

## EXERCISES

Let  $R$  be a subring of the commutative ring  $S$  with  $1 \in R$ .

1. Use the fact that a U.F.D. is integrally closed to prove that the Gaussian integers,  $\mathbb{Z}[i]$ , is the ring of integers in  $\mathbb{Q}(i)$ .
2. Suppose  $k$  is a field and let  $t = \bar{x}/\bar{y}$  in the field of fractions of the integral domain  $R = k[x, y]/(x^2 - y^3)$ . Prove that  $K = k(t)$  is the fraction field of  $R$  and  $k[t]$  is the integral closure of  $R$  in  $K$ .
3. Suppose  $k$  is a field and  $i$  and  $j$  are relatively prime positive integers. Find the normalization of the integral domain  $R = k[x, y]/(x^i - y^j)$  (cf. Exercise 14, Section 9.1).
4. Suppose  $k$  is a field and let  $P$  be the ideal  $(y^2 - x^3 - x^2)$  in the polynomial ring  $k[x, y]$ . Prove that  $P$  is a prime ideal and find the normalization of the integral domain  $R = k[x, y]/P$ . [To prove  $P$  is prime, show that  $y^2 - x^3 - x^2$  is irreducible in the U.F.D.  $k[x, y]$ . Then consider  $t = \bar{y}/\bar{x} \in R$ .]
5. If  $R$  is an integral domain with field of fractions  $F$ , show that  $F$  is a finitely generated  $R$ -module if and only if  $R = F$ .
6. For each of the following give specific rings  $R \subseteq S$  and explicit ideals in these rings that exhibit the specified relation:
  - (a) an ideal  $I$  of  $R$  such that  $I \neq SI \cap R$  (so the contraction of the extension of an ideal  $I$  need not equal  $I$ )
  - (b) a prime ideal  $P$  of  $R$  such that there is no prime ideal  $Q$  of  $S$  with  $P = Q \cap R$
  - (c) a maximal ideal  $M$  of  $S$  such that  $M \cap R$  is not maximal in  $R$
  - (d) a prime ideal  $P$  of  $R$  whose extension  $PS$  to  $S$  is not a prime ideal in  $S$
  - (e) an ideal  $J$  of  $S$  such that  $J \neq (J \cap R)S$  (so the extension of the contraction of an ideal  $J$  need not equal  $J$ ).
7. Let  $\mathcal{O}_K$  be the ring of integers in a number field  $K$ .
  - (a) Suppose that every nonzero ideal  $I$  of  $\mathcal{O}_K$  can be written as the product of powers of prime ideals. Prove that an ideal  $Q$  of  $\mathcal{O}_K$  is  $P$ -primary if and only if  $Q = P^m$  for some  $m \geq 1$ . [Show first that since nonzero primes in  $\mathcal{O}_K$  are maximal that  $P_1^{m_1} \subseteq P_2^{m_2}$  for distinct nonzero primes  $P_1, P_2$  implies  $P_1 = P_2$ .]
  - (b) Suppose that an ideal  $Q$  of  $\mathcal{O}_K$  is  $P$ -primary if and only if  $Q = P^m$  for some  $m \geq 1$ . Assuming all of Theorem 21, prove that every nonzero ideal  $I$  of  $\mathcal{O}_K$  can be written uniquely as the product of powers of prime ideals. [Prove that  $P_1^{m_1}$  and  $P_2^{m_2}$  are comaximal ideals if  $P_1$  and  $P_2$  are distinct nonzero prime ideals and use the Chinese Remainder Theorem.]
8. Prove that if  $s_1, \dots, s_n \in S$  are integral over  $R$ , then the ring  $R[s_1, \dots, s_n]$  is a finitely generated  $R$ -module.
9. Suppose that  $S$  is integral over  $R$  and that  $P$  is a prime ideal in  $R$ . Prove that every element  $s$  in the ideal  $PS$  generated by  $P$  in  $S$  satisfies an equation  $s^n + a_{n-1}s^{n-1} + \dots + a_1s + a_0 = 0$  where the coefficients  $a_0, a_1, \dots, a_{n-1}$  are elements of  $P$ . [If  $s = p_1s_1 + \dots + p_ms_m \in PS$ , show that  $T = R[s_1, \dots, s_m]$  satisfies the hypotheses in Proposition 23(3). Follow the proof in Proposition 23 that  $s$  is integral, noting that  $s \in PT$  so that the  $a_{ij}$  are elements of  $P$ .]
10. Prove the following generalization of Proposition 28: Suppose  $R$  is an integrally closed integral domain with field of fractions  $k$  and  $\alpha$  is an element of an extension field  $K$  of  $k$ . Show that  $\alpha$  is integral over  $R$  if and only if  $\alpha$  is algebraic over  $k$  and the minimal polynomial  $m_{\alpha, k}(x)$  for  $\alpha$  over  $k$  has coefficients in  $R$ . [If  $\alpha$  is integral prove the conjugates

of  $\alpha$ , i.e., the roots of  $m_{\alpha,k}(x)$ , are also integral, so the elementary symmetric functions of the conjugates are elements of  $k$  that are integral over  $R$ .]

11. Suppose  $R$  is an integrally closed integral domain with field of fractions  $k$  and  $p(x) \in R[x]$  is a monic polynomial. Show that if  $p(x) = a(x)b(x)$  with monic polynomials  $a(x), b(x) \in k[x]$  then  $a(x), b(x) \in R[x]$  (compare to Gauss' Lemma, Proposition 5, Section 9.3). [See the previous exercise.]
12. Suppose  $S$  is an integral domain that is integral over a ring  $R$  as in the previous exercise. If  $P$  is a prime ideal in  $R$ , let  $s$  be any element in the ideal  $PS$  generated by  $P$  in  $S$ . Prove that, with the exception of the leading term, the coefficients of the minimal polynomial  $m_{s,k}(x)$  for  $s$  over  $k$  are elements of  $P$ . [By Exercise 10,  $m_{s,k}(x) \in R[x]$ . Exercise 9 shows that  $s$  is a root of a monic polynomial  $p(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0$  with  $a_0, \dots, a_{n-1} \in P$ . Use the previous exercise to show that  $p(x) = m_{s,k}(x)b(x)$  with  $b(x)$  in  $R[x]$ , and consider this equation in the integral domain  $(R/P)[x]$ . ]

The next two exercises extend Exercise 6 in Section 7.5 by characterizing fields that are not fields of fractions of any of their proper subrings.

13. Let  $K$  be a field of characteristic 0 and let  $A$  be a subring of  $K$  maximal with respect to  $1/2 \notin A$ . (Such  $A$  exists by Zorn's Lemma.) Let  $F$  be the field of fractions of  $A$  in  $K$ .
  - (a) Show that  $K$  is algebraic over  $F$ . [If  $t$  is transcendental over  $F$ , show that  $1/2 \notin A[t]$ .]
  - (b) Show that  $A$  is integrally closed in  $K$ . [Show that  $1/2$  is not in the integral closure of  $A$  in  $K$ .]
  - (c) Deduce from (a) and (b) that  $K = F$ .
14. Show that a field  $K$  is the field of fractions of some proper subring of  $K$  if and only if  $K$  is not a subfield of the algebraic closure of a finite field. [If  $K$  contains  $t$  transcendental over  $\mathbb{F}_p$ , argue as in the preceding exercise with  $1/t$  in place of  $1/2$  to show that  $K$  is the quotient field of some proper subring.]

The next exercise gives a “geometric” interpretation of Noether’s Normalization Lemma, showing that every affine algebraic set is a *finite covering* of some affine  $n$ -space.

15. Let  $V$  be an affine algebraic set over an algebraically closed field  $k$ . Prove that for some  $n$  there is a surjective morphism from  $V$  onto  $\mathbb{A}^n$  with finite fibers, and that if  $V$  is a variety, then  $n$  can be taken to be the dimension of  $V$ . [By Noether’s Normalization Lemma the finitely generated  $k$ -algebra  $S = k[V]$  contains a polynomial subalgebra  $R = k[x_1, x_2, \dots, x_n]$  such that  $S$  is integral over  $R$ . Apply Theorem 6 to the inclusion of  $R$  in  $S$  to obtain a morphism  $\varphi$  from  $V$  to  $\mathbb{A}^n$ . To see that  $\varphi$  is surjective with finite fibers, apply Corollary 27 to the maximal ideal  $(x_1 - a_1, \dots, x_n - a_n)$  of  $R$  corresponding to a point  $(a_1, \dots, a_n)$  of  $\mathbb{A}^n$ .]
16. Let  $V$  be an affine algebraic set in  $\mathbb{C}^n$ . Prove that  $V$  is compact in the Euclidean topology (i.e., closed and bounded) if and only if it is finite. [Use Exercise 18 in Section 2, the previous exercise, and the behavior of compact sets with respect to continuous functions.]
17. Let  $R$  be a subring of the commutative ring  $S$  with  $1_S \in R$  and suppose that  $S$  is integral over  $R$ . This exercise proves that  $R$  and  $S$  have the same *Krull dimension*, cf. Section 16.1.
  - (a) If  $P_1 \subset P_2 \subset \dots \subset P_n$  is a chain of distinct prime ideals in  $R$  prove that there is a chain  $Q_1 \subset Q_2 \subset \dots \subset Q_n$  of distinct prime ideals in  $S$  with  $Q_i \cap R = P_i$ .
  - (b) Prove conversely that if  $Q_1 \subset Q_2 \subset \dots \subset Q_n$  is a chain of distinct prime ideals in  $S$  and  $P_i = Q_i \cap R$  then  $P_1 \subset P_2 \subset \dots \subset P_n$  is a chain of distinct prime ideals in  $R$ . [To prove the  $P_i$  are distinct, pass to a quotient and reduce the problem to showing that if  $Q$  is a nonzero prime ideal in the integral domain  $S$  then  $Q \cap R$  is a nonzero prime]

ideal in  $R$ . In this case, if  $s \in Q$  is nonzero, show that the constant coefficient of a polynomial of minimal degree in  $R[x]$  satisfied by  $s$  is a nonzero element in  $Q \cap R$ .]

18. Let  $V = \mathcal{Z}(I)$  and  $W = \mathcal{Z}(J)$  where  $I$  is the ideal  $(uv + v) \subset \mathbb{C}[u, v]$  and  $J$  is the ideal  $(-2y - y^2 + 2z + z^2, 2x - yz - z^2) \subset \mathbb{C}[x, y, z]$ .
  - (a) Show that  $I$  and  $J$  are prime ideals. Conclude that  $I = \mathcal{I}(V)$  and  $J = \mathcal{I}(W)$  and that  $V$  and  $W$  are varieties.
  - (b) Show that the map  $\varphi : V \rightarrow W$  defined by  $\varphi((a_1, a_2)) = (a_1^2 + a_2, a_1 + a_2, a_1 - a_2)$  is an isomorphism.
19. Let  $I = (x^3 + y^3 + z^3, x^2 + y^2 + z^2, (x + y + z)^3) \subset k[x, y, z]$ . Use Gröbner bases to show that  $x, y, z \in \text{rad } I$  if  $\text{ch}(k) \neq 2, 3$ .
20. Let  $I = (x^3 + y^3 + z^3, xy + xz + yz, xyz) \subset k[x, y, z]$ . Use Gröbner bases to show that  $x, y, z \in \text{rad } I$ .
21. Let  $I = (x^4 + y^4 + z^4, x + y + z) \subset k[x, y, z]$ .
  - (a) Use Gröbner bases to show that  $xy + xz + yz \in \text{rad } I$  if  $\text{ch}(k) \neq 2$  and determine the smallest power of  $xy + xz + yz$  contained in  $I$ . Show that none of  $x, y$  or  $z$  is contained in  $\text{rad } I$ .
  - (b) If  $J = (x^4 + y^4 + z^4, x + y + z, xy + xz + yz)$  show that the reduced Gröbner basis of  $J$  relative to the lexicographic ordering  $x > y > z$  is  $\{x + y + z, y^2 + yz + z^2\}$ . Deduce that  $k[x, y, z]/J \cong k[y, z]/(y^2 + yz + z^2)$  and that  $J$  is radical if  $\text{ch}(k) \neq 3$ .
  - (c) If  $\text{ch}(k) \neq 2, 3$ , show that  $\text{rad } I = J$ .
  - (d) If  $\text{ch}(k) = 3$ , show that  $\text{rad } I = (x - y, y - z)$ .
  - (e) If  $\text{ch}(k) = 2$ , show that  $I = (x + y + z)$  is a prime, hence radical, ideal.
22. Let  $I = (x^2y + z^3, x + y^3 - z, 2y^4z - yz^2 - z^3) \subset k[x, y, z]$ . Use Gröbner bases to show that  $x, y, z \in \text{rad } I$  and conclude that  $\text{rad } I = (x, y, z)$ . Show that  $x^9, y^7, z^9$  are the smallest powers of  $x, y, z$ , respectively, lying in  $I$ .
23. Let  $V = \mathcal{Z}(x^3 - x^2z - y^2z)$  and  $W = \mathcal{Z}(x^2 + y^2 - z^2)$  in  $\mathbb{C}^3$ . Show that  $\mathcal{I}(V) = (x^3 - x^2z - y^2z)$  and  $\mathcal{I}(W) = (x^2 + y^2 - z^2)$  in  $\mathbb{C}[x, y, z]$ .
24. Let  $V = \mathcal{Z}(x^3 + y^3 + 7z^3) \subset \mathbb{C}^3$ . Show that  $\mathcal{I}(V) = (x^3 + y^3 + 7z^3)$  in  $\mathbb{C}[x, y, z]$ .
25. Let  $I = (xz + y^2 + z^2, xy - xz + yz - 2z^2)$  and let  $K = I + (x^2 - 3y^2 + yz) \subset \mathbb{C}[x, y, z]$ .
  - (a) By Exercise 46 in Section 1, there is an injective  $\mathbb{C}$ -algebra homomorphism from  $\mathbb{C}[x, y, z]/K$  to  $\mathbb{C}[u, v]/(u^3 - uv^2 + v^3)$ . Use this together with the example preceding Proposition 34 to prove that  $K$  is a radical ideal and deduce that  $\text{rad } I \subseteq K$ .
  - (b) Show that  $\text{rad } I \subseteq (y, z)$ .
  - (c) Show that  $K \cap (y, z) = I$  and deduce that  $I$  is radical, so that  $\mathcal{I}(V) = I$  if  $V = \mathcal{Z}(I)$ .
  - (d) Show that  $y(x^2 - 3y^2 + yz)$  and  $z(x^2 - 3y^2 + yz)$  are elements of  $I$  but none of  $y, z$ , or  $x^2 - 3y^2 + yz$  is contained in  $I$ .
26. Let  $I$  be an ideal in  $k[x_1, \dots, x_n]$ . Prove that the following are equivalent (an ideal satisfying any of these conditions is called a *zero-dimensional ideal* because of (d)):
  - (a) The quotient  $k[x_1, \dots, x_n]/I$  has finite dimension as a vector space over  $k$ .
  - (b)  $I \cap k[x_i] \neq 0$  for each  $i = 1, 2, \dots, n$ .
  - (c) If  $G$  is any reduced Gröbner basis for  $I$  then for each  $i = 1, \dots, n$ , there is a  $g_i \in G$  with leading term  $x_i^{n_i}$  for some  $n_i \geq 1$ .
  - (d) The set of common zeros  $\mathcal{Z}_{\bar{k}}(I)$  of the polynomials in  $I$  in an algebraic closure  $\bar{k}$  of  $k$  is finite.

[For (a) implies (b) use the injection  $k[x_i]/(I \cap k[x_i]) \hookrightarrow k[x_1, \dots, x_n]/I$ . For (b) implies (c) note some  $LT(g_i)$  divides the leading term of a generator for  $I \cap k[x_i]$ . For (c) implies (a)]