

matrix  $A$  an element  $\det A$  in  $K$ . If  $\det A$  is considered as a function of the rows of  $A$ :

$$\det A = D(\alpha_1, \dots, \alpha_n)$$

then  $D$  is an  $n$ -linear form on  $K^n$ .

EXAMPLE 11. It is easy to obtain an algebraic expression for the general  $r$ -linear form on the module  $K^n$ . If  $\alpha_1, \dots, \alpha_r$  are vectors in  $V$  and  $A$  is the  $r \times n$  matrix with rows  $\alpha_1, \dots, \alpha_r$ , then for any function  $L$  in  $M^r(K^n)$ ,

$$\begin{aligned} L(\alpha_1, \dots, \alpha_r) &= L\left(\sum_{j=1}^n A_{1j}\epsilon_j, \alpha_2, \dots, \alpha_r\right) \\ &= \sum_{j=1}^n A_{1j}L(\epsilon_j, \alpha_2, \dots, \alpha_r) \\ &= \sum_{j=1}^n A_{1j}L\left(\epsilon_j, \sum_{k=1}^n A_{2k}\epsilon_k, \dots, \alpha_r\right) \\ &= \sum_{j=1}^n \sum_{k=1}^n A_{1j}A_{2k}L(\epsilon_j, \epsilon_k, \alpha_3, \dots, \alpha_r) \\ &= \sum_{j,k=1}^n A_{1j}A_{2k}L(\epsilon_j, \epsilon_k, \alpha_3, \dots, \alpha_r). \end{aligned}$$

If we replace  $\alpha_3, \dots, \alpha_r$  in turn by their expressions as linear combinations of the standard basis vectors, and if we write  $A(i, j)$  for  $A_{ij}$ , we obtain the following:

$$(5-26) \quad L(\alpha_1, \dots, \alpha_r) = \sum_{j_1, \dots, j_r=1}^n A(1, j_1) \cdots A(r, j_r) L(\epsilon_{j_1}, \dots, \epsilon_{j_r}).$$

In (5-26), there is one term for each  $r$ -tuple  $J = (j_1, \dots, j_r)$  of positive integers between 1 and  $n$ . There are  $n^r$  such  $r$ -tuples. Thus  $L$  is completely determined by (5-26) and the particular values:

$$c_J = L(\epsilon_{j_1}, \dots, \epsilon_{j_r})$$

assigned to the  $n^r$  elements  $(\epsilon_{j_1}, \dots, \epsilon_{j_r})$ . It is also easy to see that if for each  $r$ -tuple  $J$  we choose an element  $c_J$  of  $K$  then

$$(5-27) \quad L(\alpha_1, \dots, \alpha_r) = \sum_J A(1, j_1) \cdots A(r, j_r) c_J$$

defines an  $r$ -linear form on  $K^n$ .

Suppose that  $L$  is a multilinear function on  $V^r$  and  $M$  is a multilinear function on  $V^s$ . We define a function  $L \otimes M$  on  $V^{r+s}$  by

$$(5-28) \quad (L \otimes M)(\alpha_1, \dots, \alpha_{r+s}) = L(\alpha_1, \dots, \alpha_r) M(\alpha_{r+1}, \dots, \alpha_{r+s}).$$

If we think of  $V^{r+s}$  as  $V^r \times V^s$ , then for  $\alpha$  in  $V^r$  and  $\beta$  in  $V^s$

$$(L \otimes M)(\alpha, \beta) = L(\alpha) M(\beta).$$

It is clear that  $L \otimes M$  is multilinear on  $V^{r+s}$ . The function  $L \otimes M$  is called the **tensor product** of  $L$  and  $M$ . The tensor product is not commutative. In fact,  $M \otimes L \neq L \otimes M$  unless  $L = 0$  or  $M = 0$ ; however, the tensor product does relate nicely to the module operations in  $M^r$  and  $M^s$ .

**Lemma.** Let  $L, L_1$  be  $r$ -linear forms on  $V$ , let  $M, M_1$  be  $s$ -linear forms on  $V$  and let  $c$  be an element of  $K$ .

$$(a) (cL + L_1) \otimes M = c(L \otimes M) + L_1 \otimes M;$$

$$(b) L \otimes (cM + M_1) = c(L \otimes M) + L \otimes M_1.$$

*Proof.* Exercise.

Tensoring is associative, i.e., if  $L, M$  and  $N$  are (respectively)  $r$ -,  $s$ - and  $t$ -linear forms on  $V$ , then

$$(L \otimes M) \otimes N = L \otimes (M \otimes N).$$

This is immediate from the fact that the multiplication in  $K$  is associative. Therefore, if  $L_1, L_2, \dots, L_k$  are multilinear functions on  $V^{r_1}, \dots, V^{r_k}$ , then the tensor product

$$L = L_1 \otimes \dots \otimes L_k$$

is unambiguously defined as a multilinear function on  $V^r$ , where  $r = r_1 + \dots + r_k$ . We mentioned a particular case of this earlier. If  $f_1, \dots, f_r$  are linear functions on  $V$ , then the tensor product

$$L = f_1 \otimes \dots \otimes f_r$$

is given by

$$L(\alpha_1, \dots, \alpha_r) = f_1(\alpha_1) \dots f_r(\alpha_r).$$

**Theorem 6.** Let  $K$  be a commutative ring with identity. If  $V$  is a free  $K$ -module of rank  $n$  then  $M^r(V)$  is a free  $K$ -module of rank  $n^r$ ; in fact, if  $\{f_1, \dots, f_n\}$  is a basis for the dual module  $V^*$ , the  $n^r$  tensor products

$$f_{j_1} \otimes \dots \otimes f_{j_r}, \quad 1 \leq j_1 \leq n, \dots, 1 \leq j_r \leq n$$

form a basis for  $M^r(V)$ .

*Proof.* Let  $\{f_1, \dots, f_n\}$  be an ordered basis for  $V^*$  which is dual to the basis  $\{\beta_1, \dots, \beta_n\}$  for  $V$ . For each vector  $\alpha$  in  $V$  we have

$$\alpha = f_1(\alpha)\beta_1 + \dots + f_n(\alpha)\beta_n.$$

We now make the calculation carried out in Example 11. If  $L$  is an  $r$ -linear form on  $V$  and  $\alpha_1, \dots, \alpha_r$  are elements of  $V$ , then by (5-26)

$$L(\alpha_1, \dots, \alpha_r) = \sum_{j_1, \dots, j_r} f_{j_1}(\alpha_1) \dots f_{j_r}(\alpha_r) L(\beta_{j_1}, \dots, \beta_{j_r}).$$

In other words,

$$(5-29) \quad L = \sum_{j_1, \dots, j_r} L(\beta_{j_1}, \dots, \beta_{j_r}) f_{j_1} \otimes \dots \otimes f_{j_r}.$$

This shows that the  $n^r$  tensor products

$$(5-30) \quad E_J = f_{j_1} \otimes \cdots \otimes f_{j_r}$$

given by the  $r$ -tuples  $J = (j_1, \dots, j_r)$  span the module  $M^r(V)$ . We see that the various  $r$ -forms  $E_J$  are independent, as follows. Suppose that for each  $J$  we have an element  $c_J$  in  $K$  and we form the multilinear function

$$(5-31) \quad L = \sum_J c_J E_J.$$

Notice that if  $I = (i_1, \dots, i_r)$ , then

$$E_J(\beta_{i_1}, \dots, \beta_{i_r}) = \begin{cases} 0, & I \neq J \\ 1, & I = J. \end{cases}$$

Therefore we see from (5-31) that

$$(5-32) \quad c_I = L(\beta_{i_1}, \dots, \beta_{i_r}).$$

In particular, if  $L = 0$  then  $c_I = 0$  for each  $r$ -tuple  $I$ . ■

**Definition.** Let  $L$  be an  $r$ -linear form on a  $K$ -module  $V$ . We say that  $L$  is **alternating** if  $L(\alpha_1, \dots, \alpha_r) = 0$  whenever  $\alpha_i = \alpha_j$  with  $i \neq j$ .

If  $L$  is an alternating multilinear function on  $V^r$ , then

$$L(\alpha_1, \dots, \alpha_i, \dots, \alpha_j, \dots, \alpha_r) = -L(\alpha_1, \dots, \alpha_j, \dots, \alpha_i, \dots, \alpha_r).$$

In other words, if we transpose two of the vectors (with different indices) in the  $r$ -tuple  $(\alpha_1, \dots, \alpha_r)$  the associated value of  $L$  changes sign. Since every permutation  $\sigma$  is a product of transpositions, we see that  $L(\alpha_{\sigma 1}, \dots, \alpha_{\sigma r}) = (\text{sgn } \sigma) L(\alpha_1, \dots, \alpha_r)$ .

We denote by  $\Lambda^r(V)$  the collection of all alternating  $r$ -linear forms on  $V$ . It should be clear that  $\Lambda^r(V)$  is a submodule of  $M^r(V)$ .

**EXAMPLE 12.** Earlier in this chapter, we showed that on the module  $K^n$  there is precisely one alternating  $n$ -linear form  $D$  with the property that  $D(\epsilon_1, \dots, \epsilon_n) = 1$ . We also showed in Theorem 2 that if  $L$  is any form in  $\Lambda^n(K^n)$  then

$$L = L(\epsilon_1, \dots, \epsilon_n) D.$$

In other words,  $\Lambda^n(K^n)$  is a free  $K$ -module of rank 1. We also developed an explicit formula (5-15) for  $D$ . In terms of the notation we are now using, that formula may be written

$$(5-33) \quad D = \sum_{\sigma} (\text{sgn } \sigma) f_{\sigma 1} \otimes \cdots \otimes f_{\sigma n}.$$

where  $f_1, \dots, f_n$  are the standard coordinate functions on  $K^n$  and the sum is extended over the  $n!$  different permutations  $\sigma$  of the set  $\{1, \dots, n\}$ . If we write the determinant of a matrix  $A$  as

$$\det A = \sum_{\sigma} (\text{sgn } \sigma) A(\sigma 1, 1) \cdots A(\sigma n, n)$$

then we obtain a different expression for  $D$ :

$$(5-34) \quad \begin{aligned} D(\alpha_1, \dots, \alpha_n) &= \sum_{\sigma} (\operatorname{sgn} \sigma) f_1(\alpha_{\sigma 1}) \cdots f_n(\alpha_{\sigma n}) \\ &= \sum_{\sigma} (\operatorname{sgn} \sigma) L(\alpha_{\sigma 1}, \dots, \alpha_{\sigma n}) \end{aligned}$$

where  $L = f_1 \otimes \cdots \otimes f_n$ .

There is a general method for associating an alternating form with a multilinear form. If  $L$  is an  $r$ -linear form on a module  $V$  and if  $\sigma$  is a permutation of  $\{1, \dots, r\}$ , we obtain another  $r$ -linear function  $L_{\sigma}$  by defining

$$L_{\sigma}(\alpha_1, \dots, \alpha_r) = L(\alpha_{\sigma 1}, \dots, \alpha_{\sigma r}).$$

If  $L$  happens to be alternating, then  $L_{\sigma} = (\operatorname{sgn} \sigma)L$ . Now, for each  $L$  in  $M^r(V)$  we define a function  $\pi_r L$  in  $M^r(V)$  by

$$(5-35) \quad \pi_r L = \sum_{\sigma} (\operatorname{sgn} \sigma) L_{\sigma}$$

that is,

$$(5-36) \quad (\pi_r L)(\alpha_1, \dots, \alpha_r) = \sum_{\sigma} (\operatorname{sgn} \sigma) L(\alpha_{\sigma 1}, \dots, \alpha_{\sigma r}).$$

**Lemma.**  $\pi_r$  is a linear transformation from  $M^r(V)$  into  $\Lambda^r(V)$ . If  $L$  is in  $\Lambda^r(V)$  then  $\pi_r L = r!L$ .

*Proof.* Let  $\tau$  be any permutation of  $\{1, \dots, r\}$ . Then

$$\begin{aligned} (\pi_r L)(\alpha_{\tau 1}, \dots, \alpha_{\tau r}) &= \sum_{\sigma} (\operatorname{sgn} \sigma) L(\alpha_{\sigma \tau 1}, \dots, \alpha_{\sigma \tau r}) \\ &= (\operatorname{sgn} \tau) \sum_{\sigma} (\operatorname{sgn} \tau \sigma) L(\alpha_{\sigma \tau 1}, \dots, \alpha_{\sigma \tau r}). \end{aligned}$$

As  $\sigma$  runs (once) over all permutations of  $\{1, \dots, r\}$ , so does  $\tau \sigma$ . Therefore,

$$(\pi_r L)(\alpha_{\tau 1}, \dots, \alpha_{\tau r}) = (\operatorname{sgn} \tau)(\pi_r L)(\alpha_1, \dots, \alpha_r).$$

Thus  $\pi_r L$  is an alternating form.

If  $L$  is in  $\Lambda^r(V)$ , then  $L(\alpha_{\sigma 1}, \dots, \alpha_{\sigma r}) = (\operatorname{sgn} \sigma) L(\alpha_1, \dots, \alpha_r)$  for each  $\sigma$ ; hence  $\pi_r L = r!L$ . ■

In (5-33) we showed that the determinant function  $D$  in  $\Lambda^n(K^n)$  is

$$D = \pi_n(f_1 \otimes \cdots \otimes f_n)$$

where  $f_1, \dots, f_n$  are the standard coordinate functions on  $K^n$ . There is an important remark we should make in connection with the last lemma. If  $K$  is a field of characteristic zero, such that  $r!$  is invertible in  $K$ , then  $\pi$  maps  $M^r(V)$  onto  $\Lambda^r(V)$ . In fact, in that case it is more natural from one point of view to use the map  $\pi_1 = (1/r!)\pi$  rather than  $\pi$ , because  $\pi_1$  is a projection of  $M^r(V)$  onto  $\Lambda^r(V)$ , i.e., a linear map of  $M^r(V)$  onto  $\Lambda^r(V)$  such that  $\pi_1(L) = L$  if and only if  $L$  is in  $\Lambda^r(V)$ .

**Theorem 7.** Let  $K$  be a commutative ring with identity and let  $V$  be a free  $K$ -module of rank  $n$ . If  $r > n$ , then  $\Lambda^r(V) = \{0\}$ . If  $1 \leq r \leq n$ , then  $\Lambda^r(V)$  is a free  $K$ -module of rank  $\binom{n}{r}$ .

*Proof.* Let  $\{\beta_1, \dots, \beta_n\}$  be an ordered basis for  $V$  with dual basis  $\{f_1, \dots, f_n\}$ . If  $L$  is in  $M^r(V)$ , we have

$$(5-37) \quad L = \sum_J L(\beta_{j_1}, \dots, \beta_{j_r}) f_{j_1} \otimes \cdots \otimes f_{j_r},$$

where the sum extends over all  $r$ -tuples  $J = (j_1, \dots, j_r)$  of integers between 1 and  $n$ . If  $L$  is alternating, then

$$L(\beta_{j_1}, \dots, \beta_{j_r}) = 0$$

whenever two of the subscripts  $j_i$  are the same. If  $r > n$ , then in each  $r$ -tuple  $J$  some integer must be repeated. Thus  $\Lambda^r(V) = \{0\}$  if  $r > n$ .

Now suppose  $1 \leq r \leq n$ . If  $L$  is in  $\Lambda^r(V)$ , the sum in (5-37) need be extended only over the  $r$ -tuples  $J$  for which  $j_1, \dots, j_r$  are distinct, because all other terms are 0. Each  $r$ -tuple of distinct integers between 1 and  $n$  is a permutation of an  $r$ -tuple  $J = (j_1, \dots, j_r)$  such that  $j_1 < \cdots < j_r$ . This special type of  $r$ -tuple is called an  $r$ -**shuffle** of  $\{1, \dots, n\}$ . There are

$$\binom{n}{r} = \frac{n!}{r!(n-r)!}$$

such shuffles.

Suppose we fix an  $r$ -shuffle  $J$ . Let  $L_J$  be the sum of all the terms in (5-37) corresponding to permutations of the shuffle  $J$ . If  $\sigma$  is a permutation of  $\{1, \dots, r\}$ , then

$$L(\beta_{j_{\sigma 1}}, \dots, \beta_{j_{\sigma r}}) = (\text{sgn } \sigma) L(\beta_{j_1}, \dots, \beta_{j_r}).$$

Thus

$$(5-38) \quad L_J = L(\beta_{j_1}, \dots, \beta_{j_r}) D_J$$

where

$$(5-39) \quad \begin{aligned} D_J &= \sum_{\sigma} (\text{sgn } \sigma) f_{j_{\sigma 1}} \otimes \cdots \otimes f_{j_{\sigma r}} \\ &= \pi_r(f_{j_1} \otimes \cdots \otimes f_{j_r}). \end{aligned}$$

We see from (5-39) that each  $D_J$  is alternating and that

$$(5-40) \quad L = \sum_{\text{shuffles } J} L(\beta_{j_1}, \dots, \beta_{j_r}) D_J$$

for every  $L$  in  $\Lambda^r(V)$ . The assertion is that the  $\binom{n}{r}$  forms  $D_J$  constitute a basis for  $\Lambda^r(V)$ . We have seen that they span  $\Lambda^r(V)$ . It is easy to see that they are independent, as follows. If  $I = (i_1, \dots, i_r)$  and  $J = (j_1, \dots, j_r)$  are shuffles, then

$$(5-41) \quad D_J(\beta_{i_1}, \dots, \beta_{i_r}) = \begin{cases} 1, & I = J \\ 0, & I \neq J \end{cases}$$

Suppose we have a scalar  $c_J$  for each shuffle and we define

$$L = \sum_J c_J D_J.$$

From (5-40) and (5-41) we obtain

$$c_I = L(\beta_{i_1}, \dots, \beta_{i_r}).$$

In particular, if  $L = 0$  then  $c_I = 0$  for each shuffle  $I$ . ■

**Corollary.** *If  $V$  is a free  $K$ -module of rank  $n$ , then  $\Lambda^n(V)$  is a free  $K$ -module of rank 1. If  $T$  is a linear operator on  $V$ , there is a unique element  $c$  in  $K$  such that*

$$L(T\alpha_1, \dots, T\alpha_n) = cL(\alpha_1, \dots, \alpha_n)$$

for every alternating  $n$ -linear form  $L$  on  $V$ .

*Proof.* If  $L$  is in  $\Lambda^n(V)$ , then clearly

$$L_T(\alpha_1, \dots, \alpha_n) = L(T\alpha_1, \dots, T\alpha_n)$$

defines an alternating  $n$ -linear form  $L_T$ . Let  $M$  be a generator for the rank 1 module  $\Lambda^n(V)$ . Each  $L$  in  $\Lambda^n(V)$  is uniquely expressible as  $L = aM$  for some  $a$  in  $K$ . In particular,  $M_T = cM$  for a certain  $c$ . For  $L = aM$  we have

$$\begin{aligned} L_T &= (aM)_T \\ &= aM_T \\ &= a(cM) \\ &= c(aM) \\ &= cL. \quad \blacksquare \end{aligned}$$

Of course, the element  $c$  in the last corollary is called the **determinant** of  $T$ . From (5-39) for the case  $r = n$  (when there is only one shuffle  $J = (1, \dots, n)$ ) we see that the determinant of  $T$  is the determinant of the matrix which represents  $T$  in any ordered basis  $\{\beta_1, \dots, \beta_n\}$ . Let us see why. The representing matrix has  $i, j$  entry

$$A_{ij} = f_j(T\beta_i)$$

so that

$$\begin{aligned} D_J(T\beta_1, \dots, T\beta_n) &= \sum_{\sigma} (\text{sgn } \sigma) A(1, \sigma 1) \cdots A(n, \sigma n) \\ &= \det A. \end{aligned}$$

On the other hand,

$$\begin{aligned} D_J(T\beta_1, \dots, T\beta_n) &= (\det T) D_J(\beta_1, \dots, \beta_n) \\ &= \det T. \end{aligned}$$

The point of these remarks is that via Theorem 7 and its corollary we obtain a definition of the determinant of a linear operator which does not presume knowledge of determinants of matrices. Determinants of matrices can be defined in terms of determinants of operators instead of the other way around.