

Definition. The integer r in Theorem 5 is called the *free rank* or the *Betti number* of M and the elements $a_1, a_2, \dots, a_m \in R$ (defined up to multiplication by units in R) are called the *invariant factors* of M .

Note that until we have proved that the invariant factors of M are unique we should properly refer to a set of invariant factors for M (and similarly for the free rank), by which we mean any elements giving a decomposition for M as in (1) of the theorem above.

Using the Chinese Remainder Theorem it is possible to decompose the cyclic modules in Theorem 5 further so that M is the direct sum of cyclic modules whose annihilators are as simple as possible (namely (0) or generated by powers of primes in R). This gives an alternate decomposition which we shall also see is unique and which we now describe.

Suppose a is a nonzero element of the Principal Ideal Domain R . Then since R is also a Unique Factorization Domain we can write

$$a = up_1^{\alpha_1} p_2^{\alpha_2} \dots p_s^{\alpha_s}$$

where the p_i are distinct primes in R and u is a unit. This factorization is unique up to units, so the ideals $(p_i^{\alpha_i})$, $i = 1, \dots, s$ are uniquely defined. For $i \neq j$ we have $(p_i^{\alpha_i}) + (p_j^{\alpha_j}) = R$ since the sum of these two ideals is generated by a greatest common divisor, which is 1 for distinct primes p_i, p_j . Put another way, the ideals $(p_i^{\alpha_i})$, $i = 1, \dots, s$, are comaximal in pairs. The intersection of all these ideals is the ideal (a) since a is the least common multiple of $p_1^{\alpha_1}, p_2^{\alpha_2}, \dots, p_s^{\alpha_s}$. Then the Chinese Remainder Theorem (Theorem 7.17) shows that

$$R/(a) \cong R/(p_1^{\alpha_1}) \oplus R/(p_2^{\alpha_2}) \oplus \dots \oplus R/(p_s^{\alpha_s})$$

as rings and also as R -modules.

Applying this to the modules in Theorem 5 allows us to write each of the direct summands $R/(a_i)$ for the invariant factor a_i of M as a direct sum of cyclic modules whose annihilators are the prime power divisors of a_i . This proves:

Theorem 6. (Fundamental Theorem, Existence: Elementary Divisor Form) Let R be a P.I.D. and let M be a finitely generated R -module. Then M is the direct sum of a finite number of cyclic modules whose annihilators are either (0) or generated by powers of primes in R , i.e.,

$$M \cong R^r \oplus R/(p_1^{\alpha_1}) \oplus R/(p_2^{\alpha_2}) \oplus \dots \oplus R/(p_t^{\alpha_t})$$

where $r \geq 0$ is an integer and $p_1^{\alpha_1}, \dots, p_t^{\alpha_t}$ are positive powers of (not necessarily distinct) primes in R .

We proved Theorem 6 by using the prime power factors of the invariant factors for M . In fact we shall see that the decomposition of M into a direct sum of cyclic modules whose annihilators are (0) or prime powers as in Theorem 6 is unique, i.e., the integer r and the ideals $(p_1^{\alpha_1}), \dots, (p_t^{\alpha_t})$ are uniquely defined for M . These prime powers are given a name:

Definition. Let R be a P.I.D. and let M be a finitely generated R -module as in Theorem 6. The prime powers $p_1^{\alpha_1}, \dots, p_i^{\alpha_i}$ (defined up to multiplication by units in R) are called the *elementary divisors* of M .

Suppose M is a finitely generated torsion module over the Principal Ideal Domain R . If for the *distinct* primes p_1, p_2, \dots, p_n occurring in the decomposition in Theorem 6 we group together all the cyclic factors corresponding to the same prime p_i we see in particular that M can be written as a direct sum

$$M = N_1 \oplus N_2 \oplus \cdots \oplus N_n$$

where N_i consists of all the elements of M which are annihilated by some power of the prime p_i . This result holds also for modules over R which may not be finitely generated:

Theorem 7. (The Primary Decomposition Theorem) Let R be a P.I.D. and let M be a nonzero torsion R -module (not necessarily finitely generated) with nonzero annihilator a . Suppose the factorization of a into distinct prime powers in R is

$$a = up_1^{\alpha_1} p_2^{\alpha_2} \cdots p_n^{\alpha_n}$$

and let $N_i = \{x \in M \mid p_i^{\alpha_i} x = 0\}$, $1 \leq i \leq n$. Then N_i is a submodule of M with annihilator $p_i^{\alpha_i}$ and is the submodule of M of all elements annihilated by some power of p_i . We have

$$M = N_1 \oplus N_2 \oplus \cdots \oplus N_n.$$

If M is finitely generated then each N_i is the direct sum of finitely many cyclic modules whose annihilators are divisors of $p_i^{\alpha_i}$.

Proof: We have already proved these results in the case where M is finitely generated over R . In the general case it is clear that N_i is a submodule of M with annihilator dividing $p_i^{\alpha_i}$. Since R is a P.I.D. the ideals $(p_i^{\alpha_i})$ and $(p_j^{\alpha_j})$ are comaximal for $i \neq j$, so the direct sum decomposition of M can be proved easily by modifying the argument in the proof of the Chinese Remainder Theorem to apply it to modules. Using this direct sum decomposition it is easy to see that the annihilator of N_i is precisely $p_i^{\alpha_i}$.

Definition. The submodule N_i in the previous theorem is called the p_i -*primary component* of M .

Notice that with this terminology the elementary divisors of a finitely generated module M are just the invariant factors of the primary components of $\text{Tor}(M)$.

We now prove the uniqueness statements regarding the decompositions in the Fundamental Theorem.

Note that if M is any module over a commutative ring R and a is an element of R then $aM = \{am \mid m \in M\}$ is a submodule of M . Recall also that in a Principal Ideal Domain R the nonzero prime ideals are maximal, hence the quotient of R by a nonzero prime ideal is a field.

Lemma 8. Let R be a P.I.D. and let p be a prime in R . Let F denote the field $R/(p)$.

(1) Let $M = R^r$. Then $M/pM \cong F^r$.

(2) Let $M = R/(a)$ where a is a nonzero element of R . Then

$$M/pM \cong \begin{cases} F & \text{if } p \text{ divides } a \text{ in } R \\ 0 & \text{if } p \text{ does not divide } a \text{ in } R. \end{cases}$$

(3) Let $M = R/(a_1) \oplus R/(a_2) \oplus \cdots \oplus R/(a_k)$ where each a_i is divisible by p . Then $M/pM \cong F^k$.

Proof: (1) There is a natural map from R^r to $(R/(p))^r$ defined by mapping $(\alpha_1, \dots, \alpha_r)$ to $(\alpha_1 \bmod (p), \dots, \alpha_r \bmod (p))$. This is clearly a surjective R -module homomorphism with kernel consisting of the r -tuples all of whose coordinates are divisible by p , i.e., pR^r , so $R^r/pR^r \cong (R/(p))^r$, which is (1).

(2) This follows from the Isomorphism Theorems: note first that $p(R/(a))$ is the image of the ideal (p) in the quotient $R/(a)$, hence is $(p) + (a)/(a)$. The ideal $(p) + (a)$ is generated by a greatest common divisor of p and a , hence is (p) if p divides a and is $R = (1)$ otherwise. Hence $pM = (p)/(a)$ if p divides a and is $R/(a) = M$ otherwise. If p divides a then $M/pM = (R/(a))/(p)/(a) \cong R/(p)$, and if p does not divide a then $M/pM = M/M = 0$, which proves (2).

(3) This follows from (2) as in the proof of part (1) of Theorem 5.

Theorem 9. (Fundamental Theorem, Uniqueness) Let R be a P.I.D.

(1) Two finitely generated R -modules M_1 and M_2 are isomorphic if and only if they have the same free rank and the same list of invariant factors.

(2) Two finitely generated R -modules M_1 and M_2 are isomorphic if and only if they have the same free rank and the same list of elementary divisors.

Proof: If M_1 and M_2 have the same free rank and list of invariant factors or the same free rank and list of elementary divisors then they are clearly isomorphic.

Suppose that M_1 and M_2 are isomorphic. Any isomorphism between M_1 and M_2 maps the torsion in M_1 to the torsion in M_2 so we must have $\text{Tor}(M_1) \cong \text{Tor}(M_2)$. Then $R^{r_1} \cong M_1/\text{Tor}(M_1) \cong M_2/\text{Tor}(M_2) \cong R^{r_2}$ where r_1 is the free rank of M_1 and r_2 is the free rank of M_2 . Let p be any nonzero prime in R . Then from $R^{r_1} \cong R^{r_2}$ we obtain $R^{r_1}/pR^{r_1} \cong R^{r_2}/pR^{r_2}$. By (1) of the previous lemma, this implies $F^{r_1} \cong F^{r_2}$ where F is the field R/pR . Hence we have an isomorphism of an r_1 -dimensional vector space over F with an r_2 -dimensional vector space over F , so that $r_1 = r_2$ and M_1 and M_2 have the same free rank.

We are reduced to showing that M_1 and M_2 have the same lists of invariant factors and elementary divisors. To do this we need only work with the isomorphic torsion modules $\text{Tor}(M_1)$ and $\text{Tor}(M_2)$, i.e., we may as well assume that both M_1 and M_2 are torsion R -modules.

We first show they have the same elementary divisors. It suffices to show that for any fixed prime p the elementary divisors which are a power of p are the same for both M_1 and M_2 . If $M_1 \cong M_2$ then the p -primary submodule of M_1 (= the direct