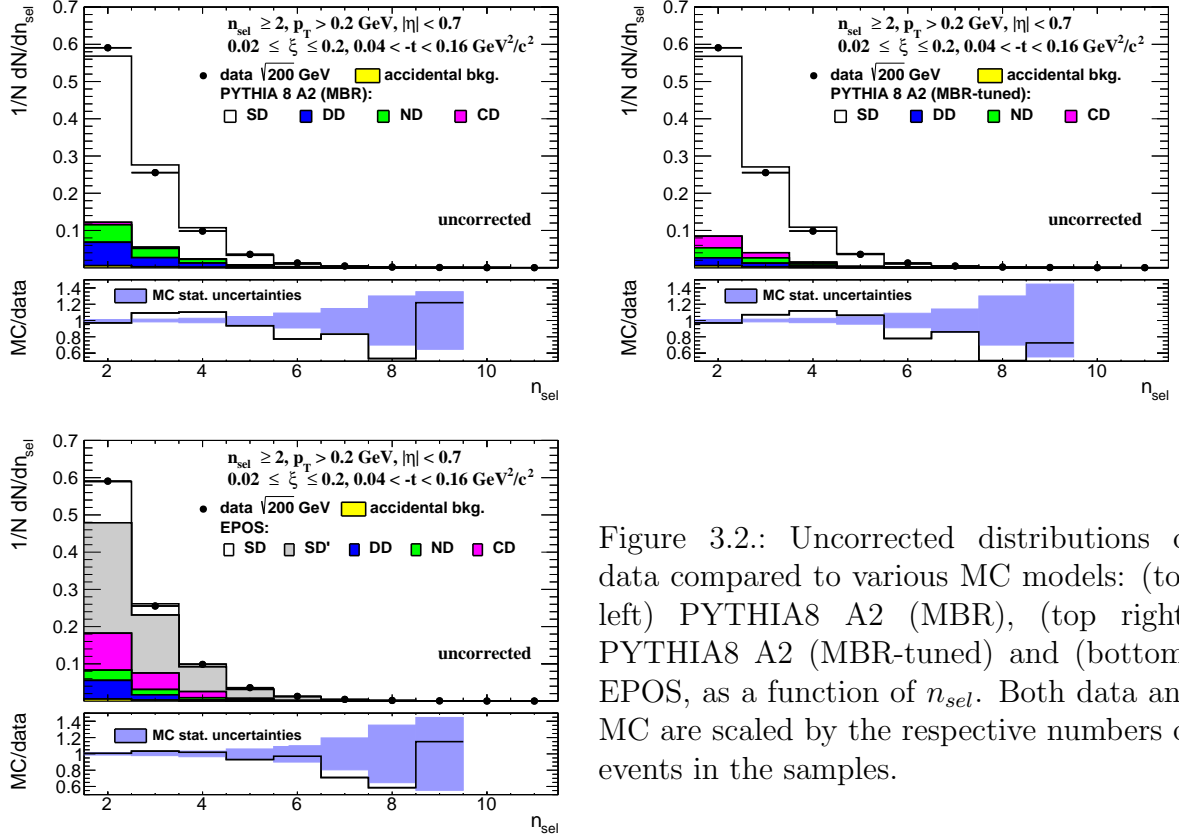


Figure 3.2.: Uncorrected distributions of data compared to various MC models: (top left) PYTHIA8 A2 (MBR), (top right) PYTHIA8 A2 (MBR-tuned) and (bottom) EPOS, as a function of  $n_{sel}$ . Both data and MC are scaled by the respective numbers of events in the samples.

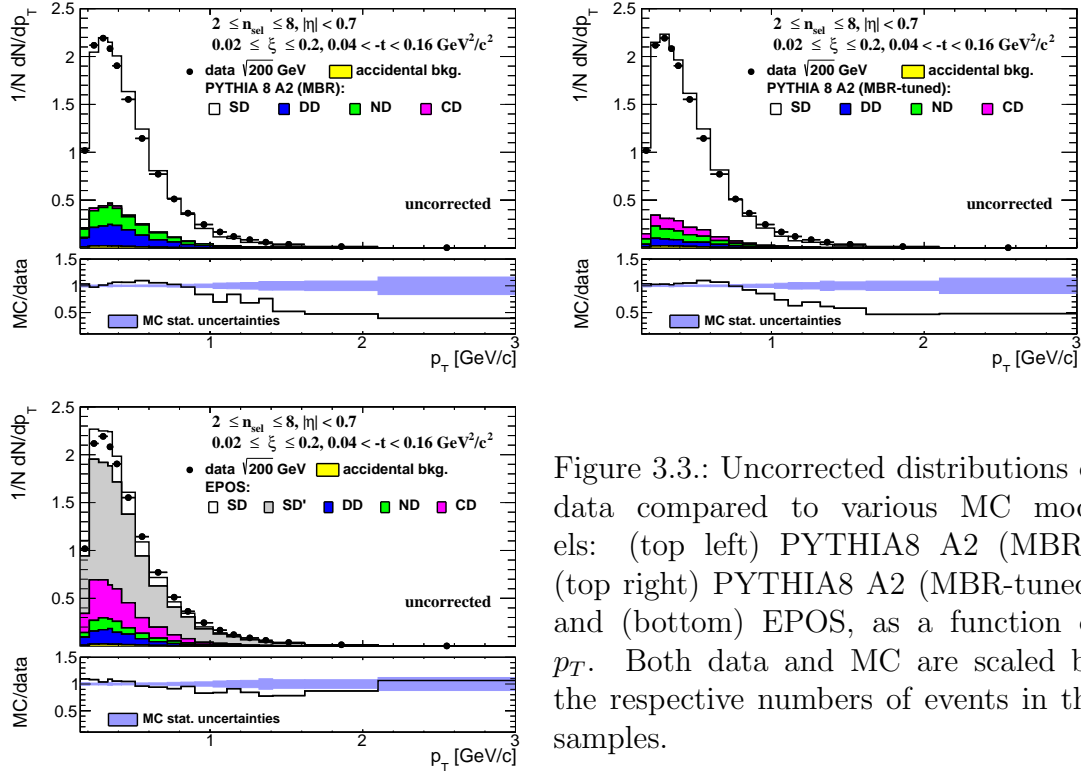


Figure 3.3.: Uncorrected distributions of data compared to various MC models: (top left) PYTHIA8 A2 (MBR), (top right) PYTHIA8 A2 (MBR-tuned) and (bottom) EPOS, as a function of  $p_T$ . Both data and MC are scaled by the respective numbers of events in the samples.

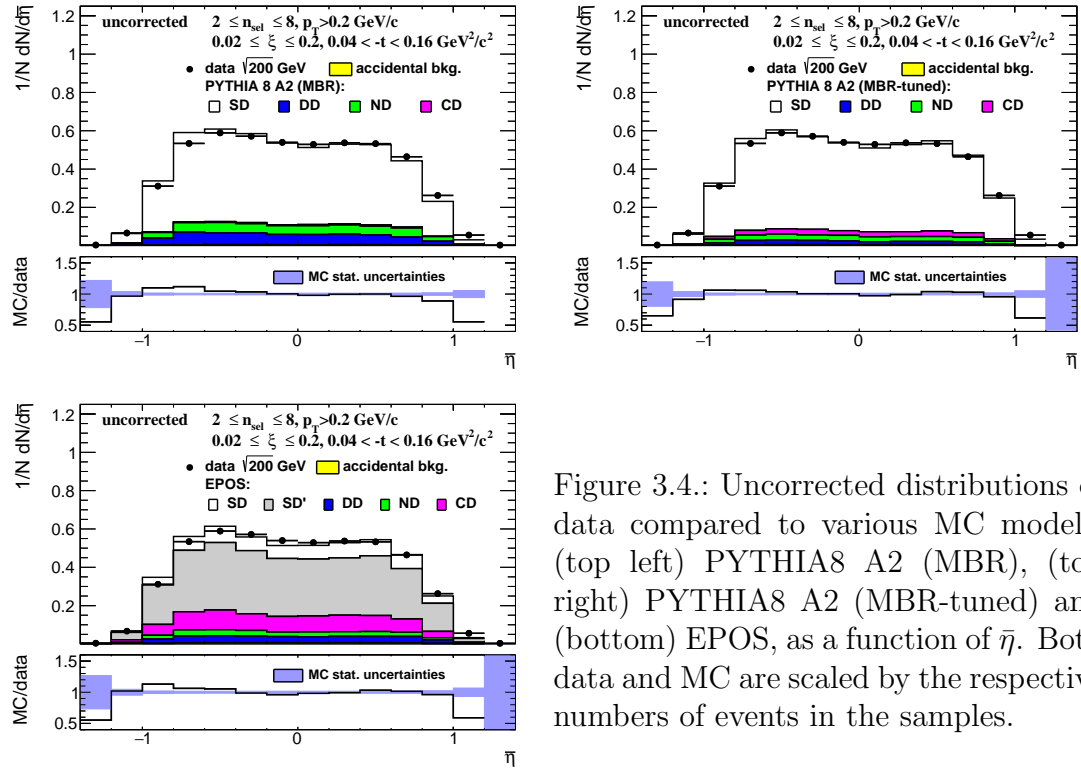


Figure 3.4.: Uncorrected distributions of data compared to various MC models: (top left) PYTHIA8 A2 (MBR), (top right) PYTHIA8 A2 (MBR-tuned) and (bottom) EPOS, as a function of  $\eta$ . Both data and MC are scaled by the respective numbers of events in the samples.

### 3.1.3. Background from Non-Primary Tracks

Reconstructed tracks matched to non-primary particle originate mainly from these sources:

- decays of short-lived primary particles with strange quark content (mostly  $K^0$  and  $\Lambda^0$ ),
- photons from  $\pi^0$  and  $\eta$  decays which are converting to  $e^-e^+$ ,
- hadronic interactions of particles with the beam-pipe or detector dead material.

Figure 3.5 shows the background  $f_{bkg}(p_T, \eta)$  and fake track  $f_{fake}(p_T, \eta)$  contribution to reconstructed tracks. There were no differences observed in the background contribution at different  $\xi$  ranges, since that all three  $\xi$  ranges were merged for this study. The highest background fraction, which varies between 5 – 10%, was found to be at low  $p_T$ . There is also a contribution from fake tracks (tracks not associated to true-level particle) which are out-of-time pile-up tracks or are formed by a random combination of TPC hits. The fake track contribution was calculated in each  $\xi$  range separately.

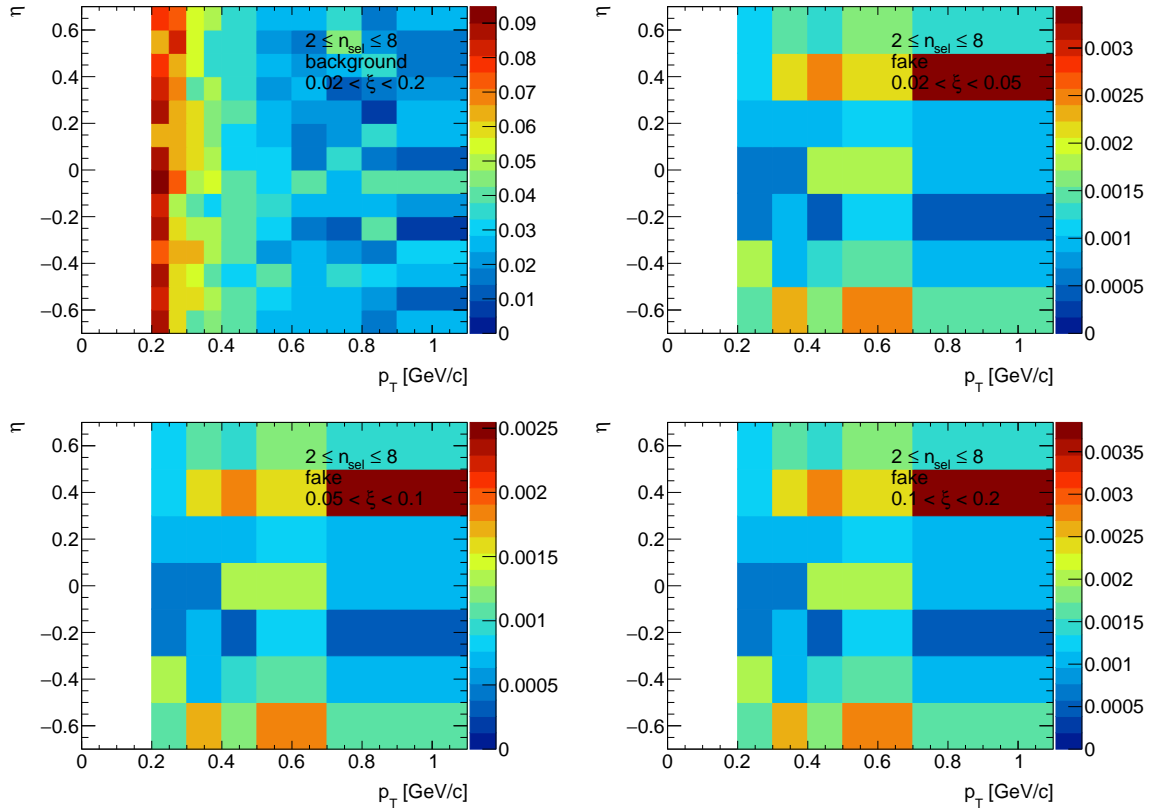


Figure 3.5.: Distribution of fraction of selected tracks associated with non-primary particles at  $0.02 < \xi < 0.2$  (top left) and distributions of fraction of tracks which are not associated with true-level particles for three ranges of  $\xi$ :  $0.02 < \xi < 0.05$  (top right),  $0.05 < \xi < 0.1$  (bottom left),  $0.1 < \xi < 0.2$  (bottom right).



## Proton Background

Secondary particles can be created due to the interaction of particles with detector dead-material. The proton sample contains background from such protons knocked out from the detector materials [13]. Most of these protons have large  $DCA$  and are not reconstructed as primary particles. However, the rest with small  $DCA$  are included in the primary track sample. Antiprotons do not have knockout background, hence the flat  $DCA$  tail is almost absent from their  $DCA$  distributions.

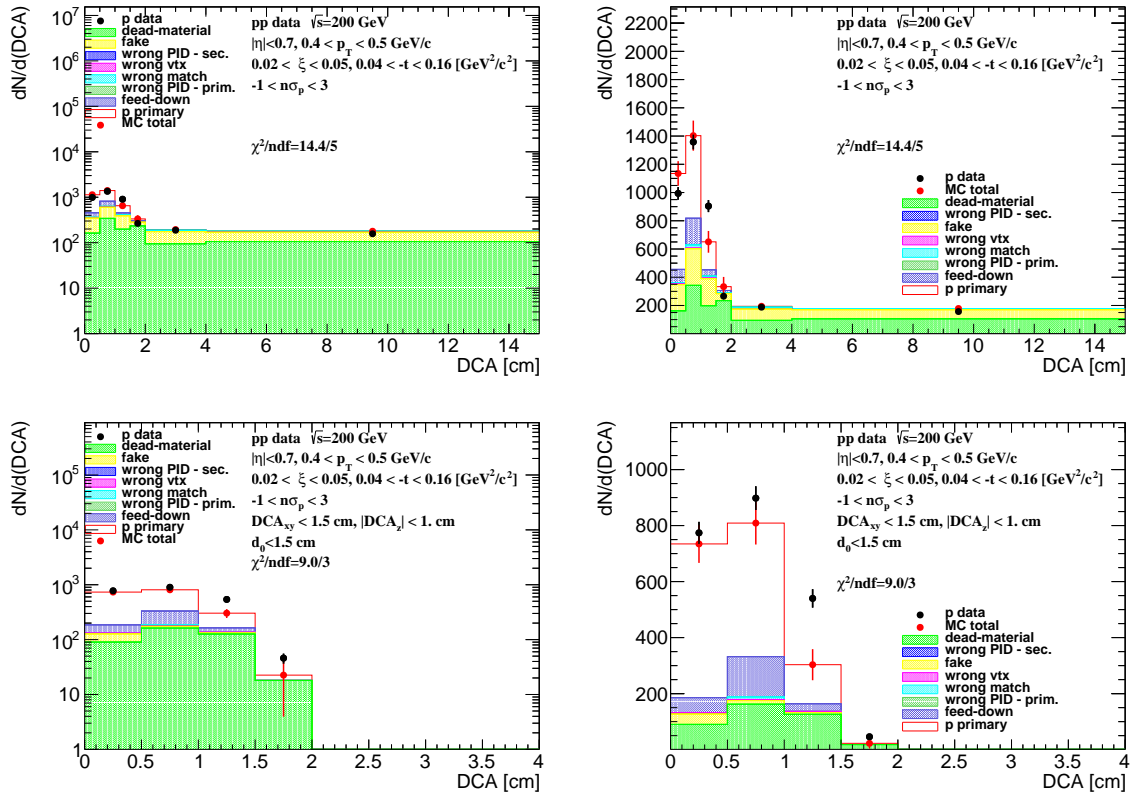


Figure 3.6.: The  $DCA$  distributions of protons for  $0.4 < p_T < 0.5$  GeV/c shown for one range of  $0.02 < \xi < 0.05$  (log and linear scale in left and right column, respectively). The MC contributions are shown after scaling the dead-material template to data. Background enriched samples were used in the normalization procedure (top), whereas the proton background was estimated from the nominal sample (bottom).

In order to correct for the knock-out background protons, sample enriched in proton background was used for background normalization, where  $DCA_{xy}$ ,  $DCA_z$  and  $d_0$  cuts were abandoned. Additionally, at least one, instead of exactly one, reconstructed vertex was required in this sample event selection. Figure 3.6 and 3.7 shows the  $DCA$  distributions of protons and antiprotons, respectively, for nominal (bottom) and background enriched (top) samples. The distributions for other  $p_T$  and  $\xi$  regions are shown in Appendix A. The protons and antiprotons are selected by a  $dE/dx$  cut of  $-1 < n\sigma_{p,\bar{p}} < 3$  where  $n\sigma_{p,\bar{p}}$  is given

by Eq. 3.26. The fraction of knock-out proton within the selected sample is determined via a MC template normalization method. The templates of reconstructed tracks with  $dE/dx$  of proton and antiproton were obtained from MC:

- primary (anti)protons,
- knock-out background protons (labeled as *dead-material*),
- fake tracks,
- secondary particles with  $dE/dx$  of (anti)proton (labeled as *wrong PID - sec.*),
- tracks associated to primary (anti)protons, but the reconstructed vertex is not matched to true-level primary vertex (labeled as *wrong vtx*),
- reconstructed track is partially matched to true-level particle (labeled as *wrong match*), i.e. track and true-level particle have the appropriate number of common hit points but the distance between true-level particle and track is too large,  $\delta^2(\eta, \phi) > (0.15)^2$ ,
- primary particles with  $dE/dx$  of (anti)proton (labeled as *wrong PID - prim.*),

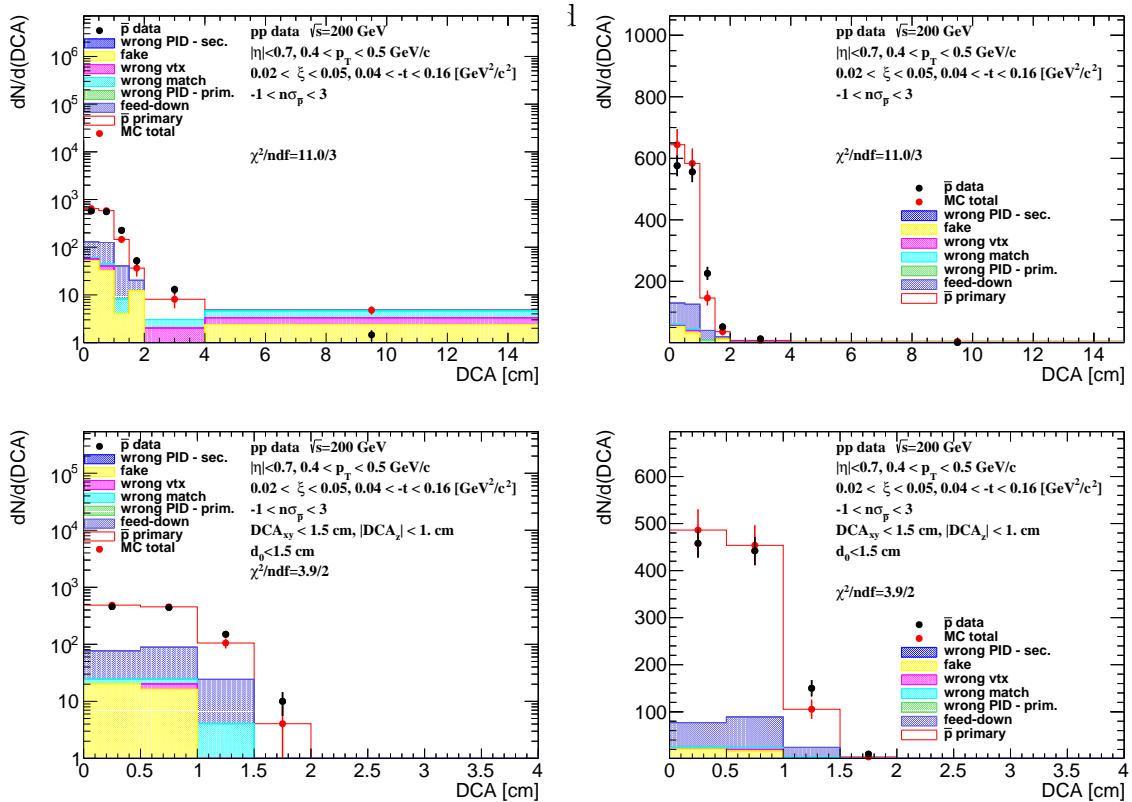


Figure 3.7.: The  $DCA$  distributions of antiprotons for  $0.4 < p_T < 0.5$  GeV/c shown for one range of  $0.02 < \xi < 0.05$  (log and linear scale in left and right column, respectively). The MC contributions are shown as colour histograms. Background enriched (top) and nominal (bottom) samples were used.

First, the background enriched sample was used (Fig. 3.6, top), where the template of knock-out background protons was normalized to the number of events in the fake-subtracted tail of the  $DCA$  distribution,  $2 < DCA < 15$  cm. Next the knock-out proton and fake background was subtracted from the  $DCA$  distribution and the sum of other templates was normalized to the number of events in the signal region,  $DCA < 1.5$  cm.

The fraction of the knock-out proton background in the signal region,  $DCA < 1.5$ , was estimated from the nominal sample (Fig. 3.6, bottom), where  $DCA_{xy}$ ,  $DCA_z$  and  $d_0$  track cuts were applied and exactly one reconstructed vertex was required. The normalization of each MC contribution was kept the same as that estimated for the background enriched sample. Figure 3.8 shows the knock-out proton background as a function of  $p_T$  in three ranges of  $\xi$ . The following functional form was found to describe the background protons well:

$$f_{bkg}^p(p_T) = p_0 \exp(p_1 p_T) \quad (3.5)$$

where  $p_0$  and  $p_1$  are fit free parameters.

The obtained fraction of knock-out background protons is approximately 20% at  $p_T = 0.45$  GeV/c and less than 10% at  $p_T = 1.0$  GeV/c. The fraction of knock-out background protons depends on a number of factors, including the amount of detector material, analysis cuts and the  $\xi$  of diffractive proton. Figure 3.7 shows the corresponding  $DCA$  distributions with MC templates for antiprotons, where the background from knock-out particles is not present. The MC templates fairly well describe the  $DCA$  distribution for both, protons and antiprotons. Additionally, there is a small ( $< 1\%$ ) background contribution, present for both particles, which also was taken into account and subtracted. It originates from reconstructed tracks which have the appropriate number of common hit points with true-level particle, but the distance between them is too large, i.e.  $\delta^2(\eta, \phi) > (0.15)^2$ .

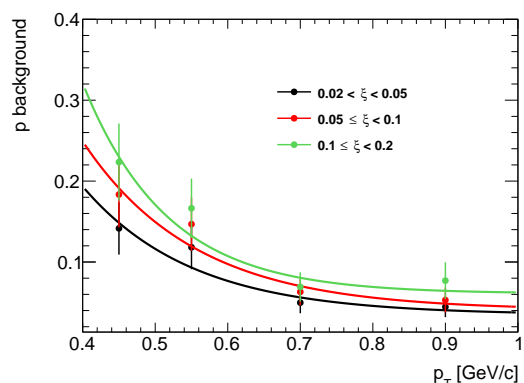


Figure 3.8.: The fraction of knock-out proton background as a function of  $p_T$  in three ranges of  $\xi$  with fitted parametrizations.

### Systematic Uncertainty Related to Proton Background

The method of knock-out proton background estimation introduces individual systematic uncertainties which are added in quadrature.

First, the normalization interval of the knock-out proton background template in the background enriched sample was changed to  $4 < DCA < 15$  cm. This introduced a relative systematic uncertainty of up to 30% for  $p_T \approx 1.0$  GeV/c.

The knock-out proton background contribution was parametrized as it is shown in the Eq. 3.5. The systematic uncertainty related to the fit procedure was estimated by varying the parameters,  $p_0$  and  $p_1$ , by  $\pm 1\sigma$ . As a result, the relative systematic uncertainties of about 10% for  $p_0$  and  $p_1$  were introduced.

All above components of the systematic uncertainty related to the knock-out proton background are shown in Fig. 3.9.

Figure 3.10 shows the data to MC ratio of the number of events in the background dominated region,  $2 < DCA < 15$  cm. No significant differences (negligible slope) were observed in the shape of the  $DCA$  distribution between data and MC. Thus, no additional systematic uncertainty related to description of the dead-material by the simulation was introduced.

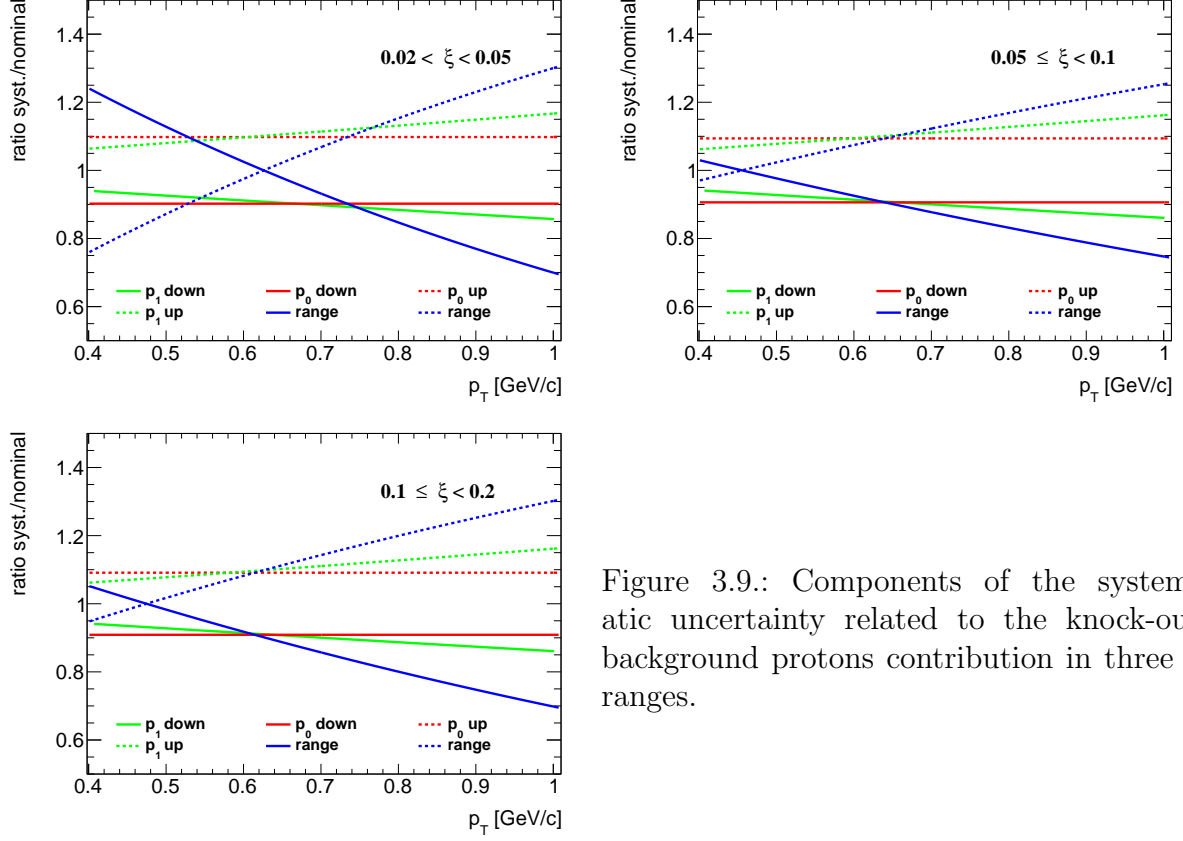


Figure 3.9.: Components of the systematic uncertainty related to the knock-out background protons contribution in three  $\xi$  ranges.

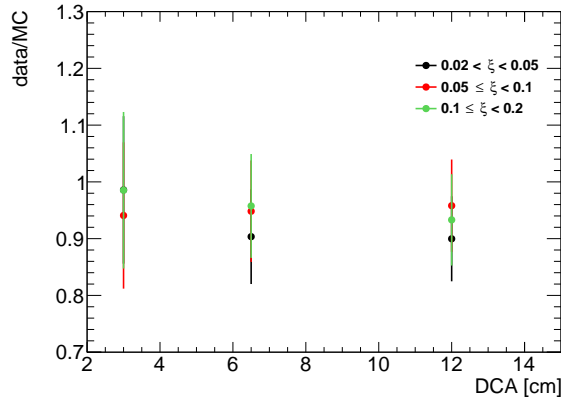


Figure 3.10.: Data to MC ratio of the number of events in the background dominated region in three ranges of  $\xi$ .

## Pion Background

The pion spectra are corrected for weak decays (mainly  $K_S^0$  and  $\Lambda^0$ ), muon contribution and background from the detector dead-material interactions. The pion decay muons can be identified as pions due to the similar masses. These contributions are obtained from MC, where the true-level information is well known. Figure 3.11 shows the background contribution to the pion spectra as a function of  $p_T$  in three ranges of  $\xi$ , separately for  $\pi^-$  and  $\pi^+$ . There were negligible differences observed between these three ranges of  $\xi$ , since that the background contribution was averaged over  $\xi$ . The following parametrization was found to describe it:

$$f_{bkg}^\pi(p_T) = a_0 \exp(a_1 p_T) + a_2 p_T^2 + a_3 p_T \quad (3.6)$$

where  $a_0 - a_3$  are free parameters of the fitted function.

The pion background contribution varies between 5% at low- $p_T$  ( $p_T = 0.25$  GeV/c) and about 1% at  $p_T = 1.0$  GeV/c for both negatively and positively charged pions.

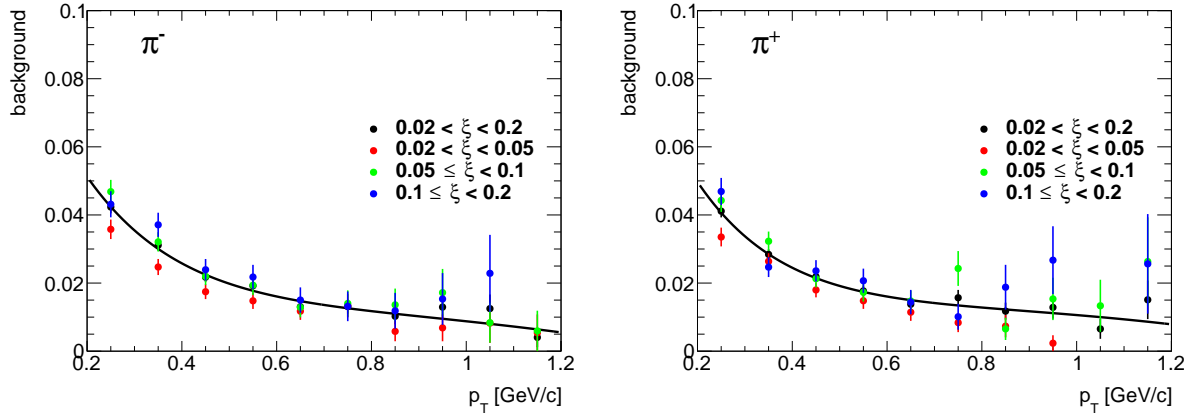


Figure 3.11.: Pion background fraction as a function of  $p_T$  shown separately for negatively (left) and positively (right) charged pions in three ranges of  $\xi$ :  $0.02 < \xi < 0.05$  (red),  $0.05 < \xi < 0.1$  (green),  $0.1 < \xi < 0.2$  (blue). The pion background averaged over three ranges of  $\xi$  with fitted parametrization is also shown (black).

## 3.2. Selection Efficiencies

### 3.2.1. TPC Track Reconstruction

The TPC track reconstruction efficiency,  $\epsilon_{TPC}(p_T, \eta, V_z)$ , with corresponding systematics is described in [1].

### 3.2.2. TOF Matching Efficiency

The TOF track matching and hit reconstruction efficiency,  $\epsilon_{TOF}(p_T, \eta, V_z)$ , with corresponding systematics is described in [1].

### 3.2.3. Vertex Reconstruction

In  $pp$  collisions, where the charged-particle multiplicity is low, the vertex finding algorithm sometimes fails to find a primary vertex. In addition, at high luminosity, vertex finder can fail due to the contribution of pile-up events and providing a wrong reconstructed vertex. In this study we required at least two reconstructed global tracks  $n_{sel}^{global} \geq 2$  passing all the quality cuts listed in Sec 2.5 but without  $DCA_{xy}$  and  $DCA_z$  cuts. Additionally, MC events were accepted if a  $z$ -coordinate of the true-level primary vertex was between  $-80$  and  $80$  cm. All corrections, described in this section, were calculated in three ranges of  $\xi$  separately.

#### Track quality cuts used for vertexing

The following quality cuts had to be passed by the global tracks used in the vertex reconstruction:

1. Tracks must be matched with hits reconstructed in TOF,
2. The number of the TPC hits used in the helix fit  $N_{hits}^{fit}$  must be greater than 20,
3. The ratio of the number of TPC hits used in the helix fit to the number of possible TPC hits  $N_{hits}^{fit}/N_{hits}^{poss}$  must be greater than 0.52,
4. The transverse impact parameter with respect to the beamline  $d_0$  must be less than 2 cm,
5. The track's transverse momentum  $p_T$  must be greater than 0.2 GeV/c.

Above track selection criteria are different than those used in the analysis. Since that, primary vertex reconstruction efficiency and fake vertex rate were calculated as a function of number of global tracks used in vertexing  $n_{vrt}^{global}$  instead of  $n_{sel}^{global}$ .

#### Vertex efficiency and fake vertex rate

In every MC event there is a well defined primary vertex. With the embedded event reconstructed and the MC information in hand, the vertex-finding efficiency can be obtained. In the analysis exactly one single TOF vertex with  $n_{sel} \geq 2$  is required. The reconstructed vertex with the label *best* is the one with the highest number of TOF-matched tracks. Since the fake vertices (not matched to the true-level primary vertex) were allowed in the analysis, the overall vertex-finding efficiency,  $\epsilon_{vrt}(n_{vrt}^{global})$ , is expressed as:

$$\epsilon_{vrt}(n_{vrt}^{global}) = \epsilon_{vrt}^{best}(n_{vrt}^{global}) + \delta_{vrt}^{fake}(n_{vrt}^{global}) \quad (3.7)$$

where:

$\epsilon_{vrt}^{best}(n_{vrt}^{global})$  is the primary vertex reconstruction efficiency, determined as the ratio of the number of good reconstructed events (reconstructed best primary vertex with  $n_{sel} \geq 2$ ) to the number of input MC events, where the reconstructed vertex is matched to the true-level primary vertex,

$\delta_{vrt}^{fake}(n_{vrt}^{global})$  is the fake vertex rate, determined as the ratio of the number of good reconstructed events (reconstructed best primary vertex with  $n_{sel} \geq 2$ ) to the number of input MC events, where the reconstructed vertex is not matched to the true-level primary vertex.

The vertex-finding efficiency as a function of  $n_{vrt}^{global}$  is shown in Fig. 3.12 (left). When there are exactly two global tracks used in the vertex reconstruction,  $n_{vrt}^{global} = 2$ , the longitudinal distance between these tracks  $|\Delta z_0|$  is utilized by the vertex-finding algorithm. Since that, the vertex finding efficiency for such events  $\epsilon_{vrt}(|\Delta z_0|)$  is given by:

$$\epsilon_{vrt}(|\Delta z_0|) = \epsilon_{vrt}^{best}(|\Delta z_0|) + \delta_{vrt}^{fake}(|\Delta z_0|) \quad (3.8)$$

where:

$\epsilon_{vrt}^{best}(|\Delta z_0|)$  is the primary vertex reconstruction efficiency,

$\delta_{vrt}^{fake}(|\Delta z_0|)$  is the fake vertex rate.

Figure 3.12 (right) shows the vertex finding efficiency for events with  $n_{vrt}^{global} = 2$ . This efficiency is smaller than 20% for tracks with  $|\Delta z_0| > 2$  cm, hence the analysis was limited to events with  $|\Delta z_0| < 2$  cm, when  $n_{vrt}^{global} = 2$ .

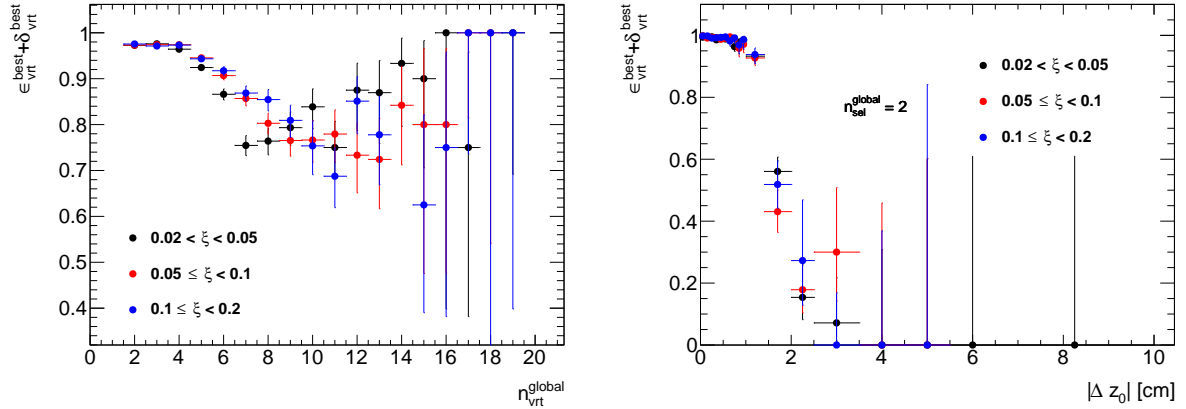


Figure 3.12.: Vertex-finding efficiency in three ranges of  $\xi$  as a function of  $n_{vrt}^{global}$  (left) and with respect to the  $|\Delta z_0|$  between reconstructed tracks in events with  $n_{vrt}^{global} = 2$  (right).

### Other corrections to the reconstructed vertices

Events with reconstructed best vertex are rejected if there are:

- a) more than one additional TOF vertices,
- b) additional secondary TOF vertex from the interactions with the detector dead-material,
- c) additional fake TOF vertex,
- d) additional primary TOF vertex (vertex splitting or background vertex reconstructed as best vertex),
- e) additional decay TOF vertex.

The correction for vetoing such events,  $\epsilon_{vrt}^{veto}(n_{vrt}^{global})$ , is given by:

$$\begin{aligned} \epsilon_{vrt}^{veto}(n_{vrt}^{global}) &= 1 - \frac{\text{number of events with more than one reconstructed TOF vertex}}{\text{number of events with at least one reconstructed TOF vertex}} \\ &= 1 - a - b - c - d - e \end{aligned} \quad (3.9)$$

where  $a - e$  are the fractions of events with additional vertices, whose labels are listed above.

As before, the correction was calculated as a function of  $|\Delta z_0|$  for events with  $n_{vrt}^{global} = 2$ . Figure 3.13 shows the fraction of multi-vertex events with respect to the  $n_{vrt}^{global}$ , where each contribution is shown separately. The analysis was limited to events with  $n_{sel} \leq 8$ , because the  $\epsilon_{vrt}^{veto}(n_{vrt}^{global})$  is small ( $< 50\%$ ) above that limit. On the other hand, the total fraction of multi-vertex events,  $a + b + c + d + e$ , as a function of  $|\Delta z_0|$ , shown in Fig. 3.14, demonstrates that  $\epsilon_{vrt}^{veto}(|\Delta z_0|)$  is very large ( $> 98\%$ ) for events with  $n_{vrt}^{global} = 2$ .



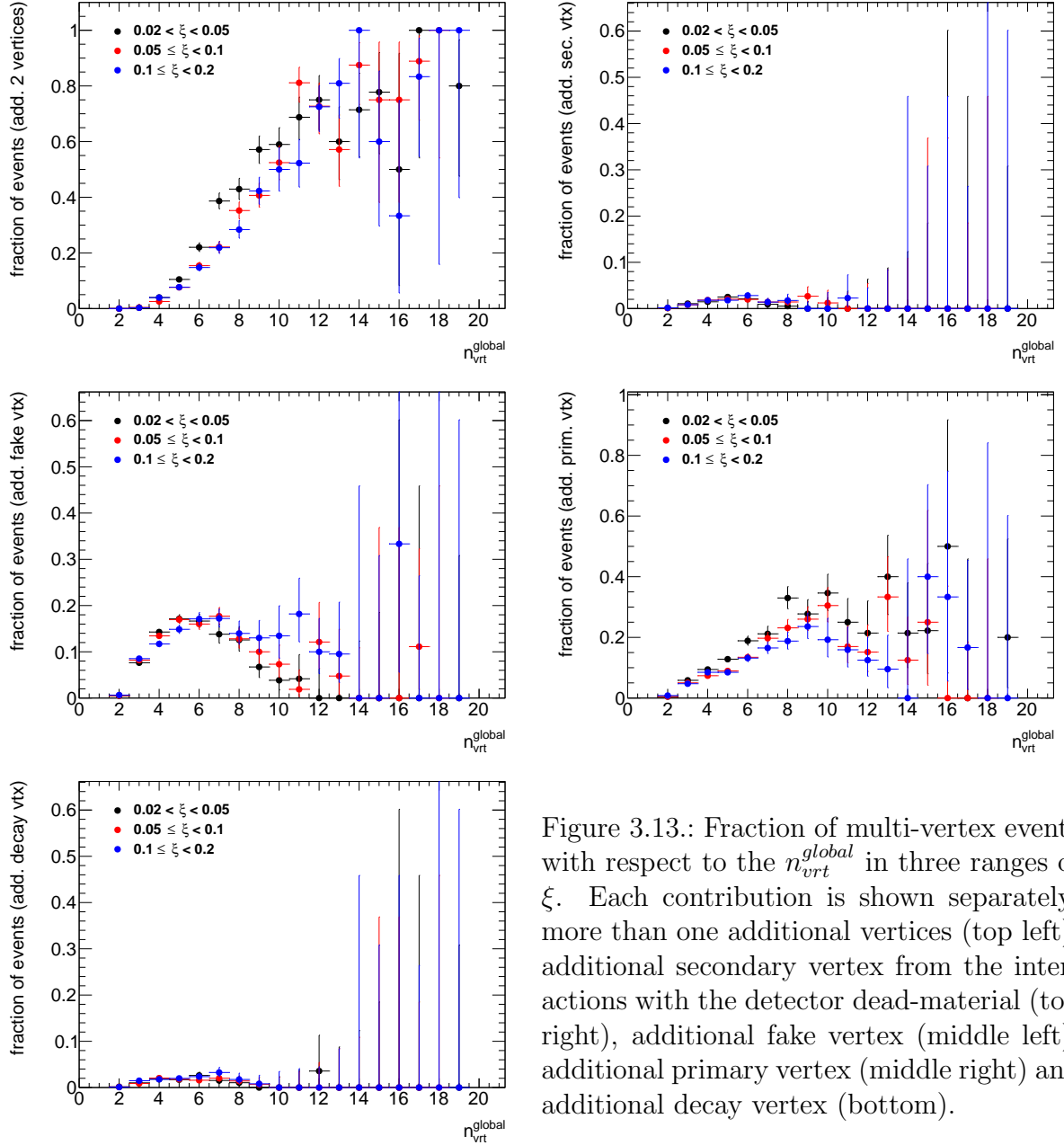


Figure 3.13.: Fraction of multi-vertex events with respect to the  $n_{vrt}^{global}$  in three ranges of  $\xi$ . Each contribution is shown separately: more than one additional vertices (top left), additional secondary vertex from the interactions with the detector dead-material (top right), additional fake vertex (middle left), additional primary vertex (middle right) and additional decay vertex (bottom).

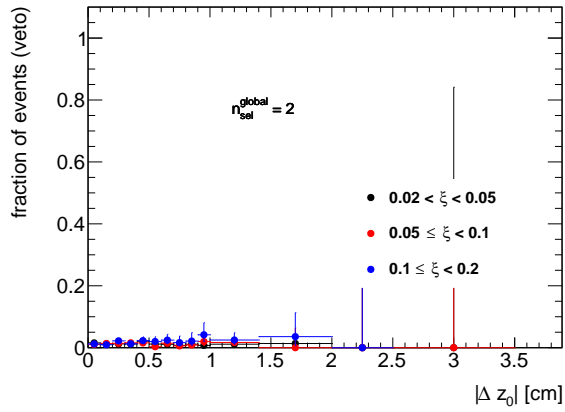


Figure 3.14.: Total fraction of multi-vertex events as a function of  $|\Delta z_0|$  for events with  $n_{vrt}^{global} = 2$  in three ranges of  $\xi$ .

### 3.2.4. Correction to BBC-Small

The SDT trigger conditions imposed signal in RPs and veto on any signal in the same-side small BBC tiles, whereas signal in the opposite-side BBC-small was required by the offline event selection. A common BBC-small efficiency,  $\epsilon_{BBC}$ , was obtained as a function of each measured quantity using PYTHIA 8 4C (SaS) embedded into Zerobias data.

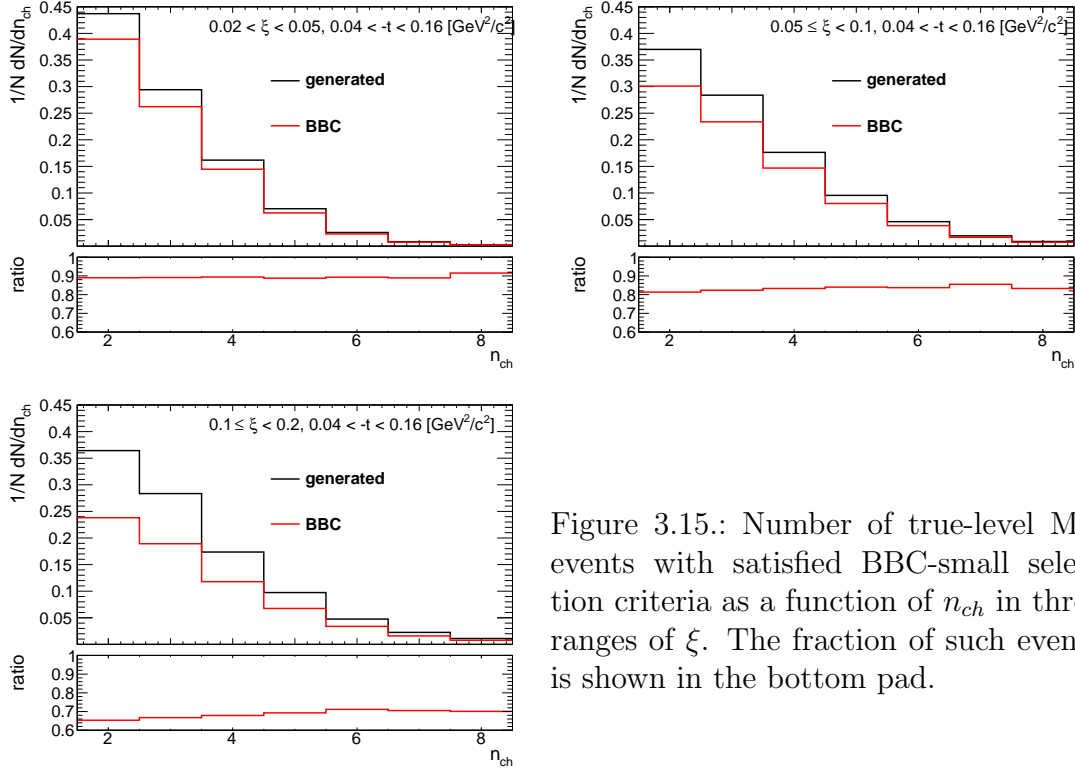


Figure 3.15.: Number of true-level MC events with satisfied BBC-small selection criteria as a function of  $n_{ch}$  in three ranges of  $\xi$ . The fraction of such events is shown in the bottom pad.

The efficiency was calculated for events within fiducial region as follows:

$$\epsilon_{BBC} = \frac{\text{number of MC events satisfying the BBC-small selection criteria}}{\text{number of MC events}} \quad (3.10)$$

Figures 3.15 to 3.17 show the fraction of generated true-level MC events, within the fiducial region of the measurement, in which the selection criteria on BBC-small signal and veto are satisfied. The  $\epsilon_{BBC}$  varies from about 90% for events with  $\xi$  within 0.02 – 0.05 to about 65% for events with  $0.1 < \xi < 0.2$ .

### Systematic uncertainty

When the data is corrected for BBC-small efficiency, there is an assumption that the MC used in the analysis, PYTHIA 8 4C (SaS), provides correct correlation between the true value of  $\xi$  and forward particles produced in the BBC acceptance region. The uncertainty related to this correction is estimated by using a HERWIG MC sample, where the hadronisation model is different from that used in PYTHIA 8. Figure 3.18 shows the PYTHIA 8 prediction on BBC efficiency divided by the HERWIG prediction in three ranges of  $\xi$ . The

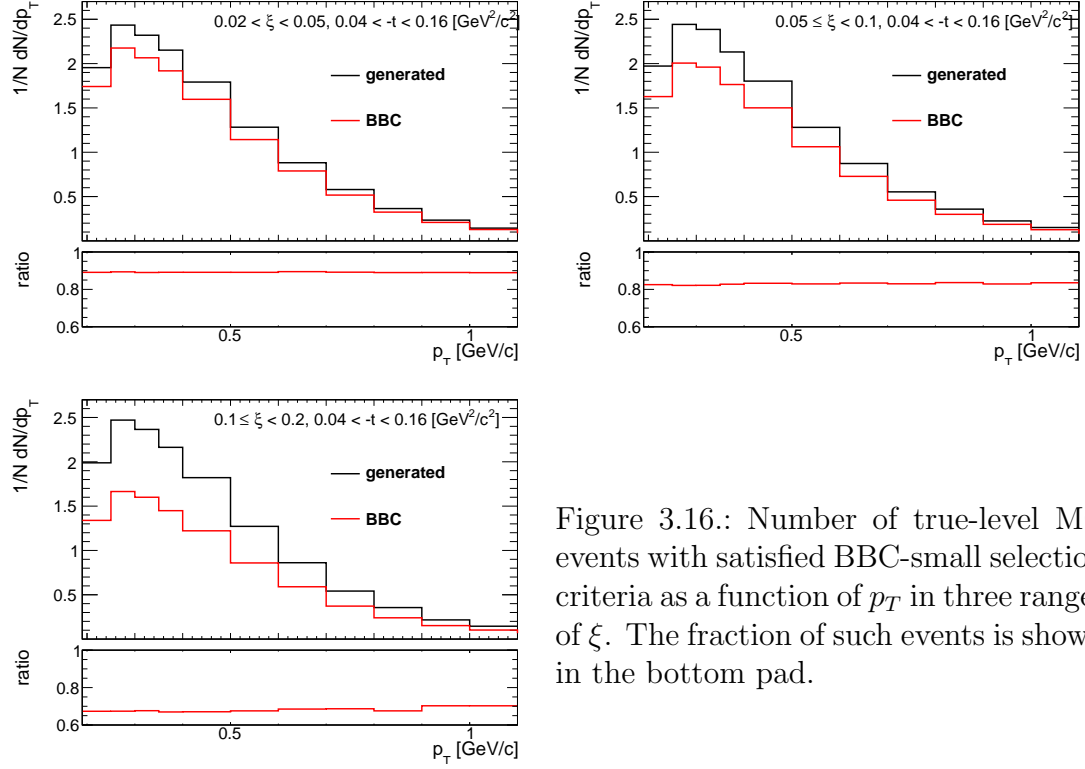


Figure 3.16.: Number of true-level MC events with satisfied BBC-small selection criteria as a function of  $p_T$  in three ranges of  $\xi$ . The fraction of such events is shown in the bottom pad.

deviations between these two models are of the order of 2% at  $0.05 < \xi < 0.1$  and about 10% for other two  $\xi$  regions. The difference between these two hadronisation models was propagated as a systematic uncertainty.

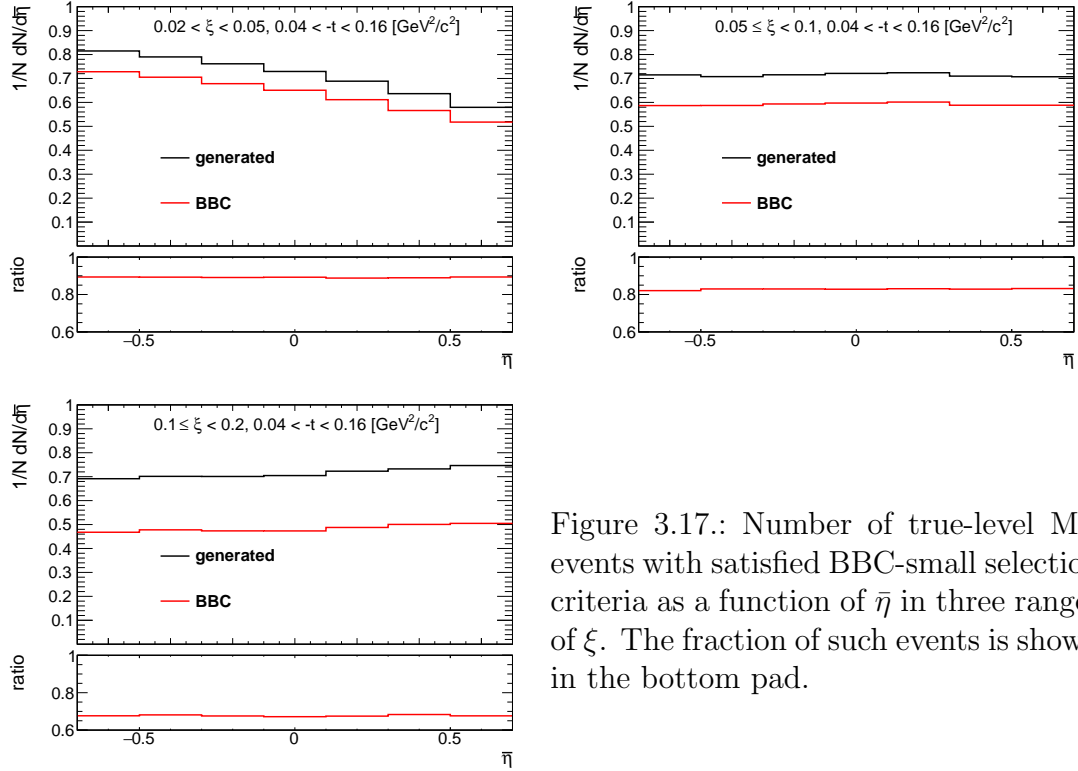


Figure 3.17.: Number of true-level MC events with satisfied BBC-small selection criteria as a function of  $\bar{\eta}$  in three ranges of  $\xi$ . The fraction of such events is shown in the bottom pad.

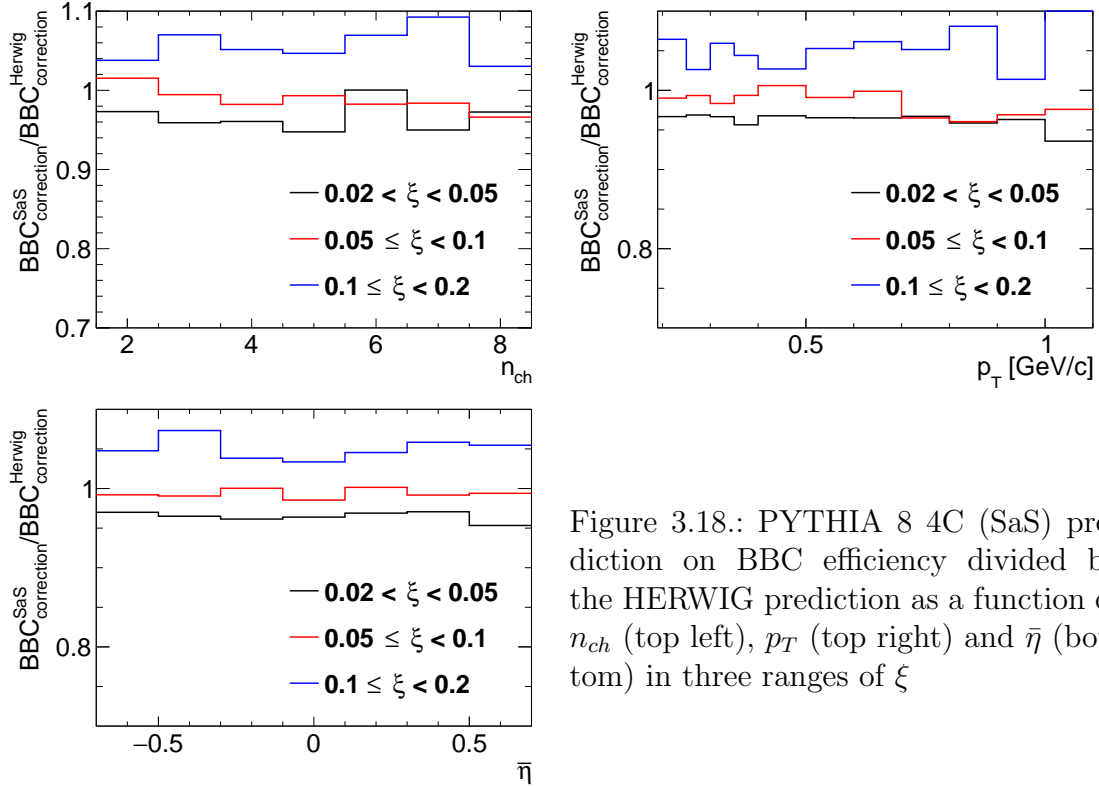


Figure 3.18.: PYTHIA 8 4C (SaS) prediction on BBC efficiency divided by the HERWIG prediction as a function of  $n_{ch}$  (top left),  $p_T$  (top right) and  $\bar{\eta}$  (bottom) in three ranges of  $\xi$

### 3.3. Corrections related to the fiducial region definition

In this section the corrections due to the migrations of tracks and forward proton into and out of the fiducial region are described.

#### 3.3.1. Energy Loss Correction

The energy loss correction is described in [1]. Each identified track transverse momentum was corrected by a factor:

$$\Delta p_T = |p_T^{meas} - p_T^{true}| \quad (3.11)$$

#### 3.3.2. Migrations of Tracks into and out of the Fiducial Region

The procedure, described in this section, accounts for migrations of tracks into and out of the fiducial region, which originate from TPC resolution effects. The fraction of such tracks,  $f_{okr}(p_T, \eta)$  was defined as follows:

$$f_{okr}(p_T, \eta) = f_{okr}^-(p_T, \eta) - f_{okr}^+(p_T, \eta) \quad (3.12)$$

where:

$f_{okr}^-(p_T, \eta)$  is the fraction of reconstructed tracks for which the corresponding primary particle is outside of the kinematic range of the measurement,

$f_{okr}^+(p_T, \eta)$  is the fraction of primary particles for which the corresponding reconstructed track is outside of the kinematic range of the measurement.

The resulting residual migrations, shown in Fig. 3.19, were estimated using MC. The main effect was observed at  $|\eta| \sim 0.7$ , where about 2 – 6% reconstructed tracks were associated to primary particle outside the fiducial region.

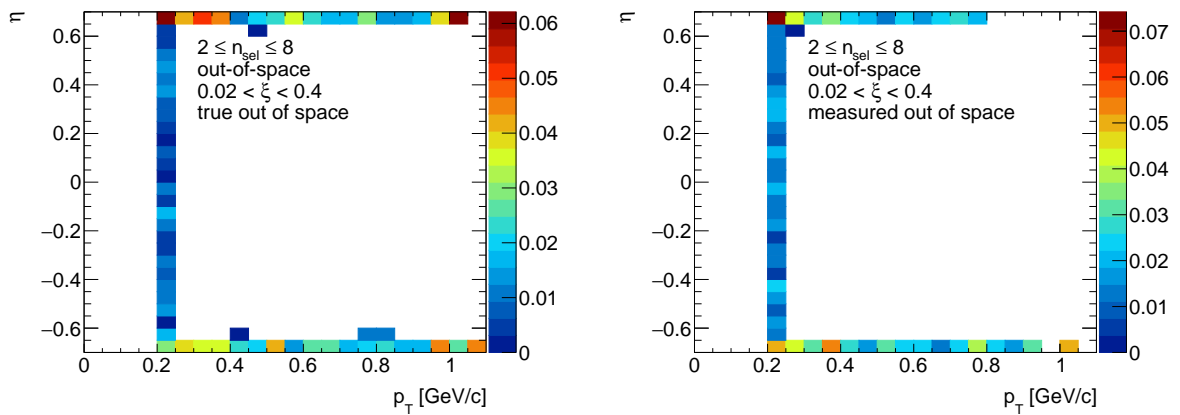


Figure 3.19.: Fraction of selected tracks migrating from outside of the kinematic range to the signal region (left) and fraction of particles for which the corresponding reconstructed track is outside the kinematic range of the measurement (right).

### 3.3.3. Migrations of $\xi$

The analysis was performed in three ranges of  $\xi$ . Since that, there are migrations into and out of these  $\xi$  regions. They mainly originate from the resolution of  $\xi$ , which is measured with the RPs. Figure 3.20 shows the resolution of measured  $\xi$  (denoted as  $\xi_{reco}$ ) as a function of the true-level  $\xi$  (denoted as  $\xi_{true}$ ) with fitted zeroth order polynomial. The resolution of  $\xi_{reco}$  is fairly constant and equals to about 0.4%.

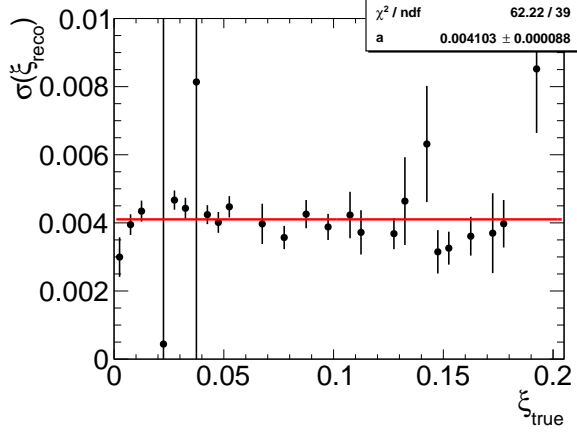


Figure 3.20.: The resolution of  $\xi_{reco}$  as a function of  $\xi_{true}$ . The zeroth order polynomial, shown as red line, was fitted.

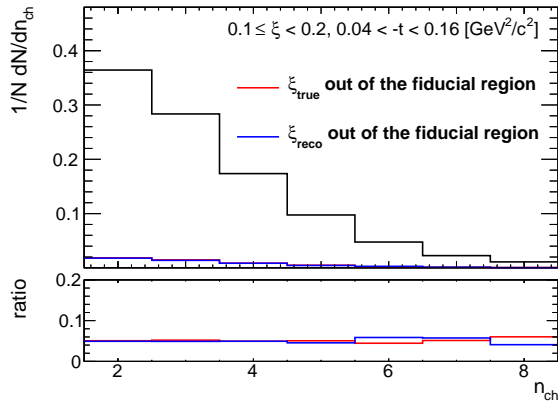
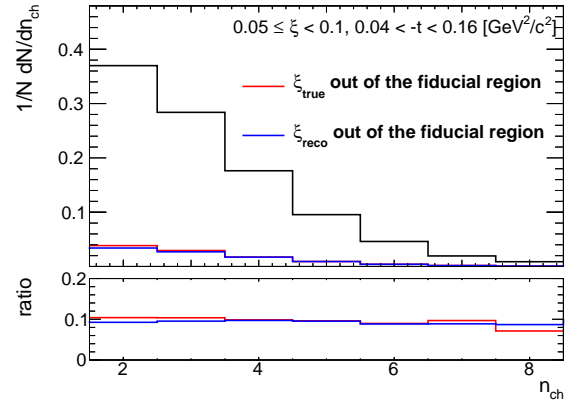
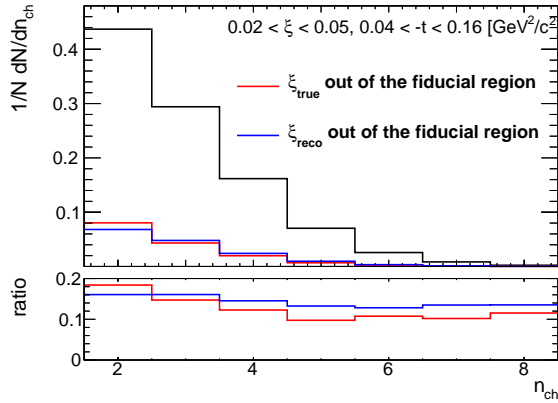


Figure 3.21.: Fraction of events  $f_{\xi}^{-}$  (red) and  $f_{\xi}^{+}$  (blue) as a function of  $n_{ch}$  in three ranges of  $\xi$ .

The corrections due to migrations into and out of  $\xi$  regions was defined as:

$$f_{\xi} = \frac{1 - f_{\xi}^{-}}{1 - f_{\xi}^{+}} \quad (3.13)$$

where:

$f_{\xi}^{-}$  is the fraction of events for which the corresponding true-level  $\xi_{true}$ , is outside of the  $\xi$  region,

$f_{\xi}^{+}$  is the fraction of events for which the corresponding reconstructed  $\xi_{reco}$  is outside of the  $\xi$  region.

The  $f_{\xi}$  was calculated for each measured variable separately. Figures 3.21 to 3.23 show the fraction of events  $f_{\xi}^{-}$  and  $f_{\xi}^{+}$  as a function of  $n_{ch}$ ,  $p_T$  and  $\bar{\eta}$ . The largest differences between migrations into and out of the  $\xi$  regions were observed at  $0.02 < \xi < 0.05$ , where they are of the order of 2 – 3%. In the other  $\xi$  regions, the difference between  $f_{\xi}^{-}$  and  $f_{\xi}^{+}$  is smaller than 1%.

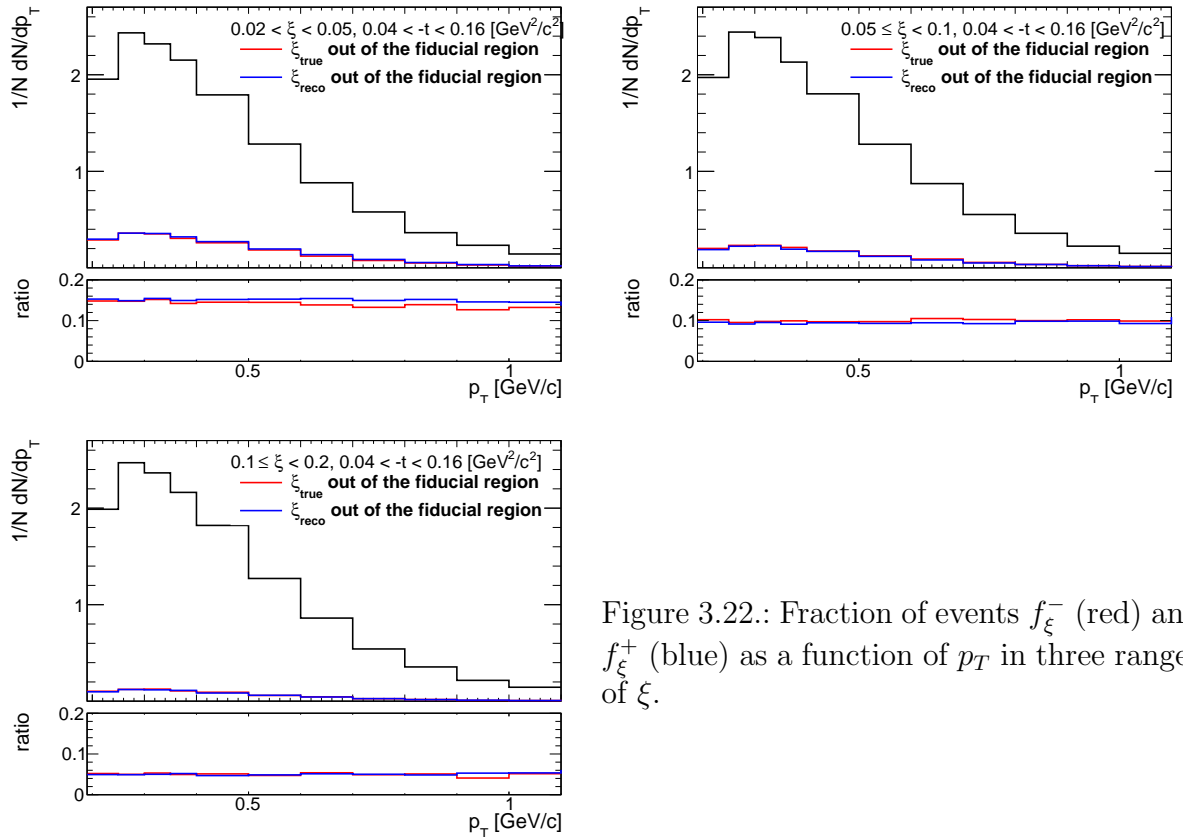


Figure 3.22.: Fraction of events  $f_{\xi}^{-}$  (red) and  $f_{\xi}^{+}$  (blue) as a function of  $p_T$  in three ranges of  $\xi$ .



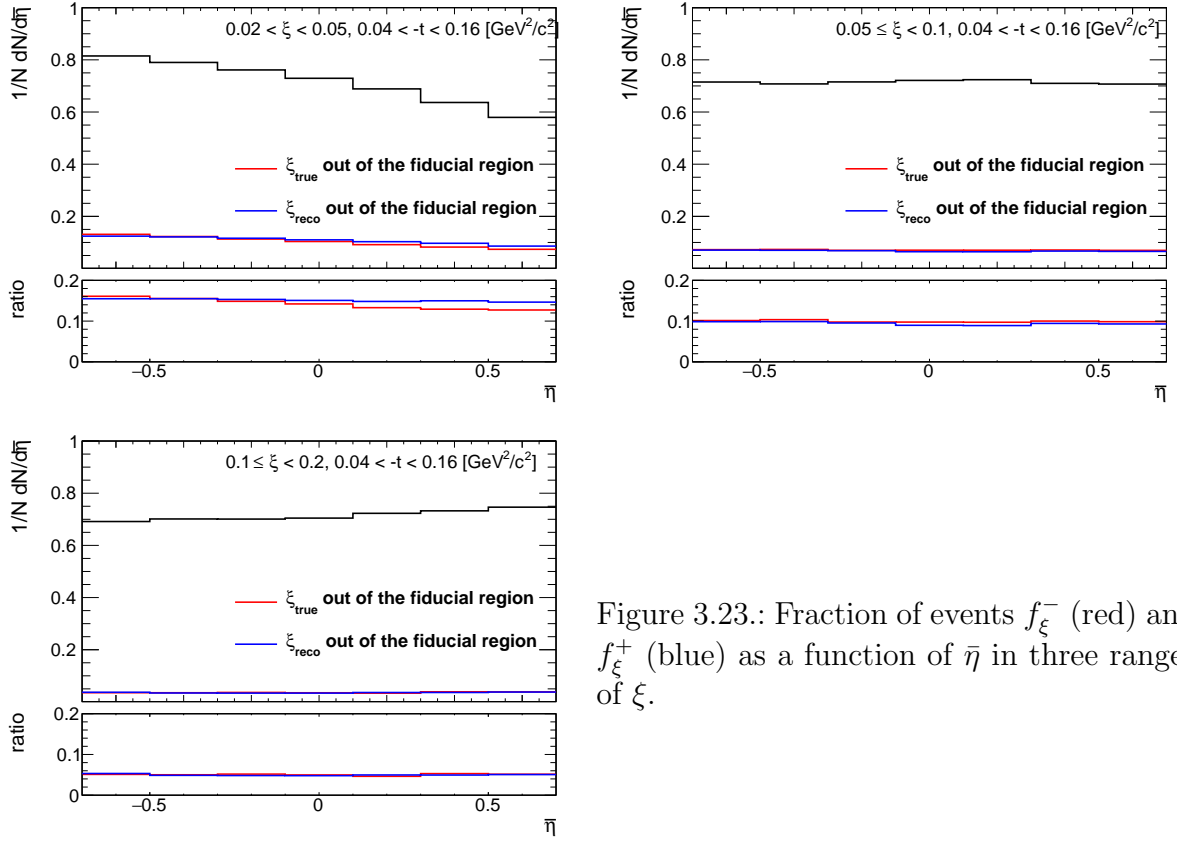


Figure 3.23.: Fraction of events  $f_{\xi}^{-}$  (red) and  $f_{\xi}^{+}$  (blue) as a function of  $\bar{\eta}$  in three ranges of  $\xi$ .

### 3.4. Corrections and Unfolding Procedure

After the subtraction of accidental and non-SD backgrounds, the data was corrected to obtain the inclusive distributions of charged particles and particle to antiparticle (pion, kaon, proton and their antiparticle) multiplicity ratios. These corrections due to detector effects include:

- event-by-event weights due to vertex reconstruction efficiency:

$$w_{ev}^{vrt} \left( n_{vrt}^{global}, |\Delta z_0| \right) = \frac{1}{\epsilon_{vrt} \left( n_{vrt}^{global}, |\Delta z_0| \right)} \cdot \frac{1}{\epsilon_{vrt}^{veto} \left( n_{vrt}^{global}, |\Delta z_0| \right)} \quad (3.14)$$

where the  $|\Delta z_0|$  dependence is only applicable for events with  $n_{vrt}^{global}$  as described in Sec. 3.2.3.

- track-by-track weights due to track reconstruction efficiency, track backgrounds from non-primary tracks, migrations of tracks into and out of the fiducial region:

$$w_{trk} (p_T, \eta, V_z) = \frac{1 - f_{okr} (p_T, \eta) - f_{bkg} (p_T, \eta) - f_{fake} (p_T, \eta)}{\epsilon_{TPC} (p_T, \eta, V_z) \epsilon_{TOF} (p_T, \eta, V_z)} \quad (3.15)$$

- event-by-event (for  $n_{ch}$  distribution) or track-by-track (for  $p_T, \eta$  distributions) weights,  $f_{\xi}$ , due to migrations of events between three  $\xi$  regions.

Additionally, the obtained distributions were corrected due to BBC-small efficiency by using the following weight:

$$w_{BBC} = \frac{1}{\epsilon_{BBC}} \quad (3.16)$$

In the following sections, the correction procedure for each of the measured distributions is presented separately. The uncorrected distributions in a wider range are shown in Figs. 3.2 to 3.4.

### 3.4.1. Correction to $dN/dn_{ch}$

In order to express the multiplicity distribution in terms of the number of charged particles  $n_{ch}$  instead of the number of selected tracks  $n_{sel}$ , the observed  $n_{sel}$  distribution was corrected for detector effects after the subtraction of accidental and non-SD backgrounds. The following procedure based on the Bayesian unfolding [14, 15] was used. First, the  $n_{sel}$  distribution was corrected for vertex reconstruction effects by applying event-by-event  $w_{ev}^{vrt}(n_{vrt}^{global}, |\Delta z_0|)$  weights. The number of events in which  $n_{ch}$  are produced,  $N_{ev}(n_{ch})$ , can be associated with the number of events in which  $n_{sel}$  are reconstructed,  $N_{ev}(n_{sel})$ :

$$N_{ev}(n_{ch}) = N_{ev}(n_{sel}) \cdot P(n_{ch}|n_{sel}) \quad (3.17)$$

where  $P(n_{ch}|n_{sel})$  is the probability of  $n_{ch}$  under condition of  $n_{sel}$ .

When there are several possible  $n_{sel}$  the number of events in which  $n_{ch}$  are produced is given by:

$$\begin{aligned} N_{ev}(n_{ch}) &= \sum_{n_{sel} \geq 0} P(n_{ch}|n_{sel}) \cdot N_{ev}(n_{sel}) \\ &= \frac{1}{\epsilon^m(n_{ch})\epsilon^r(n_{ch})} \sum_{n_{sel} \geq 2} P(n_{ch}|n_{sel}) \cdot N_{ev}(n_{sel}) \end{aligned} \quad (3.18)$$

where:

$\epsilon^m(n_{ch})$  is a factor, which recovers events that are lost due to TPC track reconstruction and TOF matching inefficiencies, i.e. those with  $n_{ch} \geq 2$  but  $n_{sel} < 2$ ,

$\epsilon^r(n_{ch})$  is a factor, which recovers events which are lost due to fake tracks, i.e. those with  $n_{ch} \leq 8$  but  $n_{sel} > 8$ .

Figure 3.24 shows  $\epsilon^m(n_{ch})$  and  $\epsilon^r(n_{ch})$  in three ranges of  $\xi$ . Both corrections were derived from MC. The former varies from about 25% for  $n_{ch} = 2$  to 95% for  $n_{ch} = 8$ , the latter is significantly smaller and varies up to 2% for  $n_{ch} = 8$ .

The unknown term  $P(n_{ch}|n_{sel})$  can be derived through Bayes theorem, which is stated mathematically in terms of charged particle and charged track multiplicities as the following equation:

$$P(n_{ch}) \cdot P(n_{sel}|n_{ch}) = P(n_{ch}|n_{sel}) \cdot P(n_{sel}) \quad (3.19)$$

where:

$P(n_{sel})$  and  $P(n_{ch})$  are probabilities of observing  $n_{sel}$  and  $n_{ch}$  independently,

$P(n_{ch}|n_{sel})$  and  $P(n_{sel}|n_{sel})$  are conditional probabilities.

The main idea behind these procedure is that the unfolding is done iteratively to improve the estimate of  $P(n_{ch}|n_{sel})$ :

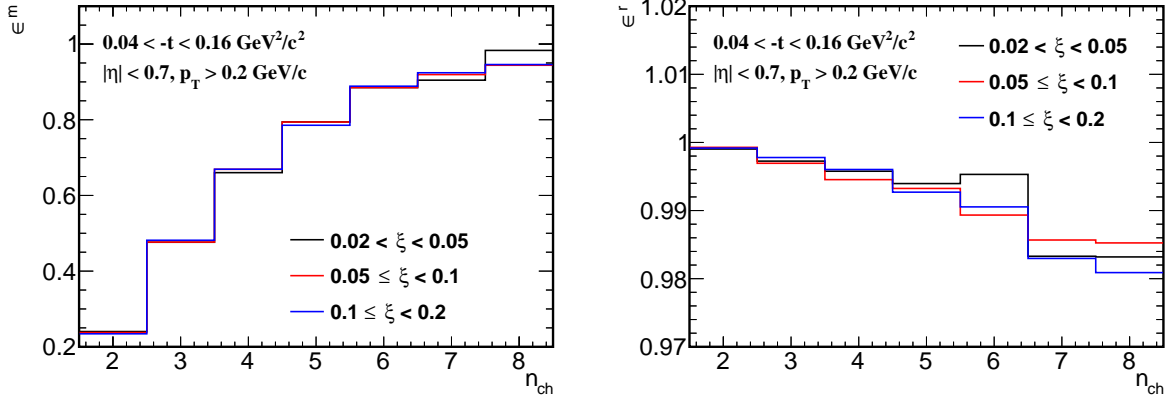


Figure 3.24.:  $\epsilon^m(n_{ch})$  (left) and  $\epsilon^r(n_{ch})$  (right) calculated separately in three ranges of  $\xi$ .

- First iteration:

$$P(n_{ch}|n_{sel}) = P = P(n_{sel}|n_{ch}) \frac{P^{MC}(n_{ch})}{P^{MC}(n_{sel})} \quad (3.20)$$

$$N_{ev}(n_{ch}) = \frac{1}{\epsilon^m(n_{ch})\epsilon^r(n_{ch})} \sum_{n_{sel} \geq 2} N_{ev}^{MC}(n_{sel}) \cdot P \quad (3.21)$$

where  $P(n_{sel}|n_{ch})$ ,  $P^{MC}(n_{ch})$  and  $P^{MC}(n_{sel})$  are obtained from MC.  $P(n_{sel}|n_{ch})$  is the same for each iteration.

- Next iterations  $i + 1$ :

$$P^{i+1} = P(n_{sel}|n_{ch}) \frac{P^i(n_{ch})}{P(n_{sel})} \quad (3.22)$$

$$N_{ev}^{i+1}(n_{ch}) = \frac{1}{\epsilon^m(n_{ch})\epsilon^r(n_{ch})} \sum_{n_{sel} \geq 2} N_{ev}^{MC}(n_{sel}) \cdot P^{i+1} \quad (3.23)$$

where normalized  $N_{ev}^i(n_{ch})$ , calculated in the previous iteration, and  $N_{ev}(n_{sel})$ , taken from data, serve as probability distributions  $P^i(n_{ch})$  and  $P(n_{sel})$ .

The unfolding matrices  $P(n_{ch}|n_{sel})$  for each  $\xi$  region, shown in Fig. 3.25, were obtained from MC and used in the first iteration of the above procedure.

After the unfolding procedure, the obtained distribution  $dN/dn_{ch}$  was corrected for BBC-small efficiency, through  $w_{BBC}(n_{ch})$  weights, and migrations of events between  $\xi$  ranges, through  $f_{\xi}(n_{ch})$  weights. Since the unfolding matrices contain track reconstruction efficiencies, non-primary track backgrounds, migrations of tracks into and out of the fiducial region, the weight  $w_{trk}(p_T, \eta, V_z)$  was not used.

Finally, the  $dN/dn_{ch}$  distribution was normalized to total number of events,  $N_{ev} = N$ , which was calculated as the integral of the unfolded  $N_{ev}(n_{ch})$  distribution.

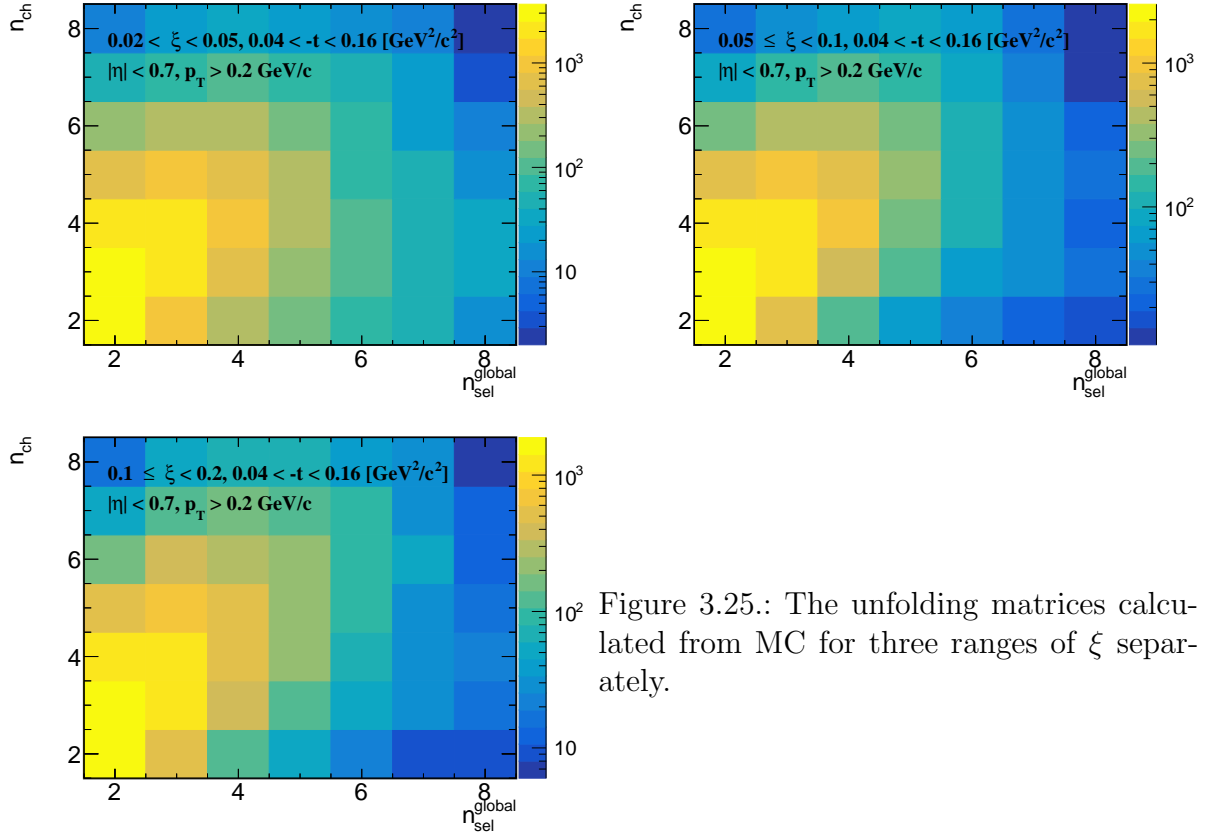


Figure 3.25.: The unfolding matrices calculated from MC for three ranges of  $\xi$  separately.

### 3.4.2. Correction to transverse momentum and pseudorapidity distribution

First the accidental and non-SD backgrounds were subtrated from the  $p_T$  and  $\bar{\eta}$  distributions. Next, the tracks were corrected for vertex reconstruction efficiency by applying  $w_{ev}^{vrt}(n_{vrt}^{global}, |\Delta z_0|)$  weights. Then, the tracks were corrected for the track reconstruction efficiency, non-primary track background contribution, track and  $\xi$  migrations, BBC-small efficiency (the product of  $w_{trk}(p_T, \eta, V_z)$ ,  $f_\xi$  and  $w_{BBC}$  weights was applied).

The following distributions were obtained from this procedure :

$$\frac{d^2 N}{d\bar{\eta} dp_T}, \quad \frac{dN}{d\bar{\eta}} \quad (3.24)$$

In order to obtain the charged particle multiplicity distributions as a function of  $p_T$  and  $\bar{\eta}$ , distributions given by Eq. 3.24 were normalized to unity and scaled by the average charged particle multiplicity in an event  $\langle n_{ch} \rangle$ . The latter was calculated from the corrected charged particle multiplicity distribution  $dN/dn_{ch}$  (Sec. 3.4.1).

As a result, the corrected distributions of charged particle multiplicity are expressed as:

$$\frac{1}{N_{ev}} \frac{1}{2\pi p_T} \frac{d^2 N}{d\bar{\eta} dp_T}, \quad \frac{1}{N_{ev}} \frac{dN}{d\bar{\eta}} \quad (3.25)$$

In addition, the mean particle densities in an event,  $\langle p_T \rangle$  and  $\langle \bar{\eta} \rangle$ , were calculated as an average of distributions given by Eq. 3.25.

### 3.4.3. Particle Identification

The specific ionization energy loss, the  $dE/dx$ , is a function of the particle momentum magnitude. However, in the midrapidity region of  $|\eta| < 0.7$ ,  $p_T$  is approximately equal to  $|p|$ . In this section the particle identification by the  $dE/dx$  at low  $p_T$  is described. The particle identification at high  $p_T$  is possible by the TOF, but due to the low particle multiplicity and lack of signal in VPDs on the outgoing proton side (presence of the rapidity gap) in SD events, the time of collision is not defined precisely. Since that, the analysis was limited to identification only by  $dE/dx$ .

The ionization energy loss of charged particles in material is given by the Bethe-Bloch formula and for thin material by the more precise Bichsel formula[16]. The particle type can be determined by the comparison of particle's  $dE/dx$  with the Bethe-Bloch (Bichsel) expectations. Figure 3.26 shows the  $dE/dx$  versus rigidity  $q \times p$  for particles in  $|\eta| < 0.7$ . Various particles are separated at low  $|q \times p|$ , whereas at higher  $|q \times p|$  the  $dE/dx$  of different particle species starts to overlap:  $e^\pm$  and  $K^\pm$  merge at  $\sim 0.4$  GeV/c,  $K^\pm$  and  $\pi^\pm$  merge at  $\sim 0.7$  GeV/c, and  $p(\bar{p})$  and  $\pi^\pm$  merge at  $\sim 1$  GeV/c.

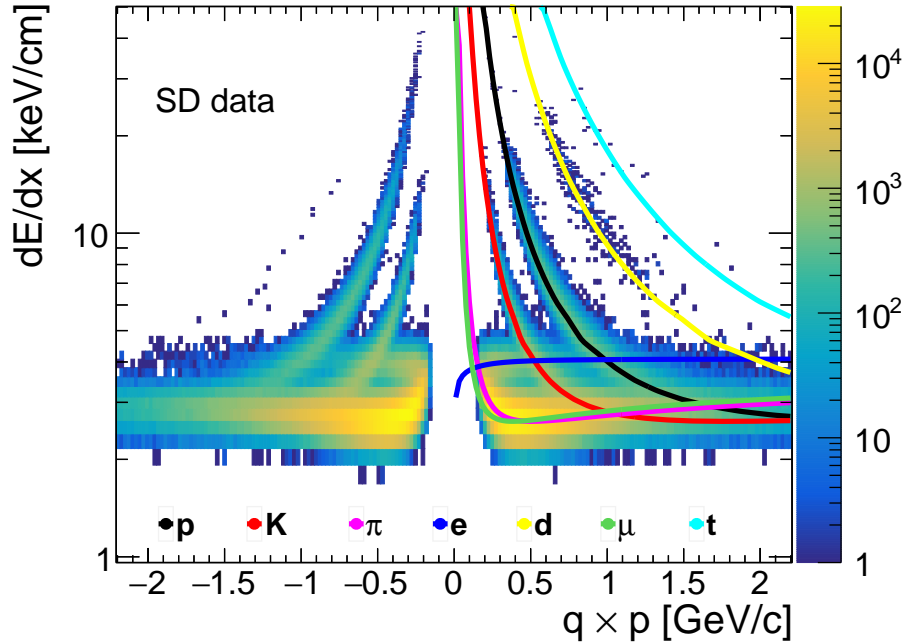


Figure 3.26.: Specific ionization energy loss  $dE/dx$  as a function of rigidity  $q \times p$  for particles in  $|\eta| < 0.7$ . The Bichsel predictions for each particle species are also shown.

Since the  $dE/dx$  distribution for a fixed particle type is not Gaussian, the following variable

for each particle type was defined:

$$n\sigma_{dE/dx}^i = \ln \left( \frac{dE/dx}{(dE/dx)_i^{BB}} \right) / \sigma \quad (3.26)$$

where  $(dE/dx)_i^{BB}$  is the Bethe-Bloch (Bichsel) expectation of  $dE/dx$  for the given particle type  $i$  ( $i = \pi, K, p$ ),  $\sigma$  - the  $dE/dx$  resolution. The expected value of  $n\sigma_{dE/dx}^i$  for the particle under study is around 0 and the width equals to 1. The exemplary  $n\sigma_{dE/dx}^i$  for  $\pi^\pm$ ,  $K^\pm$  and  $p(\bar{p})$  in one  $\xi$  range,  $0.02 < \xi < 0.05$ , is shown in Fig. 3.27.

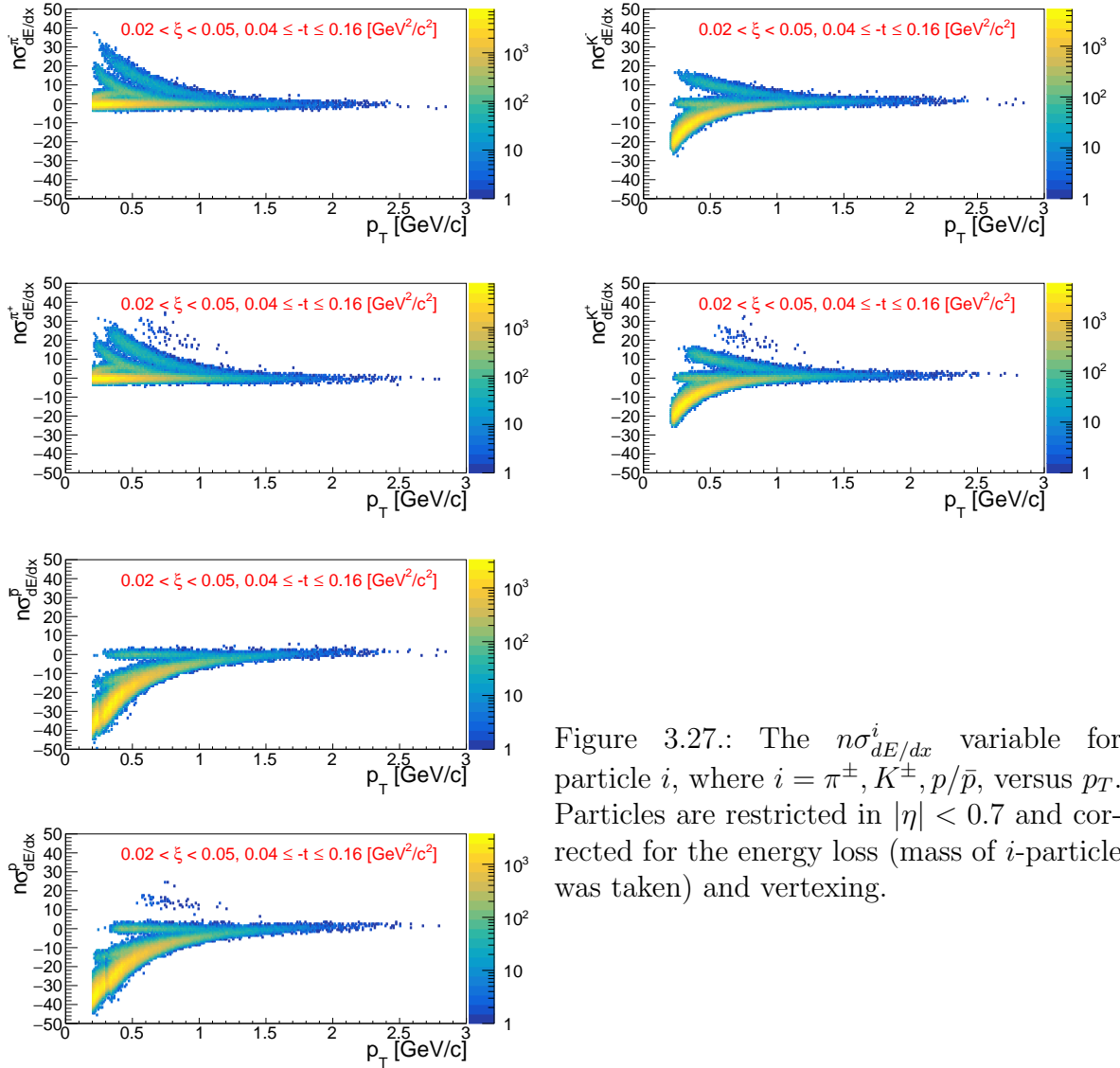


Figure 3.27.: The  $n\sigma_{dE/dx}^i$  variable for particle  $i$ , where  $i = \pi^\pm, K^\pm, p/\bar{p}$ , versus  $p_T$ . Particles are restricted in  $|\eta| < 0.7$  and corrected for the energy loss (mass of  $i$ -particle was taken) and vertexing.

Figure 3.28 shows the  $n\sigma_{dE/dx}^{\pi^\pm}, n\sigma_{dE/dx}^{K^\pm}$  and  $n\sigma_{dE/dx}^{p(\bar{p})}$  distributions for one  $p_T$  bin in one  $\xi$  range,  $0.02 < \xi < 0.05$ , each corrected for the energy loss (mass of  $i$ -particle was taken)

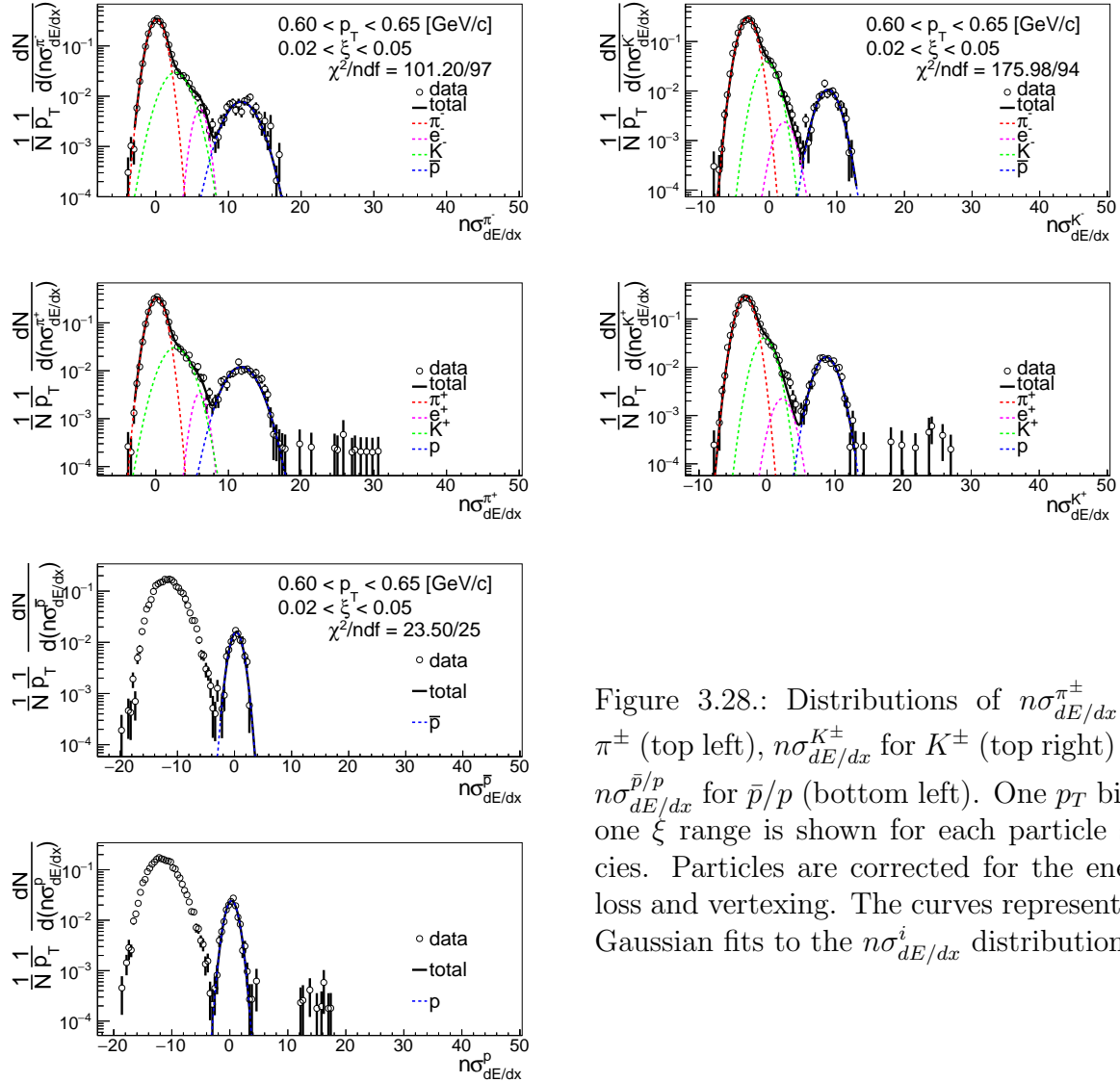


Figure 3.28.: Distributions of  $n\sigma_{dE/dx}^{\pi^\pm}$  for  $\pi^\pm$  (top left),  $n\sigma_{dE/dx}^{K^\pm}$  for  $K^\pm$  (top right) and  $n\sigma_{dE/dx}^{\bar{p}/p}$  for  $\bar{p}/p$  (bottom left). One  $p_T$  bin in one  $\xi$  range is shown for each particle species. Particles are corrected for the energy loss and vertexing. The curves represent the Gaussian fits to the  $n\sigma_{dE/dx}^i$  distributions.

and vertexing (other  $p_T$  bins are shown in Appendix B). To extract the particle yield for a given particle type, a multi-Gaussian fit is applied to the  $n\sigma_{dE/dx}^i$  distribution in each  $p_T$  bin and  $\xi$  range. The parameters of the multi-Gaussian fit are the centroids  $\mu_{i^-/i^+}$ , widths  $\sigma_{i^-/i^+}$ , sum and ratios of amplitudes  $C_{i^-/i^+}$ ,  $r_{i^-/i^+}$  for negative  $i^-$  and positive  $i^+$  particles ( $\pi^\pm$ ,  $e^\pm$ ,  $K^\pm$ ,  $p$  and  $\bar{p}$ ). The positive and negative particle  $n\sigma_{dE/dx}^i$ -distributions are fit simultaneously, where the particle and antiparticle centroids and widths are kept the same. Additionally, multiple steps of fitting in the first  $\xi$  range are performed to reduce the number of free parameters in the final fit, where almost all centroids and widths are constrained by an arbitrary function with free parameters  $p_k$ , where  $k \in \mathbb{N}$ . The values of these parameters, obtained for events with  $0.02 < \xi < 0.05$  are kept the same for other  $\xi$  ranges. Also electron contributions are fixed, but separately for each  $\xi$  range. The procedure is as follows:

### Chapter 3. Charged Particle Production Measured with the STAR Detector

#### 1. $\pi^\pm$ :

- Step 1 (Fig. 3.29):
  - Analyze data with  $0.2 < p_T < 0.65$  GeV/c
  - Fit  $\mu_{\pi^-/\pi^+}$  and  $\sigma_{\pi^-/\pi^+}$  as a function of  $p_T$  with a third order polynomials given by  $p_0 p_T^3 + p_1 p_T^2 + p_2 p_T + p_3$
  - Fit  $r_{e^-/e^+}$  as a function of  $p_T$  with a second order polynomial given by  $p_0 p_T^2 + p_1 p_T + p_2$
  - Fit  $C_{e^-/e^+}$ ,  $\mu_{K^-/K^+}$  as a functions of  $p_T$  with  $p_0 \exp(p_1 p_T) + p_2$
  - Fit  $\mu_{e^-/e^+}$  as a function of  $p_T$  with  $p_0 \exp[-(p_1 p_T)^{p_2}]$
  - Fit  $\sigma_{K^-/K^+}$  as a function of  $p_T$ , where  $0.3 < p_T < 0.5$  GeV/c, with zeroth order polynomial given by  $p_0$
  - Fit  $\mu_{\bar{p}/p}$  and  $\sigma_{\bar{p}/p}$  as a function of  $p_T$  with  $p_0 \exp(p_1 p_T)$
- Step 2:
  - $\sigma_{e^-/e^+}$  fixed to 1.2 and 0.8 for  $0.2 < p_T < 0.4$  and  $0.4 < p_T < 0.7$ , respectively
  - $\sigma_{K^-/K^+}$  parametrized for  $0.3 < p_T < 0.7$
  - The rest parameters from Step 1 are fixed with obtained parametrization:  $\mu_{\pi^-/\pi^+}$ ,  $\sigma_{\pi^-/\pi^+}$ ,  $r_{e^-/e^+}$ ,  $C_{e^-/e^+}$ ,  $\mu_{e^-/e^+}$ ,  $\mu_{K^-/K^+}$ ,  $\mu_{\bar{p}/p}$ ,  $\sigma_{\bar{p}/p}$

#### 2. $K^\pm$ :

- Step 1 (Fig. 3.31):
  - Analyze data with  $0.2 < p_T < 0.6$  GeV/c
  - Fit  $\mu_{\pi^-/\pi^+}$  as a function of  $p_T$  with  $-\exp(p_0 + p_1 p_T)$
  - Fit  $\sigma_{\pi^-/\pi^+}$ ,  $C_{e^-/e^+}$ ,  $\sigma_{e^-/e^+}$ ,  $\sigma_{K^-/K^+}$  as a function of  $p_T$  with  $\exp(p_0 + p_1 p_T)$
  - Fit  $r_{e^-/e^+}$  as a function of  $p_T$  with zeroth order polynomial given by  $p_0$
  - Fit  $\mu_{e^-/e^+}$  as a function of  $p_T$  with a third order polynomial given by  $p_0 p_T^3 + p_1 p_T^2 + p_2 p_T + p_3$
  - Fit  $\mu_{K^-/K^+}$  as a function of  $p_T$  with a second order polynomial given by  $p_0 + p_1 p_T^2$
- Step 2:
  - All parameters from Step 1 except  $\sigma_{e^-/e^+}$  are fixed with obtained parametrization.
  - Fit  $\sigma_{e^-/e^+}$  as a function of  $p_T$ , where  $0.45 < p_T < 0.65$  GeV/c, with zeroth order polynomial given by  $p_0$
- Step 3:



### Chapter 3. Charged Particle Production Measured with the STAR Detector

- $\sigma_{e^-/e^+}$  fixed with obtained parametrization from Steps 1 and 2 for  $0.3 < p_T < 0.45$  and  $0.45 < p_T < 0.65$ , respectively.
- The rest parameters from Step 1 are fixed with obtained parametrization:  
 $\mu_{\pi^-/\pi^+}$ ,  $\sigma_{\pi^-/\pi^+}$ ,  $r_{e^-/e^+}$ ,  $C_{e^-/e^+}$ ,  $\mu_{e^-/e^+}$ ,  $\mu_{K^-/K^+}$ ,  $\sigma_{K^-/K^+}$

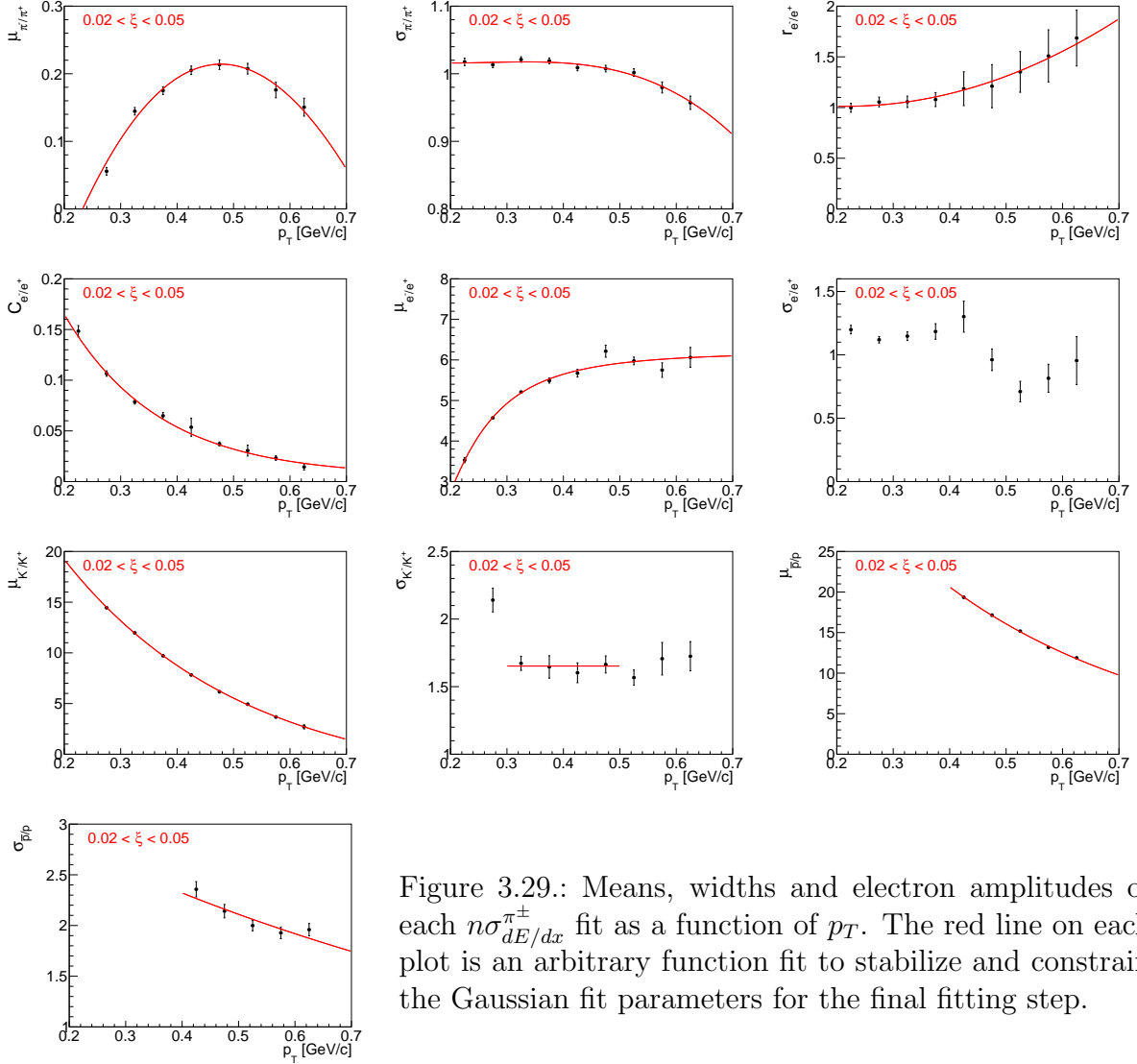


Figure 3.29.: Means, widths and electron amplitudes of each  $n\sigma_{dE/dx}^{\pm}$  fit as a function of  $p_T$ . The red line on each plot is an arbitrary function fit to stabilize and constrain the Gaussian fit parameters for the final fitting step.

#### 3. $\bar{p}, p$ :

- Step 1 (Fig. 3.30):
  - Analyze data with  $0.4 < p_T < 0.9$  GeV/c
  - Fit  $\mu_{\pi^-/\pi^+}$ ,  $\mu_{K^-/K^+}$  as a function of  $p_T$  with first order polynomials given by  $p_0 p_T + p_1$
  - Fit  $\sigma_{\pi^-/\pi^+}$  as a function of  $p_T$  with second order polynomials given by  $p_0 p_T^2 + p_1 p_T + p_2$

- Fit  $\sigma_{K^-/K^+}$  as a function of  $p_T$  with  $\exp(p_0 + p_1 p_T)$
- Step 2:
  - $\mu_{K^-/K^+}$  fixed with obtained parametrization from Step 1.
  - All the rest parameters from Step 1 are limited with obtained parametrization
  - Fit  $\mu_{\pi^-/\pi^+}$ ,  $\sigma_{\pi^-/\pi^+}$ ,  $\sigma_{K^-/K^+}$  as a function of  $p_T$  with second order polynomials given by  $p_0 p_T^2 + p_1 p_T + p_2$
  - Fit  $\mu_{\bar{p}/p}$  as a function of  $p_T$ , where  $0.7 < p_T < 1.0$  GeV/c, with zeroth order polynomial given by  $p_0$
- Step 3:
  - $\mu_{K^-/K^+}$  fixed with obtained parametrization from Step 1
  - $\mu_{\bar{p}/p}$  fixed with obtained parametrization from Step 2 for  $0.7 < p_T < 1.0$
  - The rest parameters from Step 2 are fixed with obtained parametrization:  $\mu_{\pi^-/\pi^+}$ ,  $\sigma_{\pi^-/\pi^+}$ ,  $\sigma_{K^-/K^+}$

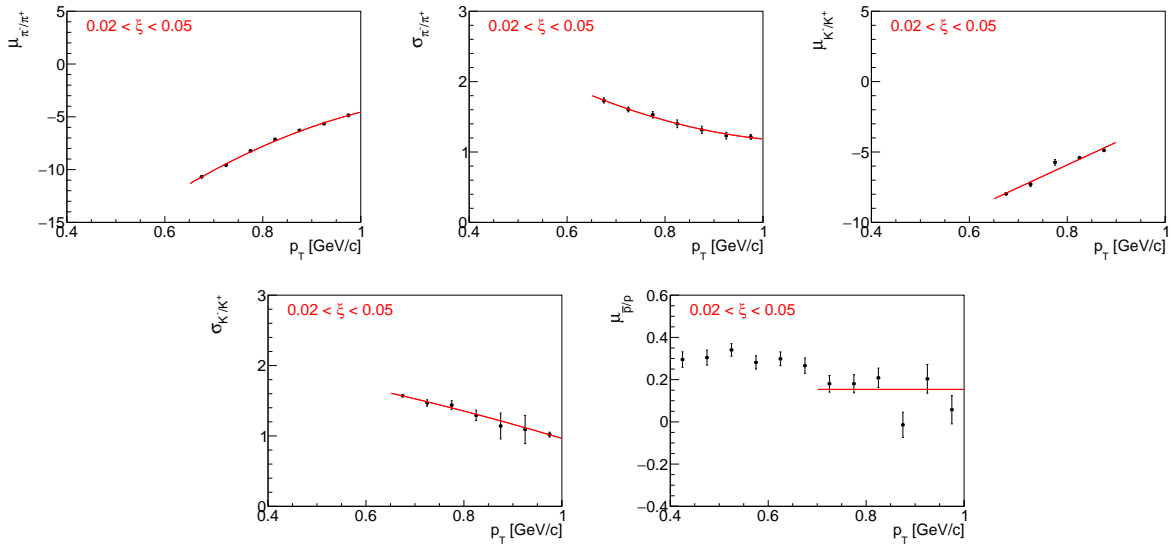


Figure 3.30.: Means and widths of each  $n\sigma^{\bar{p}/p}$  fit as a function of  $p_T$ . The red line on each plot is an arbitrary function fit to stabilize and constrain the Gaussian fit parameters for the final fitting step.

The particle yield is extracted from the fit to the corresponding  $n\sigma_{dE/dx}^i$  distribution (corrected only for the energy loss and vertexing). As shown in Fig. 3.27, the  $dE/dx$  of each particle type merge at large  $p_T$ . Since that the particle identification is limited. Pions can be identified in the momentum range of 0.2 – 0.7 GeV/c, kaons 0.3 – 0.65 GeV/c and (anti)protons 0.4 – 1.0 GeV/c.

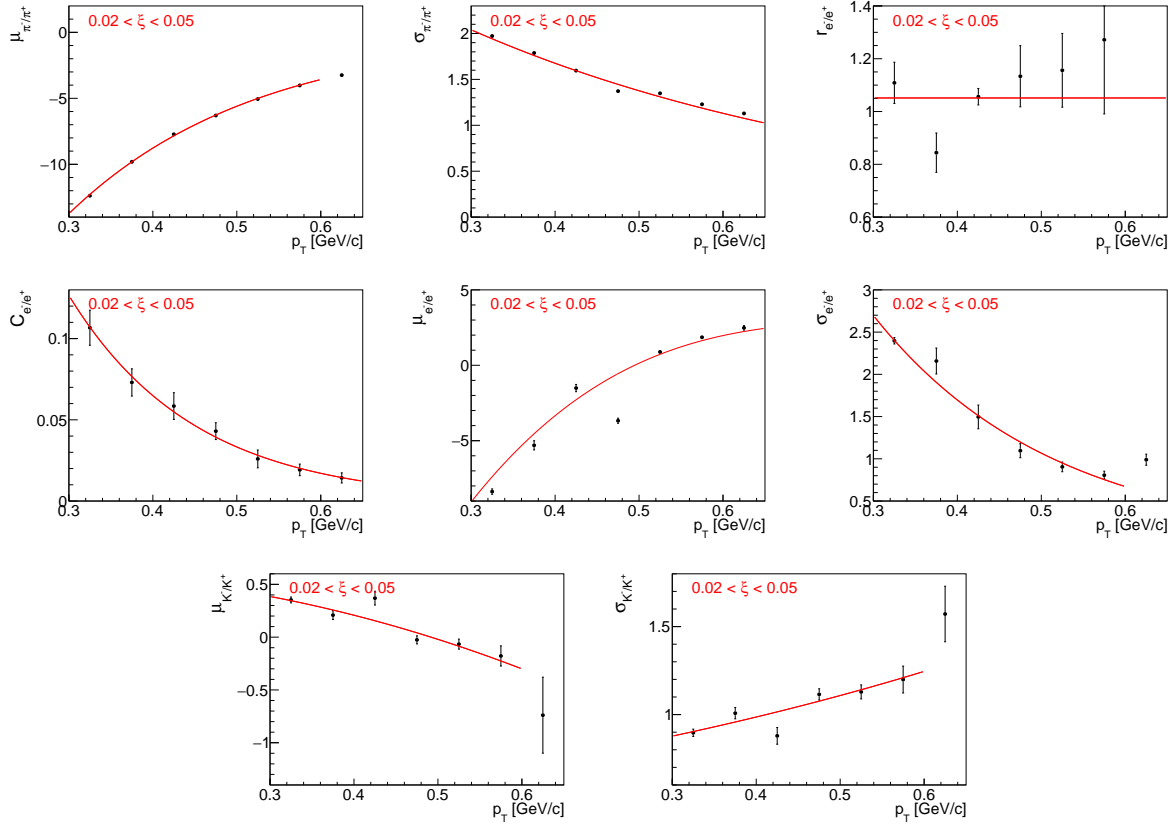


Figure 3.31.: Means, widths and electron amplitudes of each  $n\sigma_{dE/dx}^{K^\pm}$  fit as a function of  $p_T$ . The red line on each plot is an arbitrary function fit to stabilize and constrain the Gaussian fit parameters for the final fitting step.

### 3.4.4. Antiparticle-to-Particle Ratios

The following steps were taken to correct an identified antiparticle to particle (pion, kaon, proton and their antiparticle) multiplicity ratios as a function of  $p_T$  in three ranges of  $\xi$ .

- The raw identified particle yields were obtained through multi-Gaussian fits to the  $n\sigma_{dE/dx}^i$  distributions (Sec. 3.4.3), where the vertex reconstruction and energy loss corrections were applied. The latter depends on the particle type.
- The accidental and non-SD backgrounds were subtracted. It was assumed that the former does not depend on the particle type, i.e. the same contribution of accidental background was used as for charged particles without identification (Sec. 3.1.1).
- The particle yields were corrected for track reconstruction efficiencies, which depend on the particle type and charge.
- The background from non-primary tracks was subtracted (Sec. 3.1.3):
  - $\pi^\pm$ : weak decays pions, muon contribution and background from detector dead-material interactions,

- $p$ : background from detector dead-material interactions,
  - $p, \bar{p}$ : reconstructed tracks which have the appropriate number of common hit points with true-level particle, but the distance between them is too large (this background is negligibly small for other particle types),
  - all: fake track contribution, the same for each particle type.
- Then the tracks were corrected for track and  $\xi$  migrations, BBC-small efficiency, which do not depend on the particle type and charge.
  - Finally, each antiparticle  $p_T$  distribution was divided by the corresponding particle  $p_T$  distribution to obtain fully corrected identified antiparticle to particle multiplicity ratios.
  - Additionally, the average antiparticle to particle ratios in each  $\xi$  region were calculated.

### 3.5. Systematic Uncertainties

Apart from the statistical uncertainties there are also systematic uncertainties originating from inefficiencies and limitations of the measurement devices and techniques. Systematic uncertainties are obtained by using modified input distributions and calculating the difference between standard and changed settings for each bin of the distribution. The systematic uncertainties on  $1/N \, dN/dn_{ch}$  are propagated by randomly removing and adding tracks in the  $n_{sel}$  distribution before unfolding procedure.

The following sources of systematic uncertainties were considered:

- the effect of off-time pile-up on TPC track reconstruction efficiency,
- the effect of the amount of dead-material on TPC track reconstruction efficiency,
- representativeness of the data sample in embedding MC,
- fake track background contribution changed by  $\pm 50\%$ ,
- TOF system simulation accuracy,
- accidental background contribution,
- the effect of alternative model of hadronisation on BBC-small efficiency,
- non-SD background contribution,
- non-closure: full correction procedure was applied to the MC detector-level distributions. The difference between true-level and corrected distributions was taken as a systematic uncertainties. Due the method of factorization of global efficiency into the product of single-particle efficiencies, a level of non-closure below 5% is typically considered to be sufficient for the validation of the procedure.
- non-closure of  $N_{ev}$ , valid only for  $p_T$  and  $\bar{\eta}$  distributions,
- the  $1/N_{ev} \, dN/d\bar{\eta}$  distribution was calculated separately for events in which forward proton is on one and the other side of the IP (*east-west*).

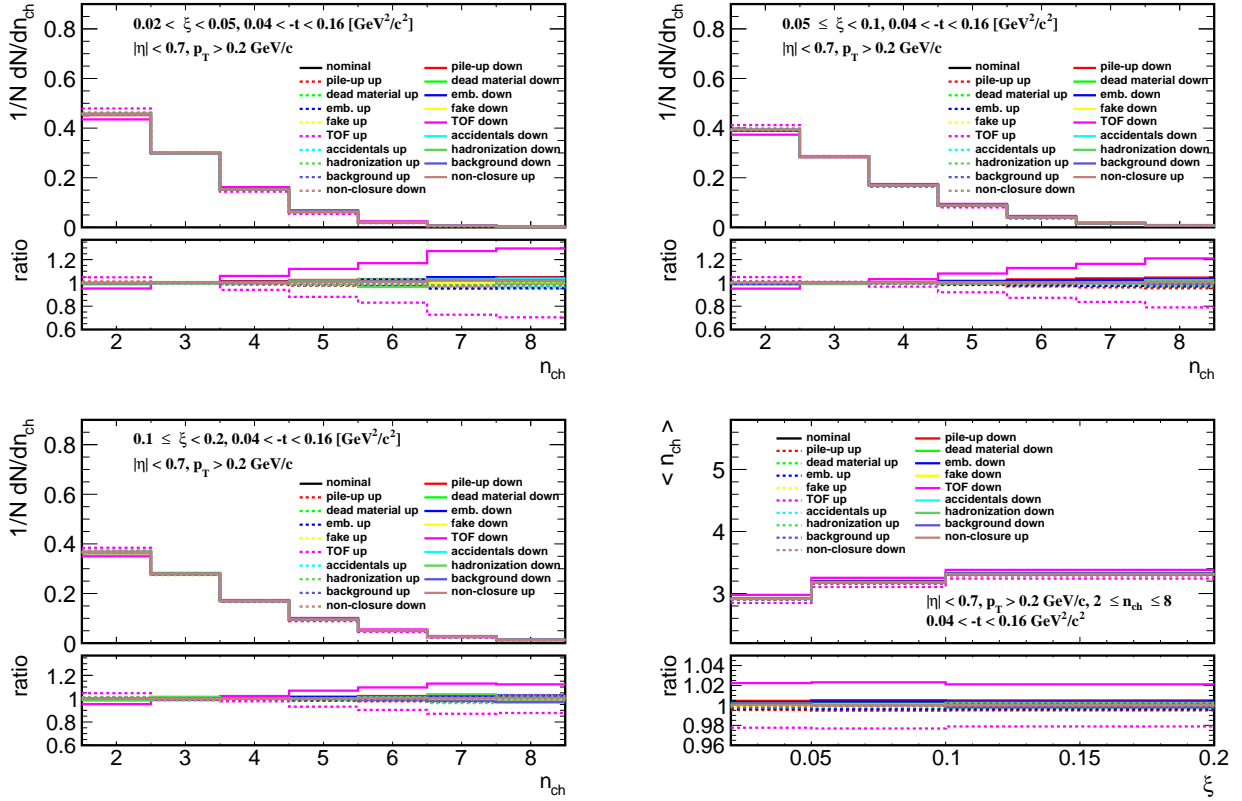


Figure 3.32.: Components of the systematic uncertainties for the charged particle multiplicity in three  $\xi$  regions and the average charged particle multiplicity. The total systematic uncertainty is a quadratic sum of all components.

Figures 3.32 to 3.34 show the components contributing to the total systematic uncertainty for charged particle distributions without the identification. The dominant systematic uncertainty for  $p_T$  and  $n_{ch}$  distributions is related to TOF system simulation accuracy. It affects mainly low- $p_T$  particles, where it is about 6%, and large charged particle multiplicities, where it varies up to 25% for  $n_{ch} = 8$  and  $0.02 < \xi < 0.05$ . In case of  $\bar{\eta}$  distribution, the systematic uncertainty on TOF mainly refers to charged particles produced at the edge of the fiducial region, for which it is about 2%. However, the largest (up to 6%) systematic uncertainty for  $\bar{\eta}$ , is related to the observed difference in the distributions calculated separately with respect to the forward proton direction (east or west). The rest of the components have smaller contribution to the total systematic uncertainty. The systematic uncertainty on non-closure is at the level of 2% which proves the accuracy of the correction procedure.

Figures 3.35 to 3.38 show breakdown of all different systematics for the antiparticle-to-particle multiplicity ratio distributions. An additional systematic contribution for  $\bar{p}/p$  multiplicity ratio due to proton background estimation was introduced. Since most of the corrections are the same for particle and its antiparticle, nearly all systematic uncertainties cancel out in the antiparticle-to-particle ratios. The largest sources of systematics, which do not, are related to proton background estimation and dead-material effect on TPC track

reconstruction efficiency. The former was found to be up to 5%, whereas the latter varies up to 2% for low- $p_T$   $\bar{p}/p$  multiplicity ratio.

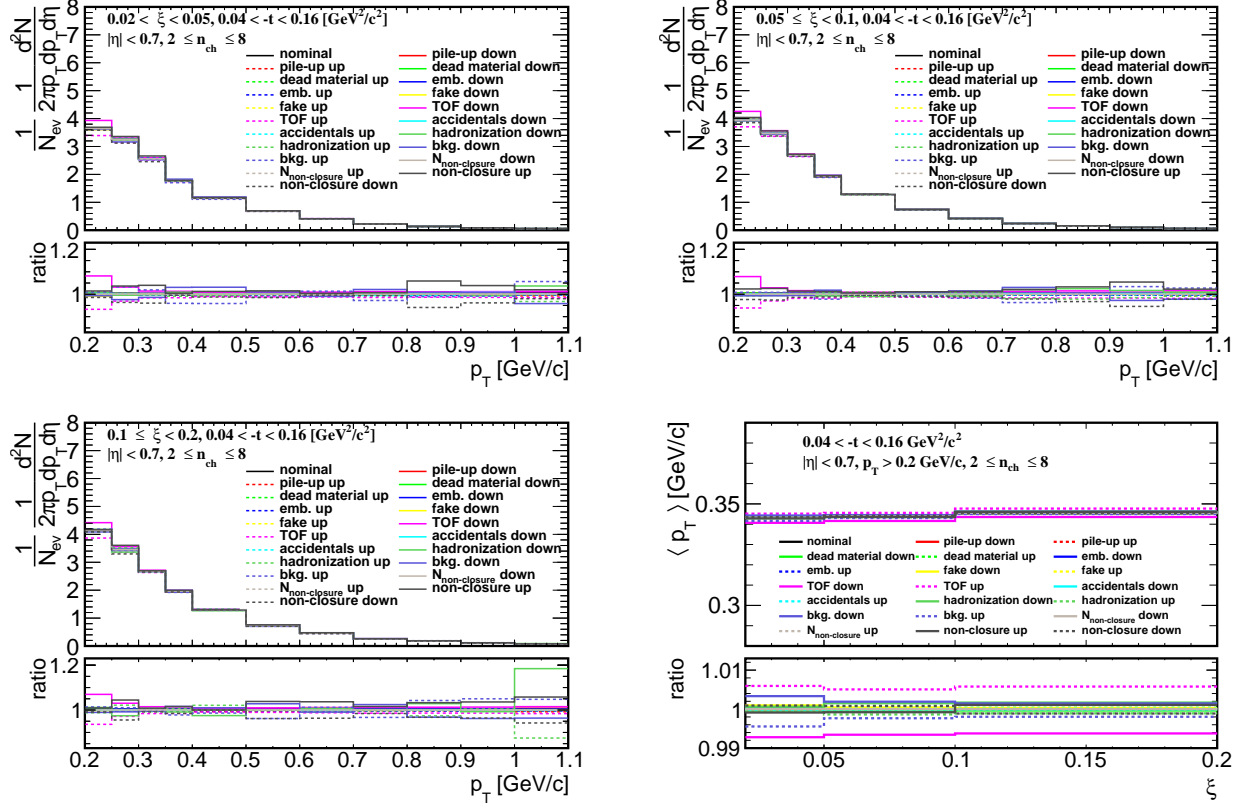


Figure 3.33.: Components of the systematic uncertainties for  $p_T$  distributions in three  $\xi$  regions and an average  $p_T$  distribution. The total systematic uncertainty is a quadratic sum of all components.

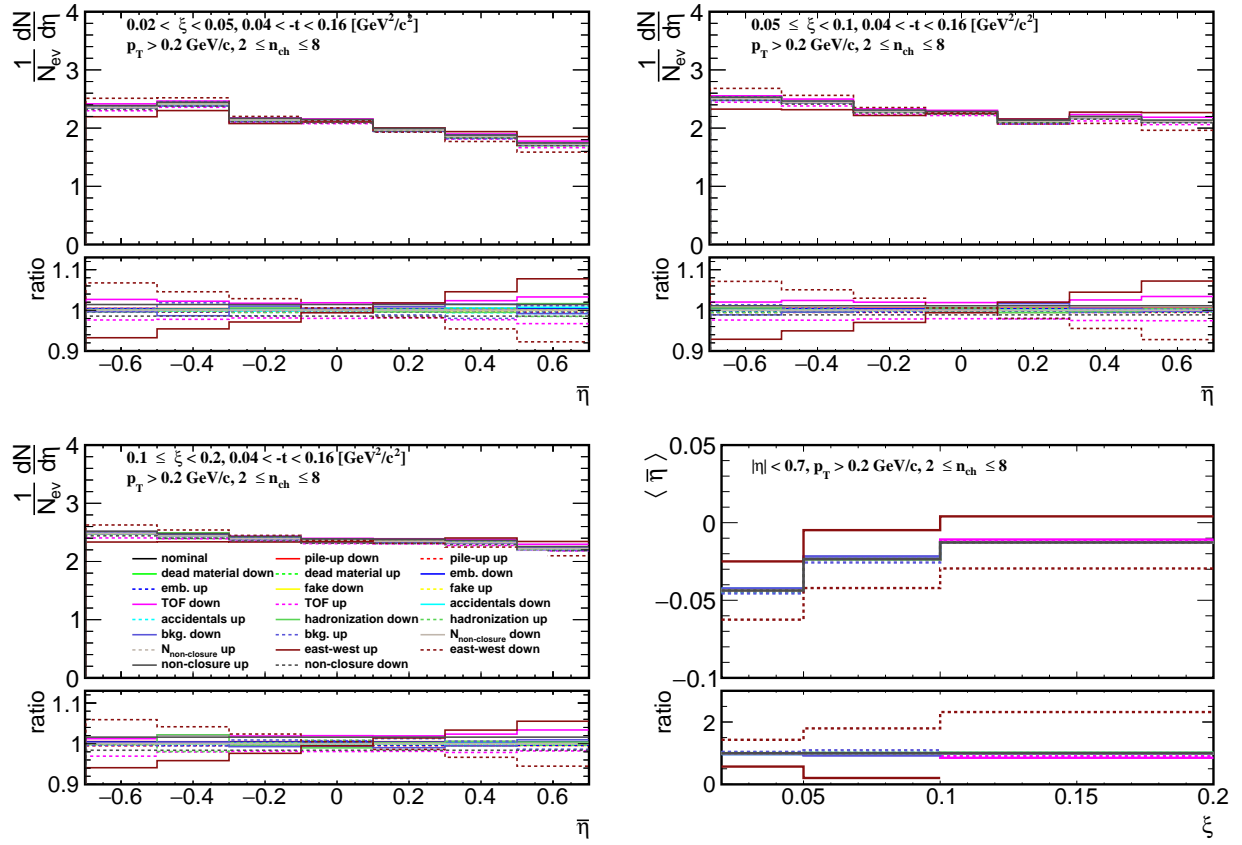


Figure 3.34.: Components of the systematic uncertainties for  $\bar{\eta}$  distributions in three  $\xi$  regions and an average  $\bar{\eta}$  distribution. The total systematic uncertainty is a quadratic sum of all components.

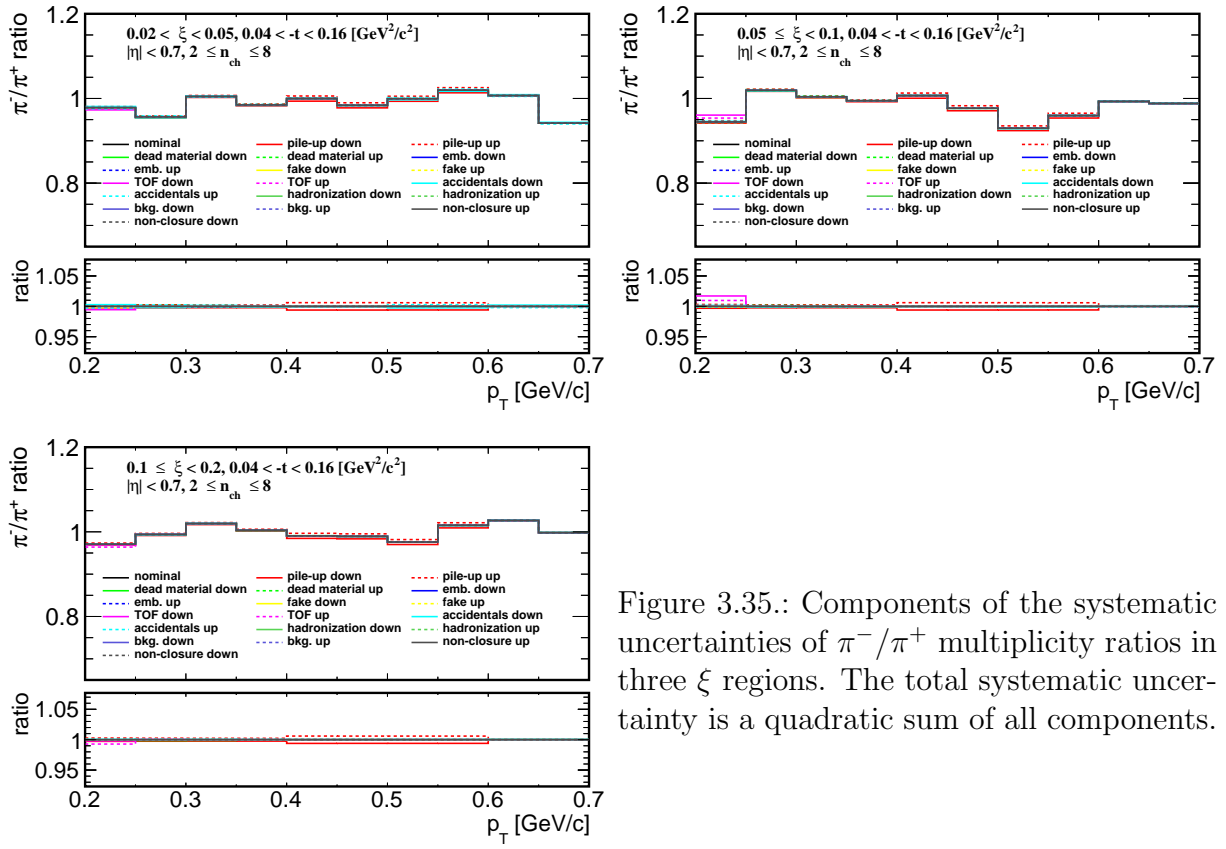


Figure 3.35.: Components of the systematic uncertainties of  $\pi^-/\pi^+$  multiplicity ratios in three  $\xi$  regions. The total systematic uncertainty is a quadratic sum of all components.



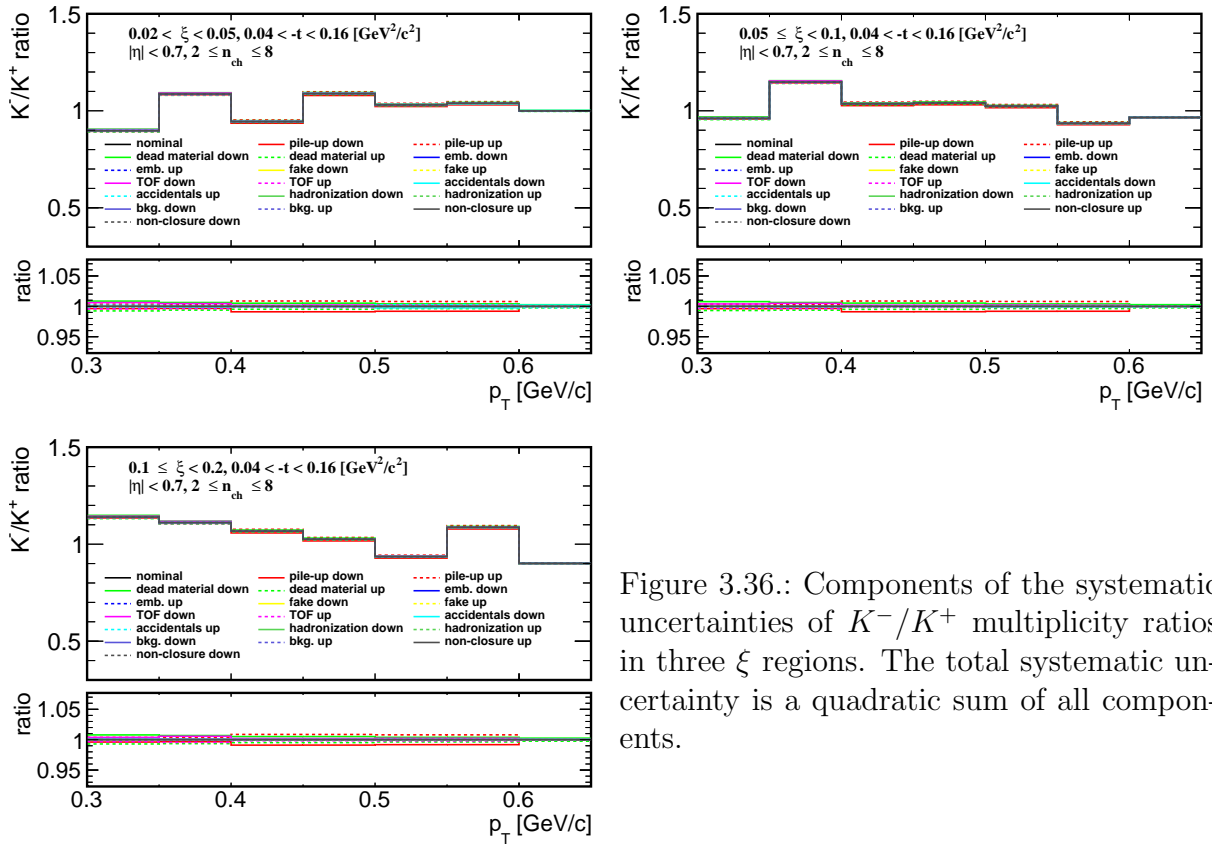


Figure 3.36.: Components of the systematic uncertainties of  $K^-/K^+$  multiplicity ratios in three  $\xi$  regions. The total systematic uncertainty is a quadratic sum of all components.

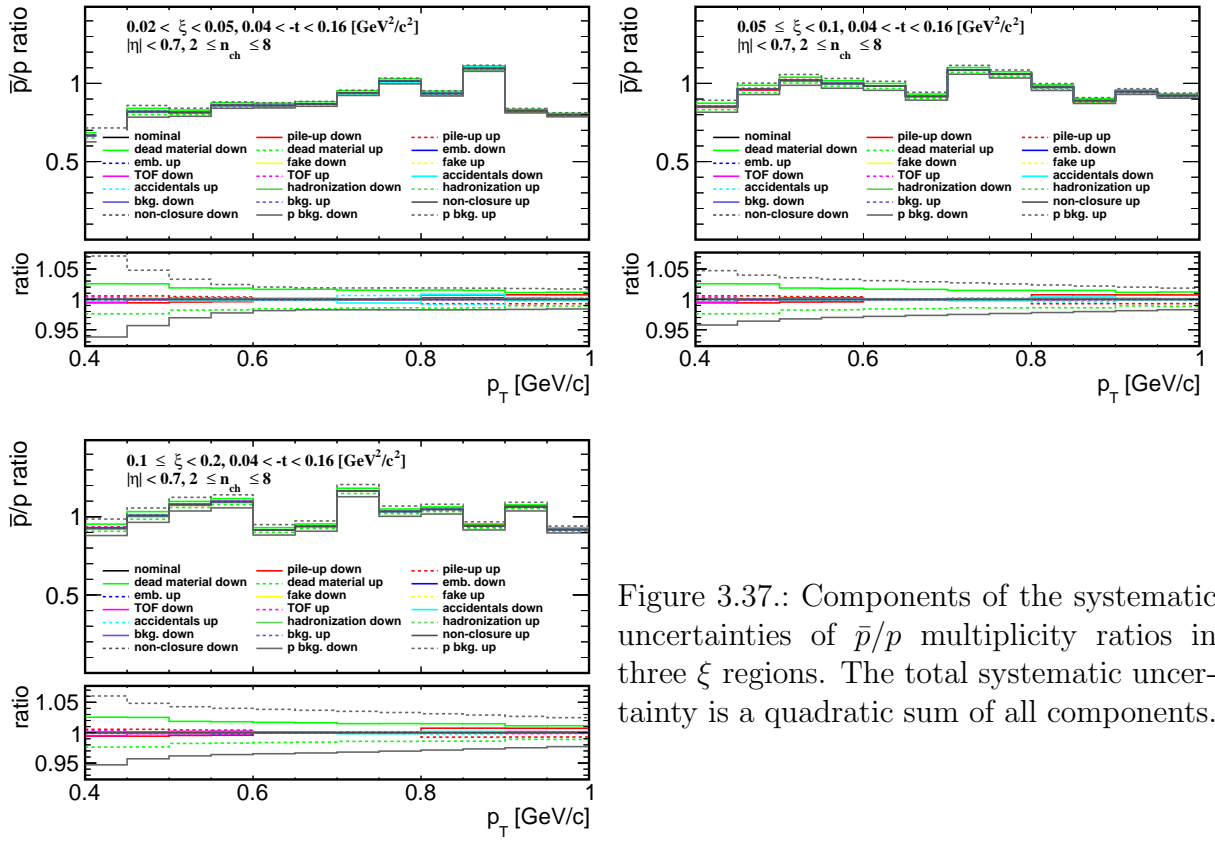


Figure 3.37.: Components of the systematic uncertainties of  $\bar{p}/p$  multiplicity ratios in three  $\xi$  regions. The total systematic uncertainty is a quadratic sum of all components.

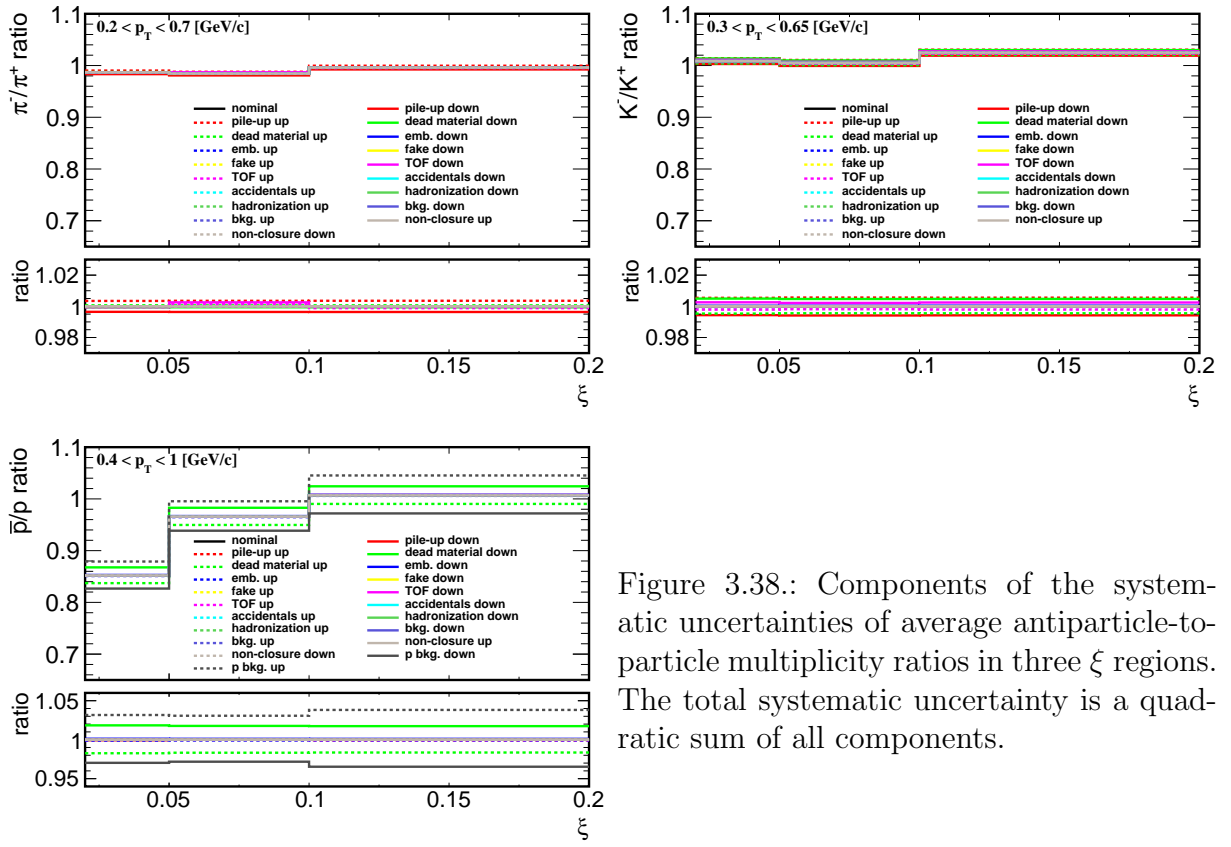


Figure 3.38.: Components of the systematic uncertainties of average antiparticle-to-particle multiplicity ratios in three  $\xi$  regions. The total systematic uncertainty is a quadratic sum of all components.

### 3.6. Results

In the following section, the final-state charged particle distributions are compared with various SD MC predictions, i.e.

- PYTHIA 8 4C (SaS),
- PYTHIA 8 A2 (MBR),
- PYTHIA 8 A2 (MBR-tuned): expectations obtained without arbitrary suppression of diffractive cross sections at relatively large  $\xi$ ,
- HERWIG 7,
- EPOS LHC separated in two classes: diffractive (EPOS-SD) modelled by Pomeron exchange and non-diffractive modelled by low mass excitation of the proton remnant (EPOS-SD').

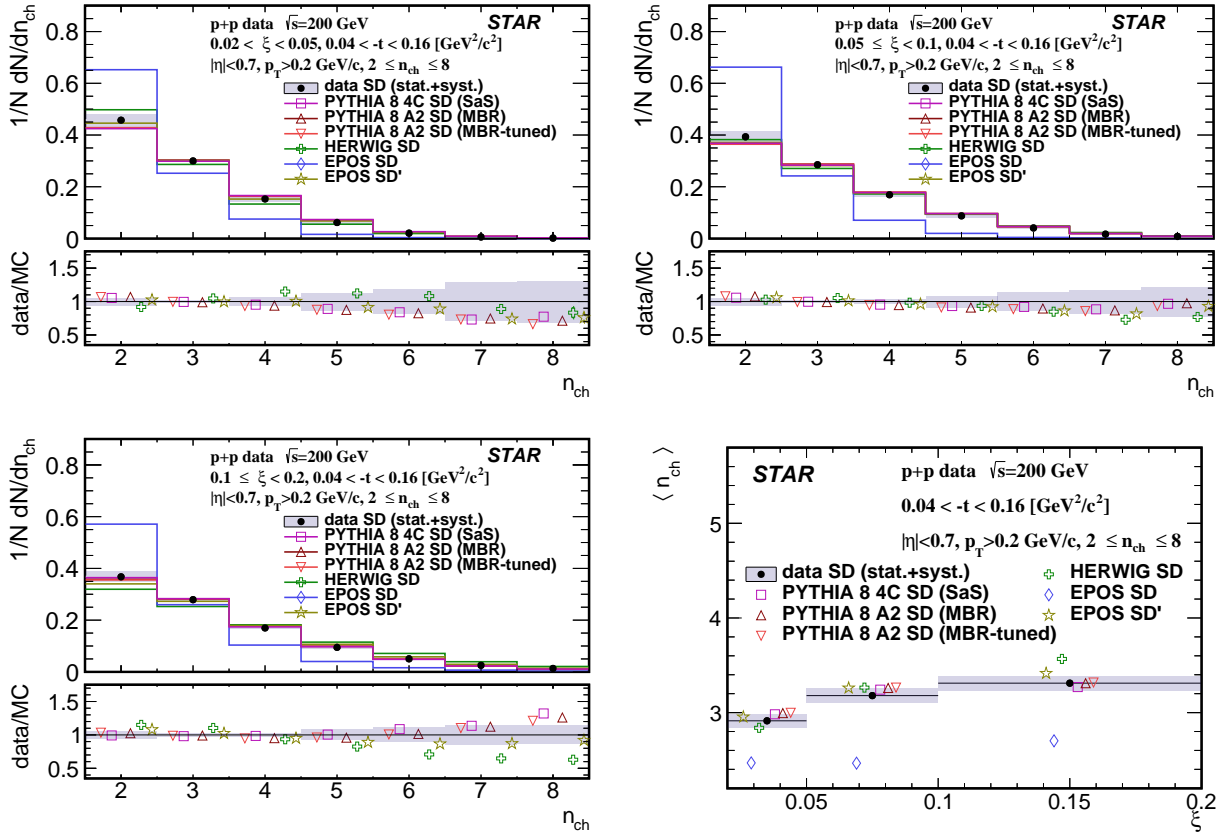


Figure 3.39.: Primary charged-particle multiplicity shown separately for three ranges of the  $\xi$ : (top left)  $0.02 < \xi < 0.05$ , (top right)  $0.05 < \xi < 0.1$ , (bottom left)  $0.1 < \xi < 0.2$  and (bottom right) the mean multiplicity  $\langle n_{ch} \rangle$  as a function of  $\xi$ .

In all figures, data are shown as solid points with error bars representing the statistical

uncertainties. Gray boxes represent statistical and systematic uncertainties added in quadrature. Predictions from MC models are shown as colour histograms and markers. The lower panel in each figure shows the ratio of data to the models predictions. All results are presented separately for three ranges of  $\xi$ :  $0.02 < \xi < 0.05$ ,  $0.05 < \xi < 0.1$ ,  $0.1 < \xi < 0.2$ .

Figure 3.39 shows primary charged-particle multiplicity separately for three ranges of the  $\xi$  and the mean multiplicity  $\langle n_{ch} \rangle$  as a function of  $\xi$ . Data show expected increase of the  $\langle n_{ch} \rangle$  with  $\xi$  due to the larger diffractive masses probed by increasing  $\xi$  in SD process. The shapes of the measured distributions are reproduced reasonably well by all models except EPOS-SD which predicts much smaller  $\langle n_{ch} \rangle$  in all three  $\xi$  ranges and HERWIG-SD which for  $0.1 < \xi < 0.2$  predicts too large  $\langle n_{ch} \rangle$ .

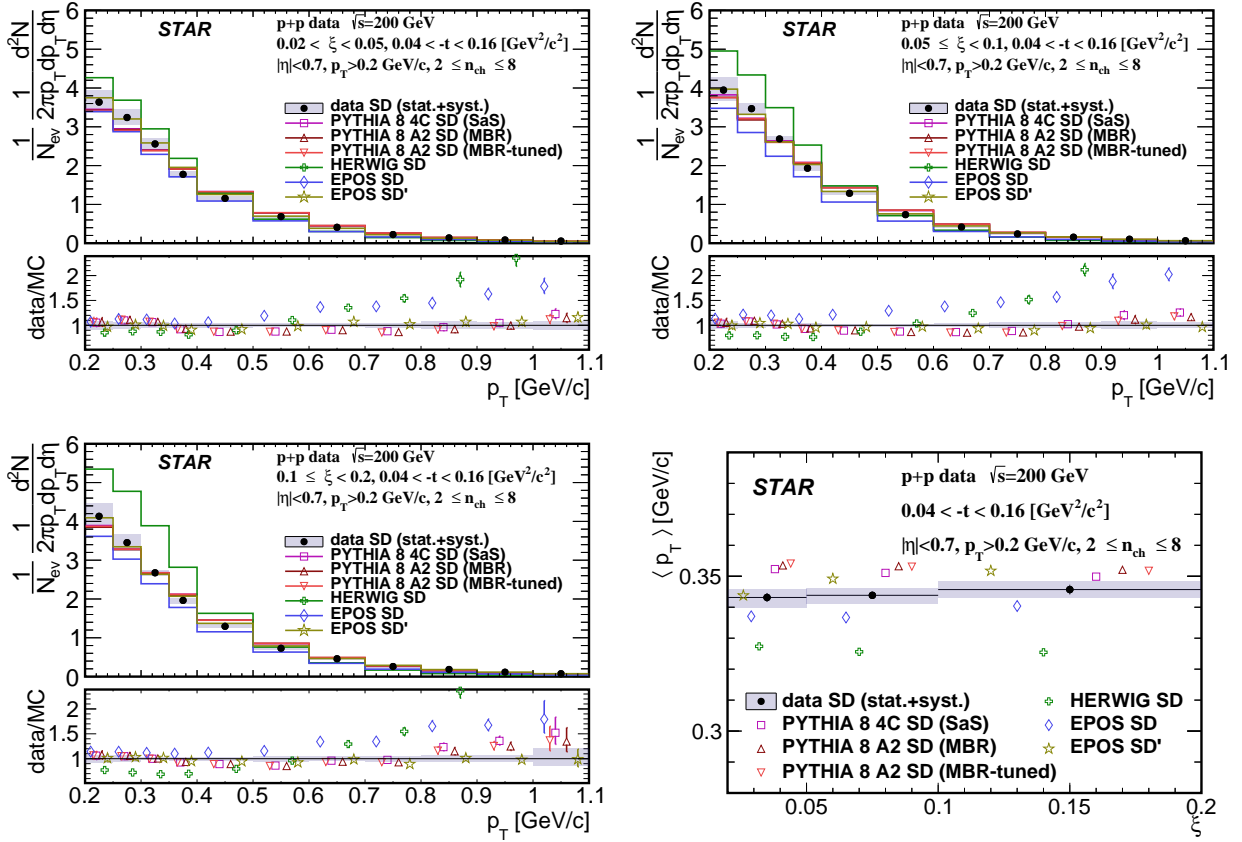


Figure 3.40.: Primary charged-particle multiplicities as a function of  $p_T$  shown separately for three ranges of the  $\xi$ : (top left)  $0.02 < \xi < 0.05$ , (top right)  $0.05 < \xi < 0.1$ , (bottom left)  $0.1 < \xi < 0.2$  and (bottom right) the mean transverse momenta  $\langle p_T \rangle$  as a function of  $\xi$ .

Figure 3.40 shows primary charged-particle multiplicities as a function of  $p_T$  separately for three ranges of the  $\xi$  and the mean transverse momenta  $\langle p_T \rangle$  as a function of  $\xi$ . Data show that  $\langle p_T \rangle$  depends very weakly on  $\xi$ . Models describe data fairly well except HERWIG-SD which predicts much steeper dependence of particle density with  $p_T$  in all three  $\xi$  ranges and EPOS-SD which significantly underestimates particle density especially at large  $p_T$ .

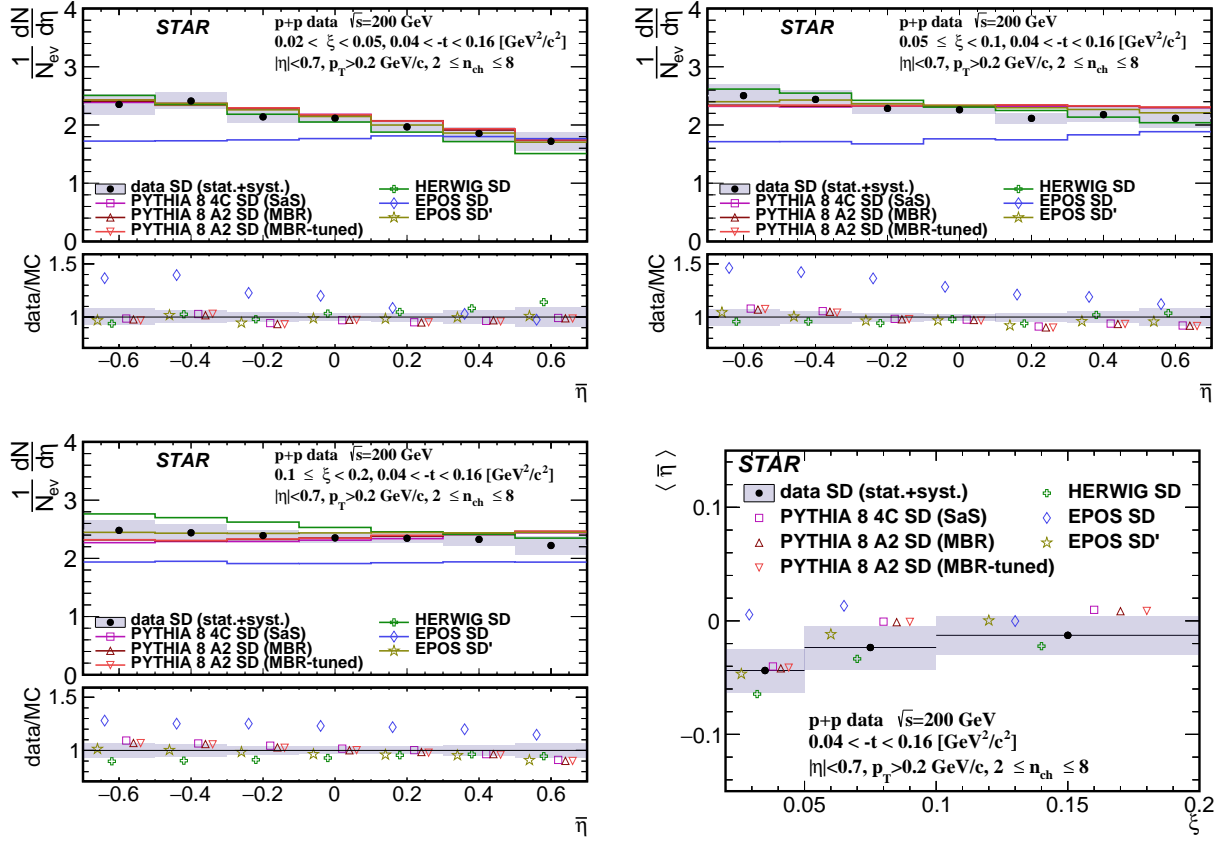


Figure 3.41.: Primary charged-particle multiplicity as a function of  $\bar{\eta}$  shown separately for three ranges of the  $\xi$ : (top left)  $0.02 < \xi < 0.05$ , (top right)  $0.05 < \xi < 0.1$ , (bottom left)  $0.1 < \xi < 0.2$  and (bottom right) the mean pseudorapidity  $\langle \bar{\eta} \rangle$  as a function of  $\xi$ .

Figure 3.41 shows primary charged-particle multiplicity as a function of  $\bar{\eta}$  separately for three ranges of the  $\xi$  and the mean pseudorapidity  $\langle \bar{\eta} \rangle$  as a function of  $\xi$ . Data show expected flattening of the  $\bar{\eta}$  distribution with increasing  $\xi$  which reflects SD event-asymmetry and fact that gap-edge at large  $\xi$  is outside  $|\bar{\eta}| < 0.7$  region leading to more flat distribution of particle density as a function of  $\bar{\eta}$ . Models describes data fairly well except EPOS-SD which predicts flat  $\bar{\eta}$  distributions in all three  $\xi$  ranges.

Figure 3.42 shows ratio of production yields of  $\pi^-/\pi^+$  as a function of  $p_T$  separately for three ranges of the  $\xi$ . Data in all three  $\xi$  ranges are consistent with equal amounts of  $\pi^+$  and  $\pi^-$  with no  $p_T$  dependence. Models agree with data (except HERWIG) predicting on average small deviation from unity by  $\sim 2\%$  what is smaller than data uncertainties. HERWIG in first two  $\xi$  ranges predicts too large asymmetry between  $\pi^+$  and  $\pi^-$ .

Figure 3.43 shows ratio of production yields of  $K^-/K^+$  as a function of  $p_T$  separately for three ranges of the  $\xi$ . Data in all three  $\xi$  ranges are consistent with equal amounts of  $K^+$  and  $K^-$  with no  $p_T$  dependence. Models agree with data except HERWIG in first  $\xi$  range predicting too large ratio of  $K^-$  to  $K^+$ . Figure 3.44 shows ratio of production yields of

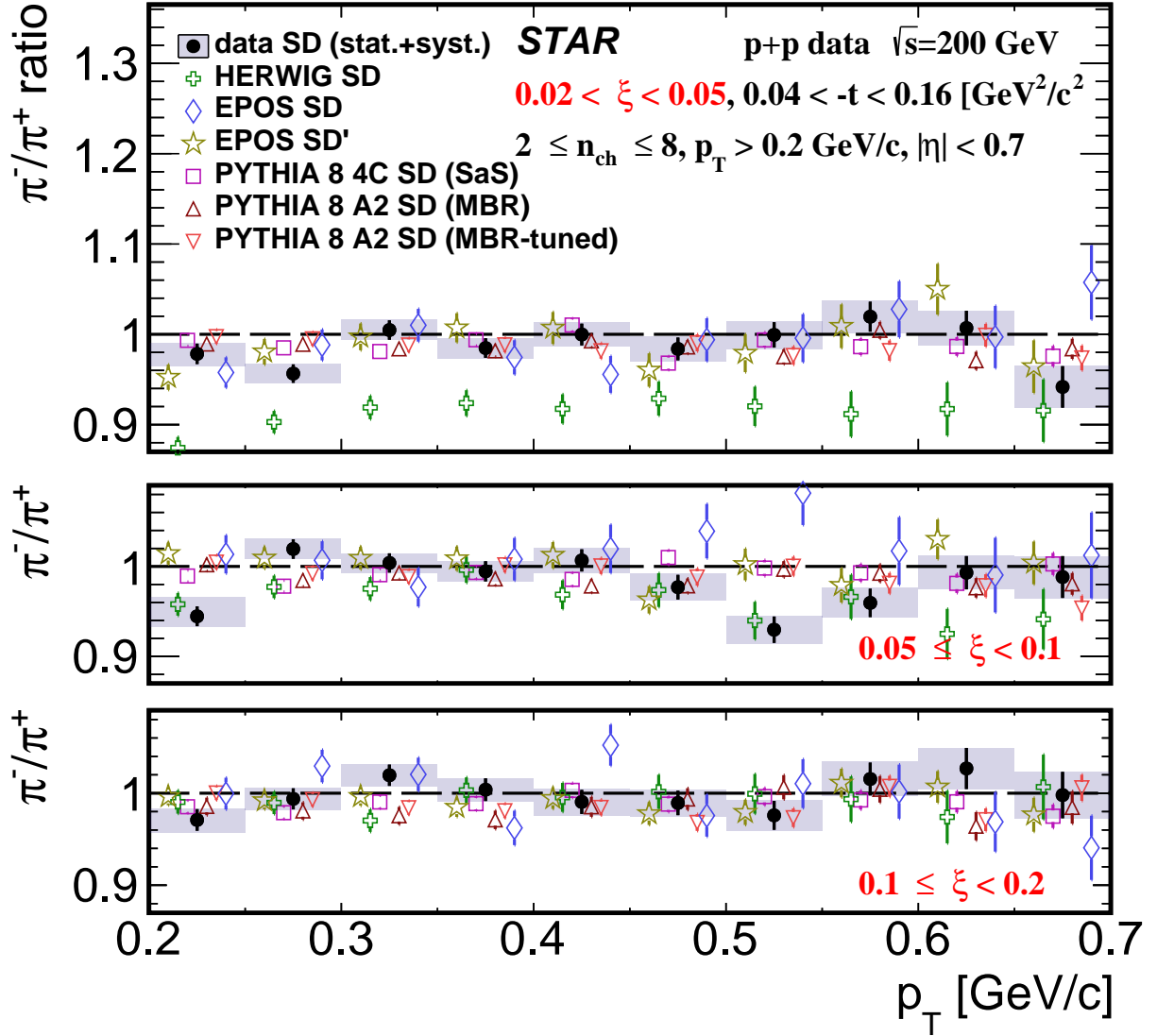


Figure 3.42.: Ratio of production yields of  $\pi^-/\pi^+$  as a function of  $p_T$  shown separately for three ranges of the  $\xi$ : (top)  $0.02 < \xi < 0.05$ , (middle)  $0.05 < \xi < 0.1$ , (bottom)  $0.1 < \xi < 0.2$ .

$\bar{p}/p$  as a function of  $p_T$  separately for three ranges of the  $\xi$ . Data in last two  $\xi$  ranges are consistent with equal amounts of  $p$  and  $\bar{p}$  with no  $p_T$  dependence. However, in first  $\xi$  range at  $p_T < 0.7$  GeV/c data show significant deviation from unity indicating significant transfer of the baryon number from the forward to the central region. PYTHIA8 and EPOS-SD' agree with data in the last two  $\xi$  ranges. In first  $\xi$  range they predict small deviation from unity by  $\sim 5\%$  which is smaller than observed in data. HERWIG and EPOS-SD predict much larger baryon number transfer compared to data in first two  $\xi$  ranges and show consistency with data in last  $\xi$  range.

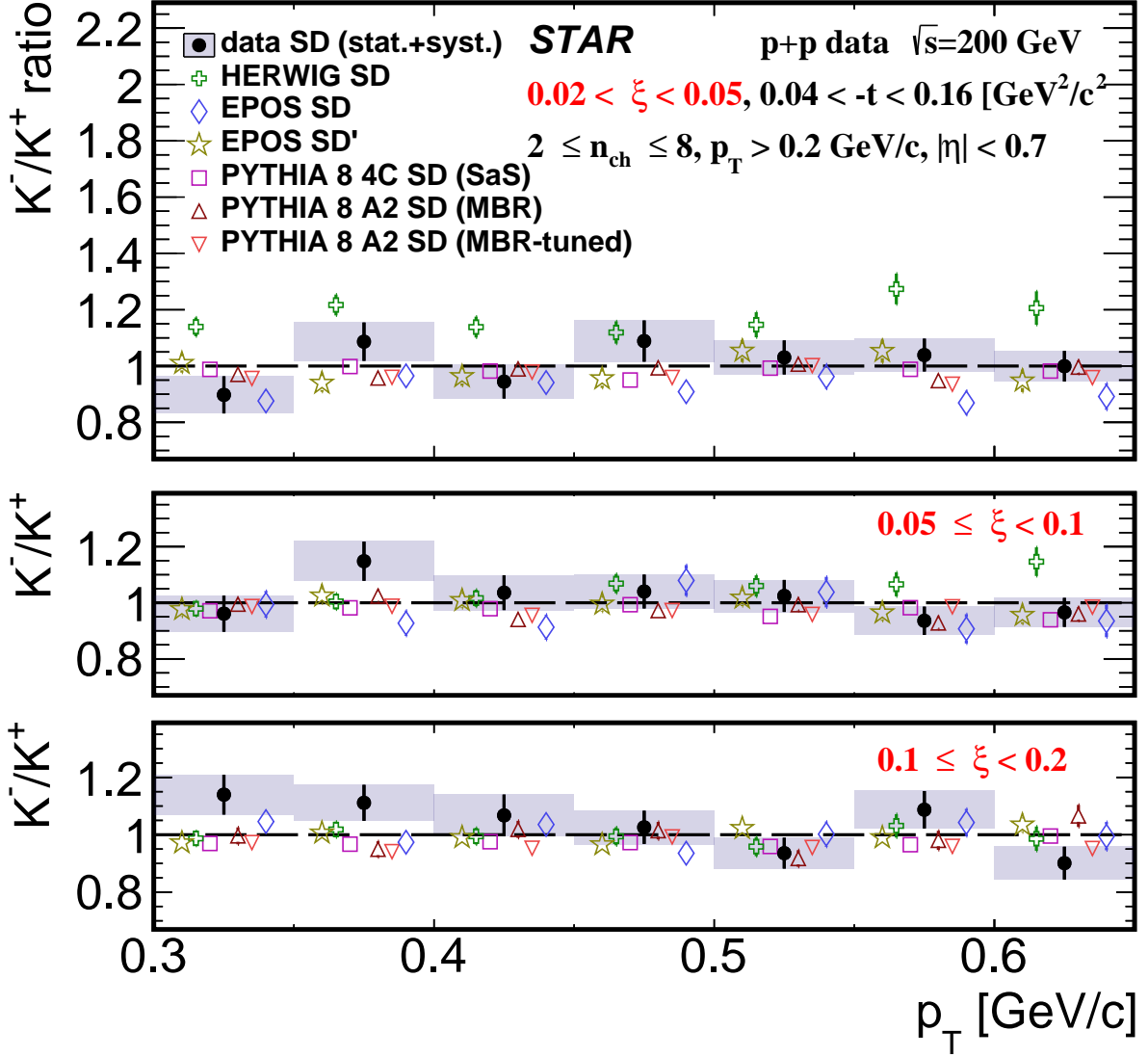


Figure 3.43.: Ratio of production yields of  $K^-/K^+$  as a function of  $p_T$  shown separately for three ranges of the  $\xi$ : (top)  $0.02 < \xi < 0.05$ , (middle)  $0.05 < \xi < 0.1$ , (bottom)  $0.1 < \xi < 0.2$ .

Figure 3.45 shows mean ratio of production yields of  $\pi^-/\pi^+$ ,  $K^-/K^+$  and  $\bar{p}/p$  as a function of  $\xi$ .



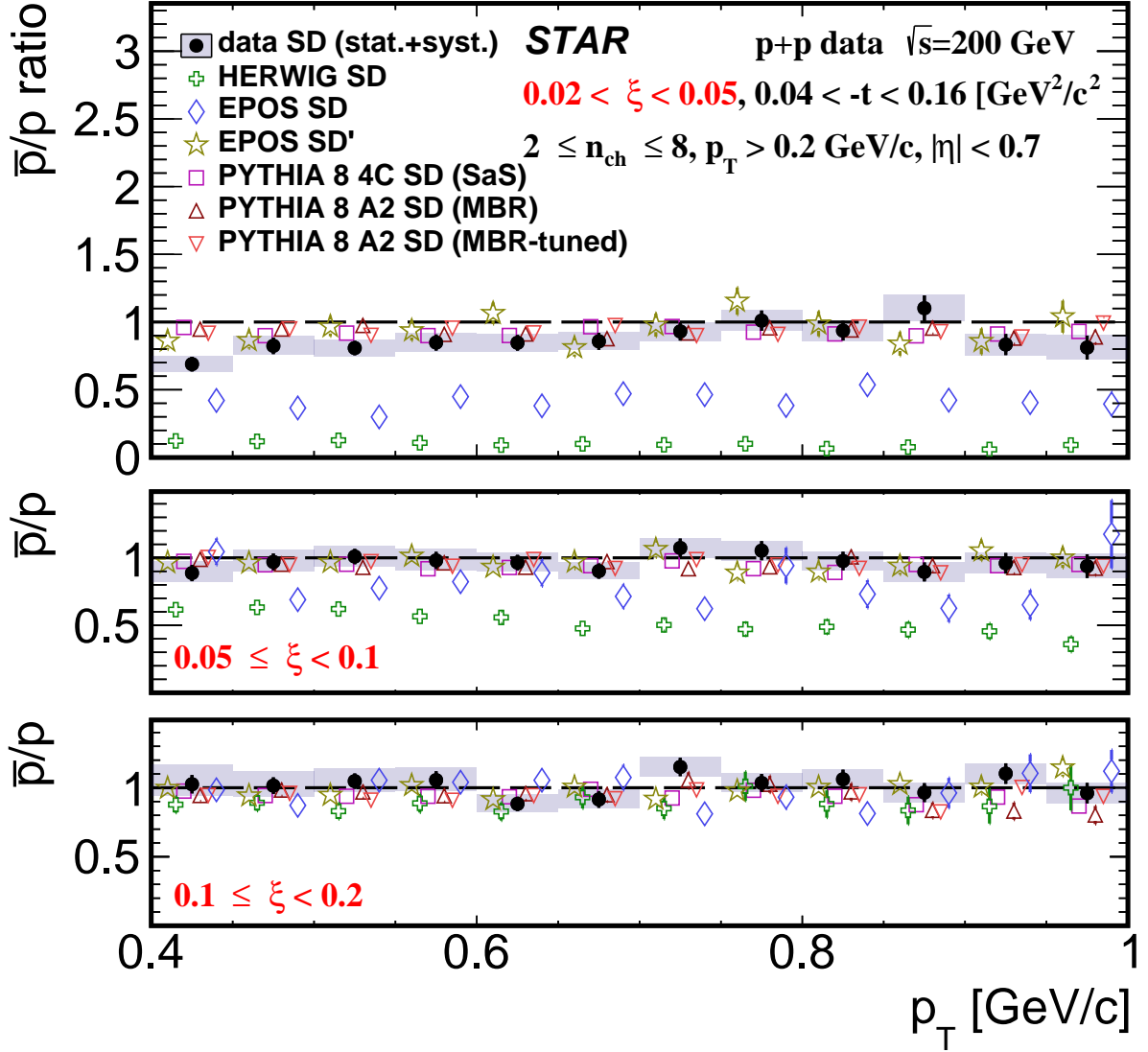


Figure 3.44.: Ratio of production yields of  $\bar{p}/p$  as a function of  $p_T$  shown separately for three ranges of the  $\xi$ : (top)  $0.02 < \xi < 0.05$ , (middle)  $0.05 < \xi < 0.1$ , (bottom)  $0.1 < \xi < 0.2$ .

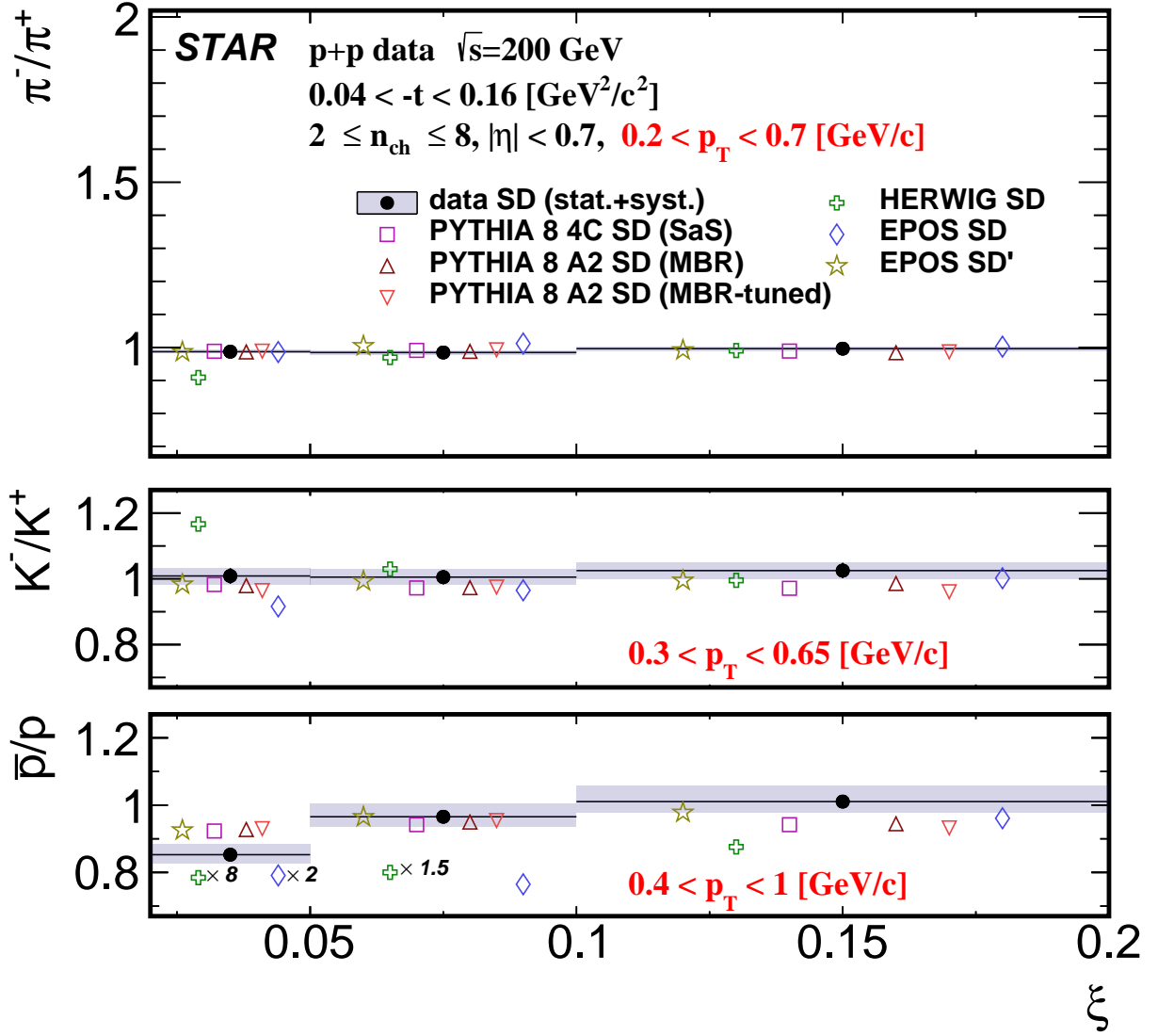


Figure 3.45.: Ratio of production yields of  $\pi^-/\pi^+$ ,  $K^-/K^+$  and  $\bar{p}/p$  as a function of  $\xi$ .

## Chapter 4.

# Summary and Conclusions

Inclusive and identified (pion, kaon, proton and their antiparticles) charged particle production in Single Diffractive Dissociation process has been measured in proton-proton collisions at  $\sqrt{s} = 200$  GeV with the STAR detector at RHIC using data corresponding to an integrated luminosity of  $15 \text{ nb}^{-1}$ .

Significant differences are observed between the measured distributions of  $\xi$  and Monte Carlo model predictions. Amongst the models considered EPOS and PYTHIA8 (MBR) without suppression of diffractive cross sections at large  $\xi$  provide the best description of the data.

Primary-charged-particle multiplicity and its density as a function of pseudorapidity and transverse momentum are well described by PYTHIA8 and EPOS-SD' models. EPOS-SD and HERWIG do not describe the data.

$\pi^-/\pi^+$  and  $K^-/K^+$  production ratios are close to unity and consistent with most of model predictions except for EPOS-SD and HERWIG.

$\bar{p}/p$  production ratio shows a significant deviation from unity in the  $0.02 < \xi < 0.05$  range indicating a non-negligible transfer of the baryon number from the forward to the central region. Equal amount of protons and antiprotons are observed in the  $\xi > 0.05$  range. PYTHIA8 and EPOS-SD' agree with data for  $\xi > 0.05$ . For  $0.02 < \xi < 0.05$  they predict small deviations from unity (0.93) which is however higher than observed in data ( $0.86 \pm 0.02$ ). HERWIG and EPOS-SD predict much larger baryon number transfers compared to data for  $\xi < 0.1$  and show consistency with data for  $\xi > 0.1$ .

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# Appendices

## **Appendix A.**

### **Proton and Antiproton DCA Distributions**

## Appendix A. Proton and Antiproton DCA Distributions

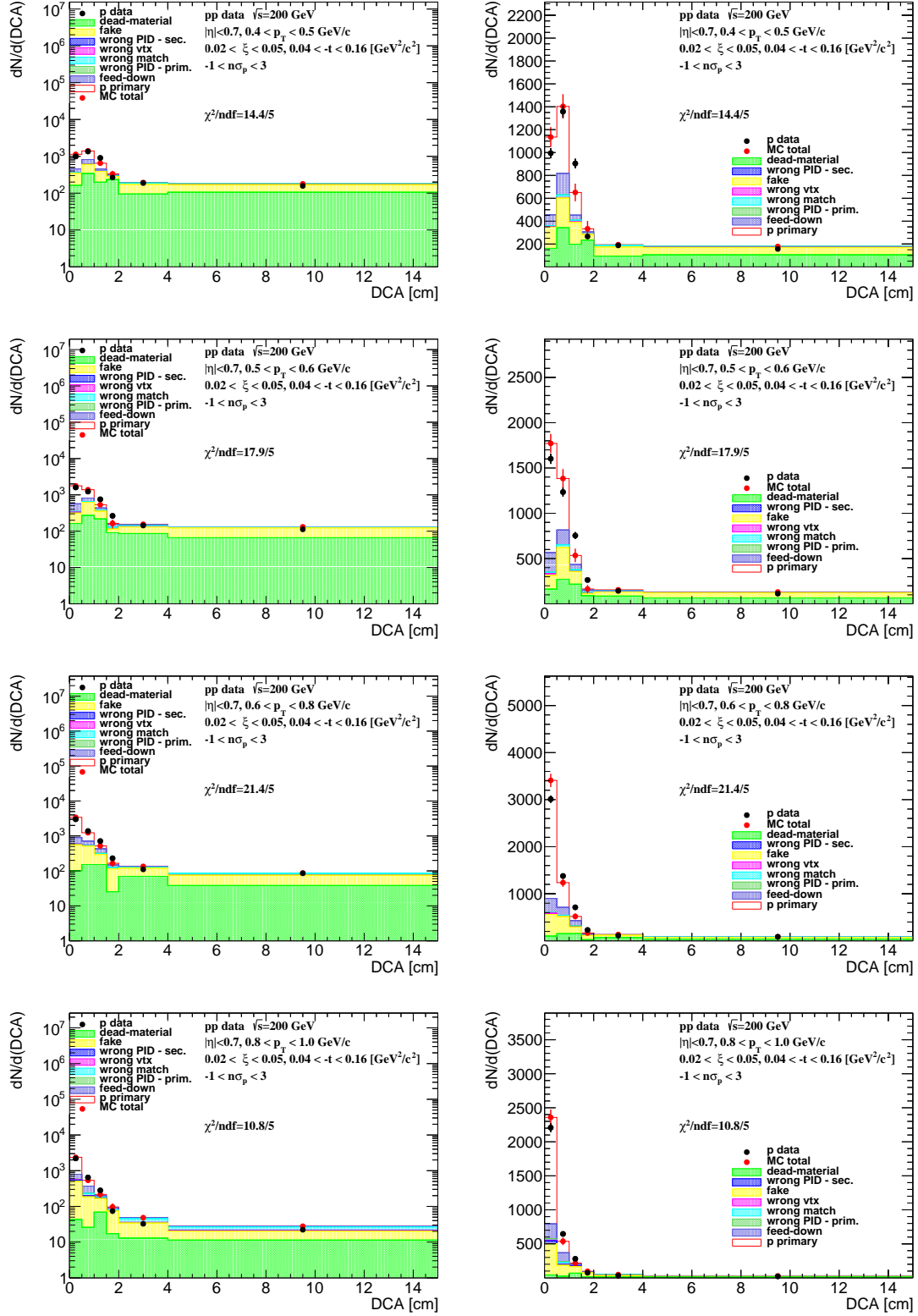


Figure A.1.: Distributions of DCA for protons in SD interactions with  $0.02 < \xi < 0.05$  and loose selection.



## Appendix A. Proton and Antiproton DCA Distributions

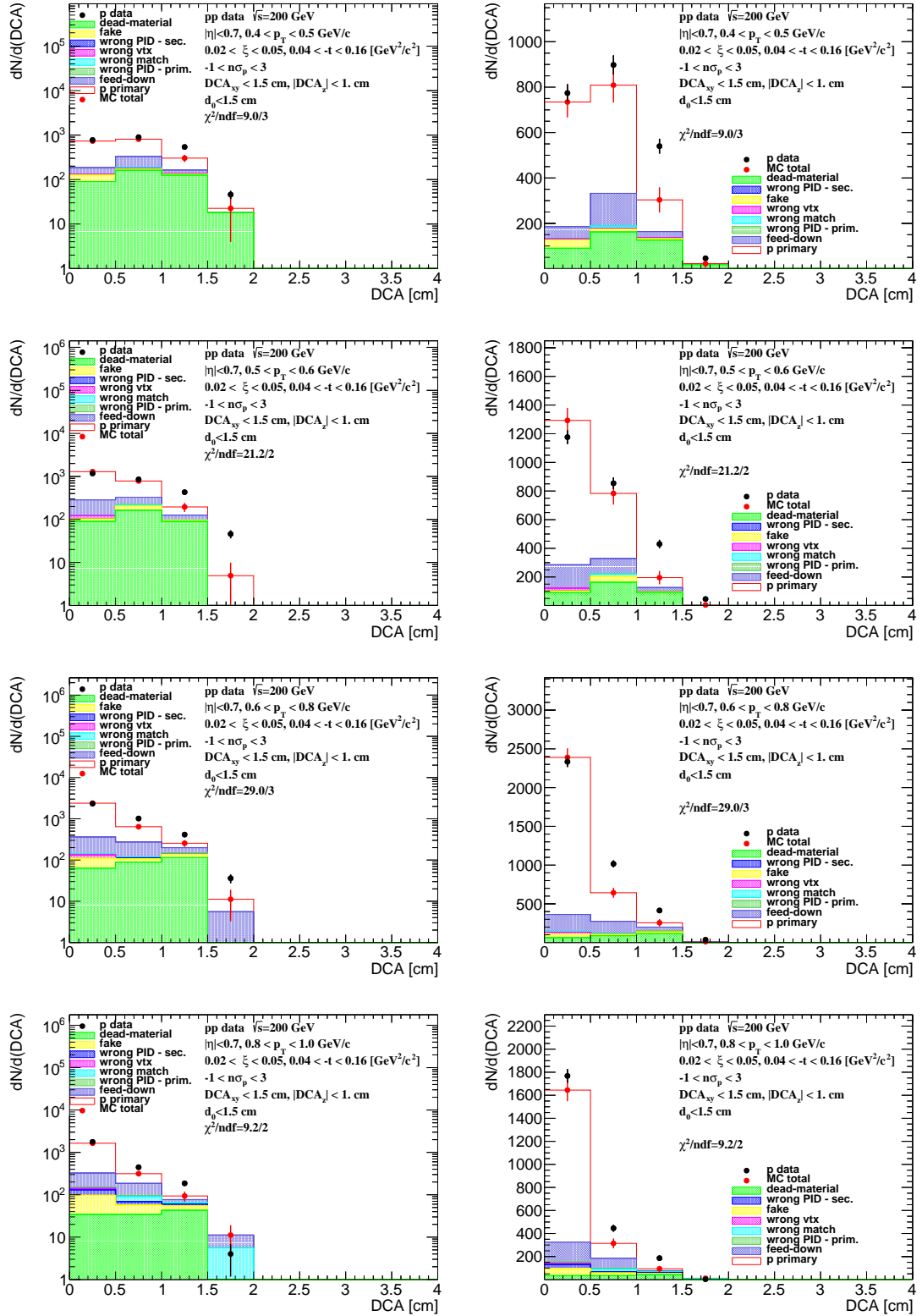


Figure A.2.: Distributions of DCA for protons in SD interactions with  $0.02 < \xi < 0.05$  and normal selection.

## Appendix A. Proton and Antiproton DCA Distributions

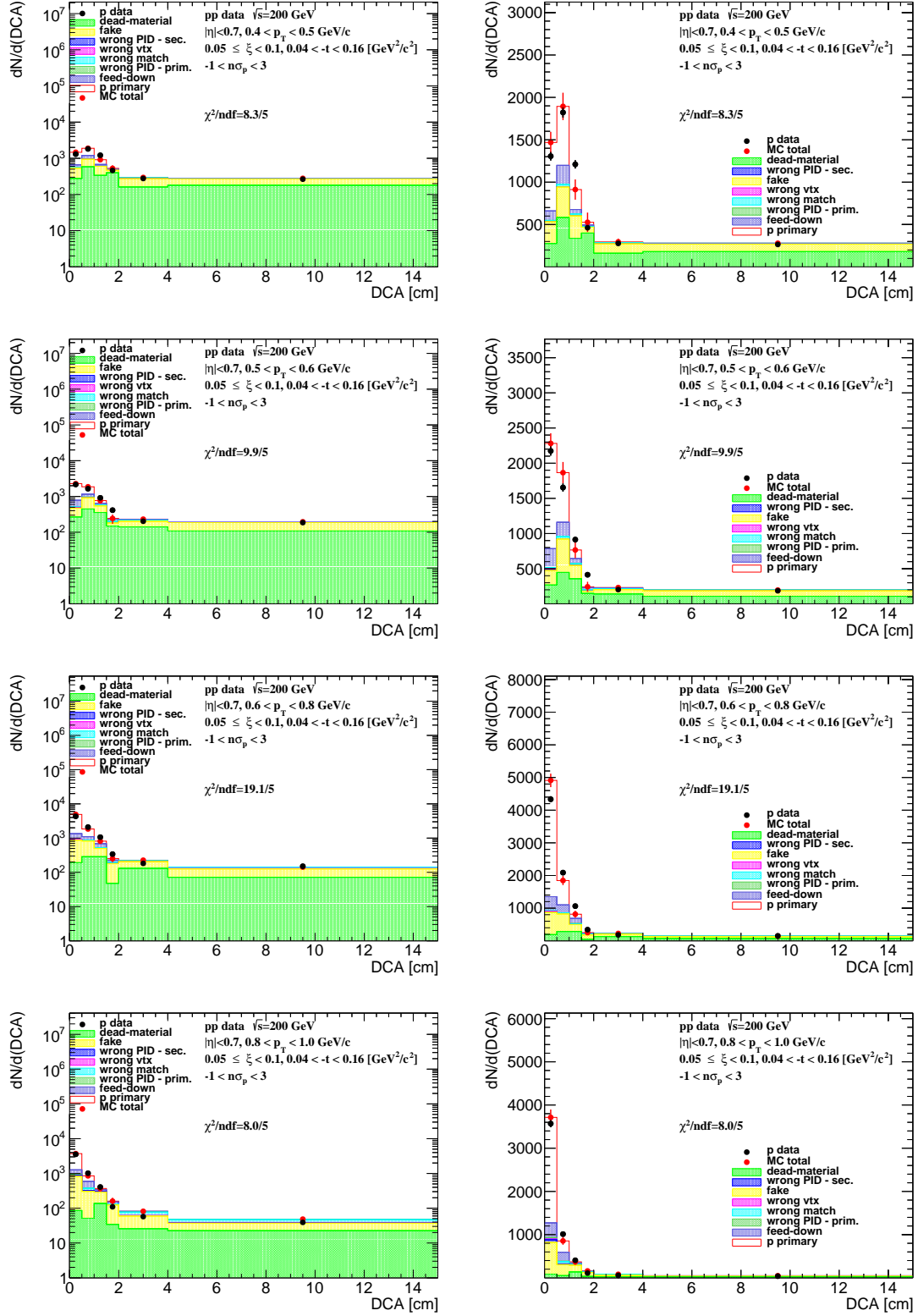


Figure A.3.: Distributions of DCA for protons in SD interactions with  $0.05 < \xi < 0.1$  and loose selection.

## Appendix A. Proton and Antiproton DCA Distributions

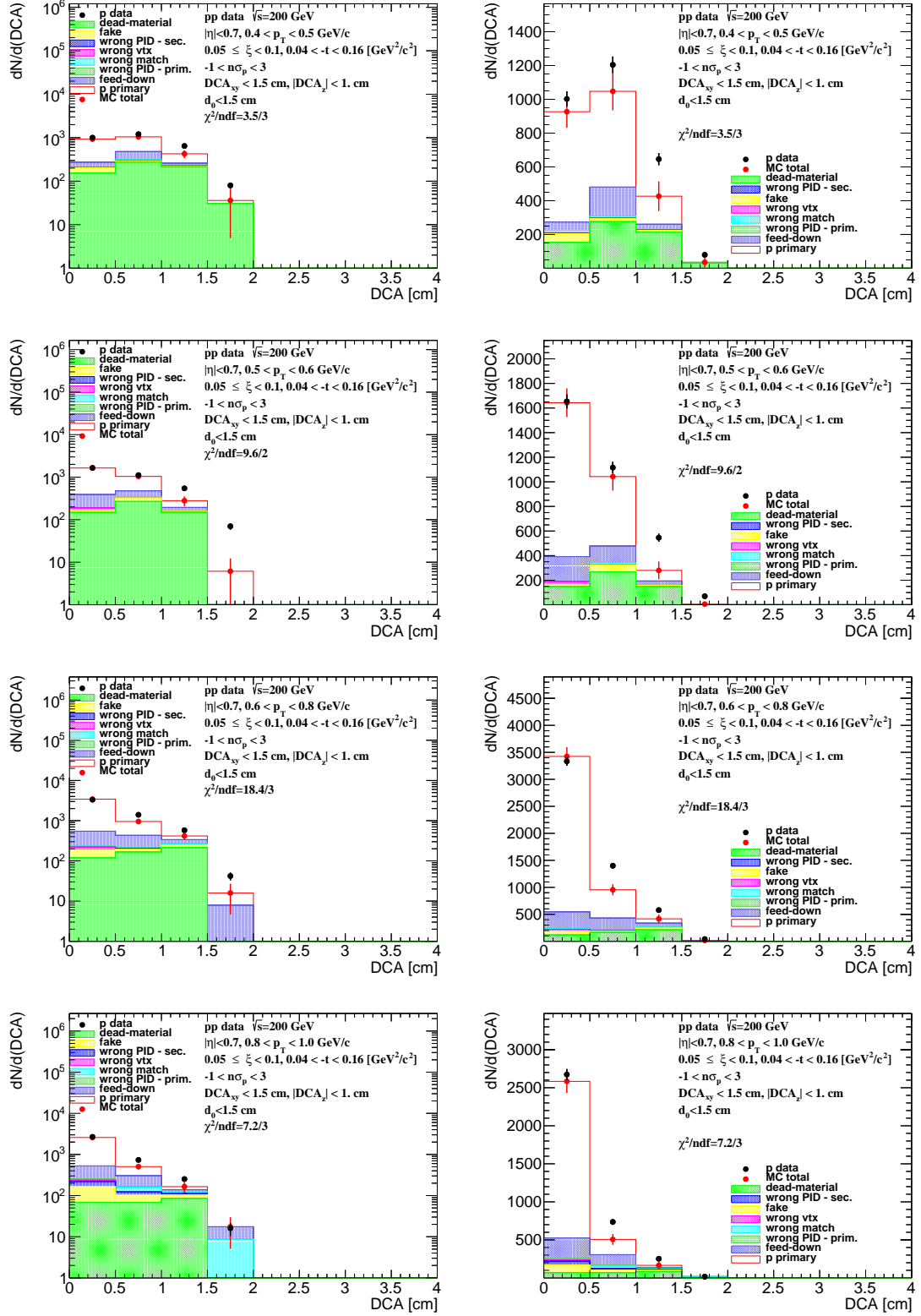


Figure A.4.: Distributions of DCA for protons in SD interactions with  $0.05 < \xi < 0.1$  and normal selection.

## Appendix A. Proton and Antiproton DCA Distributions

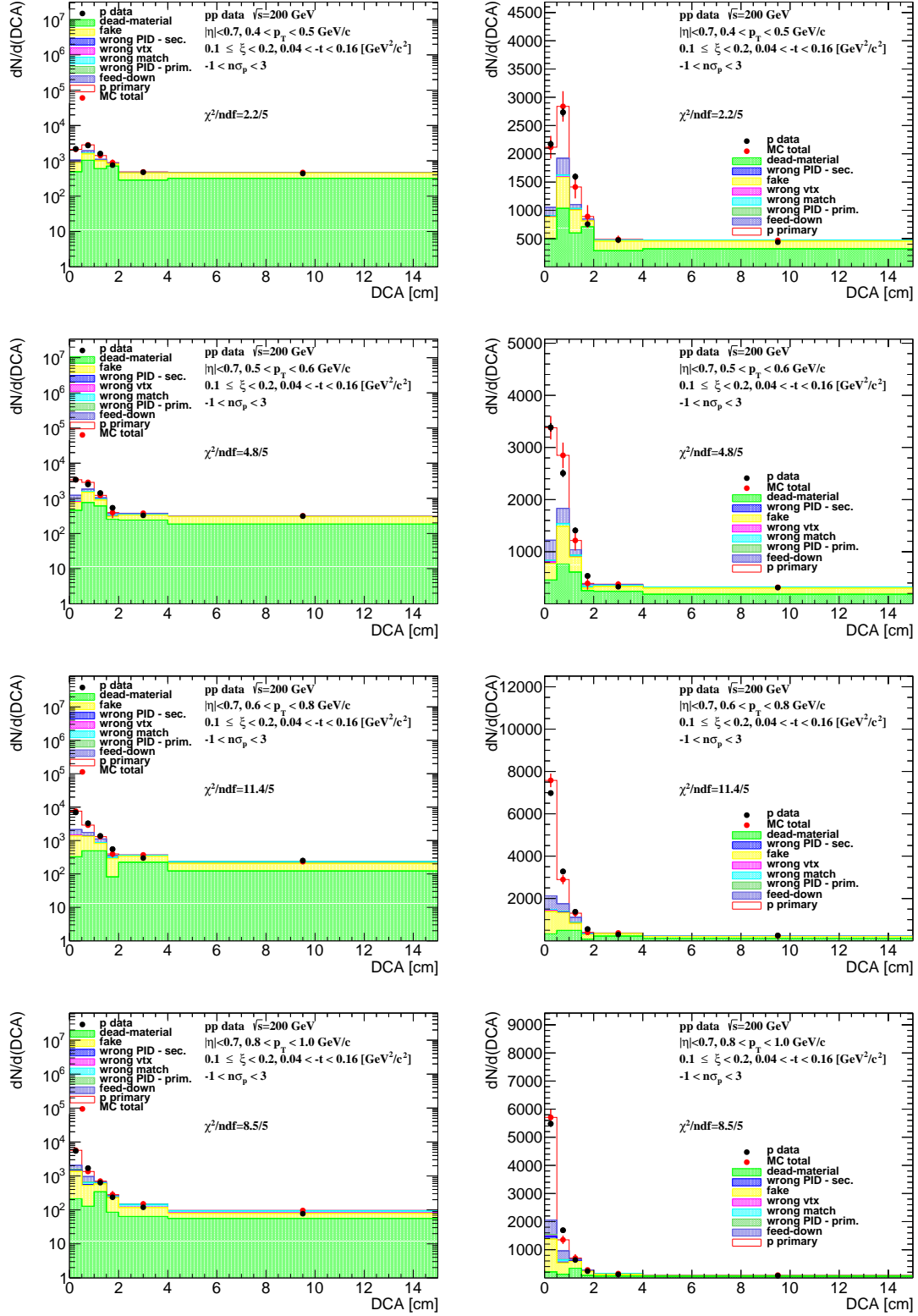


Figure A.5.: Distributions of DCA for protons in SD interactions with  $0.1 < \xi < 0.2$  and loose selection.

## Appendix A. Proton and Antiproton DCA Distributions

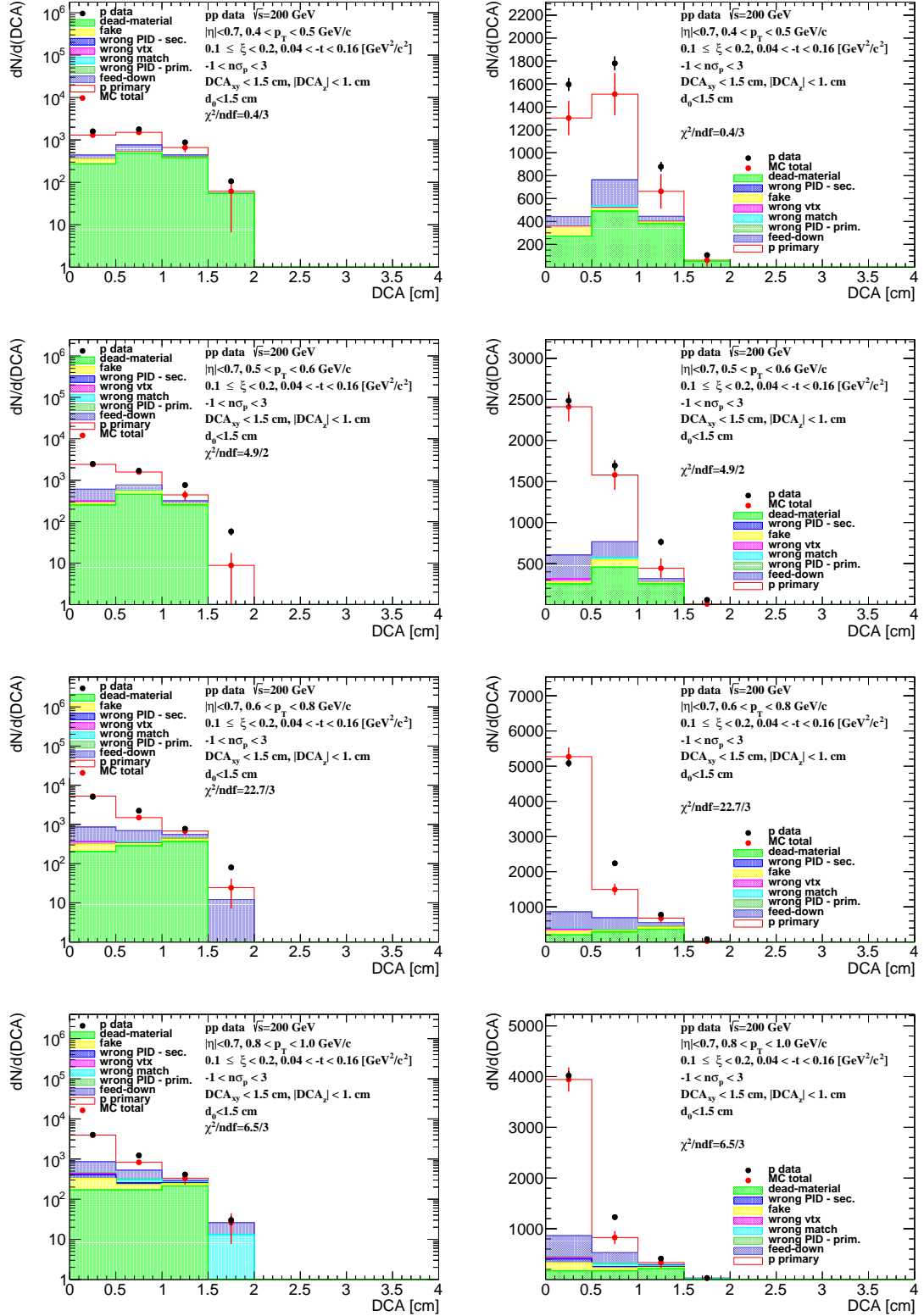


Figure A.6.: Distributions of DCA for protons in SD interactions with  $0.1 < \xi < 0.2$  and normal selection.

## Appendix A. Proton and Antiproton DCA Distributions

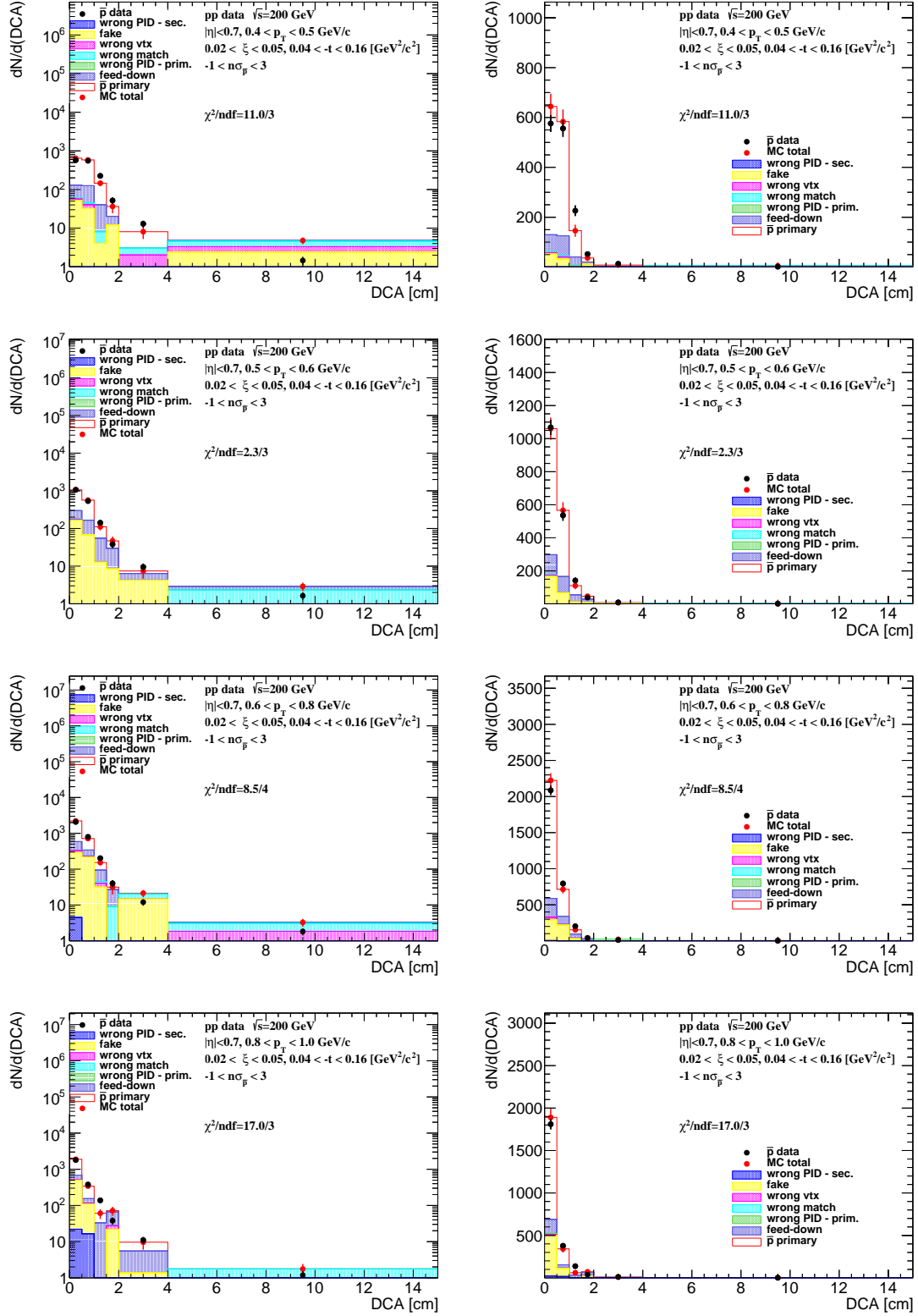


Figure A.7.: Distributions of DCA for antiprotons in SD interactions with  $0.02 < \xi < 0.05$  and loose selection.

## Appendix A. Proton and Antiproton DCA Distributions

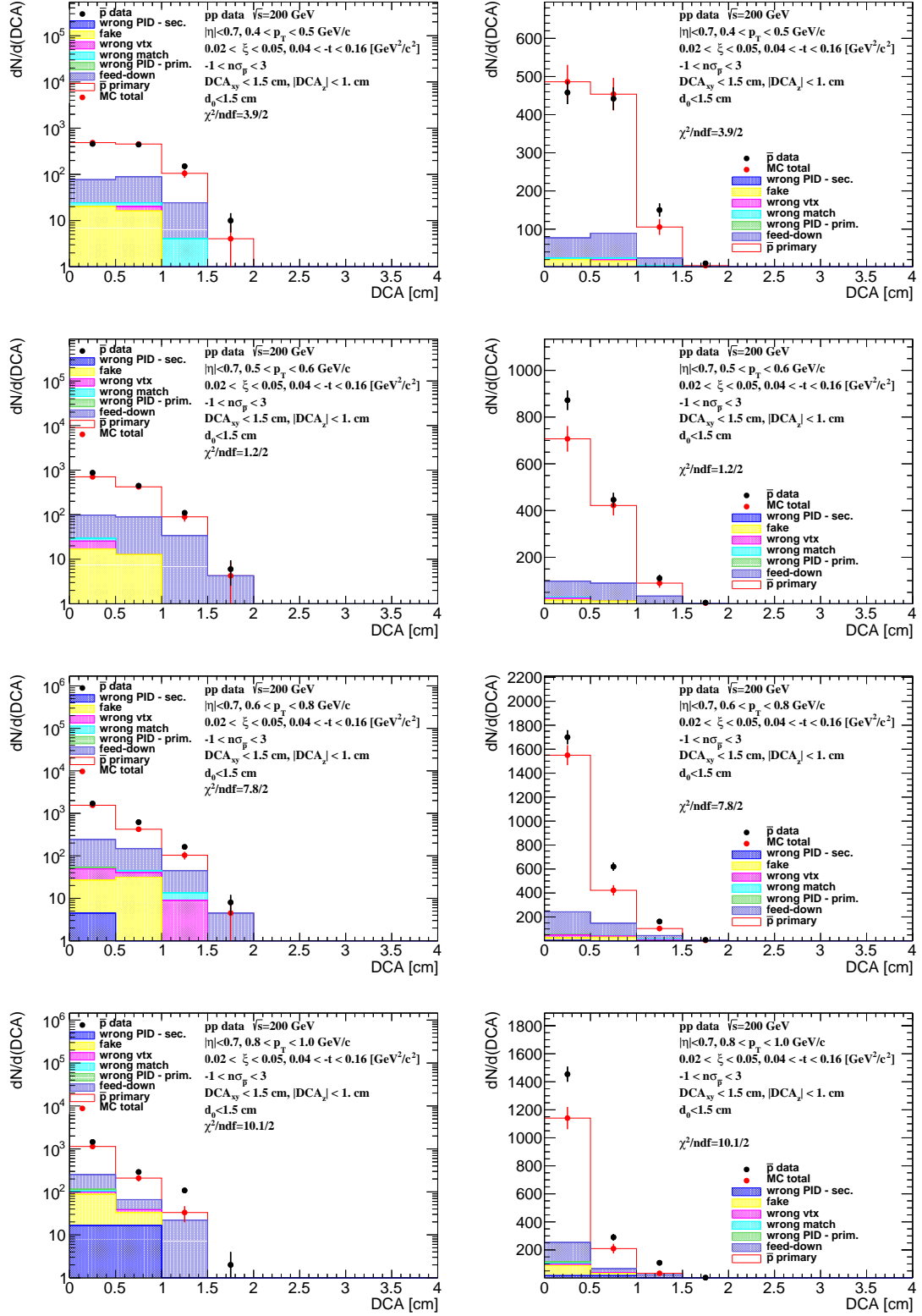


Figure A.8.: Distributions of DCA for antiprotons in SD interactions with  $0.02 < \xi < 0.05$  and normal selection.



## Appendix A. Proton and Antiproton DCA Distributions

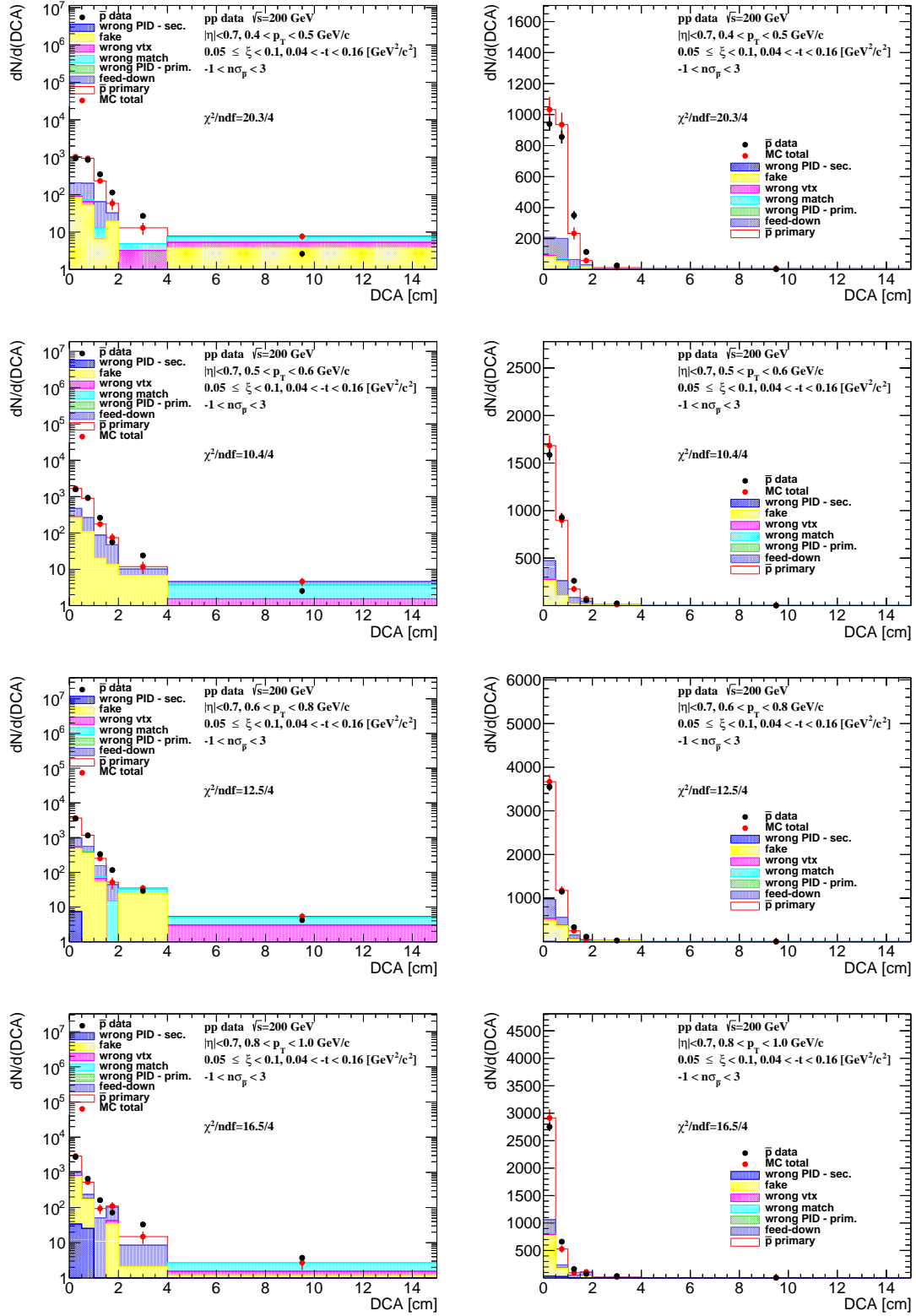


Figure A.9.: Distributions of DCA for antiprotons in SD interactions with  $0.05 < \xi < 0.1$  and loose selection.



## Appendix A. Proton and Antiproton DCA Distributions

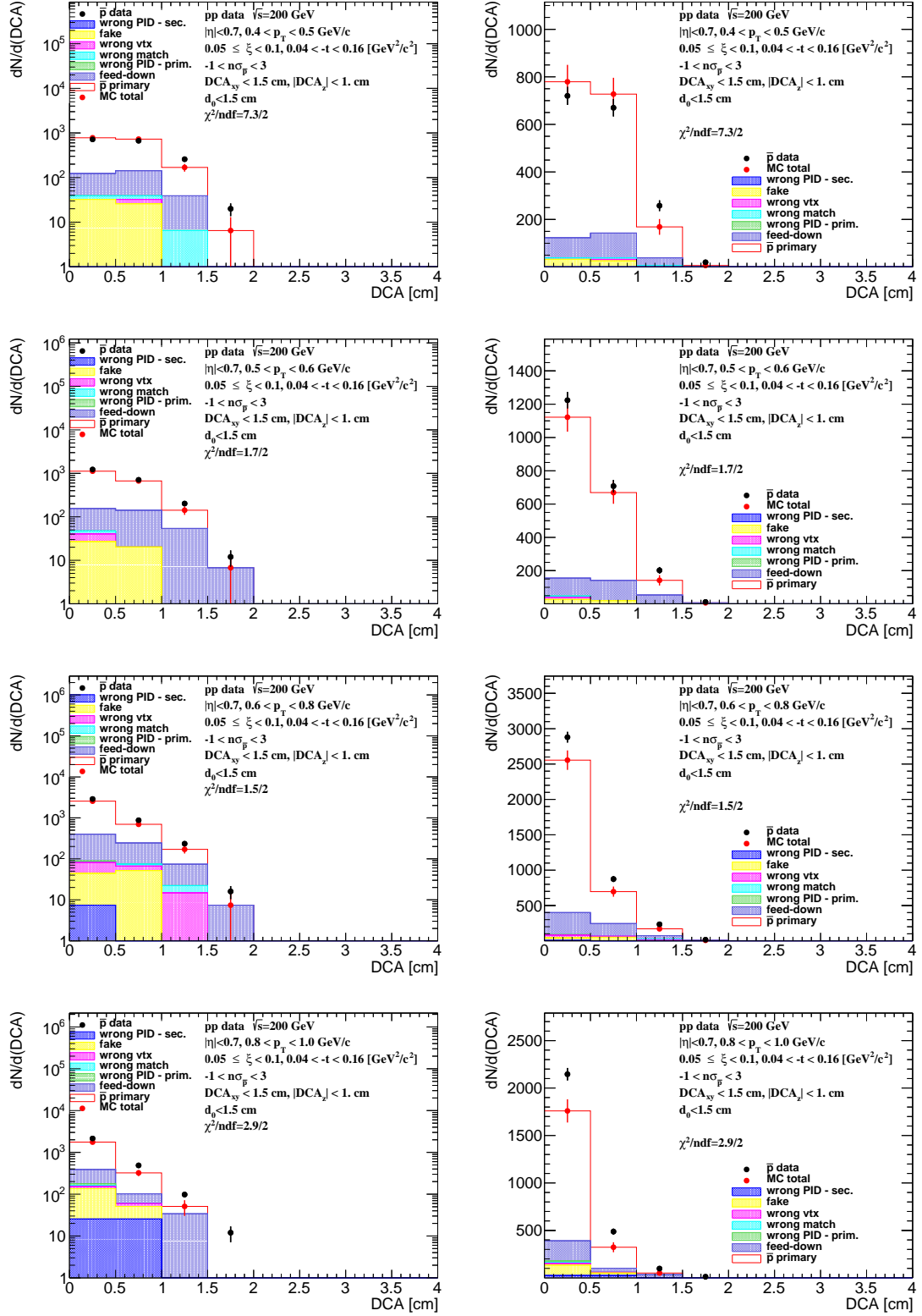


Figure A.10.: Distributions of DCA for antiprotons in SD interactions with  $0.05 < \xi < 0.1$  and normal selection.

## Appendix A. Proton and Antiproton DCA Distributions

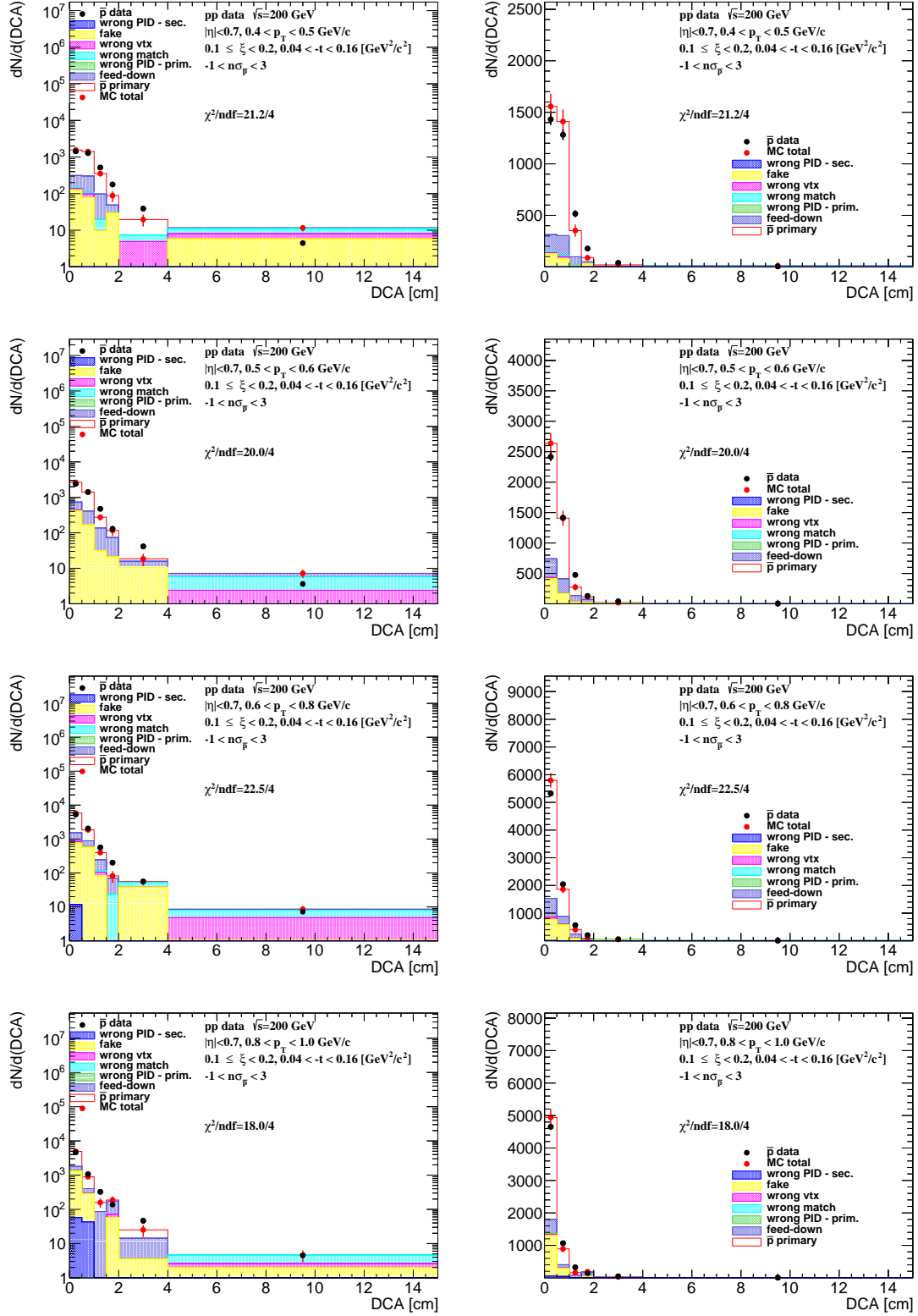


Figure A.11.: Distributions of DCA for antiprotons in SD interactions with  $0.1 < \xi < 0.2$  and loose selection.

## Appendix A. Proton and Antiproton DCA Distributions

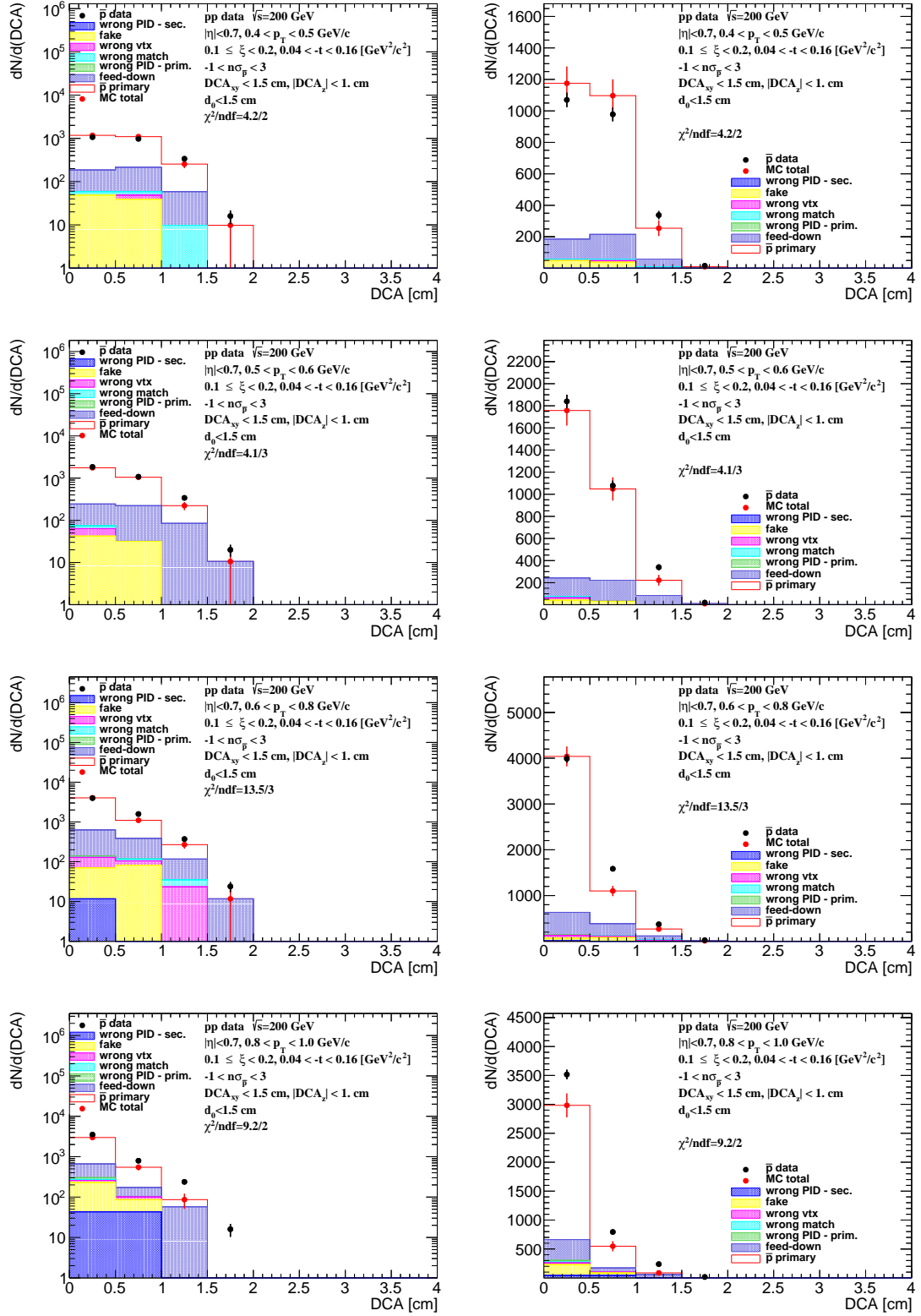


Figure A.12.: Distributions of DCA for antiprotons in SD interactions with  $0.1 < \xi < 0.2$  and normal selection.

## **Appendix B.**

### **Distributions of $n\sigma_{dE/dx}^i$ in SD**

Appendix B. Distributions of  $n\sigma_{dE/dx}^i$  in SD

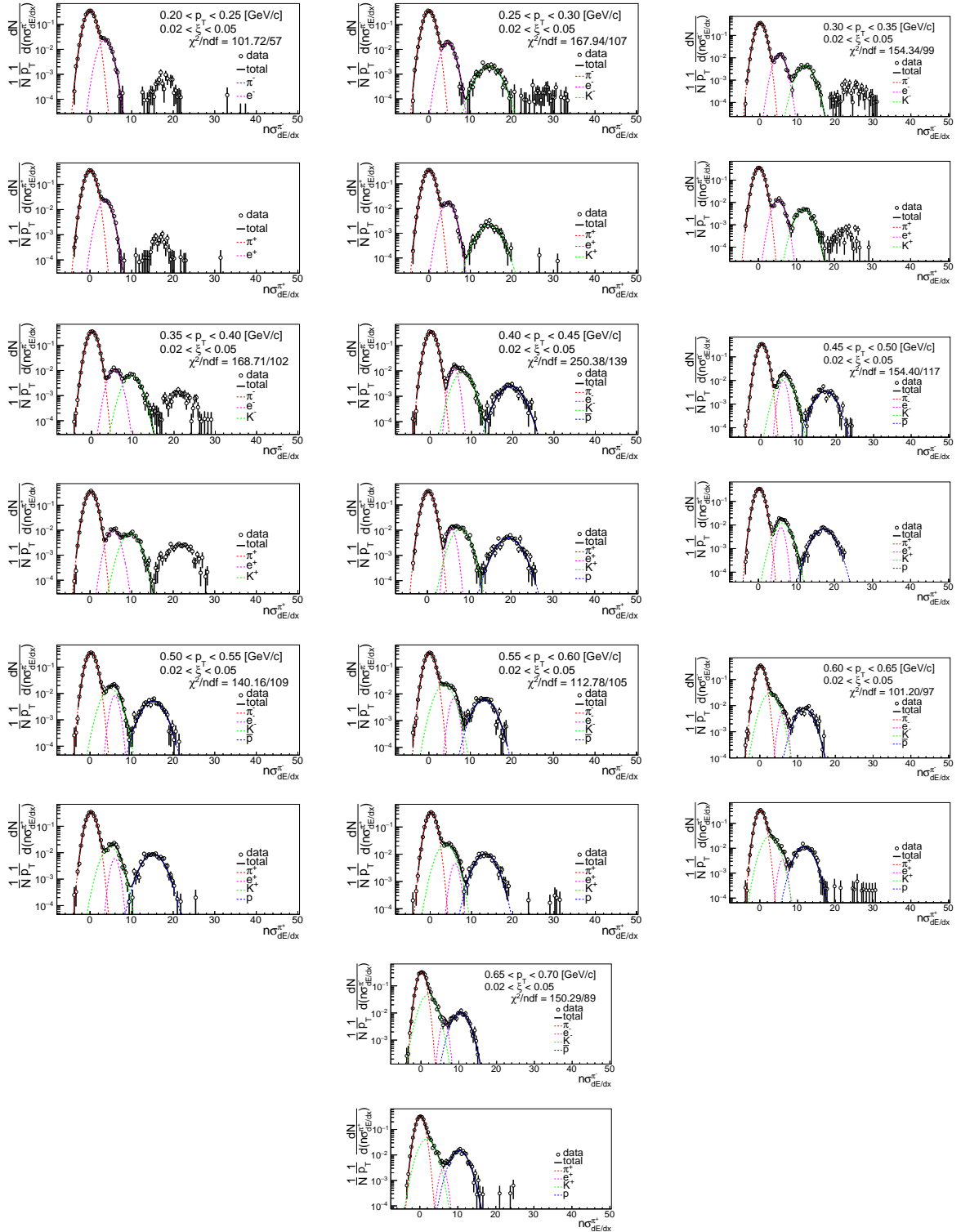


Figure B.1.: Distributions of  $n\sigma_{dE/dx}^{\pi^\pm}$  for  $\pi^\pm$  in SD interactions with  $0.02 < \xi < 0.05$ .

## Appendix B. Distributions of $n\sigma_{dE/dx}^i$ in SD

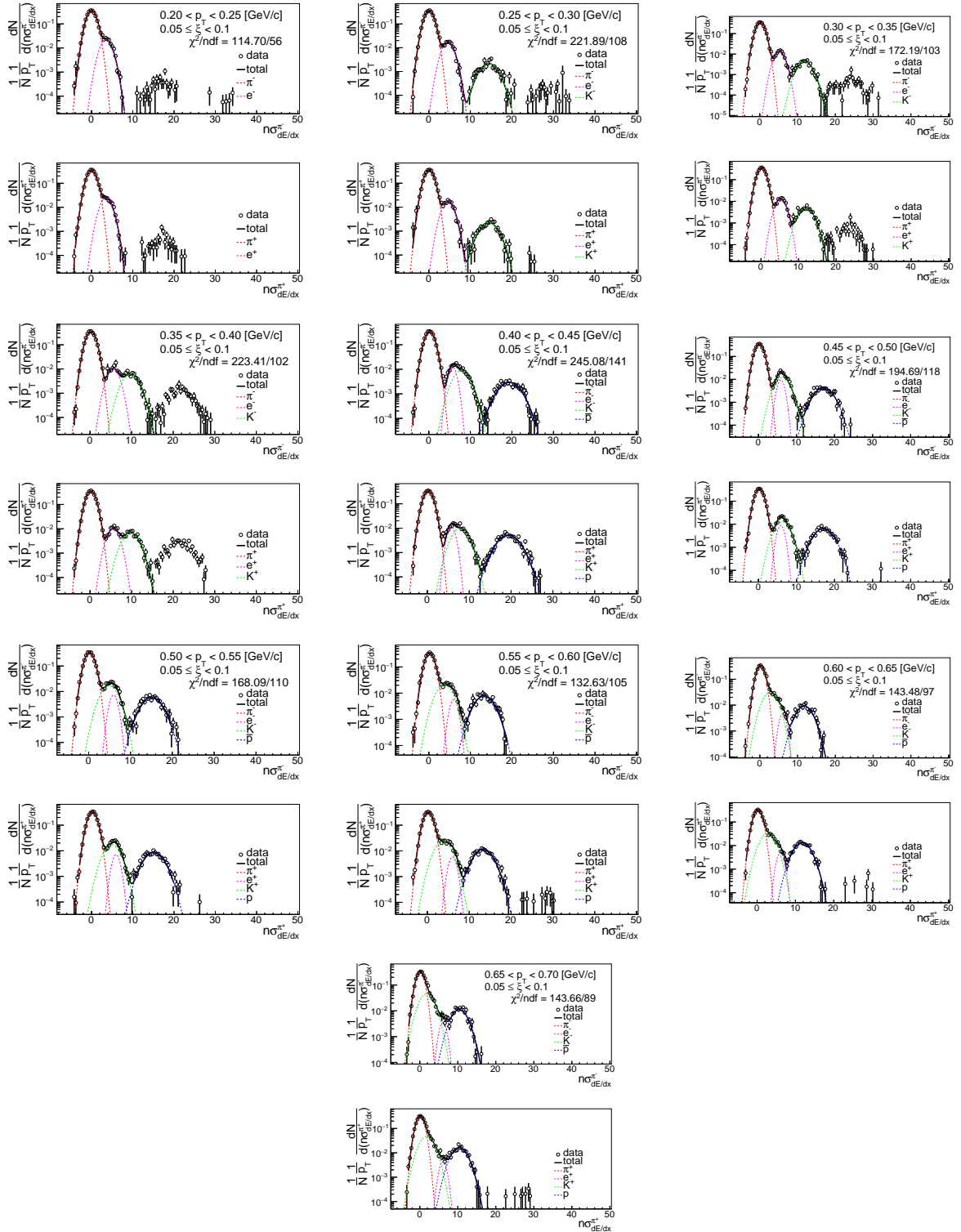


Figure B.2.: Distributions of  $n\sigma_{dE/dx}^{\pi^\pm}$  for  $\pi^\pm$  in SD interactions with  $0.05 < \xi < 0.1$ .

## Appendix B. Distributions of $n\sigma_{dE/dx}^i$ in SD

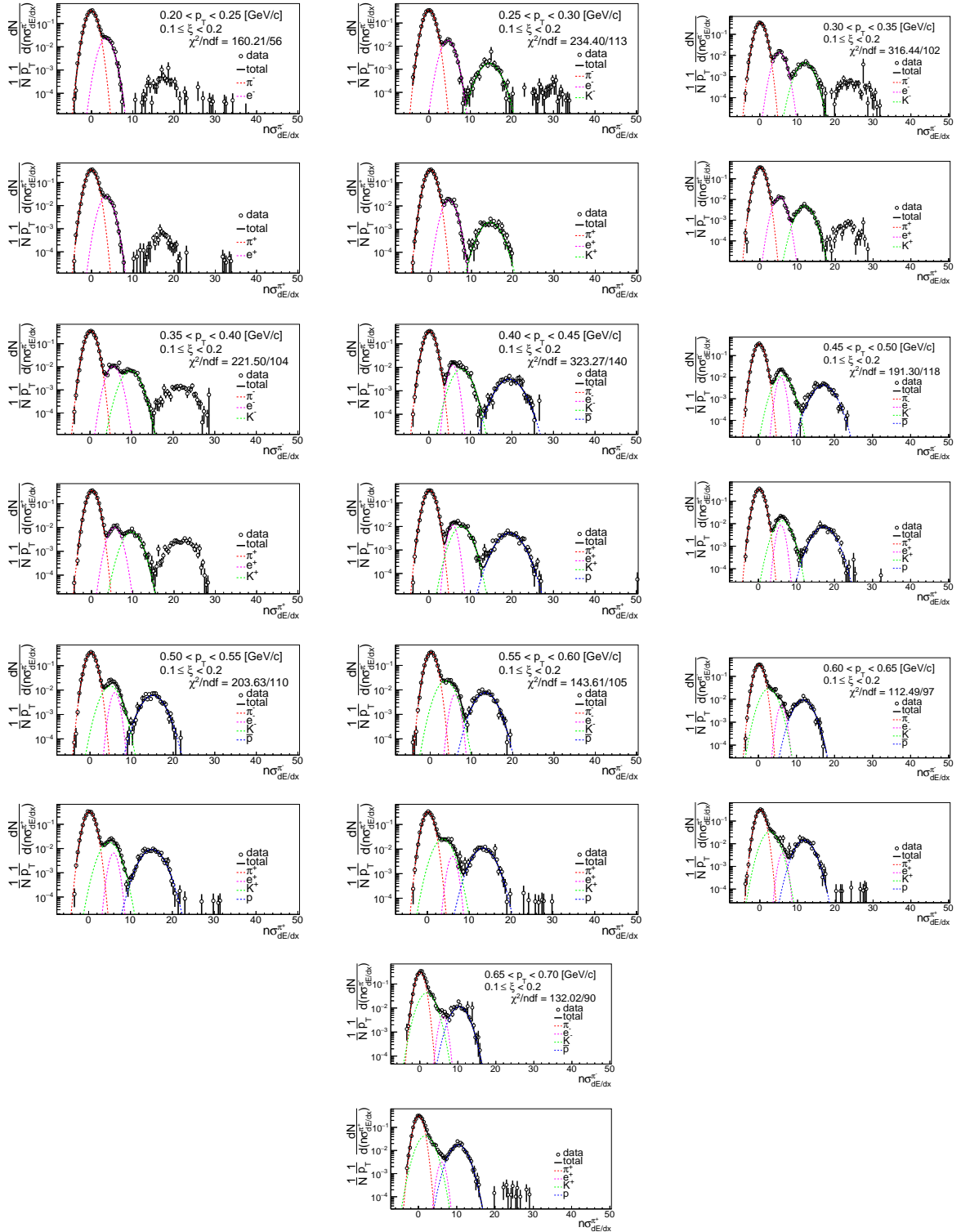


Figure B.3.: Distributions of  $n\sigma_{dE/dx}^{\pi^\pm}$  for  $\pi^\pm$  in SD interactions with  $0.1 < \xi < 0.2$ .

## Appendix B. Distributions of $n\sigma_{dE/dx}^i$ in SD

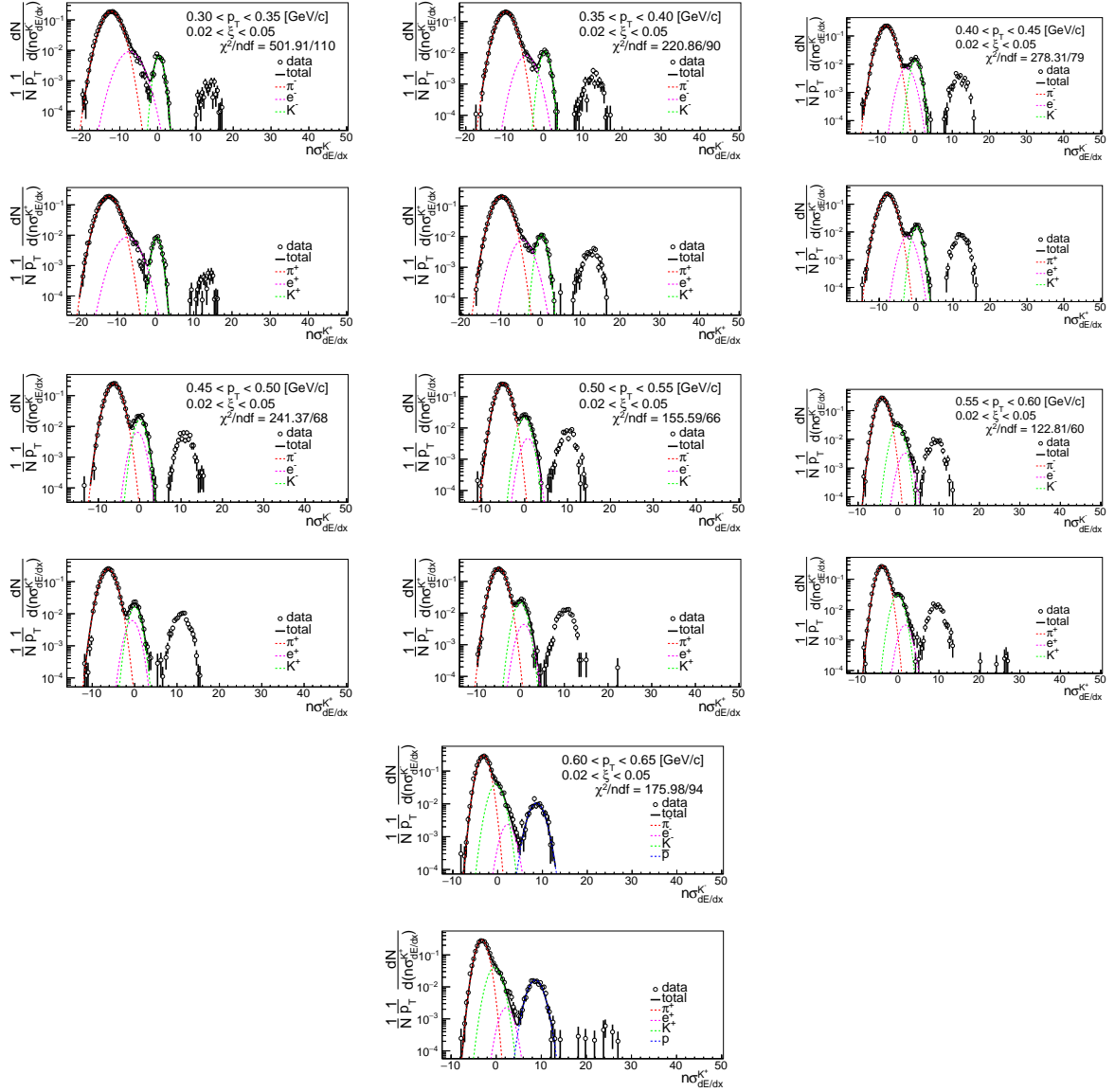


Figure B.4.: Distributions of  $n\sigma_{dE/dx}^{K^\pm}$  for  $K^\pm$  in SD interactions with  $0.02 < \xi < 0.05$ .



## Appendix B. Distributions of $n\sigma_{dE/dx}^i$ in SD

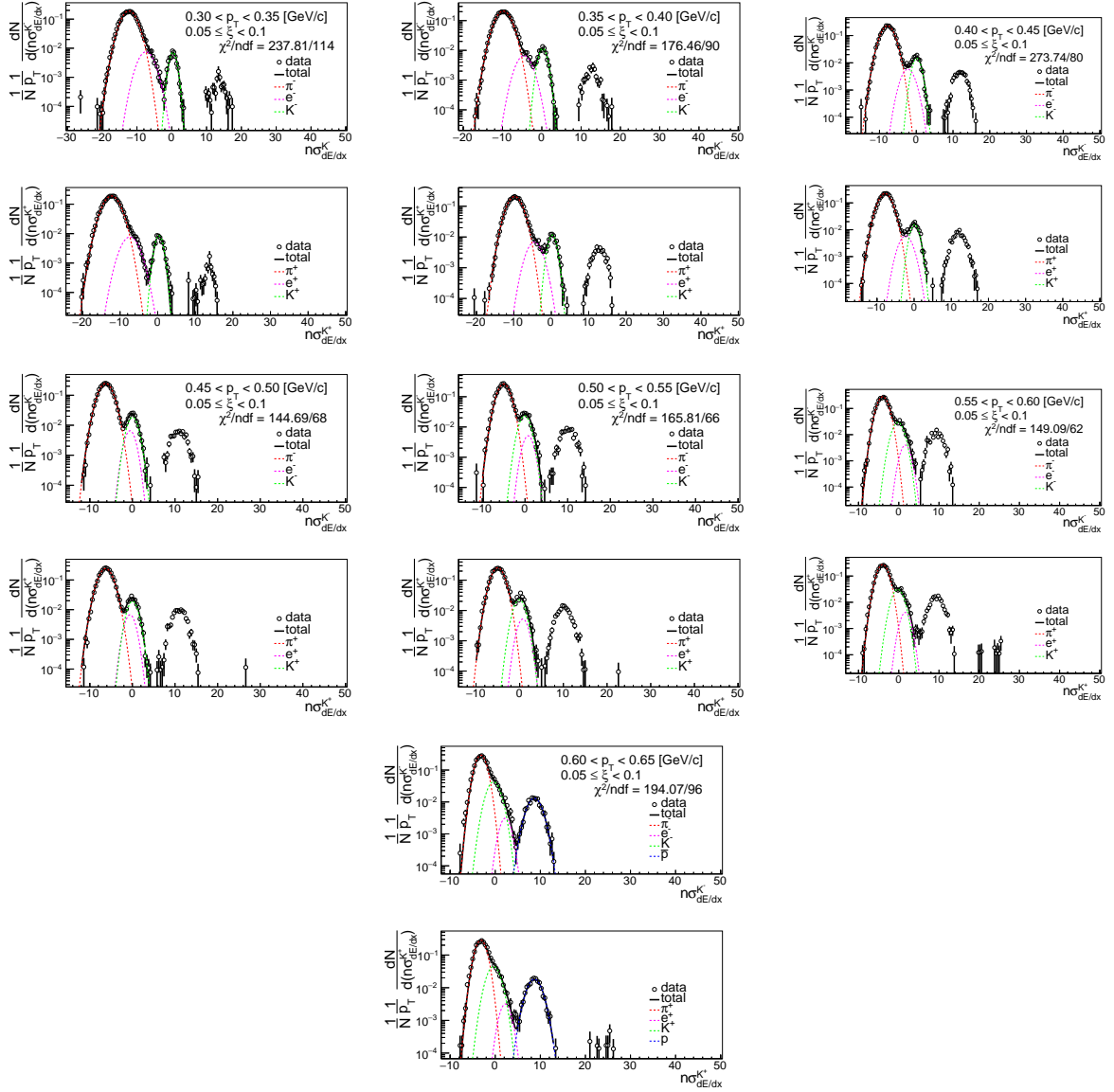


Figure B.5.: Distributions of  $n\sigma_{dE/dx}^{K^\pm}$  for  $K^\pm$  in SD interactions with  $0.05 < \xi < 0.1$ .

## Appendix B. Distributions of $n\sigma_{dE/dx}^i$ in SD

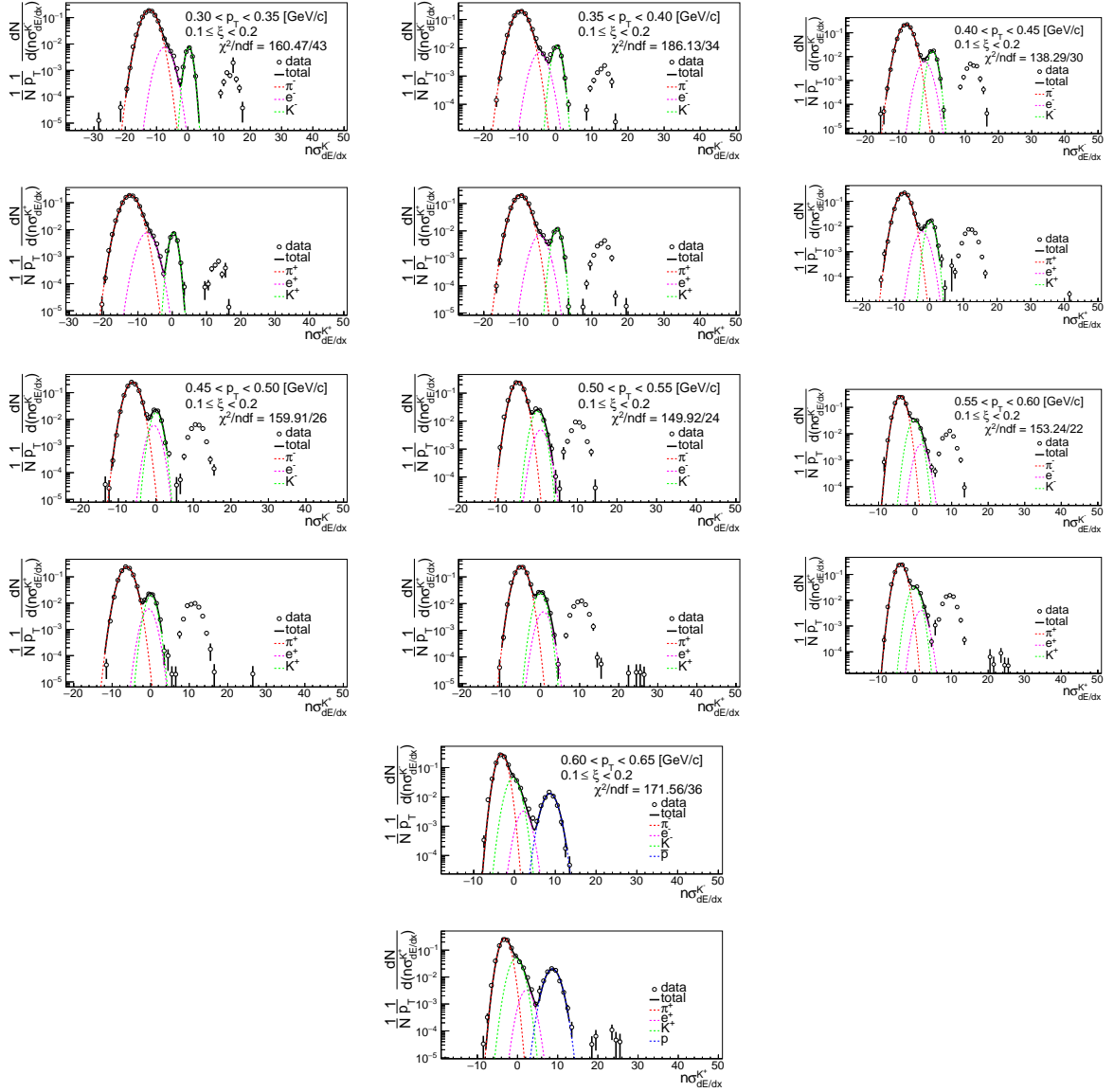


Figure B.6.: Distributions of  $n\sigma_{dE/dx}^{K^\pm}$  for  $K^\pm$  in SD interactions with  $0.1 < \xi < 0.2$ .

## Appendix B. Distributions of $n\sigma_{dE/dx}^i$ in SD

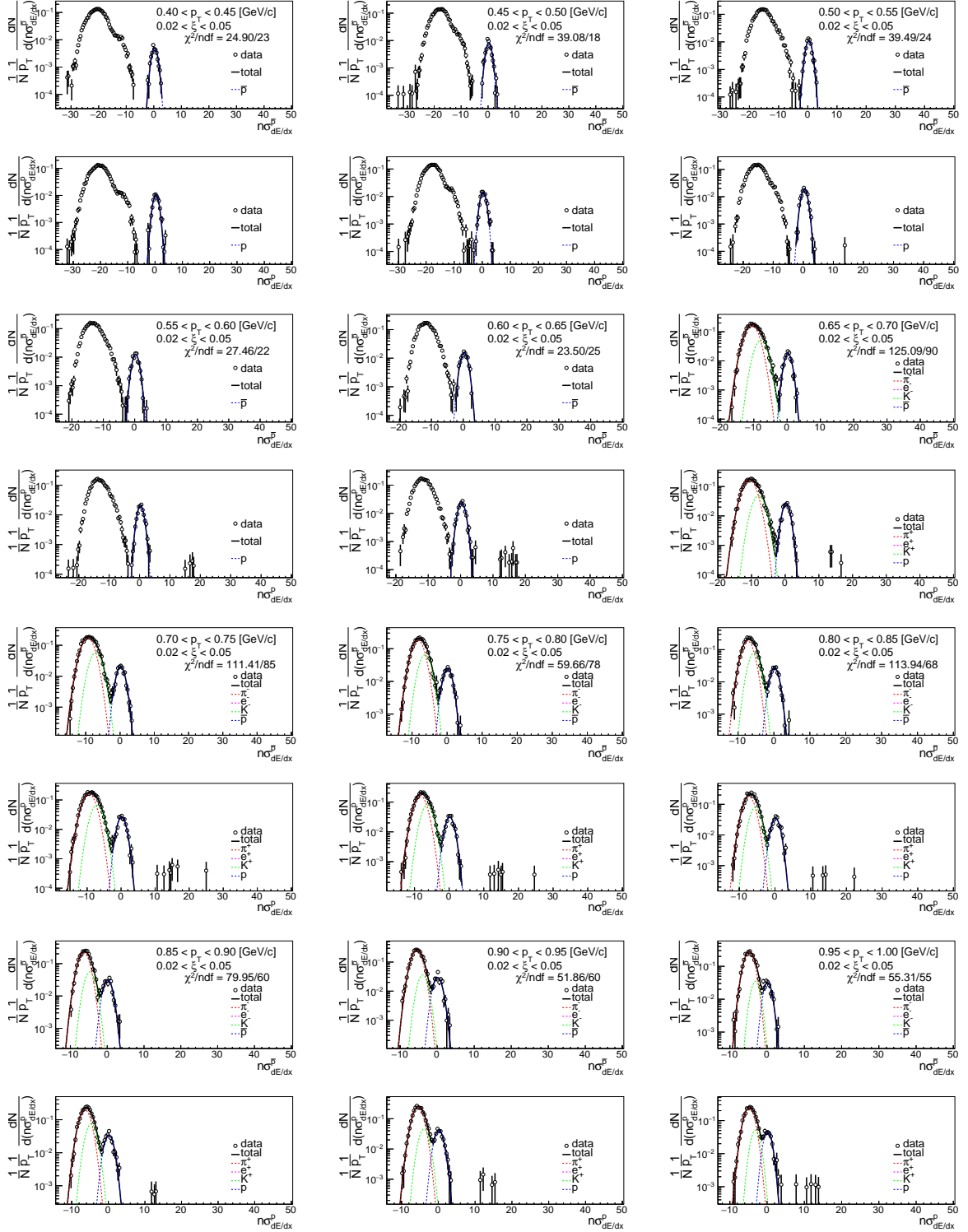


Figure B.7.: Distributions of  $n\sigma_{dE/dx}^{\bar{p},p}$  for  $\bar{p}, p$  in SD interactions with  $0.02 < \xi < 0.05$ .

## Appendix B. Distributions of $n\sigma_{dE/dx}^i$ in SD

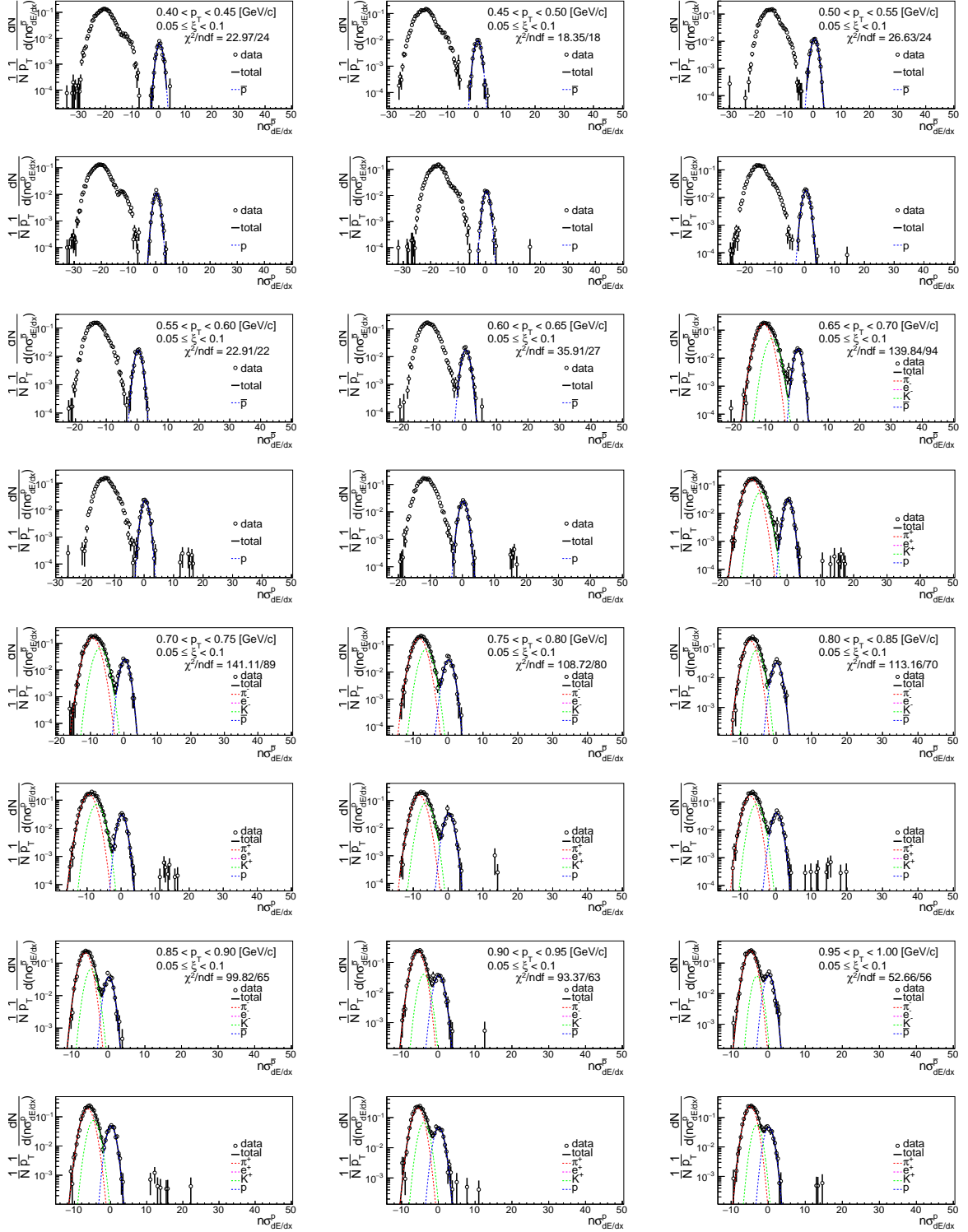


Figure B.8.: Distributions of  $n\sigma_{dE/dx}^{\bar{p},p}$  for  $\bar{p}, p$  in SD interactions with  $0.05 < \xi < 0.1$ .

## Appendix B. Distributions of $n\sigma_{dE/dx}^i$ in SD

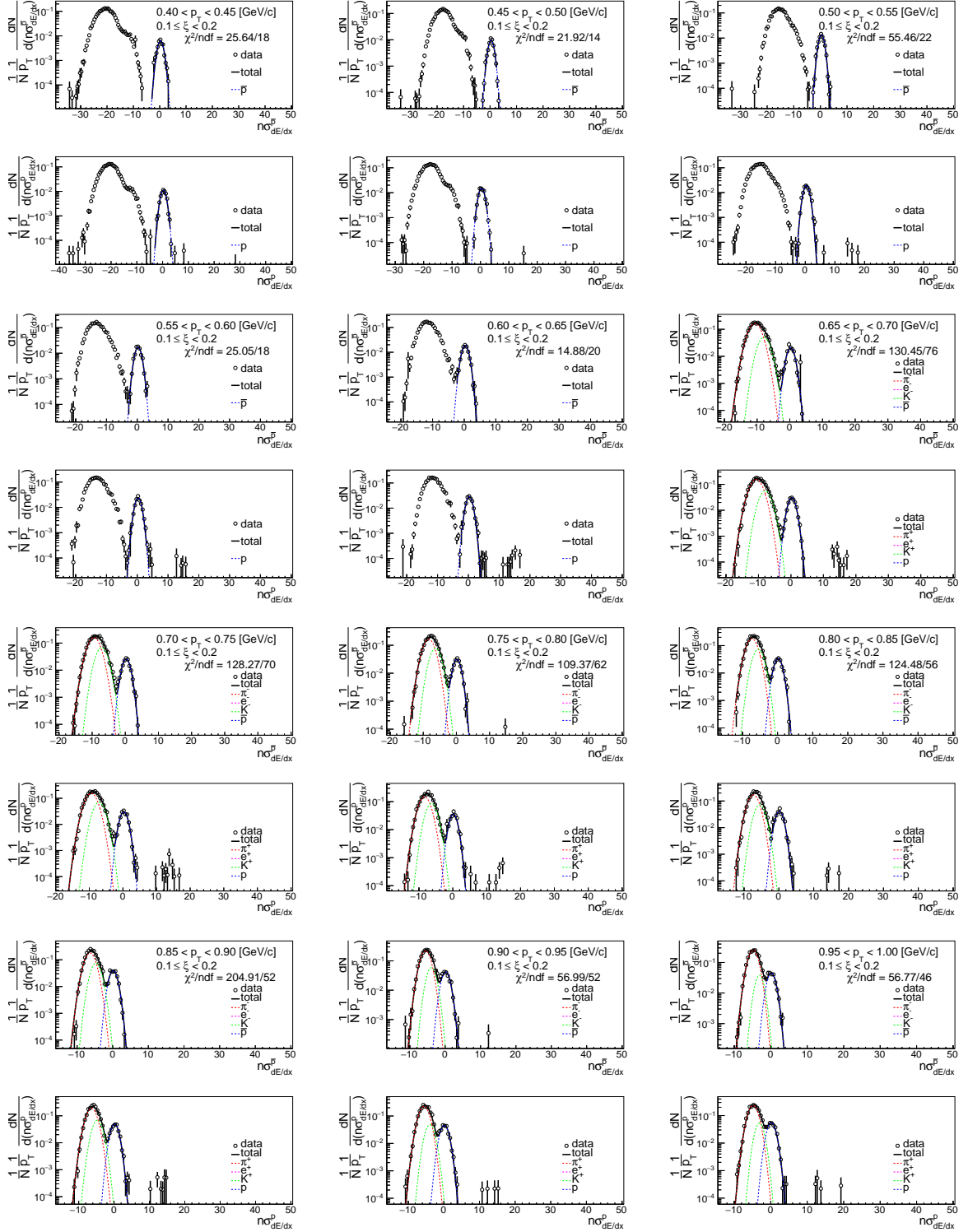


Figure B.9.: Distributions of  $n\sigma_{dE/dx}^{\bar{p},p}$  for  $\bar{p}, p$  in SD interactions with  $0.1 < \xi < 0.2$ .