

# MATH 131B: Homework #4

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Assignment: 34, 37, 39, 40; Optional: 35

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## Problem 34

Let  $(X, d_X), (Y, d_Y)$  be metric spaces.

- (a) Show that the map  $\pi_X : X \times Y \rightarrow X$  by  $(x, y) \mapsto x$  is continuous.
- (b) Prove that  $\pi_X$  is open, i.e., if  $U \subseteq X \times Y$  is open, then  $\pi_X(U) \subseteq X$  is open.
- (c) Is  $\pi_X$  closed? That is, if  $F \subseteq X \times Y$  is closed, then is  $\pi_X(F) \subseteq X$  closed?

### Solution

(a) We will show that the map  $\pi_X : X \times Y \rightarrow X$  is (topologically) continuous by showing that all open sets in  $X$  have pre-images that are open in  $X \times Y$ . We first prove a few lemmas that help with the proof:

Lemma 1:  $B_r(x) = \prod_{i=1}^n B_r^i(x_i)$ ,  $x = (x_1, x_2, \dots, x_n)$ , in  $X$  is the product of open balls  $B_r^1(x_1), B_r^2(x_2), \dots, B_r^n(x_n)$ , where  $B_r^i(x_i)$  is the open ball of radius  $r > 0$  centered about  $x_i \in X_i$ .

Proof: Consider  $y \in B_r(x) \Leftrightarrow \max\{d_i(x_i, y) : 1 \leq i \leq n\} < r \Leftrightarrow d_i(x_i, y) < r \Leftrightarrow y \in B_r^i(x_i)$  for  $1 \leq i \leq n \Leftrightarrow y \in B_r^1(x_1) \times B_r^2(x_2) \times \dots \times B_r^n(x_n)$ , and we are done.

Lemma 2: If  $U_i \in X_i, 1 \leq i \leq n$ , are open subsets of  $X_i$ , then  $\prod_{i=1}^n U_i$  is open in  $X = X_1 \times \dots \times X_n$ .

Proof: Let  $x = (x_1, \dots, x_n) \in \prod_{i=1}^n U_i$ . Then there exist positive  $r_1, \dots, r_n$  such that  $B_{r_i}(x_i) \subset U_i, 1 \leq i \leq n$ . Take  $r := \min\{r_1, \dots, r_n\}$ . Then  $B_r^i(x_i) \subset U_i, 1 \leq i \leq n$ , and by Lemma 1, we can conclude that  $B_r^1(x_1) \times \dots \times B_r^n(x_n) \subset \prod_{i=1}^n U_i$ , so  $\prod_{i=1}^n U_i$  is open in  $X$ .

We now consider the map  $\pi_X : X \times Y \rightarrow X$ . Consider an open ball around  $x \in X$ ,  $B_r(x) \subset X$ . Then we can write the pre-image of this as

$$\pi_X^{-1}(B_r(x)) = B_r(x) \times Y \tag{1}$$

since  $B_r(x)$  is a subset of one of the metric spaces in the product space  $X \times Y$ . Let  $U \subseteq X$  be open. We will show that  $\pi_X^{-1}(U)$  is open in  $X \times Y$ . Note that  $U$  can be written as the union of open balls around points in  $U$ ,  $U = \bigcup_{x \in U} B_{r_x}(x)$ , where each radius  $r_x$  depends on the point  $x \in U$ . Then

$$\pi_X^{-1}(U) = \pi_X^{-1}\left(\bigcup_{x \in U} B_{r_x}(x)\right) = \bigcup_{x \in U} (\pi_X^{-1}(B_{r_x}(x)))$$

We can apply the equality in (1) to get

$$\bigcup_{x \in U} (\pi_X^{-1}(B_{r_x}(x))) = \bigcup_{x \in U} (B_{r_x}(x) \times Y) = \left( \bigcup_{x \in U} B_{r_x}(x) \right) \times Y = U \times Y.$$

Since  $U$  is open in  $X$  and  $Y$  is open in  $Y$ , then applying Lemma 2, we see that  $U \times Y$  is open in  $X \times Y$ , so the map  $\pi_X$  is continuous.  $\square$

(b) Let  $U \subset X \times Y$  be open. We will show that  $\pi_X(U)$  is open in  $X$ .  $U$  can be written as a union of open balls about points in  $U$ ,  $U = \bigcup_{z \in U} B_{r_z}(z)$ , and applying Lemma 1 from above, we know that this can be that opens balls in  $U \subset X \times Y$  can be written as:  $B_{r_z}(z) = B_{r_z}(x) \times B_{r_z}(y)$ , the product of open balls in  $X$  and  $Y$ , respectively. Applying the map  $\pi_X$ , we get

$$\pi_X(U) = \pi_X\left(\bigcup_{z \in U} B_{r_z}(z)\right) = \bigcup_{z \in U} \pi_X(B_{r_z}(z)) = \bigcup_{x \in G \cap X} B_{r_z}(x),$$

which is an arbitrary union of open sets in  $X$ , hence open in  $X$ .  $\square$

(c) Consider the map  $\pi_X : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$ . Then the set  $F = \{(x, y) : xy \geq 1, x > 0\}$  is closed in  $\mathbb{R} \times \mathbb{R}$ , as it contains all of its boundary points. However,  $\pi_X(F) = (0, \infty)$ , which is not closed in  $\mathbb{R}$  since 0 is adherent to  $(0, \infty)$ , but  $0 \notin (0, \infty)$ , and we conclude that the map  $\pi_X$  is not a closed map.  $\square$

## Problem 35

Let  $X, Y$  be metric spaces and let  $\pi_X : X \times Y \rightarrow X$  be the map defined by  $(x, y) \mapsto x$  as in Problem 34. Assume  $Y$  is compact. Show that  $\pi_X$  is closed.

### Solution

We first show the following lemmas.

(a) Show that for every  $x \in X$ , the set  $\{x\} \times Y$  is compact.

**Proof** Let  $(x_n, y_n) \subset \{x\} \times Y$  be a sequence. Since the only element in  $\{x\}$  is  $x$ , then  $x_n = x$  for all  $n$ , so we have  $x_n \rightarrow x$ . By assumption  $Y$  is compact  $\Leftrightarrow$  sequentially compact, so  $(y_n) \subset Y$  has a convergent subsequence that converges to  $y \in Y$ . Hence, we've shown that for a sequence  $(x_n, y_n) \subset \{x\} \times Y$ , we can find a subsequence  $(x_{n_k}, y_{n_k}) \rightarrow (x, y) \in \{x\} \times Y$ , so  $\{x\} \times Y$  is sequentially compact  $\Leftrightarrow$  compact.

(b) Suppose  $F \subset X \times Y$  is closed,  $x \in X$  such that  $(\{x\} \times Y) \cap F = \phi$ . Show there is an  $\epsilon > 0$  such that  $(B_\epsilon(x) \times Y) \cap F = \phi$ .

**Proof** Suppose for contradiction that  $B_\epsilon(x) \cap F \neq \phi$  for every  $\epsilon > 0$ . Let  $(x_0, y_0) \in (B_\epsilon(x) \times Y) \cap F$ . Then let  $(x_n, y_n)$  be a sequence in  $B_\epsilon(x) \times Y$ . Since  $Y$  is compact  $\Leftrightarrow$  sequentially compact, then we can pass  $y_n$  to a subsequence  $(y_{n_k}) \subset Y$  that converges to  $y \in Y$ . Since  $x_n \in B_\epsilon(x)$  for every  $\epsilon > 0$ , then  $x_n \rightarrow x$ , and  $x_{n_k} \rightarrow x$ . Then, we have that  $(x_{n_k}, y_{n_k}) \rightarrow (x, y)$ . Since  $(x_n, y_n) \subset F$ , and  $F$  is closed in  $X \times Y$ , then  $(x, y) \in F$ , but this contradicts our assumption that  $(\{x\} \times Y) \cap F = \phi$ . Thus, we conclude that there does exist  $\epsilon > 0$  such that  $(B_\epsilon(x) \times Y) \cap F = \phi$ .  $\square$

We now show that  $\pi_X$  is closed. Let  $F \subset X \times Y$  be closed. We want to show that  $\pi_X(F)$  is closed. It suffices to show that  $(X - \pi_X(F))$  is open, that is for any  $x \in (X - \pi_X(F))$ , there exists  $\epsilon > 0$  such that  $B_\epsilon(x) \cap \pi_X(F) = \emptyset$ . Let  $x \in (X - \pi_X(F))$ . Note that this means that  $(x, y) \notin F$  for all  $y \in Y$  since  $x \notin \pi_X(F)$ . In other words, this means that  $(\{x\} \times Y) \cap F = \emptyset$ . Applying part (b) above, we know there exists  $\epsilon > 0$  such that

$$(B_\epsilon(x) \times Y) \cap F = \emptyset \quad (2)$$

Applying  $\pi_X$ , we get  $\pi_X(B_\epsilon(x) \times Y) = B_\epsilon(x) \subset X$ , and by the empty intersection in (2) above, we see that  $B_\epsilon(x) \cap \pi_X(F) = \emptyset$ , so  $B_\epsilon(x) \subset (X - \pi_X(F))$ , so  $(X - \pi_X(F))$  is open  $\Leftrightarrow \pi_X(F)$  closed, so the map  $\pi_X$  is closed.  $\square$

## Problem 37

Suppose  $f : (X, d_X) \rightarrow (Y, d_Y)$ .

(a) Prove that if  $f$  is continuous, then the graph of  $f$  is closed in  $X \times Y$ .

### Solution

The graph of  $f$  is  $\text{graph}(f) = \{(x, f(x)) : x \in X\}$ . Let  $(x_n, f(x_n))$  be a sequence in  $\text{graph}(f)$  that converges to  $(x_0, y_0)$ . To show  $\text{graph}(f)$  is closed, we need to show that  $(x_0, y_0) \in \text{graph}(f)$ , that is  $(x_0, y_0) = (x_0, f(x_0))$ . Since  $(x_n, f(x_n)) \rightarrow (x_0, y_0)$ , we have component-wise convergence, so  $x_n \rightarrow x_0$  and  $f(x_n) \rightarrow y_0$ . By continuity of  $f$ ,  $x_n \rightarrow x_0$  implies  $f(x_n) \rightarrow f(x_0)$ . Since limits are unique, we conclude that  $f(x_0) = y_0$ , so we have  $(x_0, y_0) = (x_0, f(x_0))$ , and  $(x_0, y_0) \in \text{graph}(f)$ , so  $\text{graph}(f)$  is closed in  $X \times Y$ .  $\square$

(b) Find metric spaces  $(X, d_X), (Y, d_Y)$  and a function  $f : X \rightarrow Y$  which is not continuous such that the graph of  $f$  is closed in  $X \times Y$ .

### Solution

Consider the metric space  $(\mathbb{R}, |\cdot|)$ , and the function  $f : \mathbb{R} \rightarrow \mathbb{R}$ , where  $f(x) = \frac{1}{x}$  if  $x \neq 0$ , and  $f(0) = 0$ . Then clearly,  $f$  is not continuous at 0. If we consider the graph of  $f$ , however,  $\text{graph}(f) = \{(x, f(x)) : x \in \mathbb{R}\}$ , then we see that it is closed in  $\mathbb{R} \times \mathbb{R}$ , as it contains all of its boundary points. In particular,  $f(0) = 0$ , which is a limit point as  $x \rightarrow \infty$  or  $x \rightarrow -\infty$ .  $\square$

(c) Suppose now that  $(Y, d_Y)$  is compact. Prove that if the graph of  $f : X \rightarrow Y$  is closed, then  $f$  is continuous.

### Solution

Let  $x_0 \in X$ . Then  $f(x_0) \in Y$ . Let  $V$  be the open ball around  $f(x_0) \in Y$ . Then  $(Y - V)$  is closed, and since  $\text{graph}(f)$  is closed in  $X \times Y$ , then  $\text{graph}(f) \cap (X \times (Y - V))$  is closed in  $X \times Y$ . By Problem 35, we also know that  $\pi_X(\text{graph}(f) \cap (X \times (Y - V)))$  is closed in  $X$ , so  $X - \pi_X(\text{graph}(f) \cap (X \times (Y - V))) =: U$  is open in  $X$ . Since  $f(x_0) \in V$ , then  $f(x_0) \notin Y - V$ , so  $(x_0, f(x_0)) \notin X \times (Y - V)$ , and  $x_0 \notin \pi_X(\text{graph}(f) \cap (X \times (Y - V)))$ , so  $x_0 \in U$ . We are done if we can show that for arbitrary  $x \in U$ ,  $f(x) \in V$ . Let  $x \in U$ . Then we can follow similar logic as above:  $(x, f(x)) \notin (X \times (Y - V)) \implies f(x) \notin Y - V \implies f(x) \in V \implies f(U) \subset V$ , so  $f$  is continuous at  $x_0$ , which was arbitrary, so we conclude that  $f$  is continuous.  $\square$

## Problem 39

Let  $(X, d)$  be a metric space and  $(E_{\alpha})_{\alpha \in I}$  be a collection of connected subsets of  $X$ . Suppose  $\bigcap_{\alpha \in I} E_{\alpha} \neq \emptyset$ . Show that  $\bigcup_{\alpha \in I} E_{\alpha}$  is connected.

### Solution

Let  $X := \bigcup_{\alpha \in I} E_{\alpha}$ . Since  $X$  is connected if and only if every continuous two valued function on  $X$  is constant, then it suffices to show that  $f : X \rightarrow \{0, 1\}$  is constant. Since each  $E_{\alpha}$  is connected, then  $f|_{E_{\alpha}} : E_{\alpha} \rightarrow \{0, 1\}$  is constant. Since  $\bigcap_{\alpha \in I} E_{\alpha} \neq \emptyset$ , let  $x_0 \in \bigcap_{\alpha \in I} E_{\alpha}$ . Then  $x_0 \in E_{\alpha}$  for all  $\alpha \in I$ , hence in the union,  $X$ . By connectedness of each of the  $E_{\alpha}$ 's, we can say without loss of generality that  $f(x_0) = 0$ , hence constant. Since  $E_{\alpha} \subset X$  for all  $\alpha \in I$ , then the continuous function  $f : X \rightarrow \{0, 1\}$  is also constant, so  $X = \bigcup_{\alpha \in I} E_{\alpha}$  is connected.  $\square$

## Problem 40

Let  $(X, d_X)$  be a metric space, and let  $E \subseteq X$ .

(1) Show that if  $E$  is connected, then  $\overline{E}$  is connected.

### Solution

Suppose  $E$  is connected. Then all continuous two-valued functions on  $E$  are constant. Suppose without loss of generality that for all  $x \in E$ ,  $f(x) = 0$ . Then it suffices to show that for any  $x \in \overline{E}$ ,  $f(x) = 0$  as well. Let  $x_0 \in \overline{E}$ . Then  $x_0$  is adherent to  $E$ , so there exists a sequence  $(x_n) \subset E$  that converges to  $x_0$ . Then we take the limit of  $f(x_n)$ , and by continuity of  $f$  on  $E$ , we can write

$$\lim_{n \rightarrow \infty} f(x_n) = f\left(\lim_{n \rightarrow \infty} x_n\right) = f(x_0).$$

Since  $f(x_n) = 0$  for all  $n$ , then we conclude that  $f(x_0) = 0$ , so the continuous two valued function  $f : \overline{E} \rightarrow \{0, 1\}$  is in fact constant, so  $\overline{E}$  is connected.  $\square$

(2) Is the converse true?

### Solution

Let  $E \subset (\mathbb{R}^2, d_2)$  be the union of the open balls of radius 1 about  $x_1 := (-1, 0)$  and  $x_2 := (1, 0)$ ,  $E = B_1(x_1) \cup B_1(x_2)$ . Then if we consider the closure of  $E$ , then we see that  $\overline{E}$  is connected, as  $\overline{E}$  is the union of two closed balls in  $\mathbb{R}^2$ , which cannot be written as the union of two open, nonempty sets. However, by construction,  $E$  is disconnected, so  $\overline{E}$  being connected does not imply that  $E$  is connected.  $\square$

(3) Is it true that if  $E$  is connected, then  $\text{int}(E)$  is connected?

### Solution

Let  $E \subset (\mathbb{R}^2, d_2)$  be the union of the closed balls of radius 1 about the points  $x_1 := (-1, 0)$  and  $x_2 := (1, 0)$ . Then  $E$  is connected, as it cannot be written as the union of two open, nonempty sets. However, if we consider  $\text{int}(E)$ , which consists of the union of the two open balls of radius 1 about  $x_1$  and  $x_2$ , then we see that the interior is exactly the union of two open, nonempty sets, which implies that  $\text{int}(E)$  is disconnected. Thus,  $E$  being connected does not imply that  $\text{int}(E)$  is connected.  $\square$