

## Credit Statement

I worked on these problems alone, with reference to class notes and the following books:

- (a) *The Code Book* by Simon Singh.
- (b) *Cryptography* by Simon Rubinsen-Salzedo

## Problems

1. Consider the affine cipher with  $\mathcal{P} = \mathcal{C} = \mathbb{Z}/n\mathbb{Z}$ .

- (a) Suppose  $n = 541$  and we take the key  $(a, b) = (34, 71)$ . Encrypt the plaintext  $m = 204$ , and decrypt the ciphertext  $c = 431$ .

The encryption of  $m = 204$  is 515

$$\begin{aligned} c &= a \cdot p + b \pmod{n} \\ &= 34 \cdot 204 + 71 \pmod{541} \\ &= 7007 \pmod{541} \\ &= 515 \pmod{541} \end{aligned}$$

The decryption of  $c = 431$  is 297

$$\begin{aligned} c &\equiv a \cdot p + b \pmod{n} \\ 431 &\equiv 34p + 71 \pmod{541} \\ 360 &\equiv 34p \pmod{541} \\ p &= \frac{360 + 541k}{34} \quad | \quad p, k \in \mathbb{Z}^+ \\ p &= \frac{360 + 541 \cdot 18}{34} \\ p &= 297 \end{aligned}$$

- (b) Eve intercepts a ciphertext from Alice and through espionage she learns that the letter  $x \in \mathcal{P}$  is encrypted as  $y \in \mathcal{C}$  in this message. Show that Eve can decrypt the message using  $O(n)$  trials.

Suppose Eve knows that a letter  $x \in \mathcal{P}$  is encrypted as  $y \in \mathcal{C}$  in the message.

Then, Eve knows that  $a \cdot x + b \pmod{n} \equiv y \pmod{n}$  for some  $a, b \in \mathbb{Z}/n\mathbb{Z}$ .

$(a, b)$  also happen to be the keys to the Affine Cipher. where  $(a, b)$  are the keys of the affine cipher.

$$\begin{aligned} ax + b &\equiv y \pmod{n} \\ ax + b &= y + kn \\ ax + kn &= y - b \end{aligned}$$

We can safely assume that  $0 \leq b \leq n - 1$  (since adding any number  $x \geq n$  is equivalent to adding  $x \pmod{n}$ ).

We can therefore iterate through all the possible values of  $b$  and test for a matching value for  $a$  that, when plugged into the affine cipher maps the known plaintext letter to the known (and correct) ciphertext letter.

- (c) Now suppose that (contrary to Kerckhoffs's principle) the integer  $n$  is not public knowledge. Is the affine cipher still vulnerable if Eve manages to steal a plaintext/ciphertext pair? How might Eve break the system?

Without knowing  $n$ , the problem becomes much harder to break. Sure, Eve can iterate up from 0 and attempt to check integers until she finds a match, but not knowing the base means the correct key can be missed when taking the modulus to a wrong value of  $n$ . A possible way would be to estimate values of  $n$  using

2.

Encrypt the message

Why is a raven like a writing desk

using the Vigenère cipher with keyword `rabbithole`.

The encryption is ‘`NHZJATYOGIELJLMTDFTXZNHEMLR`’

### Algorithm

I wrote a program to encrypt and decrypt per the Vigenère cipher.

```
-- | Get the "vigenere complement" of a character.
--
-- The complement of 'A' is itself (shift by 0),
--
-- the complement of 'B' is 'Z' (shift by 1 and -1), etc.
invChar :: Char -> Char
invChar char = chr (ord 'Z' - (charToInt char - 1))
```

For convenience, we can define a function that maps `invChar` over a word:

```
-- | Get the "vigenere complement" of a word.
--
-- maps the complement of each character in the word.
invWord :: String -> String
invWord = map invChar
```

Also for convenience, I wrote a function that repeats any sequence infinitely many times. This creates an infinite sequence, but since Haskell is a lazy language we can “take” the first  $n$  elements out of such a sequence.

```
-- | Repeat a sequence infinitely many times.
--
-- This is a lazy function, so it will not evaluate the
-- sequence infinitely many times.
repeat :: [a] -> [a]
repeat seq = seq ++ repeat seq
```

Finally, we can write our encryption function:

```
-- | Encrypt a word using the Vigenère cipher.
-- NOTE: 'zipWith' is a builtin function that takes a function
-- and two sequences and applies the function on
-- corresponding elements in the sequences to generate a new sequence.
encrypt :: String -> String -> String
encrypt text keyword = zipWith shiftChar cleanedText repeatedKeyword
  where
    cleanedText = clean text -- drops spaces and punctuation
    repeatedKeyword = take n (repeat keyword) -- gets first n letters in sequence
    n = length cleanedText
```

And we can define decryption as encryption with the inverse of the key, i.e. the respective letters that undo the shifts done during encryption:

```
-- | Decrypt a word using the Vigenère cipher.
--
-- We do the equivalent of encryption with the Vigenère 'inverse' of the keyword.
```

```
decrypt :: String -> String -> String
decrypt text keyword = encrypt text (invWord keyword)
```

### Results

```
$ encrypt 'Why is a raven like a writing desk' 'rabbithole'
'NHZJATYOGIELJLMTDFTXZNHEMLR'

$ decrypt 'NHZJATYOGIELJLMTDFTXZNHEMLR' 'rabbithole'
'WHYISARAVENLIKEAWRITINGDESK'
```

3. Decrypt the following message, which was encrypted using a Vigenère cipher.

```
mgodt beida psgls akowu hxukc iawlr csoyh prtrt udrqh cengx
uuqtu habxw dgkie ktsnp sekld zlvnh wefss glzrn peaoz lbyig
uaafv eqgjo ewabz saawl rzjpv feyky gylwu btlyd kroec bpfvt
psgki puxfb uxfuq cvymy okagl sactt uwlrx psgiy ytpsf rjfuw
igxhr oyazd rakce dxejr pdobr buehr uwcue ekfic zehrq ijezr
xsyor tcylf egcy
```

- Use the method of displacement coincidences to guess the key length.
- Use the Kasiski test to give more evidence for your guess for the key length.
- Use frequency analysis with the guessed key length to decrypt the message.

[You are encouraged to use a computer.]

### KEY LENGTH ESTIMATION

After counting displacement coincidences, I found 7 has the highest number of coincidences.

```
1: 7
2: 6
3: 11
4: 11
5: 9
6: 11
7: 15
8: 4
9: 10
10: 12
11: 11
12: 9
13: 12
14: 17 -- could this be because it is a multiple of 7?
15: 10
16: 6
17: 11
18: 11
19: 7
```

### KASISKI TEST

I wrote a program that analyzes the recurrences of  $n$ -grams in the text.

```

--- 3-grams
*VigenereCipher> run 3
awl: [26,117]      difference: 91
ehr: [227,241]    difference: 14
gki: [61,152]     difference: 91
gl: [12,173]      difference: 161
lsa: [13,174]     difference: 161
psg: [10,150,185] difference: [140, 175, 35]
sgl: [11,84]      difference: 73
tps: [149,191]    difference: 42
uxf: [156,160]    difference: 4
wlr: [27,118,181] difference: [91, 154, 63]

--- 4-grams
*VigenereCipher> run 4
awlr: [26,117]    difference: 91
gl: [12,173]      difference: 161

```

With a length of 3, we see that several  $n$ -grams recur in the encrypted message.

Per the **Kasiski Test**, most of the differences in position of repeated  $n$ -grams should be multiples of the key-length (7 in this case). We see that  $\{14, 35, 42, 63, 91, 140, 154, 161, 175\}$  are all multiples of 7. Only  $\{4, 73\}$  are not multiples of 7.

#### FREQUENCY ANALYSIS

Looking at the highest frequencies over each zeroth, first, second, third, fourth, fifth, and sixth letter modulo 7:

1	[ 'i': 15.789473684210526,	'w': 7.894736842105263
	'e': 10.526315789473685	...
	's': 10.526315789473685	],
	'l': 7.894736842105263	5 [ 's': 13.157894736842104
	'r': 7.894736842105263	'a': 10.526315789473685
	...	'e': 10.526315789473685
	],	't': 10.526315789473685
2	[ 'r': 15.789473684210526	'c': 7.894736842105263
	'a': 10.526315789473685	...
	'y': 10.526315789473685	],
	'b': 7.894736842105263	6 [ 'g': 16.216216216216218
	'e': 7.894736842105263	'b': 10.81081081081081
	...	'u': 10.81081081081081
	],	'y': 10.81081081081081
3	[ 'u': 18.42105263157895	'f': 8.108108108108109
	'k': 15.789473684210526	...
	'o': 13.157894736842104	],
	't': 7.894736842105263	7 [ 'l': 13.513513513513514
	'z': 7.894736842105263	'w': 10.81081081081081
	...	'd': 8.108108108108109
	],	'h': 8.108108108108109
4	[ 'p': 13.157894736842104	'p': 8.108108108108109
	'c': 10.526315789473685	'f': 5.405405405405405
	'e': 10.526315789473685	...
	't': 10.526315789473685	]

Suppose the keyword  $k = k_1k_2k_3k_4k_5k_6k_7$  where  $k_1$  is the first letter of the message, etc. Since we expect the most common letters to have similar recurrence across the text, we can pick out one recurring frequency (15.789473684210526) and check the values closest to that frequency. We can expect that:

$$\exists c_i \in \mathcal{C}, \ni c_i \left\{ \begin{array}{l} \Rightarrow 'i' \\ \quad k_1 \\ \Rightarrow 'r' \\ \quad k_2 \\ \Rightarrow 'k' \\ \quad k_3 \\ \Rightarrow 'p' \\ \quad k_4 \\ \Rightarrow 's' \\ \quad k_5 \\ \Rightarrow 'g' \\ \quad k_6 \\ \Rightarrow 'l' \\ \quad k_7 \end{array} \right.$$

From the above, we can guess that:

$$\begin{aligned}
 \text{let } k_1 &\equiv \text{'A'} \\
 k_2 - k_1 &= \text{'r'} - \text{'i'} = 9 \Rightarrow k_2 \equiv \text{'J'} \\
 k_3 - k_1 &= \text{'k'} - \text{'i'} = 2 \Rightarrow k_3 \equiv \text{'C'} \\
 k_4 - k_1 &= \text{'p'} - \text{'i'} = 7 \Rightarrow k_4 \equiv \text{'H'} \\
 k_5 - k_1 &= \text{'s'} - \text{'i'} = 22 \Rightarrow k_5 \equiv \text{'W'} \\
 k_6 - k_1 &= \text{'g'} - \text{'i'} = 24 \Rightarrow k_6 \equiv \text{'Y'} \\
 k_7 - k_1 &= \text{'l'} - \text{'i'} = 3 \Rightarrow k_7 \equiv \text{'D'}
 \end{aligned}$$

We can now run a brute-force shift cipher attack on the relations of the keyword, and try to look for a recurring pattern.

```

*ShiftCipher> bruteforce 'AJCHWYD'
0: ajchwyd
1: zibgvxc
2: yhafuwb
3: xgzetva
4: wfydsuz
5: vexcrty
6: udwbqsx
7: tcvaprw
8: sbuzoqv
9: ratynpu
10: qzsxmot
11: pyrwlns
12: oxqvkmr
13: nwpujlq
14: mvotikp
15: lunshjo
16: ktmrgin
17: jslqfhm
18: irkpegl
19: hqjodfk
20: gpincej
21: fohmbdi
22: englach
23: dmfkzbg
24: clejyaf
25: bkduxze

```

Much of the results doesn't make sense (as we expected), but one shift almost spells "England". Let's focus on the possibility of that being our keyword — in which case the last two characters we picked are likely wrong.

Using 'ENGLAND' as the keyword, we get the following results:

```

ITISTOBEQUESTIONEDWHETHERINTHEWHOLELENGTHANDBREADTHOF
THEWORLDTHEREISAMOREADMIRABLESPOTFORAMANINLOVETOPASS
ADAYORTWOTHANTHETYPICALENGLISHVILLAGEITCOMBINESTHE
COMFORTSOFCIVILIZATIONWITHTHERESTFULNESSOFSOLITUDE
INAMANNEREQUALLEDBYNOOTHERSPOTEXCEPTTHENEWYORKPUBLICLIBRARY

```

When we space out and format the text properly, it reads:

It is to be questioned whether in the whole length and breadth of the world there is a more admirable spot for a man in love to pass a day or two than the typical English village. It combines the comforts of civilization with the restfulness of solitude in a manner equalled by no other spot except the New York public library.

4. Consider the quadratic map

$$E : \mathbb{Z}/n\mathbb{Z} \rightarrow \mathbb{Z}/n\mathbb{Z}$$

$$x \mapsto x^2 + ax + b$$

with  $a, b \in \mathbb{Z}/n\mathbb{Z}$ . Show that if  $n \neq 2$ , then  $E$  is *never* an encryption function (i.e.,  $E$  cannot be inverted). What can you say about other maps  $x \mapsto f(x)$  where  $f(x) \in \mathbb{Z}[x]$ , in particular, are any polynomial maps of higher degree invertible?

Let's define:

$$y \equiv x^2 + ax + b \pmod{n}$$

$$y + kn = x^2 + ax + b \text{ for some } k \in \mathbb{Z}^+ \cup \{0\}$$

$$y = x^2 + ax + (b - kn)$$

$$y^{-1} = -a \pm \frac{\sqrt{a^2 - 4b + 4kn}}{2}$$



5. Let  $D_n = \{x \in \mathbb{R}^n : \sum_{i=1}^n x_i^2 = 1\}$  be the unit sphere in  $\mathbb{R}^n$ . Fix  $x \in D_n$  and consider the function  $\psi_x : D_n \rightarrow \mathbb{R}$  defined by

$$\psi_x(y) = x \cdot y = \sum_{i=1}^n x_i y_i.$$

Show that the function  $\psi_x$  achieves a unique maximum at  $x = y$ . How does this relate to frequency analysis?

**Challenge problem:** (Try it for fun, you are not required to submit written-up solutions, unless you are a graduate student enrolled in the class.)

6. Let  $n, k \in \mathbb{Z}_{>0}$  and recall the general linear group  $\text{GL}_k(\mathbb{Z}/n\mathbb{Z})$ .

- (a) Write down all the elements of  $\text{GL}_2(\mathbb{Z}/2\mathbb{Z})$ . What more commonly known group is this isomorphic to?
- (b) If  $n = p$  is a prime number, prove that  $\text{GL}_k(\mathbb{Z}/p\mathbb{Z})$  has  $(p^k - 1)(p^k - p) \cdots (p^k - p^{k-1})$  elements. [Use linear algebra over the field  $\mathbb{Z}/p\mathbb{Z}$  and think of building your matrix one column at a time.]
- (c) Prove that if  $n, m$  are relatively prime positive integers, then

$$\#\text{GL}_k(\mathbb{Z}/nm\mathbb{Z}) = \#\text{GL}_k(\mathbb{Z}/n\mathbb{Z}) \cdot \#\text{GL}_k(\mathbb{Z}/m\mathbb{Z}).$$

The following subparts will provide a guide to an algebraic proof of this fact (not all of these require a proof, they are a kind of series of hints to guide your work).

- (a) For  $n, m$  relatively prime, the map  $\phi : \mathbb{Z}/nm\mathbb{Z} \rightarrow \mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/m\mathbb{Z}$ , defined by  $a \mapsto (a \bmod n, a \bmod m)$ , is an isomorphism of groups. We can write  $\phi(a) = (\phi_n(a), \phi_m(a))$  where  $\phi_n : \mathbb{Z}/nm\mathbb{Z} \rightarrow \mathbb{Z}/n\mathbb{Z}$  is the reduction modulo  $n$  homomorphism and similarly for  $\phi_m$ . In fact,  $\phi$  is an isomorphism of rings with 1, i.e., respects multiplication and the multiplicative identity.
- (b) Promote  $\phi$  to an isomorphism  $\Phi : M_k(\mathbb{Z}/nm\mathbb{Z}) \rightarrow M_k(\mathbb{Z}/n\mathbb{Z}) \times M_k(\mathbb{Z}/m\mathbb{Z})$  of rings with 1 by sending a matrix  $A = (a_{ij})_{1 \leq i, j \leq k}$  to the pair  $(\Phi_n(A), \Phi_m(A))$ , where  $\Phi_n(A) = (\phi_n(a_{ij}))_{1 \leq i, j \leq k}$  is the result of reducing all entries of  $A$  modulo  $n$ , and similarly for  $\Phi_m(A)$ . First you have to prove that  $\Phi$  is a ring homomorphism, then that it is injective and surjective, which relies crucially on the injectivity and surjectivity of  $\phi$ .
- (c) Prove that  $\phi(\det(A)) = (\det(\Phi_n(A)), \det(\Phi_m(A)))$  for all  $A \in M_k(\mathbb{Z}/nm\mathbb{Z})$ . Colloquially, this says that  $\phi$  and  $\Phi$  “respect” the determinant.
- (d) Prove that  $A \in M_k(\mathbb{Z}/nm\mathbb{Z})$  is invertible if and only if  $\Phi(A)$  is an invertible element of the ring  $M_k(\mathbb{Z}/n\mathbb{Z}) \times M_k(\mathbb{Z}/m\mathbb{Z})$  if and only if both  $\Phi_n(A) \in M_k(\mathbb{Z}/n\mathbb{Z})$  and  $\Phi_m(A) \in M_k(\mathbb{Z}/m\mathbb{Z})$  are invertible. Conclude that  $\Phi$  induces a group isomorphism  $\text{GL}_k(\mathbb{Z}/nm\mathbb{Z}) \cong \text{GL}_k(\mathbb{Z}/n\mathbb{Z}) \times \text{GL}_k(\mathbb{Z}/m\mathbb{Z})$  and as a consequence, we get the desired formula.
- (d) Recall the affine cipher with  $\mathcal{P} = \mathcal{C} = (\mathbb{Z}/n\mathbb{Z})^k$  and with key  $A \in \text{GL}_k(\mathbb{Z}/n\mathbb{Z})$ . If Eve discovers the encryption of  $k$  plaintext elements, prove that the probability that she can solve for the key is  $\#\text{GL}_k(\mathbb{Z}/n\mathbb{Z})/n^{k^2}$ . Compute this probability for  $n = 26$  and  $k = 2, 3, 4$ . [This was done a bit too quickly in lecture, so check it yourself.]
- (e) After experimenting, what can you say about this probability as  $k \rightarrow \infty$  or as  $n \rightarrow \infty$ ?