

We emphasize that an abelian group  $M$  may have many different  $R$ -module structures, even if the ring  $R$  does not vary (in the same way that a given group  $G$  may act in many ways as a permutation group on some fixed set  $\Omega$ ). We shall see that the structure of an  $R$ -module is reflected by the ideal structure of  $R$ . When  $R$  is a field (the subject of the next chapter) all  $R$ -modules will be seen to be products of copies of  $R$  (as in Example 3 above).

We shall see in Chapter 12 that the relatively simple ideal structure of the ring  $F[x]$  (recall that  $F[x]$  is a Principal Ideal Domain) forces the  $F[x]$ -module structure of  $V$  to be correspondingly uncomplicated, and this in turn provides a great deal of information about the linear transformation  $T$  (in particular, gives some nice matrix representations for  $T$ : its *rational canonical form* and its *Jordan canonical form*). Moreover, the same arguments which *classify* finitely generated  $F[x]$ -modules apply to any Principal Ideal Domain  $R$ , and when these are invoked for  $R = \mathbb{Z}$ , we obtain the Fundamental Theorem of Finitely Generated Abelian Groups. These results generalize the theorem that every finite dimensional vector space has a basis.

In Part VI of the book we shall study modules over certain noncommutative rings (group rings) and see that this theory in some sense generalizes both the study of  $F[x]$ -modules in Chapter 12 and the notion of a permutation representation of a finite group.

We establish a submodule criterion analogous to that for subgroups of a group in Section 2.1.

**Proposition 1.** (*The Submodule Criterion*) Let  $R$  be a ring and let  $M$  be an  $R$ -module. A subset  $N$  of  $M$  is a submodule of  $M$  if and only if

- (1)  $N \neq \emptyset$ , and
- (2)  $x + ry \in N$  for all  $r \in R$  and for all  $x, y \in N$ .

*Proof:* If  $N$  is a submodule, then  $0 \in N$  so  $N \neq \emptyset$ . Also  $N$  is closed under addition and is sent to itself under the action of elements of  $R$ . Conversely, suppose (1) and (2) hold. Let  $r = -1$  and apply the subgroup criterion (in additive form) to see that  $N$  is a subgroup of  $M$ . In particular,  $0 \in N$ . Now let  $x = 0$  and apply hypothesis (2) to see that  $N$  is sent to itself under the action of  $R$ . This establishes the proposition.

We end this section with an important definition and some examples.

**Definition.** Let  $R$  be a commutative ring with identity. An  $R$ -algebra is a ring  $A$  with identity together with a ring homomorphism  $f : R \rightarrow A$  mapping  $1_R$  to  $1_A$  such that the subring  $f(R)$  of  $A$  is contained in the center of  $A$ .

If  $A$  is an  $R$ -algebra then it is easy to check that  $A$  has a natural left and right (unital)  $R$ -module structure defined by  $r \cdot a = a \cdot r = f(r)a$  where  $f(r)a$  is just the multiplication in the ring  $A$  (and this is the same as  $af(r)$  since by assumption  $f(r)$  lies in the center of  $A$ ). In general it is possible for an  $R$ -algebra  $A$  to have other left (or right)  $R$ -module structures, but unless otherwise stated, this natural module structure on an algebra will be assumed.

**Definition.** If  $A$  and  $B$  are two  $R$ -algebras, an  $R$ -algebra homomorphism (or isomorphism) is a ring homomorphism (isomorphism, respectively)  $\varphi : A \rightarrow B$  mapping  $1_A$  to  $1_B$  such that  $\varphi(r \cdot a) = r \cdot \varphi(a)$  for all  $r \in R$  and  $a \in A$ .

## Examples

Let  $R$  be a commutative ring with 1.

- (1) Any ring with identity is a  $\mathbb{Z}$ -algebra.
- (2) For any ring  $A$  with identity, if  $R$  is a subring of the center of  $A$  containing the identity of  $A$  then  $A$  is an  $R$ -algebra. In particular, a commutative ring  $A$  containing 1 is an  $R$ -algebra for any subring  $R$  of  $A$  containing 1. For example, the polynomial ring  $R[x]$  is an  $R$ -algebra, the polynomial ring over  $R$  in any number of variables is an  $R$ -algebra, and the group ring  $RG$  for a finite group  $G$  is an  $R$ -algebra (cf. Section 7.2).
- (3) If  $A$  is an  $R$ -algebra then the  $R$ -module structure of  $A$  depends only on the subring  $f(R)$  contained in the center of  $A$  as in the previous example. If we replace  $R$  by its image  $f(R)$  we see that “up to a ring homomorphism” every algebra  $A$  arises from a subring of the center of  $A$  that contains  $1_A$ .
- (4) A special case of the previous example occurs when  $R = F$  is a field. In this case  $F$  is isomorphic to its image under  $f$ , so we can identify  $F$  itself as a subring of  $A$ . Hence, saying that  $A$  is an algebra over a field  $F$  is the same as saying that the ring  $A$  contains the field  $F$  in its center and the identity of  $A$  and of  $F$  are the same (this last condition is necessary, cf. Exercise 23).

Suppose that  $A$  is an  $R$ -algebra. Then  $A$  is a ring with identity that is a (unital) left  $R$ -module satisfying  $r \cdot (ab) = (r \cdot a)b = a(r \cdot b)$  for all  $r \in R$  and  $a, b \in A$  (these are all equal to the product  $f(r)ab$  in the ring  $A$ —recall that  $f(R)$  is contained in the center of  $A$ ). Conversely, these conditions on a ring  $A$  define an  $R$ -algebra, and are sometimes used as the definition of an  $R$ -algebra (cf. Exercise 22).

## EXERCISES

In these exercises  $R$  is a ring with 1 and  $M$  is a left  $R$ -module.

1. Prove that  $0m = 0$  and  $(-1)m = -m$  for all  $m \in M$ .
2. Prove that  $R^\times$  and  $M$  satisfy the two axioms in Section 1.7 for a group action of the multiplicative group  $R^\times$  on the set  $M$ .
3. Assume that  $rm = 0$  for some  $r \in R$  and some  $m \in M$  with  $m \neq 0$ . Prove that  $r$  does not have a left inverse (i.e., there is no  $s \in R$  such that  $sr = 1$ ).
4. Let  $M$  be the module  $R^n$  described in Example 3 and let  $I_1, I_2, \dots, I_n$  be left ideals of  $R$ . Prove that the following are submodules of  $M$ :
  - (a)  $\{(x_1, x_2, \dots, x_n) \mid x_i \in I_i\}$
  - (b)  $\{(x_1, x_2, \dots, x_n) \mid x_i \in R \text{ and } x_1 + x_2 + \dots + x_n = 0\}$ .
5. For any left ideal  $I$  of  $R$  define

$$IM = \left\{ \sum_{\text{finite}} a_i m_i \mid a_i \in I, m_i \in M \right\}$$

to be the collection of all finite sums of elements of the form  $am$  where  $a \in I$  and  $m \in M$ . Prove that  $IM$  is a submodule of  $M$ .

6. Show that the intersection of any nonempty collection of submodules of an  $R$ -module is a submodule.

7. Let  $N_1 \subseteq N_2 \subseteq \cdots$  be an ascending chain of submodules of  $M$ . Prove that  $\bigcup_{i=1}^{\infty} N_i$  is a submodule of  $M$ .
8. An element  $m$  of the  $R$ -module  $M$  is called a *torsion element* if  $rm = 0$  for some nonzero element  $r \in R$ . The set of torsion elements is denoted
 
$$\text{Tor}(M) = \{m \in M \mid rm = 0 \text{ for some nonzero } r \in R\}.$$
  - (a) Prove that if  $R$  is an integral domain then  $\text{Tor}(M)$  is a submodule of  $M$  (called the *torsion submodule* of  $M$ ).
  - (b) Give an example of a ring  $R$  and an  $R$ -module  $M$  such that  $\text{Tor}(M)$  is not a submodule. [Consider the torsion elements in the  $R$ -module  $R$ .]
  - (c) If  $R$  has zero divisors show that every nonzero  $R$ -module has nonzero torsion elements.
9. If  $N$  is a submodule of  $M$ , the *annihilator of  $N$  in  $R$*  is defined to be  $\{r \in R \mid rn = 0 \text{ for all } n \in N\}$ . Prove that the annihilator of  $N$  in  $R$  is a 2-sided ideal of  $R$ .
10. If  $I$  is a right ideal of  $R$ , the *annihilator of  $I$  in  $M$*  is defined to be  $\{m \in M \mid am = 0 \text{ for all } a \in I\}$ . Prove that the annihilator of  $I$  in  $M$  is a submodule of  $M$ .
11. Let  $M$  be the abelian group (i.e.,  $\mathbb{Z}$ -module)  $\mathbb{Z}/24\mathbb{Z} \times \mathbb{Z}/15\mathbb{Z} \times \mathbb{Z}/50\mathbb{Z}$ .
  - (a) Find the annihilator of  $M$  in  $\mathbb{Z}$  (i.e., a generator for this principal ideal).
  - (b) Let  $I = 2\mathbb{Z}$ . Describe the annihilator of  $I$  in  $M$  as a direct product of cyclic groups.
12. In the notation of the preceding exercises prove the following facts about annihilators.
  - (a) Let  $N$  be a submodule of  $M$  and let  $I$  be its annihilator in  $R$ . Prove that the annihilator of  $I$  in  $M$  contains  $N$ . Give an example where the annihilator of  $I$  in  $M$  does not equal  $N$ .
  - (b) Let  $I$  be a right ideal of  $R$  and let  $N$  be its annihilator in  $M$ . Prove that the annihilator of  $N$  in  $R$  contains  $I$ . Give an example where the annihilator of  $N$  in  $R$  does not equal  $I$ .
13. Let  $I$  be an ideal of  $R$ . Let  $M'$  be the subset of elements  $a$  of  $M$  that are annihilated by some power,  $I^k$ , of the ideal  $I$ , where the power may depend on  $a$ . Prove that  $M'$  is a submodule of  $M$ . [Use Exercise 7.]
14. Let  $z$  be an element of the center of  $R$ , i.e.,  $zr = rz$  for all  $r \in R$ . Prove that  $zM$  is a submodule of  $M$ , where  $zM = \{zm \mid m \in M\}$ . Show that if  $R$  is the ring of  $2 \times 2$  matrices over a field and  $e$  is the matrix with a 1 in position 1,1 and zeros elsewhere then  $eR$  is *not* a left  $R$ -submodule (where  $M = R$  is considered as a left  $R$ -module as in Example 1) — in this case the matrix  $e$  is not in the center of  $R$ .
15. If  $M$  is a finite abelian group then  $M$  is naturally a  $\mathbb{Z}$ -module. Can this action be extended to make  $M$  into a  $\mathbb{Q}$ -module?
16. Prove that the submodules  $U_k$  described in the example of  $F[x]$ -modules are all of the  $F[x]$ -submodules for the shift operator.
17. Let  $T$  be the shift operator on the vector space  $V$  and let  $e_1, \dots, e_n$  be the usual basis vectors described in the example of  $F[x]$ -modules. If  $m \geq n$  find  $(a_m x^m + a_{m-1} x^{m-1} + \cdots + a_0) e_n$ .
18. Let  $F = \mathbb{R}$ , let  $V = \mathbb{R}^2$  and let  $T$  be the linear transformation from  $V$  to  $V$  which is rotation clockwise about the origin by  $\pi/2$  radians. Show that  $V$  and 0 are the only  $F[x]$ -submodules for this  $T$ .
19. Let  $F = \mathbb{R}$ , let  $V = \mathbb{R}^2$  and let  $T$  be the linear transformation from  $V$  to  $V$  which is projection onto the  $y$ -axis. Show that  $V$ , 0, the  $x$ -axis and the  $y$ -axis are the only  $F[x]$ -submodules for this  $T$ .
20. Let  $F = \mathbb{R}$ , let  $V = \mathbb{R}^2$  and let  $T$  be the linear transformation from  $V$  to  $V$  which is rotation clockwise about the origin by  $\pi$  radians. Show that *every* subspace of  $V$  is an