

# Relational realisability model for QTT

Our aim is to build a concrete realisability model for QTT which allows for meta-reasoning with results derived from parametricity. To that end, a construction of a quantitative category with families (QCwF) is presented [Atkey2018]. We follow the relational approach to types introduced by Reynolds for typed lambda calculus [Ma1992] and later refined for dependent types theories [Atkey2014a].

Once and for all fix a usage semiring  $R$  and an  $R$ -linear combinatory algebra  $\mathcal{A}$ <sup>1</sup>.

## Taking stocks

**Definition 1** (Assemblies\*). An assembly\*  $\Gamma$  is a pair  $(|\Gamma|, e)$  where  $|\Gamma|$  is a carrier set and  $e$  is a realisability function  $|\Gamma| \rightarrow \mathcal{P}(\mathcal{A})$ .

Given some  $\gamma \in |\Gamma|$ ,  $e(\gamma)$  is interpreted as the set of witnesses for the existence of  $\gamma$ . To emphasize on that aspect, we write  $a \Vdash_{\Gamma} \gamma$  to denote  $a \in e(\gamma)$ . Moreover, let  $[\Gamma]$  stand for the set of realisable elements -  $\{\gamma \in |\Gamma| : e_{\Gamma}(\gamma) \neq \emptyset\} \subseteq |\Gamma|$ .

**A morphism between two assemblies\*  $\Gamma$  and  $\Delta$**  is a function  $f : [\Gamma] \rightarrow [\Delta]$  that is realisable - there exists a realiser  $a_f \in \mathcal{A}$  that tracks the function  $f$  in the following sense:

$$\text{for every } \gamma \text{ in } \text{dom}(f) \text{ and } a_{\gamma} \text{ in } \mathcal{A}, a_{\gamma} \Vdash_{\Gamma} \gamma \implies a_f.a_{\gamma} \Vdash_{\Delta} f(\gamma) \text{ holds.}$$

Note that multiple realisers for the same function  $f$  do not induce multiple morphisms. Let  $\widehat{f} : |\Gamma| \rightarrow |\Delta|$  designate an extension of  $f$  over  $|\Gamma|$ . Often instead of the assembly\* morphism  $f : X \rightarrow Y$ , we may provide directly  $\widehat{f}$  alongside a realiser for  $f$ .

Using these notions we can construct a category  $\mathcal{A}sm^*(\mathcal{A})$ .

**Definition 2** (Reflexive graph). A reflexive graph (r.g.)  $G$  is a tuple  $(G_O, G_R, G_{refl}, G_{src}, G_{tgt})$ , where  $G_O$  and  $G_R$  are sets,  $G_{src} : G_R \rightarrow G_O$ ,  $G_{tgt} : G_R \rightarrow G_O$  and  $G_{refl} : G_O \rightarrow G_R$  are functions, s.t. the identities hold:

$$G_{src} \circ G_{refl} = id_{G_O} = G_{tgt} \circ G_{refl}$$

$G_O$  and  $G_R$  stand for a set of objects and a set of relations, respectively. In general, reflexive graphs are less structured than categories as relations need not compose.

**A morphism between reflexive graphs  $G$  and  $H$**  is a pair of functions  $(f_o : G_O \rightarrow H_O, f_r : G_R \rightarrow H_R)$ , s.t. all of the depicted squares commute:

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<sup>1</sup>In case some non-trivial properties of  $\mathcal{A}$  are required, we will assume that  $\mathcal{A}$  is a graph model (see [Engeler1981])

$$\begin{array}{ccc}
G_O & \xrightarrow{f_o} & H_O \\
\begin{array}{c} \uparrow \\ \downarrow \end{array} & & \begin{array}{c} \uparrow \\ \downarrow \end{array} \\
\begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} G_{src} \quad G_{tgt} \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array} \\
G_R & \xrightarrow{f_r} & H_R \\
\begin{array}{c} \uparrow \\ \downarrow \end{array} & & \begin{array}{c} \uparrow \\ \downarrow \end{array} \\
\begin{array}{c} \uparrow \\ \downarrow \end{array} & \begin{array}{c} H_{src} \quad H_{tgt} \end{array} & \begin{array}{c} \uparrow \\ \downarrow \end{array}
\end{array}$$

Reflexive graphs equipped with r.g. morphisms form a **category**  $\mathcal{RGph}$ . The terminal object  $\mathbf{1}_{\mathcal{RGph}}$  is  $(\{\star\}, \{\star\}, id, id, id)$ .

We use reflexive graphs to give a dyadic interpretation of types in the spirit of [Ma1992].

## Augmenting reflexive graphs with realisability information

One could easily generalize reflexive graphs by considering object and relation components from arbitrary categories instead of  $\mathcal{Set}$ . As our purpose is to build a relational model capable of exploiting realisability, we replace the set of objects with an assembly\* and retain the  $\mathcal{Set}$ -based representation of relations.

**Definition 3** (Reflexive graph with realisable objects).<sup>2</sup> A reflexive graph with realisable objects  $G$  is a tuple  $(G_O, G_R, G_{refl}, G_{src}, G_{tgt})$ , where  $G_O \in Ob(\mathcal{Asm}^*(\mathcal{A}))$ ,  $G_R$  is a set and the functions  $G_{refl} : |G_O| \rightarrow G_R$ ,  $G_{src} : G_R \rightarrow |G_O|$ ,  $G_{tgt} : G_R \rightarrow |G_O|$  are such that the identities in Definition 2 are satisfied.

Accordingly, a **morphism between two reflexive graphs with realisable objects**  $G$  and  $H$  is a pair  $(f_o : G_O \rightarrow H_O, f_r : G_R \rightarrow H_R)$ , s.t. there exists an extension  $\widehat{f_o}$ , s.t.  $(\widehat{f_o}, f_r)$  is an  $\mathcal{RGph}$  morphism between the reflexive graphs  $(|G_O|, G_R, G_{refl}, G_{src}, G_{tgt})$  and  $(|H_O|, H_R, H_{refl}, H_{src}, H_{tgt})$ .

With these components, we obtain a category  $\mathcal{ORGph}(\mathcal{Asm}^*(\mathcal{A}))$ . By considering reflexive graphs with realisable objects of shape  $(X, |X|, id_X, id_X, id_X)$ , we identify an isomorphic copy of  $\mathcal{Asm}^*(\mathcal{A})$  inside  $\mathcal{ORGph}(\mathcal{Asm}^*(\mathcal{A}))$ .

A terminal object  $\mathbf{1}_{\mathcal{ORGph}(\mathcal{Asm}^*(\mathcal{A}))}$  in  $\mathcal{ORGph}(\mathcal{Asm}^*(\mathcal{A}))$  is a tuple  $(\mathbf{1}_{\mathcal{Asm}^*(\mathcal{A})}, \{\star\}, id, id, id)$ , where  $\mathbf{1}_{\mathcal{Asm}^*(\mathcal{A})}$  is the terminal assembly\*  $(\{\star\}, f)$ , with  $f$  defined as  $\star \mapsto \{I\}$ .

**Definition 4** (Family of reflexive graphs with realisable objects).<sup>3</sup> Let  $\mathcal{C}$  be a category with a terminal object. Given a reflexive graph  $\Gamma \in Ob(\mathcal{C})$ , a family of reflexive graphs with realisable objects over  $\Gamma$  is a tuple  $S := (S_O, S_R, S_{refl}, S_{src}, S_{tgt})$ , where:

<sup>2</sup>**FNF:** It's not easy, but we should think of a good name for this — “reflexive graph of  $X$ ” usually means “reflexive graph in the category of  $X$ s”, i.e. where  $G_O$  and  $G_R$  are  $X$ s, and the maps are  $X$  morphisms.

<sup>3</sup>**FNF:** ditto here, namingwise

- $S_O : \Gamma_O \rightarrow \mathcal{A}sm^*(\mathcal{A})$
- $S_R : \Gamma_R \rightarrow \mathcal{S}et$
- a  $\Gamma$ -indexed collection of functions  $S_{refl} := \{f_\gamma : |S_O(\gamma)| \rightarrow S_R(\Gamma_{refl}(\gamma))\}_{\gamma \in \Gamma_O}$
- $S_{src} := \{f_\gamma : S_R(\gamma) \rightarrow |S_O(\Gamma_{src}(\gamma))|\}_{\gamma \in \Gamma_R}$
- $S_{tgt} := \{f_\gamma : S_R(\gamma) \rightarrow |S_O(\Gamma_{tgt}(\gamma))|\}_{\gamma \in \Gamma_R}$

such that

- each identity in the following collection is satisfied:

$$S_\sigma(\Gamma_{refl}(\gamma)) \circ S_{refl}(\gamma) = id \text{ for every } \gamma \in \Gamma_O, \sigma \in \{src, tgt\}$$

**A morphism  $M$  between two families  $S$  and  $T$  of reflexive graphs with realisable objects over  $\Gamma$**  is a pair  $(M_O, M_R)$  of  $\Gamma$ -indexed collections of morphisms:

- $M_O := \{f_\gamma : S_O(\gamma) \rightarrow T_O(\gamma)\}_{\gamma \in \Gamma_O}$ <sup>4</sup>
- $M_R := \{f_\gamma : S_R(\gamma) \rightarrow T_R(\gamma)\}_{\gamma \in \Gamma_R}$

such that there exists a collection of some fixed extensions  $\widehat{M_O} := \{\widehat{f}_\gamma\}_{\gamma \in \Gamma_O}$ , for which the following identities are satisfied:

- $T_{refl}(\widehat{M_O}(\gamma)(s_o)) = M_R(\Gamma_{refl}(\gamma))(S_{refl}(\gamma)(s_o))$  for every  $\gamma \in \Gamma_O, s_o \in |S_O(\gamma)|$
- $T_{src}(M_R(\gamma)(s_r)) = \widehat{M_O}(\Gamma_{src}(\gamma))(S_{src}(\gamma)(s_r))$  for every  $\gamma \in \Gamma_R, s_r \in S_R(\gamma)$
- $T_{tgt}(M_R(\gamma)(s_r)) = \widehat{M_O}(\Gamma_{tgt}(\gamma))(S_{tgt}(\gamma)(s_r))$  for every  $\gamma \in \Gamma_R, s_r \in S_R(\gamma)$

Families of reflexive graphs with realisable objects over  $\Gamma$  and their morphisms forms a category  $\mathcal{F}am\text{-}\mathcal{ORG}(\mathcal{A}sm^*(\mathcal{A}), \Gamma)$ .

The terminal object  $\mathbf{1}_{\mathcal{F}am\text{-}\mathcal{ORG}(\mathcal{A}sm^*(\mathcal{A}), \Gamma)}$  is given by  $(\lambda\gamma_r. \mathbf{1}_{\mathcal{A}sm^*(\mathcal{A})}, \lambda\gamma_r. \{*\}, \mathbf{1}_{refl}, \mathbf{1}_{tgt}, \mathbf{1}_{src})$ , where  $\mathbf{1}_\sigma$  are the appropriate functions.

## A CwF from families of reflexive graphs with realisable objects

As a first step toward obtaining a relationally parametric realisability model of QTT, we construct a concrete CwF using families of reflexive graphs with realisable objects.

Consider the category  $\mathcal{RGph}$  with terminal object  $\mathbf{1}_{\mathcal{RGph}}$ .

Let  $\Gamma, \Delta \in Ob(\mathcal{RGph})$ , define:

- the collection of semantic types  $Ty(\Gamma)$  as the collection of families of reflexive graph with realisable objects over  $\Gamma$ .

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<sup>4</sup>**FNF:** should be tracked?

- given a type  $S \in Ty(\Gamma)$ , an element  $M \in Tm(\Gamma, S)$  is a pair of functions  $(M_O : \forall \gamma \in \Gamma_O. |S_O(\gamma)|, M_R : \forall \gamma \in \Gamma_R. S_R(\gamma))$ , s.t.

$$\begin{aligned}\forall \gamma \in \Gamma_O. S_{refl}(M_O(\gamma)) &= M_R(\Gamma_{refl}(\gamma)) \\ \forall \gamma \in \Gamma_R. S_{src}(M_R(\gamma)) &= M_O(\Gamma_{src}(\gamma)) \\ \forall \gamma \in \Gamma_R. S_{tgt}(M_R(\gamma)) &= M_O(\Gamma_{tgt}(\gamma))\end{aligned}$$

- given  $f : \Gamma \rightarrow \Delta$ , substitutions in types and terms is a precomposition with  $f$  on the object and relation components of types and terms respectively. Clearly, these operations are compatible with identity and composition in  $\mathcal{RGph}$ .
- context extension: Suppose  $S \in Ty(\Gamma)$ , construct a r.g.  $\Gamma.S$  as :

$$\begin{aligned}(\Gamma.S)_O &= \{(\gamma, x) : \gamma \in \Gamma_O, x \in |S_O(\gamma)|\} \\ (\Gamma.S)_R &= \{(\gamma, r) : \gamma \in \Gamma_R, r \in S_R(\gamma)\} \\ (\Gamma.S)_{refl}(\gamma, x) &= (\Gamma_{refl}(\gamma), S_{refl}(\gamma)(x)) \\ (\Gamma.S)_\sigma(\gamma, r) &= (\Gamma_\sigma(\gamma), S_\sigma(\gamma)(r)), \quad \sigma \in \{src, tgt\}\end{aligned}$$

**Claim.**  $Hom_{\mathcal{RGph}}(\Delta, \Gamma.S) \cong \{(f, M) : f : \Delta \rightarrow \Gamma, M \in Tm(\Delta, S\{f\})\}$ , natural in  $\Delta$ .

## Upgrading to a QCwF

Recall the definition of a QCwF from [Atkey2018]. Given a usage semiring  $R$ , a  $R$ -QCwF consists of:

1. A CwF  $(\mathcal{C}, 1, Ty, Tm, -. , \langle -. \rangle)$
2. A category  $\mathcal{L}$  with a faithful functor  $U : \mathcal{L} \rightarrow \mathcal{C}$
3. A functor  $(+) : \mathcal{L} \times_{\mathcal{C}} \mathcal{L} \rightarrow \mathcal{L}$ , s.t  $U(\Gamma_1 + \Gamma_2) = U(\Gamma_1) = U(\Gamma_2)$ <sup>5</sup>.  $\mathcal{L} \times_{\mathcal{C}} \mathcal{L}$  denotes the pullback  $\mathcal{L} \xrightarrow{U} \mathcal{C} \xleftarrow{U} \mathcal{L}$ .  
Additionally, there exists an object  $\diamond \in \mathcal{L}$ , s.t.  $U\diamond = 1$ .
4. A functor  $\rho(-) : \mathcal{L} \rightarrow \mathcal{L}$  for each  $\rho \in R$ , s.t  $U(\rho(-)) = U(-)$ .
5. A collection  $RTm(\Gamma, S)$  for each  $\Gamma \in \mathcal{L}$  and  $S \in Ty(UT)$ , equipped with an injective function  $U_{\Gamma, S} : RTm(\Gamma, S) \rightarrow Tm(UT, S)$ .  
For an  $\mathcal{L}$  morphisms  $f : \Gamma \rightarrow \Delta$  and types  $S \in Ty(UT)$ , a function  $- \{f\} : RTm(\Delta, S) \rightarrow RTm(\Gamma, S\{f\})$ , s. t.  $U(- \{f\}) = (U(-))\{Uf\}$ .
6. Given  $\Gamma \in \mathcal{L}$ ,  $\rho \in R$  and  $S \in Ty(UT)$ , an object  $\Gamma.\rho S$ , s.t  $U(\Gamma.\rho S) = U\Gamma.S$ .

Additionally, there exist the following natural transformations:

$$\begin{aligned}emp_\pi : \diamond \rightarrow \pi\diamond^6 \\ emp_+ : \diamond \rightarrow \diamond + \diamond^7\end{aligned}$$

<sup>5</sup>the second equality being trivially satisfied

<sup>6</sup>..., s.t.  $U(emp_\pi) = id_1$  **FNF:** since 1 terminal, this is automatic?

<sup>7</sup>..., s.t.  $U(emp_+) = id_1$  **FNF:** ditto

- $ext_\pi : \pi\Gamma.(\pi\rho S) \rightarrow \pi(\Gamma.\rho S)$ , s.t.  $U(ext_\pi) = id$   
 $ext_+ : (\Gamma_1 + \Gamma_2).(\rho_1 + \rho_2)S \rightarrow \Gamma_1.\rho_1 S + \Gamma_2.\rho_2 S$ , s.t.  $U(ext_+) = id$   
 7. Given  $\Gamma \in \mathcal{L}$ ,  $S \in Ty(U\Gamma)$ , there exists :  
 a morphism  $p_{\Gamma.S} : \Gamma.0S \rightarrow \Gamma$ , s.t.  $U(p_{\Gamma.S}) = p_{U\Gamma.S}$   
 an element  $v_{\Gamma.S} \in RTm(0\Gamma.1S, S\{p_{U\Gamma.S}\})$ , s.t.  $U(v_{\Gamma.S}) = v_{U\Gamma.S}$   
 a morphism  $wk(f, \rho S') : \Gamma.\rho S'\{Uf\} \rightarrow \Delta.\rho S'$  for each  $f : \Gamma \rightarrow \Delta$ ,  $S' \in Ty(U\Gamma, \Delta)$   
 s.t.  $U(wk(f, \rho S')) = wk(Uf, S')$   
 let  $\Gamma_1, \Gamma_2 \in \mathcal{L}$ , s.t.  $U\Gamma_1 = U\Gamma_2$  and  $M \in RTm(\Gamma_2, S)$ . There is a morphism  $\overline{\rho M} : \Gamma_1 + \rho\Gamma_2 \rightarrow \Gamma_1.\rho S$ , s.t.  $U(\overline{\rho M}) = \overline{UM}$   
 a morphism  $\overline{M} : \Gamma \rightarrow \Gamma.0S$  for  $M \in Tm(U\Gamma, S)$ , s.t.  $U(\overline{M}) = \overline{M}$ .

Now to construct the concrete QCwF, consider the CwF from previous section as the underlying category.

Let  $\mathcal{L} := \mathcal{ORGph}(\mathcal{Asm}^*(\mathcal{A}))$ , the category of reflexive graphs with realisable objects<sup>8</sup> and let  $U$  be the functor  $\mathcal{ORGph}(\mathcal{Asm}^*(\mathcal{A})) \rightarrow \mathcal{RGph}$ , sending the object assembly\* to its underlying set, forgetting the realisability relation.

For the addition structure, let  $\Gamma', \Gamma''$  be reflexive graphs with realisable objects, s.t.  $|\Gamma'_O| = |\Gamma''_O|$  and  $\Gamma'_R = \Gamma''_R$ <sup>9</sup>. Construct the r.g. of assemblies  $\Gamma := \Gamma' + \Gamma''$ , where:

- $\Gamma_O := (|\Gamma'_O|, \models_\Gamma)$  with  $a \models_\Gamma \gamma$  iff there exist  $x, y \in \mathcal{A}$ , s.t.  $a = [x, y]$  and  $x \models_{\Gamma'} \gamma$  and  $y \models_{\Gamma''} \gamma$ .
- $\Gamma_R := \Gamma'_R (= \Gamma''_R)$ .<sup>10</sup>
- $\Gamma_\sigma := \Gamma'_\sigma$ , where  $\sigma \in \{src, tgt, refl\}$ .

Define  $\diamond$  as the terminal object  $\mathbf{1}_{\mathcal{ORGph}(\mathcal{Asm}^*(\mathcal{A}))}$ .

Consider the scaling structure and let  $\Gamma := \rho(\Gamma')$  :

- $\Gamma_\sigma = (|\Gamma'_\sigma|, \models_{\Gamma_\sigma})$  with  $a \models_{\Gamma_\sigma} \gamma$  iff there is  $x \in \mathcal{A}$ , s.t.  $a = !_\rho x$  and  $x \models_{\Gamma'_\sigma} \gamma$  for  $\sigma \in \{O, R\}$
- again, scaling leaves unmodified  $\Gamma_\sigma$  for  $\sigma \in \{src, tgt, refl\}$ .

Let  $RTm(\Gamma, S)$  be the collection of morphisms from the terminal family  $\mathbf{1}_{\mathcal{Fam} - \mathcal{ORG}(\mathcal{Asm}^*(\mathcal{A}), \Gamma)}$  to  $S$ . Spelling this out and simplifying it, an element of  $RTm(\Gamma, S)$  is a tuple of functions

<sup>8</sup>**FNF:** “half-assembled” r.g.s (yes, we also need notation for them)

<sup>9</sup>**FNF:** no  $|\cdot|$  for  $R$  part

<sup>10</sup>**FNF:** this is just  $\Gamma_R = \Gamma'_R (= \Gamma''_R)$

$(M_O : \forall \gamma_o \in \Gamma_O. [S_O(\gamma_o)], M_R : \forall \gamma_r \in \Gamma_R. S_R(\gamma_r))$ , s.t. the conditions from Definition 4 are satisfied, namely <sup>11</sup>:

$$\begin{aligned}\forall \gamma_o \in \Gamma_O. S_{refl}(\widehat{M_O}(\gamma_o)) &= M_R(\Gamma_{refl}(\gamma_o)) \\ \forall \gamma_r \in \Gamma_R. S_{src}(M_R(\gamma_r)) &= \widehat{M_O}(\Gamma_{src}(\gamma_r)) \\ \forall \gamma_r \in \Gamma_R. S_{tgt}(M_R(\gamma_r)) &= \widehat{M_O}(\Gamma_{tgt}(\gamma_r))\end{aligned}$$

$U_{\Gamma, S}$  is the just identity function.

Substitution in terms is given by precomposition with  $f : \Gamma \rightarrow \Delta$ , let  $-\{f_O\} := \lambda M_O. \forall \gamma \in \Gamma. M_O(f(\gamma))$  and similarly,  $-\{f_R\} := \lambda M_R. \forall \gamma \in \Gamma. M_R(f(\gamma))$ . The functor  $U$  interacts nicely with the so-defined  $-\{f\}$  as essentially the substitution in terms in the underlying CwF is defined in the same way.

Resourced context extension is given by  $\Gamma. \rho S := (\Gamma'_O, \Gamma'_R, \Gamma'_\sigma)$ , where

- $|\Gamma'_O| := \{(\gamma, x) : \gamma \in |\Gamma_O|, x \in S_O(\gamma)\}$   
 $a \Vdash_{\Gamma. \rho S} (\gamma, x)$  iff there exists  $b, c \in \mathcal{A}$ , s.t  $a = [b, !_\rho c]$ ,  $b \Vdash_\Gamma \gamma$  and  $c \Vdash_{S(\gamma)} \pi_1((\gamma, \check{x}))$ , where  $(\check{-}) : \Gamma. S \rightarrow U(\Gamma. S)$ ,  $(\check{-}) := id$  as the set-theoretic part of the extensions in the CwF and  $\mathcal{L}$  is the same by definition.
- $\Gamma'_R := \{(\gamma, r) : \gamma \in \Gamma_R, r \in S_R(\gamma)\}$  <sup>12</sup>
- Each  $\Gamma'_\sigma$  is defined pointwise.

The natural transformation  $emp_\pi : \Diamond \rightarrow \pi \Diamond$  is given by the identity functions on both the object and relational part. It is realised by  $K. !_\rho I$ .

We list the realisers for the remaining transformations:

- $emp_+ - K.[I, I]$ ,
- $ext_\pi - \lambda^* q. let [x, y] = q \text{ in } F_\pi. (F_\pi. (!_\pi \lambda^* stu. ust). x). \delta_{\pi \rho} y$
- $ext_+ - \lambda^* q. let [[x, y], z] = q \text{ in } W_{\pi \rho}. (\lambda^* ab. [[x, a], [y, b]]). z$

The underlying function part of the above-defined natural transformations is given by identity, hence naturality follows trivially.

Finally, we construct the morphisms, listed in Item 7:

- $p_{\Gamma. S} : \Gamma. 0S \rightarrow \Gamma$  is the first projection of  $(\Gamma. 0S)_\sigma = \{(\gamma, s) : \gamma \in \Gamma_\sigma. s \in S(\gamma)\}$ , ( $\sigma \in \{O, R\}$ ) and is realized by  $\lambda^* t. (t. K)$ .  
The equality  $U(p_{\Gamma. S}) = p_{U\Gamma. S}$  holds trivially due to the identical structure of context extension in the underlying CwF and  $\mathcal{L}$ .

<sup>11</sup>**FNF**: would be good for clarity to spell this out (up to isomorphism, removing the unit type element)

<sup>12</sup>**FNF**: no realizability anymore

- define  $v_{\Gamma.S} \in RTm(0\Gamma.1S, S\{p_{U\Gamma.S}\})$  as the second projection.  $v_{\Gamma.S}$  is realized by  $\lambda^*t. B.t.K.D$ .
- Let  $a_f^\sigma$  realize  $f_\sigma$ , then  $wk(f, \rho S') := \lambda(\gamma, s).(f(\gamma), s)$  is realized by  $\lambda^*q.let [x, y] = q \text{ in } [a_f^\sigma.x, y]$
- given a  $M_\sigma \in RTm(\Gamma, S) = M_\sigma : \forall \gamma \in U(\Gamma_\sigma).S_\sigma(\gamma)$  with realizers  $a_m^\sigma$ , let  $\overline{\rho M}_\sigma := \lambda\gamma.(\gamma, M_\sigma(\gamma))$  realized by  $\lambda^*q.let [x, y] = q \text{ in } [x, F_\rho.(!_\rho a_m^\sigma).y]$
- given a  $M_\sigma \in Tm(U\Gamma, S) = M_\sigma : \forall \gamma \in U\Gamma_\sigma.S_\sigma(\gamma)$ , let  $\overline{M}_\sigma := \lambda\gamma.(\gamma, M_\sigma(\gamma))$  realized by the  $K$  combinator.

From now on, we refer to the constructed model as  $\mathbb{M}$ .

## Type formers

**Definition 5** (Dependent function types a QCwF). A QCwF supports dependent function types with usage information, if

- the underlying CwF  $\mathcal{C}$  supports them, namely, if for all  $\Gamma \in Ob(\mathcal{C}), S \in Ty(\Gamma), T \in Ty(\Gamma.S), \pi \in R$ , there exist type  $\Pi\pi ST \in Ty(\Gamma)$  and a bijection

$$\Lambda : Tm(\Gamma.S, T) \cong Tm(\Gamma, \Pi\pi ST),$$

natural in  $\Gamma$ .

- for  $\Gamma \in Ob(\mathcal{L}), S \in Ty(U\Gamma), T \in Ty(U\Gamma.S), \pi \in R$ , there exists a bijection

$$\Lambda_{\mathcal{L}} : RTm(\Gamma.\pi S, T) \cong RTm(\Gamma, \Pi\pi ST),$$

natural in  $\Gamma$  such that  $U \circ \Lambda_{\mathcal{L}} = \Lambda \circ U$  and  $U \circ \Lambda_{\mathcal{L}}^{-1} = \Lambda^{-1} \circ U$ .

To show that our model supports  $\Pi$  types, fix some  $\pi \in R$ , suppose  $\Gamma$  is a r.g in  $Ob(\mathcal{C}), S \in Ty(\Gamma), T \in Ty(\Gamma.S)$ . Define the semantic type  $\Pi\pi ST$  as the family of assemblies over  $\Gamma$ , consisting of:

- $(\Pi\pi ST)_O(\gamma) := (X, \models_X)$  for  $\gamma \in \Gamma_O$ , where

$$\begin{aligned} X := \{ & (f_O, f_R) \mid \\ & f_O : \forall s \in [S_O(\gamma)].T_O(\gamma, s), \\ & f_R : \forall r \in S_R(\Gamma_{refl}(\gamma)).T_R(\Gamma_{refl}(\gamma), r), \\ & \exists \widehat{f_O}. (\forall s \in S_O(\gamma).T_{refl}(\gamma, s)(\widehat{f_O}(s)) = f_R(S_{refl}(\gamma)(s))) \\ & \wedge \forall r \in S_R(\Gamma_{refl}(\gamma)).T_{src}(\Gamma_{refl}(\gamma), r)(f_R(r)) = \widehat{f_O}(S_{src}(\Gamma_{refl}(\gamma))(r)) \\ & \wedge \forall r \in S_R(\Gamma_{refl}(\gamma)).T_{tgt}(\Gamma_{refl}(\gamma), r)(f_R(r)) = \widehat{f_O}(S_{tgt}(\Gamma_{refl}(\gamma))(r))) \} \end{aligned}$$

$a \models_X (f_O, f_R)$  iff

$$\forall s \in \lfloor S_O(\gamma) \rfloor, b \in \mathcal{A}. b \models_{S_O(\gamma)} s \implies a.!\rho b \models_{T_O(\gamma, s)} f_O(s)$$

Note that  $f_R$  does not contribute any realisability information to  $\models_X$ .

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$$\begin{aligned} (\Pi\pi ST)_R(\gamma) := \{ & ((f_O^{src}, f_R^{src}), (f_O^{tgt}, f_R^{tgt}), r) \mid \\ & (f_O^{src}, f_R^{src}) \in (\Pi\pi ST)_O(\Gamma_{src}(\gamma)), \\ & (f_O^{tgt}, f_R^{tgt}) \in (\Pi\pi ST)_O(\Gamma_{tgt}(\gamma)), \\ & r : \forall s \in S_R(\gamma). T_R(\gamma, s), \\ & \forall s \in S_R(\gamma). T_{src}(\gamma, s)(r(s)) = \widehat{f_O^{src}}(S_{src}(\gamma)(s)), \\ & \forall s \in S_R(\gamma). T_{tgt}(\gamma, s)(r(s)) = \widehat{f_O^{tgt}}(S_{tgt}(\gamma)(s))^{13} \} \end{aligned}$$

- $(\Pi\pi ST)_{refl}(\gamma) := \lambda(f_O, f_R). ((f_O, f_R), (f_O, f_R), f_R)$  for  $\gamma \in \Gamma_O$ .
- $(\Pi\pi ST)_{src}(\gamma) := \lambda(f^{src}, f^{tgt}, r). f^{src}$  for  $\gamma \in \Gamma_R$ .
- $(\Pi\pi ST)_{tgt}(\gamma) := \lambda(f^{src}, f^{tgt}, r). f^{tgt}$  for  $\gamma \in \Gamma_R$ .

Unwinding Definition 5, we get

$$\{(M_O, M_R) : \forall(\gamma, s) \in (\Gamma.\pi S). T(\gamma, s)\} \cong \{(N_O, N_R) : \forall\gamma \in \Gamma. (\Pi\pi ST)(\gamma)\}$$

where the terms are of the following type structure:

$$\begin{aligned} M_O & : \forall(\gamma, s) \in (\Gamma.\pi S)_O. T_O(\gamma, s) \\ M_R & : \forall(\gamma, r) \in (\Gamma.\pi S)_R. T_R(\gamma, s) \\ N_O & : \forall\gamma \in \Gamma_O. \\ & \{ (f_O, f_R) \mid f_O : \Pi S(\gamma)_O. T(\gamma)_O \\ & \quad f_R : \Pi S_R(\Gamma_{refl}(\gamma). T(\Gamma_{refl}(\gamma))) \} \\ N_R & : \forall\gamma \in \Gamma_R. \\ & \{ (f^{src}, f^{tgt}, r) \mid f^{src} \in (\Pi\pi ST)_O(\Gamma_{src}(\gamma)) \\ & \quad f^{tgt} \in (\Pi\pi ST)_O(\Gamma_{tgt}(\gamma)) \\ & \quad r : \Pi S_R(\gamma). T_R(\gamma) \} \end{aligned}$$

Thus, we can define  $\Lambda$  as  $\Lambda(M_O, M_R) = (N_O, N_R)$ , where

$$N_O := \lambda\gamma_o. (\lambda s. M_O(\gamma_o, s), \lambda s_r. M_R(\Gamma_{refl}(\gamma_o), s_r))$$



$$N_R := \lambda \gamma_r. (N_O(\Gamma_{src}(\gamma)), N_O(\Gamma_{tgt}(\gamma)), \lambda s_r. M_R(\gamma, s_r))$$

For  $\Lambda_{\mathcal{L}}$ , a realizer  $a_m$  of  $M$

(that is  $\forall(\gamma, s), \forall(a_\gamma, a_s), [a_\gamma, a_s] \models_{\Gamma.\pi_S} (\gamma, s) \implies a_m.[a_\gamma, a_s] \models_{T(\gamma, s)} M(\gamma, s)$ )

can be transformed to a realizer  $a_n$  of  $N$  by:

$$a_n := \lambda^* y. (\lambda^* s. (a_m.[y, s]))$$

The conditions  $U \circ \Lambda_{\mathcal{L}} = \Lambda \circ U$  and  $U \circ \Lambda_{\mathcal{L}}^{-1} = \Lambda^{-1} \circ U$  follow trivially.

**Universe of small types** A plausible candidate for the universe  $U$  is the general definition in [fill]:

$U_O :=$  the set of small r.g.

$U_R := \{(A, B, R, R_{src}, R_{tgt}) : R \in \mathcal{U}, R_{src} : R \rightarrow A_O, R_{tgt} : R \rightarrow A_O, A, B \text{ are small r.g.}\}$

However, this universe turns out to be “too big” - types do not carry enough structure to model parametricity accurately. To remedy the definition, we impose restrictions laid out by the following characterization:

A family of reflexive graphs of assemblies  $S$  over  $\Gamma$  is

- small - if for all  $\gamma_\sigma \in \Gamma_\sigma$ ,  $S_R(\gamma_R) \in \mathcal{U}$  and  $|S_O(\gamma_O)| \in \mathcal{U}$ .
- discrete - if for every  $\gamma \in \Gamma_O$ , there exists  $X \in \mathcal{A}sm^*(\mathcal{A})$ , s.t.

$$\begin{array}{ccc} & S_O(\gamma) & \\ \uparrow & | & \downarrow \\ S_{src}(\Gamma_{refl}(\gamma)) & S_{refl}(\gamma) & S_{src}(\Gamma_{refl}(\gamma)) \\ \downarrow & | & \uparrow \\ & S_R(\Gamma_{refl}(\gamma)) & \end{array} \cong \begin{array}{ccc} & X & \\ \uparrow & | & \downarrow \\ id & id & id \\ \downarrow & | & \uparrow \\ & |X| & \end{array}$$

- proof-irrelevant - if for all  $\gamma \in \Gamma_R$ , the function  $\langle S_{src}(\gamma), S_{tgt}(\gamma) \rangle : S_R(\gamma) \rightarrow |S_O(\Gamma_{src}(\gamma))| \times |S_O(\Gamma_{tgt}(\gamma))|$  is injective.

For any reflexive graph  $\Gamma$ , define the small, discrete, proof-irrelevant universe  $U \in Ty(\Gamma)$  and the type decoder  $T \in Ty(\Gamma.U)$  as:

- $|U_O(\gamma)| :=$  the set of small, discrete r.g. of assemblies  
 $a \models_{U_O(\gamma)} S$  for  $a \in \mathcal{A}$ ,  $a = I$  and  $S \in |U_O(\gamma)|$ .<sup>14</sup>

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<sup>14</sup>**FNF:** Again maybe  $a \vdash S$  iff  $a = I$  is better for linearity reasons?

- $U_R(\gamma_R) := \{(S, T, R, R_{src}, R_{tgt}) \mid R \in \mathcal{U}$   
 $S, T$  are small discrete r.g. of assemblies  
 $\langle R_{src}, R_{tgt} \rangle : R \rightarrow |S_O| \times |T_O|$  is injective
- $U_{refl}(\gamma_R)(S) := (S, |S|, S_{refl}, S_{src}, S_{tgt})$
- $U_{src}(\gamma_R)(S, T, R, R_{src}, R_{tgt}) = S$
- $U_{src}(\gamma_R)(S, T, R, R_{src}, R_{tgt}) = T$

and  $T \in Ty(\Gamma.U)$  as:

- $T_O(\gamma_O, S) := S_O$
- $T_R(\gamma_R, (S, T, R, R_{src}, R_{tgt})) := R$
- $T_{refl}(\gamma_O, S) := S_{refl}$
- $T_{src}(\gamma_R, (S, T, R, R_{src}, R_{tgt})) := R_{src}$
- $T_{tgt}(\gamma_R, (S, T, R, R_{src}, R_{tgt})) := R_{tgt}$ .

**Claim.**  $U$  is closed under  $\Pi$  types.

Given some r.g  $\Gamma$  and  $S \in Ty(\Gamma)$ ,  $T \in Ty(\Gamma.S)$ , it suffices to show that  $\Pi\pi TS \in Ty(\Gamma)$  is a small, discrete and proof-irrelevant family of r.g. of assemblies<sup>†</sup> <sup>15</sup> For brevity, let  $V := \Pi\pi ST$ .

Smallness follows by the closure under  $\Pi$ -types in the ambient set-theoretical universe  $\mathcal{U}$ .

For proof-irrelevance, take some  $\gamma_R \in \Gamma$  and  $f, g \in V_R(\gamma_R)$ , s.t.  $\langle V_{src}(\gamma_R), V_{tgt}(\gamma_R) \rangle(f) = \langle V_{src}(\gamma_R), V_{tgt}(\gamma_R) \rangle(g)$ . WTP  $f = g$ , by def. we get immediately that  $f^{src} = g^{src}$  and  $f^{tgt} = g^{tgt}$ . Given that  $(f^{src}, f^{tgt}, r_f), (f^{src}, f^{tgt}, r_g) \in V_R(\gamma_R)$ , note that ,

$$\begin{aligned} \forall s \in S_R(\gamma_R). \langle T_{src}(\gamma_R, s), T_{src}(\gamma_R, s) \rangle(r_f(s)) &= (f_O^{src}(S_{src}(\gamma_R)(s)), f_O^{tgt}(S_{tgt}(\gamma_R)(s))) \\ \forall s \in S_R(\gamma_R). \langle T_{src}(\gamma_R, s), T_{src}(\gamma_R, s) \rangle(r_g(s)) &= (f_O^{src}(S_{src}(\gamma_R)(s)), f_O^{tgt}(S_{tgt}(\gamma_R)(s))) \end{aligned}$$

Since  $T$  is proof-irrelevant, it follows directly that  $r_f = r_g$  and thus  $f = g$ .

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<sup>15</sup>it actually does not suffice, we implicitly assume a lemma that gives an isomorphism between terms of type  $U$  and small, discrete, p.i. r.g of assemblies<sup>†</sup>

## A free theorem

**Definition 6** (No universal realizer). Given a context  $\Gamma$  and a type  $T$ , s.t  $\Gamma \vdash T$ , the model  $\mathcal{C}$  constructed so far has no universal realizer iff  $\bigcap_{\gamma \in \llbracket \Gamma \rrbracket_O} \{a \in \mathcal{A} : \text{for every } x \in \llbracket T \rrbracket_O(\gamma), a \models_{\llbracket T \rrbracket_O(\gamma_O)} x\}$  is empty.

**Theorem 7.** Let  $\Gamma$  be a context and  $T := \Pi a : \Pi_{-}^0 \mathbf{U}. \Pi_{-}^0 \mathbf{T}a. \mathbf{T}a$  - a type. Assume the model has no universal realizers. There is no resourced term  $M$  of that type - i.e.  $\Gamma \vdash M :^1 T$  does not hold in  $\mathbb{M}$ .

Assume such term  $M$ ,  $\Gamma \vdash M :^1 T$  exists. Fix some  $\gamma \in \Gamma_O$  and consider the uncurried term  $M'$ , s.t  $\Gamma, a : \mathbf{U}, - : \mathbf{T}a \vdash M' :^1 \mathbf{T}a$  and

$$M'_O(\gamma_O, a_O, -) := \text{let } ((f''_O, f''_R), f'_R) = M_O(\gamma_O) \text{ in } f''_O(\gamma_O, a_O, -)$$

$$M'_R(\gamma_R, a_R, -) := \text{let } (f'^{\text{src}}, f'^{\text{tgt}}, (f''^{\text{src}}, f''^{\text{tgt}}, r)) = M_R(\gamma_R) \text{ in } r(\gamma_R, a_R, -)$$

Fix some  $\gamma_O \in \Gamma_O$ . Spelling out explicitly the type of  $M'_R$ , “instantiated” at  $\Gamma_{\text{refl}}(\gamma_O)$  (or equivalently, of  $r(\Gamma_{\text{refl}}(\gamma_O), -, -)$  and suppressing the realizability information, we get that:

$$M'_R(\Gamma_{\text{refl}}(\gamma_O), -, -) : \forall a_R \in \mathbf{U}_R(\Gamma_{\text{refl}}(\gamma_O)). \mathbf{T}_R(\Gamma_{\text{refl}}(\gamma_O), a_R) \rightarrow \mathbf{T}_R(\Gamma_{\text{refl}}(\gamma_O), a_R)$$

Unpacking the definition of  $\mathbf{U}_R(\Gamma_{\text{refl}}(\gamma_O))$ , we get (by conditions in Definition 4):

$$\forall S, T \in \mathbf{U}_O(\gamma_O), \forall R \in \mathcal{U}, R_{\text{src}} : R \rightarrow S_O, R_{\text{tgt}} : R \rightarrow T_O :$$

$$R_{\text{src}}(M'_R(\Gamma_{\text{refl}}(\gamma_O), (S, T, R, R_{\text{src}}, R_{\text{tgt}}), (s, t))) = M'_O(\gamma_O, S, s)$$

$$R_{\text{tgt}}(M'_R(\Gamma_{\text{refl}}(\gamma_O), (S, T, R, R_{\text{src}}, R_{\text{tgt}}), (s, t))) = M'_O(\gamma_O, T, t)$$

Thus, we conclude that

$$\forall S, T \in \mathbf{U}_O(\gamma_O), \forall R \subseteq S \times T, \forall (s, t) \in R. (M'_O(\gamma_O, S, s), M'_O(\gamma_O, T, t)) \in R \quad (1)$$

Let  $X$  be some type, s.t  $\Gamma \vdash X : \mathbf{U}$ . Consider the term  $M$  instantiated at  $\gamma_O$  and  $X$  and  $R^X := \{(x, x) | x : X\}$ . Substituting  $X$  for  $S$  and  $T$ ,  $R^X$  for  $R$  in (1) and applying currying, we get that for each  $x : X$ ,  $(M(\gamma_O, X(\gamma_O), x), M(\gamma_O, X(\gamma_O), x)) \in R$  holds. Hence  $M(\gamma_O, X(\gamma_O), x) = x$ .

Now since  $M \in RTm(\Gamma, T)$ ,  $M$  is realizable - in particular, there exists an  $a \in \mathcal{A}$  that tracks  $M(\gamma_O, X(\gamma_O), -)$ . By def. we get that  $\forall x \in X_O, \forall b \in \mathcal{A}. b \models_{\Gamma.OX} (\gamma_O, x) \implies a.!_0 b \models_{X(\gamma_O)} M(\gamma_O, X(\gamma_O), x)$  -  $a$  is a realizer for every element  $x$  in  $X_O(\gamma_O)$ . But that is a contradiction, as no universal realizer exists for  $X$  by assumption. Therefore no resourced term  $M :^1 T$  exists.

**Definition 8** (Separability of realizers). Let  $\Gamma$  be a context and  $S \in Ty(\Gamma)$ .  $S$  has separable realizers if for every  $\gamma \in \Gamma_O$  and  $x, y \in |S_O(\gamma)|$  and  $a \in \mathcal{A}$ , if  $a \models_{S_O(\gamma)} x$  and  $a \models_{S_O(\gamma)} y$ , then  $x = y$ .

**Theorem 9.** Let  $\Gamma \vdash A : \mathbf{U}$  and  $\Gamma, a : A \vdash B : \mathbf{U}$ . If  $A$  has a realisable inhabitant and  $B$  has separable realisers, then  $\llbracket B \rrbracket \cong \llbracket \Pi x : A. B \rrbracket$  holds in  $\mathbb{M}$ .

Let  $a^*$  be the realisable inhabitant of  $A$ ,  $a^* \in RTm(\Gamma, A)$ ,  $a_o^* : \forall \gamma_o \in \Gamma_O. \llbracket A_O(\gamma_o) \rrbracket$ ,  $a_r^* : \forall \gamma_r \in \Gamma_R. A_R(\gamma_r)$ .

Define the morphisms  $g : \Pi x : A. B \rightarrow B$  and  $h : B \rightarrow \Pi x : A. B$  as <sup>16</sup>:

$$g_O(\gamma_o) := \lambda(f_O, f_R). f_O(a_o^*(\gamma)) \quad h_O(\gamma_o) := \lambda b_O. (\lambda a_o. b_O, \lambda a_r. B_{refl}(b_O))$$

Let  $V := \Pi x : A. B$ , observe that if  $(f_O, f'_R), (f_O, f''_R) \in |V_O|$ , then  $f'_R = f''_R$  using the proof-irrelevance of  $B$ . Hence, to show that two inhabitants of  $|V_O|$  are equal, it suffices to prove it for the first components only (1).

Fix some  $(f_O, f_R) \in \llbracket V_O \rrbracket$ . To find a realiser of  $g_O(\gamma_o)$ , consider the realiser  $a_f \models_{V_O(\gamma_o)} (f_O, f_R)$ . By def. we have that  $\forall x \in \llbracket A_O(\gamma_o) \rrbracket, a \in \mathcal{A}. a \models_{A_O(\gamma_o)} x \implies a_f. !_0 a \models_{B_O(\gamma_o)} f_O(x)$ . As  $B$  has separable realisers, it must be the case that  $f_O$  is a constant function (2). Define the realiser  $a_g$  of  $g_O(\gamma_o)$  as  $a_g := \lambda^* x. (x. I)$ .

As for  $h_O(\gamma_o)$ , we must first ensure that  $h_O$  outputs well-defined inhabitants of  $V_O(\gamma_o)$ . Notice that both  $f' := \lambda a_o. b_O$  and  $f'' := \lambda a_r. B_{refl}(b_O)$  are well-typed and we can easily verify they satisfy the conditions in Definition 5 by direct substitution (where the extension of  $f'$  is the natural extension over  $\llbracket A_O \rrbracket$ ). To construct the realiser of  $f'$ , let  $a_b \models_{B_O(\gamma_o)} b_O$  (such  $a_b$  exists due to  $b_O \in \llbracket B_O(\gamma_o) \rrbracket$ ), then  $K.a_b$  realises  $f'$ .

Let  $b^* \in \llbracket B_O(\gamma_o) \rrbracket$ , by def. we have that  $(g_O \circ h_O)(\gamma_o, b^*) = b^*$ . As for the converse direction, let  $(h_O \circ g_O)(\gamma_o)(f_O, f_R) = (f'_O, f'_R)$  for some  $(f_O, f_R) \in V_O(\gamma_o)$ . By (1), we show only that  $f_O = f'_O$ . As  $f_O$  is a constant function by (2), expand the definition of  $h_o$  to obtain immediately  $f_\emptyset = f'_\emptyset$ .

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<sup>16</sup>for the time being, focus only on the object part