Outline

1 Quantitative polynomial functors

1.1 Category of closed types and linear functions

Let \mathcal{C} be the category of closed types and linear functions $f:(x\overset{1}{:}X)\to Y$ for which derivations in QTT exist. Composition of morphisms $\Gamma\vdash f\overset{\sigma}{:}(x\overset{1}{:}X)\to Y$ and $\Gamma\vdash g\overset{\sigma}{:}(y\overset{1}{:}Y)\to Z$ is given by ordinary function composition $\Gamma\vdash \lambda x\overset{1}{:}X.g(f(x))\overset{\sigma}{:}(x\overset{1}{:}X)\to Z$.

The linearity restriction on the morphisms does not lead to loss of expressiveness - functions with arbitrary resource annotations can be represented as linear ones via:

1.2 Category of closed types and linear functions in a nonempty context

Let Δ be an "underlying context", i.e. a context of the form $\Delta = 0\Gamma_0$ for some Γ_0 . There is a category \mathcal{C}_{Δ} where the objects are types X such that $\Delta \vdash X$ type, and a morphism X to Y consists of pair (Γ, f) , where Γ is a context such that $0\Gamma = \Delta$, and $\Gamma \vdash f : X \xrightarrow{1} Y$.

- The identity morphism is given by $(\Delta, \lambda x.x)$;
- Composition of (Γ_2, g) and (Γ_1, f) is given by $(\Gamma_1 + \Gamma_2, \lambda x. f(g(x)))$.

This is a category since $0\Delta = \Delta$, and context addition is associative, and with $\Gamma + \Delta = \Delta + \Gamma = \Gamma$. Note that the category of \mathcal{C} closed types from Section 1.1 is a special case $\mathcal{C} = \mathcal{C}_{\diamond}$ where $\Delta = \diamond$, because the only Γ with $0\Gamma = \diamond$ is $\Gamma = \diamond$.

Lemma 1. Fix Δ as above, and let $\Delta \vdash A$ type and $\Delta, x \stackrel{0}{:} A \vdash B[x]$ type. The operation $F(X) = (a \stackrel{1}{:} A) \otimes (Ba \stackrel{1}{\to} X)$ is a functor $\mathcal{C}_{\Delta} \to \mathcal{C}_{\Delta}$.

Proof. If $\Delta \vdash X$ type then $\Delta \vdash F(X)$ type. On morphisms, we can define

$$F(\Gamma, f) = (\Gamma, \lambda z. \text{ let } (a, h) = z \text{ in } (a, \lambda b. f(h(b))))$$

as the following derivation shows:

$$\frac{0\Gamma, z \overset{0}{:} F(X), a \overset{1}{:} A, h \overset{0}{:} B[a] \xrightarrow{1} X \vdash a : A}{\Gamma, z \overset{0}{:} F(X), a \overset{1}{:} A, h \overset{1}{:} B[a] \xrightarrow{1} X \vdash (a, \lambda b. f(h(b))) : F(Y)}{0\Gamma, z \overset{1}{:} F(X) \vdash a : A} \xrightarrow{0} \frac{\Gamma, z \overset{1}{:} F(X) \vdash \text{let } (a, \lambda b. f(h(b))) : F(Y)}{\Gamma \vdash \lambda z. \text{ let } (a, h) = z \text{ in } (a, \lambda b. f(h(b))) : F(X) \xrightarrow{1} F(Y)}$$

where \mathcal{D} is the derivation

$$\frac{\Gamma, a \overset{0}{:} A, h \overset{0}{:} B[a] \xrightarrow{1} X, b \overset{0}{:} B[a] \vdash f : X \xrightarrow{1} Y \qquad \overset{\vdots}{\mathcal{D}'}}{\Gamma, a \overset{0}{:} A, h \overset{1}{:} B[a] \xrightarrow{1} X, b \overset{1}{:} B[a] \vdash f(h(b)) : Y}{\Gamma, a \overset{0}{:} A, h \overset{1}{:} B[a] \xrightarrow{1} X \vdash \lambda b. f(h(b)) : B[a] \xrightarrow{1} Y}$$

weakened by $z \stackrel{0}{:} F(X)$, where again \mathcal{D}' is the derivation

$$\frac{0\Gamma, a \overset{0}{:} A, h \overset{1}{:} B[a] \xrightarrow{1} X, b \overset{0}{:} B[a] \vdash h : B[a] \xrightarrow{1} X}{0\Gamma, a \overset{0}{:} A, h \overset{1}{:} B[a] \xrightarrow{0} X, b \overset{1}{:} B[a] \vdash b : B[a]}$$

$$0\Gamma, a \overset{0}{:} A, h \overset{1}{:} B[a] \xrightarrow{1} X, b \overset{1}{:} B[a] \vdash h(b) : X$$

similarly weakened. This is functorial by the η -rules for functions and pairs.

1.3 Internal representation

Let F be the polynomial functor mapping a type X to $(a \stackrel{1}{:} A) \otimes (Ba \stackrel{1}{\to} X)$ and a function $f: X \stackrel{1}{\to} Y$ to $Ff: (a \stackrel{1}{:} A) \otimes (Ba \stackrel{1}{\to} X) \to (a \stackrel{1}{:} A) \otimes (Ba \stackrel{1}{\to} Y)$

If D_f is the derivation of f, we show how to derive Ff. Given some a:A and $h:Ba \xrightarrow{1} X$, start by composing with f and constructing a tensor product:

$$\frac{\vdash \Gamma, a \stackrel{!}{:} A, h \stackrel{!}{:} Ba \stackrel{1}{\to} X}{0\Gamma, a \stackrel{!}{:} A, h \stackrel{!}{:} Ba \stackrel{1}{\to} X} Var \xrightarrow{D_f} \frac{D_f}{\Gamma \vdash f \stackrel{!}{:} X \stackrel{1}{\to} Y}$$

$$\vdots \qquad \qquad \vdots \qquad \qquad \vdots$$

$$\frac{0\Gamma, a \stackrel{!}{:}, h \stackrel{!}{:} \cdots \vdash a \stackrel{!}{:} A}{\Gamma, a \stackrel{!}{:} A, h \stackrel{!}{:} Ba \stackrel{1}{\to} X \vdash \lambda b \stackrel{!}{:} Ba.f(g(b)) \stackrel{!}{:} (Ba \stackrel{1}{\to} Y)}{\Gamma, a \stackrel{!}{:} A, h \stackrel{!}{:} Ba \stackrel{1}{\to} X \vdash (a, \lambda b \stackrel{!}{:} Ba.f(g(b))) \stackrel{!}{:} (a \stackrel{!}{:} A) \otimes (Ba \stackrel{1}{\to} Y)}$$

$$\frac{ \vdash \Gamma, z \stackrel{!}{:} (a \stackrel{!}{:} A) \otimes (Ba \stackrel{1}{\to} X)}{0\Gamma, z \stackrel{!}{:} (a \stackrel{!}{:} A) \otimes (Ba \stackrel{1}{\to} X) \vdash z \stackrel{!}{:} \dots} \qquad \Gamma, \dots \vdash (a, \lambda b \stackrel{!}{:} Ba.f(g(b))) \stackrel{!}{:} (a \stackrel{!}{:} A) \otimes (Ba \stackrel{1}{\to} Y)}{(a \stackrel{!}{:} A) \otimes (Ba \stackrel{1}{\to} X) \vdash \text{let } (x, u) = a \text{ in } (x, \lambda b \stackrel{!}{:} Ba.f(u(b))) \stackrel{!}{:} (a \stackrel{!}{:} A) \otimes (Ba \stackrel{1}{\to} Y)}{(a \stackrel{!}{:} A) \otimes (Ba \to X). \text{ let } \dots \stackrel{!}{:} (a \stackrel{!}{:} A) \otimes (Ba \stackrel{1}{\to} X) \stackrel{1}{\to} (a \stackrel{!}{:} A) \otimes (Ba \stackrel{1}{\to} Y)} Lam$$

1.4 External representation (using adjoints)

 $(s\stackrel{0}{:}S)\otimes ((t\stackrel{0}{:}T)\otimes Id_{f(s),g(t)}))$ Use QCwF structure...

- 1.5 Generalising to non-empty contexts
- 1.6 Properties of quantitative polynomial functors
- 2 Algebras for QPFs
- 2.1 N

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f: 1 \to Bool, Let A := Bool and B = \mathbf{1}, P_f. P_f(X) := (a \stackrel{!}{:} Bool) \otimes ((b \in f^{-1}(a)) \to X) Assume N, prove initiality. If there is an initial algebra for P_f there is a type N that satisfies n.n. induction (requires dependent function).
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- 2.2 Lists
- 2.3 Trees
- 2.4 Induction principle
- 3 Rules for W-types in QTT
- 4 Parametricity and W-types

5 Appendix

5.1 (Stand-alone) Sum types

$$\frac{0\Gamma \vdash A \quad 0\Gamma \vdash B}{0\Gamma \vdash \rho A \oplus \pi B} \oplus \text{-type} \qquad \frac{\Gamma \vdash S_1 \stackrel{\sigma}{:} A}{\rho \Gamma \ \vdash \textbf{inl} \ S_1 \stackrel{\sigma}{:} \rho A \oplus \pi B} \ \text{inl} \qquad \frac{\Gamma \vdash S_2 \stackrel{\sigma}{:} B}{\pi \Gamma \vdash \textbf{inr} \ S_2 \stackrel{\sigma}{:} \rho A \oplus \pi B} \ \text{inr}$$

$$0\Gamma, x \overset{0}{:} \rho A \oplus \pi B \vdash C$$

$$\underline{\Gamma \vdash M \overset{\sigma}{:} \rho A \oplus \pi B} \qquad \underline{\Gamma', a \overset{\rho}{:} A \vdash T_1 \overset{\sigma}{:} C[\mathbf{inl} \, a/x]} \qquad \underline{\Gamma', b \overset{\pi}{:} B \vdash T_2 \overset{\sigma}{:} C[\mathbf{inr} \, b/x]} \qquad 0\Gamma = 0\Gamma'}_{\Gamma' + \Gamma \vdash \mathbf{case}(M, T_1, T_2) \overset{\sigma}{:} C[M/x]} \oplus -\mathrm{elim}$$

$$\frac{\Gamma \vdash S_1 \stackrel{\sigma}{:} A \qquad \Gamma \vdash M \stackrel{\sigma}{:} \rho A \oplus \pi B \qquad \Gamma', a \stackrel{\rho}{:} A \vdash T_1 \stackrel{\sigma}{:} C[\mathbf{inl} \, a/x] \qquad 0\Gamma = 0\Gamma'}{\Gamma' + \rho \Gamma \vdash \mathbf{case}(\mathbf{inl}(S_1), T_1, T_2) \equiv T_1[S_1/a]} \oplus \text{-comp}$$

Figure 1: Rules for \oplus -type

We give the following semantics for the \oplus -type:

$$\begin{aligned} |\rho A \oplus \pi B \ (\gamma)| &:= |A(\gamma)| \sqcup |B(\gamma)| \\ a &\vDash_{\rho A \oplus \pi B \ (\gamma)} (i,x) \ \text{iff} \ (\exists b.a = [!_{\rho}b, \lceil true \rceil] \land b \vDash_{A(\gamma)} x \land i = 0) \lor \\ & (\exists c.a = [!_{\pi}c, \lceil false \rceil] \land c \vDash_{B(\gamma)} x \land i = 1) \end{aligned}$$

Claim. The rules are sound when interpreted wrt to the given semantics for \oplus -types and realisability model.

Proof. The underlying set-theoretic functions are immediate. For the realisers, let

•
$$a_{\mathbf{inl}} := \lambda^* x. [F_{\rho} \cdot !_{\rho} a_{s_1} \cdot x, \lceil true \rceil]$$

 $if \ a_{s_1} \cdot a_{\gamma} \vDash s_1, \ then \ a_{\mathbf{inl}} \cdot !_{\rho} a_{\gamma} \vDash \mathbf{inl} s_1; \ a_{\mathbf{inl}} \cdot !_{\rho} a_{\gamma} \leadsto [!_{\rho} (a_{s_1} \cdot a_{\gamma}), \lceil true \rceil]$

•
$$a_{\mathbf{case}} := [a, b] = a_m \cdot a_{\gamma} \text{ in}$$

 $E(b, a_{T_1}, a_{T_2}) \cdot [a'_{\gamma}, a]$

 $\lambda^* x$. let $[a'_{\alpha}, a_{\gamma}] = x$,

assuming $a_m \cdot a_{\gamma} \vDash M$, $a_{T_1} \cdot [a'_{\gamma}, !_{\rho}a_a] \vDash T_1$, $a_{T_2} \cdot [a'_{\gamma}, !_{\pi}a_b] \vDash T_2$, then we want to find $a_{\mathbf{case}}$, s.t. $a_{\mathbf{case}} \cdot [a'_{\gamma}, a_{\gamma}] \vDash \mathbf{case}(M, T_1, T_2)$.

$$if \ a_m \cdot a_{\gamma} = [!_{\rho}a_a, \lceil true \rceil], \ then \ a_{\mathbf{case}} \cdot [a_{\gamma}, a_{\gamma}'] \rightsquigarrow E(\lceil true \rceil, a_{T_1}, a_{T_2}) \cdot [a_{\gamma}', !_{\rho}a_a] \rightsquigarrow a_{T_1} \cdot [a_{\gamma}', !_{\rho}a_a]$$

$$if \ a_m \cdot a_{\gamma} = [!_{\pi}a_b, \lceil false \rceil], \ then \dots$$

Claim. There is a bijection:

 $RTm(\Gamma, \Pi(x \stackrel{\tau}{:} \rho A \oplus \pi B) C) \cong RTm(\Gamma, \Pi(y \stackrel{\tau \rho}{:} A) C[\mathbf{inl}y/x]) \times RTm(\Gamma, \Pi(z \stackrel{\tau \pi}{:} B) C[\mathbf{inl}z/x]))$ (natural in Γ).

Proof. Given a term $\Gamma \vdash f \stackrel{1}{:} (x \stackrel{\tau}{:} \rho A \oplus \pi B) \to C$, we can derive another term $\Gamma \vdash f^{l} \stackrel{1}{:} (y \stackrel{\tau \rho}{:} A) \to C[\mathbf{inl} y/x]$:

$$\frac{\Gamma \vdash f : (x \stackrel{?}{:} \rho A \oplus \pi B) \to C}{\Gamma, y \stackrel{?}{:} A \vdash f \stackrel{!}{:} (x \stackrel{?}{:} \rho A \oplus \pi B) \to C} Weak \quad \frac{\frac{\vdash 0\Gamma, y \stackrel{!}{:} A}{0\Gamma, y \stackrel{!}{:} \vdash y \stackrel{!}{:} A} var}{0\Gamma, y \stackrel{?}{:} A \vdash f \stackrel{!}{:} (x \stackrel{?}{:} \rho A \oplus \pi B) \to C} inl \quad \frac{\Gamma, y \stackrel{?}{:} A \vdash f (\mathbf{inl}y) \stackrel{!}{:} C[\mathbf{inl}y/x]}{\Gamma \vdash \lambda y \stackrel{?}{:} A \cdot f (\mathbf{inl}y) : (y \stackrel{?}{:} A) \to C[\mathbf{inl}y/x]} Lam$$

Analogously, we can obtain $\Gamma \vdash f^r \stackrel{1}{:} (z \stackrel{\tau\pi}{:} B) \to C[\mathbf{inr}y/x]$. Now suppose we have terms $\Gamma \vdash l \stackrel{1}{:} (y \stackrel{\tau\rho}{:} A) \to C[\mathbf{inl}y/x]$ and $\Gamma \vdash r \stackrel{1}{:} (z \stackrel{\tau\pi}{:} B) \to C[\mathbf{inr}z/x]$. Using the isomorphism $\Lambda^{\mathcal{L}}$, we get judgements $\Gamma, y \stackrel{\tau\rho}{:} A \vdash l^* : C[\mathbf{inl}y/x]$ and $\Gamma, z \stackrel{\tau\pi}{:} B \vdash r^* : C[\mathbf{inr}z/x]$.

Now we can focus on the relational part

$$(\rho A \oplus \pi B)(\gamma)_R := A(\gamma)_R \sqcup B(\gamma)_R$$
$$(\rho A \oplus \pi B)(\gamma)_{refl} := A(\gamma)_{refl} \sqcup B(\gamma)_{refl}$$
$$(\rho A \oplus \pi B)(\gamma)_{\sigma} := A(\gamma)_{\sigma} \sqcup B(\gamma)_{\sigma}, \quad \sigma \in \{\text{src}, \text{tgt}\}$$

Suppose A, B are discrete. Then $\rho A \oplus \pi B$ is also discrete. (Since coproducts preserve isos).