

One special case of this theorem is when  $E$  is *finitely generated* over  $F$ , that is,  $E = F(\alpha_1, \alpha_2, \dots, \alpha_n)$ , for some (not necessarily algebraically independent) elements  $\alpha_1, \dots, \alpha_n$  of  $E$ . It is clear that we may renumber  $\alpha_1, \dots, \alpha_n$  so that  $\alpha_1, \dots, \alpha_m$  are independent transcendentals and  $\alpha_{m+1}, \dots, \alpha_n$  are algebraic over  $F(\alpha_1, \dots, \alpha_m)$  (so  $E$  is a finite extension of the latter field). In this case  $E$  is called a *function field in  $m$  variables* over  $F$ . Such fields play a fundamental role in algebraic geometry as fields of functions on  $m$ -dimensional surfaces. For instance, when  $F = \mathbb{C}$  and  $m = 1$ , these fields arise in analysis as fields of meromorphic functions on compact Riemann surfaces.

Note that if  $S_1$  and  $S_2$  are transcendence bases for  $E/F$  it is not necessarily the case that  $F(S_1) = F(S_2)$ . For example, if  $t$  is transcendental over  $\mathbb{Q}$ ,  $\{t\}$  and  $\{t^2\}$  are both transcendence bases for  $\mathbb{Q}(t)/\mathbb{Q}$  but (as we shall see shortly)  $\mathbb{Q}(t^2)$  is a proper subfield of  $\mathbb{Q}(t)$ .

We now see that if  $x_1, x_2, \dots, x_n$  are indeterminates over  $F$  and

$$f(x) = (x - x_1)(x - x_2) \cdots (x - x_n) \quad (14.28)$$

is the general polynomial of degree  $n$ , then the set of  $n$  elementary symmetric functions  $s_1, s_2, \dots, s_n$  in the  $x_i$ 's are also independent transcendentals over  $F$ . This is because  $x_1, \dots, x_n$  is a transcendence base for  $E = F(x_1, \dots, x_n)$  over  $F$  (so the transcendence degree is  $n$ ) and  $E$  is algebraic over  $F(s_1, \dots, s_n)$  (of degree  $n!$ ). The theorem forces  $s_1, \dots, s_n$  to be a transcendence base for this extension as well (in particular, they are independent transcendentals). The general polynomial of degree  $n$  over  $F$  may therefore equivalently be defined by taking  $a_1, \dots, a_n$  to be any independent transcendentals (or indeterminates) and letting

$$f(x) = x^n + a_1 x^{n-1} + \cdots + a_n \quad (14.29)$$

where the roots of  $f$  are denoted by  $x_1, \dots, x_n$  (and  $s_i = (-1)^i a_i$ ).

**Definition.** An extension  $E/F$  is called *purely transcendental* if it has a transcendence base  $S$  such that  $E = F(S)$ .

In the preceding discussion, both  $F(x_1, \dots, x_n)$  and  $F(s_1, \dots, s_n)$  are purely transcendental over  $F$ . As an exercise (following) one can show that  $\mathbb{Q}(t, \sqrt{t^3 - t})$  is not a purely transcendental extension of  $\mathbb{Q}$  even though it contains no elements that are algebraic over  $\mathbb{Q}$  other than those in  $\mathbb{Q}$  itself (i.e., the process of decomposing a general extension into a purely transcendental extension followed by an algebraic extension cannot generally be reversed so that the algebraic piece occurs first).

If  $E$  is a purely transcendental extension of  $F$  of transcendence degree  $n = 1$  or  $2$  and  $L$  is an intermediate field,  $F \subseteq L \subseteq E$  with the same transcendence degree, then  $L$  is again a purely transcendental extension of  $F$  (Lüroth ( $n = 1$ ), Castelnuovo ( $n = 2$ )). This result is not true if the transcendence degree is  $\geq 3$ , however, although examples where  $L$  fails to be purely transcendental are difficult to construct. For extensions of transcendence degree  $1$  the intermediate fields are described by the following theorem.

**Theorem.** Let  $t$  be transcendental over  $F$ .

- (1) (Lüroth) If  $F \subseteq K \subseteq F(t)$ , then  $K = F(r)$ , for some  $r \in F(t)$ . In particular, every nontrivial extension of  $F$  contained in  $F(t)$  is purely transcendental over  $F$ .
- (2) If  $P = P(t)$ ,  $Q = Q(t)$  are nonzero relatively prime polynomials in  $F[t]$  which are not both constant,

$$[F(t) : F(P/Q)] = \max(\deg P, \deg Q).$$

*Proof:* The proof of (2) is outlined in Exercise 18 of Section 13.2.

By part (2) of this theorem we see that  $F(P/Q) = F(t)$  if and only if  $P, Q$  are nonzero relatively prime polynomials of degree  $\leq 1$  (not both constant). Thus  $F(r) = F(t)$  if and only if  $r = \frac{at+b}{ct+d}$ , where  $a, b, c, d \in F$  and  $ad - bc \neq 0$  (called a *fractional linear transformation of  $t$* ). For any  $r \in F(t) - F$  the map  $t \mapsto r$  extends to an embedding of  $F(t)$  into itself which is the identity on  $F$ . This embedding is surjective (i.e., is an automorphism of  $F(t)$ ) precisely for the fractional linear transformations. Furthermore, the map

$$GL_2(F) \rightarrow \text{Aut}(F(t)/F) \quad \text{defined by} \quad A = \begin{pmatrix} a & c \\ b & d \end{pmatrix} \mapsto \sigma_A,$$

where  $\sigma_A$  denotes the automorphism of  $F(t)$  defined by mapping  $t$  to  $(at+b)/(ct+d)$ , is a surjective homomorphism with kernel consisting of the scalar matrices. Thus

$$\text{Aut}(F(t)/F) \cong PGL_2(F)$$

where  $PGL_2(F) = GL_2(F)/\{\lambda I \mid \lambda \in F^\times\}$  gives the group of automorphisms of this transcendental extension (cf. Exercise 8 of Section 1).

When  $\mathbb{F}$  is a finite field of order  $q$ ,  $\text{Aut}(\mathbb{F}(t)/\mathbb{F}) \cong PGL_2(\mathbb{F})$  is a finite group of order  $q(q-1)(q+1)$ . By Corollary 11 if  $K$  is the fixed field of  $\text{Aut}(\mathbb{F}(t)/\mathbb{F})$ , then  $\mathbb{F}(t)$  is Galois over  $K$  with Galois group equal to  $\text{Aut}(\mathbb{F}(t)/\mathbb{F})$ . In particular, the fixed field of  $\text{Aut}(\mathbb{F}(t)/\mathbb{F})$  is not  $\mathbb{F}$  in this case.

This also provides further examples of the Galois correspondence which can be written out completely for small values of  $q$ . For instance, if  $q = |\mathbb{F}| = 2$ ,  $PGL_2(\mathbb{F})$  is nonabelian of order 6, hence is isomorphic to  $S_3$ , and has the following lattice of subgroups:

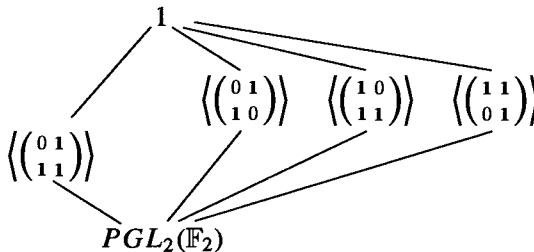


Fig. 5

The field  $\mathbb{F}(t)$  is of degree 6 over the fixed field  $K$  of  $\text{Aut}(\mathbb{F}(t)/\mathbb{F})$  and the lattice of subfields  $K \subseteq L \subseteq \mathbb{F}(t)$  is dual to the lattice of subgroups of  $S_3$ . The fixed field of a

cyclic subgroup  $\langle \sigma \rangle$  is easily found (via the preceding theorem) by finding a rational function  $r$  in  $t$  which is fixed by  $\sigma$  such that  $[\mathbb{F}(t) : \mathbb{F}(r)] = |\sigma|$ . For example, if  $\sigma : t \mapsto 1/(1+t)$ , then  $\sigma$  has order 3. The rational function

$$r = t + \sigma(t) + \sigma^2(t) = \frac{t^3 + t + 1}{t(t+1)}$$

is fixed by  $\sigma$  and  $[\mathbb{F}(t) : \mathbb{F}(r)] = 3$  (by part (2) of the theorem). Since  $\mathbb{F}(r)$  is contained in the fixed field of  $\langle \sigma \rangle$  and the degree of  $\mathbb{F}(t)$  over the fixed field is 3,  $\mathbb{F}(r)$  is the fixed field of  $\langle \sigma \rangle$ . In this way one can explicitly describe the lattice of all subfields of  $\mathbb{F}(t)$  containing  $K$  shown in Figure 6.

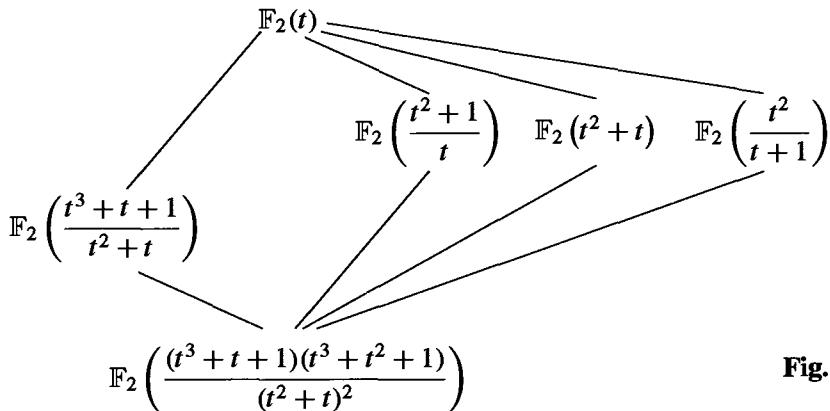


Fig. 6

Purely transcendental extensions of  $\mathbb{Q}$  play an important role in the problem of realizing finite groups as Galois groups over  $\mathbb{Q}$ . We describe a deep result of Hilbert which is fundamental to this area of research. If  $a_1, a_2, \dots, a_n$  are independent indeterminates over a field  $F$ , we may evaluate (or *specialize*)  $a_1, \dots, a_n$  at any elements of  $F$ , i.e., substitute values in  $F$  for the “variables”  $a_1, a_2, \dots, a_n$ . If  $E$  is a Galois extension of  $F(a_1, \dots, a_n)$ , then  $E$  is obtained as a splitting field of a polynomial whose coefficients lie in  $F[a_1, \dots, a_n]$ . Any specialization of  $a_1, \dots, a_n$  into  $F$  maps this polynomial into one whose coefficients lie in  $F$ . The specialization of  $E$  is the splitting field of the resulting specialized polynomial.

**Theorem.** (Hilbert) Let  $x_1, x_2, \dots, x_n$  be independent transcendentals over  $\mathbb{Q}$ , let  $E = \mathbb{Q}(x_1, \dots, x_n)$  and let  $G$  be a finite group of automorphisms of  $E$  with fixed field  $K$ . If  $K$  is a purely transcendental extension of  $\mathbb{Q}$  with transcendence basis  $a_1, a_2, \dots, a_n$ , then there are infinitely many specializations of  $a_1, \dots, a_n$  in  $\mathbb{Q}$  such that  $E$  specializes to a Galois extension of  $\mathbb{Q}$  with Galois group isomorphic to  $G$ .

Hilbert’s Theorem gives a sufficient condition for the specialized extension not to collapse. In general, the Galois group of the specialized extension is a subgroup of  $G$  (cf. Proposition 19) and may be a proper subgroup of  $G$ . It is also known that the fixed

field  $K$  need not always be a purely transcendental extension of  $\mathbb{Q}$ . An example of this occurs when  $G$  is the cyclic group of order 47.

This theorem can be used to give another proof of Proposition 42:

**Corollary.**  $S_n$  is a Galois group over  $\mathbb{Q}$ , for all  $n$ .

*Proof of the Corollary:* We have already proved that the fixed field of  $S_n$  acting in the obvious fashion on  $\mathbb{Q}(x_1, \dots, x_n)$  is purely transcendental over  $\mathbb{Q}$  (with the elementary symmetric functions as a transcendence base), so Hilbert's Theorem immediately implies the corollary.

The hypothesis that  $K$  be purely transcendental over  $\mathbb{Q}$  is crucial to the proof of Hilbert's Theorem. Every finite group is isomorphic to a subgroup of  $S_n$  and so acts on  $\mathbb{Q}(x_1, \dots, x_n)$  for some  $n$ . It is not known, however, even for the subgroup  $A_n$  of  $S_n$  whether its fixed field under the obvious action is a purely transcendental extension of  $\mathbb{Q}$  (although it is known by other means that  $A_n$  is a Galois group over  $\mathbb{Q}$  for all  $n$ ). Thus there are a number of important open problems in this area of research.

One should also notice that Hilbert's Theorem does not work when the base field  $\mathbb{Q}$  is replaced by an arbitrary field  $F$  (suppose  $F$  were algebraically closed, for instance). In particular, as noted earlier, the general polynomial  $f(x)$  in Section 6 has Galois group  $S_n$  over  $F(a_1, \dots, a_n)$  for any  $F$ , but when  $F$  is a finite field, the specialized extension obtained from its splitting field is always cyclic.

We next expand on the theory of inseparable extensions described in Section 13.5. Let  $p$  be a prime and let  $F$  be a field of characteristic  $p$ .

**Definition.** An algebraic extension  $E/F$  is called *purely inseparable* if for each  $\alpha \in E$  the minimal polynomial of  $\alpha$  over  $F$  has only one distinct root.

It is easy to see that the following are equivalent:

- (1)  $E/F$  is purely inseparable
- (2) if  $\alpha \in E$  is separable over  $F$ , then  $\alpha \in F$
- (3) if  $\alpha \in E$ , then  $\alpha^{p^n} \in F$  for some  $n$  (depending on  $\alpha$ ), and  $m_{\alpha, F}(x) = x^{p^n} - \alpha^{p^n}$ .

The following easy proposition describes composites of separable and purely inseparable extensions.

**Proposition.** If  $E_1$  and  $E_2$  are subfields of  $E$  which are both separable (or both purely inseparable) extensions of  $F$ , then their composite  $E_1E_2$  is separable (purely inseparable, respectively) over  $F$ .

*Proof.* Exercise.

One immediate consequence of this is the following result.