Report of Entropy estimates based on NIST SP 800-90B non-IID track

2024-May-05 17:15:06.756426

1 Identification information

1.1 Identification of acquisition data from entropy source

Table 1 Identification information of acquisition data from entropy source

URL of the acquisition data	`https://github.com/usnistgov/SP800-90B_EntropyAssessment/blob/master/bin/rand8_short.bin`
SHA-256 hash value of the acquisition data [hex]	`17d2eaf9 544cd6ae a3e245be c362f494 376d0b1c a6140c47 5a35f1ad 1f8c2803`

- Name of the submitter of the acquisition data :
- Brief explanation of the acquisition data (or entropy source) :

1.2 Identification of analysis environment

Table 2 Identification information of analysis environment

Analysis tool	Name	Another entropy estimation tool with extensions
	Versioning information	1.0.53
	built as	64-bit application
	built by	Intel C++ Compiler (`__INTEL_LLVM_COMPILER`: 20240100)
	linked libraries	Boost C++ 1.85.0
Analysis environment	Hostname	██████████
	CPU information	AMD Ryzen ███████████████████████
	Physical memory size	████ MiB
	OS information	Windows 10 or greater 64-bit
	Username	██████

1.3 Identification of analysis conditions

Table 3 Identification information of analysis conditions

Number of samples	10000
Bits per sample	8
Byte to bit conversion	Most Significant bit (MSb) first

1.4 Identification of analysis method

NIST SP 800-90B [1] 6.3 with corrections [2] is applied

2 Executive summary

2.1 Numerical results of min-entropy estimates based on non-IID track

Table 4 Numerical results

Estimator	H_{original} [a] [bit / 8 - bit]	Notes to H_{original}	$H_{\text{bitstring}}$ [b] [bit / 1 - bit]	Notes to $H_{\text{bitstring}}$
The Most Common Value Estimate	7.01045	see 3.1	0.983387	see 4.1
The Collision Estimate	—	—	0.832053	see 4.2
The Markov Estimate	—	—	0.997725	see 4.3
The Compression Estimate	—	—	0.732612	see 4.4
The t-Tuple Estimate	7.01045	see 3.2	0.910786	see 4.5
The Longest Repeated Substring (LRS) Estimate	7.2892	see 3.3	0.98193	see 4.6
Multi Most Common in Window Prediction Estimate	7.37519	see 3.4	0.994537	see 4.7
The Lag Prediction Estimate	6.63644	see 3.5	0.989693	see 4.8
The MultiMMC Prediction Estimate	7.32763	see 3.6	0.987815	see 4.9
The LZ78Y Prediction Estimate	7.41098	see 3.7	0.988082	see 4.10
The intial entropy source estimate [bit / 8 - bit] $H_I = \min(H_{\text{original}}, 8 \times H_{\text{bitstring}})$	5.86089			

[a] Entropy estimate of the sequential dataset [source: NIST SP 800-90B [1] 3.1.3]

[b] An additional entropy estimation (per bit) for the non-binary sequential dataset [see NIST SP 800-90B [1] 3.1.3]

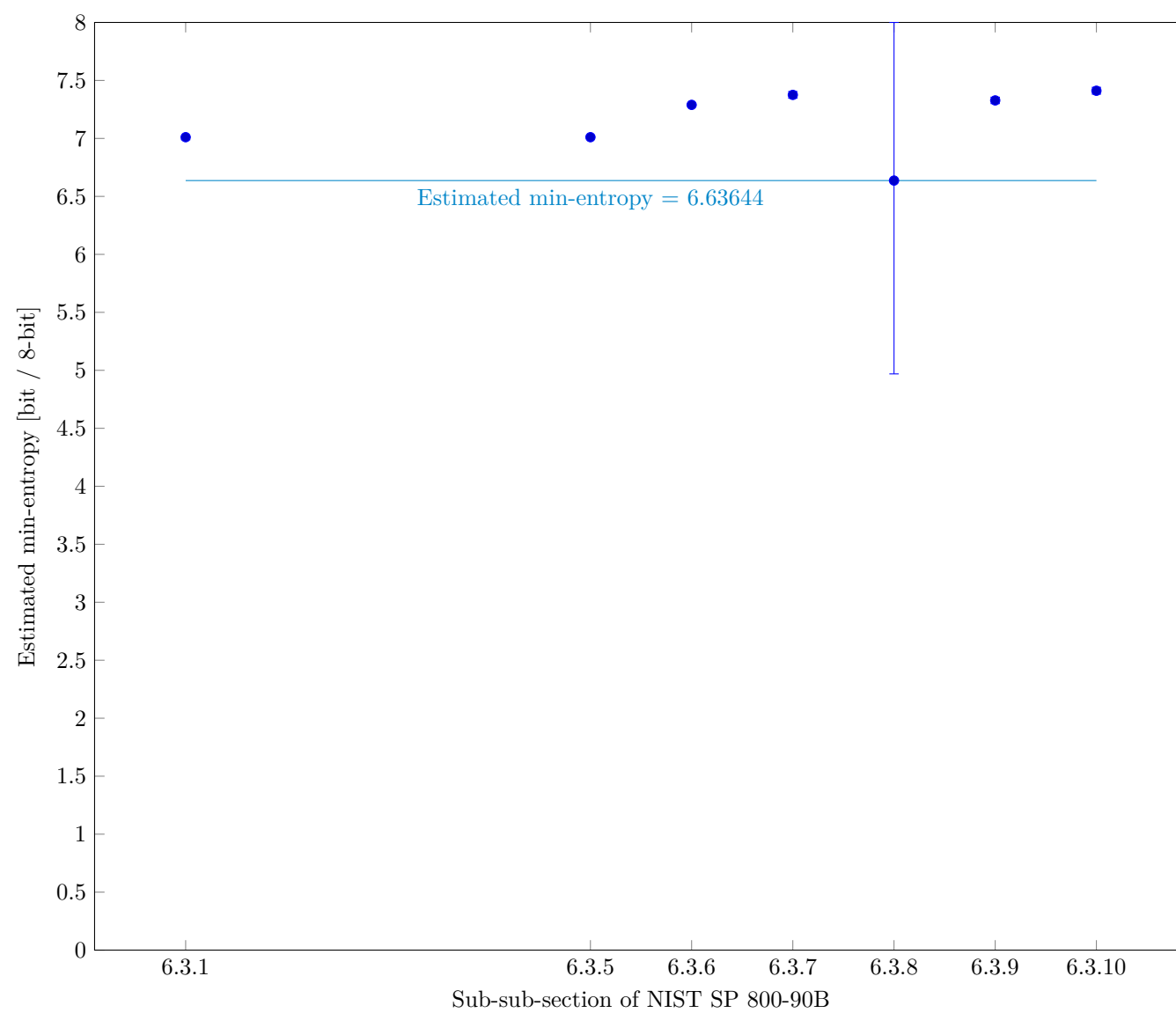## 2.2 Visual comparison of min-entropy estimates from original samples

Fig. 1   Estimated Min-Entropy using §6.3 of NIST SP 800-90B

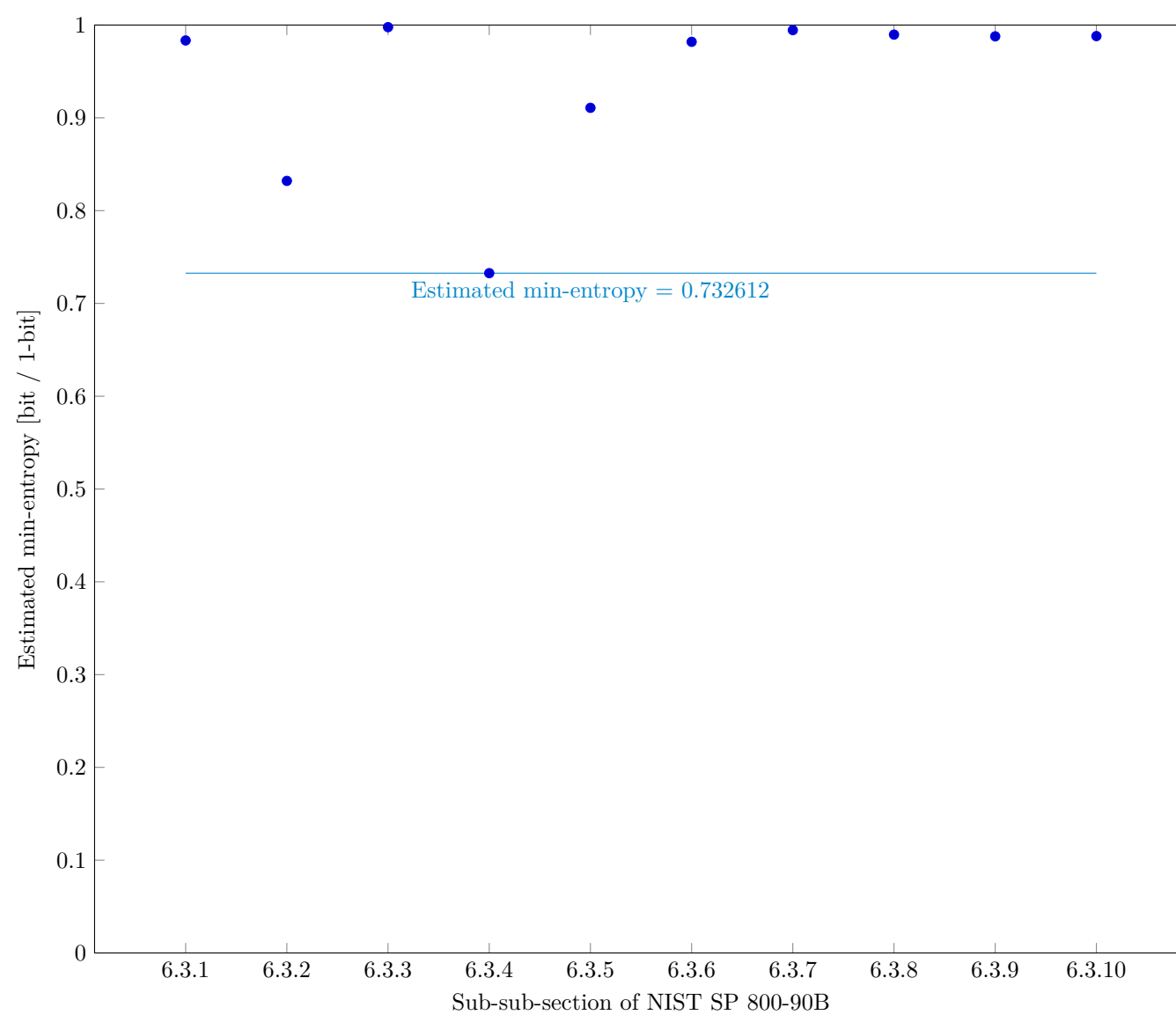## 2.3 Visual comparison of min-entropy estimates by interpreting each sample as bitstring

Fig. 2   Estimated Min-Entropy using §6.3 of NIST SP 800-90B

3 Detailed results of analysis from original samples

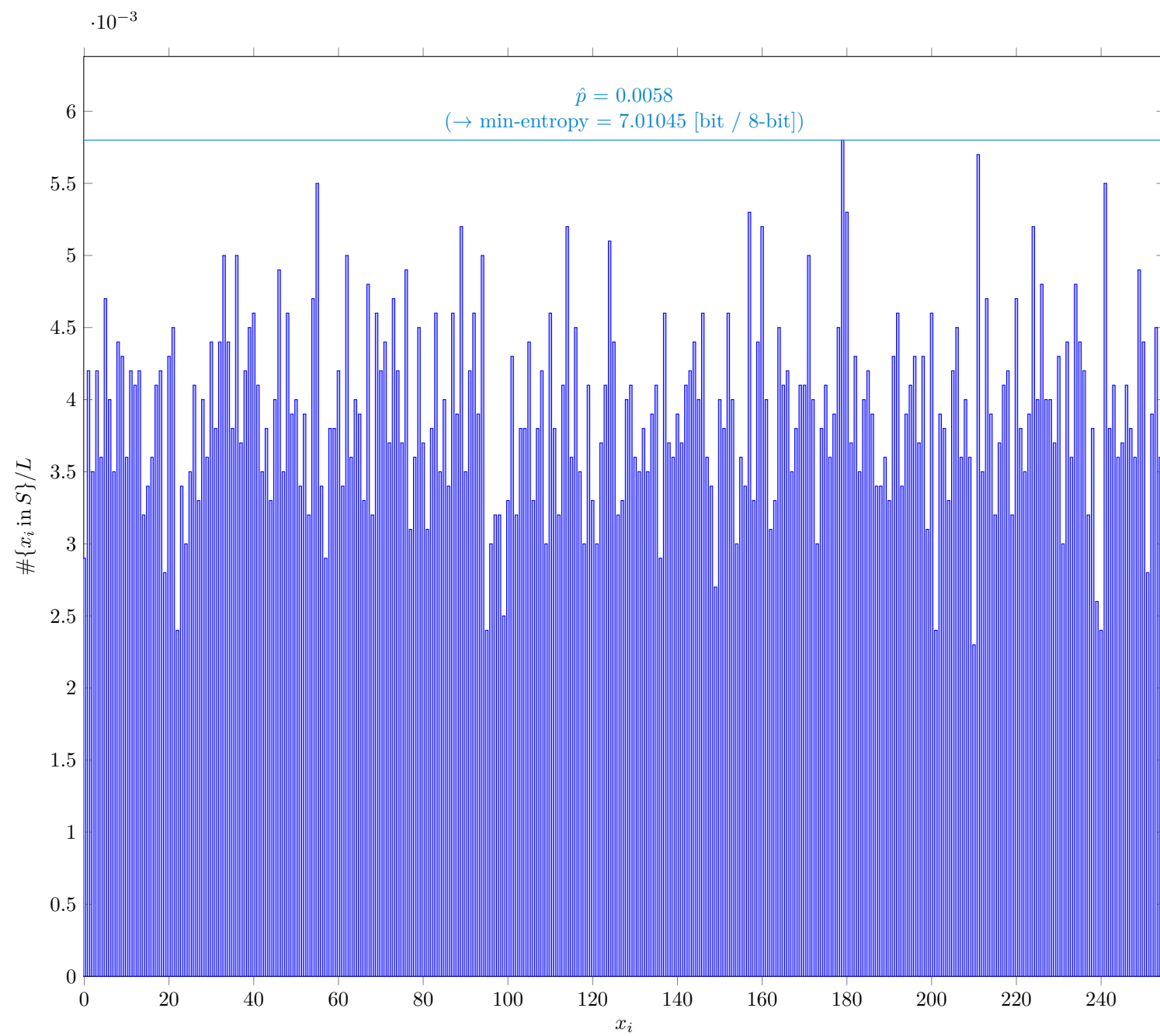## 3.1 The Most Common Value Estimate (NIST SP 800-90B Section 6.3.1)

Fig. 3 Distribution of x_i

3.1.1 Supplemental information for traceability

Table 5 Supplemental information for traceability (NIST SP 800-90B Section 6.3.1)

Symbol	Value
mode	58
$\hat{p}$	0.0058
p_u	0.00775609

3.2 The t-tuple Estimate (NIST SP 800-90B Section 6.3.5)

Fig. 4 Intermediate value $Q[i]$ in §6.3.5 of NIST SP 800-90B

$\cdot 10^{-3}$
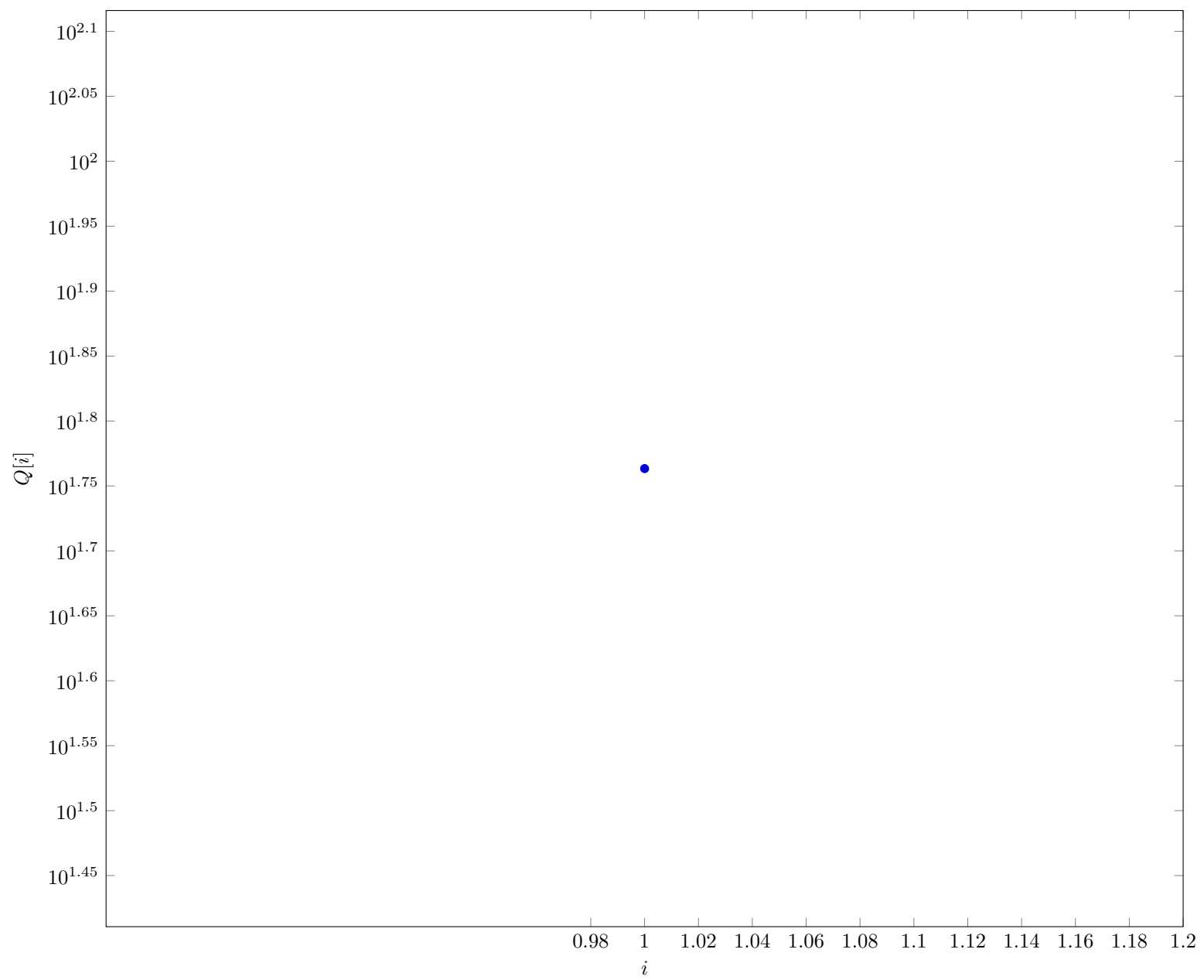
$\hat{p}_{\max} = 0.0058$
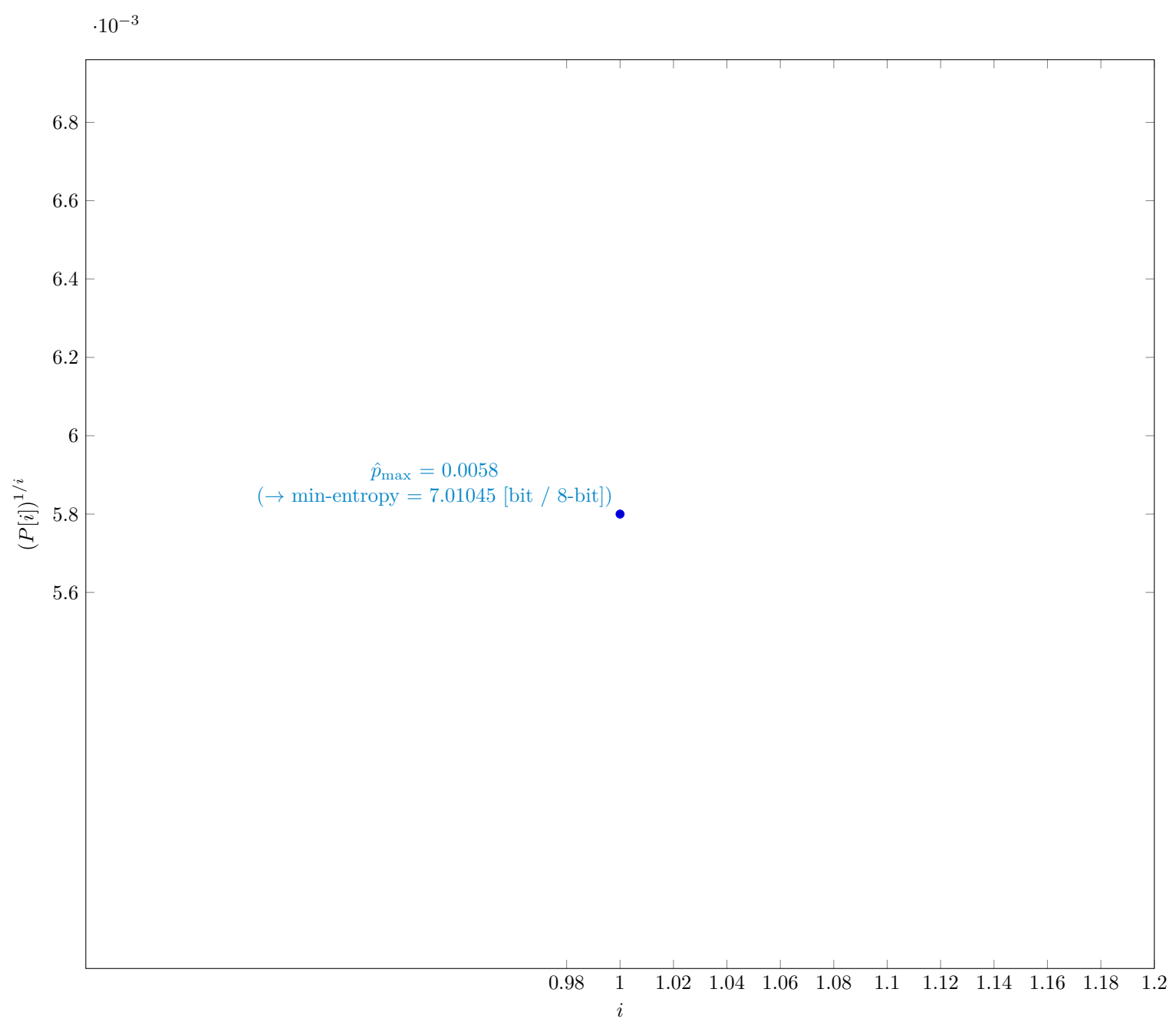$(\rightarrow \text{min-entropy} = 7.01045 \text{ [bit / 8-bit]})$

Fig. 5 $P[i]^{1/i}$ in §6.3.5 of NIST SP 800-90B

3.2.1 Supplemental information for traceability

Table 6   Supplemental information for traceability (NIST SP 800-90B Section 6.3.5)

Symbol	Value
t	1
$\hat{p}_{\max}$	0.0058
p_u	0.00775609

3.3 The LRS Estimate (NIST SP 800-90B Section 6.3.6)
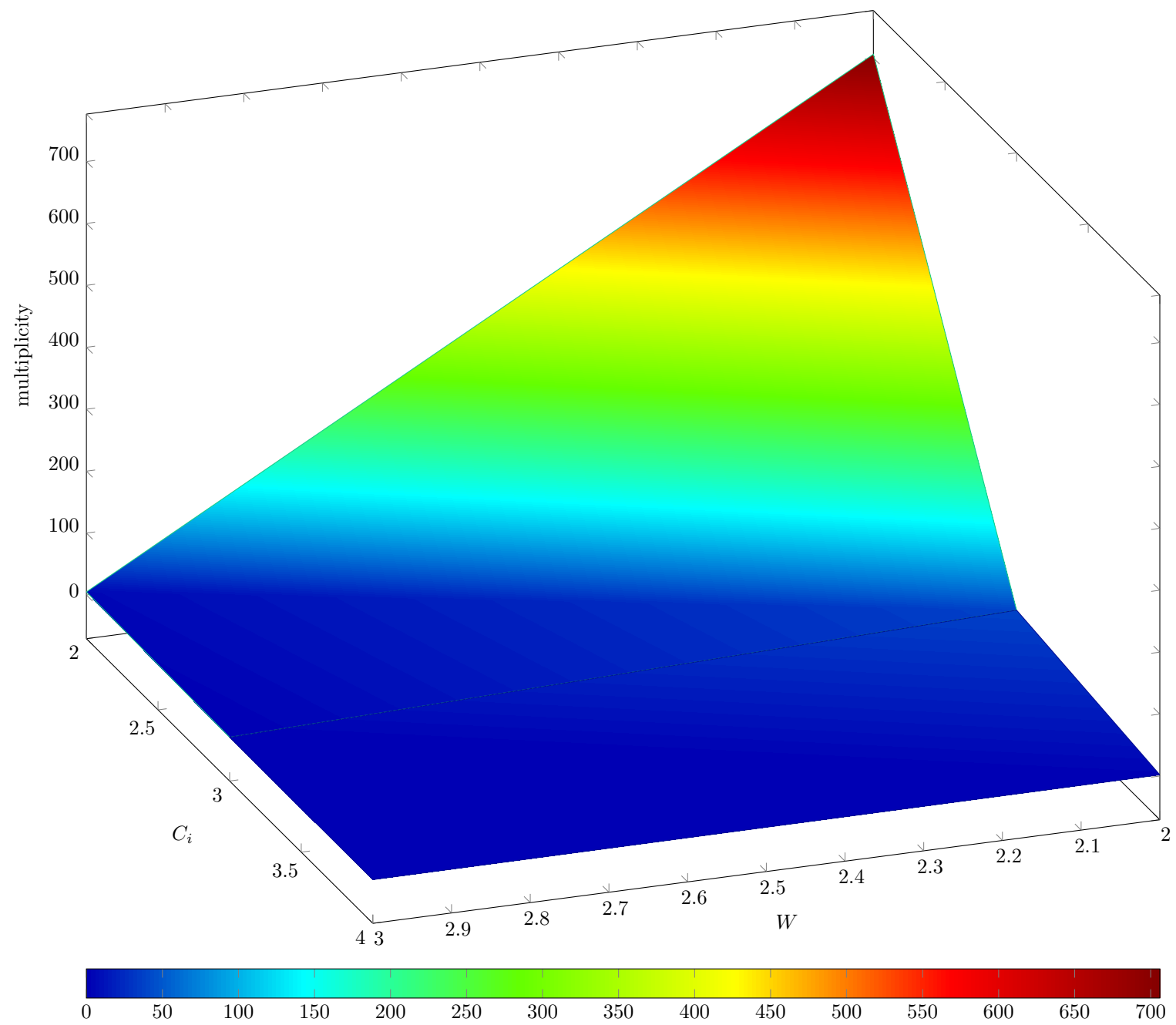
Fig. 6   Estimated W-tuple collision probability in Step 3 of §6.3.6 of NIST SP 800-90B
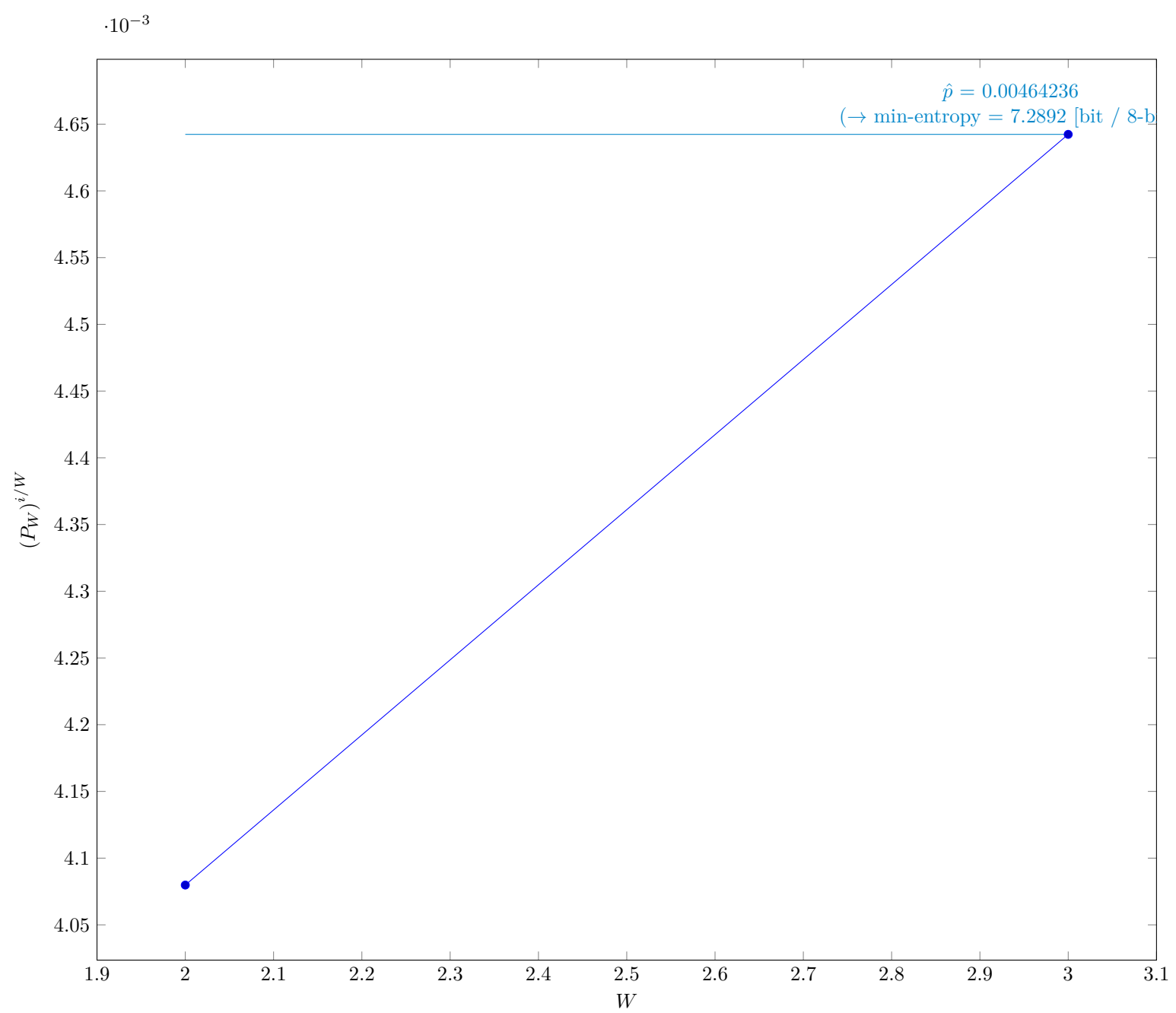
Fig. 7 Estimated average collision probability per string symbol in Step 3 of §6.3.6 of NIST SP 800-90B

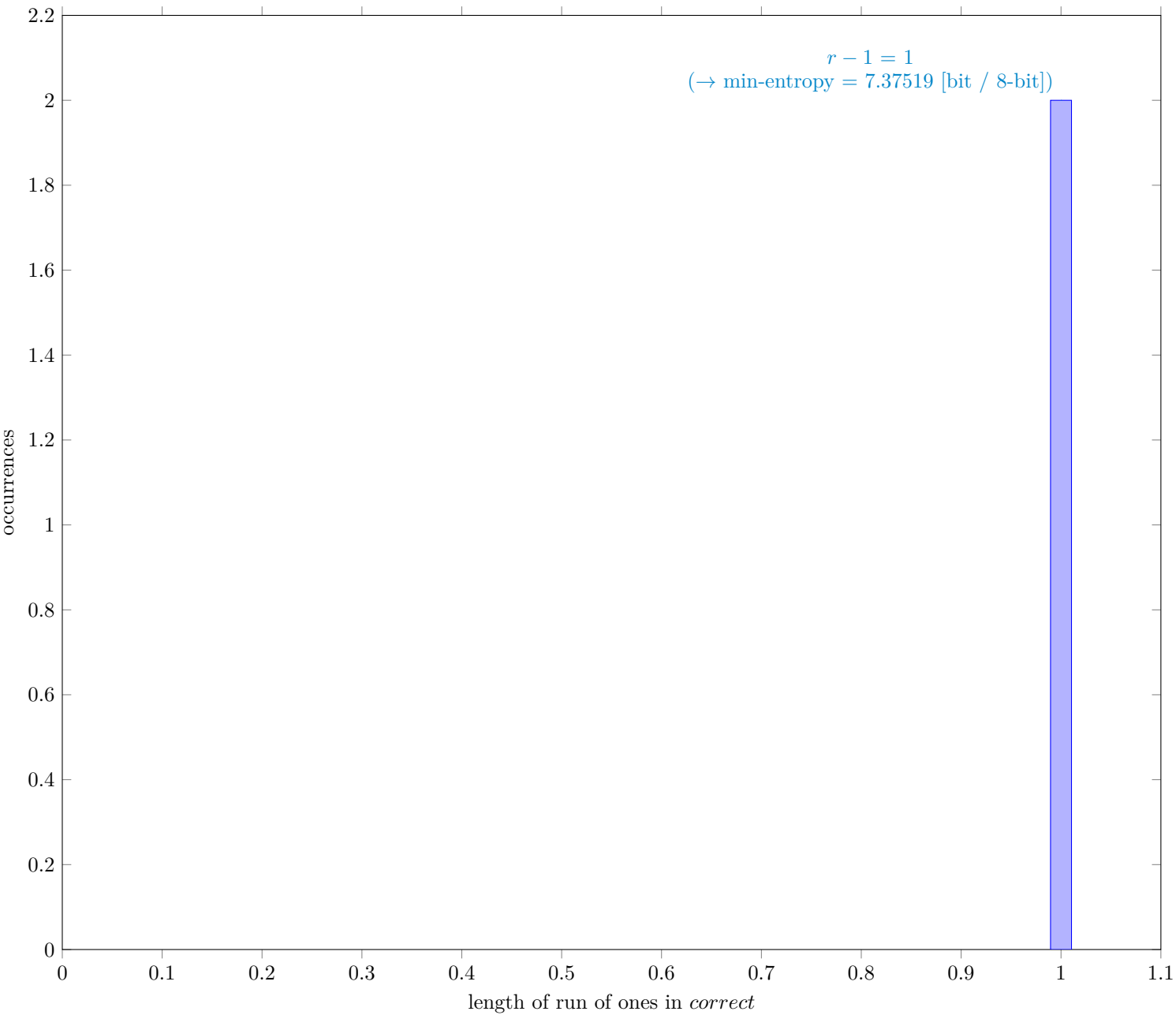### 3.3.1   Supplemental information for traceability

Table 7   Supplemental information for traceability (NIST SP 800-90B Section 6.3.6)

Symbol	Value
u	2
v	3
$\hat{p}$	0.00464236
p_u	0.00639341

3.4 Multi Most Common in Window Prediction Estimate (NIST SP 800-90B Section 6.3.7)
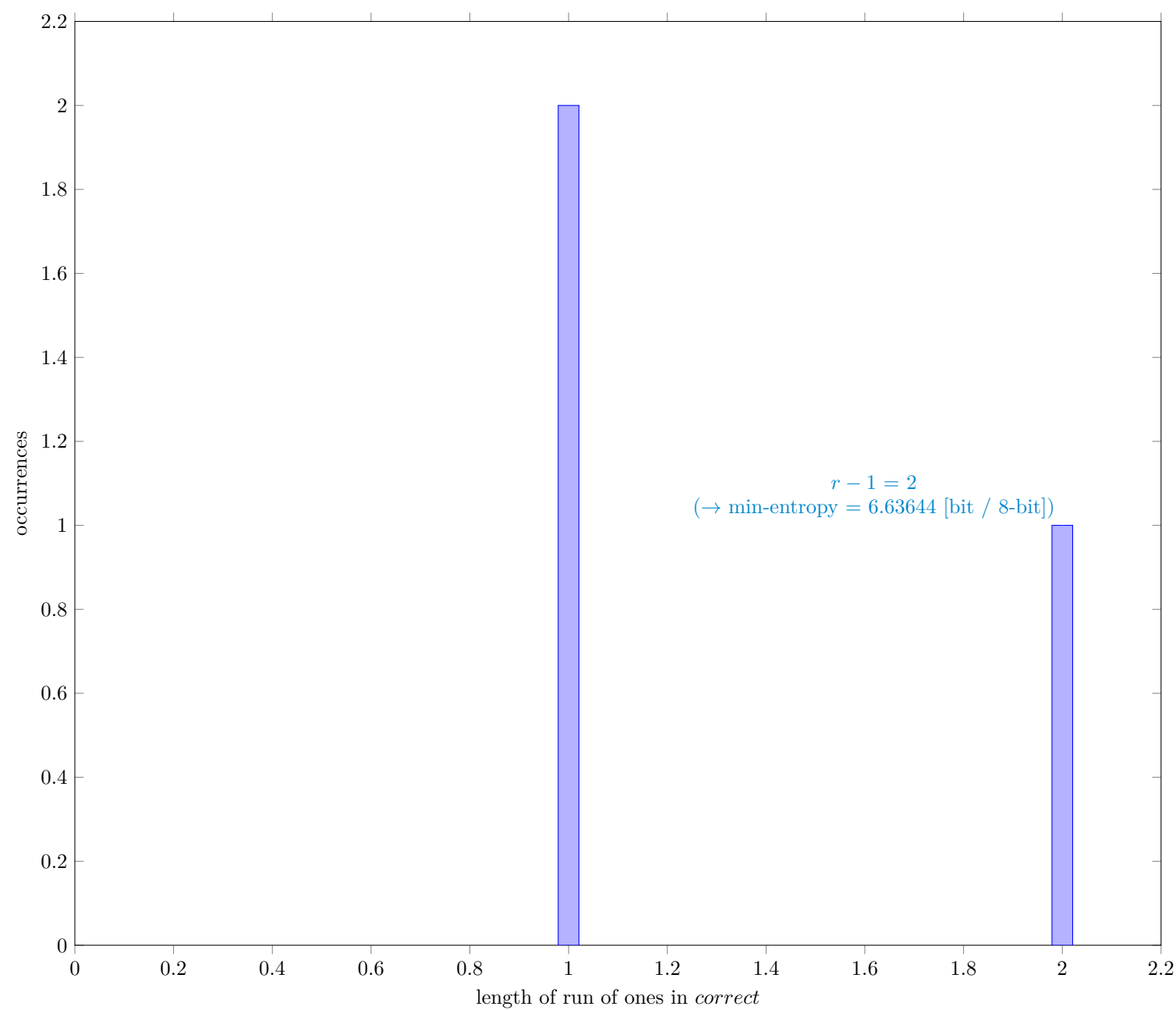

Fig. 8 Distribution of *correct*

3.4.1 Supplemental information for traceability

Table 8 Supplemental information for traceability (NIST SP 800-90B Section 6.3.7)

Symbol	Value
N	9937
C	43
P_{global}	0.00432726
P'_{global}	0.00602346
r	2
P_{local}	0.00100624

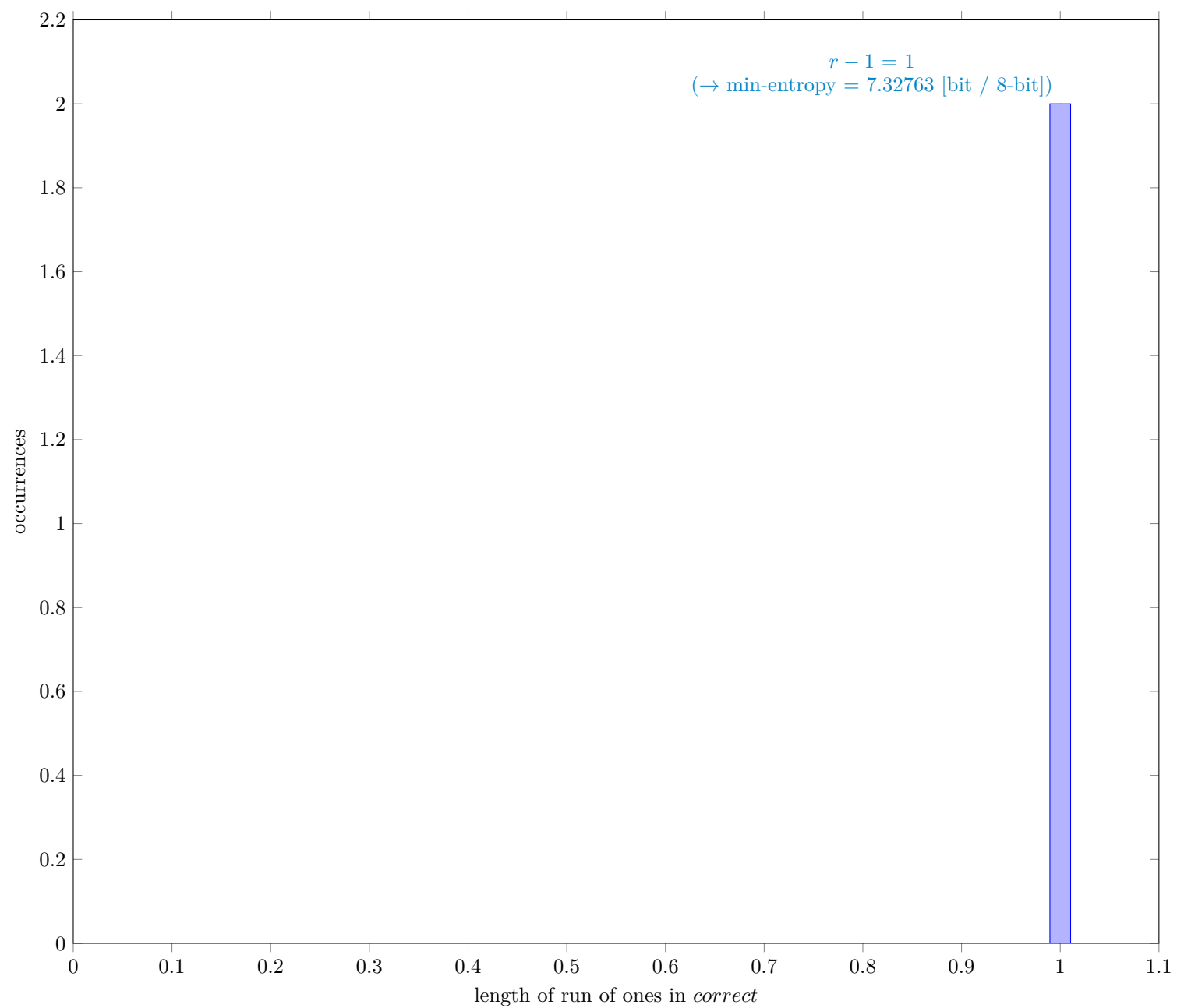## 3.5 Lag Prediction Estimate (NIST SP 800-90B Section 6.3.8)

Fig. 9   Distribution of *correct*

3.5.1 Supplemental information for traceability

Table 9   Supplemental information for traceability (NIST SP 800-90B Section 6.3.8)

Symbol	Value
N	9999
C	52
P_{global}	0.00520052
P'_{global}	0.00705342
r	3
P_{local}	0.0100515

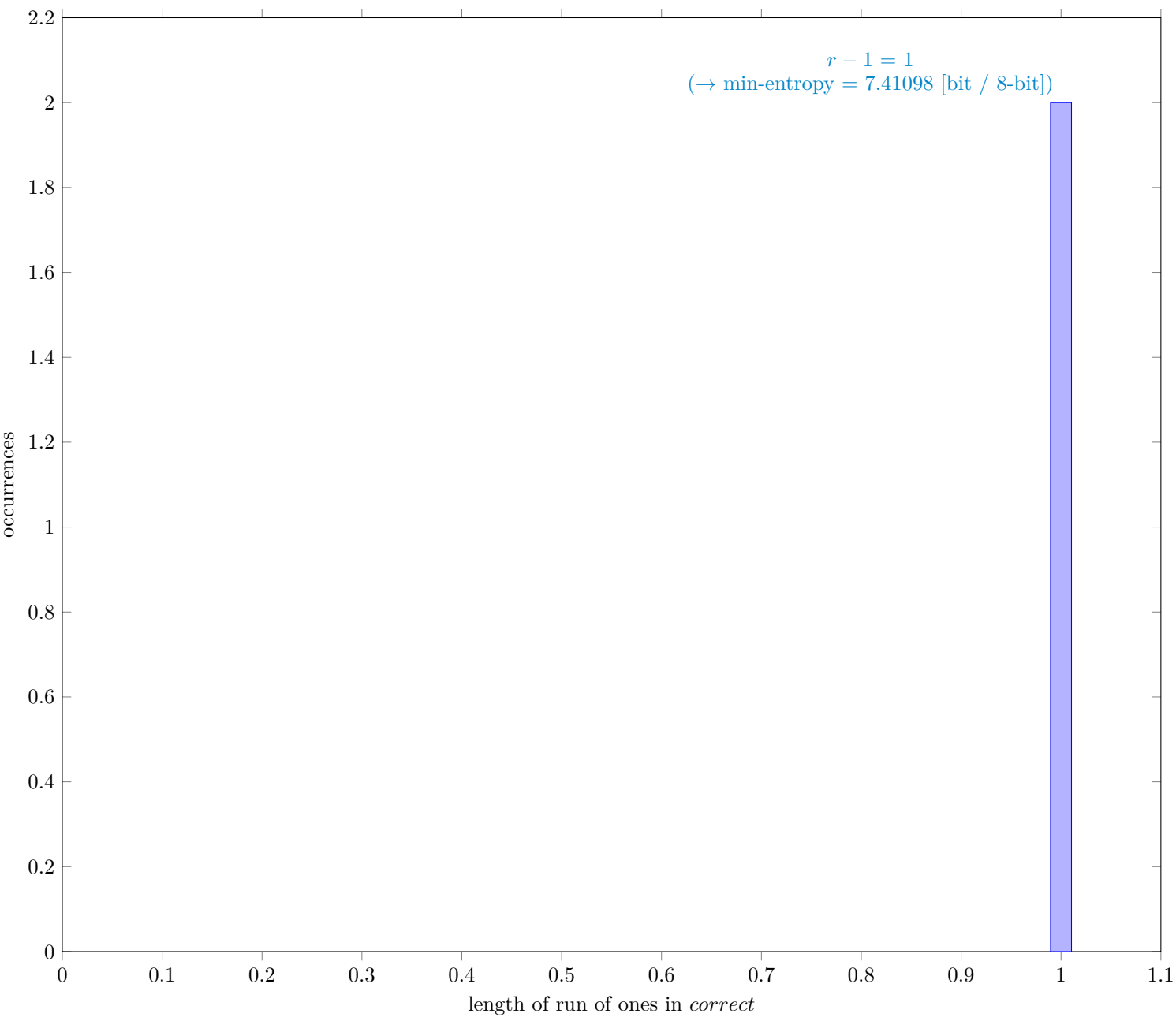## 3.6 The MultiMMC Prediction Estimate (NIST SP 800-90B Section 6.3.9)

Fig. 10 Distribution of *correct*

3.6.1 Supplemental information for traceability

Table 10 Supplemental information for traceability (NIST SP 800-90B Section 6.3.9)

Symbol	Value
N	9998
C	45
P_{global}	0.0045009
P'_{global}	0.00622536
r	2
P_{local}	0.00100317

3.7 The LZ78Y Prediction Estimate (NIST SP 800-90B Section 6.3.10)

Fig. 11 Distribution of *correct*

3.7.1 Supplemental information for traceability

Table 11 Supplemental information for traceability (NIST SP 800-90B Section 6.3.10)

Symbol	Value
N	9983
C	42
P_{global}	0.00420715
P'_{global}	0.00587589
r	2
P_{local}	0.00100392

4 Detailed results of analysis by interpreting each sample as bitstrings

4.1 The Most Common Value Estimate (NIST SP 800-90B Section 6.3.1)

$\hat{p} = 0.501238$
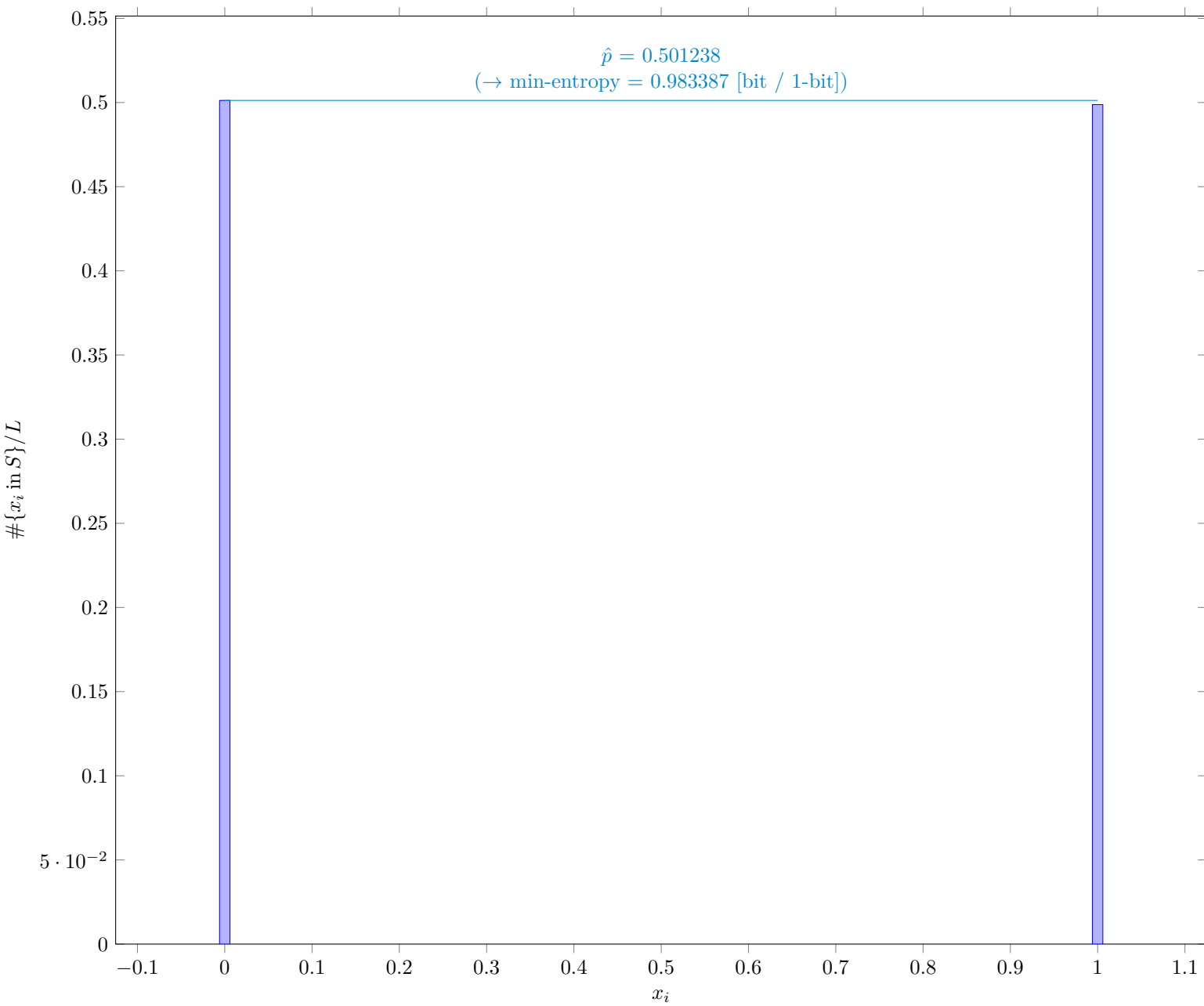$(\rightarrow \text{min-entropy} = 0.983387 \text{ [bit / 1-bit]})$

Fig. 12　Distribution of x_i

4.1.1 Supplemental information for traceability

Table 12　Supplemental information for traceability (NIST SP 800-90B Section 6.3.1)

Symbol	Value
mode	40099
$\hat{p}$	0.501238
p_u	0.505791

4.2 The Collision Estimate (NIST SP 800-90B Section 6.3.2)
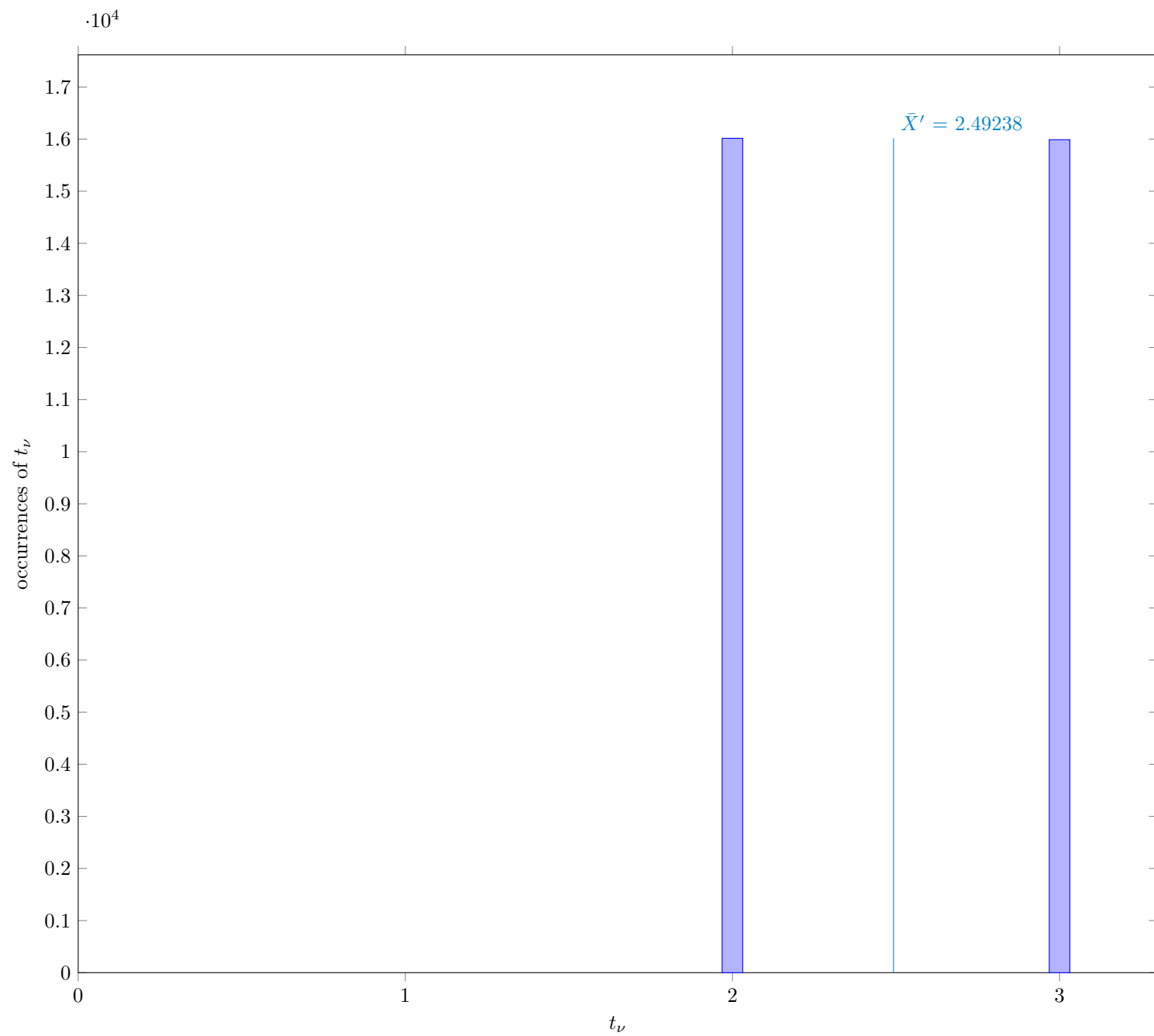
Fig. 13 Distribution of intermediate value t_ν

RHS of equation in step 7

$\equiv g(p)$

$\bar{X}' = 2.49238$

$g(p) = 2\left[p(1-p) + 1\right]$
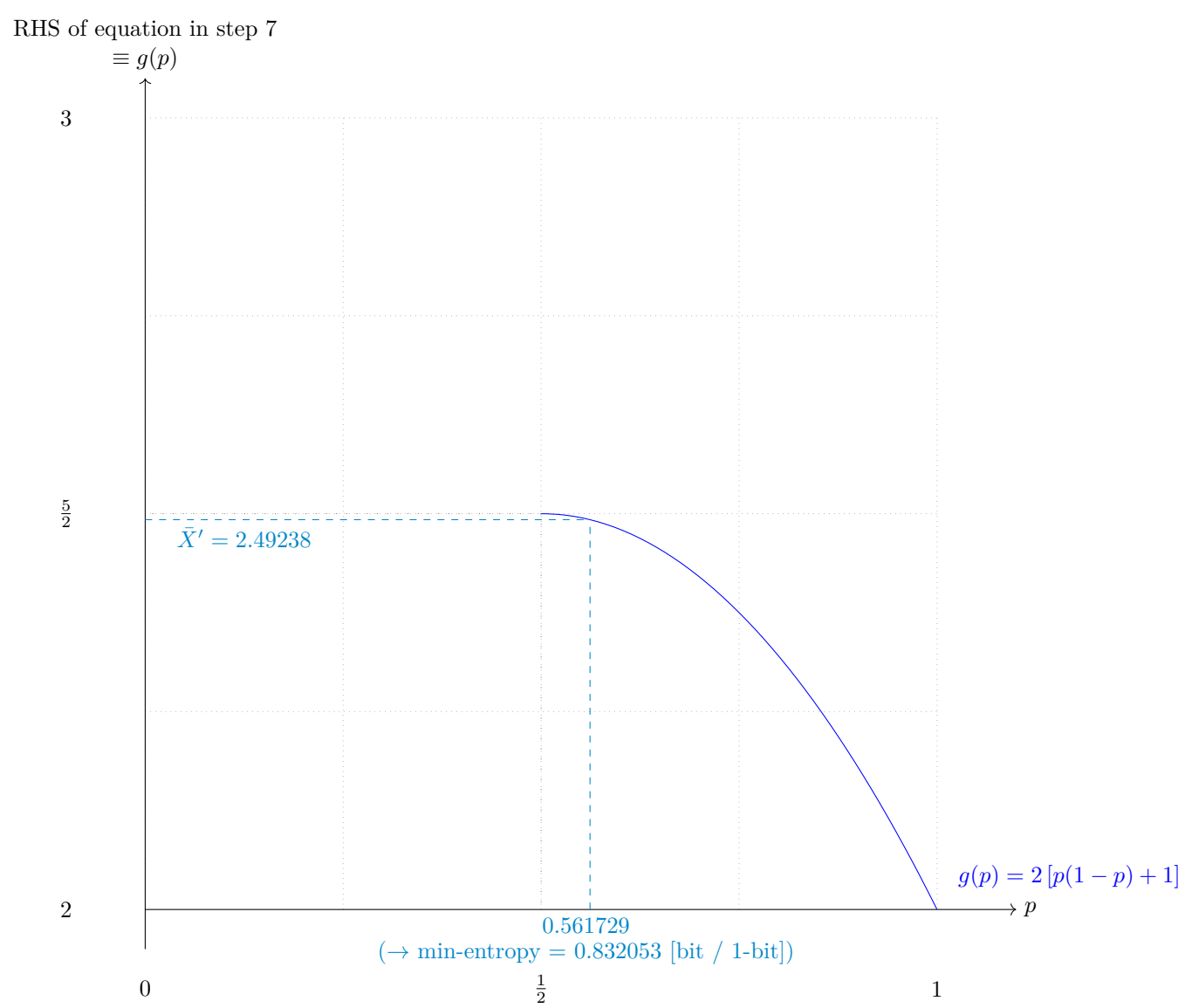
0.561729
($\rightarrow$ min-entropy = 0.832053 [bit / 1-bit])

0 $\frac{1}{2}$ 1

Fig. 14   Solution to the equation in step 7

4.2.1 Supplemental information for traceability

Table 13   Supplemental information for traceability (NIST SP 800-90B Section 6.3.2)

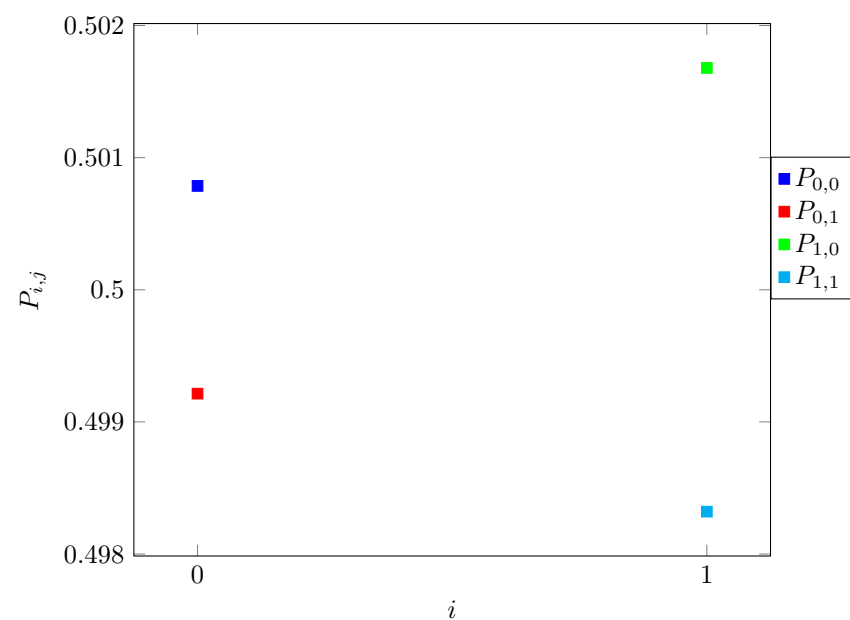| Symbol	Value
p	0.561729
$\bar{X}$	2.49958
$\bar{X}'$	2.49238
$\hat{\sigma}$	0.500008

4.3 The Markov Estimate (NIST SP 800-90B Section 6.3.3)

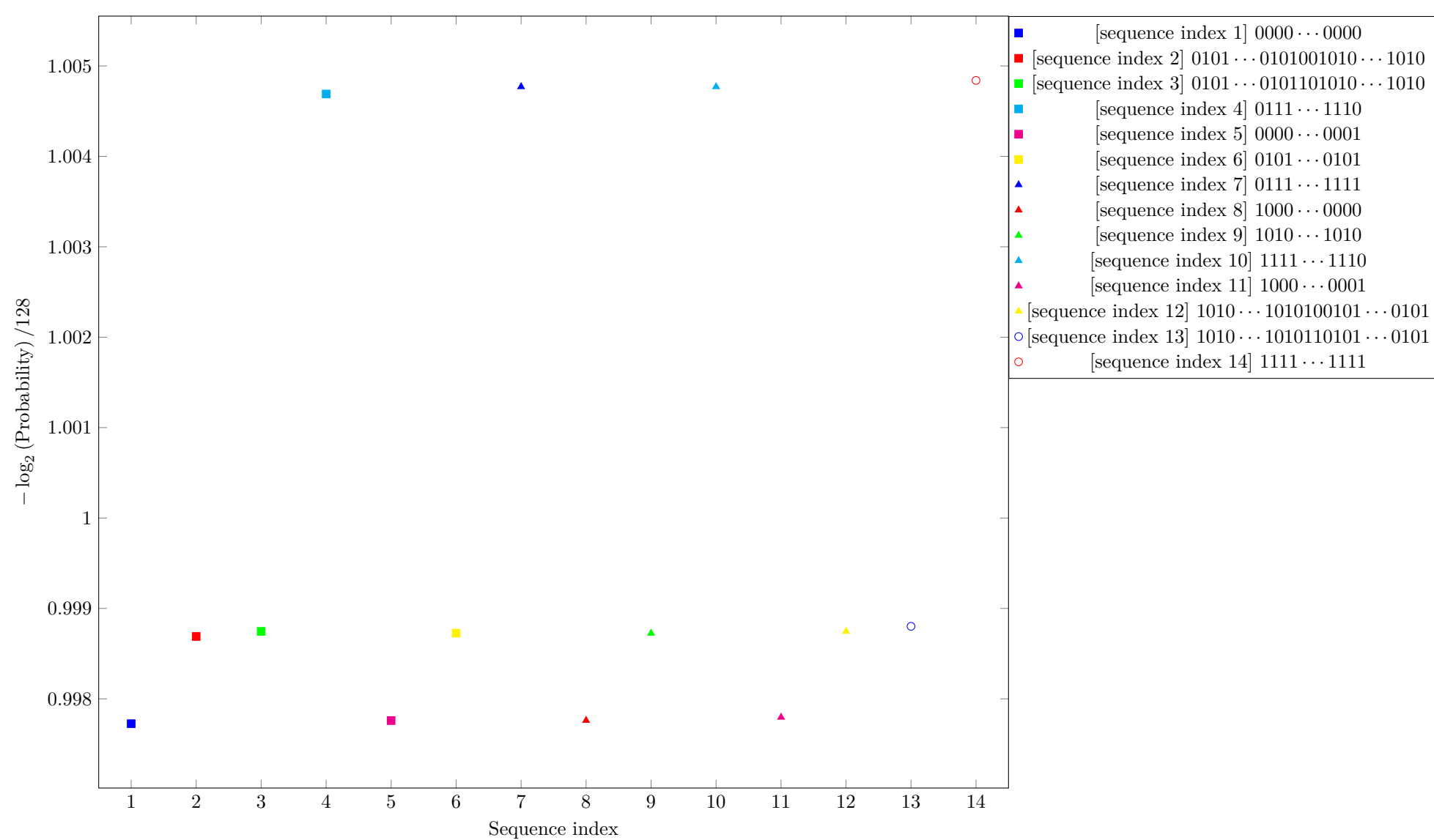Fig. 15 Transition probability $P_{i,j}$ of §6.3.3 of NIST SP 800-90B

Fig. 16 Estimated Min-Entropy using §6.3.3 of NIST SP 800-90B

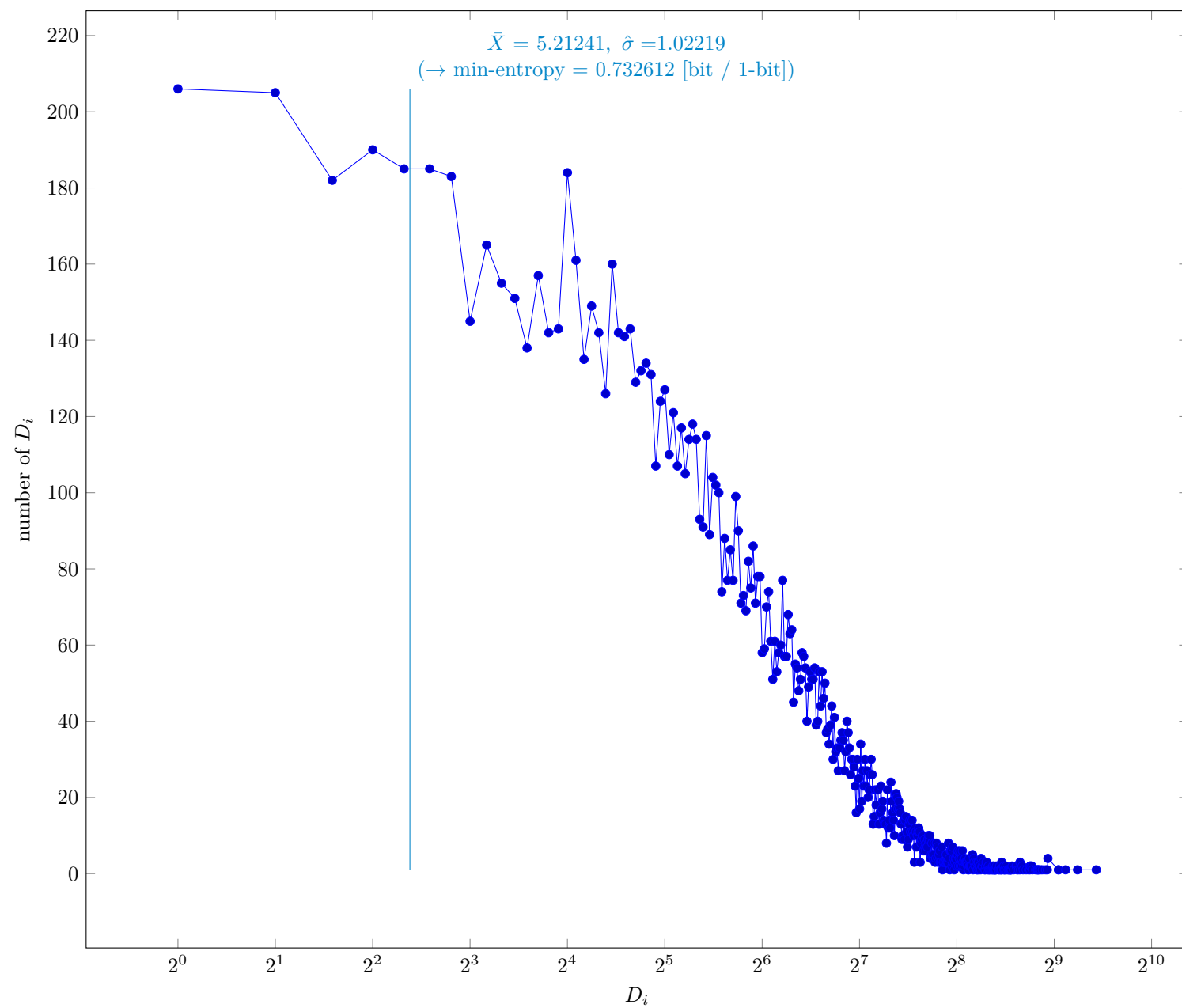## 4.4   The Compression Estimate (NIST SP 800-90B Section 6.3.4)

Fig. 17   Distribution of intermediate value D_i

4.4.1   Supplemental information for traceability

Table 14   Supplemental information for traceability (NIST SP 800-90B Section 6.3.4)

Symbol	Value
p	0.0475085
$\bar{X}$	5.21241
$\hat{\sigma}$	1.02219
$\bar{X}'$	5.1887

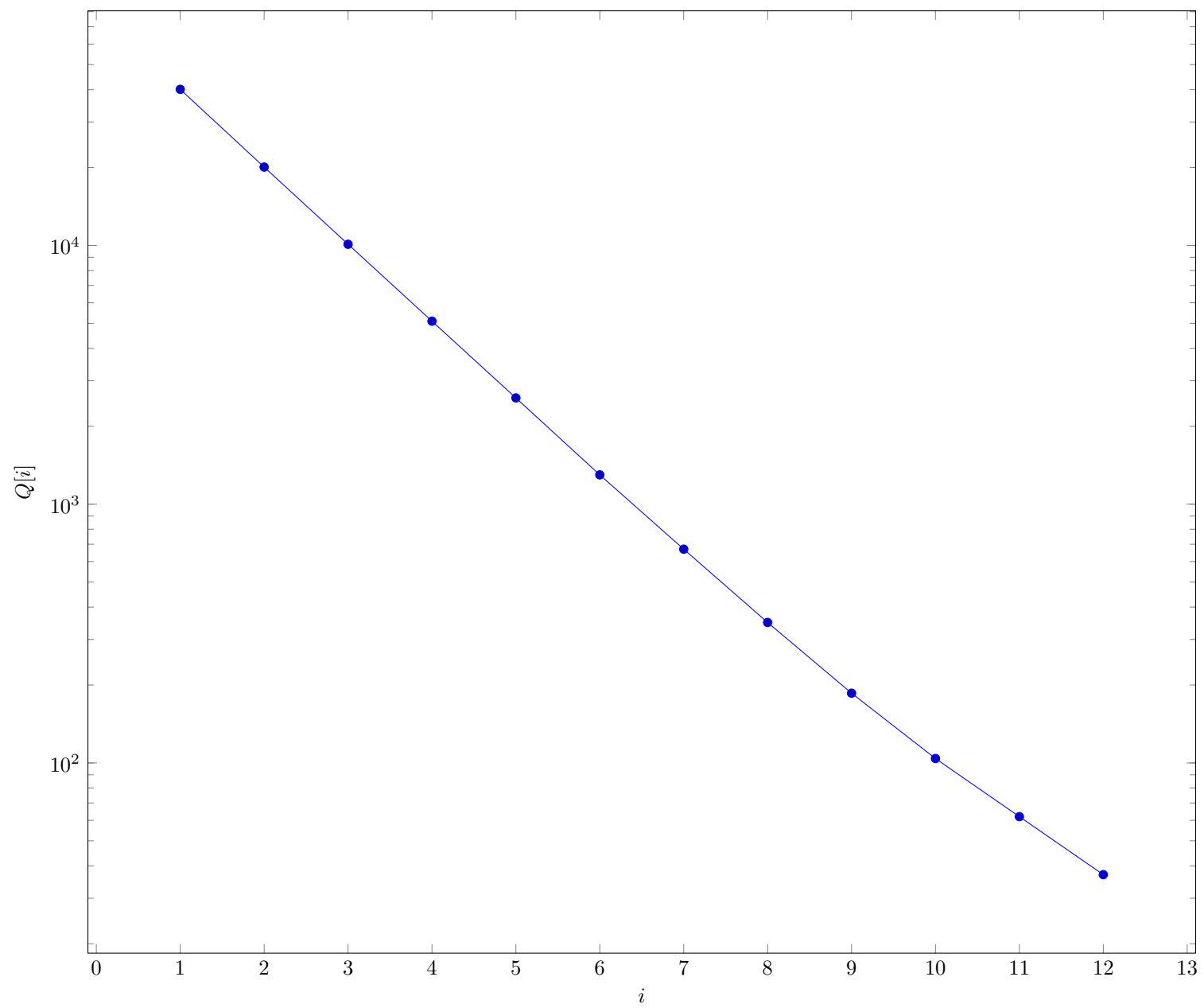## 4.5 The t-tuple Estimate (NIST SP 800-90B Section 6.3.5)

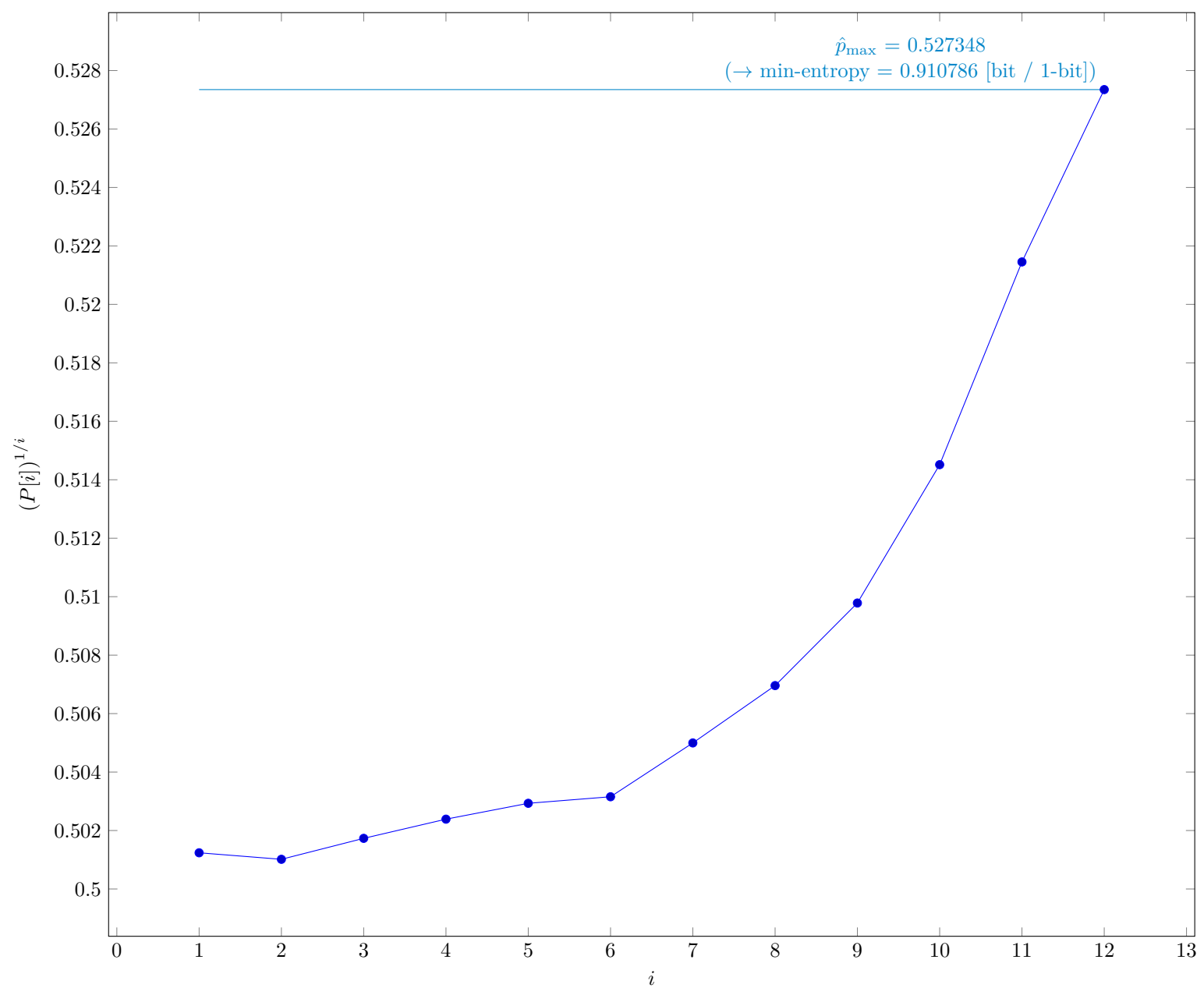Fig. 18 Intermediate value $Q[i]$ in §6.3.5 of NIST SP 800-90B

Fig. 19 $P[i]^{1/i}$ in §6.3.5 of NIST SP 800-90B

4.5.1 Supplemental information for traceability

Table 15 Supplemental information for traceability (NIST SP 800-90B Section 6.3.5)

Symbol	Value
t	12
$\hat{p}_{\max}$	0.527348
p_u	0.531895

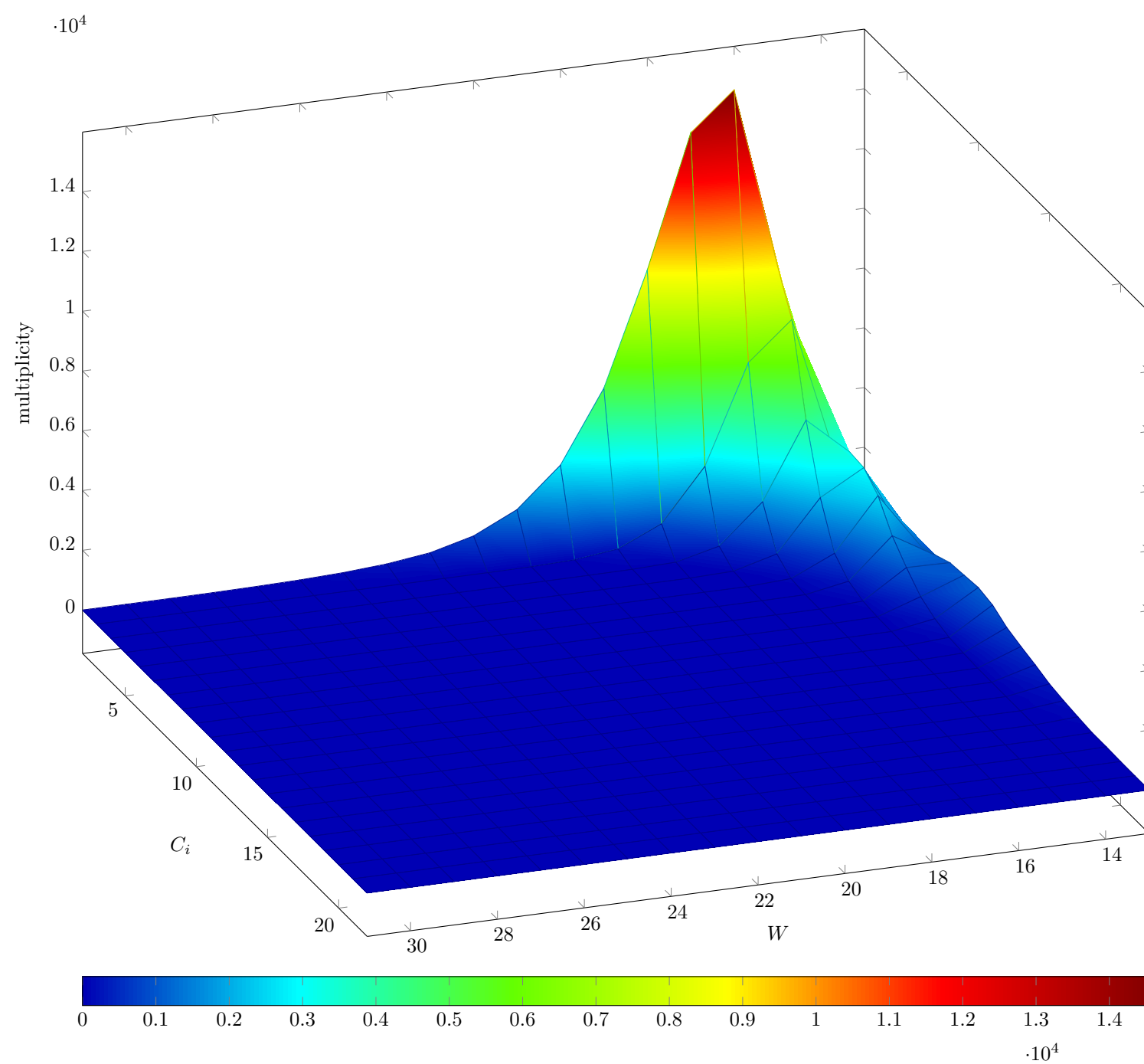## 4.6 The LRS Estimate (NIST SP 800-90B Section 6.3.6)

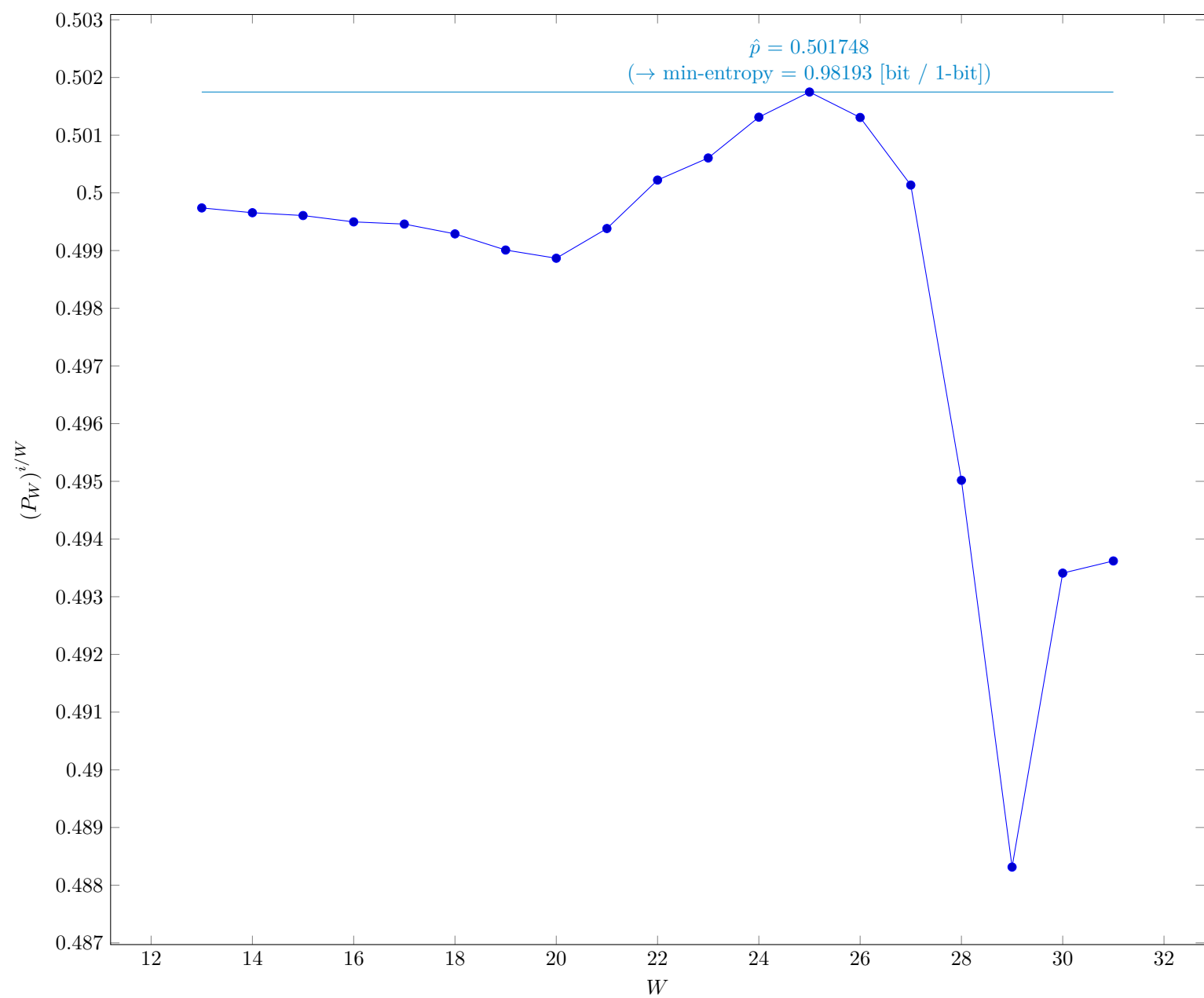Fig. 20 Estimated W-tuple collision probability in Step 3 of §6.3.6 of NIST SP 800-90B

Fig. 21 Estimated average collision probability per string symbol in Step 3 of §6.3.6 of NIST SP 800-90B

4.6.1 Supplemental information for traceability

Table 16   Supplemental information for traceability (NIST SP 800-90B Section 6.3.6)

Symbol	Value
u	13
v	31
$\hat{p}$	0.501748
p_u	0.506302

4.7 Multi Most Common in Window Prediction Estimate (NIST SP 800-90B Section 6.3.7)
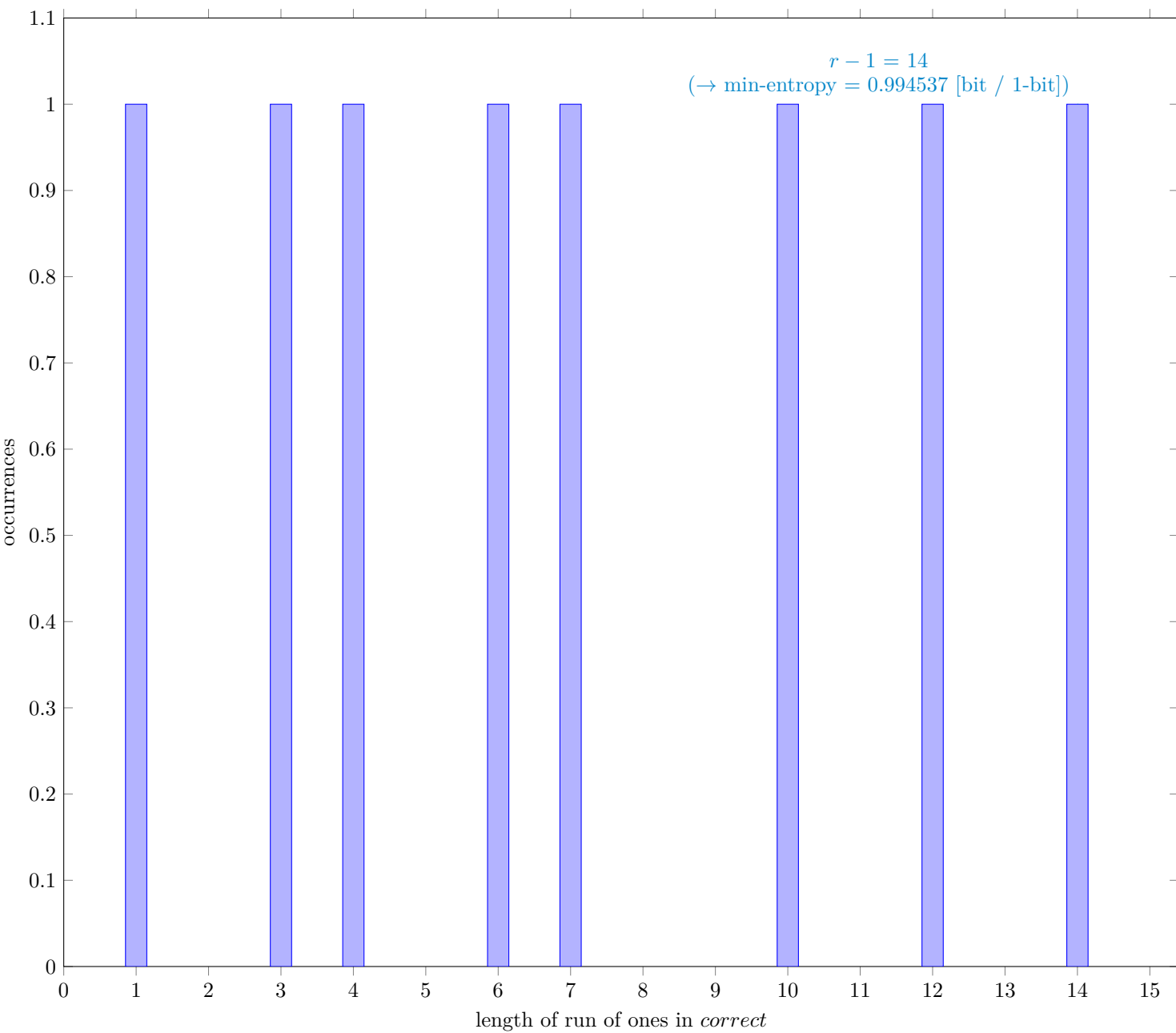

Fig. 22 Distribution of *correct*

4.7.1 Supplemental information for traceability

Table 17 Supplemental information for traceability (NIST SP 800-90B Section 6.3.7)

Symbol	Value
N	79937
C	39756
P_{global}	0.497342
P'_{global}	0.501897
r	15
P_{local}	0.357072

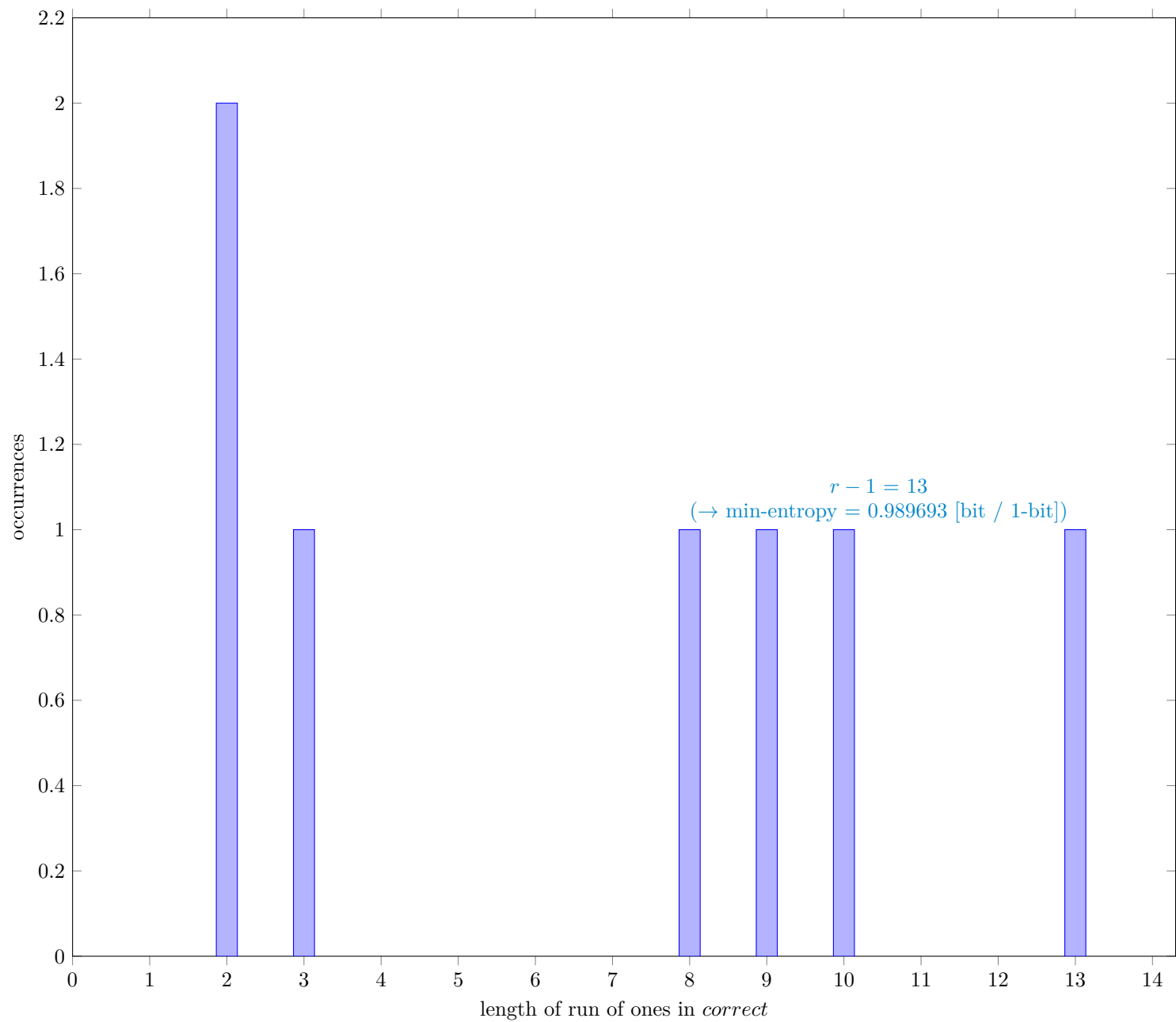## 4.8 Lag Prediction Estimate (NIST SP 800-90B Section 6.3.8)

Fig. 23   Distribution of *correct*

4.8.1 Supplemental information for traceability

Table 18   Supplemental information for traceability (NIST SP 800-90B Section 6.3.8)

Symbol	Value
N	79999
C	39922
P_{global}	0.499031
P'_{global}	0.503585
r	14
P_{local}	0.330783

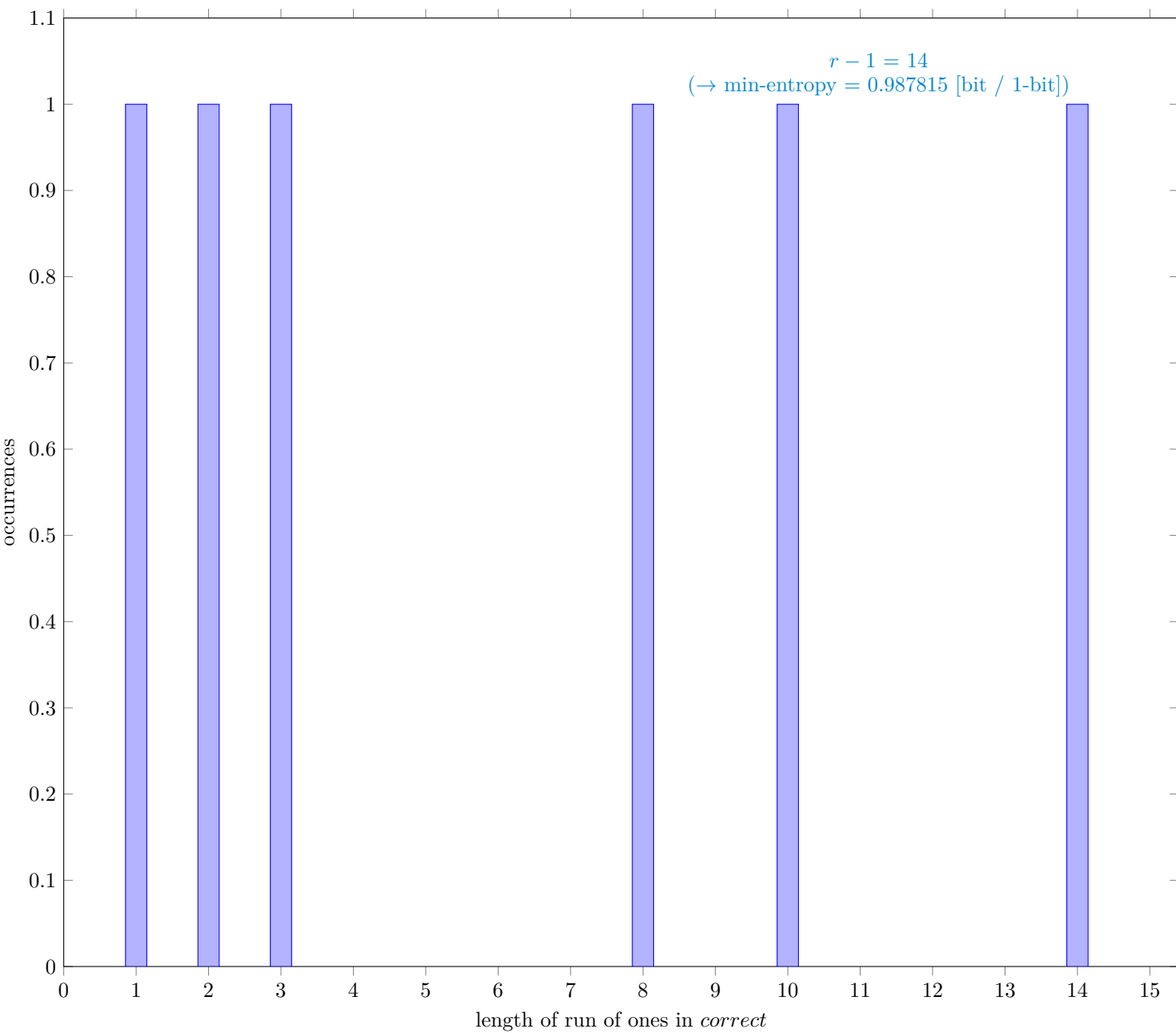## 4.9 The MultiMMC Prediction Estimate (NIST SP 800-90B Section 6.3.9)

Fig. 24   Distribution of *correct*

4.9.1 Supplemental information for traceability

Table 19   Supplemental information for traceability (NIST SP 800-90B Section 6.3.9)

Symbol	Value
N	79998
C	39974
P_{global}	0.499687
P'_{global}	0.504241
r	15
P_{local}	0.357053

4.10 The LZ78Y Prediction Estimate (NIST SP 800-90B Section 6.3.10)

$r - 1 = 14$
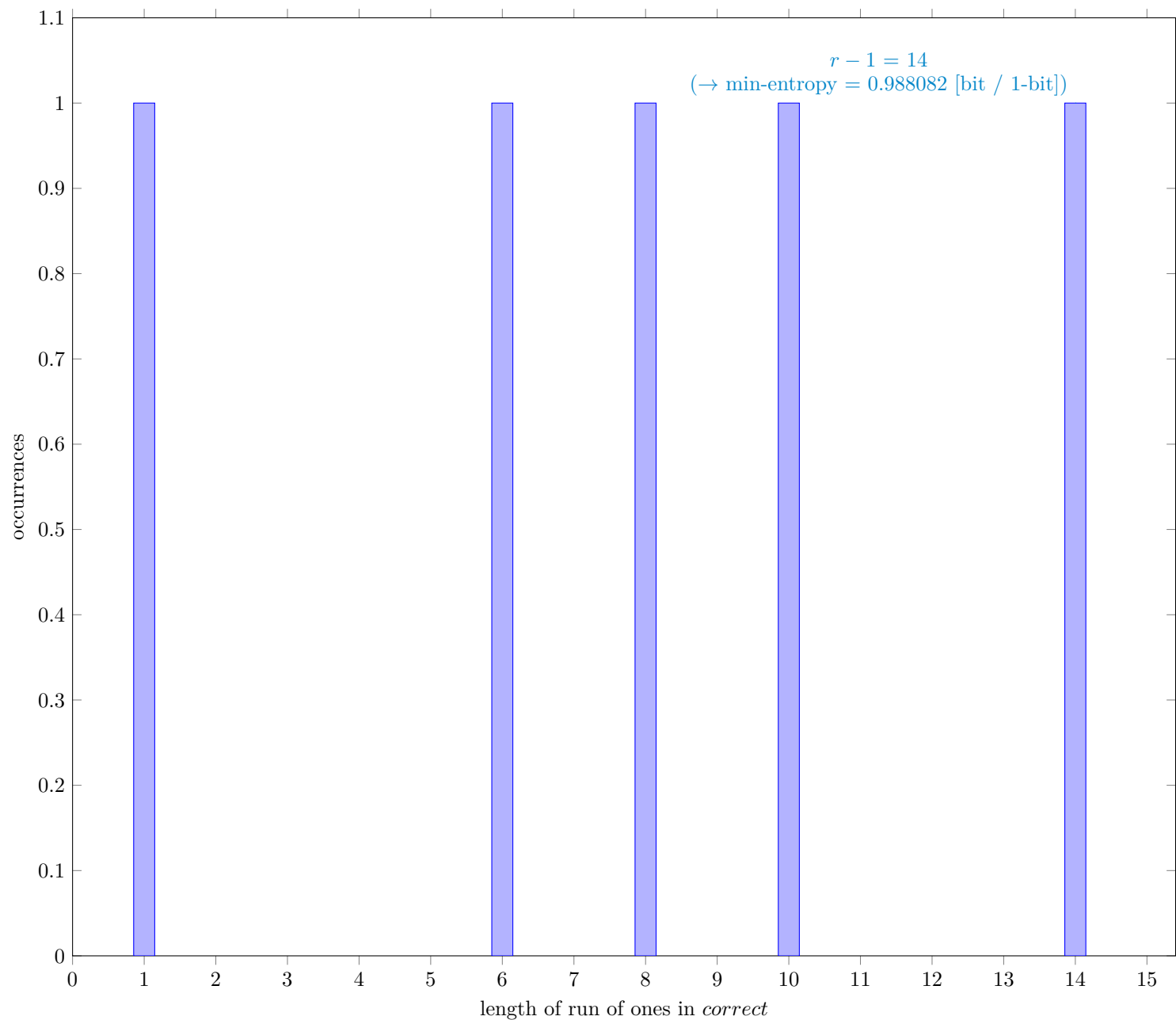$(\rightarrow \text{min-entropy} = 0.988082 \text{ [bit / 1-bit]})$

Fig. 25   Distribution of *correct*

4.10.1   Supplemental information for traceability

Table 20   Supplemental information for traceability (NIST SP 800-90B Section 6.3.10)

Symbol	Value
N	79983
C	39959
P_{global}	0.499594
P'_{global}	0.504148
r	15
P_{local}	0.357058

4   References

[1] Meltem Sönmez Turan, Elaine Barker, John Kelsey, Kerry A. McKay, Mary L. Baish, Mike Boyle *Recommendation for the Entropy Sources Used for Random Bit Generation*, NIST Special Publication 800-90B, Jan. 2018 `https://nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.800-90B.pdf`

[2] G. Sakurai, *Proposed list of corrections for NIST SP 800-90B 6.3 Estimators*, Dec. 2022 `https://github.com/g-g-sakura/AnotherEntropyEstimationTool/blob/main/documentation/ProposedListOfCorrections_SP800-90B.pdf`