

CITIZENS SECURITIES INC.  
PO BOX 42033  
PROVIDENCE, RI 02940-9867

# 2019 TAX REPORTING STATEMENT

AWAIS SULTAN

Account No. **J9P-162949** Customer Service: 800-942-8300  
Recipient ID No. **\*\*\*-\*\*-6504** Payer's Fed ID Number: 04-3523567

eDelivered

AWAIS SULTAN  
1157 W VERNON PARK PL  
CHICAGO IL 60607-3423

Payer's Name and Address:  
NATIONAL FINANCIAL SERVICES LLC  
499 WASHINGTON BLVD  
JERSEY CITY, NJ 07310

## Form 1099-DIV \*

### 2019 Dividends and Distributions

Copy B for Recipient  
(OMB No. 1545-0110)

1a Total Ordinary Dividends .....373.54  
1b Qualified Dividends .....89.09  
2a Total Capital Gain Distributions .....154.99  
2b Unrecap. Sec 1250 Gain .....0.00  
2c Section 1202 Gain .....0.00  
2d Collectibles (28%) Gain .....0.00  
3 Nondividend Distributions .....0.00  
**4 Federal Income Tax Withheld .....0.00**  
5 Section 199A Dividends .....0.00  
6 Investment Expenses .....0.00

7 Foreign Tax Paid .....4.26  
8 Foreign Country or U.S. Possession .....Various  
9 Cash Liquidation Distributions .....0.00  
10 Non-Cash Liquidation Distributions .....0.00  
11 Exempt Interest Dividends .....0.00  
12 Specified Private Activity Bond Interest Dividends .....0.00  
13 State .....  
14 State Identification No. ....  
15 State Tax Withheld .....0.00

## Form 1099-INT \*

### 2019 Interest Income

Copy B for Recipient  
(OMB No. 1545-0112)

1 Interest Income .....0.00  
2 Early Withdrawal Penalty .....0.00  
3 Interest on U.S. Savings Bonds and Treas. Obligations .....0.00  
**4 Federal Income Tax Withheld .....0.00**  
5 Investment Expenses .....0.00  
6 Foreign Tax Paid .....0.00  
7 Foreign Country or U.S. Possession .....  
8 Tax-Exempt Interest .....0.00  
9 Specified Private Activity Bond Interest .....0.00

10 Market Discount .....0.00  
11 Bond Premium .....0.00  
12 Bond Premium on U.S. Treasury Obligations .....0.00  
13 Bond Premium on Tax-Exempt Bond .....0.00  
14 Tax-Exempt Bond CUSIP no. ....  
15 State .....  
16 State Identification No. ....  
17 State Tax Withheld .....0.00

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## Form 1099-MISC \*

## 2019 Miscellaneous Income

Copy B for Recipient  
(OMB No. 1545-0115)

2 Royalties .....0.00  
3 Other Income .....0.00  
4 **Federal Income Tax Withheld** .....**0.00**  
8 Substitute Payments in Lieu of Dividends or Interest .....0.00

16 State Tax Withheld .....0.00  
17 State/Payer's State No. ....  
18 State Income .....0.00

## Summary of 2019 Proceeds From Broker and Barter Exchange Transactions

1099-B Section	Total Proceeds	Total Cost Basis	Total Market Discount	Total Wash Sales	Realized Gain/Loss	Federal Income Tax Withheld
Short-term transactions for which basis is <u>reported</u> to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Short-term transactions for which basis is <u>not reported</u> to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Long-term transactions for which basis is <u>reported</u> to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Long-term transactions for which basis is <u>not reported</u> to the IRS	31,916.31	20,000.00	0.00	0.00	11,916.31	0.00
Transactions for which basis is not reported to the IRS and Term is Unknown	0.00	0.00	0.00	0.00	0.00	0.00
	31,916.31	20,000.00	0.00	0.00	11,916.31	0.00

1099-B amounts are reported individually to the IRS. Refer to the Form 1099-B sections in this statement, if applicable.

## Summary of 2019 Original Issue Discount

Total Original Issue Discount .....0.00  
Total Original Issue Discount on U.S. Treasury Obligations .....0.00  
Total Original Issue Discount on Tax-Exempt Obligations .....0.00

Total Original Issue Discount - REMIC .....0.00

Original Issue Discount (OID) amounts are reported individually to the IRS. Refer to the Form 1099-OID pages in this statement, if applicable.

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**FORM 1099-B\***

## 2019 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

**Long-term transactions for which basis is not reported to the IRS** --report on Form 8949 with Box E checked and/or Schedule D, Part II

Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP											
Action	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld	16 State Tax Withheld
BARCLAYS BANK PLC MTN 0.00000% 10/31/201, 06741TQ34											
Redemption	10,000.000	10/29/13	10/31/19	10,625.00	10,000.00			625.00			
HSBC USA INC NEW MTN ZERO CPN 0.00000% 1, 40432XLX7											
Redemption	10,000.000	10/25/13	10/30/19	21,291.31	10,000.00			11,291.31			
<b>TOTALS</b>				<b>31,916.31</b>	<b>20,000.00</b>	<b>0.00</b>	<b>0.00</b>		<b>0.00</b>		
<b>Box E Long-Term Realized Gain</b>								<b>11,916.31</b>			
<b>Box E Long-Term Realized Loss</b>								<b>0.00</b>			

For any transaction listed on Form 1099-B in a section indicating that "**basis is reported to the IRS**", we are reporting to the IRS: **1a** Description of Property, **2** type of gain or loss (i.e. short-term or long-term), **12** basis reported to IRS, **6** Gross or Net Proceeds, and columns **1b, 1c, 1d, 1e, 1f, 1g, 4, 7, 14, 15** and **16**. We are not reporting to the IRS: the Action, the Gain/Loss, and all subtotals and totals.

For any transaction listed on Form 1099-B in a section indicating that "**basis is not reported to the IRS**", we are reporting to the IRS: **1a** Description of Property, **5** Noncovered security, **6** Gross or Net Proceeds, and columns **1c, 1d, 4, 14, 15** and **16**. We are not reporting to the IRS: **2** type of gain or loss (i.e. short-term or long-term), the Action, the Gain/Loss, columns **1b, 1e, 1f, 1g, 2, 7** and **12** and all subtotals and totals.

For any section 1256 option contracts we are reporting to the IRS: **1a** Description of Property and totals for boxes **8, 9, 10** and **11**.

Although National Financial makes every effort to provide accurate information, please bear in mind that you, the taxpayer, are ultimately responsible for the accuracy of your tax returns.

(b) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium.

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments and unit investment trusts.

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## Summary of 2019 Supplemental Information Not Reported to the IRS

Margin Interest Paid ..... 0.00  
Tax Exempt Investment Expense ..... 0.00  
Accrued Interest Paid on Purchases ..... 0.00  
Proceeds Investment Expenses ..... 0.00  
Severance Tax ..... 0.00  
Administrative Expenses ..... 0.00  
Non-deductible Generic Expenses ..... 0.00  
Deductible Generic Expenses ..... 0.00

Currency Realized Gain/Loss (USD) ..... 0.00  
Actual Payment Shortfall..... 0.00  
Addition to Basis ..... 0.00  
Account Fees..... 0.00  
Short Dividends..... 0.00  
Money Market Realized Gain/Loss..... 0.00  
Short/Long Term Realized Gain/Loss..... 0.00  
Mortgage Pool Statement (MBS)..... 0.00

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# 2019 SUPPLEMENTAL INFORMATION

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## Details of 1099-DIV Transactions

### Total Ordinary Dividends and Distributions Detail

Description, Symbol, CUSIP								
Date	1a Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	1b Qualified Dividends	5 Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	7 Foreign Tax Paid
FRANKLIN INCOME CLASS C, FCISX, 353496805								
01/07/19	10.14	7.44		2.70				
02/05/19	10.18	7.47		2.71				
03/05/19	10.22	7.50		2.72				
04/03/19	10.26	7.53		2.73				
05/03/19	10.30	7.56		2.74				
06/05/19	10.34	7.59		2.75				
07/03/19	10.38	7.62		2.76				
08/05/19	10.42	7.65		2.77				
09/05/19	10.46	7.68		2.78				
10/03/19	10.50	7.70		2.80				
11/05/19	10.54	7.85		2.69				
12/04/19	10.58	7.88		2.70				
<b>Subtotals</b>	<b>124.32</b>	<b>91.47</b>		<b>32.85</b>				
FRANKLIN MUTUAL GLBL DISCOVERY CLASS A, TEDIX, 628380859								
10/08/19	0.39			0.39				
12/24/19	20.12			20.12				
<b>Subtotals</b>	<b>20.51</b>			<b>20.51</b>				
FRANKLIN MUTUAL GLBL DISCOVERY CLASS C, TEDSX, 628380842								
10/08/19	1.12			1.12				
12/24/19	34.61			34.61				
<b>Subtotals</b>	<b>35.73</b>			<b>35.73</b>				
FRANKLIN STRATEGIC INCOME C, FSGCX, 354713836								
02/04/19	6.61	6.61						
03/04/19	6.59	6.59						
04/02/19	6.65	6.65						

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## Details of 1099-DIV Transactions

### Total Ordinary Dividends and Distributions Detail

Description, Symbol, CUSIP								
Date	1a Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	1b Qualified Dividends	5 Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	7 Foreign Tax Paid
FRANKLIN STRATEGIC INCOME C, FSGCX, 354713836								
05/02/19	6.65	6.65						
06/04/19	6.55	6.55						
07/02/19	6.74	6.74						
08/02/19	6.70	6.70						
09/04/19	6.38	6.38						
10/02/19	6.51	6.51						
11/04/19	6.44	6.44						
12/03/19	6.42	6.42						
12/31/19	9.35	9.35						
<b>Subtotals</b>	<b>81.59</b>	<b>81.59</b>						
-----								
TEMPLETON GLOBAL BOND CLASS C, TEGBX, 880208301								
01/17/19	14.31	14.31						4.26
02/20/19	8.14	8.14						
03/19/19	8.55	8.55						
04/17/19	8.92	8.92						
05/17/19	8.89	8.89						
06/19/19	8.07	8.07						
07/17/19	9.16	9.16						
08/19/19	7.84	7.84						
09/18/19	5.96	5.96						
10/17/19	6.86	6.86						
11/19/19	7.19	7.19						
12/18/19	17.50	17.50						
<b>Subtotals</b>	<b>111.39</b>	<b>111.39</b>						<b>4.26</b>
-----								
<b>TOTALS</b>	<b>373.54</b>	<b>284.45</b>	<b>0.00</b>	<b>89.09</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>4.26</b>

Short-term capital gain distributions reported on monthly/quarterly account statements are included in **1a** Total Ordinary Dividends on Form 1099-DIV.



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## Details of 1099-DIV Transactions

### Total Capital Gains Distributions Detail

Description, Symbol, CUSIP					
Date	2a Total Capital Gain Distr. (m)	Capital Gain Distributions Subject to Applicable Rate (m)	2b Unrecaptured Section 1250 Gain	2c Section 1202 Gain	2d Collectibles (28%) Gain
FRANKLIN MUTUAL GLBL DISCOVERY CLASS A, TEDIX, 628380859					
10/08/19	18.64	18.64			
12/24/19	21.71	21.71			
<b>Subtotals</b>	<b>40.35</b>	<b>40.35</b>			
FRANKLIN MUTUAL GLBL DISCOVERY CLASS C, TEDSX, 628380842					
10/08/19	52.96	52.96			
12/24/19	61.68	61.68			
<b>Subtotals</b>	<b>114.64</b>	<b>114.64</b>			
<b>TOTALS</b>	<b>154.99</b>	<b>154.99</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

(m) 2a Total Capital Gain includes 2b, 2c and 2d. The portion of Capital Gain Distributions is subject to Applicable Rate.

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## Foreign Income and Taxes Summary

*This schedule lists all income and foreign tax by country. Foreign income and tax from mutual funds is listed in a separate section with a country designation of "RIC"*

### RICs

Country	Security description	CUSIP	Dividends		Interest	Total Foreign Income	Foreign Tax Paid
			Nonqualified	Qualified			
<b>RIC</b>							
	TEMPLETON GLOBAL BOND CLASS C	880208301	111.39	0.00	0.00	111.39	4.26
<b>TOTAL RICs</b>			<b>111.39</b>	<b>0.00</b>	<b>0.00</b>	<b>111.39</b>	<b>4.26</b>
<b>GRAND TOTALS</b>							
				<b>Total Foreign Source Income</b>		<b>111.39</b>	
				<b>Qualified Foreign Source Income</b>		<b>0.00</b>	
				<b>Foreign Tax</b>		<b>4.26</b>	

*Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.*

*Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.*

**Important Tax Return Document Enclosed.**

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