

If  $I$  is an ideal of  $R$  then it is easy to see that every element of  ${}^e I$  can be written in the form  $a/d$  for some  $a \in I$  and  $d \in D$ , so the extension of  $I$  to  $D^{-1}R$  is also frequently denoted by  $D^{-1}I$ .

**Proposition 38.** In the preceding notation we have

- (1) For any ideal  $J$  of  $D^{-1}R$  we have  $J = {}^e({}^c J)$ . In particular, every ideal of  $D^{-1}R$  is the extension of some ideal of  $R$ , and distinct ideals of  $D^{-1}R$  have distinct contractions in  $R$ .
- (2) For any ideal  $I$  of  $R$  we have

$${}^c({}^e I) = \{r \in R \mid dr \in I \text{ for some } d \in D\}.$$

Also,  ${}^e I = D^{-1}R$  if and only if  $I \cap D \neq \emptyset$ .

- (3) Extension and contraction give a bijective correspondence

$$\left\{ \begin{array}{l} \text{prime ideals } P \text{ of } R \\ \text{with } P \cap D = \emptyset \end{array} \right\} \xrightarrow[c]{e} \left\{ \begin{array}{l} \text{prime ideals of } D^{-1}R \end{array} \right\}.$$

- (4) If  $R$  is Noetherian (or Artinian) then  $D^{-1}R$  is Noetherian (Artinian, respectively).

*Proof:* We always have  ${}^e({}^c J) \subseteq J$ . For the reverse inclusion let  $a/d \in J$ . Then  $a/1 = d(a/d) \in J$ , and so  $a \in \pi^{-1}(J) = {}^c J$ . Thus  $a/1 \in {}^e({}^c J)$ , so we also have  $(a/1)(1/d) = a/d \in {}^e({}^c J)$ , hence  $J = {}^e({}^c J)$ . This proves the first statement in (1) and the second statement follows immediately.

Let  $I' = \{r \in R \mid dr \in I \text{ for some } d \in D\}$ . We first show  $I' \subseteq {}^c({}^e I)$ . If  $r \in I'$  then there is some  $d \in D$  such that  $dr = a \in I$ . Then  $r/1 = a/d \in {}^e I$ , so  $r \in {}^c({}^e I)$ . To show the reverse containment  ${}^c({}^e I) \subseteq I'$ , let  $r \in {}^c({}^e I)$  so that  $r/1 = a/d$  for some  $a \in I$  and  $d \in D$ . Then  $x(dr - a) = 0$  for some  $x \in D$ , so  $xdr = xa \in I$ , and because  $xd \in D$  it follows that  $r \in I'$ . This proves the first assertion of (2). Now  ${}^e I = D^{-1}R$  if and only if  $1/1 \in {}^e I$ , if and only if  $1 \in {}^c({}^e I) = I'$ . The second assertion of (2) then follows from the definition of  $I'$ .

To prove (3) observe first that if  $Q$  is a prime ideal in  $D^{-1}R$ , then its preimage under any homomorphism sending 1 to 1 is a prime ideal (cf. Exercise 13, Section 7.4), so  $c$  maps prime ideals of  $D^{-1}R$  to prime ideals of  $R$  disjoint from  $D$ . In the reverse direction, let  $P$  be a prime ideal of  $R$  disjoint from  $D$  and let  $Q = {}^e P$  and suppose  $(a/d_1)(b/d_2) \in Q$ . Then  $(ab)/(d_1d_2) \in Q$ , so  $ab/(d_1d_2) = c/d$  for some  $c \in P$  and  $d \in D$ . Then  $x(dab - d_1d_2c) = 0$  for some  $x \in D$ . Since  $c \in P$  we have  $x dab \in P$ , and since  $P$  is a prime ideal disjoint from  $D$  we have  $ab \in P$ . Since  $P$  is prime, either  $a \in P$  or  $b \in P$ , hence  $a/d_1$  or  $b/d_2$  is in  $Q$ . This proves  $Q$  is a prime ideal and shows that  $e$  maps prime ideals of  $R$  disjoint from  $D$  to prime ideals of  $D^{-1}R$ . Finally, it follows immediately from (2) that  $P = {}^c({}^e P)$  for every prime ideal of  $R$  disjoint from  $D$ . Thus  $c$  and  $e$  are inverse correspondences, hence are bijections between these sets of prime ideals. This establishes (3).

By (1) every ascending (respectively, descending) chain of distinct ideals in  $D^{-1}R$  contracts to an ascending (respectively, descending) chain of distinct ideals in  $R$ , giving (4) and completing the proof.

Because  $1 \in D$ , first localizing the ideal  $I$  and then contracting that localization as in (2) results in an ideal in  $R$  containing  $I$ :  $I \subseteq {}^c({}^e I)$ .

**Definition.** Suppose  $R$  is a commutative ring with 1 and  $D$  is a multiplicatively closed subset containing 1. The *saturation* of the ideal  $I$  in  $R$  with respect to  $D$  is the ideal  ${}^c({}^e I)$  in  $R$ , where contraction and extension are computed with respect to  $\pi : R \mapsto D^{-1}R$ . If  $I = {}^c({}^e I)$  then  $I$  is said to be *saturated* with respect to  $D$ .

Loosely speaking, (2) of Proposition 38 shows that the saturation of  $I$  consists of elements of  $R$  that would lie in  $I$  if we allowed denominators from  $D$ . The ideal is saturated with respect to  $D$  if we don't obtain any additional elements even if we allow denominators from  $D$ .

We can apply our results on localization to give an algorithm for determining whether an ideal  $P$  in the polynomial ring  $k[x_1, \dots, x_n]$  with coefficients in the field  $k$  is prime. The basic idea is to use the fact that  $k[x_1, \dots, x_i] = k[x_1, \dots, x_{i-1}][x_i]$  to consider inductively whether the ideals  $P_i = P \cap k[x_1, \dots, x_i]$  are prime.

In general, suppose  $R$  is a commutative ring. If  $P$  is a prime ideal in  $R[x]$  then  $P \cap R$  is a prime ideal in  $R$  and so  $S = R/(P \cap R)$  is an integral domain. Let  $F$  denote its quotient field. We then have two natural ring homomorphisms:

$$R[x] \longrightarrow (R/P \cap R)[x] = S[x] \longrightarrow F[x]$$

where the first is the natural projection homomorphism and the second is the natural inclusion induced by  $S \subseteq F$ . Note that  $F[x]$  is the localization of  $S[x]$  with respect to the multiplicatively closed set  $D = S - \{0\}$ . The next proposition shows that the image of  $P$  under the first homomorphism is a prime ideal in  $S[x]$  that is saturated with respect to  $D$  and extends to a prime ideal in  $F[x]$ , and that, conversely, we can determine whether an ideal is prime in  $R[x]$  by these properties.

**Proposition 39.** Suppose  $R$  is a commutative ring with 1 and  $I$  is an ideal in  $R[x]$ . Then  $I$  is a prime ideal in  $R[x]$  if and only if

- i.  $J = I \cap R$  is a prime ideal in  $R$ , i.e.,  $S = R/J$  is an integral domain, and
- ii. if  $\bar{I}$  is the image of  $I$  in  $S[x]$  then  $\bar{I}F[x]$  is a prime ideal in  $F[x]$  satisfying  $\bar{I}F[x] \cap S[x] = \bar{I}$ .

*Proof:* Suppose  $I$  is a prime ideal in  $R[x]$ , so that  $J = I \cap R$  is a prime ideal in  $R$  and  $S = R/J$  is an integral domain. By Proposition 2 in Chapter 9, the kernel of the reduction homomorphism  $R[x] \mapsto S[x] = (R/J)[x]$  is  $J[x]$ , which is contained in  $I[x]$ , so we have a ring isomorphism  $R[x]/I \cong S[x]/\bar{I}$ . Since  $R[x]/I$  is an integral domain, it follows that  $\bar{I}$  is a prime ideal in the integral domain  $S[x]$ . The elements of  $\bar{I} \cap S$  are the images of the elements in  $R \cap I$ , so  $\bar{I} \cap S = 0$ . Since the ring  $F[x]$  is the localization of  $S[x]$  with respect to the multiplicatively closed set  $S - \{0\}$ , condition (ii) follows by Proposition 38(3).

Conversely, if  $I$  is not prime, then either  $J$  is not prime in  $R$  or  $J$  is prime in  $R$  but  $\bar{I}$  is not prime in  $S[x]$ . In the latter case either  $\bar{I}F[x]$  is not prime in  $F[x]$  or, again

by Proposition 38(3),  $\bar{I}$  is not saturated. Thus, if  $I$  is not prime, either (i) or (ii) fails, completing the proof.

Since  $F[x]$  is a Euclidean Domain, the ideal  $\bar{I}F[x] = (h(x))$  in Proposition 39 is principal, and is prime if and only if  $h(x)$  is either 0 or is irreducible in  $F[x]$ . Suppose  $h(x)$  is an element in  $I$  whose image in  $S[x]$  has leading coefficient  $a \in S$ . The next proposition shows that  $a$  gives a bound on the denominators necessary for the saturation  $\bar{I}F[x] \cap S[x]$  and can be used to compute this saturation.

**Proposition 40.** Let  $S$  be an integral domain with fraction field  $F$  and let  $A$  be a nonzero ideal in  $S[x]$ . Suppose  $AF[x] = (h(x))$  where  $h(x)$  is a polynomial in  $S[x]$  with leading coefficient  $a \in S$ . Let  $S_a$  be the localization of  $S$  with respect to the powers of  $a$ . Then

- (1)  $AF[x] \cap S[x] = AS_a[x] \cap S[x]$ , and
- (2) if  $\mathcal{A}$  denotes the ideal generated by  $A$  and  $1 - at$  in the polynomial ring  $S[x, t]$ , then  $AS_a[x] \cap S[x] = \mathcal{A} \cap S[x]$ .

*Proof:* We first show  $AF[x] \cap S_a[x] = AS_a[x]$ . Since  $S_a \subseteq F$ , the containment  $AS_a[x] \subseteq AF[x] \cap S_a[x]$  is immediate. Suppose now that  $f(x) \in AF[x] \cap S_a[x]$ . If the leading term of  $f(x)$  is  $sx^N$  and the leading term of  $h(x)$  is  $ax^m$ , then since  $AF[x] = (h(x))$  we have  $N \geq m$ . Then the polynomial  $f(x) - (s/a)x^{N-m}h(x)$  is again in  $AF[x] \cap S_a[x]$  and is of lower degree than  $f(x)$ . Iterating, we see that  $f(x)$  can be written as a polynomial in  $S_a[x]$  times  $h(x)$ , so  $f(x) \in AS_a[x]$ . Intersecting both sides of  $AF[x] \cap S_a[x] = AS_a[x]$  with  $S[x]$  gives the first statement in the proposition.

To prove the second statement, suppose first that  $f(x) \in \mathcal{A} \cap S[x]$ . Then we can write  $f(x) = f_1(x, t)b(x) + f_2(x, t)(1 - at)$  for some polynomials  $b(x) \in A$  and  $f_1, f_2 \in S[x, t]$ . Substituting  $t = 1/a$  gives  $f(x) = f_1(x, 1/a)b(x)$ , and since  $f_1(x, 1/a) \in S_a[x]$ , we obtain  $f(x) \in AS_a[x] \cap S[x]$ . Conversely, suppose that  $f(x) = b(x)g(x) \in S[x]$  where  $g(x) \in S_a(x)$  and  $b(x) \in A$ . If  $a^N$  is the largest power of  $a$  appearing in the denominators of the coefficients of  $g(x)$  then  $a^N g(x) \in S[x]$ . Writing  $f(x) = (at)^N f(x) + (1 - (at)^N) f(x) = b(x)t^N(a^N g(x)) + (1 - (at)^N) f(x)$  we see that  $f(x) \in \mathcal{A} \cap S[x]$ , giving the reverse containment and completing the proof.

Suppose now that  $P$  is an ideal in  $k[x_1, \dots, x_n]$ . Let  $P_i$  for  $i = 1, \dots, n$  be the intersection of  $P$  with  $k[x_1, \dots, x_i]$ . We use Propositions 39 and 40 to determine inductively whether  $P_1, P_2, \dots, P_n = P$  are prime ideals in their respective polynomial rings.

The ideal  $P_1$  will be prime in the Euclidean Domain  $k[x_1]$  if and only if it is 0 or is generated by an irreducible polynomial. Suppose now that  $i \geq 2$  and we have already proved that  $P_{i-1}$  is a prime ideal in  $k[x_1, \dots, x_{i-1}]$ , so that the quotient ring  $S = k[x_1, \dots, x_{i-1}]/P_{i-1}$  is an integral domain. If  $F$  denotes the quotient field of  $S$ , then by Proposition 39,  $P_i$  is a prime ideal in  $k[x_1, \dots, x_i]$  if and only if its image in  $(k[x_1, \dots, x_{i-1}]/P_{i-1})[x_i] = S[x_i]$  is a saturated ideal whose extension to the Euclidean Domain  $F[x_i]$  is a prime ideal. Suppose  $h(x_i) \in S[x_i]$  is a generator for this ideal and  $a$  is the leading coefficient of  $h(x_i)$ . Then  $(h(x_i))$  is a prime ideal in  $F[x_i]$  if and only if