

1. Rice's Theorem

1.1 Statement

If A is saturated, $A \neq \emptyset$, and $A \neq \mathbb{N}$, then A is not recursive.

1.2 Analysis Template

To prove A is not recursive using Rice's theorem:

1. Prove A is saturated:
 - Show A describes a property of computed functions
 - Verify $\phi_x = \phi_y \Rightarrow (x \in A \Leftrightarrow y \in A)$
2. Prove $A \neq \emptyset$:
 - Find a computable function with property
 - Give index of such function
3. Prove $A \neq \mathbb{N}$:
 - Find computable function without property
 - Often use undefined function or simple constant
4. Apply Rice's theorem

1.3 Common Applications

1. Properties of domains:

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A = {x | W_x infinite}
A = {x | W_x = N}
A = {x | W_x recursive}
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2. Properties of functions:

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A = {x |  $\phi_x$  total}
A = {x |  $\phi_x$  constant}
A = {x |  $\phi_x$  primitive recursive}
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2. Rice-Shapiro Theorem

2.1 Statement

If $A \subseteq C$ is a set of computable functions and $A = \{x | \phi_x \in A\}$ is r.e., then:

$$\forall f (f \in A \Leftrightarrow \exists \theta \text{ finite function, } \theta \subseteq f \wedge \theta \in A)$$

2.2 Analysis Template

To prove A is not r.e. using Rice-Shapiro:

1. Show one of:
 - a) $\exists f \notin A$ with finite $\theta \subseteq f$, $\theta \in A$
 - b) $\exists f \in A$ where no finite $\theta \subseteq f$ is in A
2. Verify finite functions involved:
 - Define specific finite functions
 - Show containment in A or not
 - Verify extensions exist
3. Apply Rice-Shapiro theorem

2.3 Common Application Patterns

1. Pattern for non-r.e.:

- Find total function $f \notin A$
- Find finite $\theta \subseteq f$ with $\theta \in A$
- Conclude A not r.e.

2. Pattern for non-co-r.e.:

- Find $f \in A$
- Show no finite $\theta \subseteq f$ is in A
- Conclude \bar{A} not r.e.

3. Combined Applications

3.1 Full Analysis Template

For set A :

1. Check if saturated:
 - Property of computed function?
 - Independent of specific indices?
2. If saturated:
 - Apply Rice's theorem for recursiveness
 - Apply Rice-Shapiro for r.e.
3. If not saturated:
 - Use SRT to construct counterexample
 - Find explicit indices with $\phi_x = \phi_y$ but different membership in A

3.2 Example Problems

1. Total functions:

$A = \{x \mid \phi_x \text{ total}\}$

- Saturated: yes (property of function)
 - Non-empty: yes (constant functions)
 - Not \mathbb{N} : yes (undefined function)
- \Rightarrow Not recursive by Rice

- No finite function in A
- \Rightarrow Not r.e. by Rice-Shapiro

2. Finite domain:

$A = \{x \mid W_x \text{ finite}\}$

- Saturated: yes (property of function)
 - Non-empty: yes (constant functions)
 - Not \mathbb{N} : yes (identity function)
- \Rightarrow Not recursive by Rice

- Every finite function has extension in A
 - Identity function not in A but has finite subsets in A
- \Rightarrow Neither A nor \bar{A} r.e. by Rice-Shapiro

4. Common Pitfalls

1. Not verifying saturation:

Always check if property depends on:

- Computed function (saturated)
- Specific program details (not saturated)

2. Wrong finite functions:

Ensure finite functions:

- Are properly defined
- Have correct domain/range
- Actually exist

3. Missing cases:

Check all conditions:

- Non-empty
- Not all of \mathbb{N}
- Finite function existence