Lab 11: Prolog: List-Based Data Structures, The Cut Operator, and Debugging

#### **Overview of Lab**

The purpose of this lab is to allow you to gain experience in building more advanced list-based data structures and algorithms, to introduce an operator that can help with program efficiency, and to describe the basics of a Prolog tracing mechanism.

## **Debugging/Tracing**

Debugging facilities are built into the Prolog interpreter and can be turned on and off with a small set of commands. The most exhaustive debugging can be turned on by typing trace. in the Prolog interpreter and turned off by typing nodebug. With trace on, you should see a [trace] prompt in the interpreter. In this tracing mode, every goal that is being worked on in answering a query is shown to you. There are four main labels for operations Prolog is performing in analyzing your query that you will see in these traces:

CALL: An initial attempt to satisfy a goal. EXIT: Successful satisfaction of a goal. REDO: An attempt to re-satisfy a goal.

FAIL: A failed attempt, where satisfaction is not possible.

You are likely to see many FAIL and REDO statements. You should hit **enter** to move the interpreter forward one step at a time. When your query has ended, the system will go into a separate debug mode, with a **[debug]** prompt. Ignore this mode for now – it allows you to enter and work with code setpoints (specific single lines you want to follow). To leave this mode and return to the normal Prolog interpreter, type **nodebug.** 

Given the following facts:

father(george,mary).
father(george,john).
father(harry,sue).
father(edward,george).
here's a trace of the query father(X,george).
?- trace.
Yes
[trace] ?- father(X,george).
 Call: (7) father(\_G283, george) ? creep
 Exit: (7) father(edward, george) ? creep
X = edward;
 Fail: (7) father(\_G283, george) ? creep
No

Note that it satisfies the original query by matching *edward* against the variable *x*. After I type the semicolon to "request additional answers", it returns Fail, saying no more satisfactions are possible.

While you will not see each comparison being made in the Prolog search, you will see the different queries being asked and which values are being associated with the query variables. This is particularly helpful in recursive functions where you can see the recursive queries (function calls) being made.

### **List Based Data Structures and Algorithms**

Given you have mastery over the various list operations from last week's lab, one way to expand on that knowledge is to implement list-based data structures and algorithms in Prolog.

For one, the Queue and Stack data structures are fairly easy to design. I will provide you with a full description of the Queue datastructure below. The take home questions will ask that you develop a Stack data structure and write a program that takes advantage of the Stack. Both of these (Queue and Stack) will be built on lists.

The four queue operations of interest and their definitions are:

```
// returns true if queue is empty queueempty([]).

// usually called with variable for NewQueue, appends new element 
// onto back of current queue 
queueadd(Queue,Item,NewQueue) :- append(Queue,[Item],NewQueue).

// usually called with variables for second and third 
// arguments FrontQueue and RestQueue 
// holds single element from front of queue in FrontQueue 
// remaining list (remaining queue) in RestQueue 
// [whenever you do a remove, you'll need to catch the 
// updated queue (RestQueue) in a temp variable and then use that] 
queueremove([FrontQueue|RestQueue],FrontQueue,RestQueue).

// usually called with variable for second argument, puts 
// copy of front queue item in the 2<sup>nd</sup> argument variable 
queuepeek([FrontQueue|_],FrontQueue).
```

The four definitions above are enough to implement a standard Queue data structure. You can assume that remove and peek on empty queues will automatically fail (return No/False) since there are no rules that match those instances.

An example of the queue in use is as follows (note: this is a query I typed in at the terminal):

?queueAdd([],1,NewQueue),queueAdd(NewQueue,2,NewQueue2),queueRemove(NewQueue2,Item,NewQueue2AfterDeletion).

```
NewQueue = [1]
NewQueue2 = [1, 2]
Item = 1
NewQueue2AfterDeletion = [2];
```

Admittedly, this is a bit awkward to use as is. However, one would commonly bundle this inside of other function calls (such as *processComputerJobQueue(listOfJobs)*) which would then manage the queue for you in processing jobs on a queue to send to the CPU. As you're aware, the programming style made use of here, catching the updated data structures in an output variable, occurs frequently in Prolog.

Besides being useful for data structures, lists can also be useful as their own data structure. A very useful list algorithm is to be able to sort a list. Let's go back to the simplest sorts you run across in introductory CSC courses -- insertion sort and selection sort – and think about them in Prolog terms.

Going back to an older lab, here's my version of selection sort in Erlang (based on list recursion). We'll see that a Prolog implementation is quite similar because again we are constrained to using recursion on lists. The code continues on the next page.

```
largest(L) ->
    H = hd(L),
    T = tl(L),
    if (T == []) -> H;
    true ->
        if (H > hd(T)) -> largest([H|tl(T)]);
        true -> largest(T)
        end
```

end.

Now, here it is in Prolog (note its similarity to the Erlang implementation):

```
largest([H],H).
largest([H|[X|Y]],S) :- H >= X, largest([H|Y],S).
largest([H|[X|Y]],S) :- H < X, largest([X|Y],S).

remove(_,[],[]).
remove(H,[H|T],T).
remove(S, [H|T],Result) :- S \= H, remove(S,T,TempResult),
append([H],TempResult,Result).

selectionSort([],[]).
// note that the back end of this rule is one long statement
selectionSort([H|Tail],Result) :- largest([H|Tail],RealLargest),
remove(RealLargest,[H|Tail],UpdatedTail),
selectionSort(UpdatedTail,TempResult),append([RealLargest],TempResult,Result).</pre>
```

One key idea to take note of is how all of the nesting in the Erlang call has been linearly ordered in Prolog (*largest* first, then *remove*, then *selection sort*, then *append*).

#### The Cut Operator

The cut operator is a special operator that can be used in Prolog to control how backtracking and goal satisfaction work in the language. The syntax for the cut operator is a single exclamation point, !, which can be used anywhere on the RHS (right-hand-side) of a Prolog rule.

The cut operator is always satisfiable as part of a conjunction (i.e. if a conjunction includes the cut operator, it will be taken as a "Yes" or "True" in working towards the overall satisfaction).

This operator can play a role in improving the efficiency of Prolog programs. The technical definition of a cut is as follows:

When a cut is encountered as a goal, the system thereupon becomes committed to all choices made since the parent goal was invoked. All other alternatives are discarded. An attempt to re-satisfy any goal between the parent goal and the cut goal will fail. (Clocksin and Mellish, Programming in Prolog, 1994).

To gain an intuitive idea of how this cut operator works in Prolog, think of the satisfaction of a rule's RHS that is made up of 2 or more subgoals. Visualise these subgoals as being represented by a slot machine. The system sweeps left to right, trying to find a combination of facts that will satisfy each of the subgoals. When the first subgoal is satisfied, the system moves to the next wheel in the slot machine. If the second subgoal fails, the first wheel (representing the first subgoal) is rotated a little more, to see if another satisfying set of variables for the first subgoal might allow the rest of the subgoals to be satisfied. What the cut operator does is to say: once you have passed over the cut operator, you can't go back and spin the wheels in front of the cut operator any more. You're committed to those entries.

In addition, the cut, *if reached and satisfied*, does not allow alternative definitions of the same high level goal be looked at – it commits you to just that particular definition as well.

This lab will exploit this definition of the *cut* operation in two main ways:

**Use #1 of !** – Telling the Prolog system that it has found the right rule for a goal (and not to look at others, even if requested)

Assume you want to recursively implement a sum\_to definition so that it acts as a summing function:  $sum\_to(X,Y)$  should sum all numbers from 1 to X and store the result in Y. As an example,  $sum\_to(1,Y)$  should set Y = 1, and  $sum\_to(3,Y)$  should set Y = 6 (1+2+3).

A first try, naive definition would just list two different definitions for sum\_to:

```
sum_to(1,1).
sum_to(X,Y):- X1 is X-1, sum_to(X1,Y1), Y is X+Y1.
```

A query for sum\_to(1,X) will return 1, and then if I hit; to continue searching for answers, I will receive an out of stack error (the sum\_to definition was attempted, and it got into a recursive loop).

We saw in earlier labs a fix that works is as follows:

```
sum_{to}(1,1).

sum_{to}(X,Y) :- X > 1, X1 is X-1, sum_{to}(X1,Y1), Y is X+Y1.
```

(We discussed this fix idea in the first Prolog lab; basically the fix is - use a constraint on the 2<sup>nd</sup> rule that ensures that the 2<sup>nd</sup> rule can't match if the first rule has already matched).

This 2<sup>nd</sup> definition, however, requires that the X>1 test is executed. Here's a trace of that process in action:

```
[trace] ?- sum_to(1,X).
   Call: (7) sum_to(1, _G284) ? creep
   Exit: (7) sum_to(1, 1) ? creep
X = 1; // me hitting a semicolon to request another answer
   Redo: (7) sum_to(1, _G284) ? creep
^ Call: (8) 1>1 ? creep
^ Fail: (8) 1>1 ? creep
   Fail: (7) sum_to(1, _G284) ? creep
No
```

It's at this point where we could take advantage of the cut operator. By changing the definition to the following:

```
sum_to(1,1) :- !.
sum_to(X,Y) :- X1 is X-1,sum_to(X1,Y1), Y is Y1 + X.
```

the 2<sup>nd</sup> definition will never be looked at, even if a search for an additional answer is requested. The cut goal is reached and satisfied on the first rule and thus no other definition for the same function will be looked at. The trace is now as follows:

```
[trace] ?- sum_to(1,X).
    Call: (7) sum_to(1, _G284) ? creep
    Exit: (7) sum_to(1, 1) ? creep

X = 1;
    Fail: (7) sum_to(1, 1) ? creep

No
```

While this may not seem like a huge improvement in this example, imagine if the first subgoal (the constraint) for alternative definitions was complex (requiring satisfying other subgoals through other definitions) or if there

were multiple alternative definitions for the rule of interest. These could potentially require significant complexity in testing the constraint. However, the cut operator now lets us bypass the test.

In most of uses of the cut operator in this fashion, there is an equivalent implementation using the **not** predicate or a comparison ( $\-\-\-\-\-$ , <, etc) as the first subgoal. The tradeoff here comes down to efficiency (use of the !) versus readability (use of *not* or  $\-\-\-\-\-$ , <, etc).

A different example, with more subgoals on the RHS, is as follows: Assume, A, B, C, and D are all different clauses to be satisfied and that A is defined as follows.

```
A :- B,C
A :- not(B), D.
```

This can be simplified for efficiency reasons as:

```
A :- B, !, C
A :- D.
```

If B is ever satisfied, and then the cut is immediately satisfied afterwards, the first definition is forced to be the only one looked at. This is great, as it says "I know B is satisfiable, so the 2<sup>nd</sup> rule won't ever matter anyway and there's no reason to try it and go through the work of trying to re-satisfy B in proving not(B)."

This implementation could also affect whether or not A gets satisfied at all however. Note that the placement of ! fixes our choice for B in the definitions above (re-read page 5 where this was stated). If satisfying C fails, no other choices for B will be looked at beyond the first match. You need to make sure this early stopping of looking at B is what you really mean for it to do.

**Use #2 of !** – Telling the Prolog system to fail immediately a particular goal and not search for alternative solutions.

First, another new predicate needs to be introduced: the **fail** predicate. The fail predicate immediately fails, and causes the specific definition that is being checked to also fail (as the RHS conjunctions that include fail can't possibly now all be found to be true). Note this is a way to return a false without having to let the search run to completion.

Given the fail predicate, the following example will demonstrate the use of cut and fail together to tell Prolog to stop trying to satisfy a goal.

Imagine a system where we want to define an average taxpayer. We might have some constraints on the amount of income the person has, whether or not they are married, and so on that define what it means to be an average taxpayer. A rule that might be implemented for this is:

```
average taxpayer(X):-income(X,Y), Y < 50000, married(X).
```

Now imagine that we need to exclude foreign citizens that work in the U.S. as they are required to handle their taxes in a different way to satisfy both governments. We would need to extend our definition as follows:

```
average_taxpayer(X) := not(foreignBorn(X)), income(X,Y), Y < 50000, married(X).
```

where foreignBorn(X) is appropriately defined.

Let's consider an alternative implementation:

```
average_taxpayer(X) :- foreigBorn(X), fail.
average_taxpayer(X) :- income(X,Y), Y < 50000, married(X).
```

The first definition will say that if the person represented by the variable X is foreign born then average\_taxpayer fails (which is correct). Prolog, using these rules as they are written, however, will try to backtrack and see if there is another way of determining if the person represented by X is an average taxpayer. If the person fits the income and marriage requirements, then the average\_taxpayer rule will be satisfied. So, this implementation doesn't preserve our old definition.

To fix this, the cut operator needs to be put in. An implementation that actually matches the original definition in meaning is:

```
average_taxpayer(X) :- foreignBorn(X), !, fail.
average_taxpayer(X) :- income(X,Y), Y < 50000, married(X).
```

If the cut operator is satisfied (by having **foreignBorn(X)** satisfied), it forces only the first average\_taxpayer definition to be looked at (backtracking will never look at the other taxpayer definition rules) and the fail indicates that a **No/False** answer for **average\_taxpayer(X)** will be returned for that particular person.

Similar to case 1 of the use of the cut operator, this example demonstrates that it is possible to interchange the use of a cut operator with that of a *not* predicate or another comparison based predicate.

# **Take Home Problems:**

1. Implement a Stack data-structure using a list as the base data-structure so that it works as specified in the functions below.

You should have implementations for:

**stackempty**, taking one argument, a stack, and returning true if the stack is empty.

**stackpush**, taking three arguments in this order: the current stack, a variable holding the item to push on top of the stack, and the resulting stack after the push.

**stackpop**, taking three arguments in this order: the current stack, a result variable which will hold the item popped from the top of the stack, and the resulting stack after the pop.

**stackpeek**, taking two arguments in this order, the current stack and a result variable which will hold the item sitting on top of the stack (but the item isn't popped off, so the stack doesn't change).

2. Using the stack data-structure you completed in part 1, write a function called **postfix** which can compute the expression value for a postfix expression. **postfix** will take two parameters – a list representing a postfix expression and a result variable for holding the returned expression value. **Your input expression will be in the form of a list and should not be considered as a Stack itself, just a list.** Your evaluation of the expression should make use of a Stack to hold intermediate data in the evaluation of the expression. Postfix should only interact with the Stack being used for evaluation through the Stack operations written above, not through direct manipulation of the lists underlying the Stack. Calls to postfix will appear as shown in the examples below:

```
?- postfix([2,3,'+'],Z).

Z = 5;

No

?- postfix([2,3,'+',2,'*'],Z).

Z = 10;

No

?- postfix([2,3,'+',4,6,'-','*'],Z).

Z = -10;

No
```

Your code should be able to handle, the +, -, \*, and / operators. The operators will be written in single quotes as characters (which you can, for example, test against with statements like X = '+' or X = '+'),

while the numbers will be written plain (without single quotes). You can assume that you will get a valid postfix expression (it can't start with a '+' for example) with at least one element in the expression (so the smallest expression is a numerical value by itself). Keep your operands in left to right order – for example, if you get [a,b,'-'], do a-b, not b-a.

If you are unfamiliar with postfix notation or the use of a stack in evaluating postfix expression, please ask!

- 3. Implement *descending* insertion sort (max at the front, min at the back) using Prolog. A function called **insertionSort** should be written that takes two arguments an input list to be sorted and a variable to hold the resulting sorted output list. Write insertionSort recursively using the following approach:
  - a. insertionSort of an empty list is just the empty list
  - b. Otherwise (for non-empty lists)
    - i. First call insertionSort recursively on the input list without the head.
    - **ii.** As the recursive functions complete and are returning values, insert the element that was pulled off before the recursive call at the right spot in the array (this requires an auxiliary function to drop the item into the right spot).

Here's an example of my insertionSort running: ?-insertionSort([2,4,1,3,5],SortedList).

SortedList = [5, 4, 3, 2, 1];

No

4. Copy and paste and then edit the *insertSet* and *count* functions found in *lab11Takehome.plg* so that they make use of the cut (!) operator to (a) prevent an alternative definition from being tried when the user hits a ';' (semicolon) to ask for another answer and (b) to optimize out unnecessary 'filters' (any checks, such as less-thans, used to verify the inputs are valid for the function). Rename your copied/pasted/revised functions as *insertSet2* and *count2*. If you use recursion, make sure you recurse on *insertSet2* and *count2* instead of the original functions.

Use the 'trace.' command on the original functions and your updated functions to verify the improvements using! allows. Copy and paste the results of the trace into a file called *lab11Answers.txt* (You should have 4 entries in *lab11Answers.txt* – both functions traced in the regular mode (*insertSet* and *count*) and in the 'improved with cut' mode (*insertSet2* and *count2*)).

Due Date: Thursday, April 23<sup>rd at</sup> midnight (just before Friday)

#### **Submit on Sakai:**

- An updated lab11Takehome.plg file which contains the facts and definitions required to answer the above take-home questions.
- A file called lab11Answers.txt which holds your trace results from problem 4.

Gradesheet appears on the next page...

# CSC 231 Lab 11 Gradesheet

Task:	Available Points:	Earned Points:
Programming		
Stack datastructure – 4 operations – 9 points each	36	
Postfix evaluator algorithm	20	
Insertion sort algorithm	20	
Revised insert and count methods (called insert2, count2 and using cut) – 9 points each	18	
Documentation		
4 traces of insert, count, insert2, count 2 methods	6	

of 100