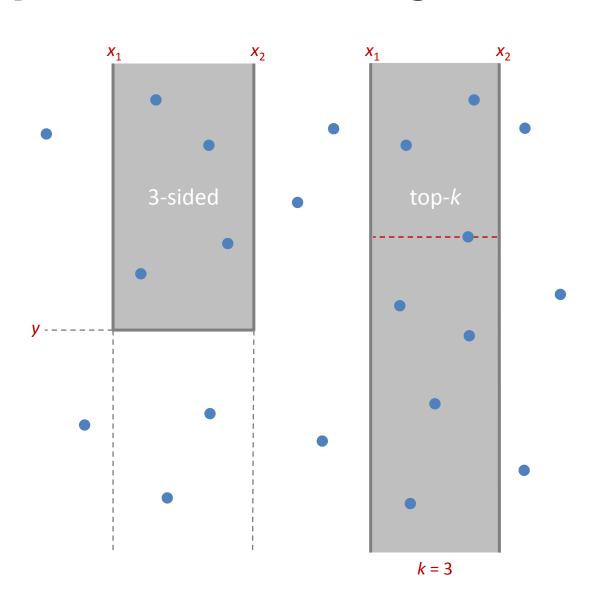
# External Memory Three-Sided Range Reporting and Top-k Queries with Sublogarithmic Updates





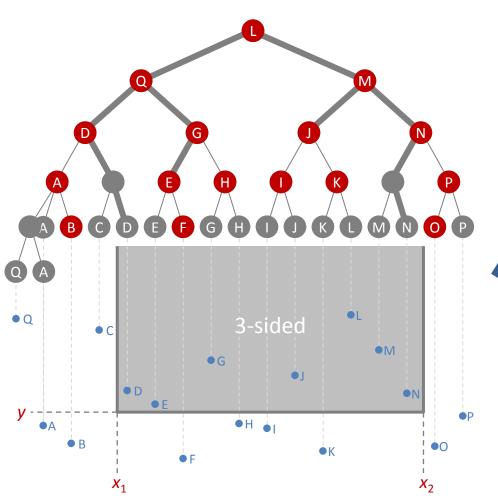
"the result is obtained by combining already existing techniques (and no new techniques are introduced)"

- anonymous reviewer

Gerth Stølting Brodal
Aarhus University

#### **Internal Memory – Priority Search Trees**

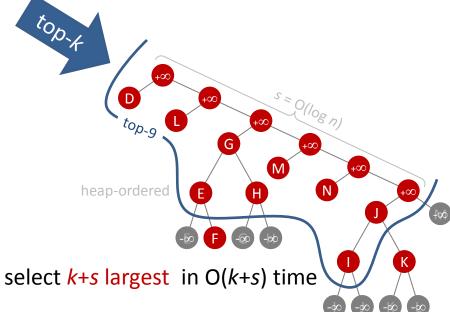
McCreight 1985 Frederickson 1993



#### **Properties**

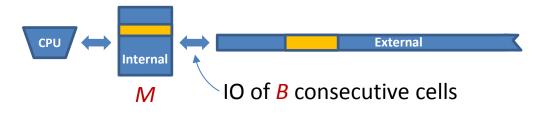
- leaves x-sorted
- point p stored on leaf p-to-root path
- y-values satisfy heap-order

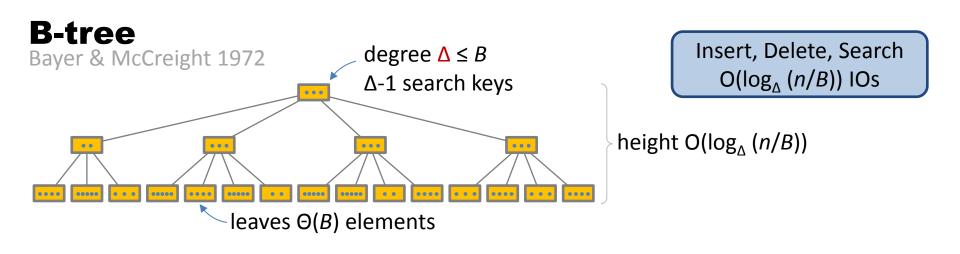
Updates  $O(\log n)$ 3-sided & top-k  $O(\log n + k)$ 

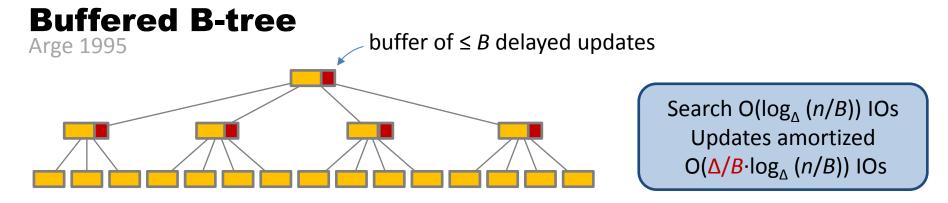


### **External Memory Model**

Aggarwal & Vitter 1988







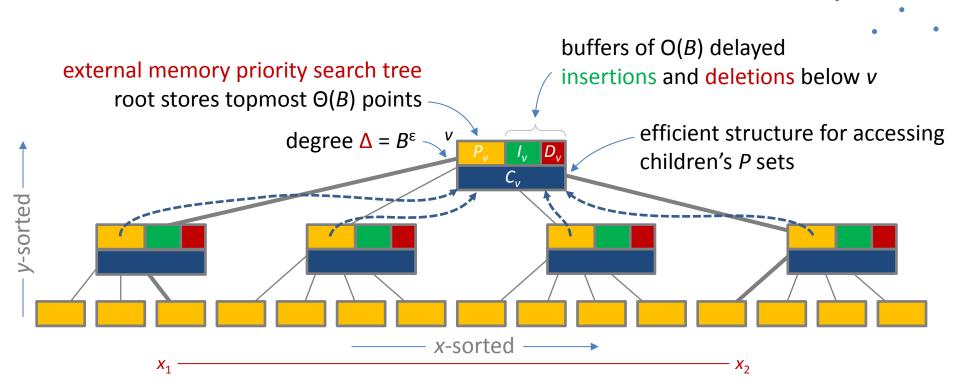
# **External Memory Results**

|                     |                                | Updates   | Query                                  |
|---------------------|--------------------------------|---|--|
| 3-sided             | Ramaswamy,<br>Subramanian 1995 | $O_A(\log n \cdot \log B)$                        | $O(\log_B n + k/B)$                    |
|                     | Subramanian,<br>Ramaswamy 1995 | $O_A(\log_B n + (\log_B n)^2/B)$                  | $O(\log_B n + k/B + \log^{**} B)$      |
|                     | Arge et al. 1999               | $O(\log_B n)$                                     | $O(\log_B n + k/B)$                    |
|                     | NEW                            | $O_A(1/(\epsilon B^{1-\epsilon}) \cdot \log_B n)$ | $O_A(1/\epsilon \cdot \log_B n + k/B)$ |
| top- <i>k k</i> = 3 | Afshani et al. 2011            | (static)  | $O(\log_B n + k/B)$                    |
|                     | Sheng, Tao 2012                | $O_A((\log_B n)^2)$                               | $O(\log_B n + k/B)$                    |
|                     | Tao 2014                       | $O_A(\log_B n)$                                   | $O(\log_B n + k/B)$                    |
|                     | NEW                            | $O_A(1/(\epsilon B^{1-\epsilon}) \cdot \log_B n)$ | $O_A(1/\epsilon \cdot \log_B n + k/B)$ |

 $O_A$  = amortized

NEW result: Combination of Arge 1995, Arge et al. 1999, Frederickson 1993, Blum et al. 1973

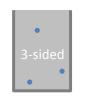
## **External Memory 3-sided Data Structure**

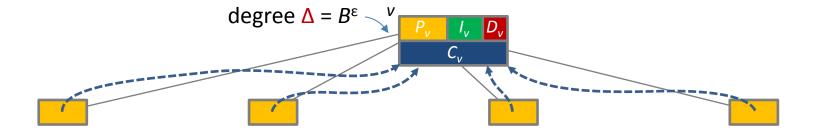


- Insertions / deletions : Update root  $P_v$  or add to delayed update buffer  $I_v$  /  $D_v$
- Update buffer overflow: Flush recursively to child with most updates ( $\geq B^{1-\epsilon}$ )
- Leaf overflow: split leaf, and recursively split ancestors of degree Δ+1
- Underflowing point buffer  $P_v$ : pull elements recursively from children using  $C_v$
- 3-sided query : i) Identify nodes to visit using  $C_v$  structures. ii) flush updates down from ancestors of visited nodes. iii) report from nodes using  $P_v$ ,  $C_v$  and update buffers

# Child Structure $C_{\nu}$

Arge et al. 1999



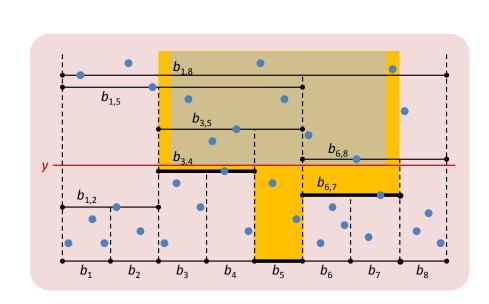


Insert / delete s points :  $O(1 + s/B^{1-\epsilon})$  IOs

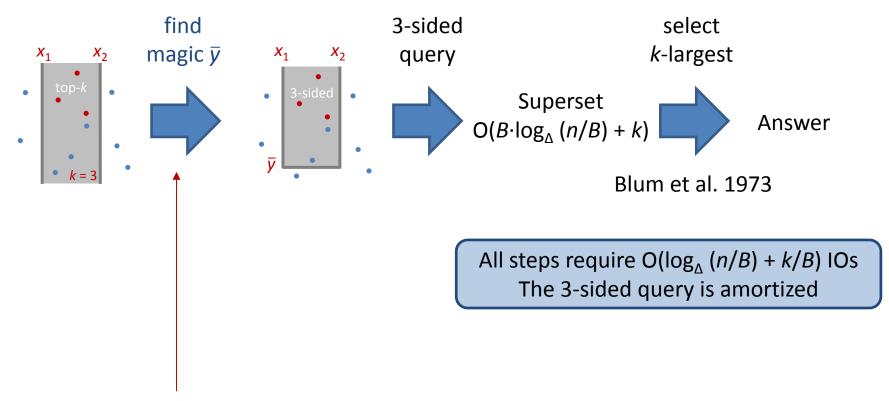
3-sided query : O(1 + k/B) IOs

y-samples for range  $[x_1,x_2]$ : O(1) IOs (new)

- Capacity : B<sup>1+ε</sup>
- Insetion /deletion buffer O(B) points
- $O(B^{\varepsilon})$  blocks
- Catalog block
- y-samples block (new)



### External Memory Top-k - Overall Approach



Construct (on demand) a **binary heap** over the samples of every  $\Theta(B)$ 'th element in the  $C_{\nu}$  structures – and select the  $\Theta(\log_{\Delta}(n/B) + k/B)$ 'th element using Frederickson 1993

# **Summary - The End**

|             |                                 | Updates   | Query                                  |
|-------------|---------------------------------|---|--|
| 3-sided     | Ramaswamy ,<br>Subramanian 1995 | $O_A(\log n \cdot \log B)$                        | $O(\log_B n + k/B)$                    |
|             | Subramanian,<br>Ramaswamy 1995  | $O_A(\log_B n + (\log_B n)^2/B)$                  | $O(\log_B n + k/B + \log^{**} B)$      |
|             | Arge et al. 1999                | $O(\log_B n)$                                     | $O(\log_B n + k/B)$                    |
|             | NEW                             | $O_A(1/(\epsilon B^{1-\epsilon}) \cdot \log_B n)$ | $O_A(1/\epsilon \cdot \log_B n + k/B)$ |
| top-k k = 3 | Afshani et al. 2011             | (static)  | $O(\log_B n + k/B)$                    |
|             | Sheng, Tao 2012                 | $O_A((\log_B n)^2)$                               | $O(\log_B n + k/B)$                    |
|             | Tao 2014                        | $O_A(\log_B n)$                                   | $O(\log_B n + k/B)$                    |
|             | NEW                             | $O_A(1/(\epsilon B^{1-\epsilon}) \cdot \log_B n)$ | $O_A(1/\epsilon \cdot \log_B n + k/B)$ |

 $O_A$  = amortized

Open problem: Remove amortization?