

If the error polynomial is

$$e(X) = X^i + X^j \quad i + 1 \leq j \leq n - 1$$

then  $e(X) = X^i(1 + X^{j-i})$ ,  $j - i < n$ . Therefore,  $h(X)$  being of exponent  $e > n$  does not divide  $X^i(1 + X^{j-i}) = e(X)$ . Thus,  $e(X)$  is not a code polynomial and, hence, this error pattern is detected.

If

$$e(X) = X^i + X^{i+1} + X^j \quad i + 1 < j$$

or

$$e(X) = X^i + X^j + X^{j+1} \quad i < j$$

or

$$e(X) = X^j$$

then  $1 + X \nmid e(X)$  (Proposition 2.4) and hence  $g(X) \nmid e(X)$ . Therefore, the three error patterns are detected. Finally, if the error polynomial is

$$e(X) = X^i + X^{i+1} + X^j + X^{j+1} = (1 + X)(X^i + X^j) \quad i < j < n$$

then  $h(X) \nmid X^i + X^j$  (as seen above) and so  $g(X) \nmid e(X)$ . Thus, this error pattern is also detected.

### Example 2.2

Consider the binary polynomial code of length 5 generated by the polynomial

$$g(X) = X^2 + 1 = (X + 1)(X + 1)$$

Observe that in this code, the following combinations of two single or double errors go undetected:

$$\begin{array}{ccccccc} X, X^3 & 1, X^2 & X^2, X^4 & 1 + X, X^2 + X^3 & X + X^2, X^3 + X^4 & 1, X^4 \\ & & & 1 + X, X^3 + X^4 & & \end{array}$$

However, any combination of one single and one double error is always detected. In fact, we can make the following general observation (in view of Proposition 2.4):

### Remark

In a binary polynomial code with encoding polynomial  $g(X) = (1 + X)h(X)$ , any combination of one single and one double error is always detected.

### Proposition 2.6

In a binary polynomial  $(m, n)$ -code with encoding polynomial  $g(X)$ , every code word is of even weight iff  $1 + X \mid g(X)$ .

### Proof

If  $g(X) = (1 + X)h(X)$ , then  $1 + X$  divides any code polynomial

$$b(X) = b_0 + b_1X + \cdots + b_{n-1}X^{n-1}$$

and it follows from Proposition 2.4 that the corresponding code word  $b = (b_0, b_1, \dots, b_{n-1})$  is of even weight.

Conversely, suppose that  $b(X)$  is a code polynomial corresponding to a code word  $b$  of even weight. Then, on pairing adjacent terms, we see that  $b(X)$  is a sum of finite number of sums of the form  $X^i + X^j, i < j$ . But

$$X^i + X^j = X^i(1 + X^{j-i}) = X^i(1 + X)(1 + X + \dots + X^{j-i-1})$$

Therefore,  $b(X)$  is divisible by  $1 + X$ . In particular, the code polynomial  $Xg(X)$  corresponding to the message polynomial

$$a(X) = a_0 + a_1X + \dots + a_{m-1}X^{m-1} \quad a_i = 0 \forall i \neq 1, a_1 = 1$$

is also divisible by  $1 + X$ . But then it follows that  $1 + X \mid g(X)$ .

### Exercise 2.1

1. Is the result of Proposition 2.4 true if we are working over a field of odd order? Justify.
2. Compute the minimum distance of the binary polynomial code of length 5 generated by  $1 + X + X^2$ .
3. Is the converse of Theorem 2.2 true? If the binary polynomial code of length  $n$  generated by  $g(X)$  has minimum distance at least 3, is it always true that  $g(X)$  divides no polynomial of the form  $X^k - 1$  for  $k < n$ ?
4. Find the minimum distance of the binary polynomial code of length 8 generated by the polynomials:
  - (i)  $1 + X + X^3$
  - (ii)  $1 + X^2 + X^3$
5. Compute all the code words of the polynomial code of length:
  - (i) 3
  - (ii) 4, generated by the polynomial  $X^2 + 1$  over the field of 3 elements.
6. If  $F$  is a field of 3 elements and  $g(X) \in F[X]$  divides no polynomial of the form  $X^k - 1$  or  $X^k + 1$  for  $k < n$ , prove that the polynomial code of length  $n$  generated by  $g(X)$  over  $F$  has minimum distance at least 3.
7. Compute the exponent of the polynomial  $a(X) \in \mathbb{B}[X]$  when
  - (i)  $a(X) = 1 + X + X^2$
  - (ii)  $a(X) = 1 + X + X^3$
  - (iii)  $a(X) = 1 + X^2 + X^3$
  - (iv)  $a(X) = X + X^3$
  - (v)  $a(X) = 1 + X^3$
  - (vi)  $a(X) = 1 + X^2 + X^4$

## 2.3 GENERATOR AND PARITY CHECK MATRICES – GENERAL CASE

Starting with an  $m \times n$  matrix  $\mathbf{G} = (\mathbf{I}_m \quad \mathbf{A})$ , where  $\mathbf{A}$  is  $m \times (n - m)$  matrix, we defined a code  $\mathcal{C} = \{\mathbf{a}\mathbf{G} \mid \mathbf{a} \in \mathbb{B}^m\}$  of length  $n$  and called  $\mathbf{G}$  a generator matrix of

$\mathcal{C}$ . Also we found that  $\mathbf{H} = (\mathbf{A}^t \quad \mathbf{I}_{n-m})$  has the property that  $\mathbf{H}\mathbf{b}^t = 0$  for every  $\mathbf{b} \in \mathcal{C}$  and called it the parity check matrix of the code  $\mathcal{C}$ . However, it is not essential that we insist on the first  $m$  columns of  $\mathbf{G}$  to form the identity matrix  $\mathbf{I}_m$  or that the last  $(n - m)$  columns of  $\mathbf{H}$  to form the identity matrix  $\mathbf{I}_{n-m}$ . But, in that case, a great deal of information about the code defined by  $\mathbf{G}$  will be lost. Also, the relation between the generator matrix  $\mathbf{G}$  and parity check matrix  $\mathbf{H}$  of the same code is not as clear as in the earlier case.

All codes considered here will be over  $\mathbb{B}$ , the field of two elements.

Recall that the rank of an  $m \times n$  matrix  $\mathbf{A}$  is the maximum number of linearly independent rows or the maximum number of linearly independent columns of the matrix  $\mathbf{A}$ .

### Definition 2.5

Let  $\mathcal{C}$  be an  $(m, n)$ -code. If there exists a  $m \times n$  matrix  $\mathbf{G}$  of rank  $m$  such that  $\mathcal{C} = \{\mathbf{a}\mathbf{G} | \mathbf{a} \in \mathbb{B}^m\}$  then  $\mathbf{G}$  is called a **generator matrix** of the code  $\mathcal{C}$ . Also then  $\mathcal{C}$  is called a **matrix code** generated by  $\mathbf{G}$ .

### Definition 2.6

Let  $\mathcal{C}$  be an  $(m, n)$ -code. If there exists an  $(n - m) \times n$  matrix  $\mathbf{H}$  of rank  $n - m$  such that  $\mathbf{H}\mathbf{b}^t = 0$  for all  $\mathbf{b} \in \mathcal{C}$ , then  $\mathbf{H}$  is called a parity check matrix of  $\mathcal{C}$ .

With this generalized definition of a matrix code, we have the following theorem.

### Theorem 2.4

A polynomial code is a matrix code.

### Proof

Let  $\mathcal{C}$  be a polynomial  $(m, n)$ -code with encoding polynomial

$$g(X) = g_0 + g_1X + \cdots + g_kX^k$$

Then  $n = m + k$ . Let  $\mathbf{G}$  be the  $m \times n$  matrix

$$\mathbf{G} = \begin{pmatrix} g_0 & g_1 & \cdots & g_k & 0 & 0 & \cdots & 0 \\ 0 & g_0 & \cdots & g_{k-1} & g_k & 0 & \cdots & 0 \\ \vdots & \vdots & & \vdots & \vdots & & \ddots & \vdots \\ 0 & 0 & \cdots & 0 & g_0 & g_1 & \cdots & g_k \end{pmatrix}$$

in which the first row has initial entries  $g_0, g_1, \dots, g_k$  and the rest of the rows are obtained by giving a cyclic shift (anticlockwise) to the entries of the previous row until we arrive at a row in which the last  $k + 1$  entries are  $g_0, g_1, \dots, g_k$ .

The determinant of the submatrix formed by taking the first  $m$  columns is  $g_0^m \neq 0$  as  $g_0 \neq 0$ . Therefore, the rank of  $\mathbf{G}$  is  $m$ . It is straightforward to check that the code word in the code generated by the matrix  $\mathbf{G}$  corresponding to the

message word

$$a = (a_0, a_1, \dots, a_{m-1})$$

equals the code word corresponding to the message word  $a$  in the polynomial code generated by  $g(X)$ . Thus, the two codes are identical.

**Example 2.3**

- (i) The generator matrix of the (3, 6) polynomial code with encoding polynomial

$$g(X) = 1 + X + X^3$$

is

$$\mathbf{G} = \begin{pmatrix} 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 \end{pmatrix}$$

- (ii) The generator matrix of the (4, 7) polynomial code with encoding polynomial

$$g(X) = 1 + X^2 + X^3$$

is

$$\mathbf{G} = \begin{pmatrix} 1 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 1 \end{pmatrix}$$

- (iii) The generator matrix of the (4, 7) polynomial code with encoding polynomial

$$g(X) = 1 + X + X^3$$

is

$$\mathbf{G} = \begin{pmatrix} 1 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{pmatrix}$$

- (iv) Consider the (4, 7) polynomial code with encoding polynomial

$$g(X) = 1 + X + X^3$$

so that its generator matrix is as seen in (iii) above. The code word corresponding to the message word

$$a = (a_0, a_1, a_2, a_3) \text{ is } (a_0, a_0 + a_1, a_1 + a_2, a_0 + a_2 + a_3, a_1 + a_3, a_2, a_3)$$

Let  $\alpha_i, 0 \leq i \leq 6$ , be elements from  $\mathbb{B}$  such that

$$\begin{aligned} \alpha_0 a_0 + \alpha_1(a_0 + a_1) + \alpha_2(a_1 + a_2) + \alpha_3(a_0 + a_2 + a_3) \\ + \alpha_4(a_1 + a_3) + \alpha_5 a_2 + \alpha_6 a_3 = 0 \end{aligned}$$

Then

$$a_0(\alpha_0 + \alpha_1 + \alpha_3) + a_1(\alpha_1 + \alpha_2 + \alpha_4) + a_2(\alpha_2 + \alpha_3 + \alpha_5) + a_3(\alpha_3 + \alpha_4 + \alpha_6) = 0$$

Since this holds  $\forall a \in \mathbb{B}^4$ , we have

$$\alpha_0 + \alpha_1 + \alpha_3 = 0 \quad \alpha_1 + \alpha_2 + \alpha_4 = 0$$

$$\alpha_2 + \alpha_3 + \alpha_5 = 0 \quad \alpha_3 + \alpha_4 + \alpha_6 = 0$$

We need to find out  $\alpha_i$  which satisfy these equations. Suppose  $\alpha_0 = 0$ . Then  $\alpha_1 = \alpha_3, \alpha_4 = \alpha_5$  and  $\alpha_2 = \alpha_6$ . We may take two sets of values of  $\alpha$ 's as

$$\alpha_0 = 0 \quad \alpha_1 = \alpha_3 = 1 \quad \alpha_4 = \alpha_5 = 0 \quad \alpha_2 = \alpha_6 = 1$$

and

$$\alpha_0 = 0 \quad \alpha_1 = \alpha_3 = 1 \quad \alpha_4 = \alpha_5 = 1 \quad \alpha_2 = \alpha_6 = 0$$

In order to avoid a column of zeros, let us next suppose  $\alpha_0 = 1$ . Then  $\alpha_1 + \alpha_3 = 1$ . Suppose that  $\alpha_1 = 1, \alpha_3 = 0$ . Then the above equations reduce to

$$1 + \alpha_2 + \alpha_4 = 0 \quad \alpha_2 + \alpha_5 = 0 \quad \alpha_4 + \alpha_6 = 0$$

If  $\alpha_2 = 0$ , then  $\alpha_4 = 1 = \alpha_6$  and  $\alpha_5 = 0$ . Thus one set of values is

$$\alpha_0 = \alpha_1 = \alpha_4 = \alpha_6 = 1$$

$$\alpha_2 = \alpha_3 = \alpha_5 = 0$$

Therefore, a parity check matrix for this code is

$$\mathbf{H} = \begin{pmatrix} 0 & 1 & 1 & 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 & 1 & 0 \\ 1 & 1 & 0 & 0 & 1 & 0 & 1 \end{pmatrix}$$

Another parity check matrix of this code is

$$\mathbf{H}_1 = \begin{pmatrix} 0 & 1 & 1 & 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & 1 & 0 & 1 \end{pmatrix}$$

There are quite a few other parity check matrices of the code.

## Exercises 2.2

1. Find two parity check matrices for the code of Example 2.3(i).
2. Find two parity check matrices of the  $(4, 7)$  polynomial code of Example 2.3(ii).

### 38 Polynomial codes

Consider the  $3 \times 6$  matrix

$$\mathbf{G} = \begin{pmatrix} 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 1 \\ 1 & 0 & 1 & 1 & 1 & 1 \end{pmatrix}$$

Then we find that

$$(000)\mathbf{G} = 000000 = (111)\mathbf{G}$$

$$(001)\mathbf{G} = 101111 = (110)\mathbf{G}$$

and

$$(100)\mathbf{G} = 110100 = (011)\mathbf{G}$$

Thus, the map  $a \rightarrow \mathbf{aG}$ ,  $a \in \mathbb{B}^3$  is not one-one. This is so because the rows of  $\mathbf{G}$  are linearly dependent or that the rank  $(\mathbf{G}) < 3$ . To avoid this eventuality and the unnecessary extra work involved, we insist on the  $m \times n$  generator matrix to be of rank  $m$ .

We shall come to generator matrices again when we discuss linear codes.