

differs from an element in  $(x^t - y^j)$  by a polynomial  $f(x)$  of degree at most  $j - 1$  in  $y$  and observe that the exponents of  $\varphi(x^r y^s)$  are distinct for  $0 \leq s < j$ .]

15. Let  $p(x_1, x_2, \dots, x_n)$  be a homogeneous polynomial of degree  $k$  in  $R[x_1, \dots, x_n]$ . Prove that for all  $\lambda \in R$  we have  $p(\lambda x_1, \lambda x_2, \dots, \lambda x_n) = \lambda^k p(x_1, x_2, \dots, x_n)$ .
16. Prove that the product of two homogeneous polynomials is again homogeneous.
17. An ideal  $I$  in  $R[x_1, \dots, x_n]$  is called a *homogeneous ideal* if whenever  $p \in I$  then each homogeneous component of  $p$  is also in  $I$ . Prove that an ideal is a homogeneous ideal if and only if it may be generated by homogeneous polynomials. [Use induction on degrees to show the “if” implication.]

The following exercise shows that some care must be taken when working with polynomials over noncommutative rings  $R$  (the ring operations in  $R[x]$  are defined in the same way as for commutative rings  $R$ ), in particular when considering polynomials as functions.

18. Let  $R$  be an arbitrary ring and let  $\text{Func}(R)$  be the ring of all functions from  $R$  to itself. If  $p(x) \in R[x]$  is a polynomial, let  $f_p \in \text{Func}(R)$  be the function on  $R$  defined by  $f_p(r) = p(r)$  (the usual way of viewing a polynomial in  $R[x]$  as defining a function on  $R$  by “evaluating at  $r$ ”).  
  - (a) For fixed  $a \in R$ , prove that “evaluation at  $a$ ” is a ring homomorphism from  $\text{Func}(R)$  to  $R$  (cf. Example 4 following Theorem 7 in Section 7.3).
  - (b) Prove that the map  $\varphi : R[x] \rightarrow \text{Func}(R)$  defined by  $\varphi(p(x)) = f_p$  is not a ring homomorphism in general. Deduce that polynomial identities need not give corresponding identities when the polynomials are viewed as functions. [If  $R = \mathbb{H}$  is the ring of real Hamilton Quaternions show that  $p(x) = x^2 + 1$  factors as  $(x + i)(x - i)$ , but that  $p(j) = 0$  while  $(j + i)(j - i) \neq 0$ .]
  - (c) For fixed  $a \in R$ , prove that the composite “evaluation at  $a$ ” of the maps in (a) and (b) mapping  $R[x]$  to  $R$  is a ring homomorphism if and only if  $a$  is in the center of  $R$ .

## 9.2 POLYNOMIAL RINGS OVER FIELDS I

We now consider more carefully the situation where the coefficient ring is a *field*  $F$ . We can define a *norm* on  $F[x]$  by defining  $N(p(x)) = \text{degree of } p(x)$  (where we set  $N(0) = 0$ ). From elementary algebra we know that we can divide one polynomial with, say, rational coefficients by another (nonzero) polynomial with rational coefficients to obtain a quotient and remainder. The same is true over any field.

**Theorem 3.** Let  $F$  be a field. The polynomial ring  $F[x]$  is a Euclidean Domain. Specifically, if  $a(x)$  and  $b(x)$  are two polynomials in  $F[x]$  with  $b(x)$  nonzero, then there are *unique*  $q(x)$  and  $r(x)$  in  $F[x]$  such that

$$a(x) = q(x)b(x) + r(x) \quad \text{with } r(x) = 0 \text{ or } \text{degree } r(x) < \text{degree } b(x).$$

*Proof:* If  $a(x)$  is the zero polynomial then take  $q(x) = r(x) = 0$ . We may therefore assume  $a(x) \neq 0$  and prove the existence of  $q(x)$  and  $r(x)$  by induction on  $n = \text{degree } a(x)$ . Let  $b(x)$  have degree  $m$ . If  $n < m$  take  $q(x) = 0$  and  $r(x) = a(x)$ . Otherwise  $n \geq m$ . Write

$$a(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0$$

and

$$b(x) = b_m x^m + b_{m-1} x^{m-1} + \cdots + b_1 x + b_0.$$

Then the polynomial  $a'(x) = a(x) - \frac{a_n}{b_m} x^{n-m} b(x)$  is of degree less than  $n$  (we have arranged to subtract the leading term from  $a(x)$ ). Note that this polynomial is well defined because the coefficients are taken from a field and  $b_m \neq 0$ . By induction then, there exist polynomials  $q'(x)$  and  $r(x)$  with

$$a'(x) = q'(x)b(x) + r(x) \quad \text{with } r(x) = 0 \text{ or } \deg r(x) < \deg b(x).$$

Then, letting  $q(x) = q'(x) + \frac{a_n}{b_m} x^{n-m}$  we have

$$a(x) = q(x)b(x) + r(x) \quad \text{with } r(x) = 0 \text{ or } \deg r(x) < \deg b(x)$$

completing the induction step.

As for the uniqueness, suppose  $q_1(x)$  and  $r_1(x)$  also satisfied the conditions of the theorem. Then both  $a(x) - q(x)b(x)$  and  $a(x) - q_1(x)b(x)$  are of degree less than  $m = \deg b(x)$ . The difference of these two polynomials, i.e.,  $b(x)(q(x) - q_1(x))$  is also of degree less than  $m$ . But the degree of the product of two nonzero polynomials is the sum of their degrees (since  $F$  is an integral domain), hence  $q(x) - q_1(x)$  must be 0, that is,  $q(x) = q_1(x)$ . This implies  $r(x) = r_1(x)$ , completing the proof.

**Corollary 4.** If  $F$  is a field, then  $F[x]$  is a Principal Ideal Domain and a Unique Factorization Domain.

*Proof:* This is immediate from the results of the last chapter.

Recall also from Corollary 8 in Section 8.2 that if  $R$  is any commutative ring such that  $R[x]$  is a Principal Ideal Domain (or Euclidean Domain) then  $R$  must be a field. We shall see in the next section, however, that  $R[x]$  is a Unique Factorization Domain whenever  $R$  itself is a Unique Factorization Domain.

## Examples

- (1) By the above remarks the ring  $\mathbb{Z}[x]$  is not a Principal Ideal Domain. As we have already seen (Example 3 beginning of Section 7.4) the ideal  $(2, x)$  is not principal in this ring.
- (2)  $\mathbb{Q}[x]$  is a Principal Ideal Domain since the coefficients lie in the field  $\mathbb{Q}$ . The ideal generated in  $\mathbb{Z}[x]$  by 2 and  $x$  is not principal in the subring  $\mathbb{Z}[x]$  of  $\mathbb{Q}[x]$ . However, the ideal generated in  $\mathbb{Q}[x]$  is principal; in fact it is the entire ring (so has 1 as a generator) since 2 is a unit in  $\mathbb{Q}[x]$ .
- (3) If  $p$  is a prime, the ring  $\mathbb{Z}/p\mathbb{Z}[x]$  obtained by reducing  $\mathbb{Z}[x]$  modulo the prime ideal  $(p)$  is a Principal Ideal Domain, since the coefficients lie in the field  $\mathbb{Z}/p\mathbb{Z}$ . This example shows that the quotient of a ring which is not a Principal Ideal Domain *may* be a Principal Ideal Domain. To follow the ideal  $(2, x)$  above in this example, note that if  $p = 2$ , then the ideal  $(2, x)$  reduces to the ideal  $(x)$  in the quotient  $\mathbb{Z}/2\mathbb{Z}[x]$ , which is a proper (maximal) ideal. If  $p \neq 2$ , then 2 is a unit in the quotient, so the ideal  $(2, x)$  reduces to the entire ring  $\mathbb{Z}/p\mathbb{Z}[x]$ .
- (4)  $\mathbb{Q}[x, y]$ , the ring of polynomials in two variables with rational coefficients, is *not* a Principal Ideal Domain since this ring is  $\mathbb{Q}[x][y]$  and  $\mathbb{Q}[x]$  is not a field (any element

of positive degree is not invertible). It is an exercise to see that the ideal  $(x, y)$  is not a principal ideal in this ring. We shall see shortly that  $\mathbb{Q}[x, y]$  is a Unique Factorization Domain.

We note that the quotient and remainder in the Division Algorithm applied to  $a(x), b(x) \in F[x]$  are *independent of field extensions* in the following sense. Suppose the field  $F$  is contained in the field  $E$  and  $a(x) = Q(x)b(x) + R(x)$  for some  $Q(x), R(x)$  satisfying the conditions of Theorem 3 in  $E[x]$ . Write  $a(x) = q(x)b(x) + r(x)$  for some  $q(x), r(x) \in F[x]$  and apply the uniqueness condition of Theorem 3 in the ring  $E[x]$  to deduce that  $Q(x) = q(x)$  and  $R(x) = r(x)$ . In particular,  $b(x)$  divides  $a(x)$  in the ring  $E[x]$  if and only if  $b(x)$  divides  $a(x)$  in  $F[x]$ . Also, the greatest common divisor of  $a(x)$  and  $b(x)$  (which can be obtained from the Euclidean Algorithm) is the same, once we make it unique by specifying it to be monic, whether these elements are viewed in  $F[x]$  or in  $E[x]$ .

## EXERCISES

Let  $F$  be a field and let  $x$  be an indeterminate over  $F$ .

1. Let  $f(x) \in F[x]$  be a polynomial of degree  $n \geq 1$  and let bars denote passage to the quotient  $F[x]/(f(x))$ . Prove that for each  $g(x)$  there is a unique polynomial  $g_0(x)$  of degree  $\leq n - 1$  such that  $\overline{g(x)} = \overline{g_0(x)}$  (equivalently, the elements  $\bar{1}, \bar{x}, \dots, \bar{x^{n-1}}$  are a basis of the vector space  $F[x]/(f(x))$  over  $F$  — in particular, the dimension of this space is  $n$ ). [Use the Division Algorithm.]
2. Let  $F$  be a finite field of order  $q$  and let  $f(x)$  be a polynomial in  $F[x]$  of degree  $n \geq 1$ . Prove that  $F[x]/(f(x))$  has  $q^n$  elements. [Use the preceding exercise.]
3. Let  $f(x)$  be a polynomial in  $F[x]$ . Prove that  $F[x]/(f(x))$  is a field if and only if  $f(x)$  is irreducible. [Use Proposition 7, Section 8.2.]
4. Let  $F$  be a finite field. Prove that  $F[x]$  contains infinitely many primes. (Note that over an infinite field the polynomials of degree 1 are an infinite set of primes in the ring of polynomials).
5. Exhibit all the ideals in the ring  $F[x]/(p(x))$ , where  $F$  is a field and  $p(x)$  is a polynomial in  $F[x]$  (describe them in terms of the factorization of  $p(x)$ ).
6. Describe (briefly) the ring structure of the following rings:  
 (a)  $\mathbb{Z}[x]/(2)$ , (b)  $\mathbb{Z}[x]/(x)$ , (c)  $\mathbb{Z}[x]/(x^2)$ , (d)  $\mathbb{Z}[x, y]/(x^2, y^2, 2)$ .  
 Show that  $\alpha^2 = 0$  or 1 for every  $\alpha$  in the last ring and determine those elements with  $\alpha^2 = 0$ . Determine the characteristics of each of these rings (cf. Exercise 26, Section 7.3).
7. Determine all the ideals of the ring  $\mathbb{Z}[x]/(2, x^3 + 1)$ .
8. Determine the greatest common divisor of  $a(x) = x^3 - 2$  and  $b(x) = x + 1$  in  $\mathbb{Q}[x]$  and write it as a linear combination (in  $\mathbb{Q}[x]$ ) of  $a(x)$  and  $b(x)$ .
9. Determine the greatest common divisor of  $a(x) = x^5 + 2x^3 + x^2 + x + 1$  and the polynomial  $b(x) = x^5 + x^4 + 2x^3 + 2x^2 + 2x + 1$  in  $\mathbb{Q}[x]$  and write it as a linear combination (in  $\mathbb{Q}[x]$ ) of  $a(x)$  and  $b(x)$ .
10. Determine the greatest common divisor of  $a(x) = x^3 + 4x^2 + x - 6$  and  $b(x) = x^5 - 6x + 5$  in  $\mathbb{Q}[x]$  and write it as a linear combination (in  $\mathbb{Q}[x]$ ) of  $a(x)$  and  $b(x)$ .
11. Suppose  $f(x)$  and  $g(x)$  are two nonzero polynomials in  $\mathbb{Q}[x]$  with greatest common divisor  $d(x)$ .