

For every  $y_i$ ,  $1 \leq i \leq m$ , there exist elements  $a_{ij} \in F$ ,  $1 \leq j \leq n$ , such that

$$y_i = \sum_{j=1}^n a_{ij} \alpha_j$$

Substituting these values of  $y_i$  in the expression for  $x$ , we find that  $x$  can be expressed as a linear combination of the elements  $\alpha_j \beta_i$ ,  $1 \leq i \leq m$ ,  $1 \leq j \leq n$ , with coefficients in  $F$ . Thus, the elements  $\{\alpha_j \beta_i\}$   $1 \leq i \leq m$ ,  $1 \leq j \leq n$ , generate  $L$  over  $F$ .

Suppose that elements  $a_{ij} \in F$ ,  $1 \leq i \leq m$ ,  $1 \leq j \leq n$  are such that

$$\sum_{i=1}^m \sum_{j=1}^n a_{ij} \alpha_j \beta_i = 0$$

Then

$$\sum_{i=1}^m \left( \sum_{j=1}^n a_{ij} \alpha_j \right) \beta_i = 0$$

and  $\{\beta_i\}$ ,  $1 \leq i \leq m$ , being a basis of  $L$  over  $K$ , we have

$$\sum_{j=1}^n a_{ij} \alpha_j = 0 \quad \forall i, 1 \leq i \leq m$$

But then  $\{\alpha_j\}$ ,  $1 \leq j \leq n$  being a basis of  $K$  over  $F$ , we have  $a_{ij} = 0 \forall j$ ,  $1 \leq j \leq n$  and  $\forall i$ ,  $1 \leq i \leq m$ . This proves that  $\{\alpha_j \beta_i\}$ ,  $1 \leq i \leq m$ ,  $1 \leq j \leq n$  is a basis of  $L$  over  $F$  and

$$[L:F] = mn = [L:K][K:F] \quad \blacksquare$$

Let  $F$  be a prime field of characteristic  $p \neq 0$ ,  $f(X) \in F[X]$  be an irreducible polynomial of degree  $n$  and  $I = \langle f(X) \rangle$  be the ideal generated by  $f(X)$ . Then  $K = F[X]/I$  is a field and clearly an arbitrary element of  $K$  is of form  $g(X) + I$ , where  $g(X) \in F[X]$  is a polynomial of degree at most  $n - 1$ . Also it follows that such an expression of an element of  $K$  is uniquely determined. Therefore  $O(K) = p^n$ . Thus, in order to construct a field  $K$  of order  $p^n$ ,  $p$  a prime and  $n$  a positive integer, we need to find an irreducible polynomial  $f(X)$  of degree  $n$  over the field of  $p$  elements. Also, observe that every element of  $K = F[X]/I$  is a root of the polynomial

$$X^{p^n} - X$$

and, so, the irreducible polynomial  $f(X)$  must be a divisor of  $X^{p^n} - X$ .

#### Proposition 4.4

Let  $f(X)$  be a polynomial of degree 2 or 3 over a field  $F$ . Then  $f(X)$  is irreducible iff none of the elements of  $F$  is a root of  $f(X)$ .

#### Proof

Suppose that  $f(X)$  is reducible. Then  $f(X)$  has a linear factor  $aX + b$ , say, where  $a, b \in F$ ,  $a \neq 0$ . Then  $-b/a \in F$  is a root of  $f(X)$ . Conversely, suppose that

$\alpha \in F$  is a root of  $f(X)$ . Then  $X - \alpha \mid f(X)$ . For example, if

$$f(X) = aX^3 + bX^2 + cX + d \quad a, b, c, d \in F$$

then

$$\begin{aligned} f(X) &= aX^3 + bX^2 + cX + d - (a\alpha^3 + b\alpha^2 + c\alpha + d) \\ &= (X - \alpha)[a(X^2 + \alpha X + \alpha^2) + b(X + \alpha) + c] \end{aligned}$$

and

$$a(X^2 + \alpha X + \alpha^2) + b(X + \alpha) + c \in F[X]$$

This proves that  $f(X)$  is reducible.

#### Example 4.1

Let  $F$  be the field of 5 elements. None of the elements of  $F$  is a root of the polynomial  $f(X) = X^2 + 2$  and so  $f(X)$  is irreducible in  $F[X]$ . Hence

$$K = F[X]/\langle f(X) \rangle$$

is a field of order 25. An arbitrary element of  $K$  is of the form

$$aX + b + \langle f(X) \rangle \quad a, b \in F$$

Write  $X + \langle f(X) \rangle = \alpha$ . The powers of  $\alpha$  are then determined as follows:  $\alpha^2 = 3$ ,  $\alpha^3 = 3\alpha$ ,  $\alpha^4 = 4$ ,  $\alpha^5 = 4\alpha$ ,  $\alpha^6 = 2$ ,  $\alpha^7 = 2\alpha$  and  $\alpha^8 = 1$ . Thus  $\alpha$  is not a primitive element of  $K$ .

Taking  $\beta = \alpha + 4$  gives

$$\begin{aligned} \beta^2 &= \alpha^2 + 3\alpha + 1 = 3\alpha + 4 \\ \beta^3 &= (3\alpha + 4)(\alpha + 4) = \alpha \\ \beta^4 &= 4\alpha + \alpha^2 = 4\alpha + 3 \\ \beta^5 &= (\alpha + 4)(4\alpha + 3) = 4\alpha + 4 \\ \beta^6 &= (4\alpha + 4)(\alpha + 4) = 3 \\ \beta^7 &= 3\alpha + 2 \\ \beta^8 &= (3\alpha + 2)(\alpha + 4) = 4\alpha + 2 \\ \beta^9 &= (4\alpha + 2)(\alpha + 4) = 3\alpha \\ \beta^{10} &= 3\alpha^2 + 2\alpha = 2\alpha + 4 \\ \beta^{11} &= (2\alpha + 4)(\alpha + 4) = 2\alpha + 2 \\ \beta^{12} &= (2\alpha + 2)(\alpha + 4) = 4 \end{aligned}$$

Thus the order of  $\beta$  in the multiplicative group of  $K$  is greater than 12 and hence  $\beta$  is a primitive element of  $K$ .

#### Example 4.2

Let  $F$  be the field of 3 elements. None of the elements of  $F$  is a root of the polynomial  $X^3 + 2X + 2 \in F[X]$  and so it is irreducible in  $F[X]$ . Therefore

$$K = F[X]/\langle X^3 + 2X + 2 \rangle$$

is a field of order  $3^3 = 27$ . Let the element  $X + \langle X^3 + 2X + 2 \rangle$  be denoted by  $\alpha$ . Then

$$\begin{aligned}
 \alpha^3 &= \alpha + 1 \\
 \alpha^6 &= \alpha^2 + 2\alpha + 1 \\
 \alpha^{12} &= \alpha^4 + 4\alpha^2 + 1 + 4\alpha^3 + 2\alpha^2 + 4\alpha \\
 &= \alpha^4 + \alpha^3 + \alpha + 1 \\
 &= \alpha^2 + \alpha + \alpha + 1 + \alpha + 1 \\
 &= \alpha^2 + 2
 \end{aligned}$$

and then

$$\alpha^{13} = \alpha^3 + 2\alpha = \alpha + 1 + 2\alpha = 1$$

Thus  $\alpha$  is not a primitive element of  $K$ . Taking  $\beta = \alpha^2 + 1$ , we find that

$$\begin{aligned}
 \beta^2 &= \alpha + 1 \neq 1 \\
 \beta^3 &= \alpha^6 + 1 = (\alpha + 1)^2 + 1 = \alpha^2 + 2\alpha + 2 \\
 \beta^6 &= \alpha^4 + \alpha^2 + 1 + \alpha^3 + \alpha^2 + 2\alpha = \alpha^2 + \alpha + \alpha^2 + 1 + \alpha + 1 + \alpha^2 + 2\alpha = \alpha + 2 \\
 \beta^{12} &= \alpha^2 + \alpha + 1 \\
 \beta^{13} &= (\alpha^2 + \alpha + 1)(\alpha^2 + 1) = \alpha^4 + \alpha^3 + 2\alpha^2 + \alpha + 1 = 2 \neq 1
 \end{aligned}$$

Hence  $\beta$  is a primitive element of  $K$ .

### Example 4.3

As in Chapter 1, let  $\mathbb{B}$  be the field of 2 elements.

#### Case (i)

Neither of the two elements of  $\mathbb{B}$  is a root of the polynomial  $X^3 + X + 1 \in \mathbb{B}[X]$  and, so, the polynomial  $X^3 + X + 1$  is irreducible over  $\mathbb{B}$ . Therefore,

$$K = \mathbb{B}[X]/\langle X^3 + X + 1 \rangle$$

is a field of order 8. Let the element  $X + \langle X^3 + X + 1 \rangle$  be denoted by  $\alpha$ . The multiplicative group of  $K$  is of order 7 and, so, any non-zero, non-identity element of  $K$  is primitive. In particular, so is the element  $\alpha$ .

#### Case (ii)

Consider the polynomial  $X^4 + X + 1 \in \mathbb{B}[X]$ . Neither of the elements of  $\mathbb{B}$  is a root of this polynomial and, so,  $f(X) = X^4 + X + 1$  does not have a linear factor in  $\mathbb{B}[X]$ . Therefore, if  $f(X)$  is reducible in  $\mathbb{B}[X]$ , it must be a product of only quadratic polynomials. But the only polynomial in  $\mathbb{B}[X]$  of degree 2 which is irreducible is  $X^2 + X + 1$  and

$$(X^2 + X + 1)^2 = X^4 + X^2 + 1 \neq X^4 + X + 1$$

Thus  $f(X)$  is an irreducible polynomial and  $K = \mathbb{B}[X]/\langle f(X) \rangle$  is a field of order 16. Let

$$\alpha = X + \langle f(X) \rangle$$

Then

$$\alpha^4 = \alpha + 1 \neq 1$$

$$\alpha^5 = \alpha^2 + \alpha \neq 1$$

and since  $O(\alpha)$  as an element of the multiplicative group of  $K$  divides 15,  $\alpha$  is a primitive element of  $K$ . All the elements of  $K$  then are  $0, 1, \alpha, \alpha^2, \alpha^3, \alpha + 1, \alpha^2 + \alpha, \alpha^3 + \alpha^2, \alpha^3 + \alpha + 1, \alpha^2 + 1, \alpha^3 + \alpha, \alpha^2 + \alpha + 1, \alpha^3 + \alpha^2 + \alpha, \alpha^3 + \alpha^2 + \alpha + 1, \alpha^3 + \alpha^2 + 1, \alpha^3 + 1$ . These non-zero elements are the powers of  $\alpha$  in order.

### **Case (iii)**

We can prove as in Case (ii) above that  $X^4 + X^3 + 1$  is another polynomial of degree 4 which is irreducible over  $\mathbb{B}$ . Hence

$$K = \mathbb{B}[X]/\langle X^4 + X^3 + 1 \rangle$$

is a field of order 16. Setting

$$\alpha = X + \langle X^4 + X^3 + 1 \rangle$$

we find that  $\alpha^4 = \alpha^3 + 1$ . Then

$$\alpha^5 = \alpha^3 + \alpha + 1 \quad \alpha^6 = \alpha^3 + \alpha^2 + \alpha + 1 \quad \alpha^7 = \alpha^2 + \alpha + 1$$

$$\alpha^8 = \alpha^3 + \alpha^2 + \alpha \quad \alpha^9 = \alpha^2 + 1 \quad \alpha^{10} = \alpha^3 + \alpha \quad \alpha^{11} = \alpha^3 + \alpha^2 + 1$$

$$\alpha^{12} = \alpha + 1 \quad \alpha^{13} = \alpha^2 + \alpha \quad \alpha^{14} = \alpha^3 + \alpha^2 \quad \alpha^{15} = 1$$

Thus  $\alpha$  is a primitive element of  $K$  (this could have been concluded from  $\alpha^3 \neq 1$ ,  $\alpha^5 \neq 1$  but the above illustrates all the powers of  $\alpha$ ).

### **Case (iv)**

Yet another polynomial of degree 4 which is irreducible over  $\mathbb{B}$  is

$$X^4 + X^3 + X^2 + X + 1$$

Thus

$$\mathbb{B}[X]/\langle X^4 + X^3 + X^2 + X + 1 \rangle$$

is a field of order 16. However, in this case, the element

$$\alpha = X + \langle X^4 + X^3 + X^2 + X + 1 \rangle$$

is not a primitive element of the field because

$$\alpha^4 = \alpha^3 + \alpha^2 + \alpha + 1$$

and then

$$\alpha^5 = \alpha^4 + \alpha^3 + \alpha^2 + \alpha = 1$$

**Case (v)**

Next consider the polynomial  $X^6 + X^5 + 1$  over  $\mathbb{B}$ . It is clear that neither 0 nor 1 is a root of this polynomial. Therefore a possible factor of degree 2 of this polynomial is  $X^2 + X + 1$ . Let

$$X^6 + X^5 + 1 = (X^2 + X + 1)(X^4 + aX^3 + bX^2 + cX + 1)$$

Comparing the coefficients of various powers of  $X$ , gives

$$a + 1 = 1 \quad a + b + 1 = 0 \quad a + b + c = 0 \quad b + c + 1 = 0$$

The first three equations imply that  $a = 0, b = c = 1$  and then the fourth gives  $1 = 0$  – a contradiction. Thus the polynomial can have only cubic factors. Irreducible polynomials of degree 3 are

$$X^3 + X + 1 \quad \text{and} \quad X^3 + X^2 + 1$$

Now

$$(X^3 + X + 1)^2 = X^6 + X^2 + 1$$

$$(X^3 + X^2 + 1)^2 = X^6 + X^4 + 1$$

and

$$(X^3 + X^2 + 1)(X^3 + X + 1) = X^6 + X^5 + X^4 + X^2 + X + 1$$

This proves that  $X^6 + X^5 + 1$  is irreducible over  $\mathbb{B}$ . Then

$$K = \mathbb{B}[X]/\langle X^6 + X^5 + 1 \rangle$$

is a field of order  $2^6 = 64$ . Let

$$\alpha = X + \langle X^6 + X^5 + 1 \rangle$$

Then

$$\alpha^6 = \alpha^5 + 1 \quad \alpha^7 = \alpha^5 + \alpha + 1 \quad \alpha^8 = \alpha^5 + \alpha^2 + \alpha + 1$$

$$\alpha^9 = \alpha^5 + \alpha^3 + \alpha^2 + \alpha + 1 \quad \alpha^{10} = \alpha^5 + \alpha^4 + \alpha^3 + \alpha^2 + \alpha + 1$$

$$\alpha^{11} = \alpha^4 + \alpha^3 + \alpha^2 + \alpha + 1 \quad \alpha^{12} = \alpha^5 + \alpha^4 + \alpha^3 + \alpha^2 + \alpha$$

$$\alpha^{13} = \alpha^4 + \alpha^3 + \alpha^2 + 1 \quad \alpha^{14} = \alpha^5 + \alpha^4 + \alpha^3 + \alpha \quad \alpha^{15} = \alpha^4 + \alpha^2 + 1$$

$$\alpha^{16} = \alpha^5 + \alpha^3 + \alpha \quad \alpha^{17} = \alpha^5 + \alpha^4 + \alpha^2 + 1 \quad \alpha^{18} = \alpha^3 + \alpha + 1$$

$$\alpha^{19} = \alpha^4 + \alpha^2 + \alpha \quad \alpha^{20} = \alpha^5 + \alpha^3 + \alpha^2 \quad \alpha^{21} = \alpha^5 + \alpha^4 + \alpha^3 + 1$$

Since the order of  $\alpha$  divides 63 – the order of the multiplicative group  $K^*$  of  $K$  – it follows from the above computations that  $O(\alpha) = 63$  and  $\alpha$  is a primitive element of  $K$ .

Recall that a polynomial

$$f(X) = a_0 + a_1X + a_2X^2 + \cdots + a_nX^n$$

with integer coefficients  $a_0, a_1, \dots, a_n$  is called **primitive** if

$$\text{GCD}(a_0, a_1, \dots, a_n) = 1$$

However, while working with polynomials over a field of  $p$  elements ( $p$  a prime), we deviate from this accepted terminology and call an irreducible polynomial  $f(X) \in F_p[X]$  of degree  $n$ , where  $F_p$  is the field of  $p$  elements, primitive if:

- (i)  $f(X)$  divides  $X^{p^n-1} - 1$ ; and
- (ii)  $f(X)$  does not divide  $X^k - 1$  for any  $k < p^n - 1$ .

In our applications of finite fields to coding theory we are concerned with:

- (i) the construction of a field  $K$  of order  $p^n$  for a given prime  $p$  and natural number  $n$ ; and
- (ii) finding a primitive element in  $K$ .

We have already proved above that if  $f(X) \in F_p[X]$ , where  $F_p$  is a field of  $p$  elements, is an irreducible polynomial of degree  $n$ , then  $F_p[X]/\langle f(X) \rangle$  is a field of order  $p^n$ . Also as seen in Examples 4.3 Cases (i), (ii), (iii) and (v) there are situations in which  $X + \langle f(X) \rangle$  is a primitive element of  $K = F_p[X]/\langle f(X) \rangle$ . That this is not always the case is shown by the Examples 4.1, 4.2 and 4.3 Case (iv). In fact we have the following proposition.

**Proposition 4.5**

Given an irreducible polynomial  $f(X) \in F_p[X]$ , the element

$$\alpha = X + \langle f(X) \rangle \in F_p[X]/\langle f(X) \rangle$$

( $= K$ , say) is primitive iff  $f(X)$  is a primitive polynomial.

**Proof**

Let  $\deg f(X) = n$ . Then  $O(K) = p^n = m$  (say) and  $O(\alpha) = t \leq m - 1$ . Therefore

$$X^{m-1} - 1 + \langle f(X) \rangle = 0$$

i.e.  $f(X) | X^{m-1} - 1$ .

Observe that

$$f(X) | X^r - 1 \quad \text{iff} \quad O(\alpha) | r$$

Thus  $t = O(\alpha)$  is the smallest value of  $r$  for which  $f(X) | X^r - 1$ . This proves that  $\alpha$  is primitive iff the smallest value of  $r$  for which  $f(X) | X^r - 1$  is  $m - 1$ , i.e. iff  $f(X)$  is a primitive polynomial.

Deciding whether a given irreducible polynomial over  $F_p$  is primitive is not an easy problem. More information on this problem is found when we