

# Reducibility and r.e. sets

Given two sets  $A, B \subseteq \mathbb{N}$  and  $A \leq_m B$ :

- 1) if  $B$  is r.e. then  $A$  is r.e.
- 2) if  $A$  is not r.e. then  $B$  is not r.e.

## Etymology theorem

\* Recursively enumerable

enumerable / countable

$$|A| \leq |\mathbb{N}|$$

i.e. there is  $f: \mathbb{N} \rightarrow A$  surjective

$f(0) \quad f(1) \quad f(2) \quad \dots$   
 enumeration of  $A$

recursively enumerable  $\stackrel{?}{\equiv}$  enumerable via a computable  $f$

Proposition: Let  $A \subseteq \mathbb{N}$  be a set

$A$  r.e.  $\iff$   $A = \emptyset$  or  $(A = \text{img}(f) \quad f: \mathbb{N} \rightarrow \mathbb{N} \text{ total computable})$   
 $\Rightarrow$

The etymology theorem, which states that a set  $A$  is recursively enumerable if and only if  $A = \text{cod}(f) = \{f(x) \mid x \in \mathbb{N}\}$  for some computable function  $f: \mathbb{N} \rightarrow \mathbb{N}$ , is important here because it provides a key insight into why the set  $A$  in the proposition is not recursively enumerable when  $A \neq \emptyset$  and  $A \neq \mathbb{N}$ .

The proof proceeds by assuming  $A$  is recursively enumerable and then deriving a contradiction. If  $A$  is recursively enumerable, then by the etymology theorem, there exists a computable function  $f: \mathbb{N} \rightarrow \mathbb{N}$  such that  $A = \text{img}(f) = \{f(x) \mid x \in \mathbb{N}\}$ .

Now, we distinguish two cases:

1. If  $A = \emptyset$ , then  $f$  is a total computable function with  $\text{img}(f) = \emptyset$ . However, this is not possible, since  $\text{img}(f)$  must contain at least the elements  $\{f(x) \mid x \in \mathbb{N}\}$ . So  $A$  cannot be empty.
2. If  $A \neq \emptyset$ , then fix some  $a_0 \in A$ . Since  $A = \text{img}(f)$ ,  $f$  must be total, otherwise  $\text{img}(f) \subset A$  which contradicts  $A = \text{img}(f)$ . But then the function  $F$  defined by  $F(x) = a_0$  if  $x \in A$  and

$F(x) = a_0$  otherwise, is total computable, and  $\text{img}(F) = \{a_0\}$ . However,  $\text{img}(F) \neq A$  since we assumed  $A \neq \mathbb{N}$ . This contradicts the assumption that  $A = \text{img}(f)$ .

Therefore, in both cases we arrive at a contradiction by assuming  $A$  is recursively enumerable and  $A \neq \emptyset$  and  $A \neq \mathbb{N}$ . The etymology theorem was key in allowing us to characterize  $A$  as the image of a total computable function  $f$  and leading to these contradictions.

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