

Thus  $a$  can be any rational number other than

$$\frac{\sqrt{2} - \sqrt{2}}{\sqrt{3} - (-\sqrt{3})} = 0 \quad \text{and} \quad \frac{-\sqrt{2} - \sqrt{2}}{\sqrt{3} - (-\sqrt{3})} = -\frac{\sqrt{2}}{\sqrt{3}}.$$

Since  $-\frac{\sqrt{2}}{\sqrt{3}}$  is not a rational number, we can take  $a = 1, 2, 1/2, -17/42$ , or any rational number other than 0. Using  $a = 2$ , we have that

$$\alpha = \beta + a\gamma = \sqrt{2} + 2\sqrt{3}.$$

Thus

$$\mathbb{Q}(\sqrt{2}, \sqrt{3}) = \mathbb{Q}(\sqrt{2} + 2\sqrt{3})$$

and in general,

$$\mathbb{Q}(\sqrt{2}, \sqrt{3}) = \mathbb{Q}(\sqrt{2} + a\sqrt{3})$$

for any rational number  $a$  other than 0. ▲

**45.15 Corollary** If  $F$  is either a finite field or a field of characteristic 0, then every finite extension of  $F$  is a simple extension.

*Proof* This is an immediate consequence of Theorems 45.7 and 45.13. ◆

### Normal Extensions

We have now investigated the essential conditions on a field extension  $F \leq E$  that are required in order to apply Galois theory. The requirements are that  $E$  is a separable splitting field over  $F$ .

**45.16 Definition** A finite extension  $E$  of  $F$  is a **normal extension of  $F$**  if  $E$  is a separable splitting field over  $F$ . If  $E$  is a normal extension of  $F$ , then  $G(E/F)$  is the **Galois group of  $E$  over  $F$** . The Galois group is sometimes denoted by  $\text{Gal}(E/F)$ . ■

Although one can define an infinite normal extension, for our purposes we will restrict our attention to finite extensions. In what follows, when we refer to a normal extension, it will be assumed that the extension is finite.

**45.17 Theorem** Let  $K$  be a normal extension of  $F$  and let  $E$  be an intermediate field of the extension,  $F \leq E \leq K$ . Then  $K$  is a normal extension of  $E$  and  $|G(K/E)| = [K : E]$ .

*Proof* Since  $K$  is a splitting field over  $F$ , there are polynomials  $f_1(x), f_2(x), \dots, f_r(x) \in F[x]$  with zeros  $\alpha_1, \alpha_2, \dots, \alpha_k$  such that  $K = F(\alpha_1, \alpha_2, \dots, \alpha_k)$  and each  $f_i$  factors into linear factors in  $K$ . Then  $K = E(\alpha_1, \alpha_2, \dots, \alpha_k)$ , also. Thus  $K$  is the splitting field of  $\{f_1(x), f_2(x), \dots, f_r(x)\}$  over  $E$ . Furthermore, Theorem 45.8 states that  $K$  is a separable extension of  $E$ , which implies that  $K$  is a normal extension of  $E$ .

Since  $K$  is a separable splitting field over  $E$ , Corollary 45.10 says that  $|G(K/E)| = [K : E]$ . ◆

**45.18 Corollary** If  $F \leq E \leq K$  where  $K$  is a normal extension of  $F$ , then  $G(K/E)$  is a subgroup of  $G(K/F)$  with index  $(G(K/F) : G(K/E)) = [E : F]$ .

*Proof* Theorem 45.17 says that  $K$  is a normal extension of  $E$ . Each isomorphism  $\sigma \in G(K/E)$  fixes all the elements of  $E$  and, therefore,  $\sigma$  fixes all the elements of  $F$ . Thus  $\sigma \in G(K/F)$  and  $G(K/E) \leq G(K/F)$ .

We have

$$\begin{aligned} (G(K/F) : G(K/E)) &= \frac{|G(K/F)|}{|G(K/E)|} \\ &= \frac{[K : F]}{[K : E]} \\ &= [E : F]. \end{aligned}$$

◆

**45.19 Example** In Example 44.2 we saw that the splitting field of  $x^3 - 2$  over  $\mathbb{Q}$  is

$$K = \mathbb{Q} \left( \sqrt[3]{2}, \sqrt[3]{2} \frac{-1 + \sqrt{3}i}{2} \right) = \mathbb{Q}(\sqrt[3]{2}, \sqrt{3}i).$$

The degree of the extension of  $K$  over  $\mathbb{Q}(\sqrt[3]{2})$  is

$$[K : \mathbb{Q}(\sqrt[3]{2})] = \deg(\sqrt{3}i, \mathbb{Q}(\sqrt[3]{2})) = 2.$$

Also, the degree of the extension  $\mathbb{Q}(\sqrt[3]{2})$  over  $\mathbb{Q}$  is

$$[\mathbb{Q}(\sqrt[3]{2}), \mathbb{Q}] = \deg(\sqrt[3]{2}, \mathbb{Q}) = 3,$$

and

$$[K : \mathbb{Q}] = [K : \mathbb{Q}(\sqrt[3]{2})] \cdot [\mathbb{Q}(\sqrt[3]{2}) : \mathbb{Q}] = 6.$$

Since  $\mathbb{Q}$  is a perfect field,  $K$  is a separable and, therefore, a normal extension of  $\mathbb{Q}$ . Thus Corollary 45.18 applies and we have

$$|G(K/\mathbb{Q})| = 6, \quad |G(K/\mathbb{Q}(\sqrt[3]{2}))| = 2, \quad \text{and} \quad (G(K/\mathbb{Q}) : G(K/\mathbb{Q}(\sqrt[3]{2}))) = 3.$$

Up to isomorphism, there are two groups of order 6,  $\mathbb{Z}_6$  and  $S_3$ . We will see in Section 46 that  $G(K/\mathbb{Q})$  is isomorphic with  $S_3$ . ▲

## ■ EXERCISES 45

### Computations

In Exercises 1 through 4, find an  $\alpha$  such that the given field is  $\mathbb{Q}(\alpha)$ . Show that your  $\alpha$  is indeed in the given field. Verify by direct computation that the given generators for the extension of  $\mathbb{Q}$  can indeed be expressed as formal polynomials in your  $\alpha$  with coefficients in  $\mathbb{Q}$ .

1.  $\mathbb{Q}(\sqrt{2}, \sqrt[3]{2})$
2.  $\mathbb{Q}(\sqrt[4]{2}, \sqrt[6]{2})$
3.  $\mathbb{Q}(\sqrt{2}, \sqrt{5})$
4.  $\mathbb{Q}(i, \sqrt[3]{2})$

### Concepts

In Exercises 5 and 6, correct the definition of the italicized term without reference to the text, if correction is needed, so that it is in a form acceptable for publication.

5. Let  $E$  be a splitting field over  $F$ . The *multiplicity of a zero*  $\alpha \in E$  of a polynomial  $f(x) \in F[x]$  is  $v \in \mathbb{Z}^+$  if and only if  $(x - \alpha)^v$  is a factor of  $f(x)$  in  $F[x]$ .
6. Let  $E$  be an extension of a field  $F$ . An element  $\alpha$  in  $E$  is *separable over*  $F$  if and only if  $\alpha$  is a zero of multiplicity 1 of  $\text{irr}(\alpha, F)$ .
7. Give an example of an  $f(x) \in \mathbb{Q}[x]$  that has no zeros in  $\mathbb{Q}$  but whose zeros in  $\mathbb{C}$  are all of multiplicity 2. Explain how this is consistent with Theorem 45.7, which shows that  $\mathbb{Q}$  is perfect.
8. Determine whether each of the following is true or false.
  - a. Every finite extension of every field  $F$  is separable over  $F$ .
  - b. Every finite extension of every finite field  $F$  is separable over  $F$ .

- c. Every field of characteristic 0 is perfect.
- d. Every polynomial of degree  $n$  over every field  $F$  always has  $n$  distinct zeros in  $\bar{F}$ .
- e. Every polynomial of degree  $n$  over every perfect field  $F$  always has  $n$  distinct zeros in  $\bar{F}$ .
- f. Every irreducible polynomial of degree  $n$  over every perfect field  $F$  always has  $n$  distinct zeros in  $\bar{F}$ .
- g. Every algebraically closed field is perfect.
- h. Every field  $F$  has an algebraic extension  $E$  that is perfect.
- i. If  $E$  is a finite separable splitting field extension of  $F$ , then  $|G(E/F)| = [E : F]$ .
- j. If a field  $F$  is neither finite nor of characteristic 0, then  $F$  is not a perfect field.

### Theory

- 9. Show that  $\{1, y, \dots, y^{p-1}\}$  is a basis for  $\mathbb{Z}_p(y)$  over  $\mathbb{Z}_p(y^p)$ , where  $y$  is an indeterminate. Referring to Example 45.5, conclude by a degree argument that  $x^p - t$  is irreducible over  $\mathbb{Z}_p(t)$ , where  $t = y^p$ .
- 10. Prove that if  $E$  is an algebraic extension of a perfect field  $F$ , then  $E$  is perfect.
- 11. Let  $E$  be a finite field of order  $p^n$ .
  - a. Show that the Frobenius automorphism  $\sigma_p$ , defined in Exercise 35 of Section 43, has order  $n$ .
  - b. Deduce from part (a) that  $G(E/\mathbb{Z}_p)$  is cyclic of order  $n$  with generator  $\sigma_p$ . [Hint: Remember that

$$|G(E/F)| = [E : F]$$

for a normal field extension  $E$  over  $F$ .]

- 12. Let  $f(x) \in F[x]$ , and let  $\alpha \in \bar{F}$  be a zero of  $f(x)$  of multiplicity  $\nu$ . Show that  $\nu > 1$  if and only if  $\alpha$  is also a zero of  $f'(x)$ , the derivative of  $F(x)$ . [Hint: Apply Exercise 15 of Section 42 to the factorization  $f(x) = (x - \alpha)^\nu g(x)$  of  $f(x)$  in the ring  $\bar{F}[x]$ .]
- 13. Show from Exercise 12 that every irreducible polynomial over a field  $F$  of characteristic 0 is separable.
- 14. Show from Exercise 12 that an irreducible polynomial  $q(x)$  over a field  $F$  of characteristic  $p \neq 0$  is not separable if and only if each exponent of each term of  $q(x)$  is divisible by  $p$ .
- 15. Generalize Exercise 12, showing that  $f(x) \in F[x]$  has no zero of multiplicity  $> 1$  if and only if  $f(x)$  and  $f'(x)$  have no common factor in  $\bar{F}[x]$  of degree  $> 0$ .
- 16. Working a bit harder than in Exercise 15, show that  $f(x) \in F[x]$  has no zero of multiplicity  $> 1$  if and only if  $f(x)$  and  $f'(x)$  have no common nonconstant factor in  $F[x]$ . [Hint: Use Theorem 35.9 to show that if 1 is a gcd of  $f(x)$  and  $f'(x)$  in  $F[x]$ , it is a gcd of these polynomials in  $E[x]$  for  $E$  any splitting field of  $F$ , also.]
- 17. Describe a feasible computational procedure for determining whether  $f(x) \in F[x]$  has a zero of multiplicity  $> 1$ , without actually finding the zeros of  $f(x)$ . [Hint: Use Exercise 16.]
- 18. Let  $F \leq E \leq K$  be field extensions with  $K$  a normal extension of  $F$ . By Corollary 45.18,  $G(K/E)$  is a subgroup of  $G(K/F)$ . For two automorphisms  $\sigma, \tau \in G(K/F)$ , show that they are in the same left cosets of  $G(K/E) \leq G(K/F)$  if and only if  $\sigma(\alpha) = \tau(\alpha)$  for all  $\alpha \in E$ .
- 19. Prove that Definition 45.3 does not depend on which splitting field over  $F$  is used.

## SECTION 46

## GALOIS THEORY

### The Galois Theorems

In this section we present the main theorems of Galois theory. These theorems provide precise statements regarding the correspondence between intermediate fields of a normal field extension and subgroups of the Galois group. But first we state key definitions related to the correspondence.

- 46.1 Definition** Let  $K$  be a normal extension of  $F$ ,  $E$  an intermediate field of the extension, and  $H$  a subgroup of  $G(K/F)$ . The set of all  $\alpha \in K$  such that each element of  $H$  fixes  $\alpha$  is an

intermediate field of the extension  $K$  over  $F$ , and it is called the **fixed field for  $H$** . We write  $K_H$  to denote the fixed field for  $H$ .

We let  $\lambda(E)$  be the set of all  $\sigma \in G(K/F)$  that fix all the elements of  $E$ , that is,  $\lambda(E) = G(K/E)$ . We call  $\lambda(E)$  the **group of  $E$** .

If  $K$  is the splitting field of  $f(x) \in F[x]$ , then we say that  $G(K/F)$  is the **group of the polynomial  $f(x)$** . ■

**46.2 Example** Let  $K$  be the splitting field of  $f(x) = x^3 - 2$  over  $\mathbb{Q}$ . As we saw in Example 45.19,  $K$  is a normal extension of  $\mathbb{Q}$  and

$$K = \mathbb{Q}(\sqrt[3]{2}, \sqrt{3}i).$$

The group of  $f(x)$  is  $G(K/\mathbb{Q})$ . Also,

$$\lambda(\mathbb{Q}(\sqrt[3]{2})) = \{\sigma \in G(K/\mathbb{Q}) \mid \sigma(\sqrt[3]{2}) = \sqrt[3]{2}\} = G(K/\mathbb{Q}(\sqrt[3]{2})).$$

Both the identity,  $\iota$ , and complex conjugation,  $\sigma(a + bi) = a - bi$ , fix  $\sqrt[3]{2}$ . Therefore,

$$\langle \sigma \rangle = \{\iota, \sigma\} \leq \lambda(\mathbb{Q}(\sqrt[3]{2}))$$

and

$$K_\sigma = \mathbb{Q}(\sqrt[3]{2}).$$

At this point, we can only say  $\langle \sigma \rangle$  is a subgroup of  $\lambda(\mathbb{Q}(\sqrt[3]{2}))$  since it is conceivable that there could be other automorphisms of  $G(K/\mathbb{Q})$  that fix  $\mathbb{Q}(\sqrt[3]{2})$ . As we will soon see, this cannot be the case, and the two subgroups are equal. ▲

We now present a series of related theorems that together make up the essence of Galois Theory.

**46.3 Theorem** Let  $K$  be a normal extension of a field  $F$  and  $E$  an intermediate field. The fixed field for the set of all automorphisms of  $K$  that fix  $E$  is exactly  $E$ . That is,

$$E = K_{\lambda(E)}.$$

**Proof** Clearly  $E \subseteq K_{\lambda(E)}$ . We show that  $K_{\lambda(E)} \subseteq E$ . Let  $\alpha$  be an element of  $K$  that is not in  $E$ . The minimal polynomial for  $\alpha$  over  $E$  has degree at least two and therefore  $\alpha$  has a conjugate  $\beta \in K$ , with  $\beta \neq \alpha$ , by Corollary 44.12 and the fact that  $K$  is a separable extension of  $F$ . Theorem 43.18, the Conjugation Isomorphism Theorem, says there is an isomorphism

$$\psi_{\alpha, \beta} : E(\alpha) \rightarrow E(\beta)$$

that maps  $\alpha$  to  $\beta$  and fixes all the elements of  $E$ . The map  $\psi_{\alpha, \beta}$  can be extended to an automorphism  $\sigma : K \rightarrow K$  by the Isomorphism Extension Theorem, Theorem 44.6. Thus  $\sigma \in \lambda(E)$ , and  $\sigma$  does not fix  $\alpha$ . We have shown that if  $\alpha \notin E$ , then  $\lambda(E)$  does not fix  $\alpha$ ; or equivalently,  $K_{\lambda(E)} \subseteq E$ , which completes the proof. ◆

**46.4 Theorem** Let  $K$  be a normal extension of a field  $F$  and  $E$  an intermediate field. The degree of the extension  $K$  over  $E$  is the order of the group  $\lambda(E)$ :

$$[K : E] = |\lambda(E)| = |G(K/E)|.$$

Furthermore, the number of left cosets of  $\lambda(E)$  in  $G(K/F)$  is the degree of the extension of  $E$  over  $F$ . That is,

$$(G(K/F) : \lambda(E)) = [E : F].$$

**Proof** Since  $\lambda(E) = G(K/E)$ , this theorem is simply a restatement of Corollary 45.18. ◆

**46.5 Example** Continuing Example 46.2,  $K = \mathbb{Q}(\sqrt[3]{2}, \sqrt{3}i)$  is the splitting field of  $x^3 - 2$  over  $\mathbb{Q}$ . We saw that if  $\sigma$  is complex conjugation, then  $\langle \sigma \rangle \leq \lambda(\mathbb{Q}(\sqrt[3]{2}))$ . The degree of the extension  $K$  over  $\mathbb{Q}(\sqrt[3]{2})$  is two since  $\alpha = \sqrt{3}i \notin K$ , but  $\alpha$  is a zero of the degree 2 polynomial  $x^2 + 3 \in \mathbb{Q}(\sqrt[3]{2})[x]$ . By Theorem 46.4,

$$2 = [K : \mathbb{Q}(\sqrt[3]{2})] = |\lambda(\mathbb{Q}(\sqrt[3]{2}))|.$$

Since  $\langle \sigma \rangle \leq \lambda(\mathbb{Q}(\sqrt[3]{2}))$  and both finite groups have the same number of elements,

$$\langle \sigma \rangle = \lambda(\mathbb{Q}(\sqrt[3]{2})). \quad \blacktriangle$$

**46.6 Theorem** Let  $K$  be a normal extension of a field  $F$  and  $H$  a subgroup of the Galois group  $G(K/F)$ . The subgroup of  $G(K/F)$  that fixes all the elements fixed by  $K_H$  is exactly  $H$ . That is,

$$\lambda(K_H) = H.$$

**Proof** It is clear that  $H$  is a subgroup of  $\lambda(K_H)$ . We will verify that the two groups are equal by checking that they have the same number of elements. Let  $k = |H|$ .

By Theorem 45.13, the field extension  $K$  over  $F$  has a primitive element,  $\alpha$ , so  $K = F(\alpha)$ . Let  $E = K_H$ , the subfield of  $K$  that is fixed by every element in  $H$ . Then  $K = E(\alpha)$  and  $[K : E] = \deg(\alpha, E)$ . We let  $n = [K : E]$ . We next let

$$f(x) = \prod_{\sigma \in H} (x - \sigma(\alpha)) \in K[x].$$

The degree of  $f$  is  $k = |H|$ . Let  $\tau \in H$ , so  $\tau$  is an isomorphism from  $K$  onto  $K$ . Since  $H$  is a group, multiplying all the elements of  $H$  by  $\tau$  on the left simply permutes the elements of  $H$ . That is,

$$H = \{\sigma_1, \sigma_2, \dots, \sigma_k\} = \{\tau\sigma_1, \tau\sigma_2, \dots, \tau\sigma_k\}.$$

By Exercise 32 in Section 44, the map  $\tau_x : K[x] \rightarrow K[x]$  is an isomorphism and

$$\tau_x(f(x)) = \prod_{\sigma \in H} (x - \tau\sigma(\alpha)) = \prod_{\sigma \in H} (x - \sigma(\alpha)) = f(x).$$

Writing  $f(x) = a_0 + a_1x + a_2x^2 + \dots + a_kx^k$ ,

$$\tau_x(f(x)) = \tau(a_0) + \tau(a_1)x + \tau(a_2)x^2 + \dots + \tau(a_k)x^k.$$

Equating coefficients in  $\tau_x(f(x)) = f(x)$ , we see that for any  $\tau \in H$ , and for any  $i$ ,  $a_i = \tau(a_i)$ . But the only elements of  $K$  that are fixed by every element in  $H$  are the elements of  $E = K_H$ , which implies that each  $a_i$  is in  $E$ . Therefore,  $f(x) \in E[x]$ . Since the identity map is in  $H$ ,  $\alpha$  is a zero of  $f(x)$ . Thus  $\text{irr}(\alpha, E)$  divides  $f(x)$  and

$$k = \deg(f(x)) \geq \deg(\text{irr}(\alpha, E)) = \deg(\alpha, E) = n.$$

Since  $H$  is a subgroup of  $\lambda(K_H)$ ,

$$k = |H| \leq |\lambda(K_H)| = [K : E] = n.$$

Thus we have  $k = n$  and  $\lambda(K_H) = H$ . ◆

Theorems 46.3 and 46.6 together imply that for normal extensions, the map  $\lambda$ , which maps the intermediate fields of the extension  $K$  over  $F$  to subgroups of  $G(K/F)$ , is both one-to-one and onto. Furthermore the inverse map  $\lambda^{-1}$  is simply the map that sends a subgroup  $H \leq G(K/E)$  to the intermediate field  $K_H = G(K/E)$ .

**46.7 Example** Let  $K = \mathbb{Q}(\sqrt[3]{2}, \sqrt{3}i)$  over  $\mathbb{Q}$ . In Example 45.19, we determined that  $|G(K/\mathbb{Q})| = 6$ . In this example, we take an alternate route to arrive at the same conclusion. In