

**Definition.** The integer  $r$  in Theorem 5 is called the *free rank* or the *Betti number* of  $M$  and the elements  $a_1, a_2, \dots, a_m \in R$  (defined up to multiplication by units in  $R$ ) are called the *invariant factors* of  $M$ .

Note that until we have proved that the invariant factors of  $M$  are unique we should properly refer to *a* set of invariant factors for  $M$  (and similarly for the free rank), by which we mean any elements giving a decomposition for  $M$  as in (1) of the theorem above.

Using the Chinese Remainder Theorem it is possible to decompose the cyclic modules in Theorem 5 further so that  $M$  is the direct sum of cyclic modules whose annihilators are as simple as possible (namely  $(0)$  or generated by powers of primes in  $R$ ). This gives an alternate decomposition which we shall also see is unique and which we now describe.

Suppose  $a$  is a nonzero element of the Principal Ideal Domain  $R$ . Then since  $R$  is also a Unique Factorization Domain we can write

$$a = up_1^{\alpha_1} p_2^{\alpha_2} \cdots p_s^{\alpha_s}$$

where the  $p_i$  are distinct primes in  $R$  and  $u$  is a unit. This factorization is unique up to units, so the ideals  $(p_i^{\alpha_i})$ ,  $i = 1, \dots, s$  are uniquely defined. For  $i \neq j$  we have  $(p_i^{\alpha_i}) + (p_j^{\alpha_j}) = R$  since the sum of these two ideals is generated by a greatest common divisor, which is 1 for distinct primes  $p_i, p_j$ . Put another way, the ideals  $(p_i^{\alpha_i})$ ,  $i = 1, \dots, s$ , are comaximal in pairs. The intersection of all these ideals is the ideal  $(a)$  since  $a$  is the least common multiple of  $p_1^{\alpha_1}, p_2^{\alpha_2}, \dots, p_s^{\alpha_s}$ . Then the Chinese Remainder Theorem (Theorem 7.17) shows that

$$R/(a) \cong R/(p_1^{\alpha_1}) \oplus R/(p_2^{\alpha_2}) \oplus \cdots \oplus R/(p_s^{\alpha_s})$$

as rings and also as  $R$ -modules.

Applying this to the modules in Theorem 5 allows us to write each of the direct summands  $R/(a_i)$  for the invariant factor  $a_i$  of  $M$  as a direct sum of cyclic modules whose annihilators are the prime power divisors of  $a_i$ . This proves:

**Theorem 6. (Fundamental Theorem, Existence: Elementary Divisor Form)** Let  $R$  be a P.I.D. and let  $M$  be a finitely generated  $R$ -module. Then  $M$  is the direct sum of a finite number of cyclic modules whose annihilators are either  $(0)$  or generated by powers of primes in  $R$ , i.e.,

$$M \cong R^r \oplus R/(p_1^{\alpha_1}) \oplus R/(p_2^{\alpha_2}) \oplus \cdots \oplus R/(p_t^{\alpha_t})$$

where  $r \geq 0$  is an integer and  $p_1^{\alpha_1}, \dots, p_t^{\alpha_t}$  are positive powers of (not necessarily distinct) primes in  $R$ .

We proved Theorem 6 by using the prime power factors of the invariant factors for  $M$ . In fact we shall see that the decomposition of  $M$  into a direct sum of cyclic modules whose annihilators are  $(0)$  or prime powers as in Theorem 6 is unique, i.e., the integer  $r$  and the ideals  $(p_1^{\alpha_1}), \dots, (p_t^{\alpha_t})$  are uniquely defined for  $M$ . These prime powers are given a name:

**Definition.** Let  $R$  be a P.I.D. and let  $M$  be a finitely generated  $R$ -module as in Theorem 6. The prime powers  $p_1^{\alpha_1}, \dots, p_t^{\alpha_t}$  (defined up to multiplication by units in  $R$ ) are called the *elementary divisors* of  $M$ .

Suppose  $M$  is a finitely generated torsion module over the Principal Ideal Domain  $R$ . If for the *distinct* primes  $p_1, p_2, \dots, p_n$  occurring in the decomposition in Theorem 6 we group together all the cyclic factors corresponding to the same prime  $p_i$  we see in particular that  $M$  can be written as a direct sum

$$M = N_1 \oplus N_2 \oplus \cdots \oplus N_n$$

where  $N_i$  consists of all the elements of  $M$  which are annihilated by some power of the prime  $p_i$ . This result holds also for modules over  $R$  which may not be finitely generated:

**Theorem 7. (The Primary Decomposition Theorem)** Let  $R$  be a P.I.D. and let  $M$  be a nonzero torsion  $R$ -module (not necessarily finitely generated) with nonzero annihilator  $a$ . Suppose the factorization of  $a$  into distinct prime powers in  $R$  is

$$a = u p_1^{\alpha_1} p_2^{\alpha_2} \cdots p_n^{\alpha_n}$$

and let  $N_i = \{x \in M \mid p_i^{\alpha_i} x = 0\}$ ,  $1 \leq i \leq n$ . Then  $N_i$  is a submodule of  $M$  with annihilator  $p_i^{\alpha_i}$  and is the submodule of  $M$  of all elements annihilated by some power of  $p_i$ . We have

$$M = N_1 \oplus N_2 \oplus \cdots \oplus N_n.$$

If  $M$  is finitely generated then each  $N_i$  is the direct sum of finitely many cyclic modules whose annihilators are divisors of  $p_i^{\alpha_i}$ .

*Proof:* We have already proved these results in the case where  $M$  is finitely generated over  $R$ . In the general case it is clear that  $N_i$  is a submodule of  $M$  with annihilator dividing  $p_i^{\alpha_i}$ . Since  $R$  is a P.I.D. the ideals  $(p_i^{\alpha_i})$  and  $(p_j^{\alpha_j})$  are comaximal for  $i \neq j$ , so the direct sum decomposition of  $M$  can be proved easily by modifying the argument in the proof of the Chinese Remainder Theorem to apply it to modules. Using this direct sum decomposition it is easy to see that the annihilator of  $N_i$  is precisely  $p_i^{\alpha_i}$ .

**Definition.** The submodule  $N_i$  in the previous theorem is called the  $p_i$ -primary component of  $M$ .

Notice that with this terminology the elementary divisors of a finitely generated module  $M$  are just the invariant factors of the primary components of  $\text{Tor}(M)$ .

We now prove the uniqueness statements regarding the decompositions in the Fundamental Theorem.

Note that if  $M$  is any module over a commutative ring  $R$  and  $a$  is an element of  $R$  then  $aM = \{am \mid m \in M\}$  is a submodule of  $M$ . Recall also that in a Principal Ideal Domain  $R$  the nonzero prime ideals are maximal, hence the quotient of  $R$  by a nonzero prime ideal is a field.

**Lemma 8.** Let  $R$  be a P.I.D. and let  $p$  be a prime in  $R$ . Let  $F$  denote the field  $R/(p)$ .

(1) Let  $M = R^r$ . Then  $M/pM \cong F^r$ .

(2) Let  $M = R/(a)$  where  $a$  is a nonzero element of  $R$ . Then

$$M/pM \cong \begin{cases} F & \text{if } p \text{ divides } a \text{ in } R \\ 0 & \text{if } p \text{ does not divide } a \text{ in } R. \end{cases}$$

(3) Let  $M = R/(a_1) \oplus R/(a_2) \oplus \cdots \oplus R/(a_k)$  where each  $a_i$  is divisible by  $p$ . Then  $M/pM \cong F^k$ .

*Proof:* (1) There is a natural map from  $R^r$  to  $(R/(p))^r$  defined by mapping  $(\alpha_1, \dots, \alpha_r)$  to  $(\alpha_1 \bmod p, \dots, \alpha_r \bmod p)$ . This is clearly a surjective  $R$ -module homomorphism with kernel consisting of the  $r$ -tuples all of whose coordinates are divisible by  $p$ , i.e.,  $pR^r$ , so  $R^r/pR^r \cong (R/(p))^r$ , which is (1).

(2) This follows from the Isomorphism Theorems: note first that  $p(R/(a))$  is the image of the ideal  $(p)$  in the quotient  $R/(a)$ , hence is  $(p)+(a)/(a)$ . The ideal  $(p)+(a)$  is generated by a greatest common divisor of  $p$  and  $a$ , hence is  $(p)$  if  $p$  divides  $a$  and is  $R = (1)$  otherwise. Hence  $pM = (p)/(a)$  if  $p$  divides  $a$  and is  $R/(a) = M$  otherwise. If  $p$  divides  $a$  then  $M/pM = (R/(a))/((p)/(a)) \cong R/(p)$ , and if  $p$  does not divide  $a$  then  $M/pM = M/M = 0$ , which proves (2).

(3) This follows from (2) as in the proof of part (1) of Theorem 5.

**Theorem 9. (Fundamental Theorem, Uniqueness)** Let  $R$  be a P.I.D.

- (1) Two finitely generated  $R$ -modules  $M_1$  and  $M_2$  are isomorphic if and only if they have the same free rank and the same list of invariant factors.
- (2) Two finitely generated  $R$ -modules  $M_1$  and  $M_2$  are isomorphic if and only if they have the same free rank and the same list of elementary divisors.

*Proof:* If  $M_1$  and  $M_2$  have the same free rank and list of invariant factors or the same free rank and list of elementary divisors then they are clearly isomorphic.

Suppose that  $M_1$  and  $M_2$  are isomorphic. Any isomorphism between  $M_1$  and  $M_2$  maps the torsion in  $M_1$  to the torsion in  $M_2$  so we must have  $\text{Tor}(M_1) \cong \text{Tor}(M_2)$ . Then  $R^{r_1} \cong M_1/\text{Tor}(M_1) \cong M_2/\text{Tor}(M_2) \cong R^{r_2}$  where  $r_1$  is the free rank of  $M_1$  and  $r_2$  is the free rank of  $M_2$ . Let  $p$  be any nonzero prime in  $R$ . Then from  $R^{r_1} \cong R^{r_2}$  we obtain  $R^{r_1}/pR^{r_1} \cong R^{r_2}/pR^{r_2}$ . By (1) of the previous lemma, this implies  $F^{r_1} \cong F^{r_2}$  where  $F$  is the field  $R/pR$ . Hence we have an isomorphism of an  $r_1$ -dimensional vector space over  $F$  with an  $r_2$ -dimensional vector space over  $F$ , so that  $r_1 = r_2$  and  $M_1$  and  $M_2$  have the same free rank.

We are reduced to showing that  $M_1$  and  $M_2$  have the same lists of invariant factors and elementary divisors. To do this we need only work with the isomorphic torsion modules  $\text{Tor}(M_1)$  and  $\text{Tor}(M_2)$ , i.e., we may as well assume that both  $M_1$  and  $M_2$  are torsion  $R$ -modules.

We first show they have the same elementary divisors. It suffices to show that for any fixed prime  $p$  the elementary divisors which are a power of  $p$  are the same for both  $M_1$  and  $M_2$ . If  $M_1 \cong M_2$  then the  $p$ -primary submodule of  $M_1$  (= the direct