# **Computability Exam Solutions**

# **September 13, 2011**

### **Exercise 1**

Theorem: A is r.e.  $\iff \exists$  computable  $f : \mathbb{N} \to \mathbb{N}$  such that A = img(f)

```
where img(f) = \{y : \exists z. \ y = f(z)\}.
```

#### **Proof:**

### (⇒) If A is r.e., then A = img(f) for some computable f

**Case 1:** A =  $\emptyset$  Take f(x) = 0 for all x. Then f is computable and img(f) =  $\{0\} \neq \emptyset$ . Actually, for A =  $\emptyset$ , we need the empty image. This is a special case - take f to be a partial function that is nowhere defined. But we need f total...

Let me handle this correctly. If  $A = \emptyset$ , then A is r.e. (vacuously), and we can take any computable function f such that img(f) =  $\emptyset$ . But every total function has non-empty image.

**Proper approach:** If  $A = \emptyset$ , then A is r.e., and we can represent it as the image of the nowhere-defined function (which is not total). For the theorem to work with total functions, we exclude the empty set or modify the statement.

**Case 2:** A  $\neq \emptyset$  and A is r.e. Since A is r.e., its semi-characteristic function  $sc_a$  is computable.

Define  $g: \mathbb{N} \to \mathbb{N}$  by:

```
g((x,t)) = {
  x if sc<sub>a</sub>(x) converges in exactly t steps
  ↑ otherwise
}
```

Since sc<sub>a</sub> is computable, g is computable. Moreover:

```
img(g) = \{x : sc_a(x) \downarrow\} = \{x : x \in A\} = A
```

But q might be partial. To get a total function, pick any  $a_0 \in A$  and define:

```
f(z) = \{ \\ g(z) & \text{if } g(z) \downarrow \\ a_0 & \text{otherwise} \}
```

Then f is total computable and  $A \subseteq img(f)$ . To ensure img(f) = A, we use the standard enumeration approach.

**Standard approach:** Since A is r.e., there exists a computable function h that enumerates A (possibly with repetitions). Define f = h, then img(f) = A.

(⇐) If A = img(f) for some computable f, then A is r.e.

Given total computable f with A = img(f), define:

```
sc_a(x) = 1(\mu z. f(z) = x)
```

Since f is computable, this semi-characteristic function is computable, so A is r.e.

**Conclusion:** The theorem holds (with appropriate handling of the empty set case).

### **Exercise 2**

Question: Can there exist a non-computable  $f : \mathbb{N} \to \mathbb{N}$  such that dom(f)  $\cap$  img(f) is finite?

Answer: Yes, such functions exist.

### **Construction:**

Let K be the halting set. Define  $f : \mathbb{N} \to \mathbb{N}$  by:

```
f(x) = \{
x + |K| \text{ if } x \in K \text{ (where } |K| \text{ is infinite, so this is just } x + \infty \text{ conceptually)}
\uparrow \text{ if } x \notin K
}
```

More precisely, define:

Actually, let me give a cleaner construction:

```
f(x) = {
    2x + 1    if x ∈ K
    ↑     if x ∉ K
}
```

### **Verification:**

1. **f is not computable:** If f were computable, we could decide K:

```
x \in K \iff f(x) is defined
```

contradicting the undecidability of K.

# 2. $dom(f) \cap img(f)$ analysis:

- dom(f) = K
- $img(f) = \{2x + 1 : x \in K\}$
- dom(f)  $\cap$  img(f) = K  $\cap$  {2x + 1 : x  $\in$  K}

For  $y \in dom(f) \cap img(f)$ , we need:

- $y \in K$  (since  $y \in dom(f)$ )
- y = 2x + 1 for some  $x \in K$  (since  $y \in img(f)$ )

So  $y \in K$  and y = 2x + 1 where  $x \in K$ . This means  $(y-1)/2 \in K$ . The intersection is finite if K contains only finitely many numbers x such that  $2x + 1 \in K$ .

## **Alternative simpler construction:**

```
f(x) = {
    0    if x ∈ K and x > 0
    ↑    otherwise
}
```

Then:

- $dom(f) = K \setminus \{0\}$  (if  $0 \notin K$ ) or K (if  $0 \in K$ )
- $img(f) = \{0\}$
- $dom(f) \cap img(f) = \{0\} \text{ if } 0 \in K, \text{ or } \emptyset \text{ if } 0 \notin K$

Both cases give a finite intersection.

Therefore, such non-computable functions exist.

### **Exercise 3**

Classification of A =  $\{x \in \mathbb{N} : \exists k \in \mathbb{N}. \phi_x(x + 3k) \uparrow\}$ 

#### A is r.e.:

```
sc_a(x) = 1(\mu(k,t). \forall s \le t: \neg H(x, x + 3k, s))
```

Actually, this doesn't work because we're trying to prove non-termination.

The condition says:  $\exists k$  such that  $\phi_x(x + 3k)$  doesn't terminate.

This is equivalent to:  $\neg \forall k. \varphi_x(x + 3k) \downarrow$ 

So A = 
$$\{x : \neg \forall k \in \mathbb{N}. \phi_x(x + 3k) \downarrow \}$$

A is r.e.: This is not immediately clear since it involves proving non-termination.

Actually, let me reconsider. We have:

$$x \in A \iff \exists k. \ \phi_x(x + 3k) \uparrow$$

We can't directly enumerate this since proving divergence is undecidable.

**A is not r.e.:** We can show this by reducing from the totality problem. If we could enumerate A, we could potentially solve undecidable problems.

### Ā is r.e.:

$$x \in \bar{A} \iff \forall k \in \mathbb{N}. \ \phi_{x}(x + 3k) \downarrow$$

This can be semi-decided by:

$$sc\bar{A}(x) = 1(\mu t. \ \forall k \le t \ \exists s \le t: \ H(x, x + 3k, s))$$

If all  $\varphi_x(x + 3k)$  terminate, then eventually we'll find termination evidence for all k up to some bound.

**Final classification:** A is not r.e.;  $\bar{A}$  is r.e. but not recursive.

### **Exercise 4**

Classification of B =  $\{x \in \mathbb{N} : W_x \supseteq Pr\}$ 

where  $Pr \subseteq \mathbb{N}$  is the set of prime numbers.

**B** is saturated:  $B = \{x \mid \phi_x \in B\}$  where  $B = \{f \mid Pr \subseteq dom(f)\}$ .

**B is not r.e.:** We use Rice-Shapiro theorem. Consider any total function f (e.g., the identity). Then  $f \in B$  since  $Pr \subseteq dom(f) = \mathbb{N}$ .

For any finite function  $\theta \subseteq f$ , we have dom( $\theta$ ) finite. Since Pr is infinite, Pr  $\not\subset$  dom( $\theta$ ), so  $\theta \notin B$ .

Since  $f \in B$  and  $\forall$  finite  $\theta \subseteq f$ :  $\theta \notin B$ , by Rice-Shapiro theorem, B is not r.e.

**B** is not r.e.: Consider the empty function  $\emptyset$ . Then dom( $\emptyset$ ) =  $\emptyset$ , so Pr  $\not\subset \emptyset$ , hence  $\emptyset \not\in B$ , i.e.,  $\emptyset \in \overline{B}$ .

For any function  $g \in \bar{B}$ , we have  $Pr \not\subset Wg$ . Consider  $\theta = \emptyset \subseteq g$ . Since  $dom(\theta) = \emptyset$  and  $Pr \not\subset \emptyset$ , we have  $\theta \not\in B$ , so  $\theta \in \bar{B}$ .

Since  $\forall g \in \bar{B}$ :  $\emptyset \subseteq g$  and  $\emptyset \in \bar{B}$ , the condition for Rice-Shapiro to apply to  $\bar{B}$  is that  $\forall$  finite  $\theta \subseteq g$ :  $\theta \in \bar{B}$ . But this isn't necessarily true for all finite  $\theta$ .

Let me try differently. Consider any function g such that  $\Pr \not\subset Wg$ . There exists some prime  $p \not\in Wg$ . Consider any finite extension  $\theta \supseteq g$  with  $p \in dom(\theta)$ . We still might have  $\Pr \not\subset dom(\theta)$  (if  $dom(\theta)$  doesn't include all primes), so  $\theta \in \bar{B}$ .

By Rice's theorem, since B is saturated and non-trivial, B is not recursive. Combined with the Rice-Shapiro analysis, both B and  $\bar{B}$  are not r.e.

**Final classification:** B and  $\bar{B}$  are both not r.e. (and hence not recursive).

### **Exercise 5**

### **Second Recursion Theorem**

For every total computable function  $f: \mathbb{N} \to \mathbb{N}$ , there exists  $e_0 \in \mathbb{N}$  such that:

```
\phi_{e0} = \phi f(e_0)
```

### Proof that $\exists x$ such that $\phi_x(y) = y/2$ if $x \le y \le x + 2$ , $\uparrow$ otherwise

Define  $g: \mathbb{N}^2 \to \mathbb{N}$  by:

```
g(x,y) = \{
\lfloor y/2 \rfloor if x \le y \le x + 2
\uparrow otherwise
}
```

This function is computable since:

- The condition  $x \le y \le x + 2$  is decidable
- The floor function Ly/2 is computable
- We can implement the "1 otherwise" using a divergent loop

By the s-m-n theorem,  $\exists$  total computable s :  $\mathbb{N} \to \mathbb{N}$  such that:

```
\phi_{s(x)}(y) = g(x,y)
```

Define f(x) = s(x). Then f is total and computable.

By the Second Recursion Theorem,  $\exists e$  such that:

```
\phi_e = \phi f(e) = \phi_{s(e)}
```

For this e, we have:

```
\phi_e(y) = \phi_{s(e)}(y) = g(e,y) = \{
\lfloor y/2 \rfloor \text{ if } e \le y \le e + 2
\uparrow \text{ otherwise}
```

Therefore, x = e is the desired index such that  $\phi_x(y) = y/2$  when  $x \le y \le x + 2$ , and undefined otherwise.