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## A Natural Transformation between Vector Spaces

We begin with a review of vector spaces over the field of real numbers, although any other field may be substituted for  $\mathbf{R}$ .

A *vector space*  $V$  over  $\mathbf{R}$  is an Abelian group together with a mapping from  $V \times \mathbf{R}$  to  $V$  sending  $(x, r)$  to  $xr$ , such that, if  $r$  and  $s$  are real numbers and  $x, y$  are in  $V$ , then

$$(x + y)r = xr + yr,$$

$$x(r + s) = xr + xs,$$

$$x(rs) = (xr)s,$$

$$x1 = x.$$

Note that  $\mathbf{R}$  is a vector space over itself.

A *linear transformation* from a vector space  $V$  to a vector space  $V'$  is a mapping  $f : V \rightarrow V'$  such that  $f(x + y) = f(x) + f(y)$  and  $f(xr) = (f(x))r$  for any  $x, y \in V$  and  $r \in \mathbf{R}$ .

Taking vector spaces as objects and linear transformations as arrows, it is easy to show that the vector spaces (over the reals) form a concrete category which we shall call *Vect*.

A linear transformation with codomain  $\mathbf{R}$  is called a *linear functional*. If  $f$  and  $g$  are linear functionals on  $V$ , we define  $(f + g)(x) = f(x) + g(x)$  and  $(fr)x = f(xr)$ . Now the set of linear functionals on  $V$  forms a vector space over  $\mathbf{R}$ , called the *dual space*  $V^*$  of  $V$ .

The above procedure may be repeated to obtain the *double dual* of  $V$ , namely,  $V^{**} = (V^*)^*$ . This double dual is closely related to  $V$ .

Let  $\tilde{x} : V \rightarrow V^{**}$  so that, if  $x \in V$ , then  $\tilde{x}$  is the transformation from  $V^*$  to  $\mathbf{R}$  that maps any linear functional  $f$  to  $f(x)$ , that is,  $\tilde{x}(f) = f(x)$ . Two things follow immediately:

- I.**  $\tilde{x}$  is a linear transformation from  $V^*$  to  $\mathbf{R}$ , that is, a linear functional on  $V^*$ ;
- II.**  $\sim$  is a linear transformation from  $V$  to  $V^{**}$ .

In the case that  $V$  has finite dimension,  $\sim$  is an isomorphism.

If  $h$  is a linear transformation from a vector space  $V$  to a vector space  $V'$ , we define  $h^{**}$  as the function from  $V^{**}$  to  $V'^{**}$  such that, if  $p \in V^{**}$ ,  $h^{**}(p)$  is the member of  $V'^{**}$  that maps  $f'$  in  $V'^*$  to  $p(f' \circ h)$ . Note that

- III.**  $f' \circ h : V \rightarrow \mathbf{R}$  and thus  $f' \circ h \in V^*$ , which is the domain of  $p$ ;
- IV.**  $h^{**}$  is a linear transformation from  $V^{**}$  to  $V'^{**}$ .

In proving **IV**, we note that, if  $f' \in V'^*$ , then

$$\begin{aligned} h^{**}(pr)(f') &= (pr)(f' \circ h) = p((f' \circ h)r) \\ &= p((f'r) \circ h) = h^{**}(p)(f'r) = (h^{**}(p)r)(f'). \end{aligned}$$

Now suppose  $F$  maps each object  $V$  in the category  $\text{Vect}$  to  $V^{**}$ , and each arrow  $h : V \rightarrow V'$  in  $\text{Vect}$  to  $h^{**}$ . If  $h$  is the identity function on  $V$ , then  $h^{**}$  is the identity function on  $V^{**}$ , since then  $h^{**}(p)(f') = p(f' \circ h) = p(f')$ .

Moreover, if  $h : V \rightarrow V'$  and  $g : V' \rightarrow V''$  are linear transformations, then so is  $g \circ h : V \rightarrow V''$ .  $F(g \circ h) = (g \circ h)^{**}$  maps  $p \in V^{**}$  to the member of  $V''^{**}$  that maps  $f''$  in  $V''^*$  to  $p(f'' \circ (g \circ h))$ . That is, if  $f'' : V'' \rightarrow \mathbf{R}$ ,

$$(g \circ h)^{**}(p)(f'') = p(f'' \circ (g \circ h)).$$

(Note that  $f'' \circ (g \circ h) : V \rightarrow \mathbf{R}$ , so that  $f'' \circ (g \circ h) \in V^*$ , which is the domain of  $p \in V^{**}$ .)

Since

$$\begin{aligned} (F(g) \circ F(h))(p)(f'') &= g^{**}(h^{**}(p))(f'') = h^{**}(p)(f'' \circ g) \\ &= p((f'' \circ g) \circ h) = p(f'' \circ (g \circ h)), \end{aligned}$$

it follows that  $F$  is a functor from  $\text{Vect}$  to  $\text{Vect}$ .

Another functor from  $\text{Vect}$  to  $\text{Vect}$  is the identity functor  $I$ .

Let  $t$  assign to every vector space  $V$  the linear transformation from  $V$  to  $F(V) = V^{**}$  which we called  $\sim$ . That is, let  $t(V)(x) = \tilde{x}$ . Suppose  $h : V \rightarrow V'$  and let  $x$  be any element of  $V$ . Then  $(F(h) \circ t(V))(x) = h^{**}(\tilde{x})$ .

Also,  $(t(V') \circ I(h))(x) = (h(x))^\sim$ . These two elements of  $V'^{**}$  are in fact equal. For let  $f' : V' \rightarrow \mathbf{R}$ , so that  $f' \in V'^*$ . Then

$$\begin{aligned} h^{**}(\tilde{x})(f') &= \tilde{x}(f' \circ h) \\ &= (f' \circ h)(x) \\ &= f'(h(x)) \\ &= (h(x))^\sim (f'). \end{aligned}$$

We may conclude that  $F(h) \circ t(V) = t(V') \circ I(h)$ , and hence  $t$  is a natural transformation from the functor  $I$  to the functor  $F$ .

Examples such as this have led to the slogan *that many objects of interest in mathematics are functors and that the arrows between them are natural transformations*. This and the slogans mentioned earlier were first proposed by F. W. Lawvere.

## Exercises

1. Show that  $\text{Vect}$  is a concrete category.
2. Show that the sum of two linear transformations (from  $V$  to  $V'$ ) is a linear transformation.
3. Show that  $V^*$  is a vector space.
4. Verify **I**, **II**, **III** and **IV** from the text.
5. Generalize the results of this chapter from vector spaces to  $M$ -sets.  
(Things become a little easier if it is assumed that multiplication in  $M$  is commutative.)

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