

for our Galois group  $G$ , are the groups

$$S_4, \quad A_4$$

$D_8 = \{1, (1324), (12)(34), (1423), (13)(24), (14)(23), (12), (34)\}$  and its conjugates

$$V = \{1, (12)(34), (13)(24), (14)(23)\}$$

$C = \{1, (1234), (13)(24), (1432)\}$  and its conjugates.

( $D_8$  is the dihedral group, a Sylow 2-subgroup of  $S_4$ , with 3 (isomorphic) conjugate subgroups in  $S_4$ ,  $V$  is the Klein 4-subgroup of  $S_4$ , normal in  $S_4$ , and  $C$  is a cyclic group, with 3 (isomorphic) conjugates in  $S_4$ ).

Consider the elements

$$\theta_1 = (\alpha_1 + \alpha_2)(\alpha_3 + \alpha_4)$$

$$\theta_2 = (\alpha_1 + \alpha_3)(\alpha_2 + \alpha_4)$$

$$\theta_3 = (\alpha_1 + \alpha_4)(\alpha_2 + \alpha_3)$$

in the splitting field for  $g(y)$ . These elements are permuted amongst themselves by the permutations in  $S_4$ . The stabilizer of  $\theta_1$  in  $S_4$  is the dihedral group  $D_8$ . The stabilizers in  $S_4$  of  $\theta_2$  and  $\theta_3$  are the conjugate dihedral subgroups of order 8. The subgroup of  $S_4$  which stabilizes all three of these elements is the intersection of these subgroups, namely the Klein 4-group  $V$ .

Since  $S_4$  merely permutes  $\theta_1, \theta_2, \theta_3$  it follows that the elementary symmetric functions in the  $\theta$ 's are fixed by all the elements of  $S_4$ , hence are in  $F$ . An elementary computation in symmetric functions shows that these elementary symmetric functions are  $2p, p^2 - 4r$ , and  $-q^2$ , which shows that  $\theta_1, \theta_2, \theta_3$  are the roots of

$$h(x) = x^3 - 2px^2 + (p^2 - 4r)x + q^2$$

called the *resolvent cubic* for the quartic  $g(y)$ . Since

$$\begin{aligned} \theta_1 - \theta_2 &= \alpha_1\alpha_3 + \alpha_2\alpha_4 - \alpha_1\alpha_2 - \alpha_3\alpha_4 \\ &= -(\alpha_1 - \alpha_4)(\alpha_2 - \alpha_3) \end{aligned}$$

and similarly

$$\begin{aligned} \theta_1 - \theta_3 &= -(\alpha_1 - \alpha_3)(\alpha_2 - \alpha_4) \\ \theta_2 - \theta_3 &= -(\alpha_1 - \alpha_2)(\alpha_3 - \alpha_4) \end{aligned}$$

we see that the discriminant of the resolvent cubic is the *same* as the discriminant of the quartic  $g(y)$ , hence also as the discriminant of the quartic  $f(x)$ . Using our formula for the discriminant of the cubic, we can easily compute the discriminant in terms of  $p, q, r$ :

$$D = 16p^4r - 4p^3q^2 - 128p^2r^2 + 144pq^2r - 27q^4 + 256r^3$$

from which one can give the formula for  $D$  in terms of  $a, b, c, d$ :

$$\begin{aligned} D &= -128b^2d^2 - 4a^3c^3 + 16b^4d - 4b^3c^2 - 27a^4d^2 + 18abc^3 \\ &\quad + 144a^2bd^2 - 192acd^2 + a^2b^2c^2 - 4a^2b^3d - 6a^2c^2d \\ &\quad + 144bc^2d + 256d^3 - 27c^4 - 80ab^2cd + 18a^3bcd. \end{aligned}$$

The splitting field for the resolvent cubic is a subfield of the splitting field of the quartic, so the Galois group of the resolvent cubic is a quotient of  $G$ . Hence knowing the action of the Galois group on the roots of the resolvent cubic  $h(x)$  gives information about the Galois group of  $g(y)$ , as follows:

### (Galois group of a quartic)

**a.** Suppose first that the resolvent cubic is irreducible. If  $D$  is not a square, then  $G$  is not contained in  $A_4$  and the Galois group of the resolvent cubic is  $S_3$ , which implies that the degree of the splitting field for  $g(y)$  is divisible by 6. The only possibility is then  $G = S_4$ .

**b.** If the resolvent cubic is irreducible and  $D$  is a square, then  $G$  is a subgroup of  $A_4$  and 3 divides the order of  $G$  (the Galois group of the resolvent cubic is  $A_3$ ). The only possibility is  $G = A_4$ .

**c1.** We are left with the case where the resolvent cubic is reducible. The first possibility is that  $h(x)$  has 3 roots in  $F$  (i.e., splits completely). Since each of the elements  $\theta_1, \theta_2, \theta_3$  is in  $F$ , every element of  $G$  fixes all three of these elements, which means  $G \subseteq V$ . The only possibility is  $G = V$ .

**c2.** If  $h(x)$  splits into a linear and a quadratic, then precisely one of  $\theta_1, \theta_2, \theta_3$  is in  $F$ , say  $\theta_1$ . Then  $G$  stabilizes  $\theta_1$  but not  $\theta_2$  and  $\theta_3$ , so we have  $G \subseteq D_8$  and  $G \not\subseteq V$ . This leaves two possibilities:  $G = D_8$  or  $G = C$ . One way to distinguish between these is to observe that  $F(\sqrt{D})$  is the fixed field of the elements of  $G$  in  $A_4$ . For the two cases being considered, we have  $D_8 \cap A_4 = V$ ,  $C \cap A_4 = \{1, (13)(24)\}$ . The first group is transitive on the roots of  $g(y)$ , the second is not. It follows that the first case occurs if and only if  $g(y)$  is irreducible over  $F(\sqrt{D})$ . We may therefore determine  $G$  completely by factoring  $g(y)$  in  $F(\sqrt{D})$ , and so completely determine the Galois group in all cases. (cf. the exercises following and in the next section, where it is shown that over  $\mathbb{Q}$  the Galois group cannot be cyclic of degree 4 if  $D$  is not the sum of two squares — so in particular if  $D < 0$ .)

We shall give explicit formulas for the roots of a quartic polynomial at the end of the next section.

## The Fundamental Theorem of Algebra

We end this section with two proofs of the Fundamental Theorem of Algebra. We need two facts regarding the field  $\mathbb{C}$ :

- (a) Every polynomial with real coefficients of odd degree has a root in the reals. Equivalently, there are no nontrivial finite extensions of  $\mathbb{R}$  of odd degree.
- (b) Quadratic polynomials with coefficients in  $\mathbb{C}$  have roots in  $\mathbb{C}$ . Equivalently, there are no quadratic extensions of  $\mathbb{C}$ .

The first result follows from the Intermediate Value Theorem in calculus, since the graph of a monic polynomial  $f(x) \in \mathbb{R}[x]$  of odd degree is negative for large negative values of  $x$  and positive for large positive values of  $x$ , hence crosses the axis somewhere. The equivalence with the second statement follows since a finite extension of  $\mathbb{R}$  is a

simple extension and the minimal polynomial of a primitive element would have odd degree, hence would be both irreducible over  $\mathbb{R}$  and have a root in  $\mathbb{R}$ , hence must be of degree 1.

The second result follows by a direct computation. By the quadratic formula it suffices to show that every complex number  $\alpha = a + bi$ ,  $a, b \in \mathbb{R}$ , has a square root in  $\mathbb{C}$ . Write  $\alpha = re^{i\theta}$  for some  $r \geq 0$  and some  $\theta \in [0, 2\pi)$ . Then  $\sqrt{r}e^{i\theta/2}$  is a square root of  $\alpha$ . (Explicitly, let  $c \in \mathbb{R}$  be a square root of the real number  $\frac{a + \sqrt{a^2 + b^2}}{2}$  and let  $d \in \mathbb{R}$  be a square root of the real number  $\frac{-a + \sqrt{a^2 + b^2}}{2}$  where the signs of the two square roots are chosen so that  $cd$  has the same sign as  $b$ . Then multiplying out we see that  $(c + di)^2 = a + bi$ .)

**Theorem 35. (Fundamental Theorem of Algebra)** Every polynomial  $f(x) \in \mathbb{C}[x]$  of degree  $n$  has precisely  $n$  roots in  $\mathbb{C}$  (counted with multiplicity). Equivalently,  $\mathbb{C}$  is algebraically closed.

*Proof:* I. It suffices to prove that every polynomial  $f(x) \in \mathbb{C}[x]$  has a root in  $\mathbb{C}$ . Let  $\tau$  denote the automorphism complex conjugation. If  $f(x)$  has no root in  $\mathbb{C}$  then neither does the conjugate polynomial  $\bar{f}(x) = \tau f(x)$  obtained by applying  $\tau$  to the coefficients of  $f(x)$  (since its roots are the conjugates of the roots of  $f(x)$ ). The product  $f(x)\bar{f}(x)$  has coefficients which are invariant under complex conjugation, hence has real coefficients. It suffices then to prove that a polynomial with real coefficients has a root in  $\mathbb{C}$ .

Suppose that  $f(x)$  is a polynomial of degree  $n$  with real coefficients and write  $n = 2^k m$  where  $m$  is odd. We prove that  $f(x)$  has a root in  $\mathbb{C}$  by induction on  $k$ . For  $k = 0$ ,  $f(x)$  has odd degree and by (a) above  $f(x)$  has a root in  $\mathbb{R}$  so we are done. Suppose now that  $k \geq 1$ . Let  $\alpha_1, \alpha_2, \dots, \alpha_n$  be the roots of  $f(x)$  and set  $K = \mathbb{R}(\alpha_1, \alpha_2, \dots, \alpha_n, i)$ . Then  $K$  is a Galois extension of  $\mathbb{R}$  containing  $\mathbb{C}$  and the roots of  $f(x)$ . For any  $t \in \mathbb{R}$  consider the polynomial

$$L_t = \prod_{1 \leq i < j \leq n} [x - (\alpha_i + \alpha_j + t\alpha_i\alpha_j)].$$

Any automorphism of  $K/\mathbb{R}$  permutes the terms in this product so the coefficients of  $L_t$  are invariant under all the elements of  $\text{Gal}(K/\mathbb{R})$ . Hence  $L_t$  is a polynomial with real coefficients. The degree of  $L_t$  is

$$\frac{n(n-1)}{2} = 2^{k-1}m(2^k m - 1) = 2^{k-1}m'$$

where  $m'$  is odd (since  $k \geq 1$ ). The power of 2 in this degree is therefore less than  $k$ , so by induction the polynomial  $L_t$  has a root in  $\mathbb{C}$ . Hence for each  $t \in \mathbb{R}$  one of the elements  $\alpha_i + \alpha_j + t\alpha_i\alpha_j$  for some  $i, j$  ( $1 \leq i < j \leq n$ ) is an element of  $\mathbb{C}$ . Since there are infinitely many choices for  $t$  and only finitely many values of  $i$  and  $j$  we see that for some  $i$  and  $j$  (say,  $i = 1$  and  $j = 2$ ) there are distinct real numbers  $s$  and  $t$  with

$$\alpha_1 + \alpha_2 + s\alpha_1\alpha_2 \in \mathbb{C} \quad \alpha_1 + \alpha_2 + t\alpha_1\alpha_2 \in \mathbb{C}.$$

Since  $s \neq t$  it follows that  $a = \alpha_1 + \alpha_2 \in \mathbb{C}$  and  $b = \alpha_1\alpha_2 \in \mathbb{C}$ . But then  $\alpha_1$  and  $\alpha_2$  are the roots of the quadratic  $x^2 - ax + b$  with coefficients in  $\mathbb{C}$ , hence are elements of  $\mathbb{C}$  by (b) above, completing the proof.

II. The second proof again uses (a) and (b) above, but replaces the computations with the polynomials  $L_t$  above with a simple group-theoretic argument involving the nilpotency of a Sylow 2-subgroup of the Galois group:

Let  $f(x)$  be a polynomial of degree  $n$  with real coefficients and let  $K$  be the splitting field of  $f(x)$  over  $\mathbb{R}$ . Then  $K(i)$  is a Galois extension of  $\mathbb{R}$ . Let  $G$  denote its Galois group and let  $P_2$  denote a Sylow 2-subgroup of  $G$ . The fixed field of  $P_2$  is an extension of  $\mathbb{R}$  of odd degree, hence by (a) is trivial.

It follows that  $\text{Gal}(K(i)/\mathbb{C})$  is a 2-group. Since 2-groups have subgroups of all orders (recall this is true of a finite  $p$ -group for any prime  $p$ , cf. Theorem 6.1), if this group is nontrivial, there would exist a quadratic extension of  $\mathbb{C}$ , impossible by (b), completing the proof.

The Fundamental Theorem of Algebra was first rigorously proved by Gauss in 1816 (his doctoral dissertation in 1798 provides a proof using geometric considerations requiring some topological justification). The first proof above is essentially due to Laplace in 1795 (hence the reason for naming the polynomials  $L_t$ ). The reason Laplace's proof was deemed unacceptable was that he assumed the existence of a splitting field for polynomials (i.e., that the roots existed *somewhere* in *some* field), which had not been established at that time. The elegant second proof is a simplification due to Artin.

## EXERCISES

1. Show that a cubic with a multiple root has a linear factor. Is the same true for quartics?
2. Determine the Galois groups of the following polynomials:
  - (a)  $x^3 - x^2 - 4$
  - (b)  $x^3 - 2x + 4$
  - (c)  $x^3 - x + 1$
  - (d)  $x^3 + x^2 - 2x - 1$ .
3. Prove for any  $a, b \in \mathbb{F}_{p^n}$  that if  $x^3 + ax + b$  is irreducible then  $-4a^3 - 27b^2$  is a square in  $\mathbb{F}_{p^n}$ .
4. Determine the Galois group of  $x^4 - 25$ .
5. Determine the Galois group of  $x^4 + 4$ .
6. Determine the Galois group of  $x^4 + 3x^3 - 3x - 2$ .
7. Determine the Galois group of  $x^4 + 2x^2 + x + 3$ .
8. Determine the Galois group of  $x^4 + 8x + 12$ .
9. Determine the Galois group of  $x^4 + 4x - 1$  (cf. Exercise 19).
10. Determine the Galois group of  $x^5 + x - 1$ .
11. Let  $F$  be an extension of  $\mathbb{Q}$  of degree 4 that is not Galois over  $\mathbb{Q}$ . Prove that the Galois closure of  $F$  has Galois group either  $S_4$ ,  $A_4$  or the dihedral group  $D_8$  of order 8. Prove that the Galois group is dihedral if and only if  $F$  contains a quadratic extension of  $\mathbb{Q}$ .
12. Prove that an extension  $F$  of  $\mathbb{Q}$  of degree 4 can be generated by the root of an irreducible biquadratic  $x^4 + ax^2 + b$  over  $\mathbb{Q}$  if and only if  $F$  contains a quadratic extension of  $\mathbb{Q}$ .