

# The Emotional Path to Action: Empathy Promotes Physical Distancing and Wearing of Face Masks During the COVID-19 Pandemic



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Psychological Science  
2020, Vol. 31(11) 1363–1373  
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DOI: 10.1177/0956797620964422  
www.psychologicalscience.org/PS

## Abstract

The COVID-19 pandemic presents a major challenge to societies all over the globe. Two measures implemented in many countries to curb the spread of the disease are (a) minimizing close contact between people (“physical distancing”) and (b) wearing of face masks. In the present research, we tested the idea that physical distancing and wearing of face masks can be the result of a prosocial emotional process—empathy for people most vulnerable to the virus. In four preregistered studies ( $N = 3,718$ , Western population), we found that (a) empathy indeed relates to the motivation to adhere to physical distancing and to wearing face masks and (b) inducing empathy for people most vulnerable to the virus promotes the motivation to adhere to these measures (whereas merely providing information about the importance of the measures does not). In sum, the present research provides a better understanding of the factors underlying the willingness to follow two important measures during the COVID-19 pandemic.

## Keywords

COVID-19, empathy, face masks, physical distancing, prosociality, open data, open materials, preregistered

Received 4/15/20; Revision accepted 9/16/20

The COVID-19 pandemic presents a unique challenge to societies all over the globe. In recent history, most major societal crises (e.g., the financial crisis of 2008) could be successfully addressed through political interventions. At these times, citizens were guided to essentially “keep calm and carry on.” An epidemic crisis, however, is different. Changes in the core social habits of citizens are necessary to hinder an accelerating growth in infections, and these behavioral changes need to happen exceptionally fast. In the present research, we examined the psychological motivation behind two behavioral measures implemented during the COVID-19 pandemic: physical distancing (i.e., the minimization of close contact with others) and the wearing of face masks.<sup>1</sup> Both physical distancing and wearing of face masks reduce the probability that an

infected person spreads the virus SARS-CoV-2 to those not infected (Dehning et al., 2020; Feng et al., 2020; Leung et al., 2020; Zhang, Jiang, Yuan, & Tao, 2020). Hence, both measures are intended to reduce the excessive demands on health systems and thus allow effective treatment of the most vulnerable (Emanuel et al., 2020).

Physical distancing is recommended and promoted by national and international institutions (Centers for Disease Control and Prevention, 2020; World Health Organization, 2020) as well as national governments

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around the globe. To enforce physical distancing, many health institutions and authorities have opted for a combination of encouragement and the implementation of strong regulations such as limiting social life in public spaces or enforcing strict guidelines for the operation of public institutions and private companies. Policy implementations regarding face masks have differed across countries and over the course of the pandemic. Whereas in mid-March 2020 only about 10 countries recommended wearing masks, by late July 2020, the majority of countries around the world required wearing masks, at least in certain public places (#Masks4all, 2020).

To understand psychological motivations related to these behaviors, it is important to note that both come at considerable personal cost. In fact, physical distancing implies reducing social contact with valued close others. At the same time, however, practicing physical distancing not only protects oneself but also has clear prosocial aspects in the sense that it helps to protect other individuals, especially those most vulnerable to the virus. A similar reasoning applies to wearing face masks. Personal costs are present when one wears a face mask because they alter one's appearance and breathing, and some people find it strange (initially) to wear a face mask in public (Carbon, 2020; Capraro & Barcelo, 2020). It is important to note that simple cloth (non-surgical grade) face masks are likely to have a greater effect on protecting other individuals from virus infection than on protecting the wearer, because they retain most of the respiratory droplets released from the wearer (V. C. Cheng, Wong, et al., 2020; Greenhalgh, Schmid, Czypionka, Bassler, & Gruer, 2020). From this perspective, wearing a face mask is clearly a prosocial act (K. K. Cheng, Lam, & Leung, 2020).

Building on these notions, we tested the idea that physical distancing and wearing face masks can reflect and can be encouraged through the activation of a prosocial emotional process—empathy for people most vulnerable to the virus (Batson, 2011). In four studies that included samples from the United States (US), the United Kingdom (UK), and Germany, we tested (a) whether empathy is related to the motivation for physical distancing and (b) whether inducing empathy for people most vulnerable to the virus causally increases the motivation to adhere to physical distancing and to wearing a face mask. In this way, the present studies identified the emotional basis for the motivation to adhere to crucial measures to fight the COVID-19 pandemic. Even more importantly, the present research provides direct evidence for policymakers on how to tailor their communication to achieve greater public compliance—and ultimately to save lives.

### Statement of Relevance

Protective measures against COVID-19 infection include minimizing close contact between people (“physical distancing”) and wearing a face mask to slow down the spread of the disease. In this article, we show that physical distancing and wearing a face mask are related to people's empathy and can be promoted by inducing empathy for people most vulnerable to the virus. The present studies thus highlight one important tool for policymakers in promoting two important measures toward slowing the spread of the COVID-19 pandemic.

### Empathy, Prosociality, and Health Behaviors

Empathy is an umbrella term capturing the range of a person's responses to another individual's experience (Hodges & Myers, 2007). Past research has convincingly demonstrated the beneficial consequences of both affective as well as cognitive empathy for the welfare of others. Specifically, *cognitive empathy* (i.e., taking the perspective of others) has been linked to reductions of intergroup conflicts and prejudice, whereas *affective empathy* (i.e., a concern for and an understanding of vulnerable others) has been shown to promote altruism and caring (Batson, Duncan, Ackerman, Buckley, & Birch, 1981; Sassenrath, Diefenbacher, Siegel, & Keller, 2016; Todd & Burgmer, 2013).

Anthropologist Margaret Mead considered caring about vulnerable (harmed) others—who would, as is in the animal kingdom, die without the help of a caring individual—to be the first sign of civilization in a culture (Byock, 2013). In the modern health context, affective empathy in particular has been shown to improve health outcomes. For instance, physicians' affective-empathy levels have been positively associated with reduced metabolic complications and better self-care in diabetic patients (Del Canale et al., 2012; Hojat et al., 2011). Affective empathy has further been shown to promote health-care professionals' adherence to hand-washing compliance to protect other people in hospitals (Grant & Hofmann, 2011; Sassenrath et al., 2016).

Overall, past research suggests that empathy motivates a variety of behavioral outcomes intended to helping and protecting vulnerable others. Here, we tested, first, whether empathy for people most vulnerable to the virus (e.g., the elderly, who are more likely to suffer from severe symptoms and have a higher mortality; CDC COVID-19 Response Team, 2020) is

associated with increased physical distancing (Studies 1 and 2) and, second, whether experimentally induced affective empathy promotes the motivation to adhere to physical distancing (Study 3) and to wear a face mask (Study 4).

## Ethics Statement

All studies were conducted in line with the Declaration of Helsinki and the guidelines of the American Psychological Association. Participants gave informed consent before starting the study. There was no deception of participants. All studies were preregistered. Data and materials for all studies, as well as the preregistrations, are available on OSF (<https://osf.io/pq3ky/>). All results remained robust in terms of significance levels and effect sizes when analyses controlled for participants' gender and age. We applied attention checks to secure high data quality; less than 2.4% of participants failed the attention checks in each study (see <https://osf.io/pq3ky/> for the exact numbers per study). All results held when all participants were included.

## Study 1

### Method

Study 1 consisted of three nonrepresentative samples (each  $n > 300$ ) from Western countries being affected by the COVID-19 pandemic: the US, the UK, and Germany. The sample in the US was collected on March 17, 2020, and the samples in the UK and Germany were collected on March 18, 2020. At that time, none of the countries was completely locked down; in fact, it was possible to still go to bars and shops; home office use was promoted but not required nationwide.<sup>2</sup>

**Participants.** Participants were recruited via the crowdsourcing platform Prolific to complete a short survey on "coronavirus (COVID-19)" in exchange for 0.40£ (~US\$0.47). The size for all samples was based on an a priori power analysis to detect effects ( $r$ ) greater than .20 with high statistical power (power = .95;  $\alpha$  = .05, two-tailed). On the basis of this analysis, we aimed to collect at least 314 participants in each sample. The final samples consisted of 322 participants from the US (45.7% female; age:  $M = 33.33$  years,  $SD = 13.00$ ), 317 from the UK (59.3% female; age:  $M = 38.05$  years,  $SD = 12.20$ ), and 326 from Germany (46.6% female; age:  $M = 29.44$  years,  $SD = 9.31$ ).

**Measures.** We measured affective empathy for people most vulnerable to the virus with three items, adapted from Pfattheicher, Sassenrath, and Keller (2019; in all samples, Cronbach's  $\alpha > .81$ ). The items read, "I am very

concerned about those most vulnerable to coronavirus (COVID-19)," "I feel compassion for those most vulnerable to coronavirus (COVID-19)," and "I am quite moved by what can happen to those most vulnerable to coronavirus (COVID-19)." If not indicated otherwise, labels in the studies always ranged from 1, *strongly disagree*, to 5, *strongly agree*. The items measuring empathy were mixed with three filler items to reduce demand characteristics. We observed relatively high levels of empathy, with some variability (US:  $M = 4.46$ ,  $SD = 0.74$ ; UK:  $M = 4.56$ ,  $SD = 0.61$ ; Germany:  $M = 4.02$ ,  $SD = 0.93$ ).

In each sample of Study 1, individuals indicated their physical-distancing practice via self-report on a 5-point Likert scale (possible range 1–5); the item read, "Because of coronavirus (COVID-19), I am massively curtailing social contact (so-called 'social distancing')." We used the term "social distancing" instead of "physical distancing" because "social distancing" was much more common in the media and news at the time the data were collected. We observed relatively high levels of physical distancing in each country, with some variability (US:  $M = 4.30$ ,  $SD = 0.99$ ; UK:  $M = 4.12$ ,  $SD = 1.01$ ; Germany:  $M = 4.04$ ,  $SD = 1.11$ ).<sup>4</sup>

## Results

In line with the idea that physical distancing is motivated by empathy, our analyses showed in all three countries a positive relation between empathy and physical distancing (US:  $r = .54$ , 95% confidence interval [CI] = [.41, .65],  $p < .001$ ; UK:  $r = .34$ , 95% CI = [.22, .45],  $p < .001$ ; Germany:  $r = .42$ , 95% CI = [.31, .51],  $p < .001$ ; see Fig. 1).

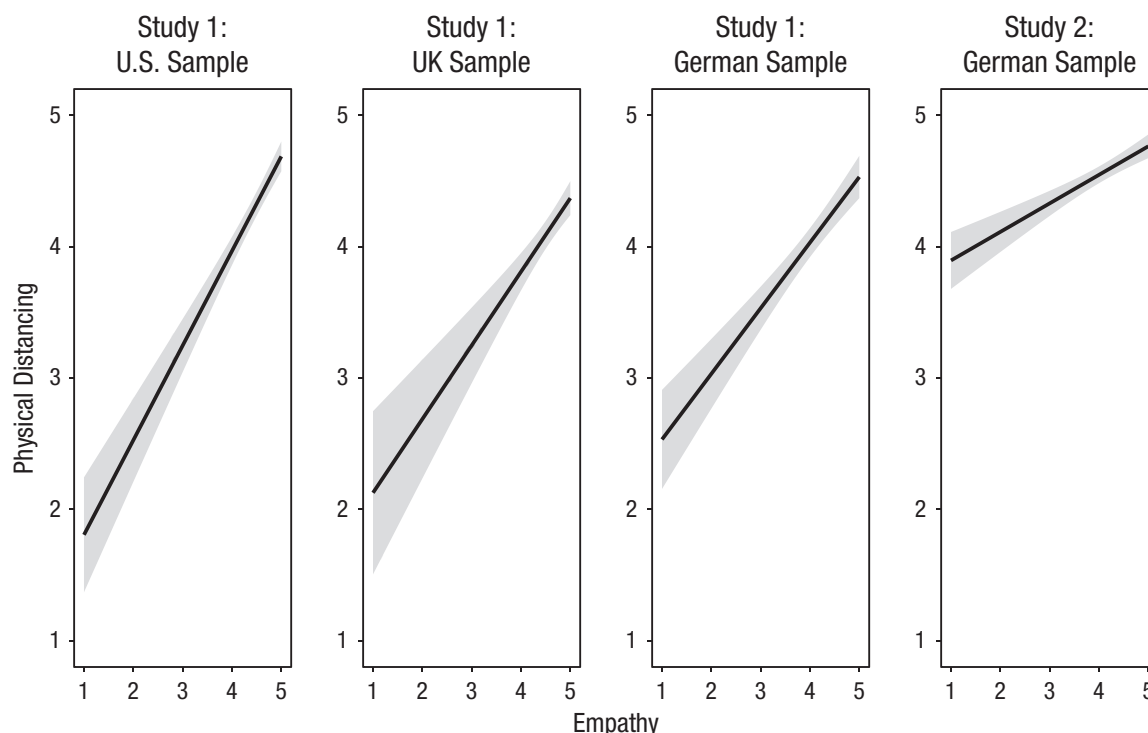
## Study 2

In Study 2, conducted on March 19, 2020, we replicated the findings of Study 1 in a different German sample and assessed physical distancing in a different way.

### Method

**Participants.** Participants were recruited via the crowdsourcing platform Clickworker to complete a short survey on "coronavirus (COVID-19)." The power analysis was the same as for Study 1. We collected data of 359 participants from Germany (48.5% female; age:  $M = 29.75$  years,  $SD = 9.40$ ).

**Measures.** We applied the same measurement of empathy as in Study 1 (possible range 1–5,  $M = 4.05$ ,  $SD = 0.94$ ,  $\alpha = .89$ ) but assessed physical distancing in a more concrete and prospective way (five items; overall  $M = 4.56$ ,  $SD = 0.65$ ,  $\alpha = .76$ ); two sample items read, "In the



**Fig. 1.** Physical distancing as predicted by empathy in the four samples in Studies 1 and 2. The shaded areas represent 95% confidence intervals; the full possible range of both scales is displayed (1–5).

coming days, I will visit elderly people (e.g., parents, grandparents, elderly friends) during my leisure time” and “In the coming days, I will likely be at places where other people will also be (e.g., ice cream parlor, café, church) during my leisure time.” Rating scales ranged from 1, *very unlikely*, to 5, *very likely*. The items were recoded for the analyses of Study 2 so that higher values reflected more physical distancing.

## Results

In Study 2, we also found that empathy relates to physical distancing ( $r = .31$ , 95% CI = [.21, .42],  $p < .001$ ; see Fig. 1), replicating the results of Study 1 (see the Supplementary Material at <https://osf.io/pq3ky/> for a discussion of Studies 1 and 2).

## Study 3

In Study 3, we built on the knowledge gained from Studies 1 and 2 and sought to test whether empathy can be used to promote physical distancing.

## Method

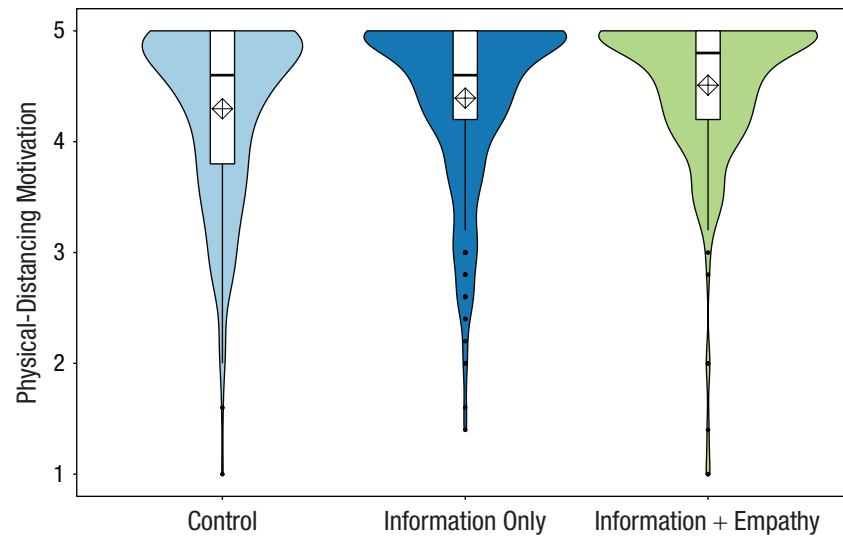
**Participants.** The sample size for Study 3 was based on an a priori power analysis to detect effects ( $f$ s) greater than .13 with high statistical power (power = .90;  $\alpha = .05$ ,

two-tailed). On the basis of this analysis, we aimed to collect data from 800 participants. Study 3 ( $N = 868$ ; 43.8% female; age:  $M = 35.09$  years,  $SD = 12.44$ ) was run in Germany on March 19 and 20, 2020. Participants were recruited as in Study 2 but could not participate in Study 3 if they had already participated in Study 2.

**Experimental conditions.** Each participant was randomly assigned to one of three conditions: the information-only condition ( $n = 305$ ), the information + empathy condition ( $n = 258$ ), or the control condition ( $n = 305$ ). We had a slightly higher dropout rate in the information + empathy condition, possibly because of the additional video that participants had to watch in the information + empathy condition (this issue was absent in Study 4); of note, conditions did not significantly differ in terms of the assessed demographics: gender,  $\chi^2(2, N = 864) = 1.08$ ,  $p = .582$ ; age,  $F(2, 865) = 0.58$ ,  $p = .558$ ,  $\eta^2 = .00$ , 95% CI = [.00, .01]; and household size,  $F(2, 865) = 1.09$ ,  $p = .337$ ,  $\eta^2 = .00$ , 95% CI = [.00, .01] (all tests reported are two-tailed).<sup>5</sup>

Participants in the information-only condition read (translated from German),

At the time of the COVID-19 pandemic: Researchers emphasize that reducing contact between persons is an effective tool for slowing the spreading of the virus. A slowed spread of the virus relieves



**Fig. 2.** Physical-distancing motivation in each condition of Study 3. In each plot, the vertical box indicates the interquartile range from the 25th to the 75th percentile, the horizontal line indicates the median, and the diamond indicates the mean. Whiskers indicate the smallest observations within 1.5 times the interquartile range below the 25th percentile, dots represent observations outside this range, and the shaded areas indicate the density of observations.

pressure from the hospitals. A collapse of hospitals would be particularly dangerous for those most vulnerable in our society, in particular elderly and sick people. Therefore, it is important to reduce contact between persons.

In the information + empathy condition, in addition to the information that was presented in the information-only condition, we showed participants a 1-min video in which a 91-year-old man sadly reports that he stopped visiting his chronically sick wife because of the virus (see <https://osf.io/pq3ky/> for the video). Participants could not proceed with the study before the video ended. The video was pretested in an independent study ( $N = 51$ ; detailed results are available at <https://osf.io/pq3ky/>) and elicited high levels of affective empathy. In the control condition, no information and video were given. The central dependent variable was adherence to physical distancing, which we measured with the same items as in Study 2 ( $\alpha = .76$ ).

## Results

A one-way analysis of variance (ANOVA) showed that the motivation to adhere to physical distancing differed among conditions,  $F(2, 865) = 6.06$ ,  $p = .002$ ,  $\eta^2 = .01$ , 95% CI = [.002, .03] (see Fig. 2). Additional analyses revealed that the motivation to adhere to physical distancing did not significantly increase in the information-only condition (control condition:  $M = 4.30$ ,  $SD = 0.76$ , information-only condition:  $M = 4.39$ ,  $SD = 0.74$ ),  $t(608) = 1.58$ ,  $p =$

.115, Cohen's  $d = 0.13$ , 95% CI = [−0.04, 0.28]. In contrast, the motivation to adhere to physical distancing increased significantly when empathy was induced; the information + empathy condition had a significantly higher mean (4.51,  $SD = 0.66$ ) compared with the control condition,  $t(561) = 3.52$ ,  $p < .001$ , Cohen's  $d = 0.30$ , 95% CI = [0.13, 0.46]. The information + empathy condition and the information-only condition also did differ significantly from each other,  $t(561) = 1.97$ ,  $p = .049$ , Cohen's  $d = 0.17$ , 95% CI = [0.01, 0.33]. In sum, the results of Study 3 suggest that inducing empathy for people most vulnerable to the virus promotes the motivation to adhere to physical distancing. In the next study, we tested the role of empathy in following another important measure: wearing face masks.

## Study 4

The fourth study addressed several limitations of Study 3. First, given that physical distancing protects not only other individuals but also oneself, an alternative explanation of the findings obtained in Studies 1 to 3 is that affective empathy makes one sensitive to one's own vulnerability, which in turn increases physical distancing for egoistic (rather than prosocial) reasons. In fact, the motivation for physical distancing includes concerns for both others and the self (Wise, Zbozinek, Michelini, Hagan, & Mobbs, 2020). Thus, in Study 4, we shifted to a different measure in the context of the COVID-19 pandemic that is clearly other oriented and prosocial—wearing simple cloth (non-surgical grade)



face masks (K. K. Cheng, Lam, & Leung, 2020). At the time of this study, these masks were generally believed to have a greater effect on protecting other individuals from virus infection than on protecting the wearer (V. C. Cheng, Wong, et al., 2020; Greenhalgh et al., 2020). That these face masks protect other people was known by the vast majority of the population from which the sample in Study 4 was recruited (German citizens; Betsch et al., 2020). At the time the study was conducted, only research showing that face masks protect other people was promoted by public health institutions in Germany and covered by mass media, but it was not promoted that face masks have a protective function for the wearer; that is, the prosocial effects of face masks were clearly in focus and highlighted at that time.

Second, to further rule out the alternative explanation that affective empathy makes one sensitive to one's own vulnerability, which in turn promotes one's (egoistic) motivation to adhere to COVID-19 measures, we assessed individuals' objective vulnerability (whether one is in a high-risk group or not) as well as individuals' subjective vulnerability. We tested whether people who were objectively vulnerable or subjectively considered themselves as vulnerable would be more likely than people who were not vulnerable to adhere to the assessed COVID-19 measure after an empathy induction (to protect themselves).

Third, in Study 3, the empathy condition differed from the other conditions in regard to the mode of presentation; in Study 4, we held the mode of presentation (i.e., reading a text) constant. Fourth, in Study 4, we assessed state empathy after each participant was assigned to an empathy condition, an information-only condition, or a control condition. In this way, we were able to show empirically that higher levels of state empathy are directly related to the motivation to adhere to COVID-19 measures. Fifth, and importantly, we generalized the effect of affective empathy on a different important measure in the context of the COVID-19 pandemic: wearing a face mask.

## Method

**Participants.** We aimed to collect 1,500 participants for Study 4 (three between-subjects conditions). With this sample size, we are able to detect effects ( $f$ s) greater than .09 with high statistical power (power = .90;  $\alpha$  = .05, two-tailed). Study 4 (final  $N$  = 1,526; 47.2% female; age:  $M$  = 34.71 years,  $SD$  = 12.09) was run in Germany between June 23 and June 26, 2020. At the time the study was conducted, it was mandatory in Germany to wear a face mask in public places (e.g., in shops and in public transport). Participants were recruited in Studies 2 and 3 but could not participate in Study 4 if they had already participated in one of the previous studies.

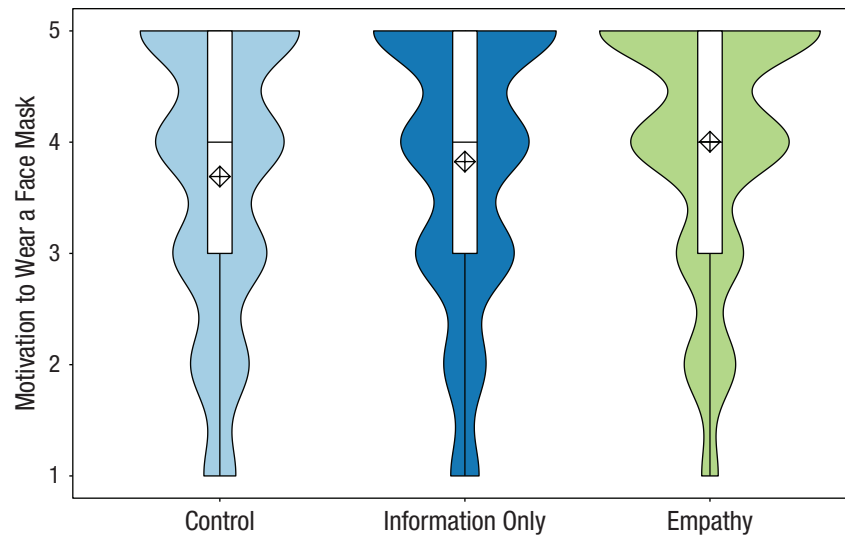
**Experimental conditions.** Each participant was randomly assigned to one of three conditions: the information-only condition ( $n$  = 492), the empathy condition ( $n$  = 500), or the control condition ( $n$  = 534). Conditions did not significantly differ in terms of the assessed demographics: gender,  $\chi^2(2, N = 1,516) = 3.49, p = .175$ ; age,  $F(2, 1523) = 0.48, p = .618, \eta^2 = .00, 95\% \text{ CI} = [.000, .004]$ ; and household size,  $F(2, 1523) = 0.77, p = .463, \eta^2 = .00, 95\% \text{ CI} = [.00, .01]$ .

Participants in the information-only condition read an informative text from the Robert Koch Institute (Germany's national public health institute) detailing facts about the coronavirus, how it is transmitted (aerosols), and that face masks can prevent the spread of the disease. Full material for the conditions can be found on OSF (<https://osf.io/pq3ky/>). Participants in the empathy condition read a text of approximately similar length, in which a woman with a rare immune disease (membranoproliferative glomerulonephritis Type III) reported having had a coronavirus infection, detailed how seriously affected she was (comatose and in the intensive care unit), and stated that she did not like it when people met others without wearing a face mask. Before the respective text in the information-only condition and in the empathy condition was presented, participants read that they should read the entire text in order to qualify for payment for the study; the "next" button to proceed with the study was displayed only after 75 s. In the control condition, no text and information were given, resembling a situation of no intervention.

**State empathy.** After the condition manipulations, participants responded to three items assessing state empathy, as in previous research (Batson et al., 1997; Pfafftheicher et al., 2019). The items read, "Right now, I am compassionate"; "Right now, I am moved"; and "Right now, I am touched" ( $\alpha$  = .94).

**Motivation to wear a face mask.** The central dependent variable was motivation to wear a face mask, which we measured with one item: "During the coming days, I will wear a face mask as often as possible when I meet other people."

**Additional measures.** We further measured participants' objective vulnerability—whether a participant is in a high-risk group ( $n$  = 551, 36.1%) or not ( $n$  = 973, 63.8%), according to the criteria of the Robert Koch Institute (e.g., whether one is above 50 years old, a smoker, chronically ill). We also measured participants' subjective own vulnerability with one item ("What do you think the danger is that you will be infected with the novel coronavirus?") and the subjective vulnerability of others with one item ("What do you think the danger is that other people



**Fig. 3.** Motivation to wear a face mask in each condition of Study 4. In each plot, the vertical box indicates the interquartile range from the 25th to the 75th percentile, the horizontal line indicates the median, and the diamond indicates the mean. Whiskers indicate the smallest observations within 1.5 times the interquartile range below the 25th percentile, dots represent observations outside this range, and the shaded areas indicate the density of observations.

will be infected with the novel coronavirus?”). Labels ranged from 1, *very harmless*, to 5, *very dangerous*. We additionally explored whether empathy can change policy acceptance of wearing masks; basically, results (reported in detail at <https://osf.io/pq3ky/>) showed that participants in the empathy condition reported significantly higher policy acceptance of wearing masks compared with those in the control condition (Cohen's  $d = 0.20$ , 95% CI = [0.08, 0.33],  $p = .001$ ) but not compared with those in the information-only condition (Cohen's  $d = 0.07$ , 95% CI = [−0.05, 0.20],  $p = .258$ ).

## Results

We first tested whether the three conditions differed in state empathy. This was the case,  $F(2, 1523) = 649.36$ ,  $p < .001$ ,  $\eta^2 = .46$ , 95% CI = [.43, .49]. Participants in the empathy condition reported significantly higher state-empathy levels ( $M = 4.03$ ,  $SD = 0.90$ ) compared with the information-only condition ( $M = 2.14$ ,  $SD = 1.00$ ),  $t(990) = 31.22$ ,  $p < .001$ , Cohen's  $d = 1.99$ , 95% CI = [1.84, 2.14] and compared with the control condition ( $M = 2.10$ ,  $SD = 1.01$ ),  $t(1032) = 32.41$ ,  $p < .001$ , Cohen's  $d = 2.01$ , 95% CI = [1.86, 2.16]. The information-only and the control conditions did not differ significantly,  $t(1024) = 0.76$ ,  $p = .449$ , Cohen's  $d = 0.04$ , 95% CI = [−0.08, 0.16].

A one-way ANOVA showed that the motivation to wear a mask also differed between conditions,  $F(2, 1523) = 8.97$ ,  $p < .001$ ,  $\eta^2 = .01$ , 95% CI = [.003, .02] (see Fig. 3). Additional analyses revealed that the motivation

to wear a mask was significantly higher in the empathy condition ( $M = 4.00$ ,  $SD = 1.12$ ) compared with the control condition ( $M = 3.69$ ,  $SD = 1.24$ ),  $t(1032) = 4.25$ ,  $p < .001$ , Cohen's  $d = 0.26$ , 95% CI = [0.14, 0.38], and significantly higher than in the information-only condition, ( $M = 3.83$ ,  $SD = 1.20$ ),  $t(990) = 2.43$ ,  $p = .015$ , Cohen's  $d = 0.15$ , 95% CI = [0.02, 0.27]. The motivation to wear a mask also increased in the information-only condition compared with the control condition, but the effect failed to reach conventional levels of statistical significance,  $t(1024) = 1.76$ ,  $p = .079$ , Cohen's  $d = 0.12$ , 95% CI = [−0.01, 0.24].

Next, we tested whether higher levels of state empathy are directly related to the motivation to wear a face mask. In fact, state empathy was positively correlated with the motivation to wear a mask across conditions:  $r = .27$ , 95% CI = [.22, .31],  $p < .001$ , which was especially the case in the empathy condition,  $r = .45$ , 95% CI = [.34, .53],  $p < .001$  (information-only condition:  $r = .25$ , 95% CI = [.16, .33],  $p < .001$ ; control condition:  $r = .16$ , 95% CI = [.08, .24],  $p < .001$ ). That is, with higher levels of state empathy, the motivation to wear a mask increased. We further tested two indirect-effect models (nonpreregistered) to test whether state empathy explains the effect of the empathy condition on the motivation to wear a face mask. In fact, controlling for state empathy significantly reversed the effect of the empathy condition (Model 1: empathy condition vs. control condition; total effect:  $b = 0.31$ , 95% CI = [0.17, 0.46],  $p < .001$ , direct effect:  $b = -0.36$ , 95% CI = [−0.56, −0.16],  $p < .001$ , 95% CI for the indirect effect = [0.52,

0.84]; Model 2: empathy condition vs. information-only condition, total effect:  $b = 0.09$ , 95% CI = [0.02, 0.16],  $p = .015$ , direct effect:  $b = -0.30$ , 95% CI = [-0.40, -0.20],  $p < .001$ , 95% CI for the indirect effect = [0.31, 0.47]; see <https://osf.io/pq3ky/> for details of the indirect-effect models). Thus, these analyses further support the conclusion that state empathy drove the effect of the empathy condition on the motivation to wear a face mask.

Finally, we tested whether people who are objectively vulnerable or subjectively consider themselves (or others) to be vulnerable would be more likely to adhere to the assessed COVID-19 measures after the empathy induction. We found that subjective vulnerability increased in both the empathy condition (own vulnerability: Cohen's  $d = 0.11$ , 95% CI = [-0.01, 0.24],  $p = .061$ ; others' vulnerability:  $d = 0.17$ , 95% CI = [0.05, 0.29],  $p = .004$ ) and the information-only condition (own vulnerability:  $d = 0.15$ , 95% CI = [0.03, 0.28],  $p = .010$ ; others' vulnerability:  $d = 0.14$ , 95% CI = [0.02, 0.26],  $p = .020$ ) compared with the control condition. By contrast, the empathy and the information-only conditions did not significantly differ (own vulnerability:  $d = -0.04$ , 95% CI = [-0.17, 0.08],  $p = .464$ ; others' vulnerability:  $d = 0.03$ , 95% CI = [-0.10, 0.15],  $p = .621$ ; see Supplementary Material on <https://osf.io/pq3ky/> for  $M$ s,  $SD$ s, and test statistics). In addition, none of the vulnerability measures significantly moderated the effect of the empathy condition on wearing masks (all  $p$ s of the interaction terms  $> .17$ ). Also, the indirect-effect models (nonpreregistered) of the empathy condition (vs. control condition and vs. information-only condition) on the motivation to wear a mask via considering oneself subjectively vulnerable were nonsignificant, and the empathy condition (vs. control condition and vs. information-only condition) remained as a significant predictor (both  $p$ s  $< .01$ ) when objective and subjective vulnerability (both self and other) were controlled for. Overall, these findings speak against the alternative interpretation that affective empathy makes one sensitive to one's own vulnerability, which in turn promotes one's (egoistic) motivation to adhere to COVID-19 measures.

## General Discussion

The present investigation had two major findings. First, empathy for people most vulnerable to the virus represents an emotional basis regarding the motivation for physical distancing and wearing a face mask. Second, we can actually use empathy to promote the motivation in people to follow these two important measures. Importantly, providing individuals with mere background information about why it is important to adhere to physical distancing or to wear a mask was not enough to significantly increase the behavioral

motivation; only if empathy was added did motivation increase.

The present research has important policy implications. Specifically, in the effort to reach high numbers of people following measures that curb the spread of the virus, it might not be sufficient to provide only basic information about why the measures are important. Basic information certainly is important, and we do want to emphasize that the nonsignificant effects of providing mere information in Studies 3 and 4 do not provide evidence against the usefulness of information. However, in addition to basic information, affective empathy is seemingly an emotional ingredient that further increases the motivation to adhere to measures during the COVID-19 pandemic. Therefore, when designing interventions and communication materials to change behavior during the COVID-19 pandemic, policymakers should consider enriching mere informational content with emotional content. As shown in the present investigation, empathy for people most vulnerable to the virus might be a promising candidate here. Likewise, the present research points to the prosocial effects of empathy-related information shared on social media (where the material from Study 3 originated) and news outlets (where the material from Study 4 originated). The present research supports the efforts of continuing with such practices.

The findings of the present studies are remarkable from four points of view. First, the finding that empathy promotes the motivation to engage in protective measures during the COVID-19 pandemic is particularly noteworthy given the already high motivation (in the control groups) to engage in physical distancing and to wear a face mask. In this regard, we contribute to the existing literature by showing that empathy can even increase motivation given very high baseline levels. In fact, such an effect is relevant in the context of a pandemic, in which every single behavioral change increases the chances of preventing the spread of the disease.

Second, and related, we want to refer to other well-powered studies that have found null effects when testing how messages should be framed to increase adherence to measures during the pandemic (e.g., Barari et al., 2020; but see Kitamura & Yamada, 2020; Lammers, Crusius, & Gast, 2020). Worth mentioning is the preliminary result that a short empathy-related message appealing to protect the people in one's life whom one typically cares about the most (close friends and family) could not significantly increase the motivation for physical distancing (Favero & Pedersen, 2020). Likewise, a short statement highlighting the risk of the virus to older and vulnerable adults did not significantly alter individuals' motivation to adhere to measures such as handwashing, covering their mouth when they sneeze or cough, and working from home (Utych & Fowler,



2020). These null findings are an important contribution to the literature, because we might learn under which conditions empathy unfolds its prosocial potential and under which conditions a positive effect of empathy is less likely to emerge. We speculate that the studies by Favero and Pedersen (2020) and Utych and Fowler (2020) differ from our studies in the strength of the experimental manipulation. In the present experimental studies, we used strong manipulations (in terms of effect size) that elicited high levels of state empathy. As shown in Study 4, increasing levels of state empathy were then related to the motivation to follow one recommended measure (i.e., wearing a face mask). Overall, finding an effective way to improve adherence to measures seems of particular importance for societies all over the globe (Habersaat et al., 2020; Van Bavel et al., 2020). In this regard, strong affective information might be one key to motivate people to follow recommended measures.

Third, it is interesting that the level of subjectively considering oneself (or others) as vulnerable to the virus was rather similar in the empathy condition and in the information-only condition (Study 4). In fact, we found that empathy promotes the motivation to wear a face mask beyond vulnerability perceptions. In this regard, we contribute to the empathy literature by showing that threat perceptions to the coronavirus are unlikely to be altered in an empathic state.

Fourth, the present work (a) offers some of the largest preregistered experiments testing the effect of empathy on prosocial tendencies (see the meta-analysis by McAuliffe, Carter, Berhane, Snihur, & McCullough, 2020) and (b) offers an alternative account to the idea (as put forward by McAuliffe et al., 2020) that prosocial effects of empathy in past studies were driven by reduced prosociality in the control condition rather than increased prosociality in the empathy condition (for an in-depth discussion of these points, see the Supplementary Material at <https://osf.io/pq3ky/>).

In discussing the findings, we finally want to acknowledge limitations of the present research and point to future directions. First, we want to mention that the present work cannot speak to whether pure psychological altruism (i.e., the ultimate goal to increase the welfare of another individual; Batson, Ahmad, & Tsang, 2002) underlies the effects of empathy we found. Although previous research by Batson and colleagues has ruled out several egoistic explanations, concluding that empathy is a genuine altruistic emotion (for an overview, see Batson, 2011), we cannot in the present study rule out potential egoistic explanations, such as the desire to develop a good reputation or to avoid social sanctions. Second, we note that although we showed in Study 4 that empathy promotes the

motivation to wear a face mask, we did not find that empathy significantly promotes policy acceptance of wearing masks beyond providing mere information. Thus, we found a boundary condition of the effectiveness of empathy; which other measures or attitudes empathy may or may not promote thus remains inconclusive. Third, we want to acknowledge that the observed effect sizes in the experimental studies were small (especially when tested against the information-only conditions). We argue, however, that small effect sizes matter in the context of a pandemic (Funder & Ozer, 2019). We furthermore want to acknowledge that the present set of studies was conducted in Western countries only, and the samples were not representative of the entire population in these countries. The studies were conducted online using self-reports. The intervention (inducing empathy) was applied and tested on only a short-term scale. Therefore, it might be useful to replicate the present studies' findings using different stimuli as well as representative samples; to conduct the studies in other, non-Western countries; and to measure the long-term consequences of (repeated) induction of empathy.

Nonetheless, we argue that these shortcomings do not limit the basic conclusions and the potential of the findings to help mitigate the COVID-19 pandemic. In fact, the present research highlights the potential for using empathy as a tool to promote physical distancing and wearing a face mask and, thus, to save lives, especially of the most vulnerable members of our societies.

## Transparency

*Action Editor:* Daniela Schiller

*Editor:* Patricia J. Bauer

### Author Contributions

S. Pfattheicher and R. Böhm designed the research, S. Pfattheicher performed the research, and S. Pfattheicher and L. Nockur analyzed the data. All authors wrote the manuscript and approved the final version for submission.

### Declaration of Conflicting Interests



The author(s) declared that there were no conflicts of interest with respect to the authorship or the publication of this article.

### Open Practices

All data, analysis code, and materials have been made publicly available via OSF and can be accessed at <https://osf.io/pq3ky/>. The design and analysis plans for all studies were preregistered at <https://osf.io/pq3ky/>. Deviations from the preregistration are noted in the text. This article has received the badges for Open Data, Open Materials, and Preregistration. More information about the Open Practices badges can be found at <http://www.psychologicalscience.org/publications/badges>.



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## Acknowledgments

We thank Mariam S. Khelifa and Sarah Tyrrell for helpful comments on the manuscript for this article.

## Notes

1. The World Health Organization (2020) initially referred to “physical distancing” as “social distancing” but now recommends the use of the former term. The reason is that it is important to encourage continued social interaction (e.g., via telecommunication) during epidemic isolation periods. We use the term “face masks” to refer to simple cloth (non-surgical grade) face masks.
2. Germany was not on lockdown status when Studies 2 and 3 were conducted as well.
3. We also measured the item “Because of coronavirus (COVID-19), it is very important that others massively curtail their social contact (so-called ‘social distancing’);” we preregistered that we would compute the average of the self-related and the other-related item if they are correlated at an  $r$  greater than .60. We deviate here from the preregistration, keeping separate the self-related and the other-related item (for conceptual reasons), and report in the main text only the results including the self-related item. Results including the other-related item are equivalent (see <https://osf.io/pq3ky/>).
4. We additionally measured “motivation to stay in self-quarantine [isolation] if infected,” “motivation to follow major rules imposed by the government,” and political orientation. Motivation was positively correlated with empathy; higher empathy scores were negatively correlated with conservatism (see <https://osf.io/pq3ky/> for the results).
5. Despite the fact that the measured demographics did not differ across conditions, we acknowledge that the differential dropout rate may still have undermined random assignment and causal inference in Study 3. This issue was absent in Study 4.

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