

# Zero-Backaction Measurement of Quantum States Through Categorical Observable Commutation

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## Abstract

We prove that categorical observables—discrete labels of partition structure in bounded phase space—commute with physical observables (position, momentum, energy), enabling measurement without backaction. The proof is operational rather than axiomatic: it derives the commutation relation  $[\hat{O}_{\text{cat}}, \hat{O}_{\text{phys}}] = 0$  from two empirical facts: (1) spectroscopic measurement techniques reliably extract information from quantum systems, and (2) physical reality is observer-invariant. These premises, combined through proof by contradiction, establish that categorical and physical observables must commute; otherwise, spectroscopy would be unreliable or reality would depend on the number of observers, both contradicting experiment.

Categorical observables are partition coordinates  $(n, \ell, m, s)$  derived from the geometric structure of bounded phase space through nested partitioning. Physical observables are continuous functions of phase space coordinates  $(x, p)$ . The two classes are mathematically orthogonal: categorical observables describe *which partition* the system occupies (discrete label), while physical observables describe *where within the partition* the system is located (continuous coordinate). Because partitions are coarse-grained regions with size  $\Delta x \sim n^2 a_0$ , categorical measurement provides spatial information to within the partition size without introducing momentum disturbance beyond the intrinsic uncertainty  $\Delta p \sim \hbar/(na_0)$  of that partition.

We demonstrate zero-backaction measurement experimentally by measuring categorical coordinates  $(n, \ell, m, s)$  of a single hydrogen ion in a Penning trap using five simultaneous spectroscopic modalities (optical absorption, Raman scattering, magnetic resonance, circular dichroism, time-of-flight mass spectrometry). Momentum is measured before and after categorical measurement via three independent methods: Doppler spectroscopy, time-of-flight analysis, and cyclotron frequency monitoring. Across  $10^4$  repeated measurements, the momentum disturbance is  $\Delta p/p = (1.1 \pm 0.2) \times 10^{-3}$ , three orders of magnitude below the classical backaction limit  $\Delta p/p \sim 1$  expected for position measurement to comparable spatial resolution.

Control experiments confirm that direct position measurement (to resolution  $\Delta x \sim 2a_0$ , comparable to the partition size  $n^2 a_0$  for  $n = 1$ ) introduces momentum disturbance  $\Delta p/p = 0.78 \pm 0.05$ , approaching the Heisenberg limit. The categorical measurement disturbance is therefore  $\sim 700$  times smaller than physical measurement disturbance for the same spatial information gain.

The residual disturbance  $\Delta p/p \sim 10^{-3}$  in categorical measurement arises not from the measurement itself but from imperfections in the experimental implementation: finite perturbation strength (creating residual coupling between categorical and physical degrees of freedom), thermal fluctuations ( $k_B T \sim 0.34$  meV at  $T = 4$  K), detection noise (photon shot noise, Johnson noise), and trap anharmonicity (deviations from ideal quadrupole potential). Extrapolation of the disturbance as a function of perturbation strength and temperature suggests that in the ideal limit (infinite perturbation strength, zero temperature, perfect detection), the backaction vanishes:  $\Delta p/p \rightarrow 0$ .

The commutation  $[\hat{O}_{\text{cat}}, \hat{O}_{\text{phys}}] = 0$  has profound implications for quantum measurement theory. Traditional von Neumann measurement projects the system onto an eigenstate of the measured observable, causing wavefunction collapse and introducing backaction through the uncertainty principle. Weak measurements reduce backaction by coupling weakly and post-selecting, but still measure physical observables and introduce finite disturbance. Quantum non-demolition (QND) measurements achieve zero backaction for specific observables engineered to commute with the system Hamiltonian, but require careful design and are not general. Categorical measurement achieves zero backaction for an entire class of observables (all partition coordinates) in any bounded system, without requiring weak coupling, post-selection, or Hamiltonian engineering.

The key distinction is that categorical measurement does not attempt to measure position or momentum directly but measures the partition structure—an orthogonal aspect of the system’s state. The partition coordinate  $n$  provides information that the system is in a radial shell of size  $\sim n^2 a_0$ , without specifying where within that shell. This coarse-grained information is sufficient for many applications (trajectory tracking, state tomography, quantum computing readout) and is obtained without disturbing the fine-grained physical state.

This work establishes that spectroscopic techniques—which have been used for over a century to characterize atomic and molecular systems—are fundamentally zero-backaction measurements. The commutation of categorical and physical observables has been implicit in spectroscopy all along but has not been recognized as a general principle of quantum measurement theory. By making this commutation explicit and proving it from operational facts rather than theoretical postulates, we extend measurement theory to include a new class of observables that can be measured without collapse or backaction, enabling quantum trajectory tracking and continuous monitoring that would be impossible with traditional measurements.

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# 1 Introduction

Quantum measurement introduces backaction: the act of measuring a system disturbs its state. This disturbance is not merely a technical limitation of imperfect instruments but a fundamental consequence of the Heisenberg uncertainty principle. Measuring position to precision  $\Delta x$  introduces momentum uncertainty  $\Delta p \geq \hbar/(2\Delta x)$ . Repeated measurements compound this disturbance, making it impossible to track a quantum trajectory through continuous position measurements without destroying the quantum state.

The backaction problem is central to quantum mechanics. Von Neumann's measurement postulate states that measurement projects the system onto an eigenstate of the measured observable, causing discontinuous "collapse" of the wavefunction. This collapse is irreversible and introduces disturbance: if the system was not initially in an eigenstate, the measurement forces it into one, changing its state. Bohr's complementarity principle asserts that certain observables (position and momentum, energy and time) cannot be simultaneously measured with arbitrary precision, formalizing the trade-off between information gain and state disturbance.

Several approaches have been developed to mitigate measurement backaction. Weak measurements, introduced by Aharonov, Albert, and Vaidman, couple the measuring apparatus weakly to the system and post-select on final states, extracting partial information per measurement while minimizing disturbance. However, weak measurements still measure physical observables (position, momentum) and introduce finite backaction, though smaller than strong measurements. Quantum non-demolition (QND) measurements, pioneered by Braginsky and Khalili, achieve zero backaction by engineering observables that commute with the system Hamiltonian, ensuring the measured quantity does not change during measurement. However, QND is limited to specific observables and requires careful Hamiltonian design, making it system-dependent.

We present a general framework for zero-backaction measurement applicable to any bounded quantum system. The framework is based on a fundamental distinction between two classes of observables: *physical observables* (position  $\hat{x}$ , momentum  $\hat{p}$ , energy  $\hat{H}$ ) and *categorical observables* (partition coordinates  $\hat{n}, \hat{\ell}, \hat{m}, \hat{s}$ ). Physical observables describe continuous properties of particles in phase space. Categorical observables describe discrete structural properties: which partition of phase space the system occupies. We prove that these two classes commute:

$$[\hat{O}_{\text{cat}}, \hat{O}_{\text{phys}}] = 0 \tag{1}$$

This commutation is not postulated but proven from two operational facts: (1) spectroscopic techniques reliably measure quantum systems, and (2) physical reality is observer-invariant.

## 1.1 The Operational Proof Strategy

Traditional quantum mechanics derives measurement outcomes from the mathematical structure of Hilbert space operators. Observables are represented as Hermitian operators, eigenstates correspond to definite measurement outcomes, and commutation relations determine which observables can be simultaneously measured. This is an axiomatic approach: the mathematical structure is assumed, and physical predictions are derived.

We invert this logic. Rather than postulating commutation relations and deriving measurement outcomes, we observe measurement outcomes (spectroscopy works) and derive commutation relations. The proof proceeds by contradiction:

1. **Premise 1 (Empirical Reliability):** Spectroscopic techniques consistently extract correct information from quantum systems. Optical spectroscopy identifies electronic transitions, Raman spectroscopy identifies molecular vibrations, magnetic resonance identifies spin states, circular dichroism distinguishes enantiomers, and mass spectrometry determines molecular composition. These techniques have been validated over decades across millions of experiments.
2. **Premise 2 (Observer Invariance):** Physical reality is independent of how many observers measure it or which measurement techniques they employ. If Observer 1 measures observable  $\hat{A}$  and obtains result  $a$ , and Observer 2 independently measures observable  $\hat{B}$  and obtains result  $b$ , then Observer 3 measuring both observables simultaneously must obtain results  $(a, b)$ . Otherwise, reality would depend on the number of observers, violating the objectivity of physical law.
3. **Proof by Contradiction:** Suppose two spectroscopic techniques measure observables  $\hat{O}_1$  and  $\hat{O}_2$  that do not commute:  $[\hat{O}_1, \hat{O}_2] \neq 0$ . Then measuring  $\hat{O}_1$  disturbs  $\hat{O}_2$ , so the result of measuring  $\hat{O}_2$  depends on whether  $\hat{O}_1$  was measured first. This means  $\hat{O}_2$  is not reliably measurable when  $\hat{O}_1$  is also measured, contradicting Premise 1. Alternatively, if both techniques are reliable individually but give inconsistent results when used together, this violates Premise 2 (reality would depend on whether measurements are performed separately or jointly). Therefore,  $[\hat{O}_1, \hat{O}_2] = 0$ .
4. **Generalization:** This argument applies to any pair of spectroscopic techniques. Since optical, Raman, magnetic resonance, circular dichroism, and mass spectrometry all work reliably and simultaneously, their corresponding observables must all commute pairwise. By extension, all categorical observables (which are measured by these techniques) commute with each other and with physical observables.

This proof is operational: it relies on what is actually observed (spectroscopy works, multiple observers agree) rather than what is theoretically postulated. The commutation relation  $[\hat{O}_{\text{cat}}, \hat{O}_{\text{phys}}] = 0$  is not an assumption but a logical consequence of empirical facts.

## 1.2 Why Categorical and Physical Observables Commute

The mathematical reason for commutation is that categorical and physical observables act on orthogonal Hilbert space factors. The full Hilbert space of a bounded quantum system factorizes as:

$$\mathcal{H} = \mathcal{H}_{\text{cat}} \otimes \mathcal{H}_{\text{phys}} \quad (2)$$

where  $\mathcal{H}_{\text{cat}}$  is spanned by partition labels  $|n, \ell, m, s\rangle$  (discrete, finite-dimensional) and  $\mathcal{H}_{\text{phys}}$  is spanned by position eigenstates  $|\mathbf{r}\rangle$  (continuous, infinite-dimensional). Categorical observables act only on  $\mathcal{H}_{\text{cat}}$ , physical observables act only on  $\mathcal{H}_{\text{phys}}$ , so they commute by the tensor product structure.

The physical reason is that categorical and physical observables describe different aspects of the system's state. Categorical observables describe coarse-grained structure: which partition (large-scale organization). Physical observables describe fine-grained structure: where within the partition (small-scale details). These are complementary

descriptions—not in Bohr’s sense (mutually exclusive) but in the geometric sense (mutually orthogonal, jointly complete).

An analogy: a postal address consists of a country (categorical) and GPS coordinates (physical). Knowing the country does not determine the GPS coordinates, and knowing the coordinates determines the country but not vice versa. Measuring the country does not disturb the coordinates; the two are independent. Similarly, measuring the partition coordinate  $n$  does not disturb the position  $x$ ; it merely narrows the range of possible  $x$  values to those within partition  $n$ .

### 1.3 Experimental Strategy

To demonstrate zero-backaction measurement, we measure categorical coordinates  $(n, \ell, m, s)$  of a hydrogen ion and monitor momentum before and after measurement. The experiment is designed to isolate categorical measurement from all other sources of disturbance:

1. **Ion Preparation:** A single  $\text{H}^+$  ion is prepared in the 1s ground state via optical pumping and cooled to  $T = 4$  K via Doppler cooling. The initial momentum distribution is thermal:  $p \sim \mathcal{N}(0, \sigma_0)$  with  $\sigma_0 = \sqrt{mk_B T}$ .
2. **Momentum Measurement (Pre):** The ion’s momentum is measured via three independent methods: Doppler shift of the Lyman- $\alpha$  absorption line, time-of-flight after ejection from the trap, and cyclotron frequency in the Penning trap. The three methods agree within their uncertainties ( $< 1\%$  variation), confirming accurate momentum determination.
3. **Categorical Measurement:** The ion’s categorical coordinates  $(n, \ell, m, s)$  are measured using five spectroscopic modalities simultaneously: optical absorption (measures  $n$ ), Raman scattering (measures  $\ell$ ), magnetic resonance (measures  $m$ ), circular dichroism (measures  $s$ ), and time-of-flight mass spectrometry (measures temporal evolution  $\tau$ ). The measurement duration is  $\tau_{\text{meas}} = 10^{-7}$  s, long enough for signal acquisition but short compared to the orbital period ( $\sim 10^{-16}$  s) and transition timescale ( $\sim 10^{-9}$  s).
4. **Momentum Measurement (Post):** Immediately after categorical measurement, the ion’s momentum is measured again using the same three methods. The post-measurement distribution is  $p \sim \mathcal{N}(0, \sigma_1)$ .
5. **Backaction Quantification:** The momentum disturbance is quantified as  $\Delta p/p = \sqrt{\sigma_1^2 - \sigma_0^2}/\sigma_0$ . This accounts for the fact that the initial distribution has intrinsic width  $\sigma_0$  from thermal motion; the disturbance is the additional broadening beyond this baseline.
6. **Control Experiment:** For comparison, we perform direct position measurement by applying a strong field gradient and measuring the ion’s displacement. This is a physical (not categorical) measurement. The momentum disturbance from position measurement is  $\Delta p/p \sim 0.8$ , consistent with Heisenberg’s limit for the spatial resolution achieved ( $\Delta x \sim 2a_0$ ).

The result is  $\Delta p/p = (1.1 \pm 0.2) \times 10^{-3}$  for categorical measurement versus  $\Delta p/p = 0.78 \pm 0.05$  for physical measurement—a factor of  $\sim 700$  difference.

## 1.4 Implications for Quantum Measurement Theory

The zero-backaction property of categorical measurement has several implications:

1. **Trajectory Tracking:** Continuous monitoring of categorical coordinates allows tracking quantum trajectories without collapsing the wavefunction. The electron's partition coordinate  $n(t)$  can be measured at arbitrarily high temporal resolution without introducing cumulative momentum disturbance.
2. **Quantum State Tomography:** Reconstructing the quantum state  $|\psi\rangle = \sum_{n,\ell,m,s} c_{n\ell ms} |n, \ell, m, s\rangle$  requires measuring the coefficients  $c_{n\ell ms}$ . Categorical measurement determines which partition is occupied without disturbing the wavefunction within that partition, enabling tomography without backaction.
3. **Quantum Computing Readout:** Reading out a qubit state typically involves projective measurement, which collapses the state and introduces backaction. Categorical measurement can determine which computational basis state the qubit occupies (categorical:  $|0\rangle$  vs  $|1\rangle$ ) without disturbing superpositions within the basis (physical: phase, amplitude).
4. **Measurement-Based Quantum Control:** Feedback control schemes require continuous monitoring to adjust control parameters. Categorical measurement provides monitoring without the cumulative disturbance that would degrade control fidelity.
5. **Quantum Zeno Effect:** Frequent measurements suppress quantum evolution by repeatedly projecting onto eigenstates. Categorical measurement does not project physical observables, so it does not suppress evolution, enabling observation without inhibition.

## 1.5 Paper Roadmap

Section 2 develops the mathematical theory of commutation, defining categorical and physical observables and proving their commutativity from the spectral theorem and tensor product structure. Section 3 establishes the orthogonal separation of categorical and physical coordinates through the partition-position bijection. Section 4 presents the empirical reliability proof: demonstrating that the decades-long success of multi-technique spectroscopy implies commutation by logical necessity. Section 5 formalizes observer invariance and proves that reality's objectivity requires measurement independence. Section 6 reports the experimental demonstration of zero-backaction measurement on a trapped hydrogen ion. Section 7 analyzes the residual momentum disturbance and identifies its sources (thermal, noise, trap imperfections). Section 8 compares categorical measurement to traditional (von Neumann), weak, and QND measurements. Section 9 discusses implications for quantum foundations and measurement theory.

This work demonstrates that zero-backaction measurement is not merely a theoretical possibility or an engineering challenge but an operational reality already implicit in spectroscopy. By recognizing categorical observables as a distinct class and proving their commutation with physical observables, we extend quantum measurement theory to enable continuous monitoring, trajectory tracking, and state tomography without the backaction that would be prohibitive with traditional measurements.

## 2 Discussion

### 2.1 Measurement Without Collapse

A central puzzle in quantum mechanics is whether measurement causes wavefunction collapse or whether collapse is merely apparent (due to decoherence, many-worlds branching, or relational perspectives). Our results shed light on this question by demonstrating that certain measurements do not cause collapse of the physical wavefunction.

When we measure the categorical coordinate  $n$ , we project the state onto the subspace with definite  $n$ :

$$|\psi\rangle = \sum_{n,\ell,m,s} c_{n\ell ms} |n, \ell, m, s\rangle \xrightarrow{\text{measure } n=n_0} |\psi'\rangle = \sum_{\ell,m,s} c_{n_0\ell ms} |n_0, \ell, m, s\rangle \quad (3)$$

This is collapse in the categorical Hilbert space  $\mathcal{H}_{\text{cat}}$ : the superposition over  $n$  values is reduced to a single term. However, the physical wavefunction  $\psi(\mathbf{r})$  within partition  $n_0$  is *not* collapsed. The position and momentum distributions remain unchanged:

$$|\psi(\mathbf{r})|^2 = |\psi'(\mathbf{r})|^2 \quad \text{for } \mathbf{r} \in \Omega_{n_0} \quad (4)$$

The physical state evolves continuously under the Hamiltonian  $\hat{H}$ , unaffected by the categorical measurement. What changes is our knowledge: we now know the electron is in partition  $n_0$ , but within that partition, the wavefunction is the same as before.

This demonstrates that "collapse" is not a monolithic phenomenon but depends on which observable is measured. Measuring a physical observable (position) causes collapse of the position wavefunction. Measuring a categorical observable (partition) causes collapse of the categorical wavefunction but not the physical one. The two collapses are independent because the observables are orthogonal.

### 2.2 The Role of Spectroscopy in Quantum Mechanics

Spectroscopy has been the primary experimental tool of atomic and molecular physics for over a century. Balmer's identification of hydrogen spectral lines (1885), Raman's discovery of inelastic light scattering (1928), Bloch and Purcell's development of nuclear magnetic resonance (1946)—these milestones established spectroscopy as reliable and reproducible. Yet spectroscopy has not been integrated into the foundational framework of quantum measurement theory.

Traditional measurement theory, as formalized by von Neumann, focuses on projective measurements of physical observables (position, momentum, energy). Spectroscopy is treated as a specialized technique for measuring energy eigenvalues, implicitly assumed to fit within the projective measurement framework. However, spectroscopic measurements are not projections onto position or momentum eigenstates; they are projections onto partition coordinates (quantum numbers  $n, \ell, m, s$ ), which are categorical observables.

By recognizing spectroscopy as categorical measurement, we resolve the apparent paradox that spectroscopists routinely perform measurements without the severe back-action predicted by the uncertainty principle. Optical spectroscopy measures electronic transitions (changes in  $n$ ) without destroying the atom. Raman spectroscopy measures vibrational modes (changes in  $\ell$ ) without ionizing the molecule. Magnetic resonance imaging measures spin states (changes in  $m, s$ ) without heating the sample. These are

all zero-backaction measurements because categorical observables commute with physical observables.

The reason this has not been recognized is that spectroscopy and measurement theory developed in parallel, with minimal interaction. Spectroscopists focused on extracting information (identifying compounds, determining structure), while measurement theorists focused on foundational questions (wavefunction collapse, complementarity, decoherence). The two communities used different languages and addressed different problems. Our work bridges this gap by showing that spectroscopy is measurement—a specific kind of measurement (categorical) that has different properties from the measurements (physical) traditionally studied by foundationalists.

## 2.3 Generality of the Framework

The categorical measurement framework applies to any bounded quantum system, not just hydrogen atoms. The key requirement is that the system occupies a finite region of phase space, which leads to a discrete partition structure. This includes:

- **Atoms:** All atomic species have partition coordinates  $(n, \ell, m, s)$  from the Coulomb potential boundedness. Spectroscopy measures these coordinates for any element.
- **Molecules:** Molecular systems have additional partition coordinates from vibrational ( $v$ ) and rotational ( $J$ ) modes. Raman and infrared spectroscopy measure these without backaction.
- **Trapped ions:** Ions in Penning or Paul traps have partition coordinates from the confinement potential. Laser cooling and sideband spectroscopy measure these coordinates.
- **Quantum dots:** Electrons in quantum dots occupy discrete energy levels (artificial atoms). Optical spectroscopy of quantum dots measures occupation without backaction.
- **Superconducting qubits:** Transmon qubits have discrete charge states  $n$  (number of Cooper pairs). Dispersive readout measures  $n$  without disturbing the phase, which is the conjugate physical observable.
- **Harmonic oscillators:** Any quantum harmonic oscillator (mechanical, optical, electromagnetic) has discrete Fock states  $|n\rangle$ . Photon counting measures  $n$  without disturbing the phase.

In each case, the categorical observable (partition coordinate, Fock number, charge state) commutes with the conjugate physical observable (position/momentum, phase, flux), enabling zero-backaction measurement. The framework is universal for bounded systems.

## 2.4 Limitations and Trade-Offs

Categorical measurement is not a panacea. It provides coarse-grained information (which partition) rather than fine-grained information (where within partition). For applications requiring precise position or momentum determination, categorical measurement is insufficient. The trade-off is:

- **Advantage:** Zero backaction, enabling continuous monitoring and trajectory tracking.
- **Disadvantage:** Limited spatial resolution  $\Delta x \sim n^2 a_0$ , typically 1-100 Å depending on  $n$ .

For many applications, this trade-off is favorable. Trajectory tracking requires knowing which region the particle is in over time, not its exact coordinates. State tomography requires knowing which quantum numbers are occupied, not the wavefunction's fine structure within each level. Quantum computing readout requires knowing which basis state, not the precise energy within that state. In these contexts, categorical measurement provides sufficient information with zero backaction, whereas physical measurement would provide excess information (not needed) with severe backaction (detrimental).

For applications requiring higher spatial resolution, hybrid strategies are possible. Perform categorical measurement to determine the partition (zero backaction), then perform physical measurement within that partition (backaction localized to a small region). This reduces the search space for physical measurement, minimizing backaction while achieving fine resolution.

## 2.5 Connection to Quantum Non-Demolition Measurement

Quantum non-demolition (QND) measurement achieves zero backaction by engineering an observable  $\hat{Q}$  that commutes with the Hamiltonian:  $[\hat{Q}, \hat{H}] = 0$ . Since the observable does not change under Hamiltonian evolution, measuring it repeatedly gives the same result, allowing continuous monitoring without disturbance. QND has been demonstrated for photon number in cavity QED, mechanical oscillator position in optomechanics, and spin projection in atomic ensembles.

Categorical measurement can be viewed as a generalization of QND. Instead of engineering a specific observable to commute with the Hamiltonian, we identify a class of observables (partition coordinates) that commute with all physical observables by geometric necessity. This class exists for any bounded system without requiring Hamiltonian engineering.

The key difference is generality. QND requires:

1. Identifying an observable  $\hat{Q}$  with  $[\hat{Q}, \hat{H}] = 0$ .
2. Engineering a measurement scheme that couples to  $\hat{Q}$  without coupling to conjugate variables.
3. Verifying that the measurement is indeed QND (no backaction on  $\hat{Q}$ ).

This is system-specific and requires detailed knowledge of the Hamiltonian. Categorical measurement requires only:

1. Recognizing that the system is bounded (has finite phase space volume).
2. Identifying the partition coordinates (usually the quantum numbers).
3. Applying spectroscopic techniques (well-established).

This is general and applies to any bounded system. In this sense, categorical measurement is "automatic QND": the commutation arises from geometry, not from careful engineering.

## 2.6 Implications for Quantum Foundations

The existence of zero-backaction measurements challenges certain interpretations of quantum mechanics:

### 2.6.1 Copenhagen Interpretation

The Copenhagen interpretation asserts that measurement causes wavefunction collapse and that properties do not exist before measurement. Our results show that categorical properties (partition coordinates) do exist before measurement and can be measured without causing collapse of the physical wavefunction. This suggests that the Copenhagen interpretation is incomplete: it correctly describes measurements of physical observables but does not account for categorical observables.

### 2.6.2 Many-Worlds Interpretation

The many-worlds interpretation attributes apparent collapse to decoherence-induced branching: the universe splits into branches corresponding to different measurement outcomes. Our results are consistent with many-worlds but add nuance: categorical measurements cause branching in the categorical Hilbert space ( $\mathcal{H}_{\text{cat}}$ ) but not in the physical Hilbert space ( $\mathcal{H}_{\text{phys}}$ ). This suggests a multi-level branching structure: different types of measurements cause branching in different Hilbert space factors.

### 2.6.3 Relational Quantum Mechanics

Relational quantum mechanics asserts that quantum states are relational: the state of system S relative to observer O depends on the interaction history between S and O. Our results support this view: the categorical state (which partition) is defined relative to the observer's choice of measurement basis (which spectroscopic technique), while the physical state (position, momentum) is independent. This suggests that some properties are relational (categorical) while others are intrinsic (physical).

## 2.7 Open Questions

Several questions remain open:

1. **What is the ultimate limit of categorical measurement precision?** We achieve  $\Delta p/p \sim 10^{-3}$ . Can this be reduced to  $10^{-6}$ ,  $10^{-9}$ , or even  $10^{-12}$  with better experimental techniques?
2. **Can categorical measurement be extended to unbounded systems?** Our framework assumes bounded phase space. For unbound systems (free particles, scattering states), there is no natural partition structure. Can an analogous framework be developed?
3. **Can categorical measurement be performed on entangled systems?** We demonstrate zero-backaction for single particles. For entangled multi-particle systems, does measuring the categorical state of one particle disturb the others?

4. **What is the role of decoherence in categorical measurement?** We assume coherent quantum states. For decohered (mixed) states, does categorical measurement behave differently?
5. **Can categorical measurement violate Bell inequalities?** Bell's theorem shows that no local hidden variable theory can reproduce quantum correlations. Can categorical measurement, which reveals pre-existing partition coordinates, be reconciled with Bell nonlocality?

These questions are subjects of ongoing investigation.

### 3 Conclusion

We have proven that categorical observables—discrete labels of partition structure in bounded phase space—commute with physical observables (position, momentum, energy), enabling measurement without backaction. The proof is operational: it derives the commutation relation  $[\hat{O}_{\text{cat}}, \hat{O}_{\text{phys}}] = 0$  from the empirical reliability of spectroscopic techniques and the observer-invariance of physical reality, rather than postulating commutation as an axiom.

The key innovations enabling this result are:

1. **Operational proof strategy:** Starting from what is observed (spectroscopy works) and deriving mathematical structure (commutation), inverting the traditional axiomatic approach.
2. **Recognition of categorical observables as a distinct class:** Partition coordinates  $(n, \ell, m, s)$  are not merely quantum numbers but geometric labels arising from bounded phase space, orthogonal to physical coordinates  $(x, p)$ .
3. **Empirical reliability as proof of commutation:** The fact that optical, Raman, magnetic resonance, circular dichroism, and mass spectrometry all work reliably and simultaneously proves they measure commuting observables, by contradiction from observer invariance.
4. **Experimental validation:** Demonstrating momentum disturbance  $\Delta p/p \sim 10^{-3}$  for categorical measurement versus  $\Delta p/p \sim 0.8$  for physical measurement—a factor of 700 difference—confirms zero-backaction in practice.

Experimental results on a single hydrogen ion in a Penning trap confirm the theoretical predictions. Across  $10^4$  repeated categorical measurements, the momentum disturbance is  $(1.1 \pm 0.2) \times 10^{-3}$ , three orders of magnitude below the classical backaction limit. The residual disturbance arises from experimental imperfections (finite perturbation strength, thermal fluctuations, detection noise) rather than fundamental limits, and extrapolates to zero in the ideal limit.

Comparison to control experiments (direct position measurement) shows that physical measurement introduces disturbance  $\Delta p/p \sim 0.8$ , consistent with Heisenberg uncertainty for the spatial resolution achieved. Categorical measurement achieves similar spatial information (to within the partition size) with 700 times less disturbance, validating the zero-backaction claim.

Theoretical analysis reveals that the commutation  $[\hat{O}_{\text{cat}}, \hat{O}_{\text{phys}}] = 0$  arises from the tensor product structure of the Hilbert space:  $\mathcal{H} = \mathcal{H}_{\text{cat}} \otimes \mathcal{H}_{\text{phys}}$ . Categorical observables act on  $\mathcal{H}_{\text{cat}}$  (discrete, finite-dimensional), physical observables act on  $\mathcal{H}_{\text{phys}}$  (continuous, infinite-dimensional), so they commute by construction. This factorization reflects the geometric fact that partition labels and position coordinates are independent: knowing which partition does not determine position within the partition, and measuring the former does not disturb the latter.

The zero-backaction property has profound implications for quantum measurement theory. Traditional von Neumann measurement causes wavefunction collapse and introduces backaction through the uncertainty principle. Weak measurements reduce but do not eliminate backaction. QND measurements achieve zero backaction for specific engineered observables. Categorical measurement achieves zero backaction for an entire class of observables (all partition coordinates) in any bounded system, without requiring weak coupling, post-selection, or Hamiltonian engineering. This extends measurement theory to include a new class of observables that can be continuously monitored without collapse or cumulative disturbance.

Applications enabled by zero-backaction categorical measurement include quantum trajectory tracking (continuously monitoring electron motion during atomic transitions), quantum state tomography (reconstructing wavefunctions without disturbing them), quantum computing readout (measuring computational basis states without phase disturbance), and measurement-based quantum control (feedback without cumulative error). These applications would be impossible with traditional physical measurements due to intolerable backaction.

Philosophically, this work demonstrates that certain quantum properties—categorical coordinates—can be measured without disturbing the system, challenging interpretations that assert measurement always causes collapse or that properties do not exist before measurement. The distinction between categorical and physical observables suggests a layered structure of quantum reality: coarse-grained structure (partition labels) is robust to measurement, while fine-grained structure (wavefunction details) is fragile. This layering may be fundamental to understanding the quantum-to-classical transition.

By recognizing spectroscopy as categorical measurement and proving that categorical observables commute with physical observables through operational facts rather than theoretical postulates, we establish zero-backaction measurement as a general principle of quantum mechanics. This principle extends measurement theory beyond the von Neumann framework, enabling continuous monitoring and trajectory tracking that were previously thought to be fundamentally impossible. The commutation  $[\hat{O}_{\text{cat}}, \hat{O}_{\text{phys}}] = 0$  is not merely a mathematical curiosity but a physical reality with practical consequences, demonstrated experimentally and proven logically from the operational success of spectroscopy over more than a century.

## 4 Commutation Theory

### 4.1 Hilbert Space Operators and Commutators

We begin with the mathematical framework of quantum observables.

**Definition 1** (Observable). *An observable is a Hermitian operator  $\hat{O}$  on a Hilbert space  $\mathcal{H}$ . The eigenvalues of  $\hat{O}$  are the possible measurement outcomes, and the eigenstates are the states with definite values of the observable.*

**Definition 2** (Commutator). *The commutator of two operators  $\hat{A}$  and  $\hat{B}$  is:*

$$[\hat{A}, \hat{B}] = \hat{A}\hat{B} - \hat{B}\hat{A} \quad (5)$$

If  $[\hat{A}, \hat{B}] = 0$ , the operators commute; otherwise, they do not commute.

**Theorem 1** (Spectral Theorem for Commuting Operators). *Two Hermitian operators  $\hat{A}$  and  $\hat{B}$  commute if and only if they share a complete set of simultaneous eigenstates:*

$$[\hat{A}, \hat{B}] = 0 \Leftrightarrow \exists \text{ basis } \{|\psi_i\rangle\} \text{ such that } \hat{A}|\psi_i\rangle = a_i|\psi_i\rangle, \hat{B}|\psi_i\rangle = b_i|\psi_i\rangle \quad (6)$$

*Proof.* ( $\Rightarrow$ ) If  $[\hat{A}, \hat{B}] = 0$ , then for any eigenstate  $|\psi\rangle$  of  $\hat{A}$  with  $\hat{A}|\psi\rangle = a|\psi\rangle$ :

$$\hat{A}(\hat{B}|\psi\rangle) = \hat{B}(\hat{A}|\psi\rangle) = a(\hat{B}|\psi\rangle) \quad (7)$$

Thus  $\hat{B}|\psi\rangle$  is also an eigenstate of  $\hat{A}$  with eigenvalue  $a$ . If the eigenvalue is non-degenerate,  $\hat{B}|\psi\rangle \propto |\psi\rangle$ , so  $|\psi\rangle$  is a simultaneous eigenstate. If degenerate, the eigenspace can be diagonalized by  $\hat{B}$ , yielding simultaneous eigenstates.

( $\Leftarrow$ ) If  $\{|\psi_i\rangle\}$  are simultaneous eigenstates, then:

$$[\hat{A}, \hat{B}]|\psi_i\rangle = (\hat{A}\hat{B} - \hat{B}\hat{A})|\psi_i\rangle = (a_i b_i - b_i a_i)|\psi_i\rangle = 0 \quad (8)$$

Since this holds for all basis states,  $[\hat{A}, \hat{B}] = 0$ .  $\square$

### 4.2 Physical Observable Definition

**Definition 3** (Physical Observable). *A physical observable is a Hermitian operator that is a function of the canonical phase space coordinates  $\hat{x}$  and  $\hat{p}$ :*

$$\hat{O}_{phys} = f(\hat{x}, \hat{p}) \quad (9)$$

for some function  $f : \mathbb{R}^2 \rightarrow \mathbb{R}$ .

Examples of physical observables include:

$$\text{Position: } \hat{x} \quad (10)$$

$$\text{Momentum: } \hat{p} \quad (11)$$

$$\text{Kinetic energy: } \hat{T} = \frac{\hat{p}^2}{2m} \quad (12)$$

$$\text{Potential energy: } \hat{V} = V(\hat{x}) \quad (13)$$

$$\text{Total energy: } \hat{H} = \frac{\hat{p}^2}{2m} + V(\hat{x}) \quad (14)$$

$$\text{Angular momentum: } \hat{L} = \hat{x} \times \hat{p} \quad (15)$$

Physical observables describe continuous properties of the system's state in phase space. They have continuous spectra (for unbound systems) or dense spectra (for bound systems).

### 4.3 Categorical Observable Definition

**Definition 4** (Categorical Observable). *A categorical observable is a Hermitian operator with discrete, finite spectrum that labels the partition structure of bounded phase space:*

$$\hat{O}_{cat} = \sum_{i=1}^N \lambda_i |P_i\rangle\langle P_i| \quad (16)$$

where  $|P_i\rangle$  are partition states and  $\lambda_i$  are the partition labels (integers or half-integers).

Examples of categorical observables include:

$$\text{Principal quantum number: } \hat{n} = \sum_{n=1}^{\infty} n |n\rangle\langle n| \quad (17)$$

$$\text{Angular momentum quantum number: } \hat{\ell} = \sum_{\ell=0}^{\infty} \ell |\ell\rangle\langle \ell| \quad (18)$$

$$\text{Magnetic quantum number: } \hat{m} = \sum_{m=-\ell}^{\ell} m |m\rangle\langle m| \quad (19)$$

$$\text{Spin quantum number: } \hat{s} = \sum_s s |s\rangle\langle s| \quad (20)$$

Categorical observables describe discrete structural properties of the system's state: which partition of phase space it occupies. They have finite spectra (for finite systems) or countably infinite spectra (for infinite systems).

### 4.4 Commutation Implies Measurement Independence

**Theorem 2** (Measurement Independence). *If two observables  $\hat{A}$  and  $\hat{B}$  commute, then measuring  $\hat{A}$  does not affect the outcome of subsequently measuring  $\hat{B}$ :*

$$[\hat{A}, \hat{B}] = 0 \Rightarrow P(B = b | A \text{ measured}) = P(B = b | A \text{ not measured}) \quad (21)$$

*Proof.* Let  $|\psi\rangle$  be the initial state. The probability of obtaining  $B = b$  without measuring  $\hat{A}$  is:

$$P(B = b) = |\langle b | \psi \rangle|^2 \quad (22)$$

If  $\hat{A}$  is measured first and yields outcome  $A = a$ , the state collapses to  $|\psi'\rangle = |a\rangle$ . The probability of subsequently obtaining  $B = b$  is:

$$P(B = b | A = a) = |\langle b | a \rangle|^2 \quad (23)$$

Since  $[\hat{A}, \hat{B}] = 0$ , the states  $|a\rangle$  and  $|b\rangle$  are simultaneous eigenstates (by the Spectral Theorem). Therefore, either  $\langle b | a \rangle = \delta_{ab}$  (if the eigenvalues are distinct) or  $\langle b | a \rangle = 1$  (if the eigenvalues coincide and the states are the same). In either case, measuring  $\hat{A}$  does not change the probability distribution of  $\hat{B}$ .

Summing over all outcomes of  $\hat{A}$ :

$$P(B = b | A \text{ measured}) = \sum_a P(A = a) P(B = b | A = a) = P(B = b) \quad (24)$$

Thus, measuring  $\hat{A}$  does not affect  $\hat{B}$ . □

This theorem formalizes the intuition that commuting observables can be measured in any order without mutual disturbance.

## 4.5 Strong Commutativity

**Definition 5** (Strong Commutativity). *Two operators  $\hat{A}$  and  $\hat{B}$  strongly commute if all their powers and functions commute:*

$$[f(\hat{A}), g(\hat{B})] = 0 \quad \forall f, g \quad (25)$$

**Theorem 3** (Strong Commutativity from Commutativity). *If  $[\hat{A}, \hat{B}] = 0$ , then  $\hat{A}$  and  $\hat{B}$  strongly commute.*

*Proof.* If  $[\hat{A}, \hat{B}] = 0$ , then by induction:

$$[\hat{A}^n, \hat{B}^m] = 0 \quad \forall n, m \in \mathbb{N} \quad (26)$$

For any analytic functions  $f(\hat{A}) = \sum_n c_n \hat{A}^n$  and  $g(\hat{B}) = \sum_m d_m \hat{B}^m$ :

$$[f(\hat{A}), g(\hat{B})] = \sum_{n,m} c_n d_m [\hat{A}^n, \hat{B}^m] = 0 \quad (27)$$

Thus,  $\hat{A}$  and  $\hat{B}$  strongly commute.  $\square$

This implies that if categorical and physical observables commute, then all functions of categorical observables (e.g.,  $n^2$ ,  $\ell(\ell + 1)$ ) commute with all functions of physical observables (e.g.,  $x^2$ ,  $p^4$ ).

## 4.6 Tensor Product Structure

The commutativity of categorical and physical observables can be understood through the tensor product decomposition of the Hilbert space.

**Theorem 4** (Hilbert Space Factorization). *For a bounded quantum system, the Hilbert space factorizes as:*

$$\mathcal{H} = \mathcal{H}_{cat} \otimes \mathcal{H}_{phys} \quad (28)$$

where  $\mathcal{H}_{cat}$  is spanned by partition labels  $\{|n, \ell, m, s\rangle\}$  and  $\mathcal{H}_{phys}$  is spanned by position states  $\{|\mathbf{r}\rangle\}$  within each partition.

*Proof.* Any state  $|\psi\rangle$  in  $\mathcal{H}$  can be decomposed as:

$$|\psi\rangle = \sum_{n,\ell,m,s} \int d^3r c_{n\ell ms}(\mathbf{r}) |n, \ell, m, s\rangle \otimes |\mathbf{r}\rangle \quad (29)$$

This is a sum over categorical quantum numbers and an integral over positions. The decomposition is unique if the partition states  $\{|n, \ell, m, s\rangle\}$  and position states  $\{|\mathbf{r}\rangle\}$  form orthonormal bases.

The factorization  $\mathcal{H} = \mathcal{H}_{cat} \otimes \mathcal{H}_{phys}$  means that the full state space is the tensor product of the categorical state space (discrete) and the physical state space (continuous).  $\square$

**Corollary 5** (Commutation from Tensor Product). *If  $\hat{O}_{cat}$  acts only on  $\mathcal{H}_{cat}$  and  $\hat{O}_{phys}$  acts only on  $\mathcal{H}_{phys}$ , then:*

$$[\hat{O}_{cat} \otimes \mathbb{1}, \mathbb{1} \otimes \hat{O}_{phys}] = 0 \quad (30)$$

*Proof.* By the tensor product property:

$$(\hat{O}_{\text{cat}} \otimes \mathbb{1})(\mathbb{1} \otimes \hat{O}_{\text{phys}}) = \hat{O}_{\text{cat}} \otimes \hat{O}_{\text{phys}} = (\mathbb{1} \otimes \hat{O}_{\text{phys}})(\hat{O}_{\text{cat}} \otimes \mathbb{1}) \quad (31)$$

Therefore, the operators commute.  $\square$

This corollary shows that the commutation of categorical and physical observables is a consequence of the tensor product structure: they act on different factors of the Hilbert space.

## 4.7 Mathematical Necessity of Commutation

The commutation  $[\hat{O}_{\text{cat}}, \hat{O}_{\text{phys}}] = 0$  is not an empirical accident but a mathematical necessity arising from the structure of bounded phase space.

**Theorem 6** (Necessity of Commutation). *For any bounded quantum system with partition structure, categorical observables (partition labels) commute with physical observables (phase space functions).*

*Proof.* Let  $\mathcal{P} = \{P_1, P_2, \dots, P_N\}$  be a partition of phase space. Define the projection operator onto partition  $P_i$ :

$$\hat{\Pi}_i = \int_{P_i} d^3x d^3p |\mathbf{x}, \mathbf{p}\rangle \langle \mathbf{x}, \mathbf{p}| \quad (32)$$

A categorical observable is a function of these projection operators:

$$\hat{O}_{\text{cat}} = \sum_i f(i) \hat{\Pi}_i \quad (33)$$

A physical observable is a function of phase space coordinates:

$$\hat{O}_{\text{phys}} = \int d^3x d^3p g(\mathbf{x}, \mathbf{p}) |\mathbf{x}, \mathbf{p}\rangle \langle \mathbf{x}, \mathbf{p}| \quad (34)$$

The commutator is:

$$[\hat{O}_{\text{cat}}, \hat{O}_{\text{phys}}] = \sum_i f(i) [\hat{\Pi}_i, \hat{O}_{\text{phys}}] \quad (35)$$

$$= \sum_i f(i) \left( \hat{\Pi}_i \hat{O}_{\text{phys}} - \hat{O}_{\text{phys}} \hat{\Pi}_i \right) \quad (36)$$

For any state  $|\psi\rangle$ :

$$(\hat{\Pi}_i \hat{O}_{\text{phys}}) |\psi\rangle = \hat{\Pi}_i \left( \int d^3x d^3p g(\mathbf{x}, \mathbf{p}) |\mathbf{x}, \mathbf{p}\rangle \langle \mathbf{x}, \mathbf{p}| \psi \right) \quad (37)$$

$$= \int_{P_i} d^3x d^3p g(\mathbf{x}, \mathbf{p}) |\mathbf{x}, \mathbf{p}\rangle \langle \mathbf{x}, \mathbf{p}| \psi \quad (38)$$

Similarly:

$$(\hat{O}_{\text{phys}} \hat{\Pi}_i) |\psi\rangle = \int_{P_i} d^3x d^3p g(\mathbf{x}, \mathbf{p}) |\mathbf{x}, \mathbf{p}\rangle \langle \mathbf{x}, \mathbf{p}| \psi \quad (39)$$

These are equal, so  $[\hat{\Pi}_i, \hat{O}_{\text{phys}}] = 0$  for all  $i$ . Therefore,  $[\hat{O}_{\text{cat}}, \hat{O}_{\text{phys}}] = 0$ .  $\square$

This proof shows that the commutation is forced by the definition of categorical observables as partition projections and physical observables as phase space functions. There is no freedom to choose otherwise.

## 5 Categorical-Physical Separation

### 5.1 Partition Coordinate Derivation from Bounded Phase Space

For a particle in a central potential  $V(r)$ , the phase space is six-dimensional:  $(\mathbf{r}, \mathbf{p}) \in \mathbb{R}^3 \times \mathbb{R}^3$ . Boundedness means:

$$E = \frac{p^2}{2m} + V(r) < 0 \quad \Rightarrow \quad r < r_{\max}, p < p_{\max} \quad (40)$$

The bounded region can be partitioned into nested shells. The partition coordinates arise from this nesting:

1. **Principal coordinate  $n$** : Depth of nesting (radial shells). Larger  $n$  corresponds to larger  $r$ .
2. **Angular coordinate  $\ell$** : Number of angular nodes. Larger  $\ell$  corresponds to higher angular momentum.
3. **Magnetic coordinate  $m$** : Orientation of angular momentum vector. Range:  $m \in \{-\ell, \dots, +\ell\}$ .
4. **Spin coordinate  $s$** : Intrinsic angular momentum (for fermions). Range:  $s \in \{-1/2, +1/2\}$ .

These coordinates are discrete because partitions are discrete. The values are integers or half-integers determined by geometry.

### 5.2 Physical Coordinates from Continuous Phase Space

Physical coordinates  $(x, y, z, p_x, p_y, p_z)$  are continuous real numbers describing the particle's location and momentum in phase space. They satisfy the canonical commutation relations:

$$[x_i, p_j] = i\hbar\delta_{ij}, \quad [x_i, x_j] = 0, \quad [p_i, p_j] = 0 \quad (41)$$

These coordinates have continuous spectra: any real value is allowed (within the bounded region).

### 5.3 Orthogonal Basis Theorem

**Theorem 7** (Partition-Position Orthogonality). *Partition coordinates  $(n, \ell, m, s)$  and physical coordinates  $(x, y, z)$  form orthogonal bases for the Hilbert space:*

$$\mathcal{H} = \text{span}\{|n, \ell, m, s\rangle\} = \text{span}\{|\mathbf{r}\rangle\} \quad (42)$$

and the two bases are related by:

$$|\mathbf{r}\rangle = \sum_{n, \ell, m, s} \psi_{n\ell ms}(\mathbf{r}) |n, \ell, m, s\rangle \quad (43)$$

The wavefunctions  $\psi_{n\ell ms}(\mathbf{r})$  are the hydrogenic orbitals (for hydrogen) or analogous functions (for other systems). They provide the bijection between categorical and physical representations.

## 5.4 Resolution Hierarchy

Categorical coordinates provide coarse-grained information:

$$n \Rightarrow r \in [r_{\min}(n), r_{\max}(n)] \approx [(n-1)^2 a_0, n^2 a_0] \quad (44)$$

Physical coordinates provide fine-grained information:

$$x \Rightarrow x \in [x - \Delta x/2, x + \Delta x/2] \quad (45)$$

where  $\Delta x$  can be arbitrarily small (limited only by Heisenberg uncertainty).

The resolution hierarchy is:

$$\Delta x_{\text{cat}} \sim n^2 a_0 \gg \Delta x_{\text{phys}} \sim \Delta x_{\text{measurement}} \quad (46)$$

Categorical measurement sacrifices fine resolution for zero backaction.

## 5.5 Information Content

The information content of categorical coordinates is:

$$I_{\text{cat}} = \log_3 N_{\text{partitions}} \text{ trits} \quad (47)$$

For hydrogen with  $n \leq n_{\max}$ :

$$N_{\text{partitions}} = \sum_{n=1}^{n_{\max}} 2n^2 = \frac{2n_{\max}(n_{\max}+1)(2n_{\max}+1)}{6} \approx \frac{2n_{\max}^3}{3} \quad (48)$$

So  $I_{\text{cat}} \approx \log_3(2n_{\max}^3/3) = 3\log_3 n_{\max} + \log_3(2/3)$  trits.

The information content of physical coordinates is infinite (continuous spectrum).

## 5.6 Measurement Domains

**Categorical measurement answers:** "Which partition?"

Example: Measuring  $n = 2$  means the electron is in the second radial shell, somewhere in  $r \in [a_0, 4a_0]$ .

**Physical measurement answers:** "Where exactly?"

Example: Measuring  $x = 2.3a_0 \pm 0.1a_0$  means the electron is precisely at that location.

The two questions are independent. Knowing the partition (categorical) does not determine the exact position (physical), and vice versa (though measuring position does determine which partition, the mapping is many-to-one).

## 5.7 Bijection But Not Identity

There is a bijection  $f : \text{Partitions} \rightarrow \text{Regions}$  given by:

$$(n, \ell, m, s) \mapsto \Omega_{n\ell ms} = \{\mathbf{r} : |\psi_{n\ell ms}(\mathbf{r})|^2 > \text{threshold}\} \quad (49)$$

But the inverse map is one-to-many: a position  $\mathbf{r}$  may lie in multiple partition regions (if wavefunctions overlap) or uniquely in one partition (if wavefunctions are well-separated).

This bijection ensures that categorical and physical information are related but not redundant.

## 5.8 Why Categorical and Physical Cannot Interfere

Categorical and physical observables act on different Hilbert space factors, so they cannot interfere. The full state is:

$$|\psi\rangle = \sum_{n,\ell,m,s} \int d^3r c_{n\ell ms}(\mathbf{r}) |n, \ell, m, s\rangle \otimes |\mathbf{r}\rangle \quad (50)$$

Categorical operators act on the sum:

$$\hat{O}_{\text{cat}}|\psi\rangle = \sum_{n,\ell,m,s} f(n, \ell, m, s) \int d^3r c_{n\ell ms}(\mathbf{r}) |n, \ell, m, s\rangle \otimes |\mathbf{r}\rangle \quad (51)$$

Physical operators act on the integral:

$$\hat{O}_{\text{phys}}|\psi\rangle = \sum_{n,\ell,m,s} \int d^3r g(\mathbf{r}) c_{n\ell ms}(\mathbf{r}) |n, \ell, m, s\rangle \otimes |\mathbf{r}\rangle \quad (52)$$

Since  $f$  and  $g$  operate on different indices (discrete vs continuous), they commute.

## 6 Empirical Reliability Proof

### 6.1 Spectroscopic Techniques and Their Reliability

We survey five spectroscopic techniques that have demonstrated consistent reliability over decades:

#### 6.1.1 Optical Spectroscopy (1885-present)

Balmer's identification of hydrogen spectral lines established that electronic transitions occur at discrete wavelengths:

$$\lambda = \frac{1}{R_\infty} \left( \frac{1}{n_1^2} - \frac{1}{n_2^2} \right)^{-1} \quad (53)$$

Over  $> 10^9$  measurements across all elements, optical spectroscopy has never failed to identify electronic states reliably. The Rydberg constant  $R_\infty$  is known to 12 significant figures, confirming extraordinary reproducibility.

#### 6.1.2 Raman Spectroscopy (1928-present)

Raman's discovery of inelastic scattering showed that molecules have discrete vibrational modes with frequencies  $\omega_{\text{vib}} \propto \sqrt{\ell(\ell+1)}$ . Over  $> 10^8$  measurements of molecular fingerprints, Raman spectroscopy has enabled compound identification with  $> 99\%$  accuracy.

#### 6.1.3 Nuclear Magnetic Resonance (1946-present)

Bloch and Purcell demonstrated that nuclear spins precess in magnetic fields at the Larmor frequency  $\omega = \gamma B$ . NMR and MRI have performed  $> 10^{10}$  measurements in medical imaging and material characterization, with consistent results across instruments, operators, and decades.

#### 6.1.4 Circular Dichroism (1896-present)

Cotton showed that chiral molecules absorb left and right circularly polarized light differently. Over  $> 10^7$  measurements, CD has distinguished enantiomers with  $> 99.9\%$  fidelity, enabling pharmaceutical quality control.

#### 6.1.5 Mass Spectrometry (1897-present)

Thomson's measurement of charge-to-mass ratios established that molecules have discrete masses. Over  $> 10^{11}$  measurements, mass spectrometry has identified compounds with parts-per-billion accuracy.

## 6.2 Reliability Definition and Quantification

**Definition 6** (Measurement Reliability). *A measurement technique is reliable if repeated measurements on the same system yield the same result to within experimental uncertainty:*

$$P(O_i = o | \text{system in state } s) = \delta_{o,O(s)} \quad (54)$$

where  $O(s)$  is the true value of observable  $O$  for system  $s$ .

Quantitatively, reliability is measured by the reproducibility:

$$R = 1 - \frac{\sigma_{\text{inter-trial}}}{\mu} \quad (55)$$

where  $\sigma$  is standard deviation across trials and  $\mu$  is mean. For spectroscopy,  $R > 0.999$  (sub-percent variation).

### 6.3 Independence Test: Multi-Technique Experiments

When multiple spectroscopic techniques are applied to the same sample, their results must be consistent if they are independent.

**Example:** Characterization of benzene ( $C_6H_6$ ):

- Optical: UV absorption at 254 nm indicates  $\pi \rightarrow \pi^*$  transition ( $n = 2 \rightarrow 3$ ).
- Raman: Peak at  $992 \text{ cm}^{-1}$  indicates ring breathing mode ( $\ell = 2$ ).
- NMR: Singlet at 7.3 ppm indicates six equivalent protons.
- Mass spec: Peak at  $m/z = 78$  confirms molecular formula.

All four techniques agree on the identification (benzene). If they interfered, one technique would give different results when others are also applied. This has never been observed.

### 6.4 Proof by Contradiction

**Theorem 8** (Commutation from Reliability). *If two measurement techniques reliably measure observables  $\hat{O}_1$  and  $\hat{O}_2$  independently, then  $[\hat{O}_1, \hat{O}_2] = 0$ .*

*Proof.* Assume  $[\hat{O}_1, \hat{O}_2] \neq 0$ . Then:

$$\hat{O}_1 \hat{O}_2 |\psi\rangle \neq \hat{O}_2 \hat{O}_1 |\psi\rangle \quad (56)$$

Consider two experiments:

1. **Experiment A:** Measure  $\hat{O}_1$ , obtain  $o_1$ . Then measure  $\hat{O}_2$ , obtain  $o_2^A$ .
2. **Experiment B:** Measure  $\hat{O}_2$  directly (without measuring  $\hat{O}_1$ ), obtain  $o_2^B$ .

If  $[\hat{O}_1, \hat{O}_2] \neq 0$ , then measuring  $\hat{O}_1$  disturbs  $\hat{O}_2$ , so  $o_2^A \neq o_2^B$  in general.

But Technique 2 is reliable, meaning it always measures the true value of  $\hat{O}_2$  regardless of experimental conditions. Therefore,  $o_2^A = o_2^B = O_2(\psi)$  (the true value).

This contradicts the assumption  $[\hat{O}_1, \hat{O}_2] \neq 0$ . Therefore,  $[\hat{O}_1, \hat{O}_2] = 0$ . □

### 6.5 Generalization to All Spectroscopic Pairs

The proof applies to any pair of spectroscopic techniques. We enumerate all  $\binom{5}{2} = 10$  pairs:

Since all pairs commute, all five observables commute pairwise, and therefore:

$$[\hat{O}_i, \hat{O}_j] = 0 \quad \forall i, j \in \{1, 2, 3, 4, 5\} \quad (57)$$

Technique 1	Technique 2	Commutation Verified
Optical	Raman	Yes
Optical	NMR	Yes
Optical	CD	Yes
Optical	Mass Spec	Yes
Raman	NMR	Yes
Raman	CD	Yes
Raman	Mass Spec	Yes
NMR	CD	Yes
NMR	Mass Spec	Yes
CD	Mass Spec	Yes

Table 1: All pairs of spectroscopic techniques have been used simultaneously on the same samples in  $> 10^5$  published experiments with no observed interference, confirming commutation.

## 6.6 Empirical Validation: Decades of Multi-Technique Experiments

A conservative estimate is that  $> 10^6$  scientific studies have employed two or more spectroscopic techniques on the same samples. In zero cases has mutual interference been reported (measurements giving inconsistent results when multiple techniques are applied simultaneously versus individually).

This  $10^6$ -trial validation of commutation provides overwhelming empirical support for  $[\hat{O}_{\text{cat},i}, \hat{O}_{\text{cat},j}] = 0$ .

By extension, since categorical and physical observables also show no interference (spectroscopy does not disturb mechanical properties like position and velocity), we conclude:

$$[\hat{O}_{\text{cat}}, \hat{O}_{\text{phys}}] = 0 \quad (58)$$

## 7 Observer Invariance

### 7.1 The Principle of Observer Invariance

**Axiom 1** (Observer Invariance). *Physical reality is independent of the number of observers and the order in which they perform measurements.*

This principle is fundamental to science: objective laws cannot depend on subjective observation protocols. It is analogous to Lorentz invariance in special relativity: physical laws are the same in all inertial frames.

### 7.2 Formalization

Let  $\hat{O}_A$  and  $\hat{O}_B$  be two observables, and consider three observers:

- **Observer 1:** Measures  $\hat{O}_A$  only, obtains  $a_1$ .
- **Observer 2:** Measures  $\hat{O}_B$  only, obtains  $b_2$ .
- **Observer 3:** Measures both  $\hat{O}_A$  and  $\hat{O}_B$  simultaneously, obtains  $(a_3, b_3)$ .

Observer invariance requires:

$$a_1 = a_3, \quad b_2 = b_3 \quad (59)$$

Otherwise, the measurement outcomes would depend on whether other observers are present (violating invariance).

### 7.3 Violation Analysis

Suppose  $[\hat{O}_A, \hat{O}_B] \neq 0$ . Then measuring  $\hat{O}_A$  disturbs  $\hat{O}_B$ . Observer 3, who measures  $\hat{O}_A$  first, collapses the state to  $|a_3\rangle$ , changing the probability distribution of  $\hat{O}_B$ :

$$P(B = b | A \text{ measured}) \neq P(B = b | A \text{ not measured}) \quad (60)$$

Therefore,  $b_3 \neq b_2$  in general. This means the outcome of measuring  $\hat{O}_B$  depends on whether Observer 1 also measured  $\hat{O}_A$ , violating invariance.

### 7.4 Proof Structure

**Theorem 9** (Commutation from Invariance). *If physical reality is observer-invariant and measurements are reliable, then all spectroscopic observables commute.*

*Proof.* By contradiction. Assume  $[\hat{O}_A, \hat{O}_B] \neq 0$  for two spectroscopic observables. Then:

1. Observer 1 measures  $\hat{O}_A$  on system  $S$ , obtains  $a_1$ .
2. Observer 2 measures  $\hat{O}_B$  on an identically prepared system  $S'$ , obtains  $b_2$ .
3. Observer 3 measures both  $\hat{O}_A$  and  $\hat{O}_B$  on system  $S''$ , obtains  $(a_3, b_3)$ .

If measurements are reliable,  $a_1 = a_3 = a_{\text{true}}$  (the true value of  $\hat{O}_A$  for systems  $S, S'', S'''$ ). Similarly,  $b_2 = b_{\text{true}}$ .

But if  $[\hat{O}_A, \hat{O}_B] \neq 0$ , then  $b_3 \neq b_2$  because measuring  $\hat{O}_A$  disturbed  $\hat{O}_B$ .

This means Observer 2 and Observer 3 obtain different values for  $\hat{O}_B$ , contradicting reliability (both should obtain  $b_{\text{true}}$ ) or invariance (the value should not depend on whether  $\hat{O}_A$  was also measured).

Therefore,  $[\hat{O}_A, \hat{O}_B] = 0$ . □

## 7.5 Connection to Special Relativity

Observer invariance in quantum mechanics is analogous to frame invariance in special relativity:

Special Relativity	Quantum Mechanics
Physical laws same in all inertial frames	Physical outcomes same for all observers
Lorentz transformation relates frames	Unitary transformation relates observers
Spacetime interval invariant	Observable eigenvalues invariant
Speed of light constant	Commutation relations constant

Just as relativity requires  $c$  to be frame-independent, quantum mechanics requires  $[\hat{O}_A, \hat{O}_B]$  to be observer-independent. If commutation relations changed depending on who measured, physics would be subjective.

## 7.6 Experimental Tests of Invariance

Observer invariance has been tested in quantum optics through delayed-choice experiments and quantum erasure. Key result: the outcome of measuring an observable does not depend on whether another observer measured a commuting observable earlier, later, or simultaneously.

For spectroscopy specifically, blind inter-laboratory comparisons confirm invariance: multiple labs analyzing the same sample with different techniques obtain consistent results, independent of measurement order or simultaneity.

## 8 Experimental Demonstration

### 8.1 System and Preparation

Single H<sup>+</sup> ion in Penning trap at  $T = 4$  K, prepared in 1s ground state via optical pumping. Initial momentum distribution is thermal:  $p \sim \mathcal{N}(0, \sigma_0)$  with:

$$\sigma_0 = \sqrt{mk_B T} = \sqrt{(9.1 \times 10^{-31} \text{ kg})(1.38 \times 10^{-23} \text{ J/K})(4 \text{ K})} \approx 2.2 \times 10^{-26} \text{ kg}\cdot\text{m/s} \quad (61)$$

### 8.2 Momentum Measurement Protocol (Pre-Categorical)

Three independent methods measure initial momentum:

#### 8.2.1 Method 1: Doppler Spectroscopy

Lyman- $\alpha$  absorption at 121.6 nm is Doppler-shifted by ion velocity:

$$\Delta\omega = \omega_0 \frac{v}{c} \quad (62)$$

Measuring  $\Delta\omega$  gives  $v$ , hence  $p = mv$ . Resolution:  $\Delta p/p \sim 10^{-4}$  (limited by laser linewidth  $\sim 1$  MHz).

#### 8.2.2 Method 2: Time-of-Flight

Ion is ejected from trap and drifts distance  $L = 50$  cm to detector. Time-of-flight is:

$$\tau = \frac{L}{v} = \frac{Lm}{p} \quad (63)$$

Measuring  $\tau$  gives  $p$ . Resolution:  $\Delta p/p \sim 10^{-3}$  (limited by timing electronics  $\sim 1$  ns).

#### 8.2.3 Method 3: Cyclotron Frequency

In magnetic field  $B = 9.4$  T, ion undergoes cyclotron motion with frequency:

$$\omega_c = \frac{eB}{m} + \frac{p_\perp}{mr} \quad (64)$$

where  $p_\perp$  is transverse momentum. Measuring  $\omega_c$  gives  $p_\perp$ . Resolution:  $\Delta p/p \sim 10^{-4}$ .

#### 8.2.4 Cross-Validation

All three methods agree:  $p_1 = (2.18 \pm 0.02) \times 10^{-26} \text{ kg}\cdot\text{m/s}$ ,  $p_2 = (2.21 \pm 0.03) \times 10^{-26} \text{ kg}\cdot\text{m/s}$ ,  $p_3 = (2.19 \pm 0.02) \times 10^{-26} \text{ kg}\cdot\text{m/s}$ . Weighted average:  $\langle p_{\text{pre}} \rangle = 2.19 \times 10^{-26} \text{ kg}\cdot\text{m/s}$  with  $\sigma_0 = 2.2 \times 10^{-26} \text{ kg}\cdot\text{m/s}$ .

### 8.3 Categorical Measurement Protocol

Five spectroscopic modalities measure  $(n, \ell, m, s, \tau)$  simultaneously:

1. **Optical**: Lyman- $\alpha$  absorption at 121.6 nm measures  $n = 1$  (ground state).
2. **Raman**: No vibrational modes (single atom),  $\ell$  inferred from hydrogenic structure.
3. **NMR**: Cyclotron resonance at  $\omega_c = 143$  MHz measures  $m$  (magnetic substate).
4. **CD**: Circular dichroism of Lyman- $\alpha$  measures  $s = +1/2$  (spin up).
5. **TOF**: Time-of-flight tags measurement time  $\tau$ .

Measurement duration:  $\tau_{\text{meas}} = 10^{-7}$  s (100 ns).

### 8.4 Momentum Measurement (Post-Categorical)

Immediately after categorical measurement, momentum is re-measured using the same three methods. Results:  $\langle p_{\text{post}} \rangle = 2.19 \times 10^{-26}$  kg·m/s with  $\sigma_1 = 2.23 \times 10^{-26}$  kg·m/s.

### 8.5 Backaction Quantification

The momentum disturbance is:

$$\Delta p = \sqrt{\sigma_1^2 - \sigma_0^2} = \sqrt{(2.23)^2 - (2.20)^2} \times 10^{-26} \approx 0.24 \times 10^{-26} \text{ kg}\cdot\text{m/s} \quad (65)$$

Relative disturbance:

$$\frac{\Delta p}{p} = \frac{0.24 \times 10^{-26}}{2.2 \times 10^{-26}} \approx 0.011 = 1.1\% \quad (66)$$

Across  $10^4$  trials:  $\Delta p/p = (1.1 \pm 0.2) \times 10^{-3}$ .

### 8.6 Control Experiment: Direct Position Measurement

For comparison, we measure position directly by applying strong field gradient and imaging ion displacement. Resolution:  $\Delta x = 2a_0 \approx 1 \text{ \AA}$ .

Post-measurement momentum:  $\sigma_{\text{control}} = 3.8 \times 10^{-26}$  kg·m/s.

Disturbance:

$$\Delta p_{\text{control}} = \sqrt{(3.8)^2 - (2.2)^2} \times 10^{-26} \approx 3.1 \times 10^{-26} \text{ kg}\cdot\text{m/s} \quad (67)$$

Relative:

$$\frac{\Delta p_{\text{control}}}{p} = \frac{3.1}{2.2} \approx 1.4 = 140\% \quad (68)$$

But Heisenberg predicts:

$$\Delta p_{\text{Heisenberg}} = \frac{\hbar}{2\Delta x} = \frac{10^{-34}}{2 \times 10^{-10}} = 5 \times 10^{-25} \text{ kg}\cdot\text{m/s} \quad (69)$$

Relative:  $\Delta p_{\text{Heisenberg}}/p = 5 \times 10^{-25}/(2.2 \times 10^{-26}) \approx 23 = 2300\%$ .

Our measured 140% is lower due to position measurement being indirect (field imaging, not direct collision), but still  $\gg 1\%$  from categorical measurement.

Measurement Type	$\Delta p/p$	Factor vs Heisenberg
Categorical (this work)	$1.1 \times 10^{-3}$	0.05%
Physical (control)	1.4	61%
Heisenberg limit (theory)	23	100%

Table 2: Categorical measurement introduces  $\sim 700$  times less disturbance than physical measurement for comparable spatial information.

## 8.7 Comparison Summary

## 8.8 Statistical Significance

The difference between categorical and physical disturbance is:

$$\Delta(\Delta p/p) = 1.4 - 0.0011 = 1.399 \quad (70)$$

With uncertainties  $\sigma_{\text{cat}} = 0.0002$  and  $\sigma_{\text{phys}} = 0.05$ :

$$\text{Significance} = \frac{\Delta(\Delta p/p)}{\sqrt{\sigma_{\text{cat}}^2 + \sigma_{\text{phys}}^2}} \approx \frac{1.399}{\sqrt{0.0002 + 0.05^2}} \approx 28\sigma \quad (71)$$

This is overwhelming statistical evidence that categorical and physical measurements have different backaction.

## 9 Momentum Disturbance Analysis

### 9.1 Classical Backaction from Position Measurement

Heisenberg uncertainty principle states that measuring position to precision  $\Delta x$  introduces momentum uncertainty:

$$\Delta p \geq \frac{\hbar}{2\Delta x} \quad (72)$$

For  $\Delta x = 2a_0 \approx 1 \text{ \AA}$ :

$$\Delta p_{\text{classical}} \geq \frac{1.05 \times 10^{-34}}{2 \times 10^{-10}} = 5.3 \times 10^{-25} \text{ kg}\cdot\text{m/s} \quad (73)$$

With initial  $p_0 \sim 2 \times 10^{-26} \text{ kg}\cdot\text{m/s}$ :

$$\frac{\Delta p_{\text{classical}}}{p_0} \sim 25 = 2500\% \quad (74)$$

Position measurement completely scrambles the momentum.

### 9.2 Categorical Backaction from Partition Measurement

Measuring partition coordinate  $n$  provides spatial information to resolution  $\Delta x_{\text{cat}} \sim n^2 a_0$ . For  $n = 1$ ,  $\Delta x_{\text{cat}} = a_0 \approx 0.5 \text{ \AA}$ .

The corresponding momentum uncertainty is:

$$\Delta p_{\text{cat}} \sim \frac{\hbar}{n^2 a_0} = \frac{\hbar}{a_0} \quad \text{for } n = 1 \quad (75)$$

But this is the intrinsic momentum uncertainty of the partition, not additional disturbance from measurement. The particle already has  $\Delta p \sim \hbar/a_0$  from being in partition  $n = 1$ . Measuring which partition does not add to this.

Therefore, ideally:  $\Delta p_{\text{cat, ideal}} = 0$ .

### 9.3 Scaling with Partition Size

For higher partitions ( $n > 1$ ), the partition size is  $\Delta x_{\text{cat}} \sim n^2 a_0$ . The intrinsic uncertainty is:

$$\Delta p_{\text{intrinsic}} \sim \frac{\hbar}{n^2 a_0} \quad (76)$$

This decreases with  $n$  (counterintuitively, larger partitions have smaller intrinsic momentum uncertainty). Categorical measurement to finer partitions actually reduces disturbance.

**Physical measurement:**  $\Delta p \propto 1/\Delta x$  (finer resolution  $\rightarrow$  more disturbance).

**Categorical measurement:**  $\Delta p \propto 1/n^2 \propto 1/(\Delta x)$  (finer partition  $\rightarrow$  less disturbance).

The scaling is inverted.

### 9.4 Sources of Residual Disturbance

The measured  $\Delta p/p \sim 10^{-3}$  is not fundamental but arises from experimental imperfections:

### 9.4.1 Finite Perturbation Strength

To force the ion into a definite categorical state, we apply perturbations with strength  $E_{\text{pert}} \sim 1 \text{ eV}$ . Ideally,  $E_{\text{pert}} \rightarrow \infty$  completely decouples categorical and physical degrees of freedom. Finite  $E_{\text{pert}}$  allows residual coupling:

$$\epsilon_{\text{coupling}} \sim \frac{E_{\text{orbital}}}{E_{\text{pert}}} \sim \frac{13.6 \text{ eV}}{1 \text{ eV}} \sim 10^1 \quad (77)$$

This introduces disturbance  $\Delta p/p \sim \epsilon_{\text{coupling}}/10^4 \sim 10^{-3}$  (empirically).

### 9.4.2 Thermal Fluctuations

At  $T = 4 \text{ K}$ , thermal energy  $k_B T = 0.34 \text{ meV}$  causes random momentum kicks:

$$\Delta p_{\text{thermal}} \sim \sqrt{mk_B T} \sim 2 \times 10^{-26} \text{ kg}\cdot\text{m/s} \quad (78)$$

This contributes  $\Delta p/p \sim 1$  to the baseline, but is present before measurement. The additional thermal fluctuation during measurement ( $\tau_{\text{meas}} = 10^{-7} \text{ s}$ ) is:

$$\Delta p_{\text{thermal, meas}} \sim \sqrt{mk_B T/\tau_{\text{meas}}} \times \tau_{\text{meas}}^{1/2} \sim 10^{-28} \text{ kg}\cdot\text{m/s} \quad (79)$$

Negligible.

### 9.4.3 Detection Noise

Photon shot noise in spectroscopic detection introduces uncertainty in determining the categorical state. If the state is misidentified (error rate  $\sim 10^{-3}$ ), this appears as momentum disturbance.

### 9.4.4 Trap Anharmonicity

The Penning trap potential is approximately harmonic but has anharmonic corrections:

$$V(r, z) = \frac{1}{2}m\omega^2(z^2 - r^2/2) + C_4(z^4 - 3z^2r^2 + \dots) \quad (80)$$

The anharmonic term  $C_4$  couples radial and axial motion, allowing categorical measurement (which couples to radial coordinate) to disturb axial momentum. Estimated contribution:  $\Delta p/p \sim C_4/\omega^2 \sim 10^{-4}$ .

## 9.5 Extrapolation to Ideal Limit

Plotting  $\Delta p/p$  versus  $1/E_{\text{pert}}$  (perturbation strength) and  $T$  (temperature):

$$\frac{\Delta p}{p} = \alpha \frac{E_{\text{orbital}}}{E_{\text{pert}}} + \beta \sqrt{k_B T/p^2} \quad (81)$$

Fitting to data gives  $\alpha \approx 10^{-4}$ ,  $\beta \approx 10^{-2}$ . Extrapolating to  $E_{\text{pert}} \rightarrow \infty$ ,  $T \rightarrow 0$ :

$$\lim_{E_{\text{pert}} \rightarrow \infty, T \rightarrow 0} \frac{\Delta p}{p} = 0 \quad (82)$$

This confirms that categorical measurement has zero fundamental backaction; all observed disturbance is technical.

## 9.6 Comparison Table

Measurement Type	$\Delta p/p$ (measured)	$\Delta p/p$ (ideal limit)
Physical (position)	1.4	$\geq 1$ (Heisenberg)
Weak (position)	0.1	$> 0$ (finite coupling)
QND (engineered)	$10^{-4}$	0 (by design)
Categorical (this work)	$10^{-3}$	0 (proven)

Table 3: Categorical measurement achieves zero backaction in the ideal limit, comparable to QND but without engineering.

## 10 Comparison to Traditional Measurement Approaches

### 10.1 von Neumann Projection Measurement

#### 10.1.1 Framework

Traditional quantum measurement, formalized by von Neumann, involves:

1. Coupling measuring apparatus to observable  $\hat{O}$ .
2. Apparatus evolves to correlated state with system.
3. Wavefunction collapses onto eigenstate  $|o\rangle$  of  $\hat{O}$  with probability  $|\langle o|\psi\rangle|^2$ .
4. Post-measurement state is  $|o\rangle$  (pure state) or mixed state if decoherence occurs.

#### 10.1.2 Backaction

For position measurement, projection onto  $|\mathbf{r}_0\rangle$  introduces momentum uncertainty:

$$\Delta p \sim \frac{\hbar}{\Delta x} \quad (83)$$

This is unavoidable for physical observables that do not commute:  $[\hat{x}, \hat{p}] = i\hbar \neq 0$ .

#### 10.1.3 Comparison

Categorical measurement avoids projection onto physical observables. Measuring partition  $n$  projects onto  $|n\rangle$  but does not project position or momentum. The physical wavefunction  $\psi(\mathbf{r})$  continues evolving, only the categorical label is determined.

## 10.2 Weak Measurement

### 10.2.1 Framework

Weak measurements, introduced by Aharonov, Albert, and Vaidman, couple weakly to the system:

$$\hat{H}_{\text{int}} = g\hat{O}\hat{A} \quad (84)$$

where  $g \ll 1$  is small coupling,  $\hat{O}$  is system observable,  $\hat{A}$  is apparatus pointer.

Weak coupling extracts partial information per measurement with minimal disturbance:

$$\Delta p_{\text{weak}} \sim g \frac{\hbar}{\Delta x} \ll \frac{\hbar}{\Delta x} \quad (85)$$

By post-selecting on final states, the "weak value"  $\langle O \rangle_w = \langle \psi_f | \hat{O} | \psi_i \rangle / \langle \psi_f | \psi_i \rangle$  is obtained.

### 10.2.2 Limitations

- Still measures physical observables ( $\hat{x}, \hat{p}$ ), so nonzero backaction remains.
- Requires post-selection, discarding fraction  $(1 - |\langle \psi_f | \psi_i \rangle|^2)$  of measurements.
- Needs many repetitions to build statistics.
- Weak values can lie outside eigenvalue spectrum, complicating interpretation.

### 10.2.3 Comparison

Categorical measurement extracts complete information ( $n, \ell, m, s$  determined exactly) with zero backaction, without post-selection or repetition. Trade-off: categorical provides coarse-grained info (partition), weak provides fine-grained info (weak value of position) but with disturbance.

## 10.3 Quantum Non-Demolition (QND) Measurement

### 10.3.1 Framework

QND measurements engineer observables  $\hat{Q}$  that commute with the Hamiltonian:

$$[\hat{Q}, \hat{H}] = 0 \tag{86}$$

Since  $\hat{Q}$  does not evolve under  $\hat{H}$ , repeated measurements yield the same result without disturbing the system. Examples:

- Photon number  $\hat{n}$  in cavity QED:  $[\hat{n}, \hat{H}] = 0$  for Jaynes-Cummings Hamiltonian.
- Mechanical oscillator position at specific phase: engineer Hamiltonian to commute with  $\hat{x}(t_0)$ .
- Atomic spin projection:  $[\hat{S}_z, \hat{H}] = 0$  if field is along  $z$ .

### 10.3.2 Limitations

- System-specific: requires detailed Hamiltonian knowledge and engineering.
- Not general: only specific observables can be made QND.
- Implementation complexity: requires precision control of coupling strengths and detunings.

### 10.3.3 Comparison

Categorical measurement is "automatic QND": the commutation  $[\hat{O}_{\text{cat}}, \hat{H}]$  (not quite zero, but  $[\hat{O}_{\text{cat}}, \hat{O}_{\text{phys}}] = 0$ ) arises from geometric necessity, not engineering. It applies to any bounded system without Hamiltonian modification.

Method	Backaction	Post-selection	Hamiltonian engineering	Generality
von Neumann	$\Delta p/p \sim 1$	No	No	Universal
Weak	$\Delta p/p \sim 0.1$	Yes	No	Universal
QND	$\Delta p/p \sim 10^{-6}$	No	Yes	Specific
Categorical	$\Delta p/p \sim 10^{-3}$	No	No	Bounded systems

Table 4: Comparison of measurement approaches. Categorical achieves QND-like performance without engineering, applicable to all bounded systems.

## 10.4 Summary Table

## 10.5 Advantages of Categorical Approach

1. **No post-selection required:** Every measurement yields complete information (partition label), unlike weak measurements where most data is discarded.
2. **No Hamiltonian engineering:** Commutation arises from geometry, not careful design, unlike QND which requires system-specific engineering.
3. **General framework:** Applies to any bounded system (atoms, molecules, trapped ions, quantum dots, superconducting qubits), unlike QND which is system-specific.
4. **Proven zero backaction in ideal limit:** Not merely low backaction (weak measurements) but rigorously zero (proven from commutation).
5. **Continuous monitoring:** Can be repeated arbitrarily often without cumulative disturbance, enabling trajectory tracking.

## 10.6 Trade-Off: Resolution vs Backaction

The disadvantage is coarse spatial resolution: categorical measurement determines partition ( $\Delta x \sim n^2 a_0$ , typically 1-100 Å) not exact position ( $\Delta x \sim 0.01$  Å for physical measurement).

For applications requiring fine resolution, categorical measurement is insufficient. For applications requiring continuous monitoring (trajectory tracking, state tomography, quantum control), categorical measurement is ideal.

The choice depends on whether the application needs "where exactly" (physical measurement) or "which region" (categorical measurement).

## **References**