Formalising Completeness of AC-Unification

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ADVABLATIATE THE SOC

Joint work



This work was done in collaboration with:



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Figure 2: Maribel Fernández



Figure 3: Daniele Nantes

Overview



Introduction

Solving AC-Unification

What is Tricky About AC?

The AC-Step for AC-unification

The hypothesis $\delta \subseteq V$ in the proof of completeness

Some PVS statistics

Conclusion and Future Work

Unification



Unification is about "finding a way" to make two terms equal:

▶ f(a, X) and f(Y, b) can be made equal by "sending" X to b and Y to a, as they both become f(a, b).

Unification has a lot of applications: logic programming, theorem proving, type inference and so on.

Unification Modulo AC



We consider the problem of AC-unification, i.e., unification in the presence of associative-commutative function symbols.

For instance, if f is an AC function symbol, then:

$$f(a, f(b, c)) \approx f(c, f(a, b)).$$

Related Work



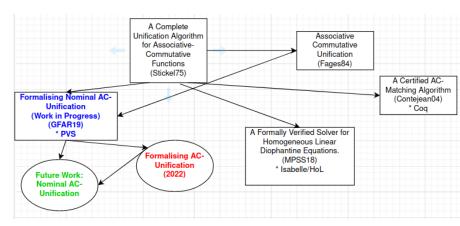


Figure 4: Main Related Work.



What We Have



An AC-unification algorithm, which we have specified in PVS and formalised it to be terminating, sound and complete.

The algorithm is recursive, calling itself on progressively simpler versions of the problem until it finishes.

In This Talk



First Part:

- ▶ Briefly discuss the challenge in AC-unification.
- Exemplify how we solve AC-unification (based on [1]).

Second part:

- Briefly discuss how adding a small hypothesis helped us in proving completeness.
- Argue that the hypothesis was just a technicality and show how we have sharpened the proof of completeness to remove this hypothesis.

What is Tricky About AC? An Example



Let *f* be an AC function symbol.

The solutions that come to mind when unifying:

$$f(X,Y) \approx_? f(a,Z)$$

are:
$$\{X \rightarrow a, Y \rightarrow Z\}$$
 and $\{X \rightarrow Z, Y \rightarrow a\}$.

Are there other solutions?

What is Tricky About AC? An Example



Yes!

For instance, $\{X \to f(a, Z_1), Y \to Z_2, Z \to f(Z_1, Z_2)\}$ and $\{X \to Z_1, Y \to f(a, Z_2), Z \to f(Z_1, Z_2)\}.$

The AC-Step for AC-Unification



We explain via an example the AC-Step for AC-unification.

How do we generate a complete set of unifiers for:

$$f(X, X, Y, a, b, c) \approx_? f(b, b, b, c, Z).$$

Eliminate Common Arguments



 $1. \ \,$ Eliminate common arguments in the terms we are trying to unify.

Now we must unify f(X, X, Y, a) with f(b, b, Z).

Introducing a Linear Equation on $\mathbb N$



2. According to the number of times each argument appear in the terms, transform the unification problem into a linear equation on \mathbb{N} .

After this step, our equation is:

$$2X_1 + X_2 + X_3 = 2Y_1 + Y_2$$

where variable X_1 corresponds to argument X, variable X_2 corresponds to argument Y and so on.

Basis of Solutions



3. Generate a basis of solutions to the linear equation.

Table 1: Solutions for the Equation $2X_1 + X_2 + X_3 = 2Y_1 + Y_2$

| X_1 | X_2 | <i>X</i> ₃ | Y_1 | Y ₂ | $2X_1 + X_2 + X_3$ | $2Y_1 + Y_2$ |
|-------|-------|-----------------------|-------|----------------|--------------------|--------------|
| 0 | 0 | 1 | 0 | 1 | 1 | 1 |
| 0 | 1 | 0 | 0 | 1 | 1 | 1 |
| 0 | 0 | 2 | 1 | 0 | 2 | 2 |
| 0 | 1 | 1 | 1 | 0 | 2 | 2 |
| 0 | 2 | 0 | 1 | 0 | 2 | 2 |
| 1 | 0 | 0 | 0 | 2 | 2 | 2 |
| 1 | 0 | 0 | 1 | 0 | 2 | 2 |

Associating New Variables



4. Associate new variables with each solution.

Table 2: Solutions for the Equation $2X_1 + X_2 + X_3 = 2Y_1 + Y_2$

| X_1 | X_2 | <i>X</i> ₃ | Y_1 | Y_2 | $2X_1 + X_2 + X_3$ | $2Y_1 + Y_2$ | New Variables |
|-------|-------|-----------------------|-------|-------|--------------------|--------------|---------------|
| 0 | 0 | 1 | 0 | 1 | 1 | 1 | Z_1 |
| 0 | 1 | 0 | 0 | 1 | 1 | 1 | Z_2 |
| 0 | 0 | 2 | 1 | 0 | 2 | 2 | Z_3 |
| 0 | 1 | 1 | 1 | 0 | 2 | 2 | Z_4 |
| 0 | 2 | 0 | 1 | 0 | 2 | 2 | Z_5 |
| 1 | 0 | 0 | 0 | 2 | 2 | 2 | Z_6 |
| 1 | 0 | 0 | 1 | 0 | 2 | 2 | Z_7 |

Old and New Variables



5. Observing Table 2, relate the "old" variables and the "new" ones.

After this step, we obtain:

$$X_1 \approx_? Z_6 + Z_7$$

 $X_2 \approx_? Z_2 + Z_4 + 2Z_5$
 $X_3 \approx_? Z_1 + 2Z_3 + Z_4$
 $Y_1 \approx_? Z_3 + Z_4 + Z_5 + Z_7$
 $Y_2 \approx_? Z_1 + Z_2 + 2Z_6$

All the Possible Cases



6. Decide whether we will include (set to 1) or not (set to 0) every "new" variable. Observe that every "old" variable must be different than zero.

In our example, we have $2^7 = 128$ possibilities of including/excluding the variables Z_1, \ldots, Z_7 , but after observing that X_1, X_2, X_3, Y_1, Y_2 cannot be set to zero, we have 69 cases.

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Dropping Impossible Cases



7. Drop the cases where the variables that in fact represent constants or subterms headed by a different AC function symbol are assigned to more than one of the "new" variables.

For instance, the potential new unification problem

$$\{X_1 \approx_? Z_6, X_2 \approx_? Z_4, X_3 \approx_? f(Z_1, Z_4), Y_1 \approx_? Z_4, Y_2 \approx_? f(Z_1, Z_6, Z_6)\}$$

should be discarded as the variable X_3 , which represents the constant a, cannot unify with $f(Z_1, Z_4)$.

Dropping More Cases and Proceeding



8. Replace "old" variables by the original terms they substituted and proceed with the unification.

Some new unification problems may be unsolvable and will be discarded later. For instance:

$$\{X \approx_? Z_6, Y \approx_? Z_4, a \approx_? Z_4, b \approx_? Z_4, Z \approx_? f(Z_6, Z_6)\}$$

Solutions For The Example



In our example, the solutions will be:

$$\begin{cases}
\sigma_{1} = \{Y \to f(b, b), Z \to f(a, X, X)\} \\
\sigma_{2} = \{Y \to f(Z_{2}, b, b), Z \to f(a, Z_{2}, X, X)\} \\
\sigma_{3} = \{X \to b, Z \to f(a, Y)\} \\
\sigma_{4} = \{X \to f(Z_{6}, b), Z \to f(a, Y, Z_{6}, Z_{6})\}
\end{cases}$$

Termination, Soundness and Completeness



- ► Termination Hard (use Fages' lexicographic measure)
- Soundness Easy
- ► Completeness Hard

Notation: $\delta \subseteq V$



We say that $\delta \subseteq V$ if $dom(\delta) \subseteq V$ and $Vars(im(\delta)) \subseteq V$.

Example 1

- ▶ If $V = \{X, Y\}$ and $\delta = \{X \mapsto f(a, b), Y \mapsto X\}$, then $\delta \subseteq V$.
- ▶ If $V = \{X, Y\}$ and $\delta = \{X \mapsto f(a, Z_1), Y \mapsto X\}$, then $\delta \not\subseteq V$.

Notation: $\sigma = v \sigma'$



Given a set of variables V, we say that $\sigma =_V \sigma'$ if for every variable $X \in V$ we have $\sigma X = \sigma' X$.

Parameters of Our Algorithm



Our recursive algorithm receives a triple (P, σ, V) as parameter, where P is the unification problem we have to unify, σ is the substitution we have computed so far and a set of variables V that are/were in use.

The algorithm keeps on calling itself on progressively smaller inputs (according to a lexicographic measure) until it finishes. The initial call in order to unify terms t and s is done with $P=\{t\approx^7 s\}$, $\sigma=Id$ and $Vars(t,s)\subseteq V$.

Completeness



Theorem 2

If δ unifies $t \approx^? s$ and $\delta \subseteq V$ and $Vars(t,s) \subseteq V$, then there is a substitution $\gamma \in ACUnif(\{t \approx^? s\}, Id, V)$ such that $\gamma \leq_V \delta$.

How bad is it that we have $\delta \subseteq V$?



How bad is it that we have $\delta \subseteq V$?

The hypothesis $\delta \subseteq V$ is simply a technicality that was put only in order to guarantee that the new variables introduced by the algorithm do not clash with the variables in $dom(\delta)$ or in $im(\delta)$.

An Example



Suppose that we call:

 $\begin{array}{l} \text{ACUnif}(\{f(X,X,Y,a,b,c)\approx^? f(b,b,b,c,Z)\}, Id, \{X,Y,Z\}), \text{ and that } \\ \delta=\{X\mapsto f(Z_2,a,b),Z\mapsto f(a,Y,Z_2,a,Z_2,a),Z_4\mapsto c\}. \end{array}$

Then $V = \{X, Y, Z\}$ and $\delta \not\subseteq V$, but the substitution $\sigma_4 = \{X \mapsto f(Z_6, b), Z \mapsto f(a, Y, Z_6, Z_6)\}$ that we computed is still more general than δ (restricted to the variables in V).

Indeed, if we take $\delta_1 = \{Z_6 \mapsto f(Z_2, a)\}$ then $\delta =_V \delta_1 \sigma_4$.

An Attempt at Proving Completeness



Let's try removing the hypothesis $\delta \subseteq V$ and simply proving:

Theorem 3 If δ unifies $t \approx^? s$, then there is a substitution $\gamma \in \mathit{ACUnif}(\{t \approx^? s\}, \mathit{Id}, \mathit{Vars}(t, s))$ such that $\gamma \leq_{\mathit{Vars}(t, s)} \delta$.

What happens when we change V?



Investigate: what happens when we call ACUnif with an arbitrary V such that $Vars(t,s) \subseteq V$ versus what happens when we call ACUnif with V = Vars(t,s)?

Changing the Parameter V



Consider two situations, where we are trying to unify $\{f(X, X, Y, a, b, c) \approx^? f(b, b, b, c, Z)\}$:

- 1. **Situation 1**: We call our algorithm with $V = \{X, Y, Z\}$. In this case, the variables introduced by the algorithm are Z_1, \ldots, Z_7 (in this order).
- 2. **Situation 2**: We call our algorithm with $V' = \{X, Y, Z, Z_1, Z_2\}$. In this case, the variables introduced by the algorithm are Z_3, \ldots, Z_9 (in this order).

The variable Z_1 in **Situation 1** will play the same role as the variable Z_3 in **Situation 2** and so on. The renaming $\rho = \{Z_1 \mapsto Z_3, \dots, Z_7 \mapsto Z_9\}$ should let us go from **Situation 1** to **Situation 2**.

Changing the Parameter V (cont.)



In our example, we would have:

$$\sigma_4 = \{X \mapsto f(Z_6, b), Z \mapsto f(a, Y, Z_6, Z_6)\}$$
 Situation 1

$$\sigma'_4 = \{X \mapsto f(Z_8, b), Z \mapsto f(a, Y, Z_8, Z_8)\}$$
 Situation 2

Notice that we have $\sigma'_4 =_V \rho \sigma_4$.

Removing $\delta \subseteq V$



We have Theorem 2 and want to prove Theorem 3

Theorem 2

If δ unifies $t \approx^? s$ and $\delta \subseteq V$ and $Vars(t,s) \subseteq V$, then there is a substitution $\gamma \in ACUnif(\{t \approx^? s\}, Id, V)$ such that $\gamma \leq_V \delta$.

Theorem 3

If δ unifies $t \approx^? s$, then there is a substitution $\gamma \in \mathit{ACUnif}(\{t \approx^? s\}, \mathit{Id}, \mathit{Vars}(t, s))$ such that $\gamma \leq_{\mathit{Vars}(t, s)} \delta$.

Removing $\delta \subseteq V$ (cont.)



Our attempt to prove Theorem 3:

Step 1: Let V = Vars(t, s) and $V' = V \cup dom(\delta) \cup Vars(im(\delta))$. By Theorem 2, there is a substitution $\gamma' \in ACUnif(\{t \approx^? s\}, Id, V')$ such that $\gamma' \leq_{V'} \delta$. Therefore, we can write $\delta =_{V'} \delta_1 \gamma'$.

Step 2: Find a substitution $\gamma \in \texttt{ACUnif}(\{t \approx^? s\}, Id, V)$ and a renaming ρ such that $\gamma' =_V \rho \gamma$.

Step 3: $\delta =_{V'} \delta_1 \gamma' =_V \delta_1 \rho \gamma$. So, we can conclude that $\gamma \leq_V \delta$.

How can we prove Step 2?



All that is left is to prove Step 2.

Let V = Vars(t, s) and V' be a set of variables such that $V \subseteq V'$. How can we prove that if $\gamma' \in \texttt{ACUnif}(\{t \approx^? s\}, Id, V')$ then there is a substitution $\gamma \in \texttt{ACUnif}(\{t \approx^? s\}, Id, V)$ and a renaming ρ such that $\gamma' =_V \rho \gamma$?

How can we prove Step 2? (cont.)



We could try a proof by induction on the algorithm. Something like:

If $\gamma' \in ACUnif(P, \sigma, V')$ then there is a substitution $\gamma \in ACUnif(P, \sigma, V)$ and a renaming ρ such that $\gamma' =_V \rho \gamma$.

Would that work?

How can we prove Step 2? (cont.)



No! Although initially the two inputs (P, σ, V) and (P, σ, V') only differ in the third component (V vs V'), as we introduce new variables, the first component of the two inputs will differ. Moreover, if we instantiate the new variables, the second component will also differ.

How can we prove Step 2? (cont.)



How about we try proving this:

If $\gamma' \in \mathtt{ACUnif}(P', \sigma', V')$ then there is a substitution $\gamma \in \mathtt{ACUnif}(P, \sigma, V)$ and a renaming ρ such that $\gamma' =_V \rho \gamma$.

Would that work?

How can we prove Step 2? (cont.)



Not quite. We have lost the link between the input (P, σ, V) and the input (P', σ', V') ! To make this relation, we introduced the definition of **renamed inputs** (shown in the next slide). The theorem we have to prove becomes:

Theorem 4 (Theorem of Renamed Inputs)

Suppose that (P', σ', V') is a renamed input of (P, σ, V) fixing Vars(t, s). If $\gamma' \in ACUnif(P', \sigma', V')$ then there is a substitution $\gamma \in ACUnif(P, \sigma, V)$ and a renaming ρ such that $\gamma' =_V \rho \gamma$.

Definition of Renamed Inputs



We say that (P', σ', V') is a renamed input of (P, σ, V) fixing a set of variables χ if there exists a renaming ρ such that the following conditions are met:

- 1. $\sigma' =_{\chi} \rho \sigma$.
- 2. $P' = \rho P$,
- 3. $greatest(V) \leq greatest(V')$.
- 4. $\chi \subseteq V$.
- 5. $dom(\rho) \subseteq V$
- 6. $Vars(img(\rho)) \subseteq V'$.
- 7. If $X \in im(\rho)$ and $X \notin dom(\rho)$ then $X \notin V$

Proving the Theorem of Renamed Inputs



We do a proof by induction using the lexicographic measure that we have used to prove termination of ACUnif.

Base of Induction



When ACUnif has finished unifying, the renamed inputs will be (\emptyset, σ', V') and (\emptyset, σ, V) and the algorithm returns as output $\gamma' = \sigma'$ (for the input (\emptyset, σ', V')) and $\gamma = \sigma$ (for the input (\emptyset, σ, V)). Due to Item 1 (i.e. $\sigma' = _{Vars(t,s)} \rho \sigma$), we guarantee the thesis of our theorem.

This is the motivation for Item 1 of the definition of renamed inputs.

Item 2 is subtly being used here to guarantee that when $P' = \emptyset$ we have $P = \emptyset$.

Inductive Step



For the inductive step, let (P', σ', V') be a renamed input of (P, σ, V) fixing Vars(t, s). Suppose that $ACUnif(P, \sigma, V)$ calls itself recursively with input (P_1, σ_1, V_1) and that $ACUnif(P', \sigma', V')$ calls itself recursively with input (P'_1, σ'_1, V'_1) . To apply the inductive hypothesis, we must show that (P'_1, σ'_1, V'_1) is a renamed input of (P_1, σ_1, V_1) fixing Vars(t, s).

The hardest cases are when ACUnif instantiates a variable or when ACUnif applies the AC-Step for AC-unification.

Motivation for Items 3-7 in Renamed Inputs



When going from (P, σ, V) to (P_1, σ_1, V_1) and from (P', σ', V') to (P'_1, σ'_1, V'_1) we need to guarantee that (P'_1, σ'_1, V'_1) is a renamed input of (P_1, σ_1, V_1) fixing Vars(t, s). In order to guarantee that, we had to enlarge the definition of renamed inputs with Items 3-7.

Renamed Input for Variable Instantiation



Theorem 5

Suppose that $X \notin Vars(t)$ and that (P', σ', V') is a renamed input of (P, σ, V) fixing χ (with renaming ρ). Let $P = \{X \approx^? t\} \cup P_1$ and $P' = \{\rho X \approx^? \rho t\} \cup \rho P_1$. Let $\sigma_1 = \{X \mapsto t\}$ and $\sigma_1' = \{\rho X \mapsto \rho t\}$. Then, $(\sigma_1' P_1', \sigma_1' \sigma', V')$ is a renamed input of $(\sigma_1 P_1, \sigma_1 \sigma, V)$ fixing χ (with renaming ρ).

In this lemma, to guarantee that Items 1 and 2 of the definition of renamed inputs hold (for $(\sigma_1'P_1', \sigma_1'\sigma', V')$ and $(\sigma_1P_1, \sigma_1\sigma, V)$), we had to use Items 4 and 7 of of the definition of renamed inputs (for (P', σ', V') and (P, σ, V)).

Dependency Between the PVS Files



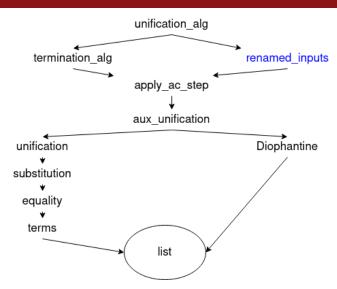


Figure 5: PVS Files Dependency Diagram

Amount of Theorems and TCCs Proved



Table 3: Number of theorems and TCCs in each file.

| File | Theorems | TCCs | Total | New |
|---------------------|----------|------|-------|-----|
| unification_alg.pvs | 10 | 19 | 29 | 2 |
| termination_alg.pvs | 80 | 35 | 115 | 0 |
| rename_input.pvs | 21 | 23 | 44 | 44 |
| apply_ac_step.pvs | 29 | 12 | 41 | 6 |
| aux_unification.pvs | 204 | 58 | 262 | 29 |
| diophantine.pvs | 73 | 44 | 117 | 0 |
| unification.pvs | 86 | 14 | 100 | 11 |
| substitution.pvs | 144 | 22 | 166 | 42 |
| equality.pvs | 67 | 18 | 85 | 0 |
| terms.pvs | 131 | 48 | 179 | 3 |
| list.pvs | 256 | 110 | 366 | 6 |
| Total | 1101 | 403 | 1504 | 143 |

Size By File



Table 4: Size of .pvs and .prf files

| File | .pvs | .prf | Percentage | |
|-----------------|-------|--------|------------|--|
| unification_alg | 6kB | 2.2MB | 5 % | |
| termination_alg | 22kB | 11MB | 26 % | |
| rename_input | 10kB | 2.6MB | 6 % | |
| apply_ac_step | 13kB | 9.7MB | 23 % | |
| aux_unification | 58kB | 8.2MB | 19 % | |
| diophantine | 23kB | 1.1MB | 3 % | |
| unification | 20kB | 1MB | 2 % | |
| substitution | 26kB | 2.4MB | 6 % | |
| equality | 12kB | 1.1MB | 3 % | |
| terms | 27kB | 1MB | 2 % | |
| list | 54kB | 2MB | 5 % | |
| Total | 271kB | 42.3MB | 100% | |

Conclusion



- ► We specified Stickel's AC-unification algorithm in the proof assistant PVS and proved it terminating, sound and complete.
- ▶ We discussed how to solve equations of the form $t \approx^? s$ when t and s are AC-functions headed by the same symbol and the connection between this problem and solving Diophantine linear equations.
- ▶ We pointed how we can improve the proof of completeness by removing $\delta \subseteq V$ and passing Vars(t, s) as the initial parameter.

Future Work



We envision three different paths for future work:

- Coming back to our initial goal: adapting the algorithm to the nominal setting, which would give the first nominal AC-unification algorithm.
- 2. Use the formalisation as a basis to formalise more efficient first-order AC-unification algorithms (for instance the one in [2]).
- 3. Use the formalisation to extract verified code and test AC-unification implementations (for instance in Maude, see [3]) for correctness/completeness.

Thank You



Thank you! Any comments/suggestions/doubts?

Bibliography



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- [2] M. Adi and C. Kirchner, "Ac-unification race: The system solving approach, implementation and benchmarks," *Journal of Symbolic Computation*, vol. 14, no. 1, pp. 51–70, 1992.
- [3] M. Clavel, F. Durán, S. Eker, *et al.*, "Maude: Specification and programming in rewriting logic," *Theoretical Computer Science*, vol. 285, no. 2, pp. 187–243, 2002.
- [4] L. Lamport, "How to write a 21st century proof," *Journal of Fixed Point Theory and Applications*, vol. 11, no. 1, pp. 43–63, 2012.

Pseudocode for the Algorithm



```
1: procedure ACUnif(P, \sigma, V)
         if nil?(P) then
 2:
             return cons(\sigma, nil)
 3.
        else
 4.
             ((t,s), P_1) = \operatorname{choose}(P)
 5.
             if (s matches X) and (X not in t) then
 6.
                 \sigma_1 = \{X \to t\}
7.
                 \sigma' = \operatorname{append}(\sigma_1, \sigma)
8.
                  P' = \sigma_1 P_1
 g.
                  return ACUnif(P', \sigma', V)
10:
             else
11:
                  if t matches a then
12:
                      if s matches a then
13:
                           return ACUnif(P_1, \sigma, V)
14:
15:
                      else
16:
                           return nil
                      end if
17:
```

Pseudocode for the Algorithm (cont.)



```
18:
                  else if t matches X then
                      if X not in s then
19:
                          \sigma_1 = \{X \to s\}
20:
                          \sigma' = \operatorname{append}(\sigma_1, \sigma)
21:
                           P' = \sigma_1 P_1
22:
                           return ACUnif(P', \sigma', V)
23:
                      else if s matches X then
24:
                           return ACUnif(P_1, \sigma, V)
25:
                      else
26.
                           return nil
27.
                      end if
28.
                  else if t matches \langle \rangle then
29:
                      if s matches () then
30:
                           return ACUnif(P_1, \sigma, V)
31.
                      else
32:
33:
                           return nil
                      end if
34:
```

Pseudocode for the Algorithm (cont.)



```
else if t matches f t_1 then
35:
                    if s matches f s<sub>1</sub> then
36.
                        P' = cons((t_1, s_1), P_1)
37.
                        return ACUnif(P', \sigma, V)
38.
                    else
39.
                        return nil
40.
                    end if
41.
                else
42.
                    if s matches f^{AC} s<sub>1</sub> then
43.
                        InputLst = applyACStep(P, \sigma, V)
44.
                        LstResults = map(ACUnif, InputLst)
45.
                        return flatten (LstResults)
46:
47:
                    else
48:
                        return nil
                    end if
49:
                end if
50:
            end if
51:
        end if
52:
```

Pseudocode for the Algorithm (cont.)



53: end procedure

Preservation of Renamed Inputs During Variable Instantiation



We will give a proof of:

Theorem 5

Suppose that $X \notin Vars(t)$ and that (P', σ', V') is a renamed input of (P, σ, V) fixing χ (with renaming ρ). Let $P = \{X \approx^? t\} \cup P_1$ and $P' = \{\rho X \approx^? \rho t\} \cup \rho P_1$. Let $\sigma_1 = \{X \mapsto t\}$ and $\sigma'_1 = \{\rho X \mapsto \rho t\}$. Then, $(\sigma'_1 P'_1, \sigma'_1 \sigma', V')$ is a renamed input of $(\sigma_1 P_1, \sigma_1 \sigma, V)$ fixing χ (with renaming ρ).

Renaming



We say that ρ is a renaming if two conditions are met:

- ▶ For every variable X, ρX is a variable.
- ▶ If $X \in dom(\rho)$ and $Y \in dom(\rho)$ and $\rho X = \rho Y$ then X = Y.

Nice Input



In our proof of completeness, we notice that every input (P, σ, V) that the algorithm receives satisfy these conditions:

- $ightharpoonup \sigma$ is idempotent.
- $ightharpoonup Vars(P) \cap dom(\sigma) = \emptyset.$
- $ightharpoonup dom(\sigma) \subseteq V$.
- $ightharpoonup Vars(P) \subseteq V$.

When (P, σ, V) satisfies these conditions, we say that (P, σ, V) is a nice input.

Using a Structured Proof



We present a **structured proof** of the lemma, where some steps decompose in substeps and so on, as described by Leslie Lamport in [4].

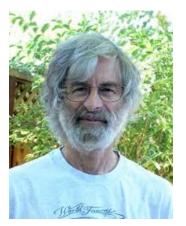


Figure 6: Leslie Lamport.

Proof



Proof:

 $\langle 1 \rangle 1$. We first establish that: $\sigma'_1 \rho =_V \rho \sigma_1$.

Proof:

- $\langle 2 \rangle$ 1. It suffices to prove that for every variable $Z \in V$ we have $\sigma_1' \rho Z = \rho \sigma_1 Z$. This is the same as proving that $[\rho X \mapsto \rho t] \rho Z = \rho [X \mapsto t] Z$.
- $\langle 2 \rangle 2$. Case: Z = X. Then both sides are equal to ρt .
- $\langle 2 \rangle$ 3. Case: $Z \neq X$.

Proof:

 $\langle 3 \rangle$ 1. The right-hand side is equal to ρZ . It suffices to prove that the left-hand side is also equal to ρZ . To do that, it suffices to prove that $\rho Z \neq \rho X$. Suppose by contradiction that $\rho Z = \rho X$.



- $\langle 3 \rangle$ 2. Case: $X \in dom(\rho)$ and $Z \in dom(\rho)$. By the definition of renaming, we must have X = Z.
- $\langle 3 \rangle$ 3. Case: $X \notin dom(\rho)$ and $Z \in dom(\rho)$. We have $\rho Z = X$, which means that $X \in Vars(img(\rho))$. Since we also have that $X \notin dom(\rho)$, by **Item 7** of the definition of renamed inputs we get that $X \notin V$. This however, contradicts the fact that $X \in P$ and $Vars(P) \subseteq V$.
- (3)4. Case: $X \in dom(\rho)$ and $Z \notin dom(\rho)$. Similar to the previous case, exchanging the roles of X and Z.
- $\langle 3 \rangle$ 5. Case: $X \notin dom(\rho)$ and $Z \notin dom(\rho)$. Since $\rho X = \rho Z$ we get X = Z. This contradicts our hypothesis that $Z \neq X$.



- $\langle 1 \rangle$ 2. The first item of the definition of renamed inputs is satisfied: $\sigma_1' P_1' = \rho \sigma_1 P_1$.
 - $\langle 2 \rangle$ 1. Let t_i be an arbitrary term in P_1 and let t_i' be the correspondent in $P_1' = \rho P_1$. Then $t_i' = \rho t_i$, and it suffices to prove that $\sigma_1' \rho t_i = \rho \sigma_1 t_i$.
 - $\langle 2 \rangle$ 2. It suffices to prove that for every variable $Z \in Vars(t_i)$ we have $\sigma_1' \rho Z = \rho \sigma_1 Z$. This follows from $\sigma_1' \rho =_V \rho \sigma_1$, since $P_1 \subseteq P$ and $Vars(P) \subseteq V$ (this last " \subseteq " is from the fact that (P, σ, V) is a nice input and from the definition of nice input.).



- $\langle 1 \rangle$ 3. The second item in the definition of renamed inputs is satisfied: $\sigma'_1 \sigma' =_{\gamma} \rho \sigma_1 \sigma$.
 - $\langle 2 \rangle$ 1. Since (P',σ',V') is a renamed input of (P,σ,V) , by **Item 2** of the definition we have $\sigma'=_{\chi}\rho\sigma$. Therefore $\sigma'_{1}\sigma'=_{\chi}\sigma'_{1}\rho\sigma$ and it suffices to prove that $\sigma'_{1}\rho\sigma=_{\chi}\rho\sigma_{1}\sigma$
 - $\langle 2 \rangle$ 2. By Step $\langle 1 \rangle 1$ we have $\sigma'_1 \rho =_V \rho \sigma_1$. Since $\sigma \subseteq V$ we get $\sigma'_1 \rho \sigma =_V \rho \sigma_1 \sigma$.
 - $\langle 2 \rangle$ 3. Since (P', σ', V') is a renamed input of (P, σ, V) , by **Item 4** of the definition we have $\chi \subseteq V$. Along with the last Step, this let us conclude that $\sigma'_1 \rho \sigma =_{\chi} \rho \sigma_1 \sigma$.

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 $\langle 1 \rangle$ 4. The Items 3-7 to prove that $(\sigma_1'P_1', \sigma_1'\sigma', V')$ is a renamed input of $(\sigma_1P_1, \sigma_1\sigma, V)$ are the same Items 3-7 of our hypothesis that (P', σ', V') is a renamed input of (P, σ, V) , since there is no change in the renaming ρ or in the set of variables V.