

**Example 2.** Let the  $v_i$  be as in Example 1, and take  $V = 24$ . Then, working from right to left in our 5-tuple  $\{2, 3, 7, 15, 31\}$ , we see that  $\epsilon_4 = 0$ ,  $\epsilon_3 = 1$  (at which point we replace 24 by  $24 - 15 = 9$ ),  $\epsilon_2 = 1$  (at which point we replace 9 by  $9 - 7 = 2$ ),  $\epsilon_1 = 0$ ,  $\epsilon_0 = 1$ . Thus,  $n = (01101)_2 = 13$ .

We now describe how to construct the knapsack cryptosystem (also called the Merkle–Hellman system). We first suppose that our plaintext message units have  $k$ -bit integers  $P$  as their numerical equivalents. For example, if we're working with single letters in the 26-letter alphabet, then every letter corresponds to one of the 5-bit integers from  $0 = (00000)_2$  to  $25 = (11001)_2$  in the usual way.

Next, each user chooses a superincreasing  $k$ -tuple  $\{v_0, \dots, v_{k-1}\}$ , an integer  $m$  which is greater than  $\sum_{i=0}^{k-1} v_i$ , and an integer  $a$  prime to  $m$ ,  $0 < a < m$ . This is done by some random process. For example, we could choose an arbitrary sequence of  $k + 1$  positive integers  $z_i$ ,  $i = 0, 1, \dots, k$ , less than some convenient bound; set  $v_0 = z_0$ ,  $v_i = z_i + v_{i-1} + v_{i-2} + \dots + v_0$  for  $i = 1, \dots, k - 1$ ; and set  $m$  equal to  $z_k + \sum_{i=0}^{k-1} v_i$ . Then one can choose a random positive  $a_0 < m$  and take  $a$  to be the first integer  $\geq a_0$  that is prime to  $m$ . After that, one computes  $b = a^{-1} \bmod m$  (i.e.,  $b$  is the least positive integer such that  $ab \equiv 1 \bmod m$ ), and also computes the  $k$ -tuple  $\{w_i\}$  defined by  $w_i = av_i \bmod m$  (i.e.,  $w_i$  is the least positive residue of  $av_i$  modulo  $m$ ). The user keeps the numbers  $v_i$ ,  $m$ ,  $a$ , and  $b$  all secret, but publishes the  $k$ -tuple of  $w_i$ . That is, the enciphering key is  $K_E = \{w_0, \dots, w_{k-1}\}$ . The deciphering key is  $K_D = (b, m)$  (which, along with the enciphering key, enables one to determine  $\{v_0, \dots, v_{k-1}\}$ ).

Someone who wants to send a plaintext  $k$ -bit message  $P = (\epsilon_{k-1} \epsilon_{k-2} \dots \epsilon_1 \epsilon_0)_2$  to a user with enciphering key  $\{w_i\}$  computes  $C = f(P) = \sum_{i=0}^{k-1} \epsilon_i w_i$ , and transmits that integer.

To read the message, the user first finds the least positive residue  $V$  of  $bC$  modulo  $m$ . Since  $bC \equiv \sum \epsilon_i bw_i \equiv \sum \epsilon_i v_i \bmod m$  (because  $bw_i \equiv bav_i \equiv v_i \bmod m$ ), it follows that  $V = \sum \epsilon_i v_i$ . (Here we are using the fact that both  $V < m$  and  $\sum \epsilon_i v_i \leq \sum v_i < m$  to convert the congruence modulo  $m$  to equality.) It is then possible to use the above algorithm for superincreasing knapsack problems to find the unique solution  $(\epsilon_{k-1} \dots \epsilon_0)_2 = P$  of the problem of finding a subset of the  $\{v_i\}$  which sums exactly to  $V$ . In this way we recover the message  $P$ .

Note that an eavesdropper who knows only  $\{w_i\}$  is faced with the knapsack problem  $C = \sum \epsilon_i w_i$ , which is *not* a superincreasing problem, because the superincreasing property of the  $k$ -tuple of  $v_i$  is destroyed when  $v_i$  is replaced by the least positive residue of  $av_i$  modulo  $m$ . Thus, the above algorithm cannot be used, and, at first glance, the unauthorized person seems to be faced with a much more difficult problem. We shall return to this point later.

**Example 3.** Suppose that our plaintext message units are single letters with 5-bit numerical equivalents from  $(00000)_2$  to  $(11001)_2$ , as above. Suppose that our secret deciphering key is the superincreasing 5-tuple