

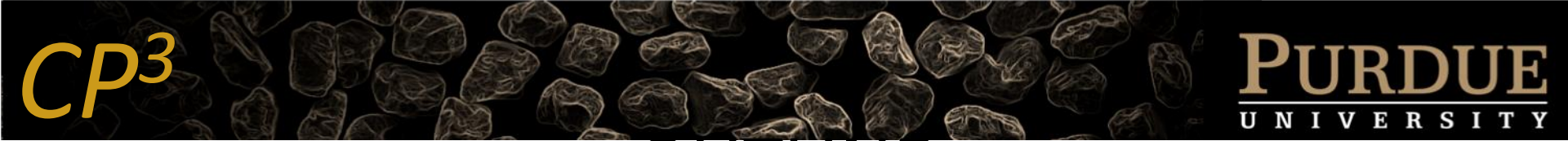
# Modeling and optimization of continuous granulation

Jim Litster, Dan Pohlman, Nathan J Davis

Center for Particulate Products and Processes

Center for Structured Organic Particulate Systems

Purdue University

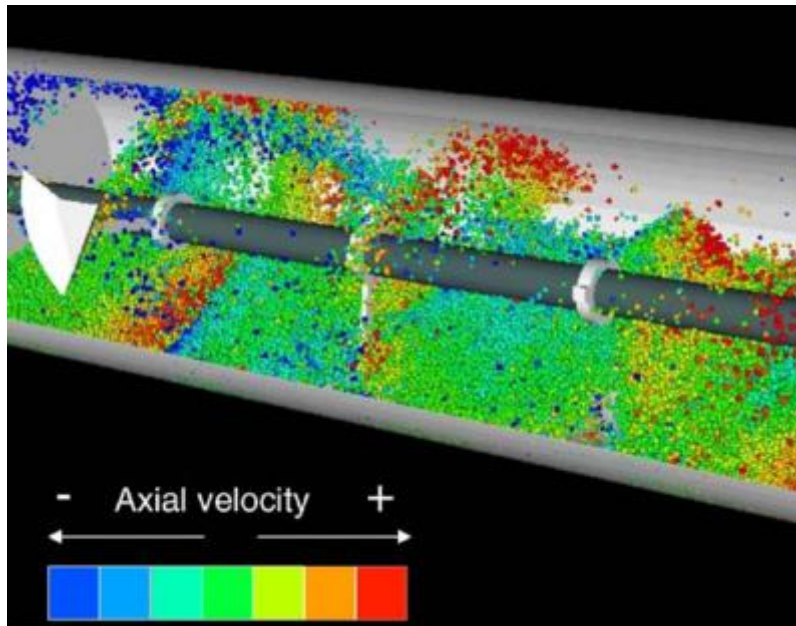


# OUTLINE

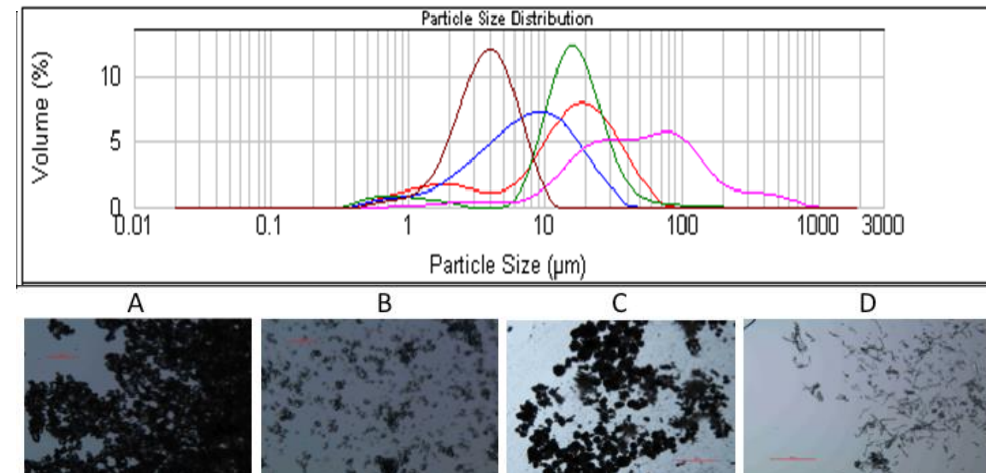
- Granulation rate processes and regime maps
- Elements of a mechanistic based process model for granulation
- Implementation in gSolids and case studies

# Continuous granulation is a complex

Complex equipment



Complex formulations



# Quantitative Engineering Approaches

What do we know?

How do we design  
experiments and scale ?

Implications

Nothing except  
parameters we can vary

Statistical Experimental  
Design

Lots of experiments  
at all scales

Controlling mechanisms

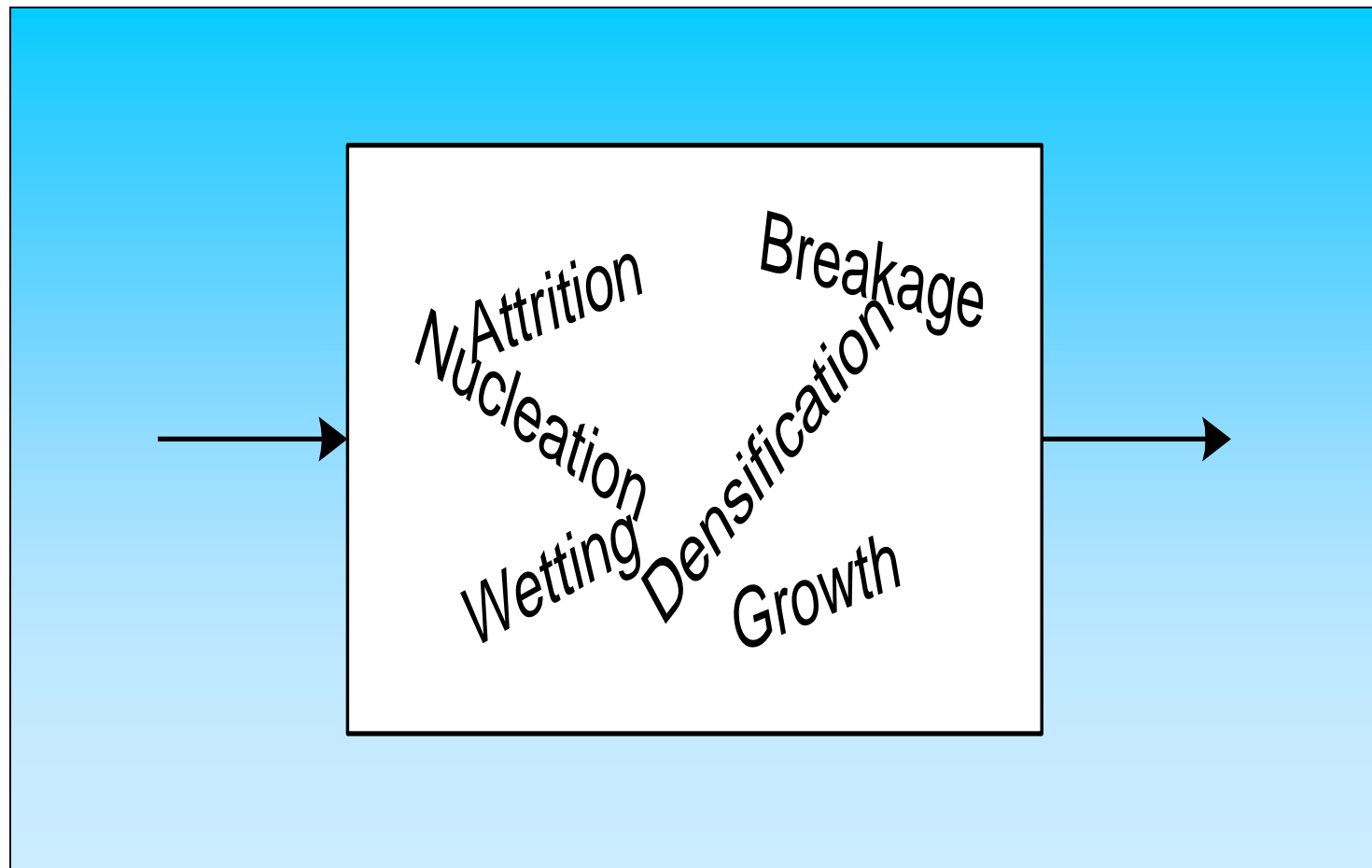
- Careful formulation and process characterization
- Designing experiments based on **dimensionless groups and regime maps**

- Reduced experiments at all scales
- Use dimensionless groups to scale up

Fully predictive model

- Careful formulation and process characterization
- Design min. number of experiments to validate and fine tune the model

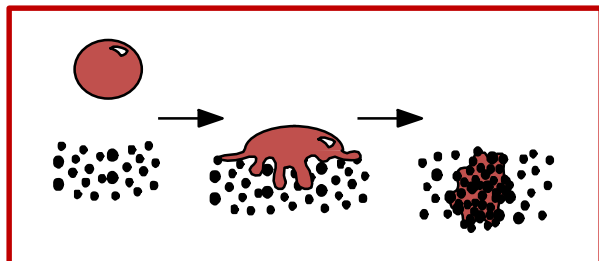
- Least number of experiments
- Pilot/full scale model validation and parameter estimation



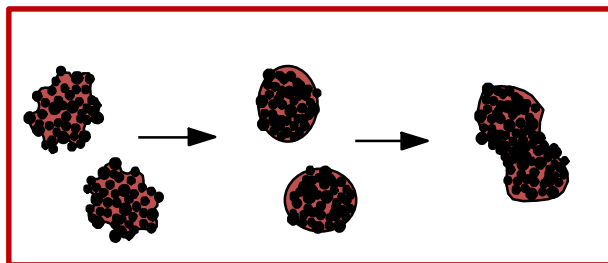
# Granulation rate processes

- Study and model each process in isolation

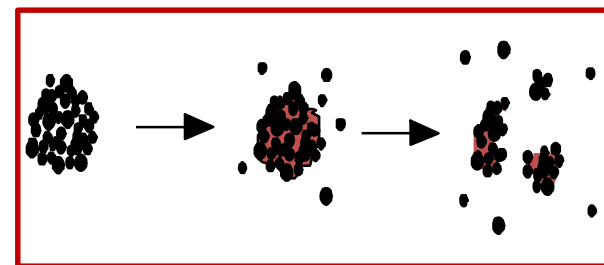
Nucleation



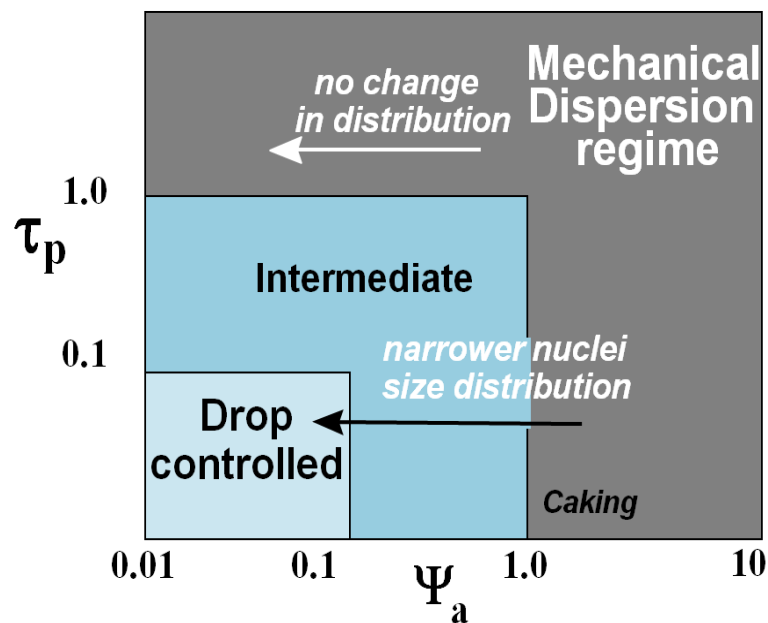
Consolidation and Growth



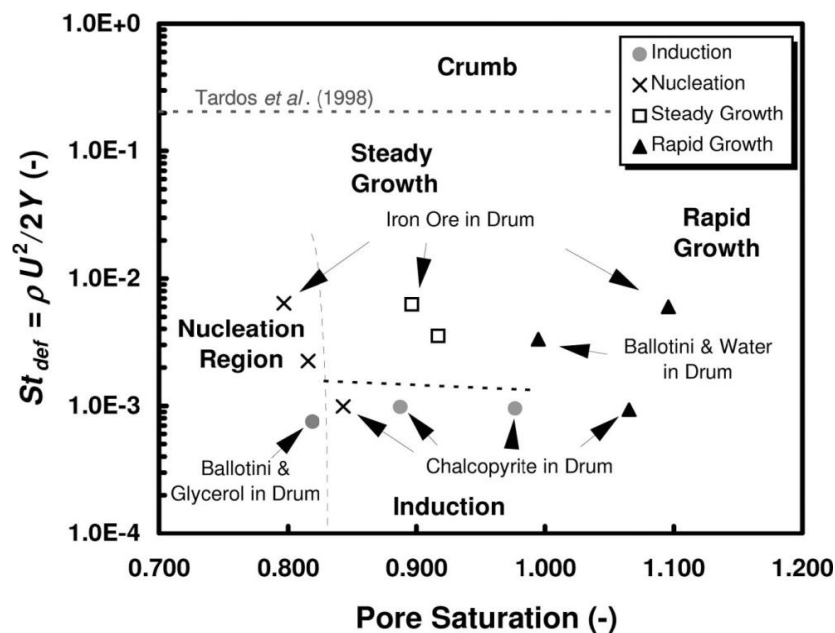
Breakage



# Regime Maps for Rate Processes



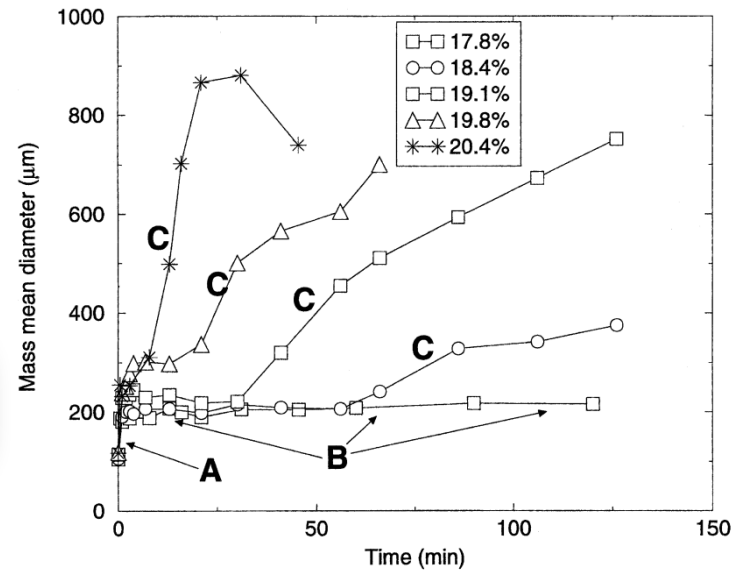
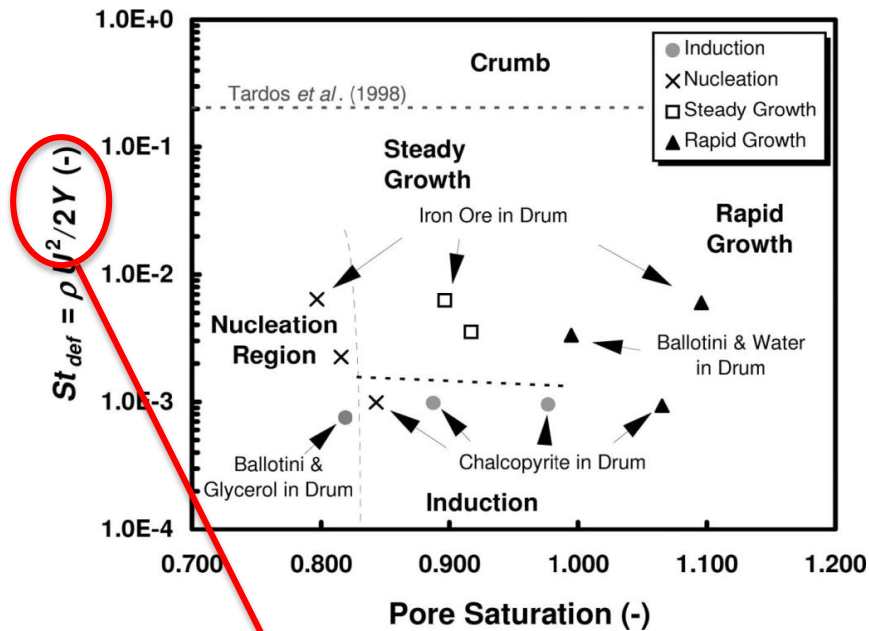
Hapgood, Litster & Smith, *AIChE J*, **49**, 350-361, 2003



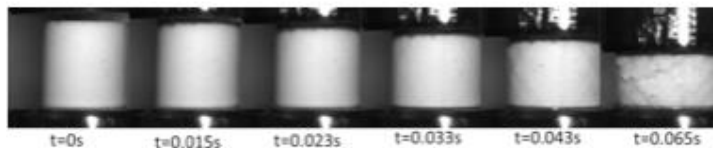
Iveson *et al.*, *Powder Technol.*, **117**, 83-87, 2001



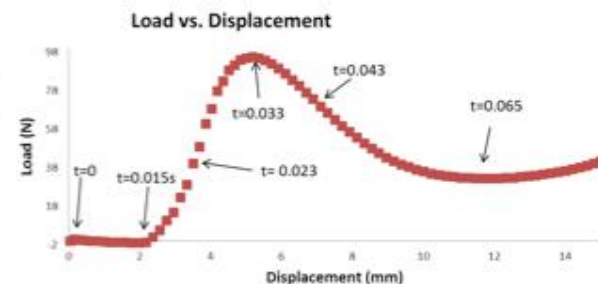
# Influence of formulation properties



Dynamic yield strength

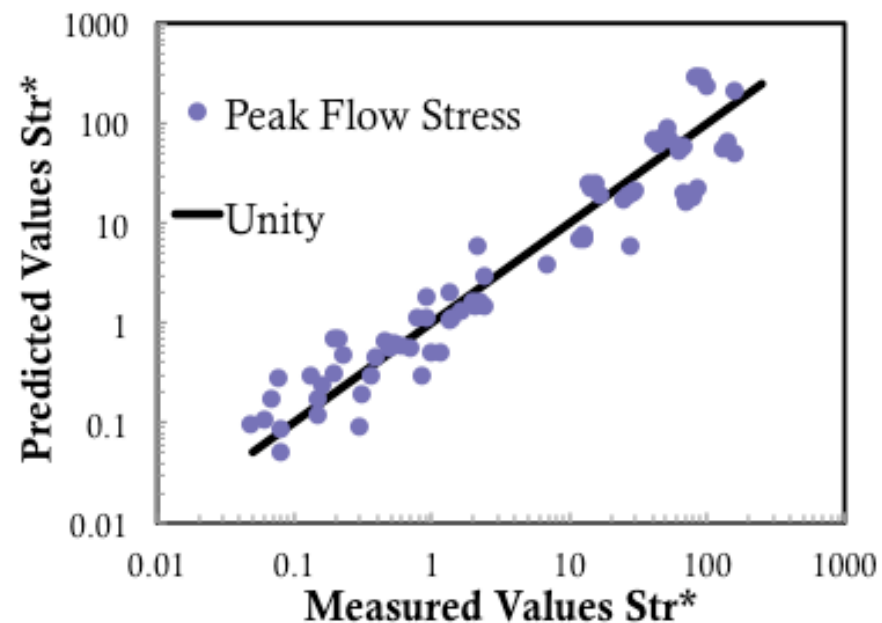
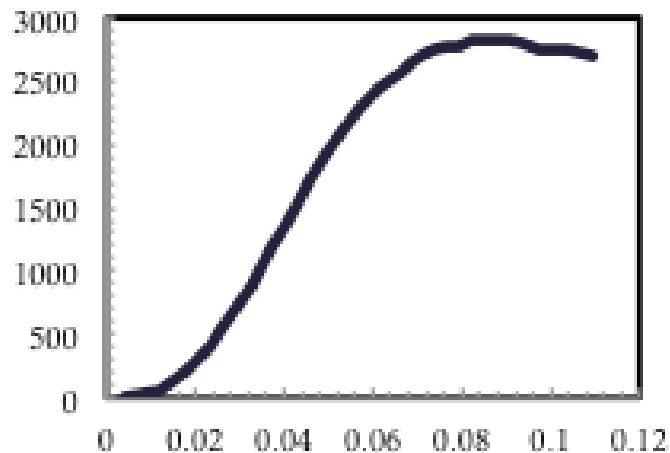
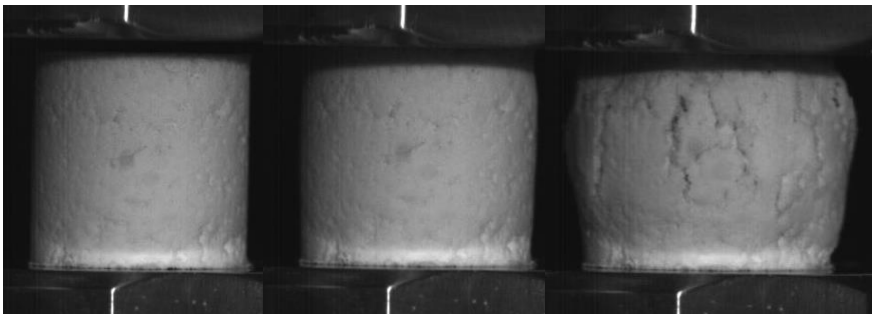


$$St_{def} = \frac{\rho_g U_c^2}{2Y_d}$$





# Modeling induction growth



$$Str^* = 0.001 \pm .002 \left( Ca^a e^b s^c \right)$$

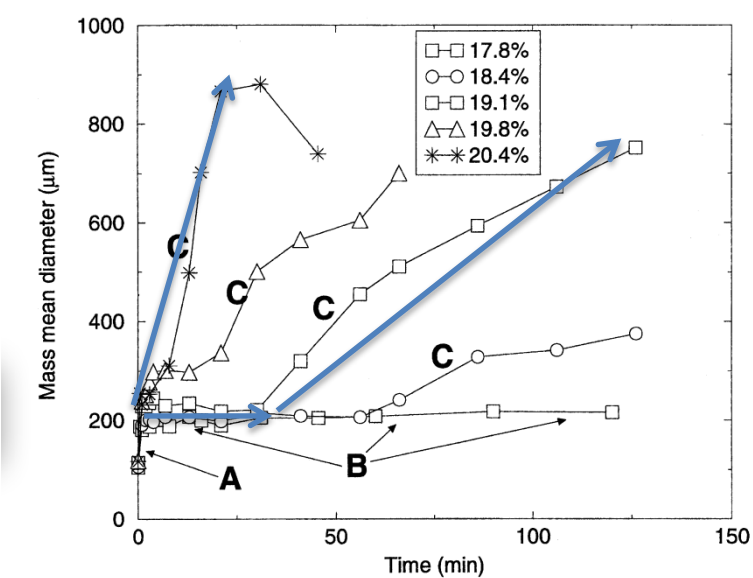
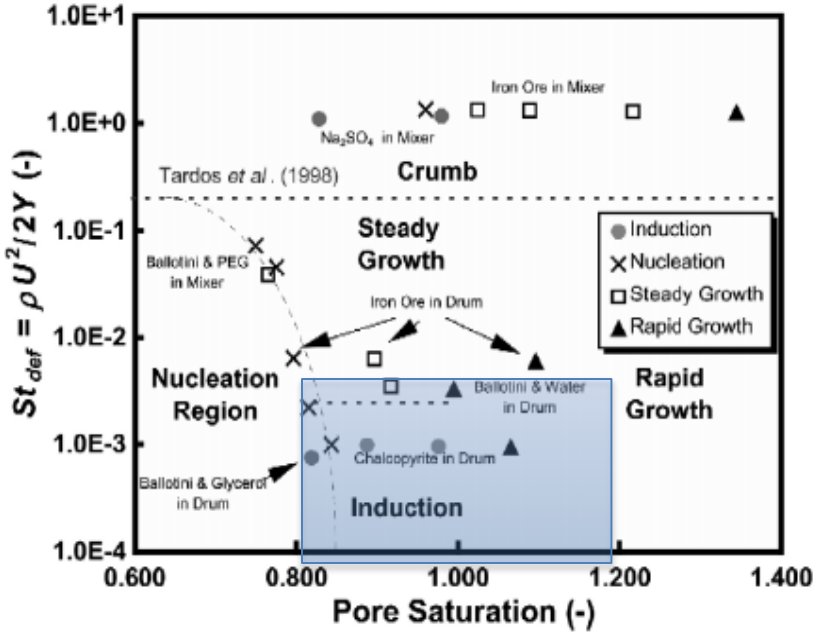
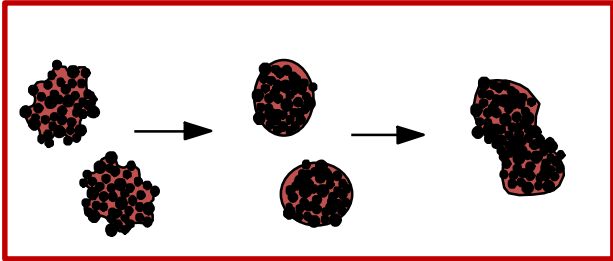
$$a: \quad 0.29 \pm 0.12$$

$$b: \quad -12.6 \pm 1.5$$

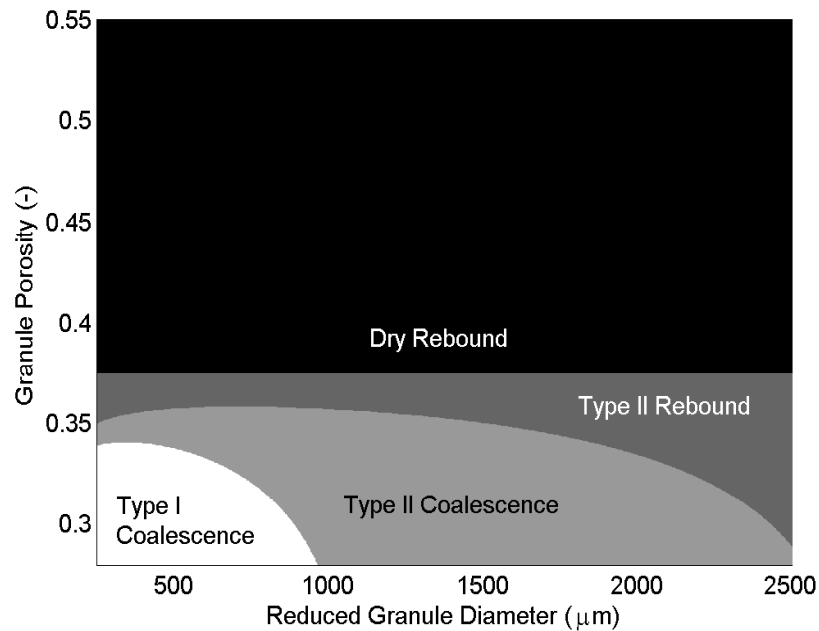
$$c: \quad -4.4 \pm 0.96$$

# Modeling induction growth

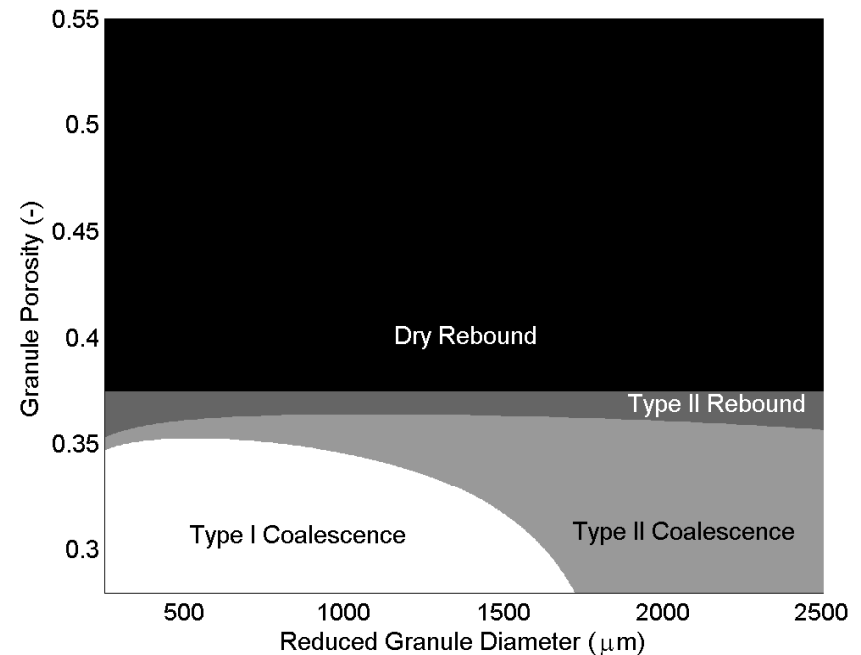
## Consolidation and Growth



# Coalescence regime predictions



$$w = 0.152; S^* = 0.6; U_c = 0.6 \text{ ms}^{-1}$$



$$w = 0.152; S^* = 0.6; U_c = 0.4 \text{ ms}^{-1}$$

# Regime Map Approach

Measure Formulation  
Properties:  
 $d_p, \gamma \cos\theta, \mu, Y...$

Estimate Process Parameters at  
different conditions:  
 $U_c, R\omega...$

Calculate important dimensionless groups:  
 $\Psi_a, t_p, St_{def}, S...$

Locate the regime of operation on nucleation  
and growth regime maps that are functions of  
dimensionless groups

Design and perform laboratory scale  
experiments regarding to regime maps

Determine the exact borders of different regimes on  
the regime map for the given formulation and  
determine optimum design space

Scale-up by keeping the dimensionless  
numbers constant

# Quantitative Engineering Approaches

What do we know?

How do we design  
experiments and scale ?

Implications

Nothing except  
parameters we can vary

Statistical Experimental  
Design

Lots of experiments  
at all scales

Controlling mechanisms

• Careful formulation and  
process characterization  
• Designing experiments  
based on **dimensionless  
groups and regime maps**

• Reduced experiments  
at all scales  
• Use dimensionless  
groups to scale up

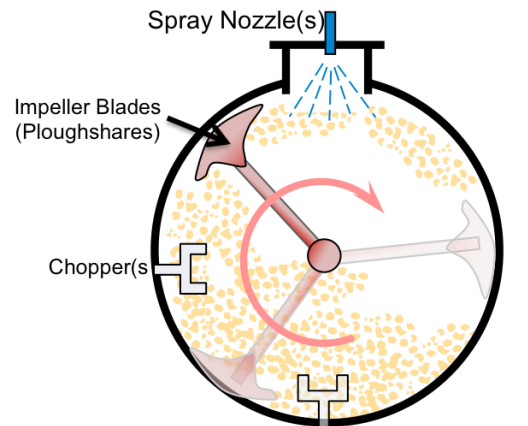
Fully predictive model

• Careful formulation and  
process characterization  
• Design min. number of  
experiments to validate  
and fine tune the model

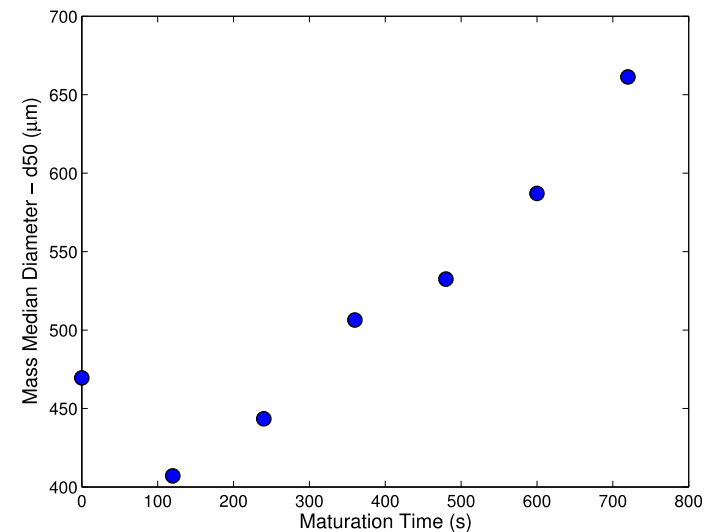
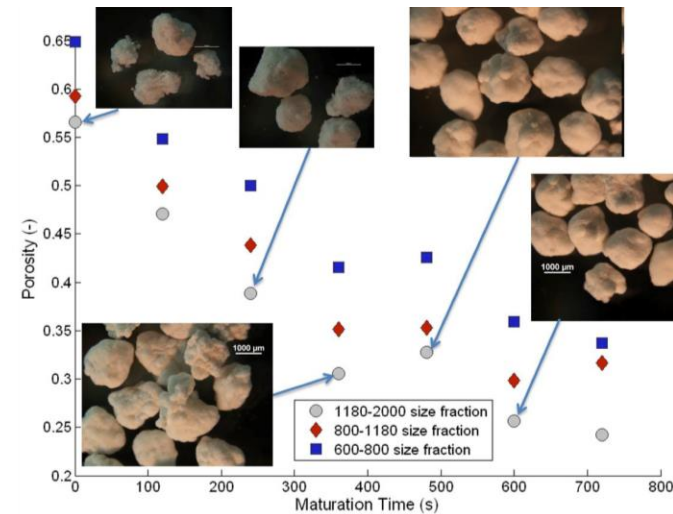
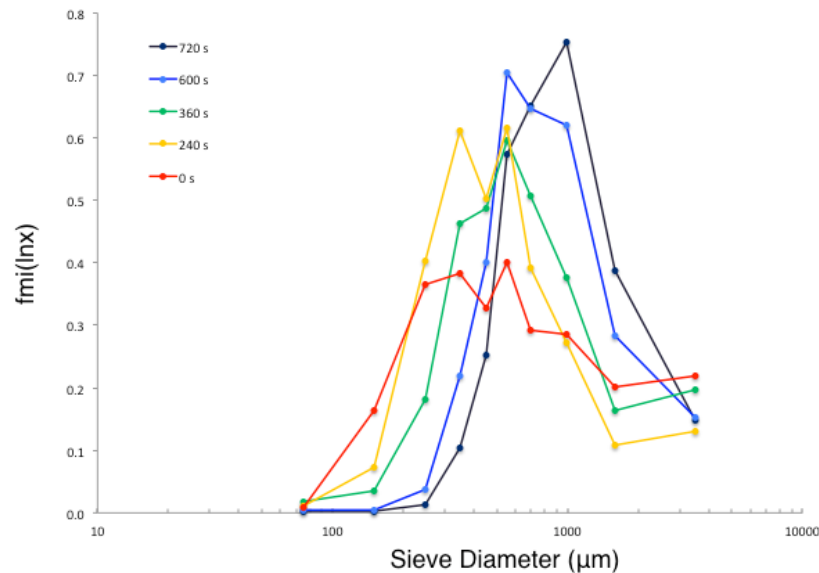
• Least number of  
experiments  
• Pilot/full scale model  
validation and  
parameter estimation



# Need to capture complex processes



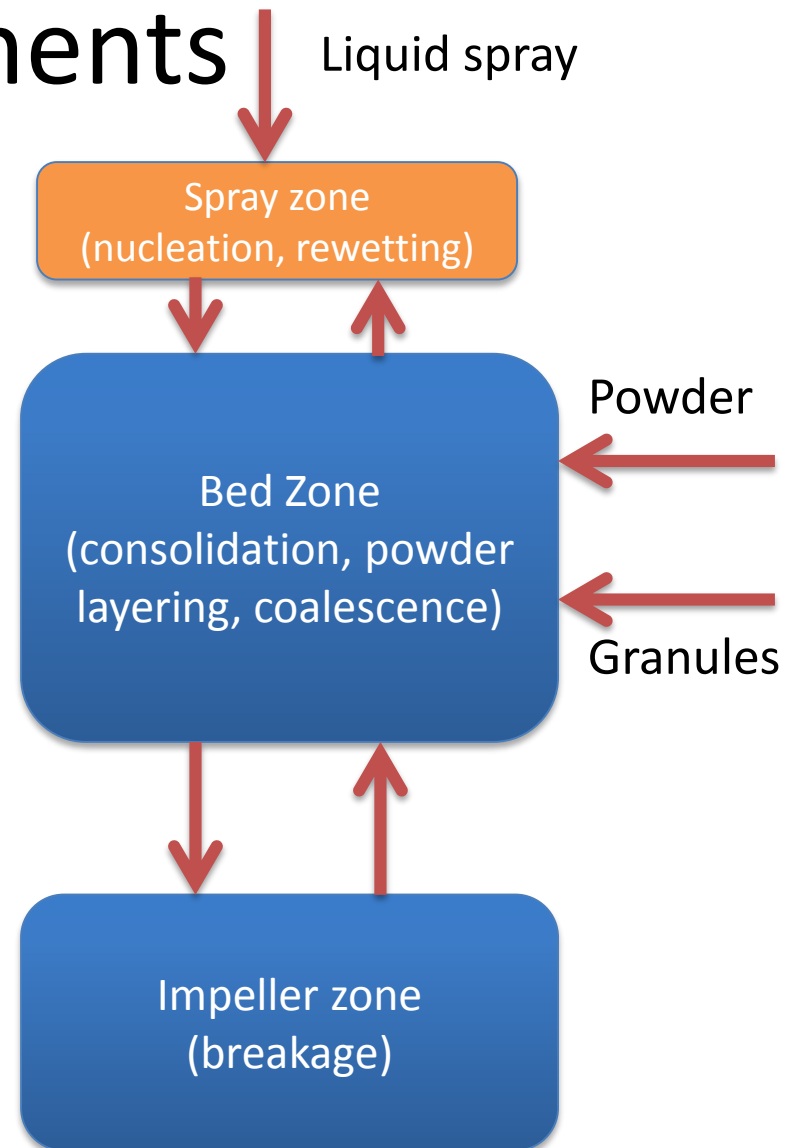
Horizontal high-shear mixer





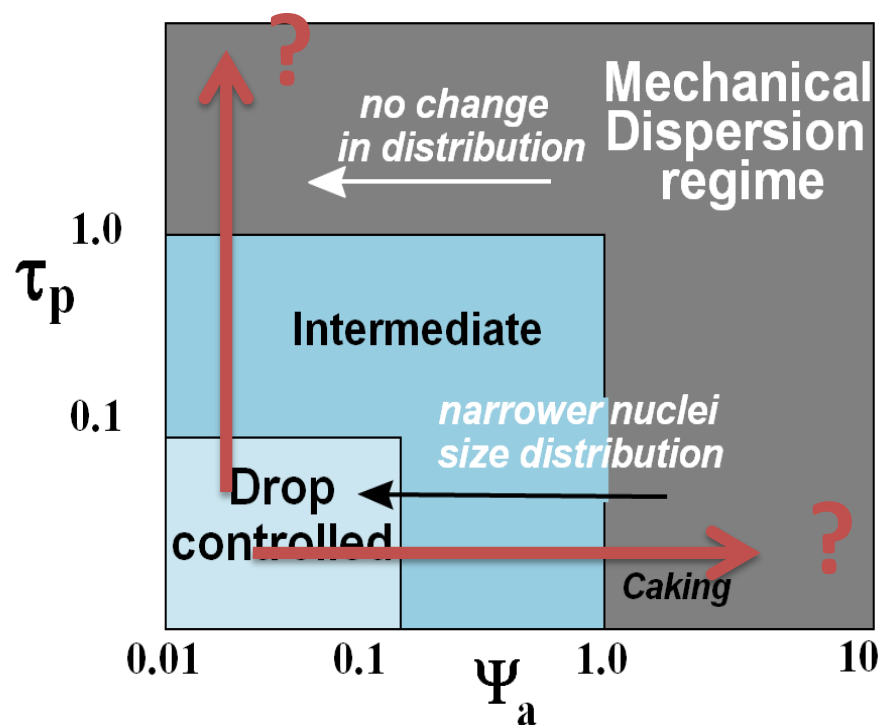
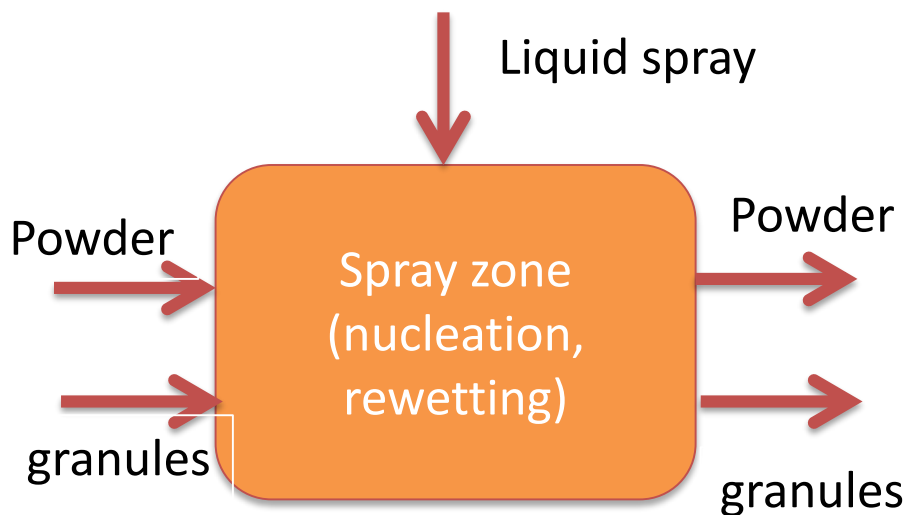
# Key elements

- Nuclei and rewetting generator
- Zone for consolidation, powder layering and coalescence
- Zone for breakage
- Continuous powder and liquid phases
- 3D granule phase  $v_s$   $v_l$   $v_g$



# Spray zone

- Small zone with geometry set by spray geometry



# Spray zone model

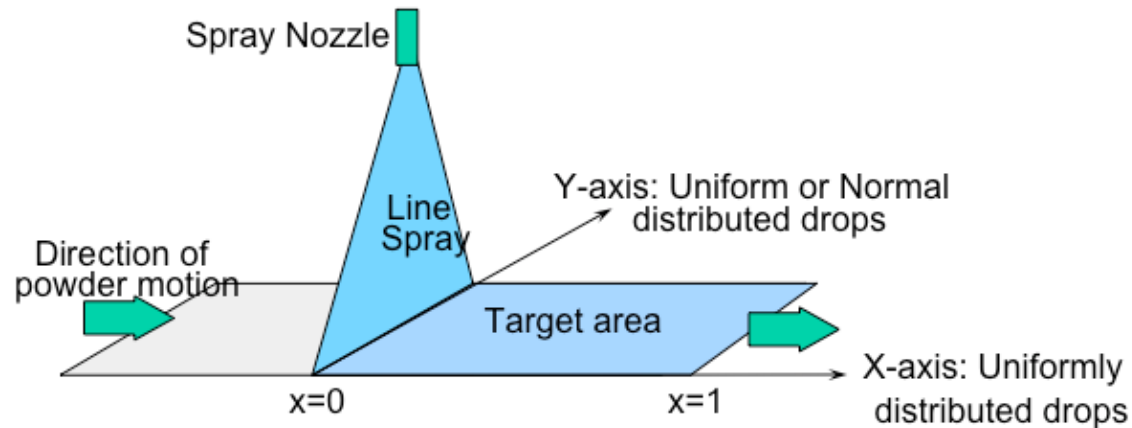
- Key relationships

$$y = \frac{3\dot{Q}_{spray}}{2Wud_{3,2drop}}$$

- Nucleus structure

$$v_s = \frac{1 - e_{nuc}}{e_{nuc}} \cdot \frac{1}{S_{nuc}} \dot{v}_l$$

$$v_g = \frac{1 - S_{nuc}}{S_{nuc}} \dot{v}_l$$



- Ratio of nuclei to layering

$$\dot{Q}_{nuc} = (1 - f_g) \dot{Q}_{spray}$$

$$\dot{Q}_{layer} = f_g \dot{Q}_{spray}$$

# Spray zone model

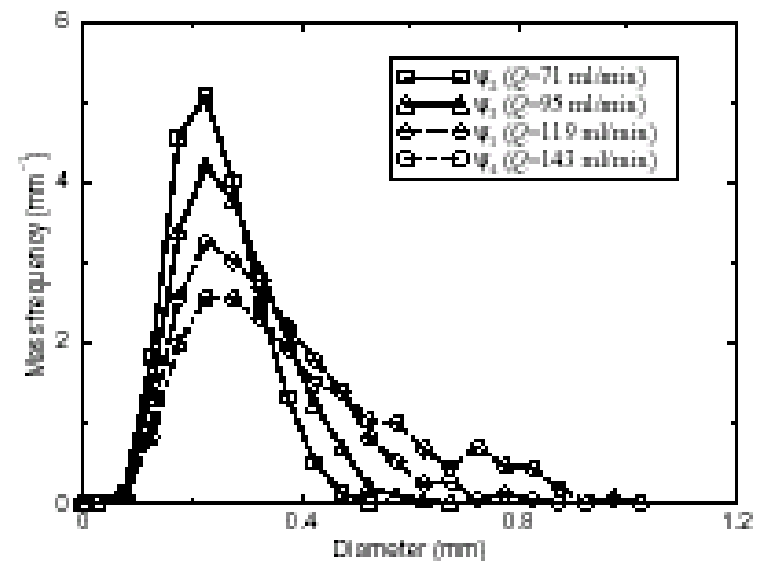
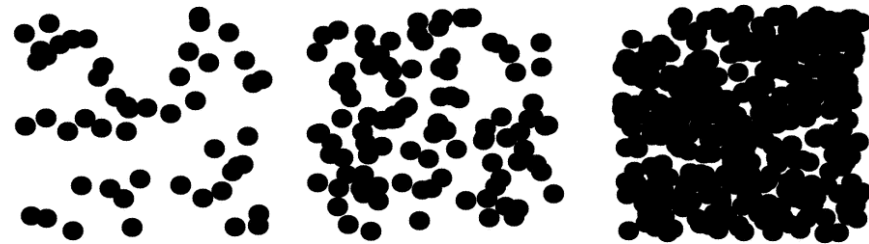
- Nucleation model

$$\dot{V}b_{nuc}(v_s, v_l, v_g) = \dot{Q}_{nuc} f[n_d(v_l), y]$$

- $f$  approaches  $Kn_d$  as  $\psi$  approaches 0 (drop controlled nucleation) but broadens the size distribution as  $\psi$  increases. Poisson distribution suggests:

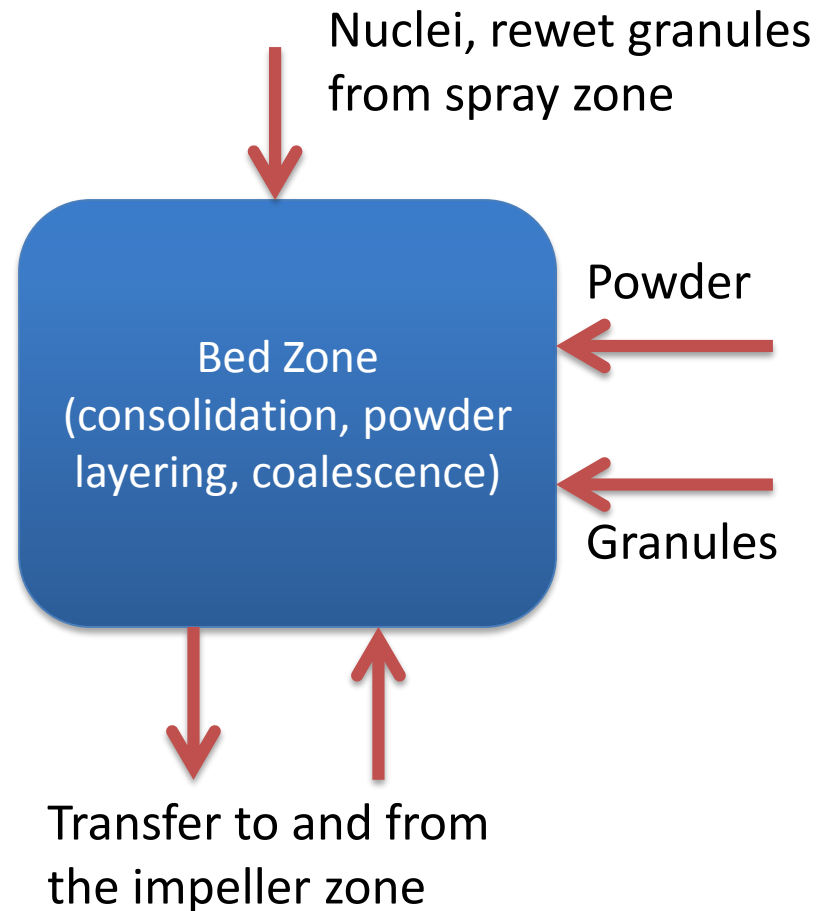
$$(\bar{v}_{1,0})_{nuc} = 4y \frac{\dot{e}}{\dot{e} e_{nuc} S_{nuc}} \frac{\dot{u}}{\dot{u}} (\bar{v}_{1,0})_{drop}$$

- Distribution keeps the same mode but becomes more skewed as  $4y$  increases.



# Bed Zone Model

- Consolidation modeled as first order process
- Powder layering occurs if
  - Granules are surface wet
  - Powder is still available
  - Directly linked to consolidation rate
- Coalescence only occurs if all the powder used up
  - Predict induction time
  - Surface wet granules
  - Granule deformation



# Bed Zone Model

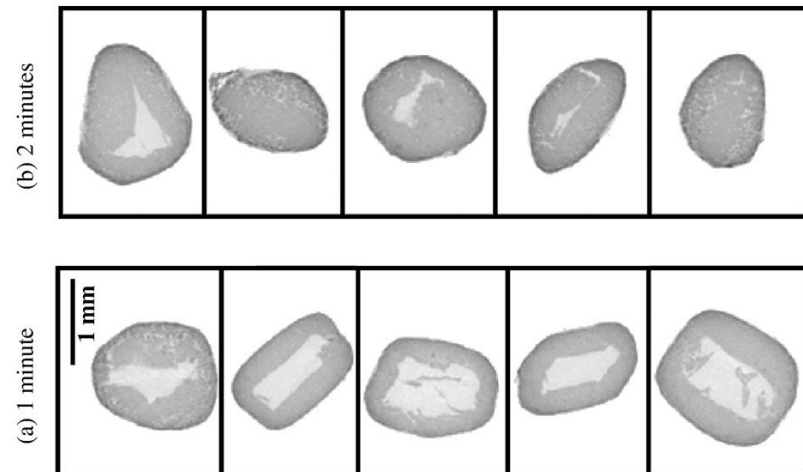
$$\frac{\partial V n_b(v_p, v_s)}{\partial t} + \frac{\partial V n_b(v_p, v_s) G_s}{\partial v_s} = \dot{Q}_{i-b} n_i - \dot{Q}_{b-i} n_b + \dot{Q}_{paste} n_p$$

$$G_s \propto \frac{dv_s}{d\varepsilon} = -k_c (\varepsilon - \varepsilon_{min})$$

$$k_c = f(St_{def})$$

$$G_g = \frac{dv_g}{dt} = -k \frac{(1 - \varepsilon_{min})(v_s + v_l + v_g)}{v_s} \left[ v_l + v_g - \frac{v_s(\varepsilon_{min})}{1 - \varepsilon_{min}} \right]$$

- Based on models from either Iveson, or Hounslow
- Either squeezing paste to the surface, or removing air from the granule

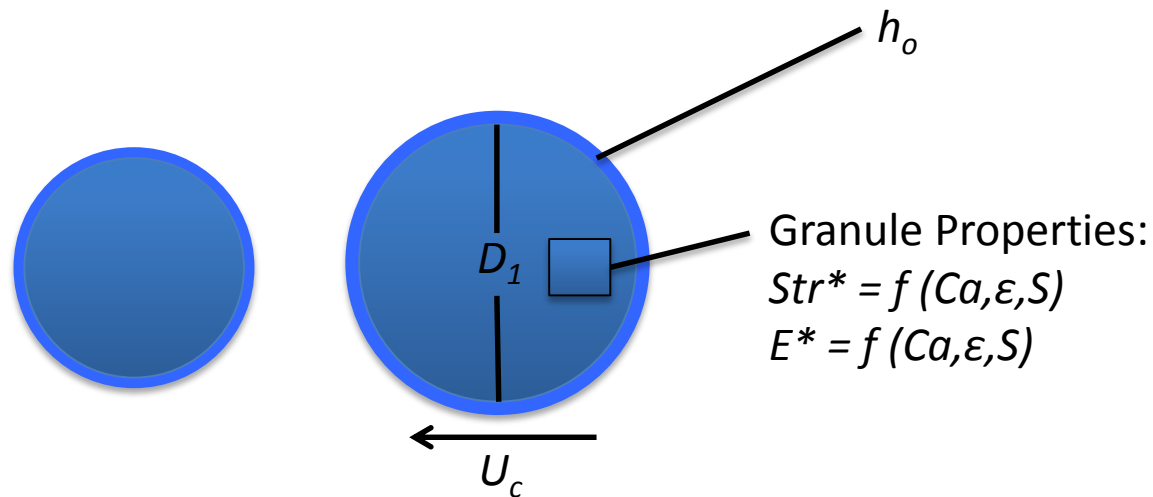




# Bed Zone Model

- Are the granules surface wet?

Liquid film thickness:



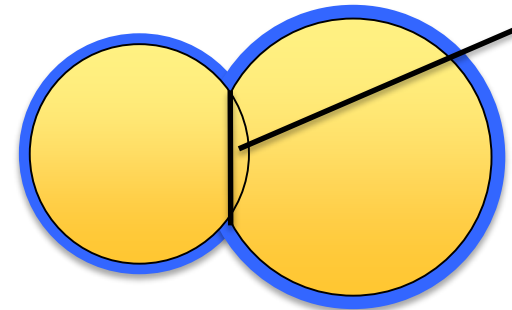
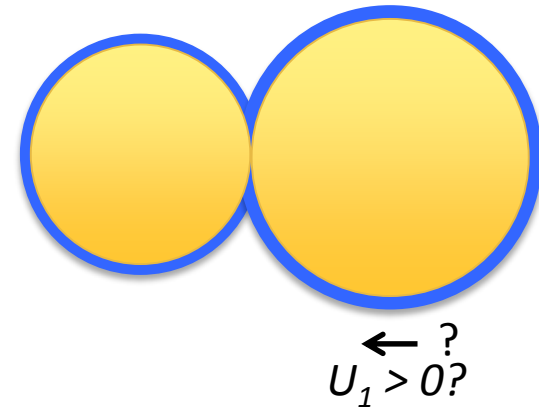
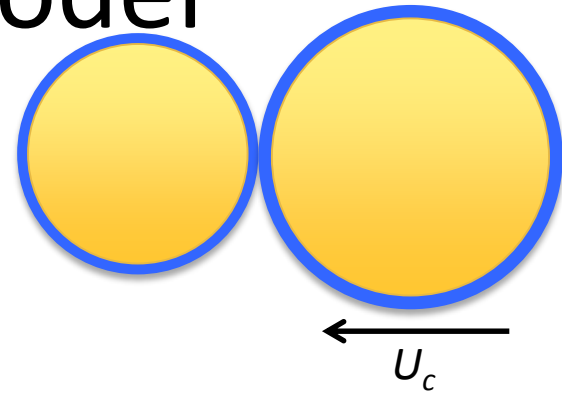
$$h_o = \begin{cases} D \frac{e(S - S^*)}{r_l} & S > S^* \\ 0 & S < S^* \end{cases}$$

# Bed Zone Model

- Coalescence

$$b = \begin{cases} k_I & \text{if } St_v < St^* \quad \text{type I} \\ k_{II} & \text{if } f(St_v, St_{def}) < 0 \quad \text{type II} \\ 0 & \text{if neither} \end{cases}$$

- Other models in the literature where surface wet granules are required (Cryer, Biggs and Hounslow, ...)



# Impeller zone model

$$0 = \dot{Q}_{i-b} n_b - \dot{Q}_{b-i} n_i + V_i [\dot{b}_{br} - \dot{d}_{br}]$$

$$\dot{d}_{br} = S_{br} n_i(v_p, v_s)$$

$$\dot{b}_{br} = \int_{v_p}^{\infty} \int_{v_s}^{\infty} j(v_p^c, v_s^c; v_p, v_s) S_{br} n_i(v_p^c, v_s^c) dv_p^c dv_s^c$$

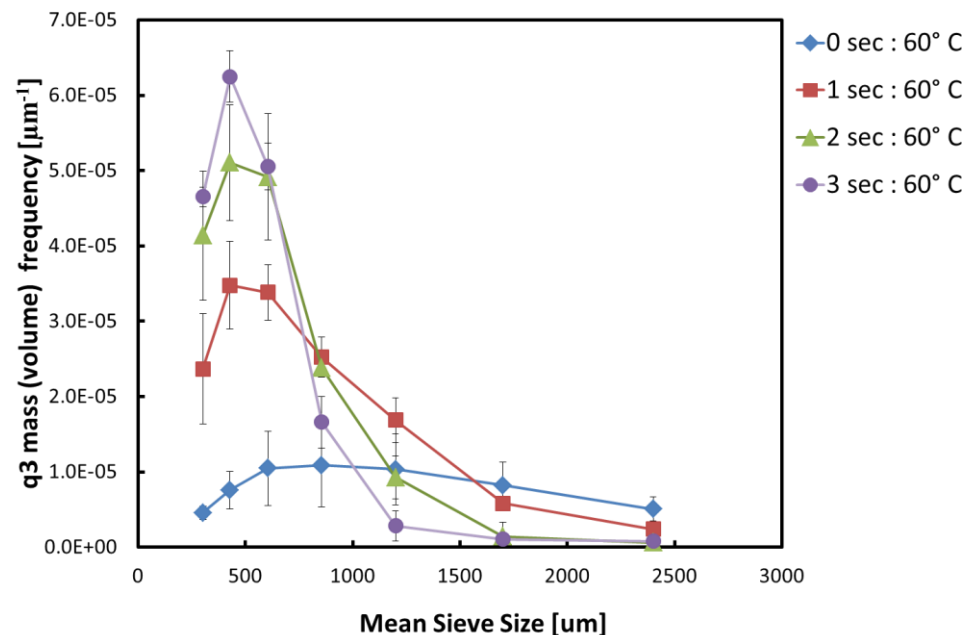
Impeller zone  
(paste breakage)

$$S_{br} = f(St_{def}, v_p)$$

Paste rheology

Impact energy or  
Shear field

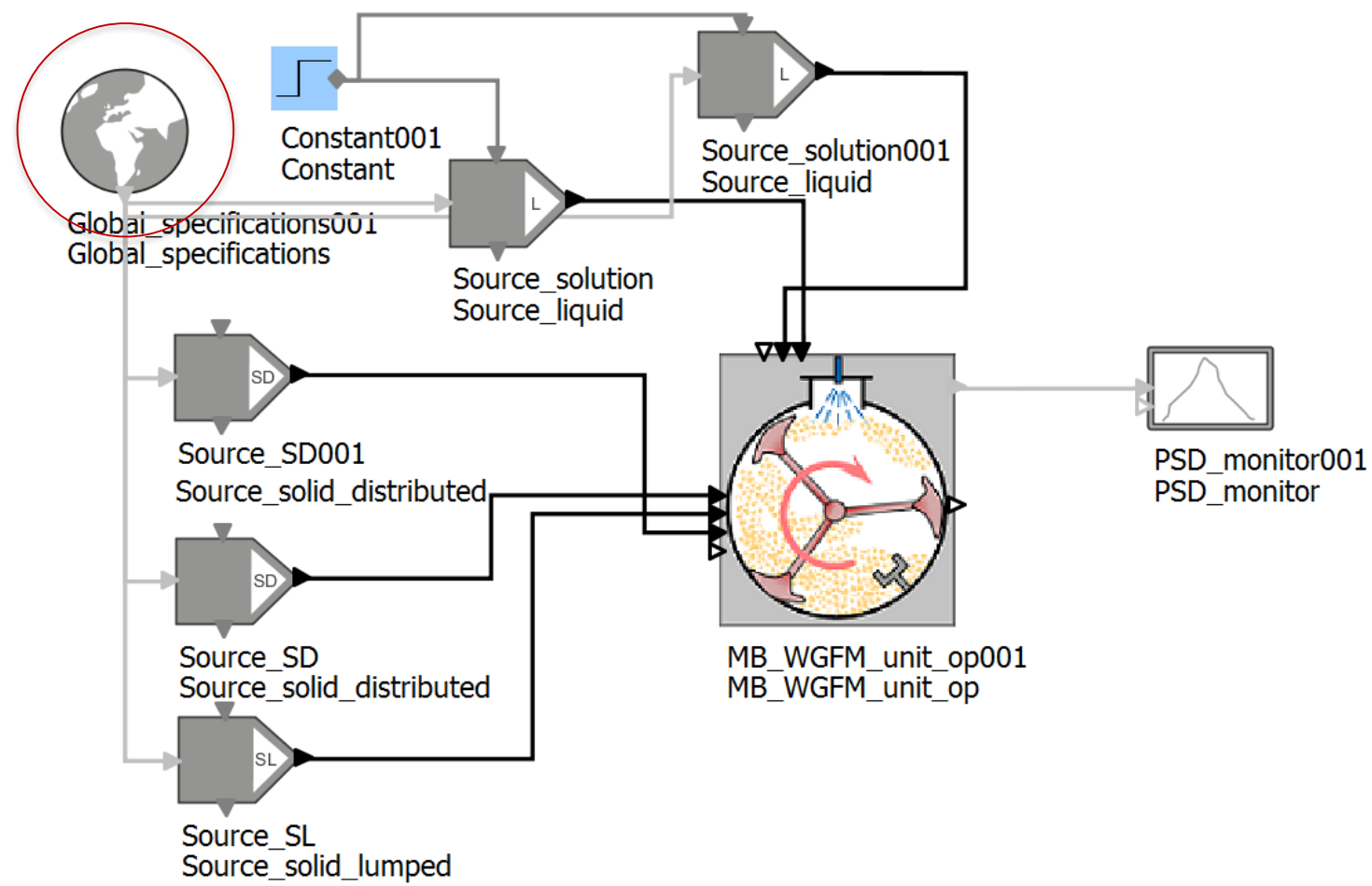
25g Paste Pulse : T<sub>initial</sub> 60°C : Mixing Time 0s – 3s

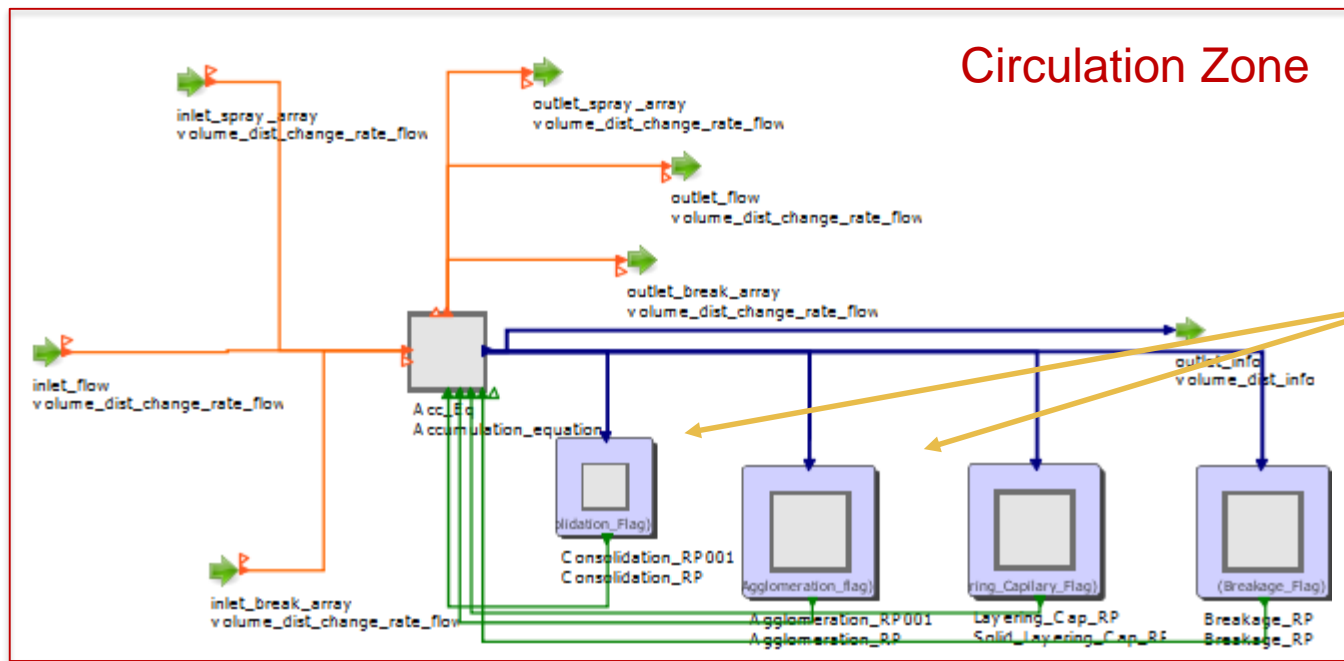
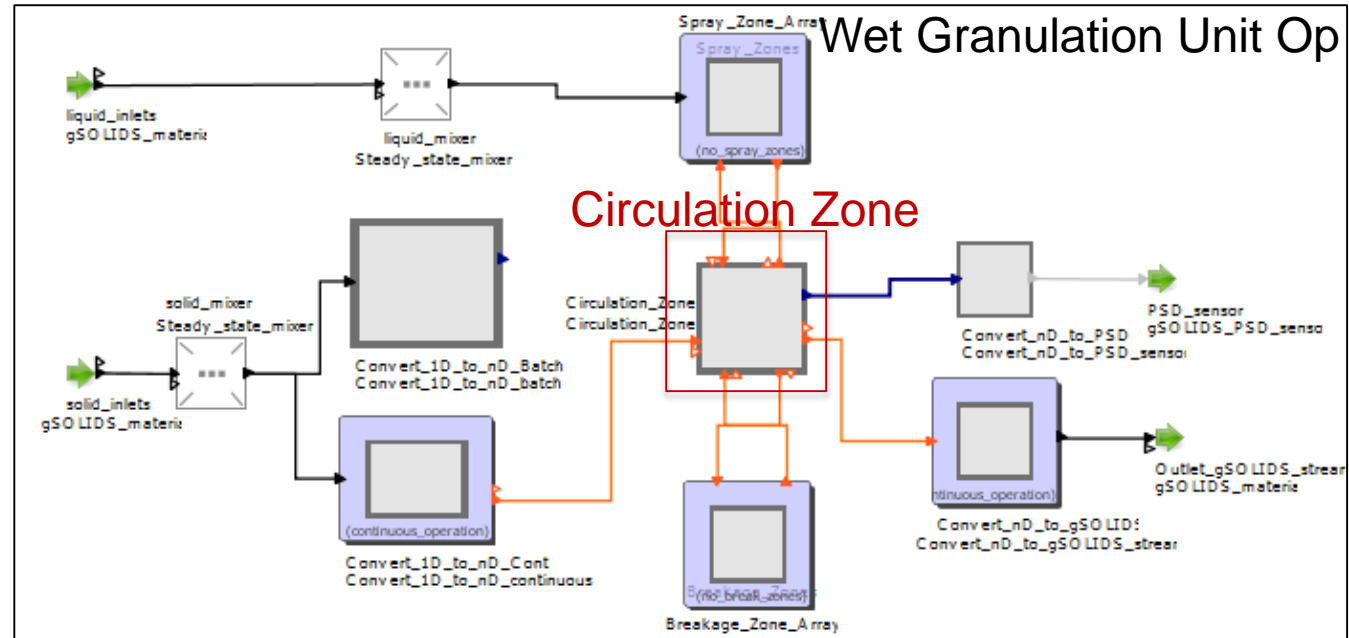
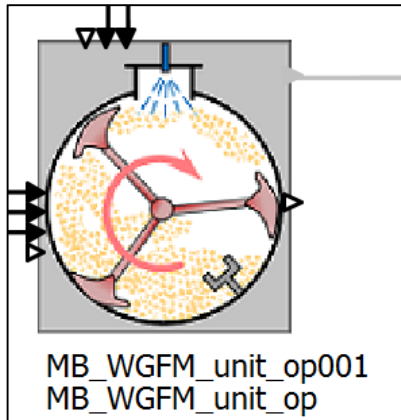


# Implementation in gSOLIDS

- Key issues
  - Capture key physics in the rate process models
  - Needs to be at least a 2D population balance
  - Compartment model to capture different zones in granulator
- University-PSE collaboration
  - Jim Litster spent 1 month at PSE in September 2013
  - Dan Pohlman spent a six month internship at PSE in 2014
  - Validation is underway for case study from Novozymes

# High Shear Wet Granulation Flowsheet

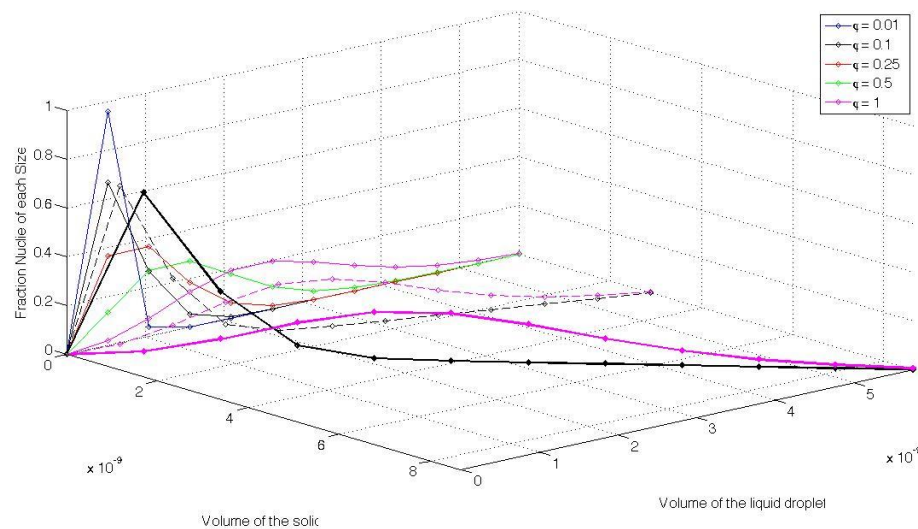
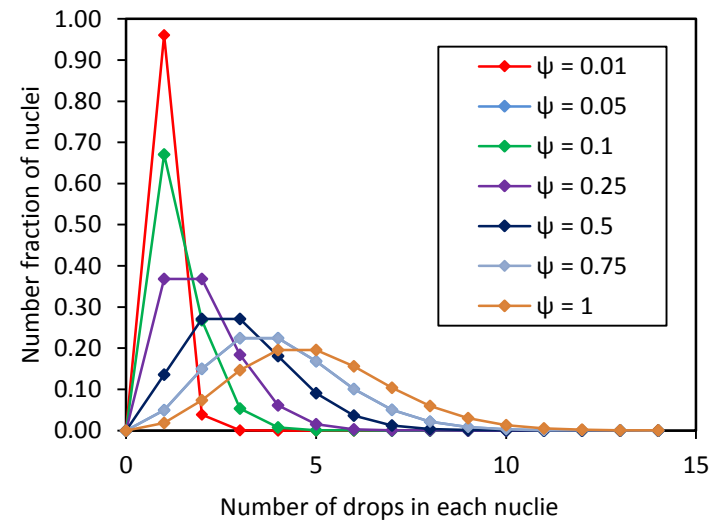
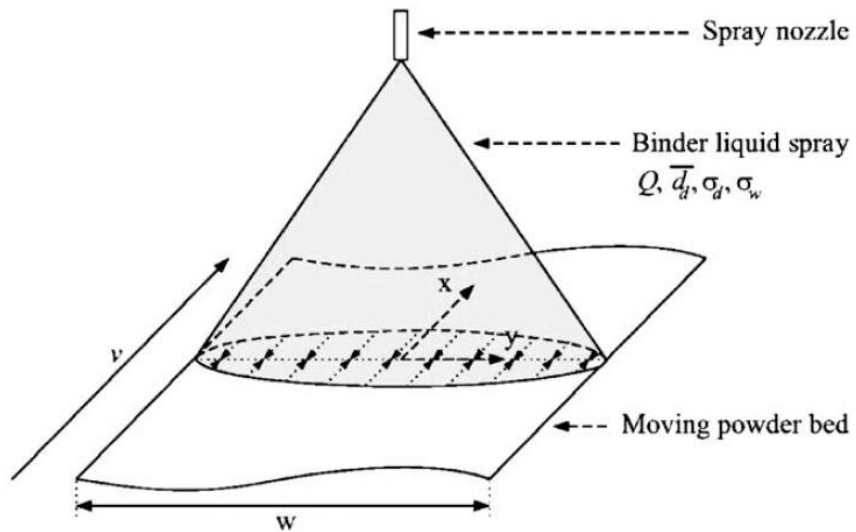




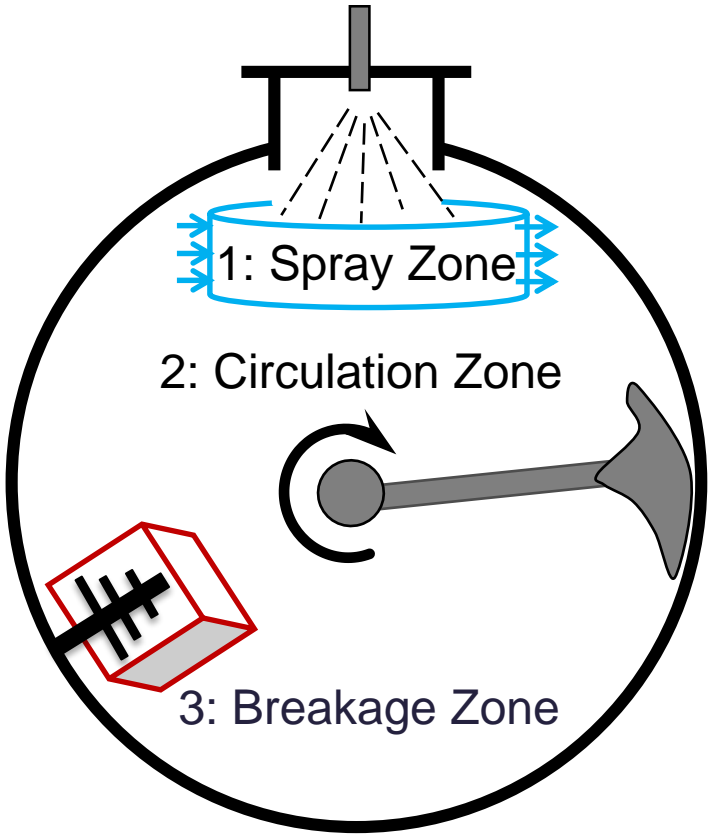
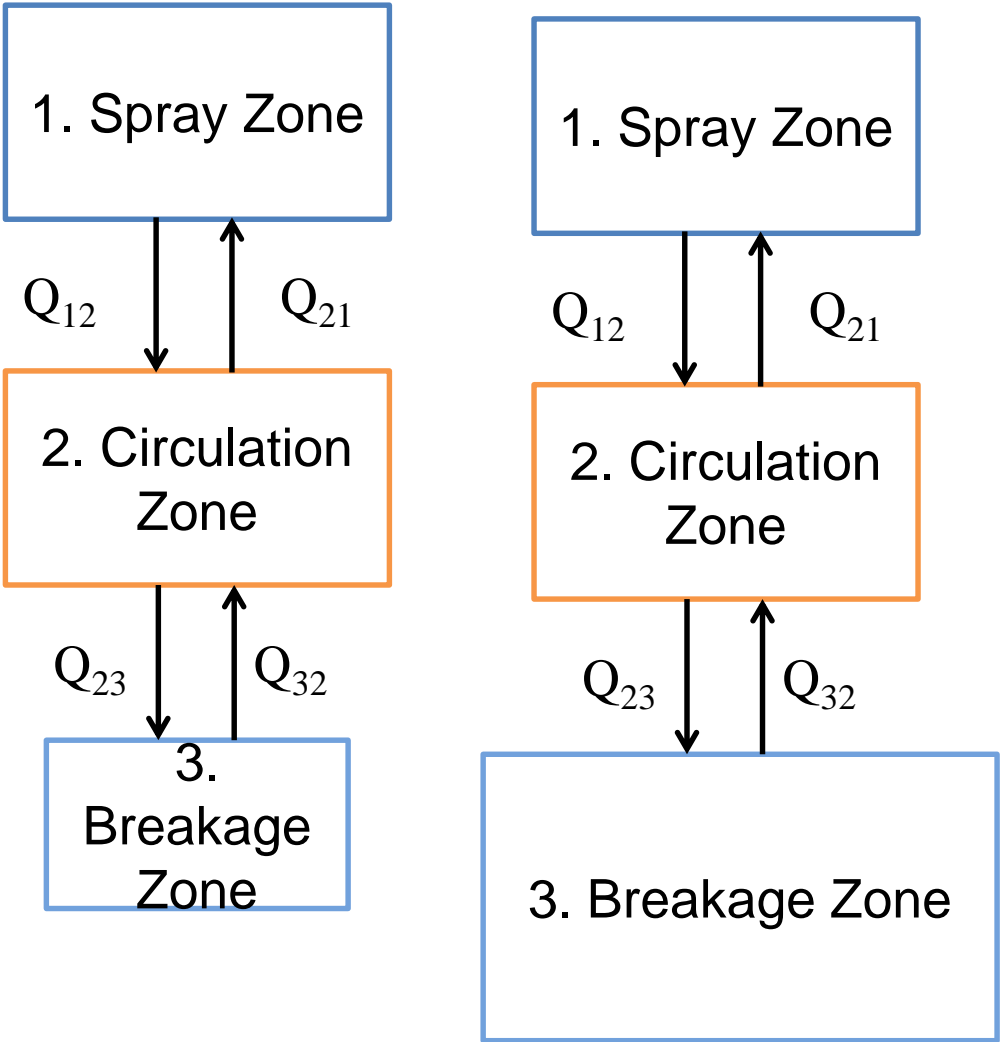
On / Off  
Rate Processes



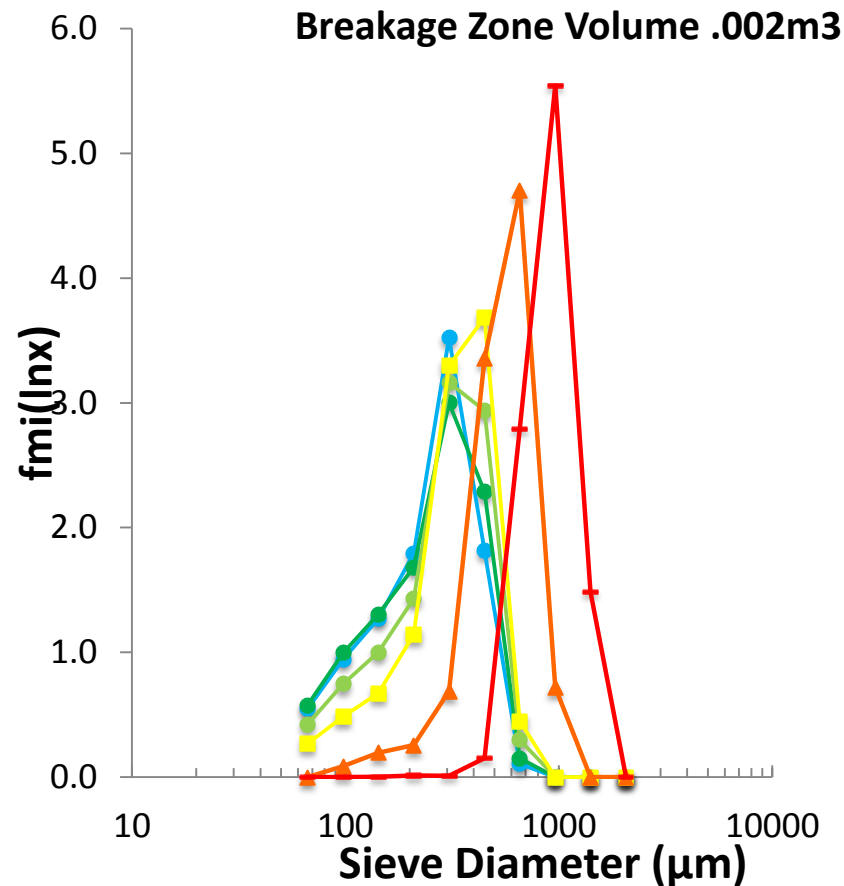
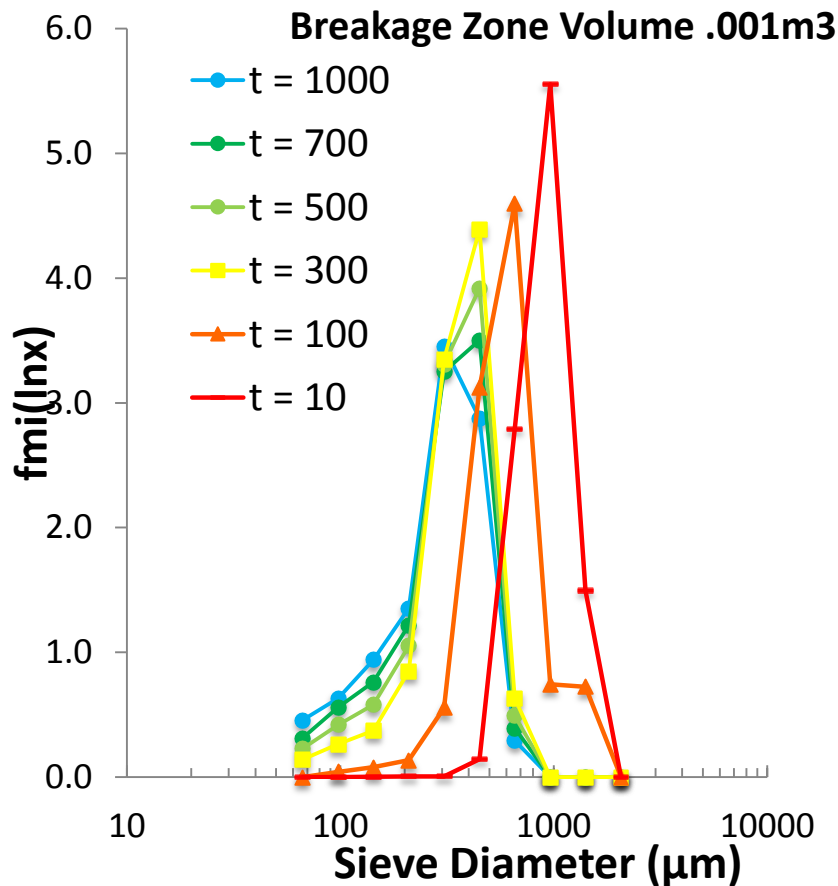
# Spray Zone Model



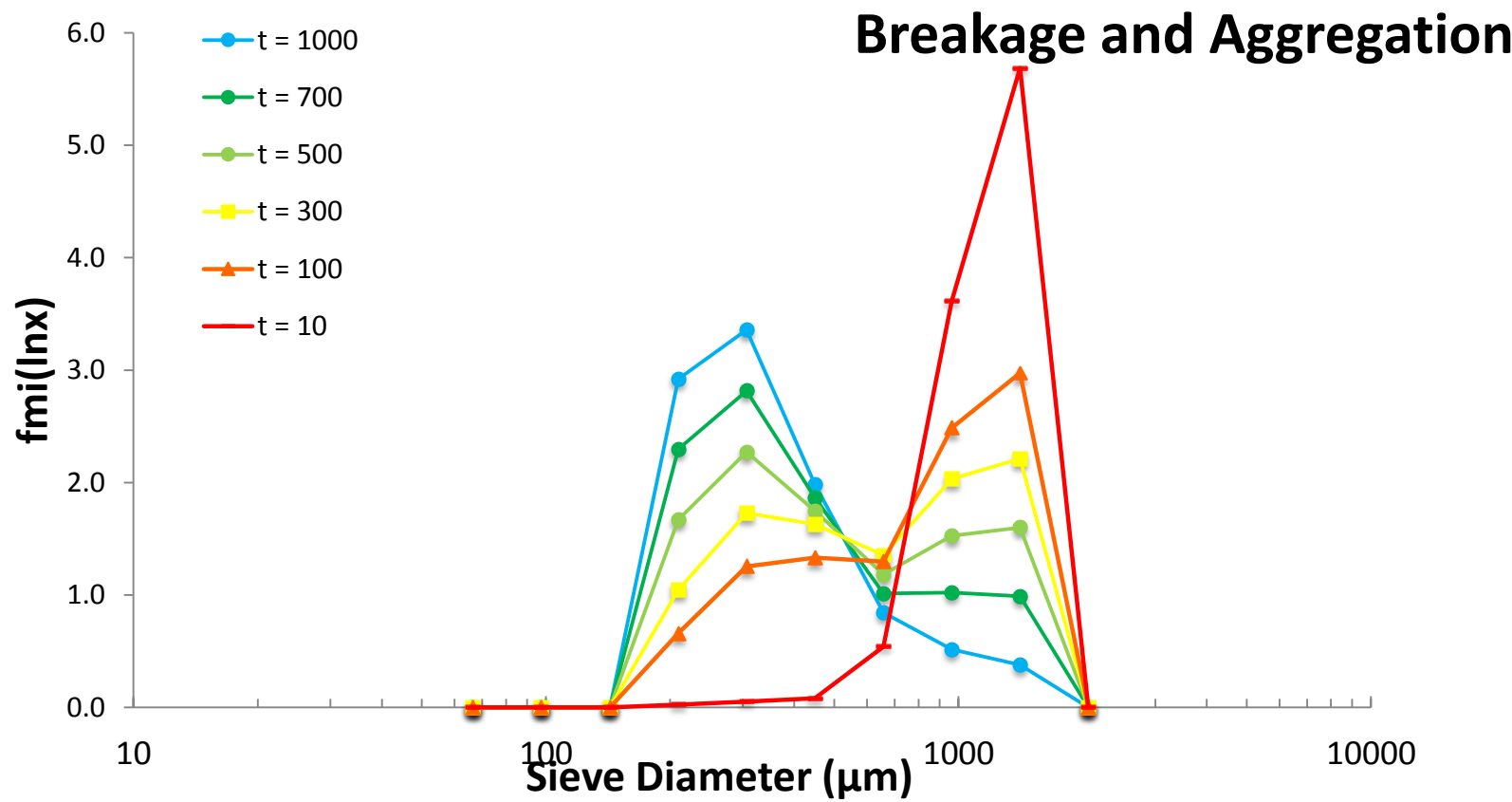
# Compartment model - Breakage

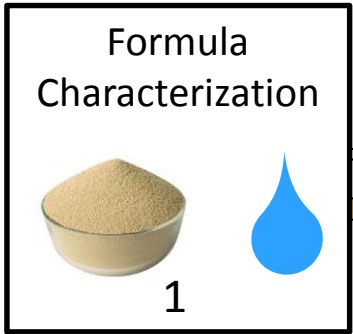


# Compartment Model - Breakage

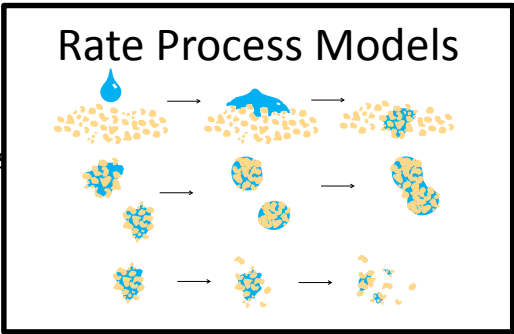


# Model Results Breakage and Aggregation

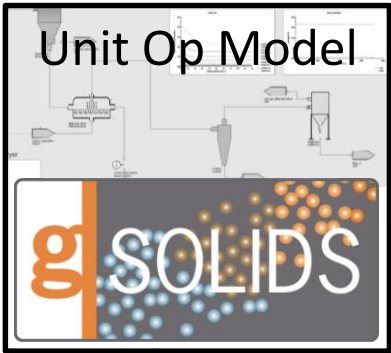




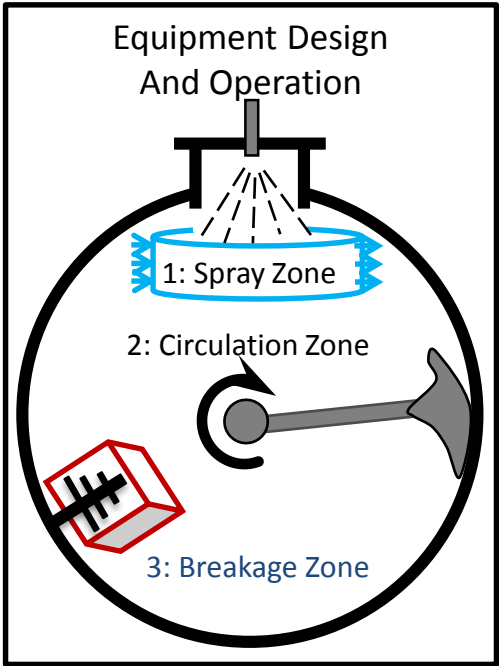
Material  
Properties



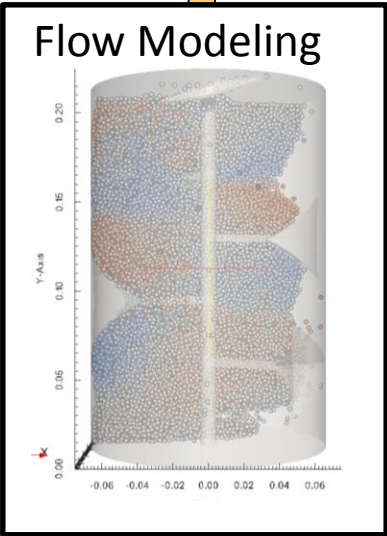
Rate  
Constants



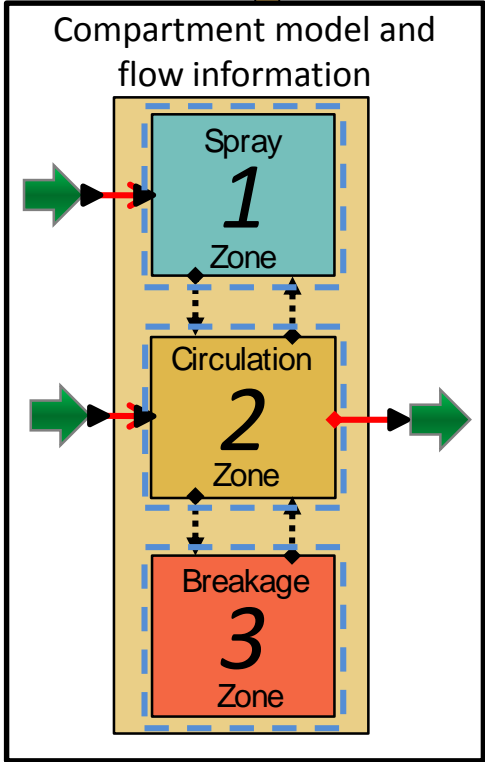
Velocities and stress fields



Process  
Information



Mixing  
Model



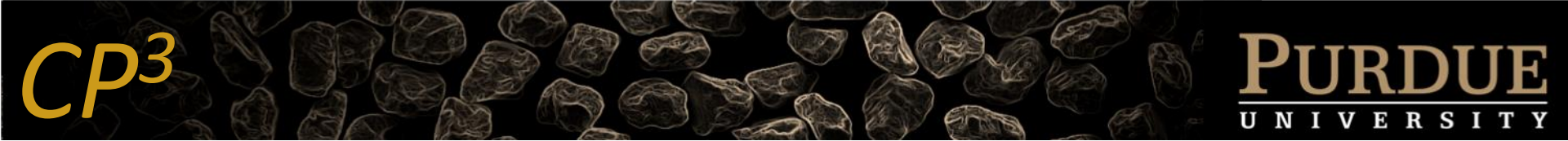
# Summary

- A granulation process model needs to capture enough of the underlying physics to be useful for design and scaling
  - Key rate processes and key dimensionless groups
  - Compartment model for the granulator
  - More than 1-D population balance is needed
- Model is implemented in gSolids and validation against a complex industrial case study is underway.



# Acknowledgments

- Colleagues at PSE: Sean Bermingham, Dana Barasso, Jianfeng Li, David Slade, .....
- Colleagues at Sheffield: Agba Salman, Mike Hounslow, Rachel Smith
- Colleagues at Novozymes: Poul Bach, Mark Bollinger
- Funding support from EPSRC, Novozymes, PSE



# Back up slides

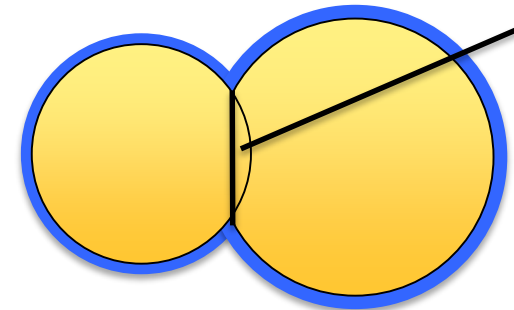
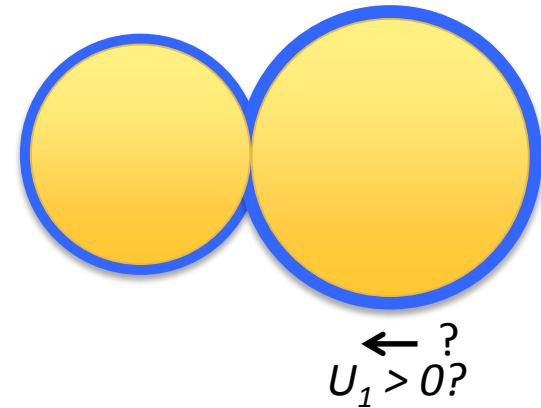
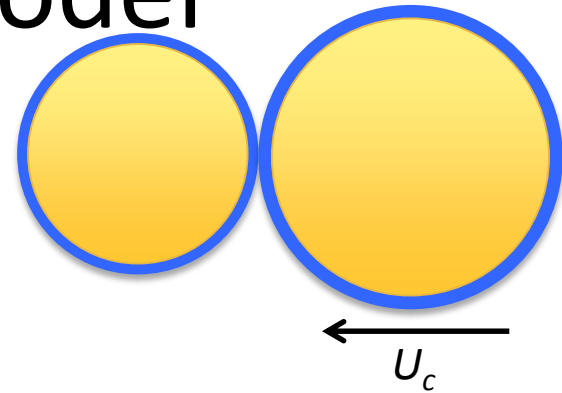
# Bed Zone Model

- Coalescence
  - Much more likely if granule is surface wet
  - Type I (Ennis)
    - If  $St_v < \ln(h_o/h_a)$
  - Type II requires deformation

$$\left(\frac{Y_d}{E^*}\right)^{\frac{1}{2}} (St_{def})^{-\frac{9}{8}} < \frac{.172}{St_v} \left(\frac{\tilde{D}}{h_o}\right)^2 \left[1 - \frac{1}{St_v} \ln\left(\frac{h_o}{h_a}\right)\right]^{\frac{5}{4}}$$

$$\times \left[ \left(\frac{h_o^2}{h_a^2} - 1\right) + \frac{2h_o}{\delta''} \left(\frac{h_o}{h_a} - 1\right) + \frac{2h_o^2}{(\delta'')^2} \ln\left(\frac{h_o}{h_a}\right) \right]$$

$$\left[ 1 - 7.36 \left(\frac{Y_d}{E^*}\right) (St_{def})^{-\frac{1}{4}} \left(1 - \frac{1}{St_v} \ln\left(\frac{h_o}{h_a}\right)\right)^{-\frac{1}{2}} \right]^2$$





# Global Specifications

Specify

☒ Solid phase components

Salt  
Cellulose  
Dry Binder

☒ Liquid phase components

Water  
Solution

Global\_specifications001 (Global\_specifications)

Specify

Are distributed phases to be considered?

Yes

Solid phases present?

Both distributed and lumped phases present

Liquid phases present?

Yes

Vapour phase present?

No

☒ Distributed solid phases

Granules  
Coarse Solids

☒ Lumped solid phases

Fines

Phases

Components

PSD Grid Parameters

Solid physical properties

Liquid physical properties

Vapour physical properties

Equilibrium parameters

Drying curve parameters

Other information

OK

Cancel

Reset all

Help

Specify

☒ Skeletal density

☐ Uniform for entire array

☒ Per element

Solid phase components

Salt	2500
Cellulose	2000
Dry Binder	1290

kg/m3

Specify

☒ Mass density

☐ Uniform for entire array

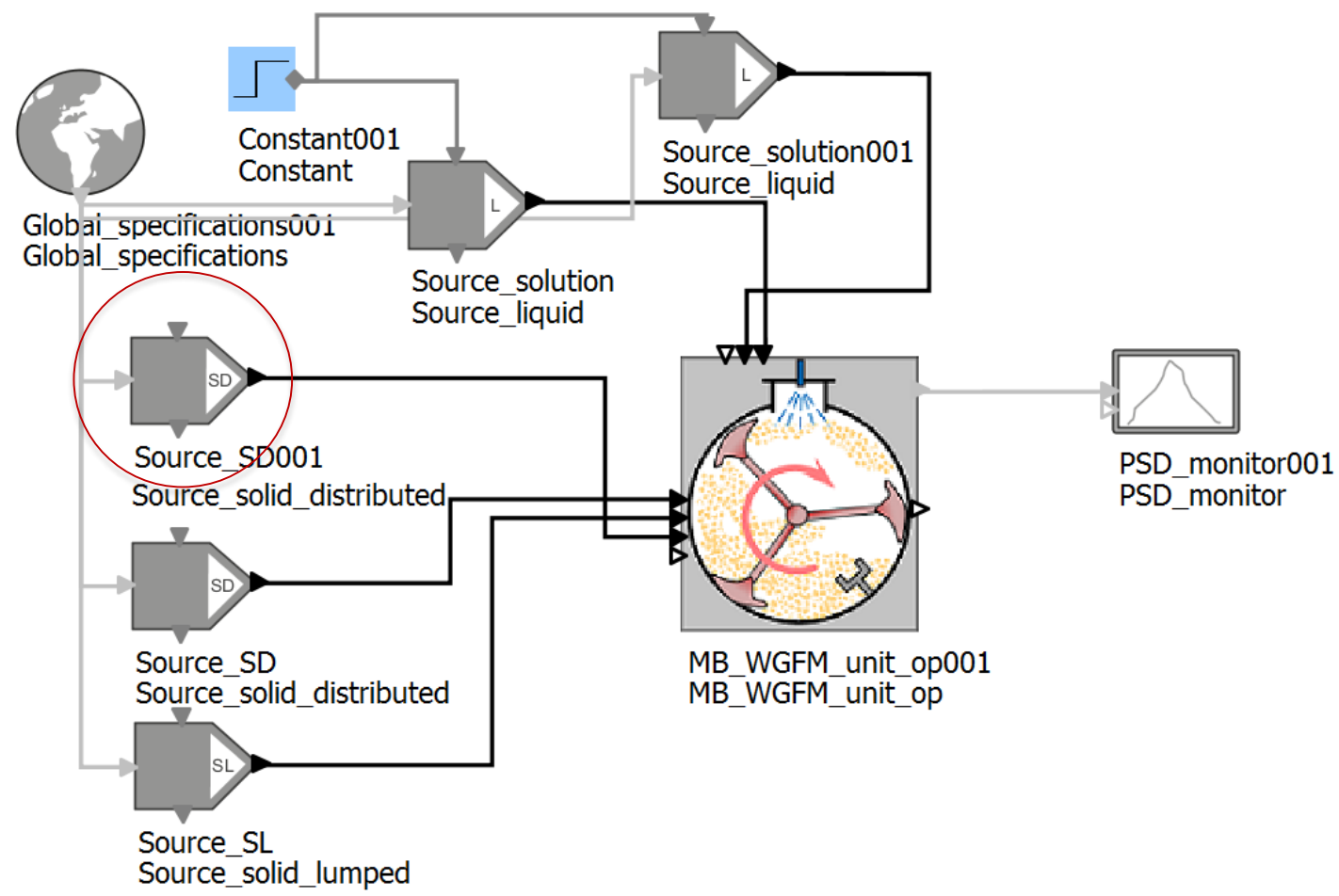
☒ Per element

Liquid phase components

Water	1200
Solution	1600

kg/m3

# High Shear Wet Granulation Flowsheet



Specify

☒ Single solid phase leaving source Coarse Solids

☒ Mass flowrate 5 kg/s

☒ Mass fraction

☐ Uniform for entire array ☒ Per element

Solid phase components

Salt	.4
Cellulose	.5
Dry Binder	0
Water	.1
Solution	0

kg/kg

☒ Temperature 298.15 K

☒ No. of sieves 14

☒ Sieve analysis data

☐ Uniform for entire array ☒ Per element

Sieve analysis results

No. of sieves	Screen size ...	Weight on s...			
1	8000	0			
2	5600	0.0007			
3	4000	0.0046			
4	2800	0.007			
5	2000	0.0082			
6	1400	0.006			
7	1000	0.0093			
8	710	0.0083			
9	500	0.0073			
10	350	0.0075			
11	250	0.01			
12	180	0.0099			
13	125	0.0073			
14	30	0.0034			

kg

☒ Weight on pan .0031 kg

☒ Bulk density 1000 kg/m<sup>3</sup>

☒ Intra-particle void .3 m<sup>3</sup>/m<sup>3</sup>

ons

Input for the  
composition of a  
source stream

Input of the size  
distribution by  
sieve size



# Bed Zone Model

- Overall PB model

$$\frac{\eta V n_b G_g}{\eta v_g} + \frac{\eta V n_b G_s}{\eta v_s}$$

$$= \dot{Q}_{i-b} n_i - \dot{Q}_{b-i} n_b + \dot{Q}_{s-b} n_s - \dot{Q}_{b-s} n_s + V_b \dot{b}_{coal} - V_b \dot{d}_{coal}$$

$$\dot{b}_{coal} = \frac{1}{2} \int_0^{v_s} \int_0^{v_l} \int_0^{v_g} b n_b(v_s^c, v_l^c, v_g^c) n(v_s - v_s^c, v_l - v_l^c, v_g - v_g^c) dv_s^c dv_l^c dv_g^c$$

$$\dot{d}_{coal} = \int_0^{v_s} \int_0^{v_l} \int_0^{v_g} b n(v_s, v_l, v_g) n(v_s^c, v_l^c, v_g^c) dv_s^c dv_l^c dv_g^c$$

