

Analysis in high probability of Randomized QuickSort

RandQuickSort(S)

$|S| = n$, all distinct

if $|S| \leq 1$ then return $\langle S \rangle$

$p = \text{RANDOM}(S)$ $\quad \parallel$ pick a "pivot" element uniformly at random from S

$S_1 = \{x \in S \text{ s.t. } x < p\}$ $\quad \parallel O(n)$ time

$S_2 = \{x \in S \text{ s.t. } x > p\}$ $\quad \parallel O(n)$ time

$Z_1 = \text{RandQuickSort}(S_1)$

$Z_2 = \text{RandQuickSort}(S_2)$

return $\langle Z_1, p, Z_2 \rangle$

Is a LAS VEGAS alg.

Suppose p is always the median of S ; then

$$T_{\text{RQS}}(n) = \begin{cases} 2T_{\text{RQS}}\left(\frac{n}{2}\right) + O(n) & n > 1 \\ 0 & n \leq 1 \end{cases}$$

$$T_{\text{RQS}}(n) = O(n \log n)$$

However, p is the median with probability $\frac{1}{n}$, very low

(Can one find the median in linear time? Yes, deterministically \Rightarrow deterministic QS $O(n \log n)$ exists, but the alg. is very complicated and the hidden constant is very high, thus inefficient in practice)

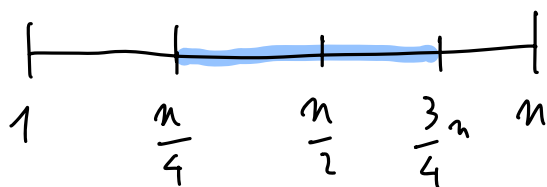
But do we really want exactly the median?

Intuition: if the sizes of S_1 and S_2 are "not too unbalanced", we should be good.

Let's try with a loose request:

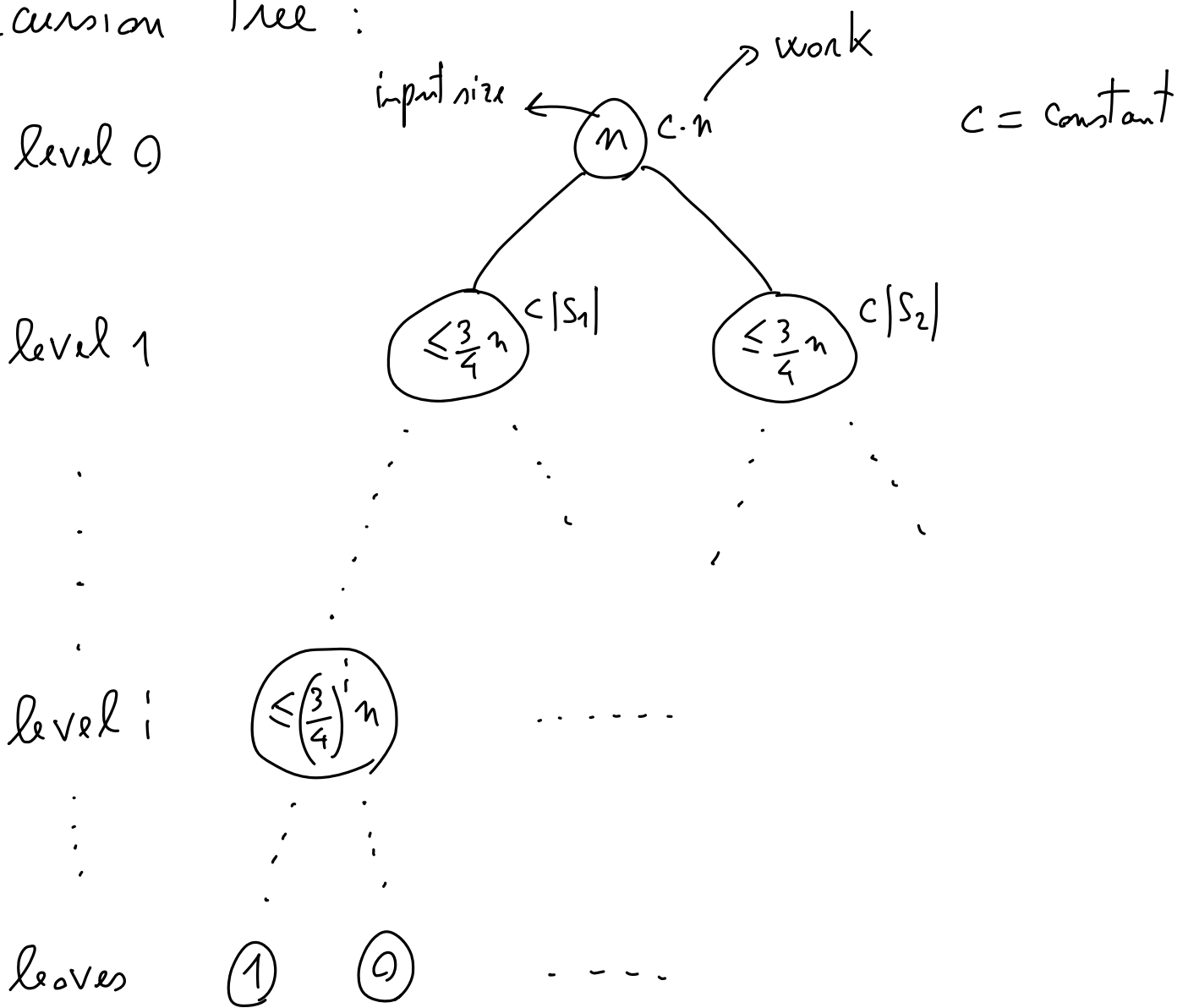
$$\begin{cases} |S_1| \leq \frac{3}{4}n \\ |S_2| \leq \frac{3}{4}n \end{cases}$$

that is, pivot p chosen from



is this good enough for us?

Recursion Tree :



- total work at each level is $\leq c \cdot n$

- depth of the recursion tree = $\min \left\{ \text{integers } i \text{ s.t. } \left(\frac{3}{4}\right)^i n \leq 1 \right\} = \lceil \log_{4/3} n \rceil = O(\log n)$

$$\left(\frac{3}{4}\right)^i n \leq 1 \Leftrightarrow \left(\frac{3}{4}\right)^i \leq \frac{1}{n} \Leftrightarrow \left(\frac{4}{3}\right)^i \geq n$$

$$\Leftrightarrow \log_{4/3} \left(\frac{4}{3}\right)^i \geq \log_{4/3} n \Leftrightarrow i \geq \log_{4/3} n$$

$$\Rightarrow T_{\text{RQS}}(n) = O(n \log n)$$

that is, it's not necessary that S_1 and S_2 be perfectly balanced. I have $\simeq \frac{n}{2}$ "good" choices for the pivot p .

Analysis

hope: depth of the recursion tree $= O(\log n)$ w.h.p.
 that is, all the $\leq n$ distinct root-leaf paths have $O(\log n)$ length w.h.p.

Event "lucky choice of the pivot": pivot chosen between the $(\frac{n}{4} + 1)$ -th order statistic and the $(\frac{3}{4}n)$ -th order statistic

$$P_n(\text{"lucky choice"}) = \frac{\frac{3}{4}n - (\frac{n}{4} + 1) + 1}{n} = \frac{1}{2}$$

Fix one root-leaf path P :

$$\text{Lemma: } \Pr \left(|P| > a \cdot \log_{4/3} n \right) < \frac{1}{n^3}$$

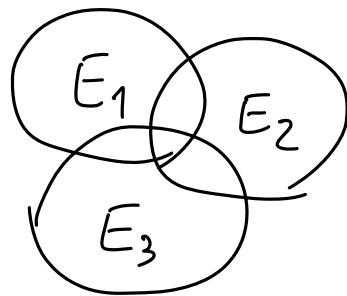
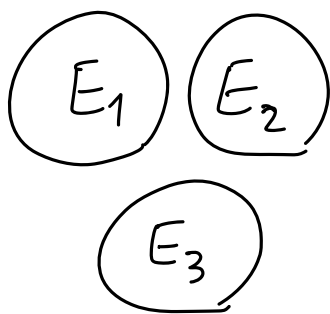
$\hookrightarrow \text{constant}$

if this is true we're done:

Lemma (Union bound): for any random events E_1, E_2, \dots, E_k :

$$\Pr(E_1 \cup E_2 \cup \dots \cup E_k) \leq \Pr(E_1) + \Pr(E_2) + \dots + \Pr(E_k)$$

Proof by picture:



$$\Pr(\text{all root-leaf paths have length} \leq a \cdot \log_{4/3} n) =$$

$$1 - \Pr(\exists \text{ path} > a \cdot \log_{4/3} n) \geq \dots$$

$$\bar{E}_i = \text{path } P_i \text{ has length} > a \cdot \log_{4/3} n$$

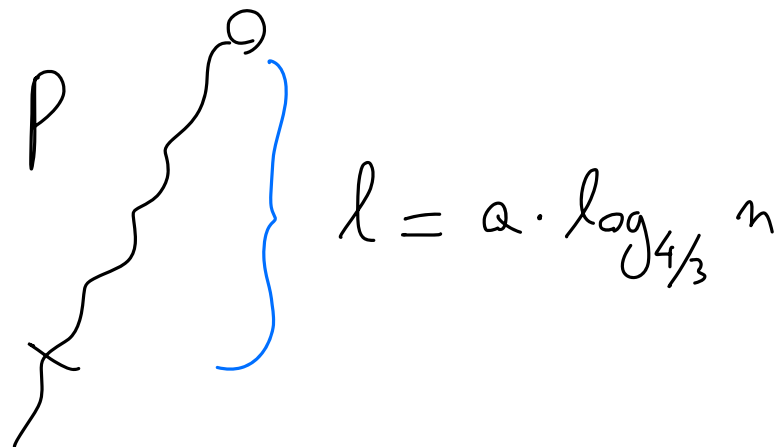
$$\begin{aligned}
 P_n \left(\exists \text{ path} > a \cdot \log_{4/3} n \right) &= P_n \left(\bigcup_{i=1}^n E_i \right) \\
 &\leq \sum_{i=1}^n P_n(E_i) \quad (\text{Union bound}) \\
 &< n \cdot \frac{1}{n^3} \quad (\text{Lemma}) \\
 &= \frac{1}{n^2}
 \end{aligned}$$

$$\dots \geq 1 - \frac{1}{n^2}$$

$$\Rightarrow T_{RQS}(n) = O(n \log n) \quad \text{w.h.p.}$$

It remains to prove that

$$P_n \left(|P| > \underbrace{a \cdot \log_{4/3} n}_{\text{constant}} \right) < \frac{1}{n^3}$$



$E =$ "in the first $l = a \cdot \log_{4/3} n$ vertices of P there have been $< \log_{4/3} n$ lucky choices"

$$X_i \quad 1 \leq i \leq l = a \cdot \log_{4/3} n$$

$X_i = 1$ if at the i -th vertex of P there is a lucky choice of the pivot

$$Pr(X_i = 1) = \frac{1}{2} \quad \forall i$$

X_i are independent

$$Pr\left(\sum_{i=1}^l X_i < \log_{4/3} n\right) \text{ to bound}$$

$$X = \sum_{i=1}^l X_i$$

$$\begin{aligned} \mu &= E[X] = E\left[\sum_{i=1}^l X_i\right] = \sum_{i=1}^l E[X_i] = \\ &= \sum_{i=1}^l \frac{1}{2} = \frac{l}{2} = \frac{a}{2} \cdot \log_{4/3} n \end{aligned}$$

Let's apply this:

$$P_n \left(X < (1-\delta)\mu \right) < e^{-\frac{\mu\delta^2}{2}} \quad 0 < \delta \leq 1$$

$$(1-\delta)\mu = \log_{4/3} n$$

$$(1-\delta) \frac{a}{2} \log_{4/3} n = \log_{4/3} n$$

one possible choice is $a = 8$

$$\delta = \frac{3}{4}$$

$$P_n \left(X < \log_{4/3} n \right) < e^{-\frac{8}{4} \log_{4/3} n \cdot \frac{9}{16}}$$

$$= e^{-\log_{4/3} n \cdot \frac{9}{8}}$$

$$< e^{-\log_{4/3} n}$$

$$= e^{-\frac{\ln n}{\ln 4/3}}$$

$$= \left(e^{-\ln n} \right)^{1/\ln 4/3}$$

$$= \left(\frac{1}{n} \right)^{1/\ln 4/3} \simeq 3.47$$

$$< \frac{1}{n^3}$$