

Recall:

Definition 1. An equivalence relation \sim on a set X is a relation \sim on X such that

- (1) (reflexivity) For all $x \in X$, $x \sim x$.
 - (2) (symmetry) For all $x, y \in X$, if $x \sim y$ then $y \sim x$.
 - (3) (transitivity) For all $x, y, z \in X$, if $x \sim y$ and $y \sim z$, then $x \sim z$.
- (1) Let \sim be the relation on \mathbb{R}^2 given by $(x_1, y_1) \sim (x_2, y_2)$ if and only if $y_1 - x_1^2 = y_2 - x_2^2$.

(a) Prove that \sim is an equivalence relation.reflexivity: $y - x^2 = y - x^2$ for all $(x, y) \in \mathbb{R}^2$

$$\Rightarrow (x, y) \sim (x, y) \text{ for all } (x, y) \in \mathbb{R}^2$$

symmetry: suppose $(x_1, y_1), (x_2, y_2) \in \mathbb{R}^2$ and $(x_1, y_1) \sim (x_2, y_2)$

$$\text{Then } y_1 - x_1^2 = y_2 - x_2^2$$

$$\Rightarrow y_2 - x_2^2 = y_1 - x_1^2$$

$$\Rightarrow (x_2, y_2) \sim (x_1, y_1)$$

transitivity: suppose $(x_1, y_1), (x_2, y_2), (x_3, y_3) \in \mathbb{R}^2$ and $(x_1, y_1) \sim (x_2, y_2)$ and $(x_2, y_2) \sim (x_3, y_3)$.

$$\Rightarrow y_1 - x_1^2 = y_2 - x_2^2 \text{ and } y_2 - x_2^2 = y_3 - x_3^2$$

$$\Rightarrow y_1 - x_1^2 = y_3 - x_3^2$$

$$\Rightarrow (x_1, y_1) \sim (x_3, y_3)$$

(b) What are the equivalence classes of \sim ? Sketch and label the equivalence classes $[(0, 0)]$, $[(0, 1)]$, and $[(0, 2)]$ in \mathbb{R}^2 .

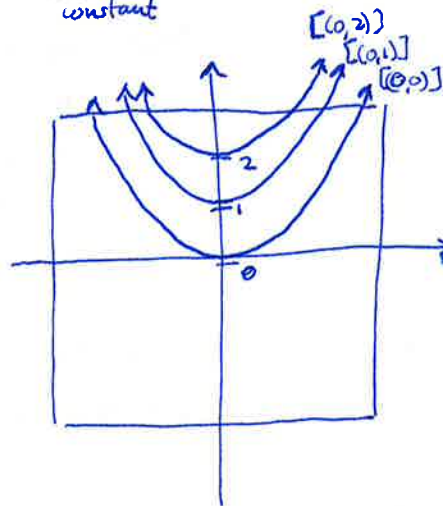
$$\begin{aligned} [(x_0, y_0)] &= \{ (x, y) \in \mathbb{R}^2 \mid y_0 - x_0^2 = y - x^2 \} \\ &= \{ (x, y) \in \mathbb{R}^2 \mid y = x^2 + \underbrace{(y_0 - x_0^2)}_{\text{constant}} \} \end{aligned}$$

↑
parabolas!

$$[(0, 0)] = \{ (x, y) \in \mathbb{R}^2 \mid y - x^2 = 0 \}$$

$$[(0, 1)] = \{ (x, y) \in \mathbb{R}^2 \mid y - x^2 = 1 \}$$

$$[(0, 2)] = \{ (x, y) \in \mathbb{R}^2 \mid y - x^2 = 2 \}$$



Recall:

Definition 2. An **order relation** on a set X is a relation $<$ on X such that

- (a) (comparability) If $x, y \in X$ and $x \neq y$, then $x < y$ or $y < x$.
- (b) (anti-reflexivity) For all $x \in X$, we have $x \not< x$.
- (c) (transitivity) For all $x, y, z \in X$, if $x < y$ and $y < z$, then $x < z$.

Definition 3. If $(X, <_X)$ and $(Y, <_Y)$ are ordered sets, the **dictionary order** on $X \times Y$ is the order defined by

$$(x_1, y_1) < (x_2, y_2) \iff x_1 <_X x_2, \text{ or } x_1 = x_2 \text{ and } y_1 <_Y y_2.$$

(2) Prove that the dictionary order is an order relation.

comparability: suppose $(x_1, y_1) \in \mathbb{R}^2$, $(x_2, y_2) \in \mathbb{R}^2$, $(x_1, y_1) \neq (x_2, y_2)$.

Then $x_1 \neq x_2$ or $y_1 \neq y_2$.

If $x_1 \neq x_2$, then $x_1 <_X x_2$ or $x_1 >_X x_2$, so $(x_1, y_1) < (x_2, y_2)$ or $(x_1, y_1) > (x_2, y_2)$, respectively.

If $y_1 \neq y_2$, then $y_1 <_Y y_2$ or $y_1 >_Y y_2$, so $(x_1, y_1) < (x_2, y_2)$ or $(x_1, y_1) > (x_2, y_2)$, respectively, and $x_1 = x_2$.

anti-reflexivity: if $x_1 = x_2$ and $y_1 = y_2$, then we do not have $x_1 <_X x_2$ or $x_1 >_X x_2$ and we do not have $y_1 <_Y y_2$ or $y_1 >_Y y_2$, so $(x_1, y_1) \not< (x_1, y_1)$.

transitivity: Suppose $(x_1, y_1), (x_2, y_2), (x_3, y_3) \in \mathbb{R}^2$, $(x_1, y_1) < (x_2, y_2)$ and $(x_2, y_2) < (x_3, y_3)$.

Then $x_1 <_X x_2$, or $x_1 = x_2$ and $y_1 <_Y y_2$

and $x_2 <_X x_3$, or $x_2 = x_3$ and $y_2 <_Y y_3$.

If ~~either~~ $x_1 <_X x_2$ or $x_2 <_X x_3$, we have $x_1 <_X x_3$. ~~also~~

(3 cases): $x_1 <_X x_2$ and $x_2 <_X x_3 \Rightarrow x_1 <_X x_3$

$x_1 <_X x_2$ and $x_2 = x_3 \Rightarrow x_1 <_X x_3$

$x_1 = x_2$ and $x_2 <_X x_3 \Rightarrow x_1 <_X x_3$

So $(x_1, y_1) < (x_3, y_3)$.

If $x_1 = x_2$ and $x_2 = x_3$, we must have $y_1 <_Y y_2$ and $y_2 <_Y y_3$, so $(x_1, y_1) < (x_3, y_3)$.

Recall that the **cartesian product** of two sets X and Y is the set of ordered pairs

$$X \times Y = \{(x, y) \mid x \in X \text{ and } y \in Y\}.$$

To define products of more sets, we need to talk about tuples of more elements than two. We can do this using functions:

Definition 4. Let m be a positive integer. Given a set X , we define an m -tuple of elements of X to be a function

$$x : \{1, \dots, m\} \rightarrow X.$$

Given an m -tuple x , we often write x_i rather than $x(i)$ and call it the i th **coordinate** of x . We often denote the function x itself by the symbol

$$(x_1, \dots, x_m).$$

Definition 5. Given sets A_1, \dots, A_m , the **cartesian product** $A_1 \times \dots \times A_m$ is the set of m -tuples

$$A_1 \times \dots \times A_m = \{(x_1, \dots, x_m) \mid x_i \in A_i \text{ for each } i\}.$$

(We take $X = A_1 \cup \dots \cup A_m$ so the definition of m -tuple makes sense here.)

These definitions extend easily to arbitrary products of sets.

Definition 6. Let I be a set. An I -tuple of elements of a set X is a function

$$x : I \rightarrow X.$$

We write x_i rather than $x(i)$ and call it the i th **coordinate** of x . We often denote x itself by $(x_i)_{i \in I}$.

Given sets $\{A_i\}_{i \in I}$ indexed by a set I , the **cartesian product** $\prod_{i \in I} A_i$ is the set of I -tuples

$$\{(x_i)_{i \in I} \mid x_i \in A_i \text{ for each } i \in I\}.$$

(We take $X = \bigcup_{i \in I} A_i$.)

- (3) Let $A_1 = \{1\}$, $A_2 = \{2\}$, $B_1 = \{3\}$, and $B_2 = \{4\}$. Compute $(A_1 \times A_2) \cup (B_1 \times B_2)$ and $(A_1 \cup B_1) \times (A_2 \cup B_2)$. How do the sets compare?

$$(A_1 \times A_2) \cup (B_1 \times B_2) = \{(1, 2)\} \cup \{(3, 4)\} = \{(1, 2), (3, 4)\}$$

$$(A_1 \cup B_1) \times (A_2 \cup B_2) = \{1, 3\} \times \{2, 4\} = \{(1, 2), (1, 4), (3, 2), (3, 4)\}.$$

$$\text{We have } A_1 \times A_2 \cup B_1 \times B_2 \subsetneq (A_1 \cup B_1) \times (A_2 \cup B_2).$$

- (4) Let $A_1 = \{1, 2\}$, $A_2 = \{-1, -2\}$, and $A_3 = \{\pi, 2\pi\}$. Write out the elements of $A_1 \times A_2 \times A_3$, $A_1 \times (A_2 \times A_3)$, and $A_1 \times (A_3 \times A_2)$. Are these sets the same or different?

$$A_1 \times A_2 \times A_3 = \{ (1, -1, \pi), (1, -1, 2\pi), (1, -2, \pi), (1, -2, 2\pi), \\ (2, -1, \pi), (2, -1, 2\pi), (2, -2, \pi), (2, -2, 2\pi) \}$$

$$A_1 \times (A_2 \times A_3) = \{1, 2\} \times \{(-1, \pi), (-1, 2\pi), (-2, \pi), (-2, 2\pi)\} \\ = \{ (1, (-1, \pi)), (1, (-1, 2\pi)), (1, (-2, \pi)), (1, (-2, 2\pi)), \\ (2, (-1, \pi)), (2, (-1, 2\pi)), (2, (-2, \pi)), (2, (-2, 2\pi)) \}$$

$$A_1 \times (A_3 \times A_2) = \{1, 2\} \times \{(\pi, -1), (\pi, -2), (2\pi, -1), (2\pi, -2)\} \\ = \{ (1, (\pi, -1)), (1, (\pi, -2)), (1, (2\pi, -1)), (1, (2\pi, -2)), \\ (2, (\pi, -1)), (2, (\pi, -2)), (2, (2\pi, -1)), (2, (2\pi, -2)) \}$$

They are all different sets.

The answer to the last problem should feel annoying. Let's work our way towards another perspective on what a cartesian product is.

- (5) Let $\pi_1 : \mathbb{Z} \times \mathbb{R} \rightarrow \mathbb{Z}$ and $\pi_2 : \mathbb{Z} \times \mathbb{R} \rightarrow \mathbb{R}$ be the functions given by

$$\pi_1(x, y) = x \quad \text{and} \quad \pi_2(x, y) = y.$$

- (a) Let $f : \{1, 2, 3\} \rightarrow \mathbb{Z} \times \mathbb{R}$ be the function defined as in the following table. Complete the rest of the table.

| a | $f(a)$ | $(\pi_1 \circ f)(a)$ | $(\pi_2 \circ f)(a)$ |
|-----|---------------|----------------------|----------------------|
| 1 | (3, 4) | 3 | 4 |
| 2 | (1, π) | 1 | π |
| 3 | (-1, 2π) | -1 | 2π |

- (b) There is a function $g : \{1, 2, 3\} \rightarrow \mathbb{Z} \times \mathbb{R}$, some facts about which are recorded in the following table. Complete the rest of the table.

| a | $g(a)$ | $(\pi_1 \circ g)(a)$ | $(\pi_2 \circ g)(a)$ |
|-----|-------------------|----------------------|----------------------|
| 1 | (2, $\sqrt{2}$) | 2 | $\sqrt{2}$ |
| 2 | (25, $\sqrt{3}$) | 25 | $\sqrt{3}$ |
| 3 | (-125, 4) | -125 | 4 |

You should see that a function $f : \{1, 2, 3\} \rightarrow \mathbb{Z} \times \mathbb{R}$ is "the same" as a pair of functions $(f_1 : \{1, 2, 3\} \rightarrow \mathbb{Z}, f_2 : \{1, 2, 3\} \rightarrow \mathbb{R})$. That is, you can find such f_1 and f_2 from f and you can construct f from f_1 and f_2 .

We state this property in general as follows.

Theorem 7 (The Universal Property of the Cartesian Product). *Let X, Y be sets and let $P = X \times Y$. Write $\pi_1 : P \rightarrow X$ for the function $(x, y) \mapsto x$ and $\pi_2 : P \rightarrow Y$ for the function $(x, y) \mapsto y$. (These are called the **projection maps**.) Then for any set A and pair of functions $f_1 : A \rightarrow X$ and $f_2 : A \rightarrow Y$ there exists a unique function $f : A \rightarrow P$ so that the diagram*

$$\begin{array}{ccccc} & & A & & \\ & f_1 \swarrow & \downarrow f & \searrow f_2 & \\ X & \xleftarrow{\pi_1} & P & \xrightarrow{\pi_2} & Y \end{array}$$

commutes, i.e., $f_1 = \pi_1 \circ f$ and $f_2 = \pi_2 \circ f$.

(6) Prove the theorem. (Hint: Think about your answers to (5).)

Suppose $f_1 : A \rightarrow X$ and $f_2 : A \rightarrow Y$ are functions.

Suppose $f : A \rightarrow P$ is a function s.t. $\pi_1 \circ f = f_1$ and $\pi_2 \circ f = f_2$.

Let $a \in A$ be an arbitrary element. Write $(b_1, b_2) = f(a)$.

Then $\pi_1(f(a)) = \pi_1((b_1, b_2)) = b_1$. On the other hand,

$\pi_1(f(a)) = f_1(a)$, so $b_1 = f_1(a)$. Similarly, $b_2 = f_2(a)$.

We conclude f is the function $f(a) = (f_1(a), f_2(a))$.

That is, if there is such a function, it is uniquely determined.

Conversely, if $f(a) = (f_1(a), f_2(a))$ for all $a \in A$, then

$$\begin{aligned} (\pi_1 \circ f)(a) &= \pi_1(f_1(a), f_2(a)) \quad \text{and} \quad (\pi_2 \circ f)(a) = \pi_2(f_1(a), f_2(a)) \\ &= f_1(a) \quad \quad \quad = f_2(a) \end{aligned}$$

So this function makes the diagram commute.

Altogether, there exists a unique $f : A \rightarrow P$ making the diagram commute, as desired. \square

- (7) Let X, Y be sets. Suppose that P' is a set and $\pi'_1 : P' \rightarrow X$ and $\pi'_2 : P' \rightarrow Y$ are functions that also have the universal property of the product, that is, for any set A and pair of functions $f_1 : A \rightarrow X$ and $f_2 : A \rightarrow Y$ there exists a unique function $f : A \rightarrow P'$ so that the diagram

$$\begin{array}{ccc} & A & \\ f_1 \swarrow & \downarrow f & \searrow f_2 \\ X & \xleftarrow{\pi'_1} P' \xrightarrow{\pi'_2} & Y \end{array}$$

commutes, i.e., $f_1 = \pi'_1 \circ f$ and $f_2 = \pi'_2 \circ f$.

Prove that there is a bijection $P \rightarrow P'$. (Hint: Try $A = P$ and $A = P'$.)

Plugging in $A = P$, there is a unique map $f : P \rightarrow P'$ s.t.

$$\begin{array}{ccc} & P & \\ \pi_1 \swarrow & \downarrow f & \searrow \pi_2 \\ X & \xleftarrow{\pi'_1} P' \xrightarrow{\pi'_2} & Y \end{array}$$

commutes. Plugging in $A = P'$, there is a unique map $g : P' \rightarrow P$ s.t.

$$\begin{array}{ccc} & P' & \\ \pi'_1 \swarrow & \downarrow g & \searrow \pi'_2 \\ X & \xleftarrow{\pi_1} P \xrightarrow{\pi_2} & Y \end{array}$$

The composites give maps so that

$$\begin{array}{ccc} & P' & \\ \pi'_1 \swarrow & \downarrow f \circ g & \searrow \pi'_2 \\ X & \xleftarrow{\pi'_1} P' \xrightarrow{\pi'_2} & Y \end{array}$$

$$\begin{array}{ccc} & P & \\ \pi_1 \swarrow & \downarrow g \circ f & \searrow \pi_2 \\ X & \xleftarrow{\pi_1} P \xrightarrow{\pi_2} & Y \end{array}$$

commute. But $\text{id}_{P'}$, id_P also make the respective diagrams commute. By the universal property of products' uniqueness, $f \circ g = \text{id}_{P'}$ and $g \circ f = \text{id}_P$. We conclude $f : P \rightarrow P'$ is invertible, hence bijective. \square

- (8) Let $\{X_i\}_{i \in I}$ be an arbitrary collection of sets. Let $P = \prod_{i \in I} A_i$ be the cartesian product. For each $i \in I$, let $\pi_i : P \rightarrow X_i$ be the projection map $(x_j)_{j \in I} \mapsto x_i$ taking tuples to their i th coordinate. Show that P has the following "Universal property of the product:"

Given a set A and functions $f_i : A \rightarrow X_i$ for each $i \in I$, there exists a unique function $f : A \rightarrow P$ so that the diagram

$$\begin{array}{ccc} A & & \\ \downarrow f & \searrow f_i & \\ P & \xrightarrow{\pi_i} & X_i \end{array}$$

commutes for all i .

Suppose A is a set and $f_i : A \rightarrow X_i$ is a function for each $i \in I$. Suppose $f : A \rightarrow P$ is a function so that $\pi_i \circ f = f_i$ for all $i \in I$.

Let $a \in A$. Then, writing $f(a) = (b_i)_{i \in I}$, we have

$$b_i = \pi_i(f(a)) = f_i(a)$$

for all i , so $f(a) = (f_i(a))_{i \in I}$. It follows that any function $f : A \rightarrow P$ making the diagrams commute is of the form $f(a) = (f_i(a))_{i \in I}$ for all $a \in A$.

Conversely, suppose $f : A \rightarrow P$ is the function $f(a) = (f_i(a))_{i \in I}$. Then

$$f_i(a) = \pi_i((f_j(a))_{j \in I}) = \pi_i(f(a))$$

for all $a \in A$, so f makes the diagrams commute.

Therefore there is a unique function $f : A \rightarrow P$ making the diagrams commute, as desired. \square

- (9) We have seen in problem (5) that $A_1 \times (A_2 \times A_3)$ is not quite the same set as $A_1 \times A_2 \times A_3$. However, the two sets are related by an easy-to-guess bijective function. See if you can find it and check that it is a bijection.

One perspective on where this function comes from is that both $A_1 \times (A_2 \times A_3)$ and $A_1 \times A_2 \times A_3$ have the universal property of the product of A_1 , A_2 , and A_3 . Reasoning as in problem 7, there is a unique isomorphism between them that respects the projection maps. If you like, you can try to argue this way.

The function $f: A_1 \times (A_2 \times A_3) \rightarrow A_1 \times A_2 \times A_3$

$$(a_1, (a_2, a_3)) \mapsto (a_1, a_2, a_3)$$

is the required bijection. It is injective since

$$\begin{aligned} (a_1, (a_2, a_3)) = (b_1, (b_2, b_3)) &\Leftrightarrow a_1 = b_1, a_2 = b_2, \text{ and } a_3 = b_3 \\ &\Leftrightarrow (a_1, a_2, a_3) = (b_1, b_2, b_3). \end{aligned}$$

It is surjective since, given $(a_1, a_2, a_3) \in A_1 \times A_2 \times A_3$, a pre-image is $(a_1, (a_2, a_3))$.

The way in which $A_1 \times (A_2 \times A_3)$ has the universal property of the product of A_1, A_2, A_3 is a "diagram chase":

1. Start with the ^{pencil:} ~~black~~ info:

2. Since $A_2 \times A_3$ has the univ. prop. for the product of A_2 and A_3 , \exists a unique $f_{23}: A \rightarrow A_2 \times A_3$

3. Since $A_1 \times (A_2 \times A_3)$ has the univ. prop. of the product for A_1 and $A_2 \times A_3$, and we have functions f_1 and f_{23} , we get a unique function $f: A \rightarrow A_1 \times (A_2 \times A_3)$

