

1. Preliminaries

1.1 Key Definitions

- A set $A \subseteq \mathbb{N}$ is **recursive** if its characteristic function χ_A is computable:

$$\chi_A(x) = \begin{cases} 1 & \text{if } x \in A \\ 0 & \text{if } x \notin A \end{cases}$$

- A set $A \subseteq \mathbb{N}$ is **recursively enumerable (r.e.)** if its semi-characteristic function sc_A is computable:

$$sc_A(x) = \begin{cases} 1 & \text{if } x \in A \\ \uparrow & \text{if } x \notin A \end{cases}$$

- A set $A \subseteq \mathbb{N}$ is **saturated** if for all $x, y \in \mathbb{N}$:

$$x \in A \wedge \phi_x = \phi_y \Rightarrow y \in A$$

1.2 Key Theorems

- Rice's Theorem:** If A is saturated, $A \neq \emptyset$, and $A \neq \mathbb{N}$, then A is not recursive.
- Rice-Shapiro Theorem:** If $A \subseteq C$ is a set of computable functions and $A = \{x \mid \varphi_x \in A\}$ is r.e., then:
$$\forall f (f \in A \iff \exists \theta \text{ finite function, } \theta \subseteq f \wedge \theta \in A)$$

2. Analysis Framework

2.1 Is a Set Recursive?

To determine if set A is recursive, follow these steps:

- Try to prove it's recursive by:
 - Writing a computable characteristic function
 - Showing both A and \bar{A} are r.e.
- If you suspect it's not recursive:

- Try to reduce from a known non-recursive set (often K or \bar{K})
- Show $K \leq_m A$ or $\bar{K} \leq_m A$
- If the set is saturated, apply Rice's theorem

Example:

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To prove  $A = \{x \mid \phi_x \text{ is total}\}$  is not recursive:
1. Show it's saturated
2. Show  $A \neq \emptyset$  (e.g., constant functions are total)
3. Show  $A \neq \mathbb{N}$  (e.g., the always undefined function)
4. Apply Rice's theorem
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2.2 Is a Set R.E.?

To determine if set A is r.e., follow these steps:

1. Try to prove it's r.e. by:
 - Writing a computable semi-characteristic function
 - Using closure properties (union, intersection of r.e. sets is r.e.)
2. If you suspect it's not r.e.:
 - Try to reduce from \bar{K} if possible ($\bar{K} \leq_m A$)
 - Apply Rice-Shapiro theorem for saturated sets
 - Show it contains a finite function but not its total extension (or vice versa)

Example:

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To prove  $A = \{x \mid \phi_x(x) \downarrow\}$  is r.e.:
 $sc_A(x) = 1(\phi_x(x)) = 1(\psi_U(x, x))$ 
which is computable by definition
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2.3 Is a Set Saturated?

To determine if set A is saturated, follow these steps:

1. Check if the set describes a property of the computed function rather than the program:
 - If it depends on the function's I/O behavior, likely saturated
 - If it depends on program specifics (steps, resources), likely not saturated
2. To prove it's not saturated:
 - Find x, y where $\varphi_x = \varphi_y$ but $x \in A$ and $y \notin A$
 - Often use the Second Recursion Theorem to construct such programs

Example:

To prove K is not saturated:

1. Use SRT to find e such that:

$$\phi_e(y) = \begin{cases} 0 & \text{if } y = e \\ \uparrow & \text{otherwise} \end{cases}$$

2. Find $e' \neq e$ with $\phi_e = \phi_{e'}$

3. Show $e \in K$ but $e' \notin K$

3. Common Reduction Techniques

When reducing $A \leq_m B$:

1. Define a computable function f
2. Use the s-m-n theorem to get a computable total function s
3. Prove that $x \in A \iff s(x) \in B$

Example template:

1. Define $g(x,y) = \begin{cases} [\text{something}] & \text{if } x \in K \\ \uparrow & \text{otherwise} \end{cases}$

2. By s-m-n theorem, $\exists s: \mathbb{N} \rightarrow \mathbb{N}$ total computable where $\phi_{s(x)}(y) = g(x,y)$

3. Prove $x \in K \iff s(x) \in B$

4. Common Pitfalls

1. Don't assume a set is recursive just because its complement is r.e.
2. Remember that Rice's theorem only applies to saturated sets
3. For Rice-Shapiro, check both conditions:
 - Functions in A with no finite subfunctions in A
 - Finite functions in A with no extension in A