

# Computability Exam Solutions

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## Exercise 1

### Rice's Theorem

**Statement:** Let  $A \subseteq \mathbb{N}$  be saturated with  $A \neq \emptyset$  and  $A \neq \mathbb{N}$ . Then  $A$  is not recursive.

**Definition:** A set  $A \subseteq \mathbb{N}$  is saturated (or extensional) if:

$$\forall x, y \in \mathbb{N}: (x \in A \wedge \phi_x = \phi_y) \implies y \in A$$

**Proof:**

We prove that  $K \leq_m A$ , which implies  $A$  is not recursive since  $K$  is not recursive.

Since  $A \neq \emptyset$  and  $A \neq \mathbb{N}$ , there exist indices  $e_0 \notin A$  and  $e_1 \in A$ .

Define  $g : \mathbb{N}^2 \rightarrow \mathbb{N}$  by:

$$g(x, y) = \begin{cases} \phi_{e_1}(y) & \text{if } \phi_x(x) \downarrow \\ \phi_{e_0}(y) & \text{if } \phi_x(x) \uparrow \end{cases}$$

This can be implemented as:

$$g(x, y) = \begin{cases} \phi_{e_1}(y) & \text{if } \exists t. H(x, x, t) \\ \phi_{e_0}(y) & \text{otherwise} \end{cases}$$

Since  $\phi_{e_1}$  and  $\phi_{e_0}$  are computable, and  $H$  is decidable,  $g$  is computable.

By the s-m-n theorem, there exists a total computable function  $s : \mathbb{N} \rightarrow \mathbb{N}$  such that:

$$\phi_{s(x)}(y) = g(x, y)$$

### Verification of the reduction:

- **If  $x \in K$ :** Then  $\phi_x(x) \downarrow$ , so  $\forall y: \phi_{s(x)}(y) = \phi_{e_1}(y)$ , hence  $\phi_{s(x)} = \phi_{e_1}$ . Since  $A$  is saturated and  $e_1 \in A$ , we get  $s(x) \in A$ .
- **If  $x \notin K$ :** Then  $\phi_x(x) \uparrow$ , so  $\forall y: \phi_{s(x)}(y) = \phi_{e_0}(y)$ , hence  $\phi_{s(x)} = \phi_{e_0}$ . Since  $A$  is saturated and  $e_0 \notin A$ , we get  $s(x) \notin A$ .

Therefore,  $x \in K \iff s(x) \in A$ , which means  $K \leq_m A$  via  $s$ .

Since  $K$  is not recursive,  $A$  cannot be recursive.

## Exercise 2

**Analysis of  $f(x) = \varphi_x(x+1) + 1$  if  $\varphi_x(x+1) \downarrow$ ,  $\uparrow$  otherwise**

**Answer: The function  $f$  is computable.**

**Proof:**

The function  $f$  can be computed as follows:

$$f(x) = \varphi_x(x+1) + 1$$

with the understanding that if  $\varphi_x(x+1) \uparrow$ , then  $f(x) \uparrow$ .

**Algorithm to compute  $f(x)$ :**

1. Simulate the computation of  $\varphi_x(x+1)$
2. If  $\varphi_x(x+1)$  converges to value  $v$ , return  $v + 1$
3. If  $\varphi_x(x+1)$  diverges, then  $f(x)$  diverges

**Formal implementation:**

$$f(x) = \Psi_u(x, x+1) + 1$$

where  $\Psi_u$  is the universal function.

Since the universal function  $\Psi_u$  is computable and addition is computable,  $f$  is computable.

**Key insight:** The function  $f$  is simply the composition of:

- The universal function  $(x,y) \mapsto \varphi_x(y)$  applied to  $(x, x+1)$
- The successor function

Both operations preserve computability, so  $f$  is computable.

## Exercise 3

**Classification of  $A = \{x \mid \varphi_x \text{ strictly increasing}\}$**

A function  $f$  is strictly increasing if  $\forall y, z \in \text{dom}(f): y < z \implies f(y) < f(z)$ .

**$A$  is saturated:**  $A = \{x \mid \varphi_x \in A\}$  where  $A = \{f \mid f \text{ is strictly increasing}\}$ .

**$A$  is not r.e.:** We use Rice-Shapiro theorem. Consider the identity function  $\text{id}$ , which is strictly increasing, so  $\text{id} \in A$ .

Consider any finite function  $\theta \subseteq \text{id}$ . While  $\theta$  might be strictly increasing on its finite domain, the key insight is that we can find finite functions that are not strictly increasing.

Actually, let me be more careful. Consider  $f(x) = x^2$  (strictly increasing). Then  $f \in A$ .

Consider the finite function  $\theta = \{(0,0), (1,0)\} \subseteq f$ . This  $\theta$  is not strictly increasing since  $\theta(0) = \theta(1) = 0$ , violating the strict inequality requirement.

Since  $f \in A$  and  $\exists$  finite  $\theta \subseteq f$  with  $\theta \notin A$ , by Rice-Shapiro theorem,  $A$  is not r.e.

**$\bar{A}$  is not r.e.:** Consider the constant function  $g(x) = 0$ . This function is not strictly increasing since it's constant, so  $g \notin A$ .

For any finite  $\theta \subseteq g$ , we have  $\theta : \text{dom}(\theta) \rightarrow \{0\}$ . Such a function cannot be strictly increasing unless  $|\text{dom}(\theta)| \leq 1$ .

The empty function  $\emptyset$  is vacuously strictly increasing, so  $\emptyset \in A$ .

Any function  $\theta = \{(k,0)\}$  for  $k \in \mathbb{N}$  is also strictly increasing on its single-element domain.

Since  $g \notin A$  and  $\exists$  finite  $\theta \subseteq g$  with  $\theta \in A$ , by Rice-Shapiro theorem,  $\bar{A}$  is not r.e.

**Final classification:**  $A$  and  $\bar{A}$  are both not r.e. (and hence not recursive).

## Exercise 4

**Classification of  $B = \{x \mid \forall m \in \mathbb{N}. m \cdot x \in W_x\}$**

**$B$  is not r.e.:** The condition requires that ALL multiples of  $x$  are in  $W_x$ . This universal quantification over an infinite set typically leads to non-r.e. sets.

We can show  $\bar{K} \leq_m B$ . Define  $g : \mathbb{N}^2 \rightarrow \mathbb{N}$  by:

```
g(x,y) = {
  y      if x ∉ K and y = k·x for some k ∈ ℕ
  ↑
  otherwise
}
```

By s-m-n theorem,  $\exists$  such that  $\varphi_{s(x)}(y) = g(x,y)$ .

- If  $x \notin K$ :  $\varphi_x(x) \uparrow$ , so  $W_{s(x)} = \{k \cdot x : k \in \mathbb{N}\}$ , hence  $\forall m: m \cdot x \in W_{s(x)}$ , so  $s(x) \in B$
- If  $x \in K$ :  $\varphi_x(x) \downarrow$ , so  $W_{s(x)} = \emptyset$ , hence  $\exists m: m \cdot x \notin W_{s(x)}$  (any  $m$ ), so  $s(x) \notin B$

Wait, I need to be more careful about  $s(x)$  vs  $x$  in the multiples.

Let me redefine: if  $x = 0$ , then  $m \cdot x = 0$  for all  $m$ , which is manageable. For  $x > 0$ :

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g(x,y) = {
  y      if x ∉ K and ∃k ∈ ℕ: y = k · s(x)
  ↑      otherwise
}

```

This becomes circular. Let me use a different approach.

**Alternative reduction:** Show that the totality problem reduces to B.

**$\bar{B}$  is r.e.:**

$$x \in \bar{B} \iff \exists m \in \mathbb{N}. m \cdot x \notin W_x$$

This can be semi-decided by searching for some multiple of x that never appears in  $W_x$ , though proving non-membership is difficult.

**Final classification:** B is not r.e.;  $\bar{B}$  might be r.e. depending on detailed analysis.

## Exercise 5

**Second Recursion Theorem and proof that  $\exists x$  such that  $\varphi_x(y) = x \div y$**

**Second Recursion Theorem:** For every total computable function  $f : \mathbb{N} \rightarrow \mathbb{N}$ , there exists  $e_0 \in \mathbb{N}$  such that  $\varphi_{e_0} = \varphi_{f(e_0)}$ .

**Proof of existence:**

Define  $g : \mathbb{N}^2 \rightarrow \mathbb{N}$  by:

$$g(x,y) = x \div y$$

This function is computable since proper subtraction is primitive recursive.

By the s-m-n theorem, there exists a total computable function  $s : \mathbb{N} \rightarrow \mathbb{N}$  such that:

$$\phi_{s(x)}(y) = g(x,y) = x \div y$$

Define  $f(x) = s(x)$ . Then  $f$  is total and computable.

By the Second Recursion Theorem, there exists  $e$  such that:

$$\phi_e = \phi_{f(e)} = \phi_{s(e)}$$

For this  $e$ , we have:

$$\phi_e(y) = \phi_{s(e)}(y) = g(e,y) = e \div y$$

Therefore,  $x = e$  is the desired index such that  $\varphi_x(y) = x \div y$ .