

**Corollary**

Hamming codes over  $\text{GF}(q)$  are single error correcting.

As a more general result than Proposition 6.1 we have the following proposition.

**Proposition 6.2**

The minimum distance of a code over  $\text{GF}(q)$  with parity check matrix  $\mathbf{H}$  is at least  $k + 1$  iff every set of  $k$  columns of  $\mathbf{H}$  is linearly independent.

**Definition 6.3**

Let  $F$  be a field of order  $q$  and for a positive integer  $n$ , let  $F^{(n)} = V(n, q)$  denote, as before, the space of all  $n$ -tuples of length  $n$  over  $F$ . Let  $\rho > 0$  and  $\mathbf{x} \in V(n, q)$ . Then the sphere in  $V(n, q)$  of radius  $\rho$  with centre at the point  $\mathbf{x}$  is defined by

$$S_\rho(\mathbf{x}) = \{\mathbf{y} \in V(n, q) / d(\mathbf{x}, \mathbf{y}) \leq \rho\}$$

Observe that the sphere  $S_1(\mathbf{x})$ :

- (i) in  $\mathbb{B}^n$  contains exactly  $n + 1$  elements;
- (ii) in  $V(n, 3)$  contains exactly  $2n + 1$  elements; and
- (iii) in  $V(n, q)$  contains exactly  $n(q - 1) + 1$  elements.

**Definition 6.4**

An  $e$ -error-correcting code  $\mathcal{C}$  of length  $n$  over  $\text{GF}(q)$  is called **perfect** if

$$\bigcup_{\mathbf{x} \in \mathcal{C}} S_e(\mathbf{x}) = V(n, q)$$

**Proposition 6.3**

Hamming codes are single error correcting perfect codes.

**Proof**

We know that Hamming codes are single error correcting. So, we only need to prove that these are perfect. Let  $\mathcal{C}$  be a Hamming code of length  $n = (q^r - 1)/(q - 1)$  over  $\text{GF}(q)$  so that a parity check matrix of  $\mathcal{C}$  is an  $r \times n$  matrix over  $\text{GF}(q)$ . Therefore, the dimension of  $\mathcal{C}$  over  $\text{GF}(q)$  is  $n - r$  and order of  $\mathcal{C}$  is  $q^{n-r}$ . The minimum distance of the code being at least 3, every sphere  $S_1(\mathbf{x})$ ,  $\mathbf{x} \in \mathcal{C}$ , contains exactly one code word inside it, namely, the vector associated with the word  $x$  itself. Also, for  $\mathbf{x}, \mathbf{y} \in \mathcal{C}$ ,  $\mathbf{x} \neq \mathbf{y}$ ,  $S_1(\mathbf{x})$  and  $S_1(\mathbf{y})$  are disjoint. Therefore,

$$\begin{aligned} O\left(\bigcup_{\mathbf{x} \in \mathcal{C}} S_1(\mathbf{x})\right) &= (n(q - 1) + 1)O(\mathcal{C}) = (n(q - 1) + 1)q^{n-r} = q^n \\ &= O(V(n, q)) \end{aligned}$$

Hence

$$\bigcup_{\mathbf{x} \in \mathcal{C}} S_1(\mathbf{x}) = V(n, q)$$

Having defined spheres, we now obtain the **sphere-packing** or **Hamming bound**.

**Theorem 6.4**

A  $k$ -error-correcting binary code of length  $n$  containing  $M$  code words must satisfy:

$$M \left\{ 1 + \binom{n}{1} + \binom{n}{2} + \cdots + \binom{n}{k} \right\} \leq 2^n$$

**Proof**

Since the code is  $k$  error correcting, distance between any two code words is at least  $2k + 1$ . Therefore, the spheres of radius  $k$  around the code words are disjoint. But every sphere around a code word contains the code word and vectors which are at a distance  $1 \leq d \leq k$  from this code word. The number of vectors which are at distance  $d$  from the code word is  $\binom{n}{d}$ . Therefore, the number of all vectors of length  $n$  contained within the above spheres is

$$M \left\{ 1 + \binom{n}{1} + \binom{n}{2} + \cdots + \binom{n}{k} \right\}$$

Since the total number of vectors of length  $n$  is  $2^n$ , the result follows. ■

As an application of Proposition 6.2 we obtain the following theorem.

**Theorem 6.5** (The Gilbert–Varshamov bound)

There exists a binary linear code of length  $n$ , with at most  $r$  parity checks and minimum distance at least  $d$ , provided

$$1 + \binom{n-1}{1} + \cdots + \binom{n-1}{d-2} < 2^r$$

**Proof**

We know that if  $\mathcal{C}$  is a code with parity check matrix  $\mathbf{H}$  such that all  $d - 1$  columns of  $\mathbf{H}$  are linearly independent, then the minimum distance of the code is at least  $d$ . Furthermore, if  $\mathbf{H}$  is an  $r \times n$  matrix, then the number of parity checks is  $r$ . Therefore, we need to construct an  $r \times n$  matrix  $\mathbf{H}$  in which all  $d - 1$  columns are linearly independent.

The first column can be chosen to be any non-zero  $r$ -tuple. Suppose that we have chosen  $i$  columns so that no  $d - 1$  columns out of these are linearly

dependent. There are at most

$$\binom{i}{1} + \binom{i}{2} + \cdots + \binom{i}{d-2}$$

distinct linear combinations of these  $i$  columns taken at most  $d - 2$  at a time. If this number is less than  $2^r - 1$ , we can certainly find an  $r$ -tuple which does not equal any of these linear combinations and, thus, can add a column such that any  $d - 1$  columns of the new  $r \times (i + 1)$  array are linearly independent. We can go on doing this as long as

$$1 + \binom{i}{1} + \cdots + \binom{i}{d-2} < 2^r$$

Therefore, if

$$1 + \binom{n}{1} + \cdots + \binom{n}{d-2} < 2^r$$

we can certainly find an  $r \times n$  matrix in which all  $d - 1$  columns are linearly independent.

**Theorem 6.6** (The Gilbert–Varshamov bound – non-binary case)

There exists a linear code over a field of  $q$  elements, having length  $n$ , at most  $r$  parity checks, and minimum distance at least  $d$ , provided

$$\sum_{i=0}^{d-2} (q-1)^i \binom{n-1}{i} < q^r$$

**Proof**

As in the binary case, we have to construct an  $r \times n$  matrix  $\mathbf{H}$  in which all  $d - 1$  columns are linearly independent.

The first column may be chosen to be any non-zero  $r$ -tuple. Suppose that we have chosen  $i$  columns so that no  $d - 1$  columns out of these are linearly dependent. Out of the  $i$  columns,  $k$  columns can be chosen in  $\binom{i}{k}$  ways. Also there are  $(q - 1)^k$  linear combinations of any  $k$  chosen columns. Therefore, there are at most

$$(q-1)\binom{i}{1} + (q-1)^2\binom{i}{2} + \cdots + (q-1)^{d-2}\binom{i}{d-2}$$

distinct linear combinations of these  $i$  columns taken at most  $d - 2$  at a time. The rest of the argument is the same as in the binary case.

Next, we give a bound on the minimum distance of any code whether linear or not. A code of length  $n$  over a set (or field) of  $q$  elements is called non-linear if the set of all code words is not a vector space. First, we have a simple number theoretic lemma.