

Computability Exam Solutions

March 23, 2011

Exercise 1

Statement of the s-m-n theorem

For every $m, n \geq 1$, there exists a total computable function $s_{\{m,n\}} : \mathbb{N}^{m+1} \rightarrow \mathbb{N}$ such that for all $e \in \mathbb{N}$, $\vec{x} \in \mathbb{N}^m$, $\vec{y} \in \mathbb{N}^n$:

$$\phi_e^{\{(m+n)\}}(\vec{x}, \vec{y}) = \phi_{s_{\{m,n\}}(e, \vec{x})}^{\{(n)\}}(\vec{y})$$

Informal proof using encoding/decoding

The key idea is that we can "pre-load" some arguments into a program.

Given a program P_e that computes $\phi_e^{\{(m+n)\}}$, we want to construct a program $P_{\{s(e, \vec{x})\}}$ that computes the function $\lambda \vec{y}. \phi_e^{\{(m+n)\}}(\vec{x}, \vec{y})$.

Construction:

- Encoding step:** Given e and fixed values $\vec{x} = (x_1, \dots, x_m)$, we construct a new program $P_{\{s(e, \vec{x})\}}$ that:
 - First stores the values x_1, \dots, x_m in designated registers
 - Then takes input $\vec{y} = (y_1, \dots, y_n)$ in the standard input registers
 - Calls the original program P_e with the combined input (\vec{x}, \vec{y})
- Effective construction:** The function $s_{\{m,n\}}(e, \vec{x})$ can be computed by:
 - Taking the program code for P_e
 - Prepending instructions that load x_1, \dots, x_m into registers
 - Adjusting register numbering and jump addresses appropriately
 - Encoding the resulting program to get index $s_{\{m,n\}}(e, \vec{x})$
- Computability:** Since we can effectively manipulate program codes (using encoding/decoding of URM programs), and the transformation is algorithmic, $s_{\{m,n\}}$ is computable.

The theorem holds because the constructed program $P_{\{s(e, \vec{x})\}}$ computes exactly $\phi_e^{\{(m+n)\}}(\vec{x}, \vec{y})$ when given input \vec{y} .

Exercise 2

Question: Does there exist a non-computable increasing function?

A function $f : \mathbb{N} \rightarrow \mathbb{N}$ is increasing if it's total and $\forall x, y \in \mathbb{N}: x \leq y \implies f(x) \leq f(y)$.

Answer: Yes, such functions exist.

Construction:

Define $f : \mathbb{N} \rightarrow \mathbb{N}$ by:

$$f(x) = x + |\{y \leq x : y \in K\}|$$

where K is the halting set.

Verification:

1. **f is total:** For each x , the set $\{y \leq x : y \in K\}$ is finite, so its cardinality is well-defined.

2. **f is increasing:** If $x \leq x'$, then $\{y \leq x : y \in K\} \subseteq \{y \leq x' : y \in K\}$, so:

$$f(x) = x + |\{y \leq x : y \in K\}| \leq x' + |\{y \leq x' : y \in K\}| = f(x')$$

3. **f is not computable:** If f were computable, we could decide K as follows:

To decide if $x \in K$:

- Compute $f(x)$ and $f(x-1)$ (if $x > 0$)
- If $f(x) > f(x-1) + 1$, then $x \in K$
- Otherwise $x \notin K$

This would contradict the undecidability of K .

Therefore, non-computable increasing functions exist.

Exercise 3

Classification of $A = \{x \in \mathbb{N} : W_x \cap E_x = \emptyset\}$

The set A contains indices of functions whose domain and codomain are disjoint.

A is r.e.:

$$sc_a(x) = 1(\mu(y, z, t). H(x, y, t) \wedge S(x, z, y, t))$$

This searches for evidence of a contradiction: $y \in W_x$ and $y \in E_x$. If such evidence is never found, $x \in A$.

Actually, this is backwards. Let me reconsider. We want:

$$x \in A \iff W_x \cap E_x = \emptyset \iff \neg \exists y. (y \in W_x \wedge y \in E_x)$$

Since we need to show the absence of intersection elements, A is actually **not r.e.**

A is not r.e.: We show $K \leq_m \bar{A}$. Define $g : \mathbb{N}^2 \rightarrow \mathbb{N}$ by:

$$g(x,y) = \begin{cases} y & \text{if } x \in K \\ \uparrow & \text{if } x \notin K \end{cases}$$

By s-m-n theorem, $\exists s$ such that $\varphi_{s(x)}(y) = g(x,y)$.

- If $x \in K$: $W_{s(x)} = E_{s(x)} = \mathbb{N}$, so $W_{s(x)} \cap E_{s(x)} = \mathbb{N} \neq \emptyset$, hence $s(x) \notin A$
- If $x \notin K$: $W_{s(x)} = E_{s(x)} = \emptyset$, so $W_{s(x)} \cap E_{s(x)} = \emptyset$, hence $s(x) \in A$

This gives $K \leq_m \bar{A}$, so \bar{A} is not r.e., hence A is not recursive.

\bar{A} is r.e.:

$$sc\bar{A}(x) = 1(\mu(y,z,t). H(x,y,t) \wedge S(x,z,y,t))$$

This searches for y such that $y \in W_x \cap E_x$.

Final classification: A is not r.e.; \bar{A} is r.e. but not recursive.

Exercise 4

Classification of $B = \{x \in \mathbb{N} : \exists y > x. y \in E_x\}$

B is r.e.:

$$scB(x) = 1(\mu(y,z,t). y > x \wedge S(x,z,y,t))$$

This searches for $y > x$ and z,t such that $\varphi_x(z) = y$ in t steps.

B is not recursive: We show $K \leq_m B$. Define $g : \mathbb{N}^2 \rightarrow \mathbb{N}$ by:

$$g(x,y) = \begin{cases} x + 1 & \text{if } x \in K \\ \uparrow & \text{if } x \notin K \end{cases}$$

By s-m-n theorem, $\exists s$ such that $\varphi_{s(x)}(y) = g(x,y)$.

- If $x \in K$: $E_{s(x)} = \{x + 1\}$, and since $x + 1 > x$, we have $s(x) \in B$
- If $x \notin K$: $E_{s(x)} = \emptyset$, so no $y > x$ exists in $E_{s(x)}$, hence $s(x) \notin B$

This gives $K \leq_m B$, so B is not recursive.

\bar{B} is not r.e.: Since B is r.e. but not recursive, \bar{B} is not r.e.

Final classification: B is r.e. but not recursive; \bar{B} is not r.e.

Exercise 5

Second Recursion Theorem

For every total computable function $f : \mathbb{N} \rightarrow \mathbb{N}$, there exists $e_0 \in \mathbb{N}$ such that:

$$\phi_{e_0} = \phi_f(e_0)$$

Proof that $\exists n \in \mathbb{N}$ such that ϕ_n is total and $|E_n| = n$

We use the Second Recursion Theorem with a carefully constructed function.

Define $h : \mathbb{N}^2 \rightarrow \mathbb{N}$ by:

$$h(x,y) = \begin{cases} \lfloor y/x \rfloor & \text{if } x > 0 \text{ and } y < x^2 \\ \uparrow & \text{otherwise} \end{cases}$$

For fixed $x > 0$, this function has:

- Domain: $\{0, 1, 2, \dots, x^2 - 1\}$
- Codomain: $\{0, 1, 2, \dots, x - 1\}$
- $|\text{Domain}| = x^2$, $|\text{Codomain}| = x$

By s-m-n theorem, $\exists s : \mathbb{N} \rightarrow \mathbb{N}$ total computable such that $\phi_{s(x)}(y) = h(x,y)$.

Define $f(x) = s(x)$. By the Second Recursion Theorem, $\exists n$ such that $\phi_n = \phi_f(n) = \phi_{s(n)}$.

For this n :

- $\phi_n(y) = h(n,y)$ which is total on $\{0, 1, \dots, n^2 - 1\}$ and undefined elsewhere
- $E_n = \{0, 1, 2, \dots, n - 1\}$
- $|E_n| = n$

If we want ϕ_n to be total, we need to modify the construction. Define instead:

$$h(x,y) = y \bmod x \quad (\text{for } x > 0)$$

Then ϕ_n is total, $W_n = \mathbb{N}$, $E_n = \{0, 1, \dots, n-1\}$, and $|E_n| = n$.