

03/22/23 - Symbolic Dynamics - Prof. Wolf

$\Sigma_{\text{inf}} \ni X \mapsto h_{\text{top}}(X)$ is computable at x_0 iff. $h_{\text{top}}(x_0) = 0$.

e.g. we can compute entropy for golden mean shift, but this is different than this fn being computable at that point b/c we can find an approximating SFT w/ periodic orbit w/ entropy 0.

How do we know periodic points dense in transitive SFT's?

(X is transitive if $\forall v, u \in \mathcal{L}(X) \exists w \in \mathcal{L}(X)$ s.t. $vuw \in \mathcal{L}(X)$)

→ we can show there's a dense orbit (a point that contains every word)

def: let X be a top. space, $f: X \rightarrow X$ cont. (don't have compact metric)
we say f is topologically transitive if $\forall U, V \subseteq X$ open, $\neq \emptyset$
 $\exists n \in \mathbb{N}$ s.t. $f^n(U) \cap V \neq \emptyset$.

Facts: a) f transitive \Rightarrow a topological invariant
b) if f has a dense orbit then f is transitive
c) If (X, d) is a compact metric space, then
 f transitive $\Leftrightarrow f$ has a dense orbit.

Lemma: let X be a transitive SFT, then $\overline{\text{Per}(\sigma|_X)} = X$.
(the set of periodic orbits is dense in X).

Proof: After conjugation we may assume X is 1-step (if m-step, take cylinders of length m as new alphabet, prove this is a conjugacy). X 1-step \Rightarrow transition matrix $A = (a_{ij})$ s.t. $X = X_A$.
let $x \in X$ and $m \in \mathbb{N}$. write $x_{[-m, m]} = x_{-m} \dots x_m = w$.
let $v \in \mathcal{L}(X)$ s.t. $x_m v x_{-m} \in \mathcal{L}(X)$ (which we know exists b/c transitive)
 $\Rightarrow d(\sigma^m(p), x) < \frac{1}{2^{-m}}$. $p = \overline{vw}$ is periodic $\in X$.

Shift Spaces beyond SFT & Sofic

def: We say a shift space X is coded if \exists a set of words G called the generating set s.t. X is the smallest shift space containing all concatenations of generators.

$$X_{\text{seq}} = \{ \dots g_{-i} g_{-i+1} g_i g_{i+1} \dots \}$$

$$X_{\text{seq}}(G) \Rightarrow X = \overline{X_{\text{seq}}}$$

(smallest shift space that contains all bi-infinite concatenations)

X_{seq} is definitely shift invariant, but not nec. closed. So we take the closure, which must be the smallest set containing X_{seq} that is a shift space. (closed iff. G finite \Rightarrow sofic shift).

We call $X_{\text{res}} = X \setminus X_{\text{seq}}$ the residual set (the things added by closure)

def: We say $X(G)$ is uniquely representable if $\forall x \in X_{\text{seq}} \exists$ unique concatenation of operations that represent x

↳ notes very related to prefix free codes

def: G is uniquely decipherable if & g_1, \dots, g_n give the word $w = g_1 \dots g_n$ can only be represented in one way (finitely many concatenations of generators).

Let V be a countable set of vertices, E set of edges, $L: E \rightarrow A$. Then $G = (V, E, L)$ is a countable graph.

↳ try taking all paths to form a shift space, but have issues again with closure.

Thm: let X be a shift space. Then X is coded if one of the following holds:

- a) $X = X(G)$ for some uniquely decipherable generating set G .
- + recent! b) $X = X(G)$ for some uniquely representable generating set G .
- c) \exists countable irreducible graph (V, E, L) which represents X .
- d) \exists sequence of Sofic shifts X_m s.t. $\overline{\cup X_m} = X$.

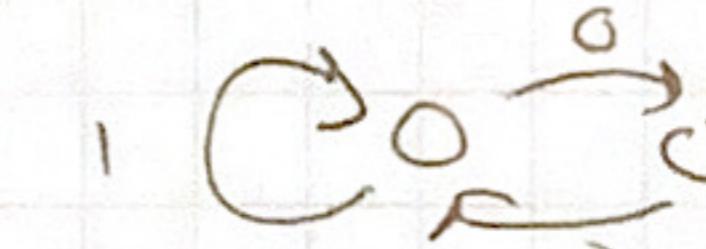
~~recent result~~

References:

- Blanchard & Hansel, System Codes, Theoretical Computer Science 1986
- Fiebig & Fiebig, Covers for Coded Systems, Contemporary Mathematics 1990s.
- Beal & Presti, Unambiguous Coded Systems, European Journal of Combinatorics, 2022.

e.g. G finite $X = X_{\text{seq}}(G)$ Sofic

e.g. G co-finite SFT

e.g. even shift, $G = \{10^{2n} : n \in \mathbb{N}\}$ 

e.g. S -gap shifts, $S \subseteq \mathbb{N}_0$, $X_S = X_S(G_S)$ where $G_S = \{0^n : n \in S\}$

$$X_{\text{res}} = \{\bar{0}, \bar{0}\dots, \dots \bar{0}\}, \bar{0}\dots\bar{0}\}$$

e.g. Generalized gap shifts, let $d \geq 2$, $S_0, \dots, S_{d-1} \subseteq \mathbb{N}_0$ nonempty.

$\Pi \subseteq P_d$ (permutations on the alphabet, some subset not nec. a subgroup)

Define $X_{S_0, \dots, S_{d-1}, \Pi} = X(G_{S_0, \dots, S_{d-1}, \Pi})$

where $G_{S_0, \dots, S_{d-1}, \Pi} = \{p(0)^{S_{p(0)}} \dots p(d-1)^{S_{p(d-1)}} : p \in \Pi, S_{p(j)} \in S_{p(j)}\}$

this tells us where a generator ends

e.g. Number Theory (β maps).

let $\beta > 0$, then $\beta: [0, 1] \rightarrow [0, 1]$ $x \mapsto \beta x \bmod 1$ is the β shift.

Consider $\beta \notin \mathbb{Q}$, let $x \in \mathbb{R}$, $x \geq 1$, call $\lfloor x \rfloor$ the integer part of x and $\{x\}$ the fractional part.

Now let $x \in (0, 1)$. call $a_i(x) = \lfloor \beta^i x \rfloor$

$$a_i(x) = \lfloor \beta(a_{i-1}(x)) \rfloor \quad x_i(x) = \lfloor \beta(x_{i-1}(x)) \rfloor$$

we call $x_1 x_2 x_3 \dots$ the β -expansion of x .

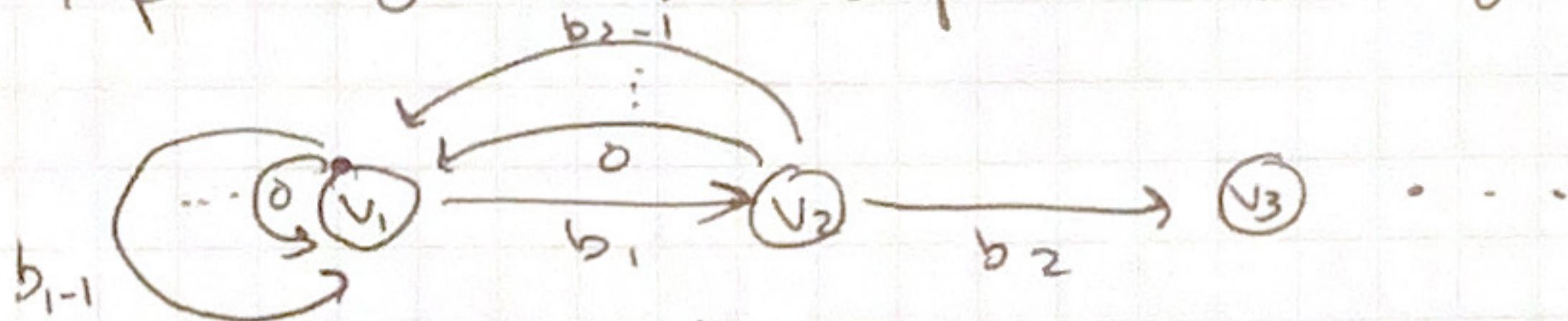
X_β is the closure of all β -expansions of all points in $(0, 1)$.

↳ the alphabet is $A_\beta = \{0, \dots, \lfloor \beta \rfloor\}$

Another way: let $b = b_1 b_2 b_3 \dots$. The lexicographic supremum of equations $\sum_{j=1}^{\infty} b_j \beta^{-j} = 1$.

Fact: $x \in X_\beta \Leftrightarrow \sigma^m(x) \leq b(\beta) \quad \forall n \in \mathbb{N}_0$.

graph Γ_β



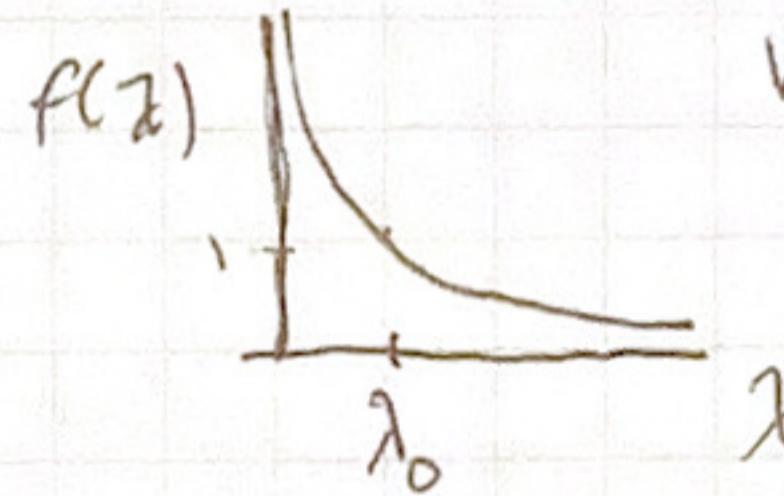
the β -shift is 1-sided (starting at v_1) but we can get a 2-sided shift from this graph:

$$g_\beta = \{b_i b_{i+1} \dots b_{i+n} : i \in \mathbb{N}, i < b, \exists k : k < b, \}$$

turns out $h_{\text{top}}(X_\beta) = \log \beta$.

Every g_β ^{uniquely representable} defines a characteristic equation:

$$\sum_{g \in g} \lambda^{-1g} = 1$$



$$\text{hope: } h_{\text{top}}(X(g)) = \log(\lambda_0)$$

we don't know when this is true.