# Relational realisability model for QTT

Our aim is to build a concrete realisability model for QTT which allows for meta-reasoning with results derived from parametricity. To that end, a construction of a quantitative category with families (QCwF, see [1]) is presented. We follow the relational approach to types introduced by Reynolds for typed lambda calculus [4] and later refined for dependent types theories [2].

Once and for all fix a usage semiring R and an R-linear combinatory algebra  $\mathscr{A}^1$ .

#### 0 Preliminaries

We recall some basic structures used in constructing the model.

**Definition 1** (Assemblies<sup>†</sup>). An assembly<sup>†</sup>  $\Gamma$  is a pair  $(|\Gamma|, e)$  where  $|\Gamma|$  is a carrier set and e is a realisability function  $|\Gamma| \to \mathcal{P}(\mathscr{A})$ .

Given some  $\gamma \in |\Gamma|$ ,  $e(\gamma)$  is interpreted as the set of witnesses for the existence of  $\gamma$ . To emphasize on that aspect, we write  $a \vDash_{\Gamma} \gamma$  to denote  $a \in e(\gamma)$ . Moreover, let  $\lfloor \Gamma \rfloor$  stand for the set of realisable elements -  $\{\gamma \in |\Gamma| : e_{\Gamma}(\gamma) \neq \emptyset\} \subseteq |\Gamma|$ .

A morphism between two assemblies<sup>†</sup>  $\Gamma$  and  $\Delta$  is a function  $f: |\Gamma| \to |\Delta|$  that is realisable - there exists a realiser  $a_f \in \mathscr{A}$  that tracks the function f in the following sense:

for every 
$$\gamma$$
 in  $|\Gamma|$  and  $a_{\gamma}$  in  $\mathscr{A}$ ,  $a_{\gamma} \vDash_{\Gamma} \gamma \implies a_f.a_{\gamma} \vDash_{\Delta} f(\gamma)$  holds.

Note that multiple realisers for the same function f do not induce multiple morphisms. Using these notions we can construct a category  $Asm^{\dagger}(\mathscr{A})$ .

**Definition 2** (Reflexive graph). A reflexive graph (r.g.) G is a tuple  $(G_O, G_R, G_{refl}, G_{src}, G_{tgt})$ , where  $G_O$  and  $G_R$  are sets,  $G_{src}: G_R \to G_O$ ,  $G_{tgt}: G_R \to G_O$  and  $G_{refl}: G_O \to G_R$  are functions, s.t. the identities hold:

$$G_{src} \circ G_{refl} = id_{G_O} = G_{tgt} \circ G_{refl}$$

 $G_O$  and  $G_R$  stand for a set of objects and a set of relations, respectively. In general, reflexive graphs are less structured than categories as relations need not compose.

A morphism between reflexive graphs G and H is a pair of functions  $(f_o: G_O \to H_O, f_r: G_R \to H_R)$ , s.t. all of the depicted squares commute:

<sup>&</sup>lt;sup>1</sup>In case some non-trivial properties of  $\mathscr{A}$  are required, we will assume that  $\mathscr{A}$  is a graph model (see [3])

$$G_{O} \xrightarrow{f_{o}} H_{O}$$

$$G_{src} (G_{refl}) G_{tgt} \qquad H_{src} (H_{refl}) H_{tgt}$$

$$G_{R} \xrightarrow{f_{r}} H_{R}$$

Reflexive graphs equipped with r.g. morphisms form a category  $\mathcal{R}Gph$ . The terminal object  $\mathbf{1}_{\mathcal{R}Gph}$  is  $(\{\star\}, \{\star\}, id, id, id)$ .

Reflexive graphs provide enough structure for a dyadic interpretation of types in the spirit of [4].

#### 1 Reflexive graphs with realisability information

One could easily generalize reflexive graphs by considering object and relation components from arbitrary categories instead of Set. As our purpose is to build a relational model incorporating realisability information, we replace the set of objects with an assembly<sup>†</sup> and retain the Set-based representation of relations.

**Definition 3** (Reflexive graph with realisable objects). A reflexive graph with realisable objects G is a tuple  $(G_O, G_R, G_{refl}, G_{src}, G_{tgt})$ , where  $G_O \in Ob(\mathcal{A}sm^{\dagger}(\mathscr{A}))$ ,  $G_R$  is a set and the functions  $G_{refl}: |G_O| \to G_R$ ,  $G_{src}: G_R \to |G_O|$ ,  $G_{tgt}: G_R \to |G_O|$  are such that the identities in Definition 2 are satisfied.

Accordingly, a morphism between reflexive graphs with realisable objects, G and H, is a pair  $(f_O: G_O \to H_O, f_R: G_R \to H_R)$ , s.t.  $(f_O, f_R)$  is an  $\mathcal{R}Gph$  morphism between the reflexive graphs  $(|G_O|, G_R, G_{refl}, G_{src}, G_{tgt})$  and  $(|H_O|, H_R, H_{refl}, H_{src}, H_{tgt})$ .

With these components, we obtain a category  $\mathcal{O}RGph(\mathcal{A}sm^{\dagger}(\mathscr{A}))$ . By considering reflexive graphs with realisable objects of shape  $(X, |X|, id_X, id_X, id_X)$ , we identify an isomorphic copy of  $\mathcal{A}sm^{\dagger}(\mathscr{A})$  inside  $\mathcal{O}RGph(\mathcal{A}sm^{\dagger}(\mathscr{A}))$ .

A terminal object  $\mathbf{1}_{\mathcal{O}RGph(\mathcal{A}sm^{\dagger}(\mathscr{A}))}$  in  $\mathcal{O}RGph(\mathcal{A}sm^{\dagger}(\mathscr{A}))$  is a tuple  $(\mathbf{1}_{\mathcal{A}sm^{\dagger}(\mathscr{A})}, \{*\}, id, id, id)$ , where  $\mathbf{1}_{\mathcal{A}sm^{\dagger}(\mathscr{A})}$  is the terminal assembly  $(\{*\}, f)$ , with f defined as  $* \mapsto \{I\}$ .

**Definition 4** (Family of reflexive graphs with realisable objects). Let  $\mathcal{C}$  be a category with a terminal object. Given a reflexive graph  $\Gamma \in Ob(\mathcal{C})$ , a family of reflexive graphs with realisable objects over  $\Gamma$  is a tuple  $S := (S_O, S_R, S_{refl}, S_{src}, S_{tgt})$ , where:

- $S_O:\Gamma_O\to \mathcal{A}sm^{\dagger}(\mathscr{A})$
- $S_R:\Gamma_R\to\mathcal{S}et$

- a  $\Gamma$ -indexed collection of functions  $S_{refl} := \{f_{\gamma} : |S_O(\gamma)| \to S_R(\Gamma_{refl}(\gamma))\}_{\gamma \in \Gamma_O}$
- $S_{src} := \{ f_{\gamma} : S_R(\gamma) \to |S_O(\Gamma_{src}(\gamma))| \}_{\gamma \in \Gamma_R}$
- $S_{tgt} := \{ f_{\gamma} : S_R(\gamma) \to |S_O(\Gamma_{tgt}(\gamma))| \}_{\gamma \in \Gamma_R}$

such that

• each identity in the following collection is satisfied:

$$S_{\sigma}(\Gamma_{refl}(\gamma)) \circ S_{refl}(\gamma) = id \text{ for every } \gamma \in \Gamma_O, \sigma \in \{src, tgt\}$$

A morphism M between two families S and T of reflexive graphs with realisable objects over  $\Gamma$  is a pair  $(M_O, M_R)$  of  $\Gamma$ -indexed collections of morphisms:

- $M_O := \{ f_\gamma : S_O(\gamma) \to T_O(\gamma) \}_{\gamma \in \Gamma_O}$   $M_R := \{ f_\gamma : S_R(\gamma) \to T_R(\gamma) \}_{\gamma \in \Gamma_R}$

s.t. the following identities are satisfied:

- $T_{refl}(M_O(\gamma)(s_o)) = M_R(\Gamma_{refl}(\gamma))(S_{refl}(\gamma)(s_o))$  for every  $\gamma \in \Gamma_O$ ,  $s_o \in |S_O(\gamma)|$
- $T_{src}(M_R(\gamma)(s_r)) = M_O(\Gamma_{src}(\gamma))(S_{src}(\gamma)(s_r))$  for every  $\gamma \in \Gamma_R$ ,  $s_r \in S_R(\gamma)$
- $T_{tgt}(M_R(\gamma)(s_r)) = M_O(\Gamma_{tgt}(\gamma))(S_{tgt}(\gamma)(s_r))$  for every  $\gamma \in \Gamma_R$ ,  $s_r \in S_R(\gamma)$

Families of reflexive graphs with realisable objects over  $\Gamma$  and their morphisms forms a category  $\mathcal{F}am - \mathcal{O}\mathcal{RG}(\mathcal{A}sm^{\dagger}(\mathscr{A}), \Gamma)$ .

The terminal object  $\mathbf{1}_{\mathcal{F}am-\mathcal{O}\mathcal{RG}(\mathcal{A}sm^{\dagger}(\mathscr{A}),\Gamma)}$  is given by  $(\lambda \gamma_r.\mathbf{1}_{\mathcal{A}sm^{\dagger}(\mathscr{A})}, \lambda \gamma_r.\{*\}, \mathbf{1}_{refl}, \mathbf{1}_{tgt}, \mathbf{1}_{src})$ , where  $\mathbf{1}_{\sigma}$  are the appropriate functions.

#### A CwF from families of reflexive graphs with realisable objects 2

As a first step toward obtaining a relationally parametric realisability model of QTT, we construct a concrete CwF using families of reflexive graphs with realisable objects.

Consider the category  $\mathcal{R}Gph$  with terminal object  $\mathbf{1}_{\mathcal{R}Gph}$ . Let  $\Gamma, \Delta \in Ob(\mathcal{R}Gph)$ , define:

- the collection of semantic types  $Ty(\Gamma)$  as the collection of families of reflexive graph with realisable objects over  $\Gamma$ .
- given a type  $S \in Ty(\Gamma)$ , an element  $M \in Tm(\Gamma, S)$  is a pair of functions  $(M_O: \forall \gamma \in \Gamma_O.|S_O(\gamma)|, M_R: \forall \gamma \in \Gamma_R.S_R(\gamma)), \text{ s.t.}$

$$\forall \gamma \in \Gamma_O.S_{refl}(M_O(\gamma)) = M_R(\Gamma_{refl}(\gamma))$$
$$\forall \gamma \in \Gamma_R.S_{src}(M_R(\gamma)) = M_O(\Gamma_{src}(\gamma))$$
$$\forall \gamma \in \Gamma_R.S_{tat}(M_R(\gamma)) = M_O(\Gamma_{tat}(\gamma))$$

- given  $f: \Gamma \to \Delta$ , substitutions in types and terms is a precomposition with f on the object and relation components of types and terms respectively. Clearly, these operations are compatible with identity and composition in  $\mathcal{R}Gph$ .
- context extension: Suppose  $S \in Ty(\Gamma)$ , construct a r.g.  $\Gamma.S$  as:

$$(\Gamma.S)_O = \{(\gamma, x) : \gamma \in \Gamma_O, x \in |S_O(\gamma)|\}$$

$$(\Gamma.S)_R = \{(\gamma, r) : \gamma \in \Gamma_R, r \in S_R(\gamma)\}$$

$$(\Gamma.S)_{refl}(\gamma, x) = (\Gamma_{refl}(\gamma), S_{refl}(\gamma)(x))$$

$$(\Gamma.S)_{\sigma}(\gamma, r) = (\Gamma_{\sigma}(\gamma), S_{\sigma}(\gamma)(r)), \quad \sigma \in \{src, tgt\}$$

Claim.  $Hom_{\mathcal{R}Gph}(\Delta, \Gamma.S) \cong \{(f, M) : f : \Delta \to \Gamma, M \in Tm(\Delta, S\{f\})\}, natural in \Delta.$ 

### Upgrading to a QCwF

Recall the definition of a QCwF from [1]. Given a usage semiring R, a R-QCwF consists of:

- 1. A CwF  $(\mathcal{C}, 1, Ty, Tm, -..., \langle -... \rangle)$
- 2. A category  $\mathcal{L}$  with a faithful functor  $U: \mathcal{L} \to \mathcal{C}$
- 3. A functor (+):  $\mathcal{L} \times_{\mathcal{C}} \mathcal{L} \to \mathcal{L}$ , s.t  $U(\Gamma_1 + \Gamma_2) = U(\Gamma_1) = U(\Gamma_2)^2$ .  $\mathcal{L} \times_{\mathcal{C}} \mathcal{L}$  denotes the pullback  $\mathcal{L} \xrightarrow{U} \mathcal{C} \xleftarrow{U} \mathcal{L}$ .

Additionally, there exists an object  $\Diamond \in \mathcal{L}$ , s.t.  $U\Diamond = 1$ .

- 4. A functor  $\rho(-): \mathcal{L} \to \mathcal{L}$  for each  $\rho \in R$ , s.t  $U(\rho(-)) = U(-)$ .
- 5. A collection  $RTm(\Gamma, S)$  for each  $\Gamma \in \mathcal{L}$  and  $S \in Ty(U\Gamma)$ , equipped with an injective function  $U_{\Gamma,S}: RTm(\Gamma, S) \to Tm(U\Gamma, S)$ .

For an  $\mathcal{L}$  morphisms  $f: \Gamma \to \Delta$  and types  $S \in Ty(U\Gamma)$ , a function  $-\{f\}: RTm(\Delta, S) \to RTm(\Gamma, S\{f\})$ , s. t.  $U(-\{f\}) = (U(-))\{Uf\}$ .

6. Given  $\Gamma \in \mathcal{L}$ ,  $\rho \in R$  and  $S \in Ty(U\Gamma)$ , an object  $\Gamma . \rho S$ , s.t  $U(\Gamma . \rho S) = U\Gamma . S$ . Additionally, the following natural transformations exist:

```
emp_{\pi}: \Diamond \to \pi \Diamond

emp_{+}: \Diamond \to \Diamond + \Diamond

ext_{\pi}: \pi \Gamma.(\pi \rho S) \to \pi(\Gamma.\rho S), \text{ s.t. } U(ext_{\pi}) = id

ext_{+}: (\Gamma_{1} + \Gamma_{2}).(\rho_{1} + \rho_{2})S \to \Gamma_{1}.\rho_{1}S + \Gamma_{2}.\rho_{2}S, \text{ s.t. } U(ext_{+}) = id
```

7. Given  $\Gamma \in \mathcal{L}$ ,  $S \in Ty(U\Gamma)$ , there exists:

```
a morphism p_{\Gamma,S}: \Gamma.0S \to \Gamma, s.t. U(p_{\Gamma,S}) = p_{U\Gamma,S}
an element v_{\Gamma,S} \in RTm(0\Gamma.1S, S\{p_{U\Gamma,S}\}), s.t. U(v_{\Gamma,S}) = v_{U\Gamma,S}
```

a morphism  $wk(f, \rho S') : \Gamma . \rho S'\{Uf\} \to \Delta . \rho S'$  for each  $f : \Gamma \to \Delta$ ,  $S' \in Ty(U\Gamma, \Delta)$ 

s.t.  $U(wk(f, \rho S')) = wk(Uf, S')$ 

let  $\Gamma_1, \Gamma_2 \in \mathcal{L}$ , s.t  $U\Gamma_1 = U\Gamma_2$  and  $M \in RTm(\Gamma_2, S)$ . There is a morphism  $\overline{\rho M}$ :  $\Gamma_1 + \rho \Gamma_2 \to \Gamma_1.\rho S$ , s.t  $U(\overline{\rho M}) = \overline{UM}$ 

a morphism  $\overline{M}: \Gamma \to \Gamma.0S$  for  $M \in Tm(U\Gamma, S)$ , s.t.  $U(\overline{M}) = \overline{M}$ .

<sup>&</sup>lt;sup>2</sup>the second equality being trivially satisfied

Now to construct the concrete QCwF, consider the CwF from previous section as the underlying category.

Let  $\mathcal{L} := \mathcal{O}RGph(\mathcal{A}sm^{\dagger}(\mathscr{A}))$ , the category of reflexive graphs with realisable objects For the addition structure, let  $\Gamma'$ ,  $\Gamma''$  be reflexive graphs with realisable objects, s.t  $|\Gamma'_O| = |\Gamma''_O|$ and  $\Gamma'_R = \Gamma''_R$ 

- $\Gamma_O := (|\Gamma'_O|, \models_{\Gamma})$  with  $a \models_{\Gamma} \gamma$  iff there exist  $x, y \in \mathscr{A}$ , s.t. a = [x, y] and  $x \models_{\Gamma'} \gamma$  and  $y \models_{\Gamma''} \gamma$ .
- $\Gamma_R := \Gamma'_R (= \Gamma''_R)$ .
- $\Gamma_{\sigma} := \Gamma'_{\sigma}$ , where  $\sigma \in \{src, tgt, refl\}$ .

Define  $\Diamond$  as the terminal object  $\mathbf{1}_{\mathcal{O}RGph(\mathcal{A}sm^{\dagger}(\mathscr{A}))}$ .

Consider the scaling structure and let  $\Gamma := \rho(\Gamma')$ :

- $\Gamma_{\sigma} = (|\Gamma'_{\sigma}|, \vDash_{\Gamma_{\sigma}})$  with  $a \vDash_{\Gamma_{\sigma}} \gamma$  iff there is  $x \in \mathscr{A}$ , s.t  $a = !_{\rho}x$  and  $x \vDash_{\Gamma'_{\sigma}} \gamma$  for  $\sigma \in \{O, R\}$
- again, scaling leaves unmodified  $\Gamma_{\sigma}$  for  $\sigma \in \{src, tgt, refl\}$ .

Let  $RTm(\Gamma, S)$  be the collection of morphisms from the terminal family  $\mathbf{1}_{\mathcal{F}am-\mathcal{O}\mathcal{RG}(\mathcal{A}sm^{\dagger}(\mathscr{A}),\Gamma)}$  to S. Spelling this out and simplifying it, an element of  $RTm(\Gamma, S)$  is a tuple of functions  $(M_O: \forall \gamma_o \in \Gamma_O.|S_O(\gamma_o)|, M_R: \forall \gamma_r \in \Gamma_R.S_R(\gamma_r))$ , s.t. the conditions from Definition 4 are satisfied, namely

$$\forall \gamma_o \in \Gamma_O.S_{refl}(M_O(\gamma_o)) = M_R(\Gamma_{refl}(\gamma_o))$$
$$\forall \gamma_r \in \Gamma_R.S_{src}(M_R(\gamma_r)) = M_O(\Gamma_{src}(\gamma_r))$$
$$\forall \gamma_r \in \Gamma_R.S_{tgt}(M_R(\gamma_r)) = M_O(\Gamma_{tgt}(\gamma_r))$$

such that  $M_O$  is tracked -  $\exists a_M \in \mathscr{A}. \forall a_\gamma \in \mathscr{A}, \gamma \in \Gamma_O. a_\gamma \vDash_{\Gamma_O} \gamma \implies a_m \cdot a_\gamma \vDash_{S(\gamma)} M_O(\gamma).$   $U_{\Gamma,S}$  is the just identity function.

Substitution in terms is given by precomposition with  $f: \Gamma \to \Delta$ , let  $-\{f_O\} := \lambda M_O. \forall \gamma \in \Gamma. M_O(f(\gamma))$  and similarly,  $-\{f_R\} := \lambda M_R. \forall \gamma \in \Gamma. M_R(f(\gamma))$ . The functor U interacts nicely with the so-defined  $-\{f\}$  as essentially the substitution in terms in the underlying CwF is defined in the same way.

Resourced context extension is given by  $\Gamma . \rho S := (\Gamma'_O, \Gamma'_R, \Gamma'_\sigma)$ , where

•  $|\Gamma'_O| := \{(\gamma, x) : \gamma \in |\Gamma_O|, x \in S_O(\gamma)\}$  $a \vDash_{\Gamma, \rho S} (\gamma, x)$  iff there exists  $b, c \in \mathscr{A}$ , s.t  $a = [b, !_{\rho}c], b \vDash_{\Gamma} \gamma$  and  $c \vDash_{S(\gamma)} \pi_1((\check{\gamma}, x))$ , where  $(\check{-}) : \Gamma.S \to U(\Gamma.S), (\check{-}) := id$  as the set-theoretic part of the extensions in the CwF and  $\mathcal{L}$  is the same by definition.

- $\Gamma'_R := \{(\gamma, r) : \gamma \in \Gamma_R, r \in S_R(\gamma)\}$
- Each  $\Gamma'_{\sigma}$  is defined pointwise.

The natural transformation  $emp_{\pi}: \Diamond \to \pi \Diamond$  is given by the identity functions on both the object and relational part. It is realised by  $K!_{\varrho}I$ .

We list the realisers for the remaining transformations:

- $emp_+$  K.[I,I],
- $ext_{\pi}$   $\lambda^*q.let[x,y] = q$  in  $F_{\pi}.(F_{\pi}.(!_{\pi}\lambda^*stu.ust).x).\delta_{\pi\rho}y$
- $ext_+$   $\lambda^*q.let[[x,y],z] = q$  in  $W_{\pi\rho}.(\lambda^*ab.[[x,a],[y,b]]).z$

The underlying function part of the above-defined natural transformations is given by identity, hence naturality follows trivially.

Finally, we construct the morphisms, listed in Item 7:

- $p_{\Gamma.S}: \Gamma.0S \to \Gamma$  is the first projection of  $(\Gamma.0S)_{\sigma} = \{(\gamma, s): \gamma \in \Gamma_{\sigma}.s \in S(\gamma)\}$ ,  $(\sigma \in \{O, R\})$  and is realized by  $\lambda^*t.(t.K)$ . The equality  $U(p_{\Gamma.S}) = p_{U\Gamma.S}$  holds trivially due to the identical structure of context extension in the underlying CwF and  $\mathcal{L}$ .
- define  $v_{\Gamma,S} \in RTm(0\Gamma.1S, S\{p_{U\Gamma.S}\})$  as the second projection.  $v_{\Gamma.S}$  is realized by  $\lambda^*t$ . B.t.K.D.
- Let  $a_f^{\sigma}$  realize  $f_{\sigma}$ , then  $wk(f, \rho S') := \lambda(\gamma, s).(f(\gamma), s)$  is realized by  $\lambda^*q.let[x, y] = q$  in  $[a_f^{\sigma}.x, y]$
- given a  $M_{\sigma} \in RTm(\Gamma, S)$ ,  $M_{\sigma} : \forall \gamma \in U(\Gamma_{\sigma}).S_{\sigma}(\gamma)$  with realizers  $a_m^{\sigma}$ , let  $\overline{\rho M}_{\sigma} := \lambda \gamma.(\gamma, M_{\sigma}(\gamma))$  realized by  $\lambda^*q.let[x, y] = q$  in  $[x, F_{\rho}.(!_{\rho}a_m^{\sigma}).y]$
- given a  $M_{\sigma} \in Tm(U\Gamma, S) = M_{\sigma} : \forall \gamma \in U\Gamma_{\sigma}.S_{\sigma}(\gamma)$ , let  $\overline{M}_{\sigma} := \lambda \gamma.(\gamma, M_{\sigma}(\check{\gamma}))$  realized by the K combinator.

From now on, we refer to the constructed model as M.

## 3 Type formers

**Definition 5** (Dependent function types a QCwF). A QCwF supports dependent function types with usage information, if

• the underlying CwF  $\mathcal{C}$  supports them, namely, if for all  $\Gamma \in Ob(\mathcal{C}), S \in Ty(\Gamma), T \in Ty(\Gamma.S), \pi \in R$ , there exist type  $\Pi \pi ST \in Ty(\Gamma)$  and a bijection

$$\Lambda: Tm(\Gamma.S, T) \cong Tm(\Gamma, \Pi \pi ST),$$

natural in  $\Gamma$ .

• for  $\Gamma \in Ob(\mathcal{L}), S \in Ty(U\Gamma), T \in Ty(U\Gamma.S), \pi \in R$ , there exists a bijection

$$\Lambda_{\mathcal{L}}: RTm(\Gamma.\pi S, T) \cong RTm(\Gamma, \Pi\pi ST),$$

natural in  $\Gamma$  such that  $U \circ \Lambda_{\mathcal{L}} = \Lambda \circ U$  and  $U \circ \Lambda_{\mathcal{L}}^{-1} = \Lambda^{-1} \circ U$ .

To show that our model supports  $\Pi$  types, fix some  $\pi \in R$ , suppose  $\Gamma$  is a r.g in  $Ob(\mathcal{C})$ ,  $S \in Ty(\Gamma)$ ,  $T \in Ty(\Gamma.S)$ . Define the semantic type  $\Pi \pi ST$  as the family of assemblies over  $\Gamma$ , consisting of:

• 
$$(\Pi \pi ST)_O(\gamma) := (X, \vDash_X)$$
 for  $\gamma \in \Gamma_O$ , where
$$X := \{ (f_O, f_R) \mid f_O : \forall s \in |S_O(\gamma)|.T_O(\gamma, s), \\ f_R : \forall r \in S_R(\Gamma_{refl}(\gamma)).T_R(\Gamma_{refl}(\gamma), r), \\ \forall s \in S_O(\gamma).T_{refl}(\gamma, s)(f_O(s)) = f_R(S_{refl}(\gamma)(s)), \\ \forall r \in S_R(\Gamma_{refl}(\gamma)).T_{src}(\Gamma_{refl}(\gamma), r)(f_R(r)) = f_O(S_{src}(\Gamma_{refl}(\gamma))(r)), \\ \forall r \in S_R(\Gamma_{refl}(\gamma)).T_{tgt}(\Gamma_{refl}(\gamma), r)(f_R(r)) = f_O(S_{tgt}(\Gamma_{refl}(\gamma))(r)) \}$$

 $a \vDash_X (f_O, f_R) \text{ iff } \forall s \in |S_O(\gamma)|, a_s \in \mathscr{A}.a_s \vDash_{S_O(\gamma)} s \implies a \cdot !_{\pi} a_s \vDash_{T_O(\gamma, s)} f_O(s).$ 

Note that  $f_R$  does not contribute any realisability information to  $\vDash_X$ .

• 
$$(\Pi \pi ST)_R(\gamma) :=$$

$$\{((f_O^{src}, f_R^{src}), (f_O^{tgt}, f_R^{tgt}), r) \mid (f_O^{src}, f_R^{src}) \in (\Pi \pi ST)_O(\Gamma_{src}(\gamma)), (f_O^{tgt}, f_R^{tgt}) \in (\Pi \pi ST)_O(\Gamma_{tgt}(\gamma)), r : \forall s \in S_R(\gamma).T_R(\gamma, s), \forall s \in S_R(\gamma).T_{src}(\gamma, s)(r(s)) = f_O^{src}(S_{src}(\gamma)(s)), \forall s \in S_R(\gamma).T_{tgt}(\gamma, s)(r(s)) = f_O^{tgt}(S_{tgt}(\gamma)(s))\}$$

- $(\Pi \pi ST)_{refl}(\gamma) := \lambda(f_O, f_R).((f_O, f_R), (f_O, f_R), f_R)$  for  $\gamma \in \Gamma_O$ .
- $(\Pi \pi ST)_{src}(\gamma) := \lambda(f^{src}, f^{tgt}, r).f^{src} \text{ for } \gamma \in \Gamma_R.$
- $(\Pi \pi ST)_{tgt}(\gamma) := \lambda(f^{src}, f^{tgt}, r), f^{tgt} \text{ for } \gamma \in \Gamma_R.$

Unwinding Definition 5, we get

$$\{(M_O, M_R) : \forall (\gamma, s) \in (\Gamma \cdot \pi S) \cdot T(\gamma, s)\} \cong \{(N_O, N_R) : \forall \gamma \in \Gamma \cdot (\Pi \pi S T)(\gamma)\}$$

where the terms have the following elaborated types:

$$\begin{split} M_O : \forall (\gamma, s) \in (\Gamma.\pi S)_O.T_O(\gamma, s) \\ M_R : \forall (\gamma, r) \in (\Gamma.\pi S)_R.T_R(\gamma, s) \\ N_O : \forall \gamma \in \Gamma_O. \\ \{(f_O, f_R) \mid f_O : \Pi S(\gamma)_O.T(\gamma)_O \\ f_R : \Pi S_R(\Gamma_{refl}(\gamma).T(\Gamma_{refl}(\gamma)))\} \\ N_R : \forall \gamma \in \Gamma_R. \\ \{(f^{src}, f^{tgt}, r) \mid f^{src} \in (\Pi \pi ST)_O(\Gamma_{src}(\gamma)) \\ f^{tgt} \in (\Pi \pi ST)_O(\Gamma_{tgt}(\gamma)) \\ r : \Pi S_R(\gamma).T_R(\gamma)\} \end{split}$$

Thus, we can define  $\Lambda$  as  $\Lambda(M_O, M_R) = (N_O, N_R)$ , where

$$N_O := \lambda \gamma_o.(\lambda s. M_O(\gamma_o, s), \lambda s_r. M_R(\Gamma_{refl}(\gamma_o), s_r))$$

$$N_R := \lambda \gamma_r.(N_O(\Gamma_{src}(\gamma)), N_O(\Gamma_{tot}(\gamma)), \lambda s_r. M_R(\gamma, s_r)))$$

For  $\Lambda_{\mathcal{L}}$ , a realizer  $a_m$  of M (that is  $\forall (\gamma, s), \forall (a_{\gamma}, a_s), [a_{\gamma}, a_s] \vDash_{\Gamma, \pi S} (\gamma, s) \implies a_m.[a_{\gamma}, a_s] \vDash_{T(\gamma, s)} M(\gamma, s)$ ) can be transformed to a realizer  $a_n$  of N by:

$$a_n := \lambda^* y.(\lambda^* s.(a_m.[y,s]))$$

The conditions  $U \circ \Lambda_{\mathcal{L}} = \Lambda \circ U$  and  $U \circ \Lambda_{\mathcal{L}}^{-1} = \Lambda^{-1} \circ U$  follow trivially.

Universe of small types A plausible candidate for the universe U is given by the general construction:

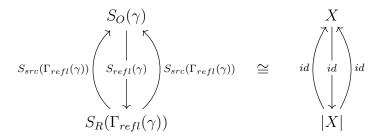
 $U_O :=$  the set of small reflexive graphs

$$U_R := \{ (A, B, R, R_{src}, R_{tgt}) : R \in \mathcal{U}, R_{src} : R \to A_O, R_{tgt} : R \to A_O, A, B \text{ are small r.g.} \}$$

However, this universe turns out to be "too big' - types do not carry enough structure to model parametricity accurately. To remedy the definition, we impose restrictions laid out by the following characterization:

A family of reflexive graphs of assemblies S over  $\Gamma$  is

- small if for all  $\gamma_{\sigma} \in \Gamma_{\sigma}$ ,  $S_R(\gamma_R) \in \mathcal{U}$  and  $|S_O(\gamma_O)| \in \mathcal{U}$ .
- discrete if for every  $\gamma \in \Gamma_O$ , there exists  $X \in \mathcal{A}sm^{\dagger}(\mathscr{A})$ , s.t.



• proof-irrelevant - if for all  $\gamma \in \Gamma_R$ , the function  $\langle S_{src}(\gamma), S_{tgt}(\gamma) \rangle : S_R(\gamma) \to |S_O(\Gamma_{src}(\gamma))| \times |S_O(\Gamma_{tgt}(\gamma))|$  is injective.

For any reflexive graph  $\Gamma$ , define the small, discrete, proof-irrelevant universe  $U \in Ty(\Gamma)$  and the type decoder  $T \in Ty(\Gamma, U)$  as:

- $|U_O(\gamma)|$  := the set of small, discrete r.g. of assemblies  $a \vDash_{U_O(\gamma)} S$  for  $a \in \mathscr{A}$ , a = I and  $S \in |U_O(\gamma)|$ .
- $U_R(\gamma_R) := \{(S, T, R, R_{src}, R_{tgt}) \mid R \in \mathcal{U}$  S, T are small discrete r.g. of assemblies $\langle R_{src}, R_{tgt} \rangle : R \rightarrow |S_O| \times |T_O| \text{ is injective} \}$
- $U_{refl}(\gamma_R)(S) := (S, |S|, S_{refl}, S_{src}, S_{tgt})$
- $U_{src}(\gamma_R)(S, T, R, R_{src}, R_{tat}) = S$
- $U_{src}(\gamma_R)(S, T, R, R_{src}, R_{tgt}) = T$

and  $T \in Ty(\Gamma.U)$  as:

- $T_O(\gamma_O, S) := S_O$
- $T_R(\gamma_R, (S, T, R, R_{src}, R_{tat})) := R$
- $T_{refl}(\gamma_O, S) := S_{refl}$
- $T_{src}(\gamma_R, (S, T, R, R_{src}, R_{tot})) := R_{src}$
- $T_{tgt}(\gamma_R, (S, T, R, R_{src}, R_{tgt})) := R_{tgt}$ .

Claim. U is closed under  $\Pi$  types.

Given some r.g  $\Gamma$  and  $S \in Ty(\Gamma)$ ,  $T \in Ty(\Gamma.S)$ , it suffices to show that  $\Pi \pi TS \in Ty(\Gamma)$  is a small, discrete and proof-irrelevant family of r.g. of assemblies<sup>† 3</sup> For brevity, let  $V := \Pi \pi ST$ .

Smallness follows by the closure under  $\Pi$ -types in the ambient set-theoretical universe  $\mathcal{U}$ . For proof-irrelevance, take some  $\gamma_R \in \Gamma$  and  $f, g \in V_R(\gamma_R)$ , s.t  $\langle V_{src}(\gamma_R), V_{tgt}(\gamma_R) \rangle(f) = \langle V_{src}(\gamma_R), V_{tgt}(\gamma_R) \rangle(g)$ . WTP f = g, by def. we get immediately that  $f^{src} = g^{src}$  and  $f^{tgt} = g^{tgt}$ . Given that  $(f^{src}, f^{tgt}, r_f), (f^{src}, f^{tgt}, r_g) \in V_R(\gamma_R)$ , note that,

$$\forall s \in S_R(\gamma_R).\langle T_{src}(\gamma_R, s), T_{src}(\gamma_R, s) \rangle (r_f(s)) = (f_O^{src}(S_{src}(\gamma_R)(s)), f_O^{tgt}(S_{tgt}(\gamma_R)(s)))$$
  
$$\forall s \in S_R(\gamma_R).\langle T_{src}(\gamma_R, s), T_{src}(\gamma_R, s) \rangle (r_g(s)) = (f_O^{src}(S_{src}(\gamma_R)(s)), f_O^{tgt}(S_{tgt}(\gamma_R)(s)))$$

Since T is proof-irrelevant, it follows directly that  $r_f = r_g$  and thus f = g.

Reduced  $\Pi$  types -  $\Pi^R \pi ST$  There is plethora of problems with the definition of  $\Pi$  types. In order to satisfy the bijections posited in Definition 5, we had to include too many inhabitants of  $(\Pi \pi ST)_O$ . This leads to an internal conflict w.r.t realisability - some of the elements might not be realised at all, while others might share the same realiser. To see the latter, consider pairs  $(f_O, f_R), (f'_O, f'_R) \in |(\Pi \pi ST)_O(\gamma)|$ , such that  $f_O$  and  $f'_O$  have identical behaviour on  $\lfloor S_O(\gamma) \rfloor$ , but there exists some  $s \in |S_O(\gamma)$ , s.t  $f_O(\gamma, s) \neq f'_O(\gamma, s)$ .

#### 4 Some (free) theorems

**Definition 6** (No universal realizer). Given a context  $\Gamma$  and a type T, s.t  $\Gamma \vdash T$ , the model  $\mathcal{C}$  constructed so far has no universal realizer iff  $\bigcap_{\gamma \in \llbracket \Gamma \rrbracket_O} \{a \in \mathscr{A} : \text{for every } \mathbf{x} \in |\llbracket T \rrbracket_O(\gamma)|, a \vDash_{\llbracket T \rrbracket_O(\gamma_O)} x\}$  is empty.

**Theorem 7.** Let  $\Gamma$  be a context and  $T := \Pi a \stackrel{0}{:} \mathbf{U}.\Pi_{-} \stackrel{0}{:} \mathbf{T} a.\mathbf{T} a$  - a type. Assume the model has no universal realizers. There is no resourced term M of that type - i.e.  $\Gamma \vdash M \stackrel{1}{:} T$  does not hold in  $\mathbb{M}$ .

Assume such term M,  $\Gamma \vdash M \stackrel{1}{:} T$  exists. Fix some  $\gamma \in \Gamma_O$  and consider the uncurried term M', s.t  $\Gamma$ ,  $a \stackrel{O}{:} \mathbf{U}$ ,  $a \stackrel{O}{:} \mathbf{T} a \vdash M' \stackrel{1}{:} \mathbf{T} a$  and

$$M'_{O}(\gamma_{O}, a_{O}, \cdot) := let ((f''_{O}, f''_{R}), f'_{R}) = M_{O}(\gamma_{O}) in f''_{O}(\gamma_{O}, a_{O}, \cdot)$$

$$M'_{R}(\gamma_{R}, a_{R}, \cdot) := let (f'^{src}, f'^{tgt}, (f''^{src}, f''^{tgt}, r)) = M_{R}(\gamma_{R}) in r(\gamma_{R}, a_{R}, \cdot)$$

Fix some  $\gamma_O \in \Gamma_O$ . Spelling out explicitly the type of  $M'_R$ , "instantiated" at  $\Gamma_{refl}(\gamma_O)$  (or equivalently, of  $r(\Gamma_{refl}(\gamma_O), -, -)$  and suppressing the realizability information, we get that:

$$M_R'(\Gamma_{refl}(\gamma_O),-,-): \forall a_R \in \mathbf{U}_R(\Gamma_{refl}(\gamma_O)).\mathbf{T}_R(\Gamma_{refl}(\gamma_O),a_R) \to \mathbf{T}_R(\Gamma_{refl}(\gamma_O),a_R)$$

 $<sup>\</sup>overline{\phantom{a}}$  it actually does not suffice, we implicitly assume a lemma that gives an isomorphism between terms of type U and small, discrete, p.i. r.g of assemblies  $\overline{\phantom{a}}$ 

Unpacking the definition of  $U_R(\Gamma_{refl}(\gamma_O))$ , we get (by conditions in Definition 4):

$$\forall S, T \in \mathbf{U}_O(\gamma_O), \forall R \in \mathcal{U}, R_{src} : R \to S_O, R_{tgt} : R \to T_O :$$

$$R_{src}(M'_R(\Gamma_{refl}(\gamma_O), (S, T, R, R_{src}, R_{tgt}), (s, t))) = M'_O(\gamma_O, S, s)$$

$$R_{tgt}(M'_R(\Gamma_{refl}(\gamma_O), (S, T, R, R_{src}, R_{tgt}), (s, t))) = M'_O(\gamma_O, T, t)$$

Thus, we conclude that

$$\forall S, T \in \mathbf{U}_O(\gamma_O), \forall R \subseteq S \times T, \forall (s, t) \in R. \ (M'_O(\gamma_O, S, s), M'_O(\gamma_O, T, t)) \in R$$
 (1)

Let X be some type, s.t  $\Gamma \vdash X : \mathbf{U}$ . Consider the term M instantiated at  $\gamma_O$  and X and  $R^X := \{(x,x)|x:X\}$ . Substituting X for S and T,  $R^X$  for R in (1) and applying currying, we get that for each x:X,  $(M(\gamma_O,X(\gamma_O),x),M(\gamma_O,X(\gamma_O),x)) \in R$  holds. Hence  $M(\gamma_O,X(\gamma_O),x)=x$ .

Now since  $M \in RTm(\Gamma, T)$ , M is realizable - in particular, there exists an  $a \in \mathscr{A}$  that tracks  $M(\gamma_O X(\gamma_O), -)$ . By def. we get that  $\forall x \in X_O, \forall b \in \mathscr{A}.b \models_{\Gamma.0X} (\gamma_O, x) \implies a.!_0b \models_{X(\gamma_O)} M(\gamma_O, X(\gamma_O), x)$  - a is a realizer for every element x in  $X_O(\gamma_O)$ . But that is a contradiction, as no universal realizer exists for X by assumption. Therefore no resourced term M: T exists.

**Definition 8** (Separability of realizers). Let  $\Gamma$  be a context and  $S \in Ty(\Gamma)$ . S has separable realizers if for every  $\gamma \in \Gamma_O$  and  $x, y \in |S_O(\gamma)|$  and  $a \in \mathscr{A}$ , if  $a \models_{S_O(\gamma)} x$  and  $a \models_{S_O(\gamma)} y$ , then x = y.

**Theorem 9.** Let  $\Gamma \vdash A : \mathbf{U}$  and  $\Gamma, a \stackrel{\sigma}{:} A \vdash B : \mathbf{U}$ . If A has a realisable inhabitant and B has separable realisers, then  $\llbracket B \rrbracket \cong \llbracket \Pi x \stackrel{0}{:} A . B \rrbracket$  holds in  $\mathbb{M}$ .

Let  $a^*$  be the realisable inhabitant of A,  $a^* \in RTm(\Gamma, A)$ ,  $a_o^* : \forall \gamma_o \in \Gamma_O. \lfloor A_O(\gamma_o) \rfloor$ ,  $a_r^* : \forall \gamma_r \in \Gamma_R. A_R(\gamma_r)$ .

Define the morphisms  $g: \Pi x \overset{0}{:} A. B \to B$  and  $h: B \to \Pi x \overset{0}{:} A. B$  as  $^4$ :

$$g_O(\gamma_o) := \lambda(f_O, f_R).f_O(a_o^*(\gamma)) \qquad h_O(\gamma_o) := \lambda b_O.(\lambda a_o.b_O, \lambda a_r.B_{refl}(b_O))$$

Let  $V := \Pi x \stackrel{0}{:} A$ . B, observe that if  $(f_O, f_R'), (f_O, f_R'') \in |V_O|$ , then  $f_R' = f_R''$  using the proof-irrelevance of B. Hence, to show that two inhabitants of  $|V_O|$  are equal, it suffices to prove it for the first components only (1).

Fix some  $(f_O, f_R) \in \lfloor V_O \rfloor$ . To find a realiser of  $g_O(\gamma_o)$ , consider the realiser  $a_f \vDash_{V_O(\gamma_o)} (f_O, f_R)$ . By def. we have that  $\forall x \in |A_O(\gamma_O)|, a \in \mathscr{A}.a \vDash_{A_O(\gamma_o)} x \implies a_f.!_0 a \vDash_{B_O(\gamma_o)} f_O(x)$ . As B has

<sup>&</sup>lt;sup>4</sup>for the time being, focus only on the object part

separable realisers, it must be the case that  $f_O$  is a constant function (2). Define the realiser  $a_g$  of  $g_O(\gamma_o)$  as  $a_g := \lambda^* x.(x.I)$ .

As for  $h_O(\gamma_o)$ , we must first ensure that  $h_O$  outputs well-defined inhabitants of  $V_O(\gamma_o)$ . Notice that both  $f' := \lambda a_o.b_O$  and  $f'' := \lambda a_r.B_{refl}(b_O)$  are well-typed and we can easily verify they satisfy the conditions in Definition 5 by direct substitution (where the extension of f' is the natural extension over  $|A_O|$ ). To construct the realiser of f', let  $a_b \models_{B_O(\gamma_o)} b_O$  (such  $a_b$  exists due to  $b_O \in |B_O(\gamma_o)|$ ), then  $K.a_b$  realises f'.

Let  $b^* \in \lfloor B_O(\gamma_o) \rfloor$ , by def. we have that  $(g_O \circ h_O)(\gamma_o, b^*) = b^*$ . As for the converse direction, let  $(h_O \circ g_O)(\gamma_o)(f_O, f_R) = (f'_O, f'_R)$  for some  $(f_O, f_R) \in V_O(\gamma_o)$ . By (1), we show only that  $f_O = f'_O$ . As  $f_O$  is a constant function by (2), expand the definition of  $h_o$  to obtain immediately  $f \emptyset = f'_O$ .

#### References

- [1] R. Atkey. "Syntax and Semantics of Quantitative Type Theory". In: *Proceedings of the 33rd Annual ACM/IEEE Symposium on Logic in Computer Science LICS '18.* New York, New York, USA: ACM Press, 2018, pp. 56–65. URL: http://dl.acm.org/citation.cfm?doid=3209108.3209189.
- [2] R. Atkey, N. Ghani, and P. Johann. "A relationally parametric model of dependent type theory". In: *Proceedings of the 41st ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages POPL '14*. New York, New York, USA: ACM Press, 2014, pp. 503–515. URL: http://dl.acm.org/citation.cfm?doid=2535838.2535852.
- [3] E. Engeler. "Algebras and combinators". In: Algebra Universalis 13.1 (Dec. 1981), pp. 389-392. URL: https://link.springer.com/article/10.1007/BF02483849.
- [4] Q. Ma and J. C. Reynolds. "Types, abstraction, and parametric polymorphism, part 2". In: Lecture Notes in Computer Science (including subseries Lecture Notes in Artificial Intelligence and Lecture Notes in Bioinformatics). Vol. 598 LNCS. 1992, pp. 1-40. URL: http://link.springer.com/10.1007/3-540-55511-0%7B%5C\_%7D1.