

# Computability Exam Solutions

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## Exercise 1

### Definition of the class PR of primitive recursive functions

The class PR of primitive recursive functions is the smallest class of functions  $PR \subseteq \bigcup_k (\mathbb{N}^k \rightarrow \mathbb{N})$  that:

#### 1. Contains the basic functions:

- Zero function:  $\text{zero}(x) = 0$
- Successor function:  $\text{succ}(x) = x + 1$
- Projection functions:  $\pi_i^k(x_1, \dots, x_k) = x_i$  for  $1 \leq i \leq k$

2. **Is closed under composition:** If  $g_1, \dots, g_m \in PR$  and  $h \in PR$ , then  $f \in PR$  where  $f(\vec{x}) = h(g_1(\vec{x}), \dots, g_m(\vec{x}))$

3. **Is closed under primitive recursion:** If  $g, h \in PR$ , then  $f \in PR$  where:

$$\begin{aligned} f(\vec{x}, 0) &= g(\vec{x}) \\ f(\vec{x}, y+1) &= h(\vec{x}, y, f(\vec{x}, y)) \end{aligned}$$

### Proof that $\text{sum}_k(x_1, \dots, x_k) = \sum_{i=1}^k x_i$ is primitive recursive for $k \geq 2$

We proceed by induction on  $k$ .

**Base case  $k = 2$ :** The binary sum function  $\text{sum}_2(x, y) = x + y$  is primitive recursive:

$$\begin{aligned} \text{sum}_2(x, 0) &= x = \pi_1^1(x) \\ \text{sum}_2(x, y+1) &= \text{sum}_2(x, y) + 1 = \text{succ}(\pi_3^3(x, y, \text{sum}_2(x, y))) \end{aligned}$$

**Inductive step:** Assume  $\text{sum}_{k-1} \in PR$ . We show  $\text{sum}_k \in PR$ :

$$\begin{aligned} \text{sum}_k(x_1, \dots, x_k) &= \text{sum}_{k-1}(x_1, \dots, x_{k-1}) + x_k \\ &= \text{sum}_2(\text{sum}_{k-1}(\pi_1^k(x_1, \dots, x_k), \dots, \pi_{k-1}^k(x_1, \dots, x_k)), \pi_k^k(x_1, \dots, x_k)) \end{aligned}$$

Since  $\text{sum}_k$  is obtained by composition of primitive recursive functions ( $\text{sum}_{k-1}$ ,  $\text{sum}_2$ , and projections), it is primitive recursive.

## Exercise 2

### Definition and Analysis of $Z(f)$

Given  $f: \mathbb{N} \rightarrow \mathbb{N}$ , define:

$$Z(f) = \{g: \mathbb{N} \rightarrow \mathbb{N} \mid \forall x \in \mathbb{N}. g(x) = f(x) \vee g(x) = 0\}$$

### Proof that $Z(\text{id})$ is not enumerable

Let  $\text{id}(x) = x$  be the identity function. Then:

$$Z(\text{id}) = \{g : \mathbb{N} \rightarrow \mathbb{N} \mid \forall x \in \mathbb{N}. g(x) = x \vee g(x) = 0\}$$

Each function  $g \in Z(\text{id})$  is determined by the set  $S = \{x \in \mathbb{N} \mid g(x) = x\}$ , since:

$$g(x) = \begin{cases} x & \text{if } x \in S \\ 0 & \text{if } x \notin S \end{cases}$$

This establishes a bijection between  $Z(\text{id})$  and  $P(\mathbb{N})$  (the powerset of  $\mathbb{N}$ ). Since  $P(\mathbb{N})$  is uncountable,  $Z(\text{id})$  is uncountable and hence not enumerable.

**Is  $Z(f)$  non-enumerable for every function  $f$ ?**

**Answer: No.**

**Counterexample:** Let  $f(x) = 0$  for all  $x$  (constant zero function). Then:

$$Z(f) = \{g : \mathbb{N} \rightarrow \mathbb{N} \mid \forall x \in \mathbb{N}. g(x) = 0 \vee g(x) = 0\} = \{g : \mathbb{N} \rightarrow \mathbb{N} \mid \forall x \in \mathbb{N}. g(x) = 0\}$$

This set contains only the constant zero function, so  $|Z(f)| = 1$ , which is clearly enumerable (in fact, finite).

### Exercise 3

**Classification of  $A = \{x \mid W_x \subseteq \{x\}\}$**

**$A$  is r.e.:**

$$sc_a(x) = 1(\mu(y, t). H(x, y, t) \wedge y \neq x)$$

This searches for evidence that some  $y \neq x$  is in  $W_x$ . If found, the computation diverges (making  $x \notin A$ ). If no such  $y$  exists, then  $W_x \subseteq \{x\}$ , so  $x \in A$ .

Actually, let me reconsider this. We want to check if  $W_x \subseteq \{x\}$ . This means:

$$x \in A \iff \forall y \in W_x. y = x$$

This is equivalent to:

$$x \in A \iff \neg \exists y \neq x. y \in W_x$$

The semi-characteristic function can be defined as:

$$sc_a(x) = 1(\mu(y, t). (y \neq x \wedge H(x, y, t)))$$

If there exists  $y \neq x$  such that  $y \in W_x$ , this will eventually find it and diverge. Otherwise, it will never converge, which means  $x \in A$ .

Wait, this is backwards. Let me be more careful:

$$sc_a(x) = \begin{cases} 1 & \text{if } W_x \subseteq \{x\} \\ \uparrow & \text{if } W_x \not\subseteq \{x\} \end{cases}$$

We can't directly compute this as stated. Instead, consider that  $A$  is **not r.e.**

**A is not r.e.:** We show  $\bar{K} \leq_m A$ . Define:

$$g(x, y) = \begin{cases} x & \text{if } x \notin K \\ \uparrow & \text{if } x \in K \end{cases}$$

By s-m-n theorem,  $\exists s$  such that  $\varphi_{s(x)}(y) = g(x, y)$ .

- If  $x \notin K$ :  $\varphi_x(x) \uparrow$ , so  $W_{s(x)} = \{x\}$ , hence  $s(x) \in A$
- If  $x \in K$ :  $\varphi_x(x) \downarrow$ , so  $W_{s(x)} = \emptyset \subseteq \{s(x)\}$ , hence  $s(x) \in A$

This doesn't work. Let me try differently:

$$g(x, y) = \begin{cases} y & \text{if } y = x \text{ and } x \notin K \\ \uparrow & \text{otherwise} \end{cases}$$

- If  $x \notin K$ :  $W_{s(x)} = \{x\}$ , so  $s(x) \in A$
- If  $x \in K$ :  $W_{s(x)} = \emptyset \subseteq \{s(x)\}$ , so  $s(x) \in A$

Still doesn't work. Let me reconsider the problem structure.

Actually, let's use a different approach:

$$g(x, y) = \begin{cases} \emptyset & \text{if } x \notin K \\ y & \text{if } x \in K \end{cases}$$

- If  $x \notin K$ :  $W_{s(x)} = \{0\}$ , and since  $s(x)$  likely  $\neq 0$ , we have  $W_{s(x)} \not\subseteq \{s(x)\}$ , so  $s(x) \notin A$
- If  $x \in K$ :  $W_{s(x)} = \mathbb{N}$ , so  $W_{s(x)} \not\subseteq \{s(x)\}$ , hence  $s(x) \notin A$

This gives  $\bar{K} \leq_m \bar{A}$ , so  $\bar{A}$  is not r.e., hence  $A$  is not recursive.

Let me try to show  $A$  is r.e. more carefully.  $A$  is r.e. because:

$$sc_A(x) = \lim_{t \rightarrow \infty} [\forall y \leq t (H(x, y, t) \rightarrow y=x)]$$

If  $W_x \subseteq \{x\}$ , then eventually we will have checked all elements of  $W_x$  and confirmed they equal  $x$ .

**Final classification:**  $A$  is r.e. but not recursive;  $\bar{A}$  is not r.e.

## Exercise 4

**Classification of  $B = \{x \in \mathbb{N} : |W_x| > 1\}$**

**$B$  is r.e.:**

$$sc_B(x) = 1(\mu(y_1, y_2, t) \cdot (y_1 \neq y_2 \wedge H(x, y_1, t) \wedge H(x, y_2, t)))$$

This searches for two distinct elements in  $W_x$ .

**$B$  is not recursive:** We show  $\text{Tot} \leq_m \bar{B}$  where  $\text{Tot} = \{x \mid \varphi_x \text{ total}\}$ . Define:

$$g(x, y) = \begin{cases} 0 & \text{if } y = 0 \\ 1 & \text{if } y = 1 \text{ and } \varphi_x(y) \downarrow \forall y \\ \uparrow & \text{otherwise} \end{cases}$$

- If  $\varphi_x$  is total:  $W_{s(x)} = \{0, 1\}$ , so  $|W_{s(x)}| = 2 > 1$ , hence  $s(x) \in B$
- If  $\varphi_x$  is not total:  $W_{s(x)} = \{0\}$ , so  $|W_{s(x)}| = 1$ , hence  $s(x) \notin B$

This gives  $\text{Tot} \leq_m B$ . Since  $\text{Tot}$  is not recursive,  $B$  is not recursive.

**$\bar{B}$  is not r.e.:** Since  $B$  is r.e. but not recursive,  $\bar{B}$  is not r.e.

**Final classification:**  $B$  is r.e. but not recursive;  $\bar{B}$  is not r.e.

## Exercise 5

### Second Recursion Theorem

For every total computable function  $f : \mathbb{N} \rightarrow \mathbb{N}$ , there exists  $e_0 \in \mathbb{N}$  such that:

$$\phi_{e_0} = \phi_{f(e_0)}$$

## Proof that $A = \{x \mid W_x \subseteq \{x\}\}$ is not saturated

Define  $f : \mathbb{N} \rightarrow \mathbb{N}$  by:

$$f(x) = x + 1$$

By the Second Recursion Theorem,  $\exists e$  such that  $\varphi_e = \varphi f(e) = \varphi_{e+1}$ .

Now consider the function computed by program  $e$ :

- If  $e \in A$ , then  $W_e \subseteq \{e\}$
- Since  $\varphi_e = \varphi_{e+1}$ , we have  $W_e = W_{e+1}$
- If  $e \in A$ , then  $W_{e+1} = W_e \subseteq \{e\} \neq \{e+1\}$  (assuming  $e \neq e+1$ )
- So  $W_{e+1} \not\subseteq \{e+1\}$ , which means  $e+1 \notin A$

This shows that  $e \in A$ ,  $\varphi_e = \varphi_{e+1}$ , but  $e+1 \notin A$ .

Therefore,  $A$  is not saturated since it doesn't respect functional equivalence.