

Suppose that  $F$  is a subfield of a field  $K$  which in turn is a subfield of a field  $L$ . Then there are three associated extension degrees — the dimension of  $K$  and  $L$  as vector spaces over  $F$ , and the dimension of  $L$  as a vector space over  $K$ .

**Theorem 14.** Let  $F \subseteq K \subseteq L$  be fields. Then

$$[L : F] = [L : K][K : F],$$

i.e. extension degrees are multiplicative, where if one side of the equation is infinite, the other side is also infinite. Pictorially,

$$\overbrace{F \subseteq K}^{[K:F]} \quad \overbrace{K \subseteq L}^{[L:K]} \quad \overbrace{[L:F]}^{[L:K][K:F]}$$

*Proof:* Suppose first that  $[L : K] = m$  and  $[K : F] = n$  are finite. Let  $\alpha_1, \alpha_2, \dots, \alpha_m$  be a basis for  $L$  over  $K$  and let  $\beta_1, \beta_2, \dots, \beta_n$  be a basis for  $K$  over  $F$ . Then every element of  $L$  can be written as a linear combination

$$a_1\alpha_1 + a_2\alpha_2 + \cdots + a_m\alpha_m$$

where  $a_1, \dots, a_m$  are elements of  $K$ , hence are  $F$ -linear combinations of  $\beta_1, \dots, \beta_n$ :

$$a_i = b_{i1}\beta_1 + b_{i2}\beta_2 + \cdots + b_{in}\beta_n \quad i = 1, 2, \dots, m \quad (13.3)$$

where the  $b_{ij}$  are elements of  $F$ . Substituting these expressions in for the coefficients  $a_i$  above, we see that every element of  $L$  can be written as a linear combination

$$\sum_{\substack{i=1,2,\dots,m \\ j=1,2,\dots,n}} b_{ij}\alpha_i\beta_j$$

of the  $mn$  elements  $\alpha_i\beta_j$  with coefficients in  $F$ . Hence these elements span  $L$  as a vector space over  $F$ .

Suppose now that we had a linear relation in  $L$

$$\sum_{\substack{i=1,2,\dots,m \\ j=1,2,\dots,n}} b_{ij}\alpha_i\beta_j = 0$$

with coefficients  $b_{ij}$  in  $F$ . Then defining the elements  $a_i \in K$  by equation (3) above, this linear relation could be written

$$a_1\alpha_1 + a_2\alpha_2 + \cdots + a_m\alpha_m = 0.$$

Since the  $\alpha_i$  are a basis for  $L$  over  $K$ , it follows that all the coefficients  $a_i, i = 1, 2, \dots, m$  must be 0, i.e., that

$$b_{i1}\beta_1 + b_{i2}\beta_2 + \cdots + b_{in}\beta_n = 0 \quad i = 1, 2, \dots, m$$

in  $K$ . Since now the  $\beta_j, j = 1, 2, \dots, n$  form a basis for  $K$  over  $F$ , this implies  $b_{ij} = 0$  for all  $i$  and  $j$ . Hence the elements  $\alpha_i\beta_j$  are linearly independent over  $F$ , so form a basis for  $L$  over  $F$  and  $[L : F] = mn = [L : K][K : F]$ , as claimed.

If  $[K : F]$  is infinite, then there are infinitely many elements of  $K$ , hence of  $L$ , which are linearly independent over  $F$ , so that  $[L : F]$  is also infinite. Similarly, if  $[L : K]$  is infinite, there are infinitely many elements of  $L$  linearly independent over  $K$ , so certainly linearly independent over  $F$ , so again  $[L : F]$  is infinite. Finally, if  $[L : K]$  and  $[K : F]$  are both finite, then the proof above shows  $[L : F]$  is finite, so that  $[L : F]$  infinite implies at least one of  $[L : K]$  and  $[K : F]$  is infinite, completing the proof.

*Remark:* Note the similarity of this result with the result on group orders proved in Part I. As with diagrams involving groups we shall frequently indicate the relative degrees of extensions in field diagrams.

The multiplicativity of extension degrees is extremely useful in computations. A particular application is the following:

**Corollary 15.** Suppose  $L/F$  is a finite extension and let  $K$  be any subfield of  $L$  containing  $F$ ,  $F \subseteq K \subseteq L$ . Then  $[K : F]$  divides  $[L : F]$ .

*Proof:* This is immediate.

## Examples

- (1) The element  $\sqrt{2}$  is not contained in the field  $\mathbb{Q}(\alpha)$  where  $\alpha$  is the real root of  $x^3 - 3x - 1$  between 0 and 2, since we have already determined that  $[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 2$  and  $[\mathbb{Q}(\alpha) : \mathbb{Q}] = 3$  and 2 does not divide 3. Note that it is not so easy to prove directly that  $\sqrt{2}$  cannot be written as a rational linear combination of 1,  $\alpha$ ,  $\alpha^2$ .
- (2) Let as usual  $\sqrt[6]{2}$  denote the positive real 6<sup>th</sup> root of 2. Then  $[\mathbb{Q}(\sqrt[6]{2}) : \mathbb{Q}] = 6$ . Since  $(\sqrt[6]{2})^3 = \sqrt{2}$  we have  $\mathbb{Q}(\sqrt{2}) \subset \mathbb{Q}(\sqrt[6]{2})$  and by the multiplicativity of extension degrees,  $[\mathbb{Q}(\sqrt[6]{2}) : \mathbb{Q}(\sqrt{2})] = 3$ . This gives us the field diagram

$$\begin{array}{ccccccc} & & & 6 & & & \\ & & & \overbrace{\quad\quad\quad} & & & \\ Q & \subset & \mathbb{Q}(\sqrt{2}) & \subset & \mathbb{Q}(\sqrt[6]{2}) & & \\ & \underbrace{\quad\quad\quad}_{2} & \underbrace{\quad\quad\quad}_{3} & & & & \end{array}$$

In particular, this shows that the minimal polynomial for  $\sqrt[6]{2}$  over  $\mathbb{Q}(\sqrt{2})$  is of degree 3. It is therefore the polynomial  $x^3 - \sqrt{2}$ . Note that showing directly that this polynomial is irreducible over  $\mathbb{Q}(\sqrt{2})$  is not completely trivial.

By Theorem 14 a finite extension of a finite extension is finite. The next results use this to show that an extension generated by a finite number of algebraic elements is finite (extending Proposition 12).

**Definition.** An extension  $K/F$  is *finitely generated* if there are elements  $\alpha_1, \alpha_2, \dots, \alpha_k$  in  $K$  such that  $K = F(\alpha_1, \alpha_2, \dots, \alpha_k)$ .

Recall that the field generated over  $F$  by a collection of elements in a field  $K$  is the smallest subfield of  $K$  containing these elements and  $F$ . The next lemma will show that for finitely generated extensions this field can be obtained recursively by a series of simple extensions.

**Lemma 16.**  $F(\alpha, \beta) = (F(\alpha))(\beta)$ , i.e., the field generated over  $F$  by  $\alpha$  and  $\beta$  is the field generated by  $\beta$  over the field  $F(\alpha)$  generated by  $\alpha$ .

*Proof:* This follows by the minimality of the fields in question. The field  $F(\alpha, \beta)$  contains  $F$  and  $\alpha$ , hence contains the field  $F(\alpha)$ , and since it also contains  $\beta$ , we have the inclusion  $(F(\alpha))(\beta) \subseteq F(\alpha, \beta)$  by the minimality of the field  $(F(\alpha))(\beta)$ . Since the field  $(F(\alpha))(\beta)$  contains  $F$ ,  $\alpha$  and  $\beta$ , by the minimality of  $F(\alpha, \beta)$  we have the reverse inclusion  $F(\alpha, \beta) \subseteq (F(\alpha))(\beta)$ , which proves the lemma.

By the lemma we have

$$K = F(\alpha_1, \alpha_2, \dots, \alpha_k) = (F(\alpha_1, \alpha_2, \dots, \alpha_{k-1}))(\alpha_k)$$

and so by iterating, we see that  $K$  is obtained by taking the field  $F_1$  generated over  $F$  by  $\alpha_1$ , then the field  $F_2$  generated over  $F_1$  (this is important) by  $\alpha_2$ , and so on, with  $F_k = K$ . This gives a sequence of fields:

$$F = F_0 \subseteq F_1 \subseteq F_2 \subseteq \dots \subseteq F_k = K$$

where

$$F_{i+1} = F_i(\alpha_{i+1}) \quad i = 0, 1, \dots, k-1.$$

Suppose now that the elements  $\alpha_1, \alpha_2, \dots, \alpha_k$  are algebraic over  $F$  of degrees  $n_1, n_2, \dots, n_k$  (so a priori are algebraic over any extension of  $F$ ). Then the extensions in this sequence are simple extensions of the type considered in Proposition 11. The relative extension degree  $[F_{i+1} : F_i]$  is equal to the degree of the minimal polynomial of  $\alpha_{i+1}$  over  $F_i$ , which is at most  $n_{i+1}$  (and equals  $n_{i+1}$  if and only if the minimal polynomial of  $\alpha_{i+1}$  over  $F$  remains irreducible over  $F_i$ ). By the multiplicativity of extension degrees, we see that

$$[K : F] = [F_k : F_{k-1}][F_{k-1} : F_{k-2}] \cdots [F_1 : F_0]$$

is also finite, and  $\leq n_1 n_2 \cdots n_k$ .

This also gives a description of the elements of  $F(\alpha_1, \alpha_2, \dots, \alpha_k)$ . For simplicity, consider the case of the field  $F(\alpha, \beta)$  where  $\alpha$  and  $\beta$  are algebraic over  $F$ . Then the elements of this field are of the form

$$b_0 + b_1\beta + b_2\beta^2 + \cdots + b_{d-1}\beta^{d-1}$$

where  $d = [F(\alpha)(\beta) : F(\alpha)]$  is the degree of  $\beta$  over  $F(\alpha)$  (which may be strictly smaller than the degree of  $\beta$  over  $F$ ), and where the coefficients  $b_0, b_1, \dots, b_{d-1}$  are elements of  $F(\alpha)$ . The coefficients  $b_i \in F(\alpha)$ ,  $i = 0, \dots, d-1$ , are of the form

$$a_{0i} + a_{1i}\alpha + a_{2i}\alpha^2 + \cdots + a_{n-1i}\alpha^{n-1}$$

where  $n = [F(\alpha) : F]$  is the degree of  $\alpha$  over  $F$  and the  $a_{ij}$  are elements of  $F$ . Hence the elements of  $F(\alpha, \beta)$  are of the form

$$\sum_{\substack{i=0,1,\dots,n-1 \\ j=0,1,\dots,d-1}} a_{ij}\alpha^i\beta^j \quad a_{ij} \in F.$$

Since  $[F(\alpha, \beta) : F] = [F(\alpha, \beta) : F(\alpha)][F(\alpha) : F] = dn$ , the elements  $\alpha^i\beta^j$  are in fact an  $F$  basis for  $F(\alpha, \beta)$ .

In practice the field  $F(\alpha)$  generated by the algebraic  $\alpha$  is obtained by adjoining the element  $\alpha$  to  $F$  and then “closing” the resulting set with respect to addition and multiplication, which amounts to adjoining the powers  $\alpha^2, \alpha^3, \dots$  of  $\alpha$  and taking linear combinations (with coefficients from  $F$ ) of these elements. The process terminates when a power of  $\alpha$  is a linear combination of lower powers of  $\alpha$  which amounts to knowing the minimal polynomial for  $\alpha$ . The previous discussion shows a similar process gives the field  $F(\alpha, \beta)$  generated by two elements, and by recursion, the field generated by any finite number of algebraic elements. This shows in particular that “closing” with respect to addition and multiplication also closes with respect to division for algebraic elements (cf. Example 5 following Corollary 5 above). If the elements are not algebraic, one must also “close” with respect to inverses. The difficulty in this procedure is determining the degrees of the *relative* extensions — for example the degree  $d$  for  $F(\alpha, \beta)$  over  $F(\alpha)$  above, for which one has only an a priori upper bound (the degree of  $\beta$  over  $F$ ).

This is the analogue of “closing” a set of elements in a group  $G$  to determine the subgroup they generate.

## Examples

- (1) The extension  $\mathbb{Q}(\sqrt[4]{2}, \sqrt{2})$  is simply the extension  $\mathbb{Q}(\sqrt[4]{2})$  since  $\sqrt{2}$  is already an element of this field. Put another way, the degree  $d$  of  $\sqrt{2}$  over  $\mathbb{Q}(\sqrt[4]{2})$  is 1, which is strictly smaller than the degree of  $\sqrt{2}$  over  $\mathbb{Q}$ . We shall later have less obvious examples where this occurs.
- (2) Consider the field  $\mathbb{Q}(\sqrt{2}, \sqrt{3})$  generated over  $\mathbb{Q}$  by  $\sqrt{2}$  and  $\sqrt{3}$ . Since  $\sqrt{3}$  is of degree 2 over  $\mathbb{Q}$  the degree of the extension  $\mathbb{Q}(\sqrt{2}, \sqrt{3})/\mathbb{Q}(\sqrt{2})$  is at most 2 and is precisely 2 if and only if  $x^2 - 3$  is irreducible over  $\mathbb{Q}(\sqrt{2})$ . Since this polynomial is of degree 2, it is reducible only if it has a root, i.e., if and only if  $\sqrt{3} \in \mathbb{Q}(\sqrt{2})$ . Suppose  $\sqrt{3} = a + b\sqrt{2}$  with  $a, b \in \mathbb{Q}$ . Squaring this we obtain  $3 = (a^2 + 2b^2) + 2ab\sqrt{2}$ . If  $ab \neq 0$ , then we can solve this equation for  $\sqrt{2}$  in terms of  $a$  and  $b$  which implies that  $\sqrt{2}$  is rational, which it is not. If  $b = 0$ , then we would have that  $\sqrt{3} = a$  is rational, a contradiction. Finally, if  $a = 0$ , we have  $\sqrt{3} = b\sqrt{2}$  and multiplying both sides by  $\sqrt{2}$  we see that  $\sqrt{6}$  would be rational, again a contradiction. This shows  $\sqrt{3} \notin \mathbb{Q}(\sqrt{2})$ , proving

$$[\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}] = 4.$$

Elements in this field (by “closing” 1,  $\sqrt{2}$ ,  $\sqrt{3}$ ) include 1,  $\sqrt{2}$ ,  $\sqrt{3}$ ,  $\sqrt{6}$  and by the computations above, these form a basis for this field:

$$\mathbb{Q}(\sqrt{2}, \sqrt{3}) = \{a + b\sqrt{2} + c\sqrt{3} + d\sqrt{6} \mid a, b, c, d \in \mathbb{Q}\}.$$

We can now characterize the finite extensions of a field  $F$ :

**Theorem 17.** The extension  $K/F$  is finite if and only if  $K$  is generated by a finite number of algebraic elements over  $F$ . More precisely, a field generated over  $F$  by a finite number of algebraic elements of degrees  $n_1, n_2, \dots, n_k$  is algebraic of degree  $\leq n_1 n_2 \cdots n_k$ .

*Proof:* If  $K/F$  is finite of degree  $n$ , let  $\alpha_1, \alpha_2, \dots, \alpha_n$  be a basis for  $K$  as a vector space over  $F$ . By Corollary 15,  $[F(\alpha_i) : F]$  divides  $[K : F] = n$  for  $i = 1, 2, \dots, n$ , so