

**Solution** This solution illustrates an important technique for using connectedness. We let  $x_0 \in A$  and let  $B = \{y \in A \mid x_0 \text{ and } y \text{ can be joined by a continuous path}\}$ . Obviously,  $x_0 \in B$ , so that  $B \neq \emptyset$ . We claim that  $B$  is both open and closed regarded as a subset of  $A$ ; i.e.,  $B$  is both open and closed relative to  $A$ . First,  $B$  is open for the following reason. If  $y \in B$ , choose a disk  $D(y, \varepsilon) \subset A$ , which is possible since  $A$  is open. If  $z \in D(y, \varepsilon)$ , then  $z \in B$ , since we can get a continuous path from  $x_0$  to  $z$  by concatenation of a path from  $x_0$  to  $y$  with the straight line from  $y$  to  $z$  (the reader should prove that this produces a continuous path). Thus,  $D(y, \varepsilon) \subset B$ , so  $B$  is open. To show that  $B$  is closed, let  $y_k \in B$  and  $y_k \rightarrow y \in A$ . Since  $A$  is open, there is an  $\varepsilon > 0$  such that  $D(y, \varepsilon) \subset A$ . Since  $y_k \rightarrow y$ , there is an  $N$  such that  $y_k \in D(y, \varepsilon)$  for  $k \geq N$ . Joining  $x_0$  to  $y_N$  by a continuous path followed by the straight line from  $y_N$  to  $y$ , we see that  $y \in B$ , and so  $B$  is closed. Since  $B \neq \emptyset$  and  $B$  is both open and closed in  $A$ , we get  $B = A$ . (Otherwise,  $B$  and  $B \setminus A$  would disconnect  $A$ .) Thus, every point in  $A$  can be joined to  $x_0$  by a continuous path, and so  $A$  is path-connected. ♦

## Exercises for Chapter 3

- Which of the following sets are compact? Which are connected?
  - $\{(x_1, x_2) \in \mathbb{R}^2 \mid |x_1| \leq 1\}$
  - $\{x \in \mathbb{R}^n \mid \|x\| \leq 10\}$
  - $\{x \in \mathbb{R}^n \mid 1 \leq \|x\| \leq 2\}$
  - $\mathbb{Z} = \{\text{integers in } \mathbb{R}\}$
  - A finite set in  $\mathbb{R}$
  - $\{x \in \mathbb{R}^n \mid \|x\| = 1\}$  (distinguish between the cases  $n = 1$  and  $n \geq 2$ )
  - Perimeter of the unit square in  $\mathbb{R}^2$
  - The boundary of a bounded set in  $\mathbb{R}$
  - The rationals in  $[0, 1]$
  - A closed set in  $[0, 1]$
- Prove that a set  $A \subset \mathbb{R}^n$  is not connected iff we can write  $A \subset F_1 \cup F_2$ , where  $F_1, F_2$  are closed,  $A \cap F_1 \cap F_2 = \emptyset$ ,  $F_1 \cap A \neq \emptyset$ ,  $F_2 \cap A \neq \emptyset$ .
- Prove that in  $\mathbb{R}^n$ , a bounded infinite set  $A$  has an accumulation point.
- Show that a set  $A$  is bounded iff there is a constant  $M$  such that  $d(x, y) \leq M$  for all  $x, y \in A$ . Give a plausible definition of the diameter of a set and reformulate your result.

5. Show that the following sets are not compact, by exhibiting an open cover with no finite subcover.
  - a.  $\{x \in \mathbb{R}^n \mid \|x\| < 1\}$
  - b.  $\mathbb{Z}$ , the integers in  $\mathbb{R}$
6. Suppose that  $F_k$  is a sequence of compact nonempty sets satisfying the nested set property such that  $\text{diameter}(F_k) \rightarrow 0$  as  $k \rightarrow \infty$ . Show that there is exactly one point in  $\bigcap \{F_k\}$ . (By definition,  $\text{diameter}(F_k) = \sup\{d(x, y) \mid x, y \in F_k\}$ ).
7. Let  $x_k$  be a sequence in  $\mathbb{R}^n$  that converges to  $x$  and let  $A_k = \{x_k, x_{k+1}, \dots\}$ . Show that  $\{x\} = \bigcap_{k=1}^{\infty} \text{cl}(A_k)$ . Is this true in *any* metric space?
8. Let  $A \subset \mathbb{R}^n$  be compact and let  $x_k$  be a Cauchy sequence in  $\mathbb{R}^n$  with  $x_k \in A$ . Show that  $x_k$  converges to a point in  $A$ .
9. Determine (by proof or counterexample) the truth or falsity of the following statements:
  - a.  $(A \text{ is compact in } \mathbb{R}^n) \Rightarrow (\mathbb{R}^n \setminus A \text{ is connected})$ .
  - b.  $(A \text{ is connected in } \mathbb{R}^n) \Rightarrow (\mathbb{R}^n \setminus A \text{ is connected})$ .
  - c.  $(A \text{ is connected in } \mathbb{R}^n) \Rightarrow (A \text{ is open or closed})$ .
  - d.  $(A = \{x \in \mathbb{R}^n \mid \|x\| \leq 1\}) \Rightarrow (\mathbb{R}^n \setminus A \text{ is connected})$ . [Hint: Check the cases  $n = 1$  and  $n \geq 2$ .]
10. A metric space  $M$  is said to be *locally path-connected* if each point in  $M$  has a neighborhood  $U$  such that  $U$  is path-connected. (This terminology differs somewhat from that of some topology books.) Show that  $(M \text{ is connected and locally path-connected}) \Leftrightarrow (M \text{ is path-connected})$ .
11.
  - a. Prove that if  $A$  is connected in a metric space  $M$  and  $A \subset B \subset \text{cl}(A)$ , then  $B$  is connected.
  - b. Deduce from a that the components of a set  $A$  are relatively closed. Give an example in which they are not relatively open. ( $C \subset A$  is called *relatively closed* in  $A$  if  $C$  is the intersection of some closed set in  $M$  with  $A$ , i.e., if  $C$  is closed in the metric space  $A$ .)
  - c. Show that if a family  $\{B_i\}$  of connected sets is such that  $B_i \cap B_j \neq \emptyset$  for all  $i, j$ , then  $\bigcup_i B_i$  is connected.
  - d. Deduce from c that every point of a set lies in a unique component.
  - e. Use c to show that  $\mathbb{R}^n$  is connected, starting with the fact that lines in  $\mathbb{R}^n$  are connected.

12. Let  $S$  be a set of real numbers that is nonempty and bounded above. Let  $-S = \{x \in \mathbb{R} \mid -x \in S\}$ . Prove that
  - a.  $-S$  is bounded below.
  - b.  $\sup S = -\inf(-S)$ .
13. Let  $M$  be a complete metric space and  $F_n$  a collection of closed nonempty subsets (not necessarily compact) of  $M$  such that  $F_{n+1} \subset F_n$  and diameter  $(F_n) \rightarrow 0$ . Prove that  $\bigcap_{n=1}^{\infty} F_n$  consists of a single point; compare Exercise 6.
14. a. A point  $x \in A \subset M$  is said to be **isolated** in the set  $A$  if there is a neighborhood  $U$  of  $x$  such that  $U \cap A = \{x\}$ . Show that this is equivalent to saying that there is an  $\varepsilon > 0$  such that for all  $y \in A$ ,  $y \neq x$ , we have  $d(x, y) > \varepsilon$ .  
 b. A set is called **discrete** if all its points are isolated. Give some examples. Show that a discrete set is compact iff it is finite.
15. Let  $K_1 \subset M_1$  and  $K_2 \subset M_2$  be path-connected (respectively, connected, compact). Show that  $K_1 \times K_2$  is path-connected (respectively, connected, compact) in  $M_1 \times M_2$ .
16. If  $x_k \rightarrow x$  in a normed space, prove that  $\|x_k\| \rightarrow \|x\|$ . Is the converse true? Use this to prove that  $\{x \in \mathbb{R}^n \mid \|x\| \leq 1\}$  is closed, using sequences.
17. Let  $K$  be a nonempty closed set in  $\mathbb{R}^n$  and  $x \in \mathbb{R}^n \setminus K$ . Prove that there is a  $y \in K$  such that  $d(x, y) = \inf\{d(x, z) \mid z \in K\}$ . Is this true for open sets? Is it true in general metric spaces?
18. Let  $F_n \subset \mathbb{R}$  be defined by  $F_n = \{x \mid x \geq 0 \text{ and } 2 - 1/n \leq x^2 \leq 2 + 1/n\}$ . Show that  $\bigcap_{n=1}^{\infty} F_n \neq \emptyset$ . Use this to show the existence of  $\sqrt{2}$ .
19. Let  $V_n \subset M$  be open sets such that  $\text{cl}(V_n)$  is compact,  $V_n \neq \emptyset$ , and  $\text{cl}(V_n) \subset V_{n+1}$ . Prove  $\bigcap_{n=1}^{\infty} V_n \neq \emptyset$ .
20. Prove that a compact subset of a metric space must be closed as follows: Let  $x$  be in the complement of  $A$ . For each  $y \in A$ , choose disjoint neighborhoods  $U_y$  of  $y$  and  $V_y$  of  $x$ . Consider the open cover  $\{U_y\}_{y \in A}$  of  $A$  to show the complement of  $A$  is open.
21. a. Prove: a set  $A \subset M$  is connected iff  $\emptyset$  and  $A$  are the only subsets of  $A$  that are open and closed relative to  $A$ . (A set  $U \subset A$  is called **open relative to  $A$**  if  $U = V \cap A$  for some open set  $V \subset M$ ; "closed relative to  $A$ " is defined similarly.)  
 b. Prove that  $\emptyset$  and  $\mathbb{R}^n$  are the only subsets of  $\mathbb{R}^n$  that are both open and closed.

22. Find two subsets  $A, B \subset \mathbb{R}^2$  and a point  $x_0 \in \mathbb{R}^2$  such that  $A \cup B$  is not connected but  $A \cup B \cup \{x_0\}$  is connected.
23. Let  $\mathbb{Q}$  denote the rationals in  $\mathbb{R}$ . Show that both  $\mathbb{Q}$  and the irrationals  $\mathbb{R} \setminus \mathbb{Q}$  are not connected.
24. Prove that a set  $A \subset M$  is not connected if we can write  $A$  as the disjoint union of two sets  $B$  and  $C$  such that  $B \cap A \neq \emptyset$ ,  $C \cap A \neq \emptyset$ , and neither of the sets  $B$  or  $C$  has a point of accumulation belonging to the other set.
25. Prove that there is a sequence of distinct integers  $n_1, n_2, \dots \rightarrow \infty$  such that  $\lim_{k \rightarrow \infty} \sin n_k$  exists.
26. Show that the completeness property of  $\mathbb{R}$  may be replaced by the *Nested Interval Property*. If  $\{F_n\}_1^\infty$  is a sequence of closed bounded intervals in  $\mathbb{R}$  such that  $F_{n+1} \subset F_n$  for all  $n = 1, 2, 3, \dots$ , then there is at least one point in  $\bigcap_{n=1}^\infty F_n$ .
27. Let  $A \subset \mathbb{R}$  be a bounded set. Show that  $A$  is closed iff for every sequence  $x_n \in A$ ,  $\limsup x_n \in A$  and  $\liminf x_n \in A$ .
28. Let  $A \subset M$  be connected and contain more than one point. Show that every point of  $A$  is an accumulation point of  $A$ .
29. Let  $A = \{(x, y) \in \mathbb{R}^2 \mid x^4 + y^4 = 1\}$ . Show that  $A$  is compact. Is it connected?
30. Let  $U_k$  be a sequence of open bounded sets in  $\mathbb{R}^n$ . Prove or disprove:
- $\bigcup_{k=1}^\infty U_k$  is open.
  - $\bigcap_{k=1}^\infty U_k$  is open.
  - $\bigcap_{k=1}^\infty (\mathbb{R}^n \setminus U_k)$  is closed.
  - $\bigcap_{k=1}^\infty (\mathbb{R}^n \setminus U_k)$  is compact.
31. Suppose  $A \subset \mathbb{R}^n$  is not compact. Show that there exists a sequence  $F_1 \supset F_2 \supset F_3 \dots$  of closed sets such that  $F_k \cap A \neq \emptyset$  for all  $k$  and

$$\left( \bigcap_{k=1}^\infty F_k \right) \cap A = \emptyset.$$

32. Let  $x_n$  be a sequence in  $\mathbb{R}^3$  such that  $\|x_{n+1} - x_n\| \leq 1/(n^2 + n)$ ,  $n \geq 1$ . Show that  $x_n$  converges.
33. **Baire category theorem.** A set  $S$  in a metric space is called *nowhere dense* if for each nonempty open set  $U$ , we have  $\text{cl}(S) \cap U \neq U$ , or equivalently,  $\text{int}(\text{cl}(S)) = \emptyset$ . Show that  $\mathbb{R}^n$  cannot be written as the countable union of nowhere dense sets.

34. Prove that each closed set  $A \subset M$  is an intersection of a countable family of open sets.
35. Let  $a \in \mathbb{R}$  and define the sequence  $a_1, a_2, \dots$  in  $\mathbb{R}$  by  $a_1 = a$ , and  $a_n = a_{n-1}^2 - a_{n-1} + 1$  if  $n > 1$ . For what  $a \in \mathbb{R}$  is the sequence
- Monotone?
  - Bounded?
  - Convergent?

Compute the limit in the cases of convergence.

36. Let  $A \subset \mathbb{R}^n$  be uncountable. Prove that  $A$  has an accumulation point.
37. Let  $A, B \subset M$  with  $A$  compact,  $B$  closed, and  $A \cap B = \emptyset$ .
- Show that there is an  $\varepsilon > 0$  such that  $d(x, y) > \varepsilon$  for all  $x \in A$  and  $y \in B$ .
  - Is  $a$  true if  $A, B$  are merely closed?
38. Show that  $A \subset M$  is not connected iff there exist two disjoint open sets  $U, V$  such that  $U \cap A \neq \emptyset$ ,  $V \cap A \neq \emptyset$ , and  $A \subset U \cup V$ .
39. Let  $F_1 = [0, 1/3] \cup [2/3, 1]$  be obtained from  $[0, 1]$  by removing the middle third. Repeat, obtaining

$$F_2 = [0, 1/9] \cup [2/9, 1/3] \cup [2/3, 7/9] \cup [8/9, 1].$$

In general,  $F_n$  is a union of intervals and  $F_{n+1}$  is obtained by removing the middle third of these intervals. Let  $C = \bigcap_{n=1}^{\infty} F_n$ , the **Cantor set**. Prove:

- $C$  is compact.
  - $C$  has infinitely many points. [Hint: Look at the endpoints of  $F_n$ .]
  - $\text{int}(C) = \emptyset$ .
  - $C$  is **perfect**; that is, it is closed with no isolated points.
  - Show that  $C$  is **totally disconnected**; that is, if  $x, y \in C$  and  $x \neq y$  then  $x \in U$  and  $y \in V$  where  $U$  and  $V$  are open sets that disconnect  $C$ .
40. Let  $F_k$  be a nest of compact sets (that is,  $F_{k+1} \subset F_k$ ). Furthermore, suppose each  $F_k$  is connected. Prove that  $\bigcap_{k=1}^{\infty} F_k$  is connected. Give an example to show that compactness is an essential condition and we cannot just assume that " $F_k$  is a nest of closed connected sets."