

**Theorem 1.** *A non-empty subset  $W$  of  $V$  is a subspace of  $V$  if and only if for each pair of vectors  $\alpha, \beta$  in  $W$  and each scalar  $c$  in  $F$  the vector  $c\alpha + \beta$  is again in  $W$ .*

*Proof.* Suppose that  $W$  is a non-empty subset of  $V$  such that  $c\alpha + \beta$  belongs to  $W$  for all vectors  $\alpha, \beta$  in  $W$  and all scalars  $c$  in  $F$ . Since  $W$  is non-empty, there is a vector  $\rho$  in  $W$ , and hence  $(-1)\rho + \rho = 0$  is in  $W$ . Then if  $\alpha$  is any vector in  $W$  and  $c$  any scalar, the vector  $c\alpha = c\alpha + 0$  is in  $W$ . In particular,  $(-1)\alpha = -\alpha$  is in  $W$ . Finally, if  $\alpha$  and  $\beta$  are in  $W$ , then  $\alpha + \beta = 1\alpha + \beta$  is in  $W$ . Thus  $W$  is a subspace of  $V$ .

Conversely, if  $W$  is a subspace of  $V$ ,  $\alpha$  and  $\beta$  are in  $W$ , and  $c$  is a scalar, certainly  $c\alpha + \beta$  is in  $W$ . ■

Some people prefer to use the  $c\alpha + \beta$  property in Theorem 1 as the definition of a subspace. It makes little difference. The important point is that, if  $W$  is a non-empty subset of  $V$  such that  $c\alpha + \beta$  is in  $V$  for all  $\alpha, \beta$  in  $W$  and all  $c$  in  $F$ , then (with the operations inherited from  $V$ )  $W$  is a vector space. This provides us with many new examples of vector spaces.

#### EXAMPLE 6.

(a) If  $V$  is any vector space,  $\{0\}$  is a subspace of  $V$ ; the subset consisting of the zero vector alone is a subspace of  $V$ , called the **zero subspace** of  $V$ .

(b) In  $F^n$ , the set of  $n$ -tuples  $(x_1, \dots, x_n)$  with  $x_1 = 0$  is a subspace; however, the set of  $n$ -tuples with  $x_1 = 1 + x_2$  is not a subspace ( $n \geq 2$ ).

(c) The space of polynomial functions over the field  $F$  is a subspace of the space of all functions from  $F$  into  $F$ .

(d) An  $n \times n$  (square) matrix  $A$  over the field  $F$  is **symmetric** if  $A_{ij} = A_{ji}$  for each  $i$  and  $j$ . The symmetric matrices form a subspace of the space of all  $n \times n$  matrices over  $F$ .

(e) An  $n \times n$  (square) matrix  $A$  over the field  $C$  of complex numbers is **Hermitian** (or **self-adjoint**) if

$$A_{jk} = \overline{A_{kj}}$$

for each  $j, k$ , the bar denoting complex conjugation. A  $2 \times 2$  matrix is Hermitian if and only if it has the form

$$\begin{bmatrix} z & x + iy \\ x - iy & w \end{bmatrix}$$

where  $x, y, z$ , and  $w$  are real numbers. The set of all Hermitian matrices is *not* a subspace of the space of all  $n \times n$  matrices over  $C$ . For if  $A$  is Hermitian, its diagonal entries  $A_{11}, A_{22}, \dots$ , are all real numbers, but the diagonal entries of  $iA$  are in general not real. On the other hand, it is easily verified that the set of  $n \times n$  complex Hermitian matrices is a vector space over the field  $R$  of real numbers (with the usual operations).

**EXAMPLE 7. The solution space of a system of homogeneous linear equations.** Let  $A$  be an  $m \times n$  matrix over  $F$ . Then the set of all  $n \times 1$  (column) matrices  $X$  over  $F$  such that  $AX = 0$  is a subspace of the space of all  $n \times 1$  matrices over  $F$ . To prove this we must show that  $A(cX + Y) = 0$  when  $AX = 0$ ,  $AY = 0$ , and  $c$  is an arbitrary scalar in  $F$ . This follows immediately from the following general fact.

**Lemma.** *If  $A$  is an  $m \times n$  matrix over  $F$  and  $B, C$  are  $n \times p$  matrices over  $F$  then*

$$(2-11) \quad A(dB + C) = d(AB) + AC$$

for each scalar  $d$  in  $F$ .

$$\begin{aligned} \text{Proof. } [A(dB + C)]_{ij} &= \sum_k A_{ik}(dB + C)_{kj} \\ &= \sum_k (dA_{ik}B_{kj} + A_{ik}C_{kj}) \\ &= d \sum_k A_{ik}B_{kj} + \sum_k A_{ik}C_{kj} \\ &= d(AB)_{ij} + (AC)_{ij} \\ &= [d(AB) + AC]_{ij}. \quad \blacksquare \end{aligned}$$

Similarly one can show that  $(dB + C)A = d(BA) + CA$ , if the matrix sums and products are defined.

**Theorem 2.** *Let  $V$  be a vector space over the field  $F$ . The intersection of any collection of subspaces of  $V$  is a subspace of  $V$ .*

*Proof.* Let  $\{W_a\}$  be a collection of subspaces of  $V$ , and let  $W = \bigcap_a W_a$  be their intersection. Recall that  $W$  is defined as the set of all elements belonging to every  $W_a$  (see Appendix). Since each  $W_a$  is a subspace, each contains the zero vector. Thus the zero vector is in the intersection  $W$ , and  $W$  is non-empty. Let  $\alpha$  and  $\beta$  be vectors in  $W$  and let  $c$  be a scalar. By definition of  $W$ , both  $\alpha$  and  $\beta$  belong to each  $W_a$ , and because each  $W_a$  is a subspace, the vector  $(c\alpha + \beta)$  is in every  $W_a$ . Thus  $(c\alpha + \beta)$  is again in  $W$ . By Theorem 1,  $W$  is a subspace of  $V$ .  $\blacksquare$

From Theorem 2 it follows that if  $S$  is any collection of vectors in  $V$ , then there is a smallest subspace of  $V$  which contains  $S$ , that is, a subspace which contains  $S$  and which is contained in every other subspace containing  $S$ .

**Definition.** *Let  $S$  be a set of vectors in a vector space  $V$ . The subspace spanned by  $S$  is defined to be the intersection  $W$  of all subspaces of  $V$  which contain  $S$ . When  $S$  is a finite set of vectors,  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$ , we shall simply call  $W$  the subspace spanned by the vectors  $\alpha_1, \alpha_2, \dots, \alpha_n$ .*

**Theorem 3.** *The subspace spanned by a non-empty subset  $S$  of a vector space  $V$  is the set of all linear combinations of vectors in  $S$ .*

*Proof.* Let  $W$  be the subspace spanned by  $S$ . Then each linear combination

$$\alpha = x_1\alpha_1 + x_2\alpha_2 + \cdots + x_m\alpha_m$$

of vectors  $\alpha_1, \alpha_2, \dots, \alpha_m$  in  $S$  is clearly in  $W$ . Thus  $W$  contains the set  $L$  of all linear combinations of vectors in  $S$ . The set  $L$ , on the other hand, contains  $S$  and is non-empty. If  $\alpha, \beta$  belong to  $L$  then  $\alpha$  is a linear combination,

$$\alpha = x_1\alpha_1 + x_2\alpha_2 + \cdots + x_m\alpha_m$$

of vectors  $\alpha_i$  in  $S$ , and  $\beta$  is a linear combination,

$$\beta = y_1\beta_1 + y_2\beta_2 + \cdots + y_n\beta_n$$

of vectors  $\beta_j$  in  $S$ . For each scalar  $c$ ,

$$c\alpha + \beta = \sum_{i=1}^m (cx_i)\alpha_i + \sum_{j=1}^n y_j\beta_j.$$

Hence  $c\alpha + \beta$  belongs to  $L$ . Thus  $L$  is a subspace of  $V$ .

Now we have shown that  $L$  is a subspace of  $V$  which contains  $S$ , and also that any subspace which contains  $S$  contains  $L$ . It follows that  $L$  is the intersection of all subspaces containing  $S$ , i.e., that  $L$  is the subspace spanned by the set  $S$ . ■

**Definition.** *If  $S_1, S_2, \dots, S_k$  are subsets of a vector space  $V$ , the set of all sums*

$$\alpha_1 + \alpha_2 + \cdots + \alpha_k$$

*of vectors  $\alpha_i$  in  $S_i$  is called the **sum** of the subsets  $S_1, S_2, \dots, S_k$  and is denoted by*

$$S_1 + S_2 + \cdots + S_k$$

*or by*

$$\sum_{i=1}^k S_i.$$

If  $W_1, W_2, \dots, W_k$  are subspaces of  $V$ , then the sum

$$W = W_1 + W_2 + \cdots + W_k$$

is easily seen to be a subspace of  $V$  which contains each of the subspaces  $W_i$ . From this it follows, as in the proof of Theorem 3, that  $W$  is the subspace spanned by the union of  $W_1, W_2, \dots, W_k$ .

**EXAMPLE 8.** Let  $F$  be a subfield of the field  $C$  of complex numbers. Suppose

$$\begin{aligned}\alpha_1 &= (1, 2, 0, 3, 0) \\ \alpha_2 &= (0, 0, 1, 4, 0) \\ \alpha_3 &= (0, 0, 0, 0, 1).\end{aligned}$$

By Theorem 3, a vector  $\alpha$  is in the subspace  $W$  of  $F^5$  spanned by  $\alpha_1, \alpha_2, \alpha_3$  if and only if there exist scalars  $c_1, c_2, c_3$  in  $F$  such that

$$\alpha = c_1\alpha_1 + c_2\alpha_2 + c_3\alpha_3.$$

Thus  $W$  consists of all vectors of the form

$$\alpha = (c_1, 2c_1, c_2, 3c_1 + 4c_2, c_3)$$

where  $c_1, c_2, c_3$  are arbitrary scalars in  $F$ . Alternatively,  $W$  can be described as the set of all 5-tuples

$$\alpha = (x_1, x_2, x_3, x_4, x_5)$$

with  $x_i$  in  $F$  such that

$$\begin{aligned}x_2 &= 2x_1 \\ x_4 &= 3x_1 + 4x_3.\end{aligned}$$

Thus  $(-3, -6, 1, -5, 2)$  is in  $W$ , whereas  $(2, 4, 6, 7, 8)$  is not.

EXAMPLE 9. Let  $F$  be a subfield of the field  $C$  of complex numbers, and let  $V$  be the vector space of all  $2 \times 2$  matrices over  $F$ . Let  $W_1$  be the subset of  $V$  consisting of all matrices of the form

$$\begin{bmatrix} x & y \\ z & 0 \end{bmatrix}$$

where  $x, y, z$  are arbitrary scalars in  $F$ . Finally, let  $W_2$  be the subset of  $V$  consisting of all matrices of the form

$$\begin{bmatrix} x & 0 \\ 0 & y \end{bmatrix}$$

where  $x$  and  $y$  are arbitrary scalars in  $F$ . Then  $W_1$  and  $W_2$  are subspaces of  $V$ . Also

$$V = W_1 + W_2$$

because

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} = \begin{bmatrix} a & b \\ c & 0 \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ 0 & d \end{bmatrix}.$$

The subspace  $W_1 \cap W_2$  consists of all matrices of the form

$$\begin{bmatrix} x & 0 \\ 0 & 0 \end{bmatrix}.$$

EXAMPLE 10. Let  $A$  be an  $m \times n$  matrix over a field  $F$ . The **row vectors** of  $A$  are the vectors in  $F^n$  given by  $\alpha_i = (A_{i1}, \dots, A_{in})$ ,  $i = 1, \dots, m$ . The subspace of  $F^n$  spanned by the row vectors of  $A$  is called the **row**

**space** of  $A$ . The subspace considered in Example 8 is the row space of the matrix

$$A = \begin{bmatrix} 1 & 2 & 0 & 3 & 0 \\ 0 & 0 & 1 & 4 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}.$$

It is also the row space of the matrix

$$B = \begin{bmatrix} 1 & 2 & 0 & 3 & 0 \\ 0 & 0 & 1 & 4 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ -4 & -8 & 1 & -8 & 0 \end{bmatrix}.$$

**EXAMPLE 11.** Let  $V$  be the space of all polynomial functions over  $F$ . Let  $S$  be the subset of  $V$  consisting of the polynomial functions  $f_0, f_1, f_2, \dots$  defined by

$$f_n(x) = x^n, \quad n = 0, 1, 2, \dots$$

Then  $V$  is the subspace spanned by the set  $S$ .

## Exercises

1. Which of the following sets of vectors  $\alpha = (a_1, \dots, a_n)$  in  $R^n$  are subspaces of  $R^n$  ( $n \geq 3$ )?

- (a) all  $\alpha$  such that  $a_1 \geq 0$ ;
- (b) all  $\alpha$  such that  $a_1 + 3a_2 = a_3$ ;
- (c) all  $\alpha$  such that  $a_2 = a_1^2$ ;
- (d) all  $\alpha$  such that  $a_1 a_2 = 0$ ;
- (e) all  $\alpha$  such that  $a_2$  is rational.

2. Let  $V$  be the (real) vector space of all functions  $f$  from  $R$  into  $R$ . Which of the following sets of functions are subspaces of  $V$ ?

- (a) all  $f$  such that  $f(x^2) = f(x)^2$ ;
- (b) all  $f$  such that  $f(0) = f(1)$ ;
- (c) all  $f$  such that  $f(3) = 1 + f(-5)$ ;
- (d) all  $f$  such that  $f(-1) = 0$ ;
- (e) all  $f$  which are continuous.

3. Is the vector  $(3, -1, 0, -1)$  in the subspace of  $R^5$  spanned by the vectors  $(2, -1, 3, 2)$ ,  $(-1, 1, 1, -3)$ , and  $(1, 1, 9, -5)$ ?

4. Let  $W$  be the set of all  $(x_1, x_2, x_3, x_4, x_5)$  in  $R^5$  which satisfy

$$\begin{aligned} 2x_1 - x_2 + \frac{4}{3}x_3 - x_4 &= 0 \\ x_1 + \frac{2}{3}x_3 - x_5 &= 0 \\ 9x_1 - 3x_2 + 6x_3 - 3x_4 - 3x_5 &= 0. \end{aligned}$$

Find a finite set of vectors which spans  $W$ .

5. Let  $F$  be a field and let  $n$  be a positive integer ( $n \geq 2$ ). Let  $V$  be the vector space of all  $n \times n$  matrices over  $F$ . Which of the following sets of matrices  $A$  in  $V$  are subspaces of  $V$ ?

- (a) all invertible  $A$ ;
- (b) all non-invertible  $A$ ;
- (c) all  $A$  such that  $AB = BA$ , where  $B$  is some fixed matrix in  $V$ ;
- (d) all  $A$  such that  $A^2 = A$ .

6. (a) Prove that the only subspaces of  $R^1$  are  $R^1$  and the zero subspace.

(b) Prove that a subspace of  $R^2$  is  $R^2$ , or the zero subspace, or consists of all scalar multiples of some fixed vector in  $R^2$ . (The last type of subspace is, intuitively, a straight line through the origin.)

(c) Can you describe the subspaces of  $R^3$ ?

7. Let  $W_1$  and  $W_2$  be subspaces of a vector space  $V$  such that the set-theoretic union of  $W_1$  and  $W_2$  is also a subspace. Prove that one of the spaces  $W_i$  is contained in the other.

8. Let  $V$  be the vector space of all functions from  $R$  into  $R$ ; let  $V_e$  be the subset of even functions,  $f(-x) = f(x)$ ; let  $V_o$  be the subset of odd functions,  $f(-x) = -f(x)$ .

- (a) Prove that  $V_e$  and  $V_o$  are subspaces of  $V$ .
- (b) Prove that  $V_e + V_o = V$ .
- (c) Prove that  $V_e \cap V_o = \{0\}$ .

9. Let  $W_1$  and  $W_2$  be subspaces of a vector space  $V$  such that  $W_1 + W_2 = V$  and  $W_1 \cap W_2 = \{0\}$ . Prove that for each vector  $\alpha$  in  $V$  there are *unique* vectors  $\alpha_1$  in  $W_1$  and  $\alpha_2$  in  $W_2$  such that  $\alpha = \alpha_1 + \alpha_2$ .

### 2.3. Bases and Dimension

We turn now to the task of assigning a dimension to certain vector spaces. Although we usually associate 'dimension' with something geometrical, we must find a suitable algebraic definition of the dimension of a vector space. This will be done through the concept of a basis for the space.

**Definition.** Let  $V$  be a vector space over  $F$ . A subset  $S$  of  $V$  is said to be **linearly dependent** (or simply, **dependent**) if there exist distinct vectors  $\alpha_1, \alpha_2, \dots, \alpha_n$  in  $S$  and scalars  $c_1, c_2, \dots, c_n$  in  $F$ , not all of which are 0, such that

$$c_1\alpha_1 + c_2\alpha_2 + \dots + c_n\alpha_n = 0.$$

A set which is not linearly dependent is called **linearly independent**. If the set  $S$  contains only finitely many vectors  $\alpha_1, \alpha_2, \dots, \alpha_n$ , we sometimes say that  $\alpha_1, \alpha_2, \dots, \alpha_n$  are *dependent* (or *independent*) instead of saying  $S$  is *dependent* (or *independent*).