

# Recovering the initial state of a Well-Posed Linear System with perturbed skew-adjoint generator

Ghislain Haine

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## 1 Introduction

## 2 Idea of the reconstruction algorithm

## 3 Main result

## 4 Application

## 5 Conclusion

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- $\mathcal{A} : \mathcal{D}(\mathcal{A}) \subset X \rightarrow X$  be a skew-adjoint operator,

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**Considered systems:** Given  $\alpha \in \mathbb{R}$ , let  $A = \mathcal{A} + \alpha I$ ,

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For instance:

$\mathcal{A} = -i\Delta$  (+ Dirichlet boundary conditions) on  $\Omega \subset \mathbb{R}^n$  and  $X = H_0^1(\Omega)$ .



**the classical Schrödinger's equation with constant potential.**

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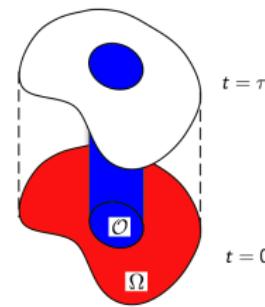
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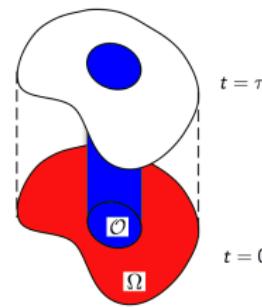
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## Our problem

Reconstruct the unknown  $z_0$  from the measurement  $y(t)$ .

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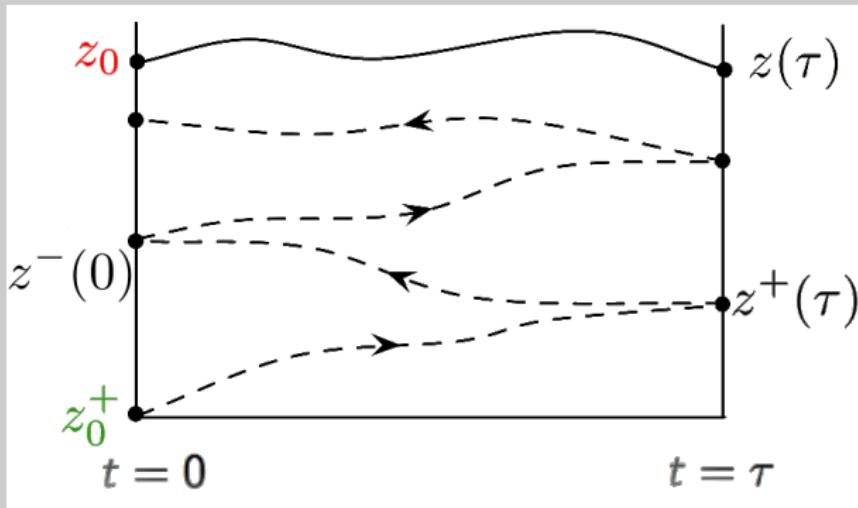
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## Intuitive representation



2 iterations, observation on  $[0, \tau]$ .

We construct the **forward observer**

$$\begin{cases} \dot{z}^+(t) = Az^+(t) - C^*Cz^+(t) + C^*y(t), \\ z^+(0) = z_0^+ \in \mathcal{D}(A). \end{cases} \quad \forall t \in [0, \tau],$$

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$$e = z^+ - z,$$

the estimation error,

$$\begin{cases} \dot{e}(t) = (A - C^*C)e(t), \\ e(0) = z_0^+ - z_0, \end{cases} \quad \forall t \in [0, \tau],$$

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which is known to be exponentially stable if and only if  $(A, C)$  is exactly observable, i.e.

$$\exists \tau > 0, \exists k_\tau > 0, \int_0^\tau \|y(t)\|^2 dt \geq k_\tau^2 \|z_0\|^2, \quad \forall z_0 \in \mathcal{D}(A).$$

Exponential stability  $\Rightarrow \exists M > 0, \beta > 0$  such that

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We construct a similar system: the **backward observer**,

$$\begin{cases} \dot{z}^-(t) = Az^-(t) + C^*Cz^-(t) - C^*y(t), \\ z^-(\tau) = z^+(\tau). \end{cases} \quad \forall t \in [0, \tau],$$

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After a time reversal  $Z^-(t) = \mathfrak{R}_\tau z^-(t) := z^-(\tau - t)$ , we get

$$\begin{cases} \dot{Z}^-(t) = -AZ^-(t) - C^*CZ^-(t) + C^*y(\tau - t), \\ Z^-(0) = z^+(\tau). \end{cases} \quad \forall t \in [0, \tau],$$

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And from similar computations for  $A^- := -A - C^*C$  as those for  $A^+ := A - C^*C$ :

$$\|z^-(0) - z_0\| \leq M e^{-\beta\tau} \|z^+(\tau) - z(\tau)\| \leq M^2 e^{-2\beta\tau} \|z_0^+ - z_0\|.$$

If the system is exactly observable in time  $\tau > 0$ , that is if:

$$\exists k_\tau > 0, \int_0^\tau \|y(t)\|^2 dt \geq k_\tau^2 \|\textcolor{red}{z}_0\|^2, \quad \forall \textcolor{red}{z}_0 \in \mathcal{D}(A),$$

Ito, Ramdani and Tucsnak (Discrete Contin. Dyn. Syst. Ser. S, 2011) proved that

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Iterating  $n$ -times the forward–backward observers with  $z_n^+(0) = z_{n-1}^-(0)$  leads to

$$\|z_n^-(0) - z_0\| \leq \alpha^n \|z_0^+ - z_0\|.$$

**This is the iterative algorithm of Ramdani, Tucsnak and Weiss to reconstruct  $z_0$  from  $y(t)$ .**

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## Questions

---

- Given arbitrary  $C$  and  $\tau > 0$ , does the algorithm converge ?
- If it does, what is the limit of  $z_n^-(0)$  and how is it related to  $z_0$  ?

## Decomposition of $X$ :

- Let us denote  $\Psi_\tau$  the following continuous linear operator

$$\begin{aligned}\Psi_\tau &: X \longrightarrow L^2([0, \tau], Y), \\ z_0 &\mapsto y(t).\end{aligned}$$

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Note that the exact observability assumption is equivalent to  $\Psi_\tau$  is bounded from below and then  $\Rightarrow X = \text{Ran } \Psi_\tau^*$ .

## Stability of the decomposition under the algorithm:

Let us denote  $\mathbb{T}^+$  (resp.  $\mathbb{T}^-$ ) the semigroup generated by  $\mathcal{A}^+ := \mathcal{A} - C^*C$  (resp.  $\mathcal{A}^- := -\mathcal{A} - C^*C$ ) on  $X$ .

- Forward-backward observers cycle  $\Rightarrow$  operator  $\mathbb{T}_\tau^- \mathbb{T}_\tau^+$ , i.e.

$$z^-(0) - z_0 = \mathbb{T}_\tau^- \mathbb{T}_\tau^+ (z_0^+ - z_0),$$

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- Denote  $\mathbb{S}$  the group generated by  $\mathcal{A}$ , then (since  $\mathcal{A} = \mathcal{A}^+ + C^*C$ )

$$\mathbb{S}_\tau z_0 = \mathbb{T}_\tau^+ z_0 + \int_0^\tau \mathbb{T}_{\tau-t}^+ C^* \underbrace{C \mathbb{S}_t z_0}_{\Psi_\tau z_0} dt, \quad \forall z_0 \in X.$$

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- Using this (type of) Duhamel formula(s), we obtain

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**The algorithm preserves the decomposition of  $X$  !**

## Theorem

Denote by  $\Pi$  the orthogonal projection from  $X$  onto  $V_{\text{Obs}}$ . Then the following statements hold true for all  $z_0 \in X$  and  $z_0^+ \in V_{\text{Obs}}$ :

- ❶ For all  $n \geq 1$ ,

$$\|(I - \Pi)(z_n^-(0) - z_0)\| = \|(I - \Pi)z_0\|.$$

- ❷ The sequence  $(\|\Pi(z_n^-(0) - z_0)\|)_{n \geq 1}$  is strictly decreasing and

$$\|\Pi(z_n^-(0) - z_0)\| = \|z_n^-(0) - \Pi z_0\| \xrightarrow{n \rightarrow \infty} 0.$$

- ❸ There exists a constant  $\alpha \in (0, 1)$ , independent of  $z_0$  and  $z_0^+$ , such that for all  $n \geq 1$ ,

$$\|\Pi(z_n^-(0) - z_0)\| \leq \alpha^n \|z_0^+ - \Pi z_0\|,$$

if and only if  $\text{Ran } \Psi_\tau^*$  is closed in  $X$ .

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## Example

Consider the following Scrödinger's equation

$$\begin{cases} \frac{\partial}{\partial t}z = -\mathbf{i}\frac{\partial^2}{\partial x^2}z + \alpha z & \forall x \in (0, 1), t \geq 0, \\ z(t, 0) = z(t, 1) = 0 & \forall t \geq 0, \\ z(0, x) = z_0(x) & \forall x \in (0, 1), \end{cases}$$

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## Observation

We observe the system on  $(0, 0.1)$  during a time  $\tau = 0.2$ , via one of the three following ways

$$\begin{cases} y_1(t, x) = z(t, x)|_{x \in (0, 0.1)} & \forall t \in (0, 0.2), \\ y_2(t, x) = \operatorname{Re} z(t, x)|_{x \in (0, 0.1)} & \forall t \in (0, 0.2), \\ y_3(t, x) = i \operatorname{Im} z(t, x)|_{x \in (0, 0.1)} & \forall t \in (0, 0.2). \end{cases}$$

The algorithm reads, for all  $n \in \mathbb{N}$ ,  $k = 1, 2, 3$ :

Forward observers:

$$\begin{cases} \frac{\partial}{\partial t} z_n^+ = -\mathbf{i} \frac{\partial^2}{\partial x^2} z_n^+ + \alpha z_n^+ - \gamma \chi z_n^+ + \textcolor{green}{y}_k & \forall x \in (0, 1), t \geq 0, \\ z_n^+(t, 0) = z_n^+(t, 1) = 0 & \forall t \geq 0, \\ z_n^+(0, x) = z_{n-1}^-(\tau, x) & \forall x \in (0, 1), n \geq 1, \\ z_1^+(0, x) = 0 & \forall x \in (0, 1), \end{cases}$$

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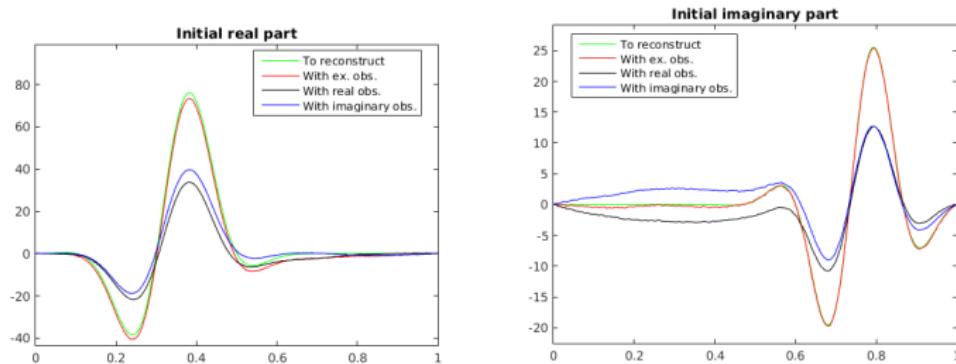
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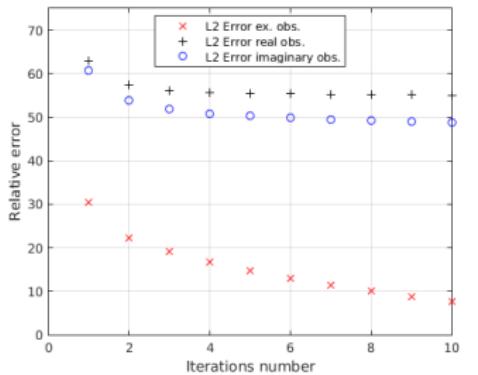
Backward observers:

$$\begin{cases} \frac{\partial}{\partial t} z_n^- = \mathbf{i} \frac{\partial^2}{\partial x^2} z_n^- - \alpha z_n^- + \gamma \chi z_n^- - \textcolor{green}{y}_k & \forall x \in (0, 1), t \geq 0, \\ z_n^-(t, 0) = z_n^-(t, 1) = 0 & \forall t \geq 0, \\ z_n^-(0, x) = z_n^+(\tau, x) & \forall x \in (0, 1), n \geq 0, \end{cases}$$

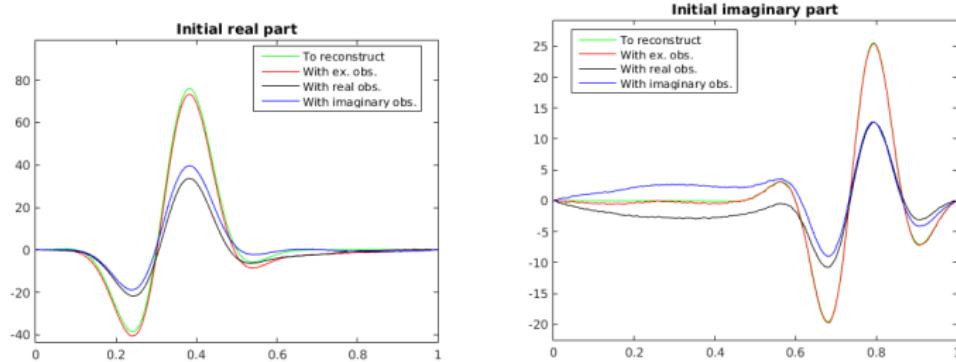
We test with  $\alpha = \pm 15$  and 0, and find in the three cases



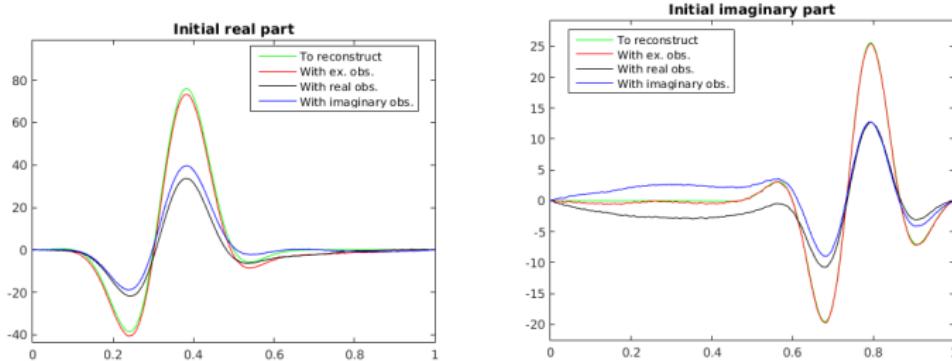
with the  $L^2$  errors



## Locally distributed perturbation on $(0.75, 1)$



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### Conjecture

Let  $X$  and  $Y$  be Hilbert spaces. Assume that  $\Sigma$  is a well-posed linear system such that  $A = \mathcal{A} + P$ , for some  $P \in \mathcal{L}(X)$  and skew-adjoint operator  $\mathcal{A}$ . Then the conclusions of the main theorem hold.

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# Conclusion

**More ?**

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*Recovering the observable part of the initial data of an infinite-dimensional linear system with skew-adjoint operator*

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**Application to thermo-acoustic tomography:**

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## Still to be done:

- Stability of  $V_{\text{Obs}}$  and  $V_{\text{Unobs}}$  with noisy observation  $y$
- More general perturbations

Thank you for your  
attention