#### NOTES FROM NSF-MSGI INTERNSHIP

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### 1. Introduction

We will explore the connection between statistical mechanics models and quantum Hamiltonians, primarily via examples.

More stuff here later. Basic objects/definitions.

# 2. Ising Models

The Ising models are a family of statistical mechanics models with nearest-neighbor interaction. Given any lattice  $\mathcal{L} \subset \mathbb{Z}^d$  with N total points, define a *spin* at each site of the lattice via a variable  $s \in \Omega_0 = \{\pm 1\}$ . A *configuration* is a collection  $\{s\} = \{s_\ell\}_{\ell \in \mathcal{L}}$ . For convenience, we will usually work with cubical lattices and impose periodic boundary conditions. The *action* associated to this model is the function

$$S(\lbrace s \rbrace, \tau) = -\beta_{\tau}(\tau) \sum_{i \sim j} s_i s_j - \beta(\tau) h \sum_i s_i,$$

where  $\tau$  is the lattice spacing in the "time" direction, h is the strength of an external magnetic field, and the first sum is over nearest neighbor sites of the lattice. The coefficients  $\beta_{\tau}$  and  $\beta$  may depend on the time direction lattice spacing and are otherwise chosen to reflect some physical situation (e.g. they may be taken to be proportional to the "inverse temperature"  $\frac{1}{kT}$  where k is Boltzmann's constant).

We will be interested in the partition function Z defined as

$$Z = \sum_{\{s\} \in \Omega_0^N} e^{-\mathcal{S}(\{s\},\tau)}.$$

**2.1.** 1+0 Ising. In the 1+0 Ising model, we take a 1-dimensional statistical mechanics system and relate it to a 0-dimensional (point) quantum Hamiltonian. The definitions above simplify to the following. The lattice is now a set of N points  $x_0, \ldots, x_{N-1}$  on a circle so that  $s_0 = s_N$ . The action is given by

$$S(\{s\}, \tau) = -\beta_{\tau}(\tau) \sum_{i=0}^{N-1} s_i s_{i+1} - \beta(\tau) h \sum_{i=0}^{N-1} s_i.$$

It will be helpful to rewrite this expression to be symmetric and expressed in terms of sums and differences of the  $s_i$ . Up to a constant factor of  $-\beta_\tau \sum_{i=0}^{N-1} s_i$ , we find

$$S(\lbrace s \rbrace, \tau) = \frac{1}{2} \beta_{\tau}(\tau) \sum_{i=0}^{N-1} (s_i - s_{i+1})^2 - \frac{1}{2} \beta(\tau) h \sum_{i=0}^{N-1} (s_i + s_{i+1}).$$

Note that we needed periodicity in order to rewrite the second term in this way. Now the partition function becomes

$$Z = \sum_{\{s\} \in \Omega_0^N} e^{\frac{1}{2}\beta_{\tau}(\tau) \sum_{i=0}^{N-1} (s_i - s_{i+1})^2 - \frac{1}{2}\beta(\tau)h \sum_{i=0}^{N-1} (s_i + s_{i+1})}$$

$$= \sum_{s_0 \in \{\pm 1\}} \dots \sum_{s_{N-1} \in \{\pm 1\}} e^{\frac{1}{2}\beta_{\tau}(s_0 - s_1)^2} e^{-\frac{1}{2}\beta h(s_0 + s_1)} \cdot \dots \cdot e^{\frac{1}{2}\beta_{\tau}(s_{N-1} - s_0)^2} e^{-\frac{1}{2}\beta h(s_{N-1} + s_0)}.$$

However, each factor in the product depends only on what the values of  $s_i - s_{i+1}$  and  $s_i + s_{i+1}$  are. We can construct the *transfer matrix*, T, to record this data, indexed by the possible spins at each site. So we have

$$T_{-1,-1} = e^{-\beta h}$$
,  $T_{-1,1} = T_{1,-1} = e^{-2\beta \tau}$ , and  $T_{1,1} = e^{\beta h}$ .

Thus

$$T = \begin{bmatrix} e^{-\beta h} & e^{-2\beta_{\tau}} \\ e^{-2\beta_{\tau}} & e^{\beta h} \end{bmatrix}.$$

Now we can write  $Z = \operatorname{Tr} T^N$ .

Our goal is to choose functions  $\beta_{\tau}(\tau)$  and  $\beta(\tau)$  so that the transfer matrix operator T has the form  $T = e^{-\tau H} \approx I - \tau H$  for some quantum Hamiltonian H (independent of  $\tau$ ) acting on a 2-dimensional vector space. This is the  $\tau$ -continuum Hamiltonian for the model. Here the idea is that statistical mechanics properties of the lattice action system (e.g. magnetization per site, average magnetization, two-point correlations, correlation length) will map to properties of the operator H related to its eigenvalues and eigenvectors.

For example, we could choose  $\beta_{\tau}(\tau) = -\frac{1}{2} \log \tau$  and  $\beta(\tau) = \tau$ . Then we have

$$T = \begin{bmatrix} e^{-\tau h} & \tau \\ \tau & e^{\tau h} \end{bmatrix} \approx I_2 - \tau \begin{bmatrix} h & -1 \\ -1 & -h \end{bmatrix}.$$

From this it follows that

$$H = \begin{bmatrix} h & -1 \\ -1 & -h \end{bmatrix} = -\sigma_1 + h\sigma_3,$$

where  $\sigma_1, \sigma_2$ , and  $\sigma_3$  are the Pauli matrices.

More generally, we can ask what constraints  $\beta$  and  $\beta_{\tau}$  must satisfy to be able to do this. Analyzing each of the four matrix entries shows that for small  $\tau$  we need

$$e^{-\beta h} \approx 1 - \tau H_{0.0}$$
,  $e^{-2\beta \tau} \approx -\tau H_{1.0} = -\tau H_{0.1}$ , and  $e^{\beta h} \approx 1 - \tau H_{1.1}$ .

Since  $H_{1,0}$  and  $H_{0,1}$  are constant with respect to  $\tau$  we need  $-2\beta_{\tau} \approx \log(-\lambda \tau)$  for small  $\tau$  and some  $\lambda \in \mathbb{R}_{>0}$ . Similarly, if  $\beta$  is small when  $\tau$  is small we have  $e^{-\beta h} \approx 1 - \beta h \approx 1 - \tau H_{0,0}$  and  $e^{\beta h} \approx 1 + \beta h \approx 1 - \tau H_{1,1}$ . So to first order we have  $\beta \approx \tau$  and we see  $H_{0,0} = -H_{1,1} = \mu h$  for some  $\mu \in \mathbb{R} \setminus \{0\}$ .

Putting all of this together, we see that the general  $\tau$ -continuum Hamiltonian for this model will have the form

$$H = \begin{bmatrix} \mu h & -\lambda \\ -\lambda & -\mu h \end{bmatrix} = -\lambda \sigma_1 + \mu h \sigma_3.$$

Now we could also attempt to find a second order approximation of T so that  $T \approx I - \tau H + \frac{1}{2}\tau^2 H^2$  for an operator H not depending on  $\tau$ . However, following the approach above will show us that in fact this is not possible. To get a higher order approximation (or the actual matrix logarithm solving  $T = e^{-\tau H}$  for H), we need to allow H to depend on  $\tau$ .

**2.2.** Infinite Lattice Ising Models. The Ising model can be generalized to an infinite volume model by letting the lattice  $\mathcal{L}$  grow to  $\mathbb{Z}^d$  appropriately. We'll briefly sketch the ideas here - a detailed exposition can be found in [1] in chapters 3 and 6.

## References

[1] S. Friedli and Y. Velenik. Statistical Mechanics of Lattice Systems: A Concrete Mathematical Introduction. Cambridge University Press, 2017.