## Homework 10 solution

We begin by recalling a Lemma from a Canvas announcement mid-week. I've added a proof.

**Lemma 1.**  $\mathbb{S}^1$  has a basis by open sets of the form

$$U_{(a,b)} = \{(\cos(\theta), \sin(\theta)) \mid a < \theta < b\}$$

where  $a, b \in \mathbb{R}$  and a < b. We call these "open arcs."

*Proof.* Our strategy is to show that the continuous function  $f : \mathbb{R} \to \mathbb{S}^1$  given by  $f(t) = (\cos(t), \sin(t))$  is a local homeomorphism.

Write  $U_{x>0}$ ,  $U_{y>0}$ ,  $U_{x<0}$ ,  $U_{y<0}$  for the open subsets of  $\mathbb{S}^1$  satisfying the subscripted conditions.

For each integer  $n \in \mathbb{Z}$ , let

$$g_{n,x>0}: U_{x>0} \to (-\pi/2 + 2\pi n, \pi/2 + 2\pi n)$$

$$(x,y) \mapsto \arcsin(y) + 2\pi n$$

$$g_{n,y>0}: U_{y>0} \to (2\pi n, \pi + 2\pi n)$$

$$(x,y) \mapsto \arccos(x) + 2\pi n$$

$$g_{n,x<0}: U_{x<0} \to (\pi/2 + 2\pi n, 3\pi/2 + 2\pi n)$$

$$(x,y) \mapsto \pi/2 - \arcsin(y) + 2\pi n$$

$$g_{n,y<0}: U_{y<0} \to (\pi + 2\pi n, 2\pi + 2\pi n)$$

$$(x,y) \mapsto \pi - \arccos(x) + 2\pi n.$$

Observe that each function *g* is continuous and *f* composed with each *g* is the identity on the appropriate set. It follows that the restrictions

$$\begin{split} f|_{(-\pi/2+2\pi n,\pi/2+2\pi n)} : & (-\pi/2+2\pi n,\pi/2+2\pi n) \to U_{x>0} \\ & f|_{(2\pi n,\pi+2\pi n)} : (2\pi n,\pi+2\pi n) \to U_{y>0} \\ f|_{(\pi/2+2\pi n,3\pi/2+2\pi n)} : & (\pi/2+2\pi n,3\pi/2+2\pi n) \to U_{x<0} \\ & f|_{(\pi+2\pi n,2\pi+2\pi n)} : & (\pi+2\pi n,2\pi+2\pi n) \to U_{y<0} \end{split}$$

are homeomorphisms. In particular for any open subset U of  $\mathbb{R}$  contained in the domain of one of these restrictions, f(U) is open in  $\mathbb{S}^1$ . It follows that  $U_{(a,b)}$  is open, since

$$\begin{aligned} U_{(a,b)} &= f((a,b)) \\ &= f\left(\bigcup_{n \in \mathbb{Z}} \left( (a,b) \cap \left( 0 + \frac{\pi}{2}n, \pi + \frac{\pi}{2}n \right) \right) \right) \\ &= \bigcup_{n \in \mathbb{Z}} f\left( (a,b) \cap \left( 0 + \frac{\pi}{2}n, \pi + \frac{\pi}{2}n \right) \right) \end{aligned}$$

is a union of open sets. Therefore all "open arcs" are open in  $\mathbb{S}^1$ .

To see that these form a basis, observe that if  $U \subseteq \mathbb{S}^1$  is open in  $\mathbb{S}^1$ , then  $f^{-1}(U)$  can be written as a union of open intervals  $\bigcup_{i \in I} (a_i, b_i)$ , so

$$U = f(f^{-1}(U)) = f\left(\bigcup_{i \in I} (a_i, b_i)\right) = \bigcup_{i \in I} U_{(a_i, b_i)}.$$

**Problem 1.** The *n*-sphere is the subspace

$$\mathbb{S}^n := \{ \vec{x} \in \mathbb{R}^{n+1} \mid |\vec{x}| = 1 \}$$

of  $\mathbb{R}^{n+1}$  with the subspace topology.

Prove that  $\mathbb{S}^1 = \{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 = 1\}$  is homeomorphic to  $[0, 1]/\sim$  where  $\sim$  is the equivalence relation where  $0 \sim 1, 1 \sim 0$ , and  $x \sim x$  for all  $x \in [0, 1]$ .

**Solution.** Let  $g:[0,1] \to \mathbb{S}^1$  be the continuous function defined by  $g(t) = (\cos(2\pi t), \sin(2\pi t))$ . Observe that  $g(t_1) = g(t_2)$  if and only if  $t_1 \sim t_2$ . By the universal property of quotients, there is a continuous function  $f:[0,1]/\sim \to \mathbb{S}^1$  given by f([t]) = g(t).

By Corollary 22.3, f is a homeomorphism if and only if g is a quotient map. To that end, suppose that  $U \subseteq \mathbb{S}^1$  is a subset so that  $V = g^{-1}(U) \subseteq [0,1]$  is open. Let  $p \in U$ . The pre-image of p is either a single point  $x \in (0,1)$  or the pre-image of p is  $\{0,1\}$ . We will show that in either case there is an open arc  $N_p$  so that  $p \in N_p \subseteq U$ .

If  $g^{-1}(p)$  is a single point  $x \in (0,1)$ , then, since V is open and  $x \in V \cap (0,1)$ , there exists  $\epsilon > 0$  so that  $(x - \epsilon, x + \epsilon) \subseteq V$ . Then  $g((x - \epsilon, x + \epsilon)) \subseteq g(V) = U$  is an open arc  $N_p$  around p.

If  $g^{-1}(p) = \{0,1\} \subseteq V$ , then, since V is open there exists an  $\epsilon > 0$  so that  $[0,\epsilon)$  and  $(1-\epsilon,1] \subseteq V$ . Then  $g([0,\epsilon) \cup (1-\epsilon,1]) \subseteq g(V) = U$  is an open arc  $N_p$  around p. Then  $U = \bigcup_{p \in U} N_p$  is open, as desired.

**Problem 2.** Let  $U_1 = \{1,2\} \times (0,3)$ ,  $U_2 = \{3,4\} \times (0,3)$ . Let  $U_{12}$  be the open subset  $\{1,2\} \times ((0,1) \cup (2,3))$  of  $U_1$  and let  $U_{21}$  be the open subset  $\{3,4\} \times ((0,1) \cup (2,3))$  of  $U_2$ .

- (1) Find a homeomorphism  $\phi_{12}: U_{12} \to U_{21}$  so that the space X obtained by gluing  $U_1$  to  $U_2$  with  $\phi_{12}$  is homeomorphic to a disjoint union of two copies of  $\mathbb{S}^1$ . You do not need to prove that X is homeomorphic to two copies of  $\mathbb{S}^1$ .
- (2) Find a homeomorphism  $\psi_{12}: U_{12} \to U_{21}$  so that the space Y obtained by gluing  $U_1$  to  $U_2$  with  $\psi_{12}$  is homeomorphic to  $\mathbb{S}^1$ . You do not need to prove that Y is homeomorphic to  $\mathbb{S}^1$ .

**Solution.** (1) There are many ways to proceed. In any case, we should form the two circles by gluing each circle out of two of the given line segments, one from  $U_1$  and one from  $U_2$ . Let's glue the left line of  $U_1$  to the left line of  $U_2$  on both ends and the right line of  $U_1$  to the right line of  $U_2$  at both ends. So more specifically, let's set up  $\phi_{12}$  to send the bottom end of the left line of  $U_1$  to the bottom end of the left line of  $U_2$ ; the top end of the left line of  $U_1$  to the bottom end of the right line of  $U_2$ ; and the top end of the right line of  $U_1$  to the top end of the right line of  $U_2$ . We'll have to reverse

the orientation on the overlap so that we get a circle shape at the end rather than a *Y*-shape.

A function  $\phi_{12}$  that achieves this is:

$$\phi_{12}: U_{12} \to U_{21}$$

$$\phi_{12}(x, y) = \begin{cases} (3, 1 - y) & \text{if } x = 1 \text{ and } 0 < y < 1 \\ (3, 5 - y) & \text{if } x = 1 \text{ and } 2 < y < 3 \\ (4, 1 - y) & \text{if } x = 2 \text{ and } 0 < y < 1 \\ (4, 5 - y) & \text{if } x = 2 \text{ and } 2 < y < 3. \end{cases}$$

(2) We should form one circle by gluing together 4 line segments in sequence. We have to glue segments of  $U_1$  to segments of  $U_2$ , so we'll have to alternate segments from  $U_1$  with segments from  $U_2$ . One way to make this work is as follows: Glue the top end of the left line of  $U_1$  to the bottom end of the left line of  $U_2$ ; the top end of the left line of  $U_1$  to the bottom end of the right line of  $U_1$ ; the top end of the right line of  $U_1$  to the bottom of the right line of  $U_2$ ; and finally the top end of the right end of  $U_2$  back to the bottom end of the left line of  $U_1$ .

A function  $\psi_{12}$  that achieves this is:

$$\psi_{12}: U_{12} \to U_{21}$$

$$\psi_{12}(x, y) = \begin{cases} (4, y + 2) & \text{if } x = 1 \text{ and } 0 < y < 1 \\ (3, y - 2) & \text{if } x = 1 \text{ and } 2 < y < 3 \\ (3, y + 2) & \text{if } x = 2 \text{ and } 0 < y < 1 \\ (4, y - 2) & \text{if } x = 2 \text{ and } 2 < y < 3. \end{cases}$$

**Problem 3.** Consider the equivalence relation  $\sim$  on  $\mathbb{S}^1$  defined by  $\vec{x} \sim \vec{y}$  if and only if  $\vec{x} = \pm \vec{y}$ . Find a homeomorphism of  $\mathbb{S}^1/\sim$  with a familiar space and prove that your map is a homeomorphism.

**Solution.** We'd like to use the multiply-the-angle-by-two map, i.e, we'd like to send  $(\cos(\theta), \sin(\theta)) \mapsto (\cos(2\theta), \sin(2\theta))$ . This is a bit tricky to check for well-definedness and continuity, but applying double angle formulas yields

$$(\cos(\theta), \sin(\theta)) \mapsto (\cos^2(\theta) - \sin^2(\theta), 2\cos(\theta)\sin(\theta)).$$

This suggests the more-obviously continuous function below.

Let  $g: \mathbb{S}^1 \to^1$  be the function defined by  $g(x,y) = (x^2 - y^2, 2xy)$ . To see that this is well-defined (i.e., actually takes points on the unit circle to points on the unit circle), note that when  $x^2 + y^2 = 1$ ,

$$(x^{2} - y^{2})^{2} + (2xy)^{2} = x^{4} - 2x^{2}y^{2} + y^{4} + 4x^{2}y^{2}$$

$$= x^{4} + 2x^{2}y^{2} + y^{4}$$

$$= (x^{2} + y^{2})^{2}$$

$$= 1$$

The function is clearly continuous, since it is defined by a polynomial function in each coordinate.

Next we'd like to show that given points (x, y),  $(x', y') \in \mathbb{S}^1$ , we have g(x, y) = g(x', y') if and only if  $(x, y) = \pm(x', y')$ . This is clear from the geometric interpretation of g, but let's give a direct algebraic proof for those interested. The implication  $(x, y) = \pm(x', y') \implies g(x, y) = g(x', y')$  is immediate since the formula for g is homogeneous of degree 2. Conversely suppose that g(x, y) = g(x', y'). Then  $x^2 - y^2 = (x')^2 - (y')^2$  and 2x'y' = 2xy. Since  $x^2 + y^2 = (x')^2 + (y')^2 = 1$ ,

$$x^{2} - y^{2} = (x')^{2} - (y')^{2}$$

$$\implies 1 - 2y^{2} = 1 - 2(y')^{2}$$

$$\implies y^{2} = (y')^{2}$$

$$\implies y = \pm y'.$$

Symmetrically,  $x = \pm x'$ . Now since 2xy = 2x'y' we must have either x = x' and y = y' or x = -x' and y = -y', as desired.

Now by Corollary 22.3, all that remains is to show that g is a quotient map. Suppose that  $U \subseteq \mathbb{S}^1$  is a subset such that  $V = g^{-1}(U) \subseteq \mathbb{S}^1$  is open. Since open arcs form a basis for the topology on  $\mathbb{S}^1$ , we may write  $V = \bigcup_{i \in I} U_{(a_i,b_i)}$ . Now,

$$U = g(V) = g\left(\bigcup_{i \in I} U_{(a_i,b_i)}\right) = \bigcup_{i \in I} g(U_{(a_i,b_i)}).$$

Now

$$g(U_{(a_i,b_i)}) = \{g(\cos(\theta), \sin(\theta)) \mid a_i < \theta < b_i\}$$

$$= \{(\cos(2\theta), \sin(2\theta)) \mid a_i < \theta < b_i\}$$

$$= \{(\cos(\theta, \sin(\theta)) \mid 2a_i < \theta < 2b_i\}$$

$$= U_{(2a_i,2b_i)},$$

so  $U = \bigcup_{i \in I} U_{(2a_i,2b_i)}$ . Since U is a union of open sets, it is open. We conclude that g is a quotient map, and therefore  $\mathbb{S}^1 \cong \mathbb{S}^1/\sim$ , as desired.

**Problem 4.** Let  $\tau$  and  $\tau'$  be two topologies on X. If  $\tau'$  is finer than  $\tau$ , what does connectedness of X in one topology imply about connectedness in the other?

**Solution.** If  $(X, \tau)$  is connected, we cannot conclude that  $(X, \tau')$  is also connected. For example, if |X| > 2,  $\tau'$  is the discrete topology, and  $\tau$  is the indiscrete topology, then  $(X, \tau)$  is connected but  $(X, \tau')$  is not connected.

We claim that  $(X, \tau')$  connected implies that  $(X, \tau)$  connected. To that end, suppose that  $(X, \tau')$  is connected and suppose for contradiction U, V are a separation of  $(X, \tau)$ . Then U and V are open in  $\tau'$  and remain disjoint and nonempty with union X. But then U, V form a separation of  $(X, \tau')$ , a contradiction. It follows that connectedness of  $(X, \tau')$  implies connectedness of  $(X, \tau)$ , as desired.

**Problem 5.** Let X be a topological space. For each integer  $n \ge 1$ , let  $A_n$  be a connected subspace of X, such that  $A_n \cap A_{n+1} \ne \emptyset$  for all n. Show that  $\bigcup_{n \in \mathbb{Z}_{>0}} A_n$  is connected.

**Solution.** Here's a nice triangular-matrix-y trick that reduces the problem to Theorem 23.3.

For each positive integer n, let  $B_n = \bigcup_{i=1}^n A_n$ . Since  $A_1 \cap A_2 \neq \emptyset$  we can choose a point  $x \in A_1 \cap A_2$ . Then  $x \in A_1$ , so  $x \in B_n$  for all n.

Next we claim that  $B_n$  is connected for all  $n \in \mathbb{Z}_{>0}$ . Since  $B_1 = A_1$ ,  $B_1$  is connected.

Suppose for induction that  $B_n$  is connected. Then  $B_{n+1} = B_n \cup A_{n+1}$  is a union of connected sets with nonempty intersection, since  $B_n \cap A_{n+1} \supseteq A_n \cap A_{n+1} \neq \emptyset$ . By Theorem 23.3,  $B_{n+1}$  is connected. It follows by induction that  $B_n$  is connected for all  $n \in \mathbb{Z}_{>0}$ .

Now

$$\bigcup_{n\in\mathbb{Z}_{>0}}A_n=\bigcup_{n\in\mathbb{Z}_{>0}}B_n$$

is a union of connected sets with the point x in common. By Theorem 23.3 again,  $\bigcup_{n \in \mathbb{Z}_{>0}} A_n$  is connected.

**Problem 6.** Recall that the lower limit topology is the topology on  $\mathbb{R}$  generated by the basis

$$\mathcal{B} = \{ [a, b) \mid a, b \in \mathbb{R} \}.$$

(See Recitation 6 or Section 13 of the text.) Denote  $\mathbb{R}$  with the lower limit topology by  $\mathbb{R}_{\ell}$ . Is  $\mathbb{R}_{\ell}$  connected or disconnected? Justify your answer.

**Solution.** Recall from Recitation 6 that the lower limit topology is finer than the usual topology on  $\mathbb{R}$ . In particular,  $U = (-\infty, 0)$  and  $V = [0, \infty) = \bigcup_{n \in \mathbb{Z}_{>0}} [0, n)$  are non-empty, disjoint open subsets of  $\mathbb{R}_{\ell}$  with union  $\mathbb{R}_{\ell}$ . Therefore  $\mathbb{R}_{\ell}$  is disconnected.