

to be the trivial homomorphism shows that  $(0) \in \Sigma$ . By Corollary 2,  $\Sigma$  has at least one maximal element i.e., there is at least one homomorphism  $v$  of  $M$  to  $R$  so that the principal ideal  $v(N) = (a_v)$  is not properly contained in any other element of  $\Sigma$ . Let  $a_1 = a_v$  for this maximal element and let  $y \in N$  be an element mapping to the generator  $a_1$  under the homomorphism  $v$ :  $v(y) = a_1$ .

We now show the element  $a_1$  is nonzero. Let  $x_1, x_2, \dots, x_n$  be any basis of the free module  $M$  and let  $\pi_i \in \text{Hom}_R(M, R)$  be the natural projection homomorphism onto the  $i^{\text{th}}$  coordinate with respect to this basis. Since  $N \neq \{0\}$ , there exists an  $i$  such that  $\pi_i(N) \neq 0$ , which in particular shows that  $\Sigma$  contains more than just the trivial ideal  $(0)$ . Since  $(a_1)$  is a maximal element of  $\Sigma$  it follows that  $a_1 \neq 0$ .

We next show that this element  $a_1$  divides  $\varphi(y)$  for every  $\varphi \in \text{Hom}_R(M, R)$ . To see this let  $d$  be a generator for the principal ideal generated by  $a_1$  and  $\varphi(y)$ . Then  $d$  is a divisor of both  $a_1$  and  $\varphi(y)$  in  $R$  and  $d = r_1 a_1 + r_2 \varphi(y)$  for some  $r_1, r_2 \in R$ . Consider the homomorphism  $\psi = r_1 v + r_2 \varphi$  from  $M$  to  $R$ . Then  $\psi(y) = (r_1 v + r_2 \varphi)(y) = r_1 a_1 + r_2 \varphi(y) = d$  so that  $d \in \psi(N)$ , hence also  $(d) \subseteq \psi(N)$ . But  $d$  is a divisor of  $a_1$  so we also have  $(a_1) \subseteq (d)$ . Then  $(a_1) \subseteq (d) \subseteq \psi(N)$  and by the maximality of  $(a_1)$  we must have equality:  $(a_1) = (d) = \psi(N)$ . In particular  $(a_1) = (d)$  shows that  $a_1 \mid \varphi(y)$  since  $d$  divides  $\varphi(y)$ .

If we apply this to the projection homomorphisms  $\pi_i$  we see that  $a_1$  divides  $\pi_i(y)$  for all  $i$ . Write  $\pi_i(y) = a_1 b_i$  for some  $b_i \in R$ ,  $1 \leq i \leq n$  and define

$$y_1 = \sum_{i=1}^n b_i x_i.$$

Note that  $a_1 y_1 = y$ . Since  $a_1 = v(y) = v(a_1 y_1) = a_1 v(y_1)$  and  $a_1$  is a nonzero element of the integral domain  $R$  this shows

$$v(y_1) = 1.$$

We now verify that this element  $y_1$  can be taken as one element in a basis for  $M$  and that  $a_1 y_1$  can be taken as one element in a basis for  $N$ , namely that we have

- (a)  $M = Ry_1 \oplus \ker v$ , and
- (b)  $N = Ra_1 y_1 \oplus (N \cap \ker v)$ .

To see (a) let  $x$  be an arbitrary element in  $M$  and write  $x = v(x)y_1 + (x - v(x)y_1)$ . Since

$$\begin{aligned} v(x - v(x)y_1) &= v(x) - v(x)v(y_1) \\ &= v(x) - v(x) \cdot 1 \\ &= 0 \end{aligned}$$

we see that  $x - v(x)y_1$  is an element in the kernel of  $v$ . This shows that  $x$  can be written as the sum of an element in  $Ry_1$  and an element in the kernel of  $v$ , so  $M = Ry_1 + \ker v$ . To see that the sum is direct, suppose  $ry_1$  is also an element in the kernel of  $v$ . Then  $0 = v(ry_1) = rv(y_1) = r$  shows that this element is indeed 0.

For (b) observe that  $v(x')$  is divisible by  $a_1$  for every  $x' \in N$  by the definition of  $a_1$  as a generator for  $v(N)$ . If we write  $v(x') = ba_1$  where  $b \in R$  then the decomposition we used in (a) above is  $x' = v(x')y_1 + (x' - v(x')y_1) = ba_1 y_1 + (x' - ba_1 y_1)$  where the second summand is in the kernel of  $v$  and is an element of  $N$ . This shows that

$N = Ra_1y_1 + (N \cap \ker \nu)$ . The fact that the sum in (b) is direct is a special case of the directness of the sum in (a).

We now prove part (1) of the theorem by induction on the rank,  $m$ , of  $N$ . If  $m = 0$ , then  $N$  is a torsion module, hence  $N = 0$  since a free module is torsion free, so (1) holds trivially. Assume then that  $m > 0$ . Since the sum in (b) above is direct we see easily that  $N \cap \ker \nu$  has rank  $m - 1$  (cf. Exercise 3). By induction  $N \cap \ker \nu$  is then a free  $R$ -module of rank  $m - 1$ . Again by the directness of the sum in (b) we see that adjoining  $a_1y_1$  to any basis of  $N \cap \ker \nu$  gives a basis of  $N$ , so  $N$  is also free (of rank  $m$ ), which proves (1).

Finally, we prove (2) by induction on  $n$ , the rank of  $M$ . Applying (1) to the submodule  $\ker \nu$  shows that this submodule is free and because the sum in (a) is direct it is free of rank  $n - 1$ . By the induction assumption applied to the module  $\ker \nu$  (which plays the role of  $M$ ) and its submodule  $\ker \nu \cap N$  (which plays the role of  $N$ ), we see that there is a basis  $y_2, y_3, \dots, y_n$  of  $\ker \nu$  such that  $a_2y_2, a_3y_3, \dots, a_my_m$  is a basis of  $N \cap \ker \nu$  for some elements  $a_2, a_3, \dots, a_m$  of  $R$  with  $a_2 \mid a_3 \mid \dots \mid a_m$ . Since the sums (a) and (b) are direct,  $y_1, y_2, \dots, y_n$  is a basis of  $M$  and  $a_1y_1, a_2y_2, \dots, a_my_m$  is a basis of  $N$ . To complete the induction it remains to show that  $a_1$  divides  $a_2$ . Define a homomorphism  $\varphi$  from  $M$  to  $R$  by defining  $\varphi(y_1) = \varphi(y_2) = 1$  and  $\varphi(y_i) = 0$ , for all  $i > 2$ , on the basis for  $M$ . Then for this homomorphism  $\varphi$  we have  $a_1 = \varphi(a_1y_1)$  so  $a_1 \in \varphi(N)$  hence also  $(a_1) \subseteq \varphi(N)$ . By the maximality of  $(a_1)$  in  $\Sigma$  it follows that  $(a_1) = \varphi(N)$ . Since  $a_2 = \varphi(a_2y_2) \in \varphi(N)$  we then have  $a_2 \in (a_1)$  i.e.,  $a_1 \mid a_2$ . This completes the proof of the theorem.

Recall that the left  $R$ -module  $C$  is a *cyclic*  $R$ -module (for any ring  $R$ , not necessarily commutative nor with 1) if there is an element  $x \in C$  such that  $C = Rx$ . We can then define an  $R$ -module homomorphism

$$\pi : R \rightarrow C$$

by  $\pi(r) = rx$ , which will be surjective by the assumption  $C = Rx$ . The First Isomorphism Theorem gives an isomorphism of (left)  $R$ -modules

$$R / \ker \pi \cong C.$$

If  $R$  is a P.I.D.,  $\ker \pi$  is a principal ideal,  $(a)$ , so we see that the cyclic  $R$ -modules  $C$  are of the form  $R / (a)$  where  $(a) = \text{Ann}(C)$ .

The cyclic modules are the simplest modules (since they require only one generator). The existence portion of the Fundamental Theorem states that any finitely generated module over a P.I.D. is isomorphic to the direct sum of finitely many cyclic modules.

**Theorem 5. (Fundamental Theorem, Existence: Invariant Factor Form)** Let  $R$  be a P.I.D. and let  $M$  be a finitely generated  $R$ -module.

(1) Then  $M$  is isomorphic to the direct sum of finitely many cyclic modules. More precisely,

$$M \cong R^r \oplus R / (a_1) \oplus R / (a_2) \oplus \cdots \oplus R / (a_m)$$

for some integer  $r \geq 0$  and nonzero elements  $a_1, a_2, \dots, a_m$  of  $R$  which are not units in  $R$  and which satisfy the divisibility relations

$$a_1 \mid a_2 \mid \cdots \mid a_m.$$

(2)  $M$  is torsion free if and only if  $M$  is free.

(3) In the decomposition in (1),

$$\text{Tor}(M) \cong R/(a_1) \oplus R/(a_2) \oplus \cdots \oplus R/(a_m).$$

In particular  $M$  is a torsion module if and only if  $r = 0$  and in this case the annihilator of  $M$  is the ideal  $(a_m)$ .

*Proof:* The module  $M$  can be generated by a finite set of elements by assumption so let  $x_1, x_2, \dots, x_n$  be a set of generators of  $M$  of minimal cardinality. Let  $R^n$  be the free  $R$ -module of rank  $n$  with basis  $b_1, b_2, \dots, b_n$  and define the homomorphism  $\pi : R^n \rightarrow M$  by defining  $\pi(b_i) = x_i$  for all  $i$ , which is automatically surjective since  $x_1, \dots, x_n$  generate  $M$ . By the First Isomorphism Theorem for modules we have  $R^n / \ker \pi \cong M$ . Now, by Theorem 4 applied to  $R^n$  and the submodule  $\ker \pi$  we can choose another basis  $y_1, y_2, \dots, y_n$  of  $R^n$  so that  $a_1 y_1, a_2 y_2, \dots, a_m y_m$  is a basis of  $\ker \pi$  for some elements  $a_1, a_2, \dots, a_m$  of  $R$  with  $a_1 \mid a_2 \mid \cdots \mid a_m$ . This implies

$$M \cong R^n / \ker \pi = (Ry_1 \oplus Ry_2 \oplus \cdots \oplus Ry_n) / (Ra_1 y_1 \oplus Ra_2 y_2 \oplus \cdots \oplus Ra_m y_m).$$

To identify the quotient on the right hand side we use the natural surjective  $R$ -module homomorphism

$$Ry_1 \oplus Ry_2 \oplus \cdots \oplus Ry_n \rightarrow R/(a_1) \oplus R/(a_2) \oplus \cdots \oplus R/(a_m) \oplus R^{n-m}$$

that maps  $(\alpha_1 y_1, \dots, \alpha_n y_n)$  to  $(\alpha_1 \bmod (a_1), \dots, \alpha_m \bmod (a_m), \alpha_{m+1}, \dots, \alpha_n)$ . The kernel of this map is clearly the set of elements where  $a_i$  divides  $\alpha_i$ ,  $i = 1, 2, \dots, m$ , i.e.,  $Ra_1 y_1 \oplus Ra_2 y_2 \oplus \cdots \oplus Ra_m y_m$  (cf. Exercise 7). Hence we obtain

$$M \cong R/(a_1) \oplus R/(a_2) \oplus \cdots \oplus R/(a_m) \oplus R^{n-m}.$$

If  $a$  is a unit in  $R$  then  $R/(a) = 0$ , so in this direct sum we may remove any of the initial  $a_i$  which are units. This gives the decomposition in (1) (with  $r = n - m$ ).

Since  $R/(a)$  is a torsion  $R$ -module for any nonzero element  $a$  of  $R$ , (1) immediately implies  $M$  is a torsion free module if and only if  $M \cong R^r$ , which is (2). Part (3) is immediate from the definitions since the annihilator of  $R/(a)$  is evidently the ideal  $(a)$ .

We shall shortly prove the uniqueness of the decomposition in Theorem 5, namely that if we have

$$M \cong R^{r'} \oplus R/(b_1) \oplus R/(b_2) \oplus \cdots \oplus R/(b_{m'})$$

for some integer  $r' \geq 0$  and nonzero elements  $b_1, b_2, \dots, b_{m'}$  of  $R$  which are not units with

$$b_1 \mid b_2 \mid \cdots \mid b_{m'},$$

then  $r = r'$ ,  $m = m'$  and  $(a_i) = (b_i)$  (so  $a_i = b_i$  up to units) for all  $i$ . It is precisely the divisibility condition  $a_1 \mid a_2 \mid \cdots \mid a_m$  which gives this uniqueness.