# Measuring Hardware Counters for HPC Application Phase Detection

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Abstract—Besides reducing the execution time of parallel applications, the power consumption is an increasingly addressed problem in High-Performance Computing. A parallel program can be divided into regions, which can have particular characteristics, for instance, a behavior more CPU or memory bound. This paper presents a preliminary effort to measure performance counters along time to enable the automatic detection of memorybound regions. Once attained, the automatic detection differs from the previous works since it does not require any code instrumentation, making it less intrusive. Three NAS Parallel Benchmark (NPB) applications are used in the experiments: the Discrete 3D fast Fourier Transform (FT), the Lower-Upper Gauss-Seidel solver (LU), and the Conjugate Gradient (CG). As hardware counters, we measure the L2 and L3 cache misses rate along time using the likwid's timeline mode, a tool to measure hardware counters from the user space. The experiments enable us to identify possible memory-bound code regions by correlating with changes in cache misses rates. We intend to configure a suitable processor frequency for each memory-bound parallel region of the application, reducing the energy consumption of the application with minimal performance loss.

# I. INTRODUCTION

Large HPC applications are composed of many parallel regions that are executed by different threads. For example, in an application that simulates the heat transfer through of a metal plate, we could define two parallel regions: the first to define the initial condition of the plate and another part would be responsible for calculating the heat transfer across different points of the plate for a number of timesteps. Each parallel region has its own characteristic. Some may be considered memory-bound, with a high rate of cache misses, while others may be considered more CPU-bound, with a high instruction execution rate, and even others might be considered IO-bound. In the previous example of the heat plate, one could measure the hardware counters at a given frequency to define the main characteristic of each parallel region. An automatic detection of memory-bound parallel regions enable one to adjust the processor frequency, possibly reducing energy consumption with minor performance penalties in execution time.

The main objective of this work is to measure hardware counters at every given time interval to discover memory-bound code regions. Once these regions have been detected, we intend to apply Design of Experiments screening techniques [1] to find the best processor frequency configuration for each region, pretty similar to what has been done already [2], but automatically. This paper presents our preliminary

results by showing a time-oriented method to collect hardware counters, using likwid's timeline mode [3]. The preliminary results indicate that the collected data can possibly enable the automated identification of memory-bound code regions.

The paper is organized as follows. Section II presents related work regarding automatic phase detection for HPC applications. In Section III is presented our proposal and its corresponding methodology. The Section IV describes the platform used in the experiments and the preliminary results. Section V concludes the paper listing the main contributions and future works.

# II. RELATED WORK

There is no definitive solution to detect if a code region is more memory or CPU bound. Some works focus more on phase detection to sequential applications [4][5]. Spiliopoulos et al. [4] present in his work a tool that analyzes the behavior of a sequential application by detailed analysis of its execution phases, based on cache misses of the different levels of cache. The identified phase may be comprised of a set of program functions, which are grouped by having a similar behavior. The identification of the behavior of these functions is performed in accordance with a prior history of execution, based on the trace generated by the application. The tool generated identifies the best processor frequency to be used in each phase to best performance and reduce energy consumption. This paper analyzes only sequential applications, in this perspective the identification of the memory-bounds regions areas can be obtained in a coarser granularity in the interval between samples timesteps. As for parallel applications running different flows may have different behaviors which may vary according to the application of load balancing, which causes the granularity of the samples is thinner for better understanding its behavior. In addition to this approach, Laurenzano et al.[5] present an approach finer granularity for identifying the most appropriate processor frequency for each application loop. Through multiple executions is set a model of various sizes, it allows to find for example the most appropriate setting for the given bond program, varying aspects that can influence the energy reduction and performance improvement for the application.

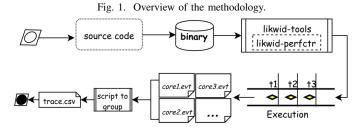
There is also investigation to consider MPI or OpenMP parallel applications. Freeh et al.[6] present an approach to define the most suitable frequency for each phase of an MPI application. Among the available frequencies, the approach

looks at what is the best frequency for a given node operate during the execution of the application. Another approach [2], focused in OpenMP applications, analyzes the parallel regions of a program using a rigorous evaluation using design of experiments and screening designs. According to their analysis based on seven benchmarks, it is possible to reach a considerable gain in energy reduction, eventually with no performance loss, depending on the characteristics of the benchmark. Their approach use manual instrumentation to identify the code regions that are going to be analzed. We focus instead in the automatic detection of such regions based on hardware counters. In this paper we show our investigation in how these counters can be measured along the application execution.

The next section describes our measurement and evaluation methodology to collect hardware counters at a given frequency.

# III. MEASUREMENT AND EVALUATION METHODOLOGY

The methodology used in the work first defines the compilation a source code into binary. The program is run under the likwid-perfetr tool that allows you to collect hardware counters for each processing core. The data is processed by a script tailored to generate a detailed application trace to carry out the data analysis. The Figure 1 shows an overview of the methodology with all such steps.



We employ such methodology in three NAS Parallel applications: the 3D Discrete Fast Fourier Transform (FT), the Lower-Upper Gauss-Seidel Solver (LU) and the Conjugate Gradient (CG). The applications are executed with 32 threads using the input size (class B) of the NAS benchmark. The execution platform used was the beagle1, a Workstation with 2 processors Intel (R) Xeon (R) E5-2650 CPU 2.00 GHz, each with 8 physical cores and Hyper-Threading technology.

The hardware counters are collected using likwid's timeline mode [3], configured to measure L2 and L3 cache misses rate at a given time interval. Such interval between each metric recording is defined according to the total execution time of each application. For example, in the FT application, the measurement period is defined as 30 milliseconds, generating about 172 samples (for each of the 32 threads). For the LU application a 100 milliseconds is adopted, for 363 samples. For CG, a period of 50 milliseconds for 384 samples. According to the likwid authors, adopting a period less than 100 milliseconds might generate non-valid results. Even so, the global behavior is still valid if one aggregate such information along time. We report FT and CG results under this limitation

in order to investigate how frequent measurements impact our analysis of phase detection.

# IV. PRELIMINARY RESULTS

We present the L2 and L3 cache misses rate considering the aggregated metrics for all cores of the two processors where we conducted experiments. Points in the plots represented such aggregated values, while lines are there only to show the metric trend along time. Despite the fact that we aggregated values, we have looked to each core cache level miss and they are all similar and homogeneous (because of the regular nature of the applications we used), justifying such aggregation to simplify the analysis.

# A. Discrete 3D Fast Fourier Transform (NPB-FT, B Class)

Figure 2 depicts the L2 and L3 cache misses rate of the Discrete 3D Fast Fourier Transform (NPB-FT, B Class) when measuring metrics every 100 milliseconds. It clearly shows phases – represented by the peaks at regular intervals – when taking into account the L2 cache misses rate. For the L3 cache misses we observe that after the initialization phase (where a peak of 37% L3 misses rate is measured), the rate decreases towards zero with minor outliers. The highest L2 cache misses rate found for FT is about 30% between 7.5 to 10 seconds late time execution, while generally we can see that the observed phases reach a level of 30% in L2 misses. The lowest L2 misses rate is measured at 10% during the initialization phase.

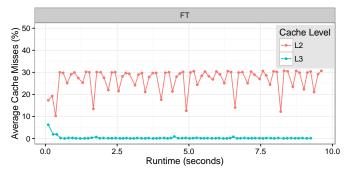


Fig. 2. The L2 and L3 cache misses rate of the Discrete 3D Fast Fourier Transform (NPB-FT, B Class) when measuring metrics every 100 milliseconds.

# B. Lower-Upper Gauss-Seidel Solver (NPB-LU, B Class)

Figure 3 shows the L2 and L3 cache misses rate of the Lower-Upper Gauss-Seidel Solver (NPB-LU, B Class). Behavior is clearly different from the FT application, seen in Figure 2. We observe that the L2 cache misses rate fluctuates around 20%, while the L3 cache misses rate is about zero the whole execution, except during the initialization phase, where a 13% rate is observed.

# C. Conjugate Gradient (NPB-CG, B Class)

Figure 4 shows the L2 and L3 caches misses rate for Conjugate Gradient (NPB-CG, B Class) application. After the initialization phase, behavior of both metrics becomes stable

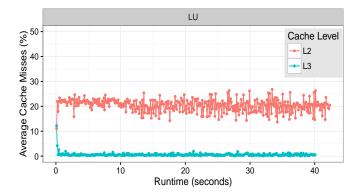


Fig. 3. The L2 and L3 cache misses rate of the Lower-Upper Gauss-Seidel Solver (NAS-LU, B Class) when measuring metrics every 100 milliseconds.

at about 38% of misses for L2, and around zero for L3. Comparing against the previous applications, we see that the L2 misses rate for CG is greater than the others, suggesting that it is more memory-bound. This could potentially lead to a more gains in energy reduction if a proper processor frequency is selected.

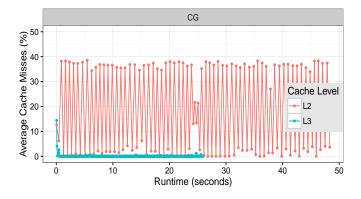


Fig. 4. The L2 and L3 cache misses rate of the Conjugate Gradient (NPB-CG, B Class) when measuring metrics every 50 milliseconds.

# V. CONCLUSION

Parallel applications have many code regions, each one with unique performance characteristics. If one is capable to detect which regions are memory-bound, we might be able to reduce the processor frequency with DVFS-based techniques without affecting too much the execution time. The main goal of this study is to identify memory-bound code regions. The main contribution of this work is its methodology, which defines the steps and tools necessary that should be used to identify memory-bound portions of a parallel application. By applying such methodology, we have been able to measure L2 and L3 cache misses for three NPB applications, each one with different behavior. As future work, we plan to automatically identify those memory-bound regions based on the hardware counters we have measured so far.

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