

10 Left and right folds

The fold on lists, an instance of *foldr*, is

```
> fold :: (a -> b -> b) -> b -> [a] -> b
> fold cons nil [] = nil
> fold cons nil (x:xs) = cons x (fold cons nil xs)
```

and $\text{fold } (:) [] = \text{id}$. It captures a possible pattern of computation for many functions on lists

```
sum    = fold (+) 0
product = fold (×) 1
concat = fold (++) []
map f   = fold (:) · f []
```

notice that none of these equations is recursive: only equations defining *fold* are recursive. We might hope to be able to prove things about the others, such as

```
sum (xs ++ ys) = sum xs + sum ys
product (xs ++ ys) = product xs × product ys
concat (xs ++ ys) = concat xs ++ concat ys
map f (xs ++ ys) = map f xs ++ map f ys
```

without resorting to induction for every one of them.

What is needed is a proof that

$$\text{fold } c \ n \ (xs ++ ys) = \text{fold } c \ n \ xs \oplus \text{fold } c \ n \ ys$$

Setting out to prove this, just once, will reveal what relationship has to exist between c , n and (\oplus) .

$\begin{aligned} & \text{fold } c \ n \ ([] ++ ys) \\ = & \{ \text{definition of } (++) \} \\ & \text{fold } c \ n \ ys \end{aligned}$	$\begin{aligned} & \text{fold } c \ n \ [] \oplus \text{fold } c \ n \ ys \\ = & \{ \text{definition of fold} \} \\ & n \oplus \text{fold } c \ n \ ys \end{aligned}$
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so these will be equal if $x = n \oplus x$ for all x .

$\begin{aligned} & \text{fold } c \ n \ ((x:xs) ++ ys) \\ = & \{ \text{definition of } (++) \} \\ & \text{fold } c \ n \ (x:(xs ++ ys)) \\ = & \{ \text{definition of fold} \} \\ & c \ x \ (\text{fold } c \ n \ (xs ++ ys)) \\ = & \{ \text{inductive hypothesis} \} \\ & c \ x \ (\text{fold } c \ n \ xs \oplus \text{fold } c \ n \ ys) \end{aligned}$	$\begin{aligned} & \text{fold } c \ n \ (x:xs) \oplus \text{fold } c \ n \ ys \\ = & \{ \text{definition of fold} \} \\ & c \ x \ (\text{fold } c \ n \ xs) \oplus \text{fold } c \ n \ ys \end{aligned}$
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and these will be equal if $x \text{ 'c' } (y \oplus z) = (x \text{ 'c' } y) \oplus z$. Furthermore,

$$\begin{array}{ll}
 \text{fold } c \ n \ (\perp \oplus ys) & \text{fold } c \ n \ \perp \oplus \text{fold } c \ n \ ys \\
 = \{ \text{definition of } (\oplus) \} & = \{ \text{fold is strict in the list} \} \\
 \text{fold } c \ n \ \perp & \perp \oplus \text{fold } c \ n \ ys \\
 = \{ \text{fold is strict in the list} \} & \\
 \perp &
 \end{array}$$

and these will be equal if (\oplus) is strict, that is if the operator is strict in its left argument.

None of these three properties involves any recursion so they can be checked by induction-free proofs.

10.1 Fusion

The most generally useful property of folds is that, given the right properties of f , g , h , a , and b ,

$$f \cdot \text{fold } g \ a = \text{fold } h \ b$$

These are functions of a list so the proof is by induction on an argument list

$$\begin{array}{ll}
 (f \cdot \text{fold } g \ a) \ \perp & \text{fold } h \ b \ \perp \\
 = \{ \text{definition of } (\cdot) \} & = \{ \text{fold is strict in the list} \} \\
 f \ (\text{fold } g \ a \ \perp) & \perp \\
 = \{ \text{fold is strict in the list} \} & \\
 f \ \perp &
 \end{array}$$

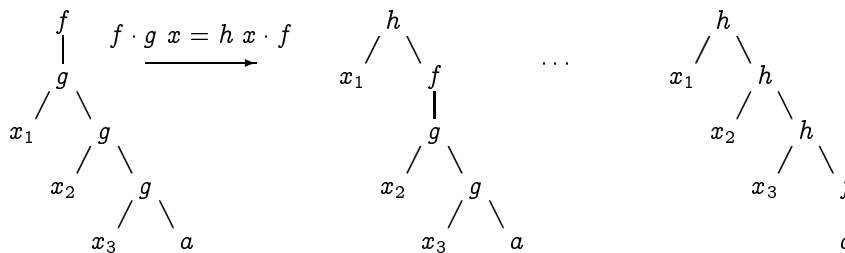
so f must be strict.

$$\begin{array}{ll}
 (f \cdot \text{fold } g \ a) \ [] & \text{fold } h \ b \ [] \\
 = \{ \text{definition of } (\cdot) \} & = \{ \text{definition of fold} \} \\
 f \ (\text{fold } g \ a \ []) & b \\
 = \{ \text{definition of fold} \} & \\
 f \ a &
 \end{array}$$

so $b = f \ a$.

$$\begin{array}{ll}
(f \cdot \text{fold } g \ a) \ (x : xs) & \text{fold } h \ b \ (x : xs) \\
= \{ \text{definition of } (\cdot) \} & = \{ \text{definition of fold} \} \\
f \ (\text{fold } g \ a \ (x : xs)) & h \ x \ (\text{fold } h \ b \ xs) \\
= \{ \text{definition of fold} \} & = \{ \text{inductive hypothesis} \} \\
f \ (g \ x \ (\text{fold } g \ a \ xs)) & h \ x \ ((f \cdot \text{fold } g \ a) \ xs) \\
& = \{ \text{definition of } (\cdot), \text{ twice} \} \\
& (h \ x \cdot f) \ (\text{fold } g \ a \ xs)
\end{array}$$

and these will be equal at least if $h \ x \ (f \ y) = f \ (g \ x \ y)$ or equivalently if $h \ x \cdot f = f \cdot g \ x$.



Most of the laws that we have used that are about functions that are folds have been instances of fusion. We have also been relying on a special case of fusion to show that some function f on lists is a fold, because

$$\begin{array}{ll}
f & \\
= \{ \text{unit of composition} \} & \\
f \cdot \text{id} & \\
= \{ \text{fold of constructors} \} & \\
f \cdot \text{fold } (:) \ [] & \\
= \{ \text{fusion} \} & \\
\text{fold } h \ (f \ []) &
\end{array}$$

provided f is strict, and $f \ (x : xs) = h \ x \ (f \ xs)$.

10.2 Left and right folds

One intuition about *fold* is that it produces a right-heavy expression where the arguments replace the constructors of a list:

$$\text{fold } (\oplus) \ e \ [x_0, x_1, x_2, \dots, x_n] = (x_0 \oplus (x_1 \oplus (x_2 \oplus \dots (x_n \oplus e) \dots)))$$

There is a predefined function *foldr* which when restricted to lists agrees with *fold*. We might compute a similar left-heavy expression

$$\text{tailfold } (\oplus) \ e \ [x_0, x_1, x_2, \dots, x_n] = (\dots (((e \oplus x_0) \oplus x_1) \oplus x_2) \oplus \dots x_n)$$

and might specify this by

$$\text{tailfold } c \ n = \text{fold } (\text{flip } c) \ n \cdot \text{reverse}$$

and calculate from this that it is strict; that

$$\begin{aligned} & \text{tailfold } c \ n \ [] \\ = & \{ \text{specification} \} \\ & (\text{fold } (\text{flip } c) \ n \cdot \text{reverse}) \ [] \\ = & \{ \text{composition} \} \\ & \text{fold } (\text{flip } c) \ n \ (\text{reverse } []) \\ = & \{ \text{definition of } \text{reverse} \} \\ & \text{fold } (\text{flip } c) \ n \ [] \\ = & \{ \text{definition of } \text{fold} \} \\ & n \end{aligned}$$

and that

$$\begin{aligned} & \text{tailfold } c \ n \ (x : xs) \\ = & \{ \text{specification} \} \\ & (\text{fold } (\text{flip } c) \ n \cdot \text{reverse}) \ (x : xs) \\ = & \{ \text{composition} \} \\ & \text{fold } (\text{flip } c) \ n \ (\text{reverse } (x : xs)) \\ = & \{ \text{definition of } \text{reverse} \} \\ & \text{fold } (\text{flip } c) \ n \ (\text{reverse } xs ++ [x]) \\ = & \{ \text{lemma (exercise 10.1 or 10.2)} \} \\ & \text{fold } (\text{flip } c) \ (\text{fold } (\text{flip } c) \ n \ [x]) \ (\text{reverse } xs) \\ = & \{ \text{definition of } \text{fold, twice} \} \\ & \text{fold } (\text{flip } c) \ (\text{flip } c \ x \ n) \ (\text{reverse } xs) \\ = & \{ \text{definition of } \text{flip} \} \\ & \text{fold } (\text{flip } c) \ (c \ n \ x) \ (\text{reverse } xs) \\ = & \{ \text{composition} \} \\ & (\text{fold } (\text{flip } c) \ (c \ n \ x) \cdot \text{reverse}) \ xs \\ = & \{ \text{specification} \} \\ & \text{tailfold } c \ (c \ n \ x) \ xs \end{aligned}$$

This justifies defining

$$\begin{aligned} & > \text{tailfold } c \ n \ [] = n \\ & > \text{tailfold } c \ n \ (x:xs) = \text{tailfold } c \ (c \ n \ x) \ xs \end{aligned}$$

and this is essentially the same as the predefined *foldl* (restricted to lists). The name is justified by the recursion being a *tail call*.

10.3 Scans

One commonly needs to think about ‘partial sums’. The natural thing to think of first for lists is

$$\text{scan } c \ n = \text{map } (\text{fold } c \ n) \cdot \text{tails}$$

where the tails of a list are all the suffix segments, in decreasing order of length.

```
> tails :: [a] -> [[a]]
> tails [] = [[]]
> tails (x:xs) = (x:xs): tails xs
```

There is a very similar standard function *tails* in `Data.List`.

In the way you probably expect, *tails* can be cast as a *fold* because

$$\begin{aligned} & \text{tails } (x : xs) \\ = & \{ \text{definition of tails} \} \\ & (x : xs) : \text{tails } xs \\ = & \{ \text{head } (\text{tails } xs) = xs \} \\ & (x : \text{head } ys) : ys \text{ where } ys = \text{tails } xs \end{aligned}$$

so $\text{tails} = \text{fold } g \ []$ where $g \ x \ ys = (x : \text{head } ys) : ys$. This means that if the conditions of fusion are satisfied, *scan* can be expressed as a *fold*.

Firstly, $\text{map } (\text{fold } c \ n)$ is strict; then

$$\begin{aligned} & \text{map } (\text{fold } c \ n) \ [] \\ = & \{ \text{definition of map} \} \\ & [\text{fold } c \ n \ []] \\ = & \{ \text{definition of fold} \} \\ & [n] \end{aligned}$$

and then

$$\begin{aligned} & \text{map } (\text{fold } c \ n) \ (g \ x \ ys) \\ = & \{ \text{definition of } g \} \\ & \text{map } (\text{fold } c \ n) \ ((x : \text{head } ys) : ys) \\ = & \{ \text{definition of map} \} \\ & (\text{fold } c \ n \ (x : \text{head } ys)) : \text{map } (\text{fold } c \ n) \ ys \\ = & \{ \text{definition of fold} \} \\ & c \ x \ (\text{fold } c \ n \ (\text{head } ys)) : \text{map } (\text{fold } c \ n) \ ys \\ = & \{ f \cdot \text{head} = \text{head} \cdot \text{map } f \} \\ & c \ x \ (\text{head } zs) : zs \text{ where } zs = \text{map } (\text{fold } c \ n) \ ys \end{aligned}$$

from which conclude that

$$\begin{aligned}
 & \text{scan } c \ n \\
 = & \ \{ \text{specification} \} \\
 & \text{map } (\text{fold } c \ n) \cdot \text{tails} \\
 = & \ \{ \text{fusion} \} \\
 & \text{fold } h \ [n] \ \text{where } h \ x \ zs = c \ x \ (\text{head } zs) : zs
 \end{aligned}$$

Notice that executing the specification directly gives a quadratic algorithm: for a list xs of length n there are about $\frac{1}{2}n^2$ applications of c . However there are only n applications of h , each of which calls c exactly once (and does a constant amount of consing). The result is a linear algorithm for

```
> scan c n = fold g [n] where g x zs = c x (head zs):zs
```

The predefined function *scanr* is equal to *scan*, and even has the same strictness.

10.4 Aside: strictness

The function *tails* defined above is strict, but *Data.List.tails* is not. However the implementation of *scan* as a fold is strict (as is the predefined *scanr* which is equal to *scan*), because folds are strict.

Had we defined *tails* to be non-strict,

```
> tails, ptails :: [a] -> [[a]]
> tails    xs = xs : ptails xs
> ptails   [] = []
> ptails (_:xs) = tails xs
```

it would not have been possible to implement it by a fold. The rest of the derivation of the implementation of *scan* as a fold is sound.

You might argue that the efficient implementation of *scan* is not a faithful implementation of $\text{map } (\text{fold } c \ n) \cdot \text{tails}$ if *tails* is not strict.