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## **Definitions**

#### Reduction

DEFINITION 13.5. Let  $A, B \subseteq \mathbb{N}$ . We say that the problem  $x \in A$  reduces to the problem  $x \in B$  (or simply that A reduces to B), written  $A \leq_m B$  if there exists a function  $f: \mathbb{N} \to \mathbb{N}$  computable and total such that, for every  $x \in \mathbb{N}$ 

$$x \in A \Leftrightarrow f(x) \in B$$

In this case, we say that f is the reduction function.

#### **Recursive Set**

Definition 13.1. A set  $A \subseteq \mathbb{N}$  is recursive if its characteristic function

$$\chi_A : \mathbb{N} \to \mathbb{N}$$

$$\chi_A(x) = \begin{cases} 1 & x \in A \\ 0 & x \notin A \end{cases}$$

is computable.

### Recursively Enumerable Set

DEFINITION 15.1 (Recursively enumerable set). We say that  $A \subseteq \mathbb{N}$  is recursively enumerable if the semi-characteristic function

$$sc_A(x) = \begin{cases} 1 & x \in A \\ \uparrow & \text{otherwise} \end{cases}$$

is computable.

#### Specifically:

- A set is r.e. if I can check a property on a finite number of points
- A set is not r.e. if I have to check the property on an infinite number of points

### **Decidable Predicate**

A predicate  $Q(\vec{x}) \subseteq \mathbb{N}^k$  is decidable if the characteristic function  $\chi_Q : \mathbb{N}^k \to \mathbb{N}$  defined by

$$\chi_Q(\vec{x}) = \begin{cases} 1 & \text{if } Q(\vec{x}) \\ 0 & \text{otherwise} \end{cases}$$

is computable.

## Semi-decidable predicate

A predicate  $Q(\vec{x}) \subseteq \mathbb{N}^k$  is semi-decidable if the semi-characteristic function  $sc_Q$   $\mathbb{N}^k \to \mathbb{N}$  defined by

$$sc_Q(\vec{x}) = \begin{cases} 1 & \text{if } Q(\vec{x}) \\ \uparrow & \text{otherwise} \end{cases}$$

is computable.

#### Structure Theorem

Let  $P(\vec{x}) \subseteq \mathbb{N}^k$  a predicate. Then  $P(\vec{x})$  is semidecidable iff there is a dedicable predicate  $Q(t, \vec{x}) \subseteq \mathbb{N}^{k+1}$  s.t.  $P(\vec{x}) = \exists t. \ Q(t, \vec{x})$ 

Let 
$$P(\vec{z}) \subseteq IN^K$$
 a predicate

there is 
$$Q(\xi,\vec{z}) \leq |N^{K+1}|$$
 decidable  $P(\vec{z})$  semi-decidable  $\Rightarrow$  s.t.  $P(\vec{z}) = \exists \xi. Q(\xi,\vec{z})$ 

<u>Note:</u> in the "notes.pdf" the predicate is written as "decidable", but prof. says it's semidecidable like written here. Keep this in mind.

This reasoning is useful inside theoretical exercises about decidability/semidecidability because it's literally the same reasoning, reported here for the sake of completeness.

PROOF.  $(\Rightarrow)$  Let  $P(\vec{x})$  be semi-decidable. It has a computable semi characteristic function  $sc_P$  so

$$P(\vec{x}) \equiv \exists t. H(e, \vec{x}, t)$$

therefore if we can rewrite H as  $Q(t, \vec{x}) = H(e, \vec{x}, t)$ , in this way Q is decidable as we wanted and

$$P(\vec{x}) \equiv \exists t. Q(t, \vec{x})$$

(
$$\Leftarrow$$
) Let  $P(\vec{x}) \equiv \exists t. Q(t, \vec{x})$  with  $Q(t, \vec{x})$  decidable. Observe that 
$$sc_P(\vec{x}) = \mathbf{1}(\mu t. |\chi_Q(t, \vec{x}) - 1|)$$

which is computable by definition, and therefore  $P(\vec{x})$  is semi-decidable.

The converse does not hold, for example  $P(\vec{x}, y) \equiv (x \in W_x) \exists x. P(x, \vec{y})$ 

Alternatively:

- $P(x, y) \equiv (y = 1) \land (x \notin W_x)Q(y) \equiv \exists x. P(x, y) \equiv (y = 1)$
- Suppose P(x,y) holds if  $\phi_x(x) \uparrow, P(x,y)$  non-semi-decidable, otherwise  $\overline{K}$  would be r.e.. We know there are programs inside  $\overline{K}$ , e.g. the ones calculating the always undefined function, but then  $\exists x. P(\vec{x},y)$  always holds and so it would always be inevitably undecidable

## **Projection Theorem**

Theorem 15.6 (Projection theorem). Let  $P(x, \vec{y})$  be semi-decidable; then

$$\exists x. P(x, \vec{y}) = P'(\vec{y})$$

is semi-decidable.

This reasoning is useful inside theoretical exercises about decidability/semidecidability because it's literally the same reasoning, reported here for the sake of completeness.

#### Proof

Let  $P(x, \vec{y}) \subseteq \mathbb{N}^{k+1}$  semi-decidable. Hence, by the structure theorem, there is  $Q(t, x, \vec{y}) \subseteq \mathbb{N}^{k+2}$  decidable s.t.  $P(x, \vec{y}) \equiv \exists t. Q(t, x, \vec{y})$ .

Now 
$$R(\vec{y}) \equiv \exists x. P(x, \vec{y}) \equiv \exists x. \exists t. Q(t, x, \vec{y}) \equiv \exists w. Q((w)_1, (w)_2, \vec{y})$$
 is decidable.

Hence, *R* is the existential quantification of a decidable predicate and by the structure theorem is semi-decidable.

#### **Primitive Recursive Functions**

**Solution:** The set  $\mathcal{PR}$  of primitive recursive functions is the smallest set of functions that contains the basic functions:

- 1.  $\mathbf{0}: \mathbb{N} \to \mathbb{N}$  defined by  $\mathbf{0}(x) = 0$  for each  $x \in \mathbb{N}$ ;
- 2.  $\mathbf{s}: \mathbb{N} \to \mathbb{N}$  defined by  $\mathbf{s}(x) = x + 1$  for each  $x \in \mathbb{N}$ ;
- 3.  $\mathbf{U}_{i}^{k}: \mathbb{N}^{k} \to \mathbb{N}$  defined by  $\mathbf{U}_{i}^{k}(x_{1}, \dots, x_{k}) = x_{j}$  for each  $(x_{1}, \dots, x_{k}) \in \mathbb{N}^{k}$ .

and which is closed with respect to generalized composition and primitive recursion, defined as follows. Given the functions  $f_1, \ldots, f_n : \mathbb{N}^k \to \mathbb{N}$  and  $g : \mathbb{N}^n \to \mathbb{N}$  their generalized composition is the function  $h : \mathbb{N}^k \to \mathbb{N}$  defined by:

$$h(\vec{x}) = g(f_1(\vec{x}), \dots, f_n(\vec{x})).$$

Given the functions  $f: \mathbb{N}^k \to \mathbb{N}$  and  $g: \mathbb{N}^{k+2} \to \mathbb{N}$  the function defined by primitive recursion is  $h: \mathbb{N}^{k+1} \to \mathbb{N}$ :

$$\left\{ \begin{array}{l} h(\vec{x},0) = f(\vec{x}) \\ h(\vec{x},y+1) = g(\vec{x},y,h(\vec{x},y)) \end{array} \right.$$

### Smn-Theorem

Given  $m,n\geq 1$  there is a total computable function  $s_{m,n}\colon \mathbb{N}^{m+1}\to \mathbb{N}$  s.t.  $\forall \vec{x}\in \mathbb{N}^m, \forall \vec{y}\in \mathbb{N}^n, \forall e\in \mathbb{N}$ 

$$\phi_e^{(m+n)}(\vec{x},\vec{y}) = \phi_{s_{m,n}(e,\vec{x})}^{(n)}(\overrightarrow{y})$$

#### Saturated set

A set  $A \subseteq \mathbb{N}$  is saturated whenever, if it includes the index (program) for a computable function, it includes also all the other indexes (programs) for the same function. Formally, for all  $x, y \in \mathbb{N}$  if  $x \in A$  and  $\varphi_x = \varphi_y$  then  $y \in A$ .

### Rice's Theorem

Theorem 14.6 (Rice's theorem). Let  $A \in \mathbb{N}, A \neq \emptyset, A \neq \mathbb{N}$  be saturated. Then it is not recursive.

## Rice-Shapiro's Theorem

Let  $A \subseteq \mathcal{C}$  (where A is a property of functions) be a set of computable functions and let  $A = \{x \mid \phi_x \in A\}$  Then if A is r.e. then

$$\forall f \ (f \in A \Leftrightarrow \exists \theta \subseteq f, \theta \text{ finite s.t. } \theta \in A)$$

Generally, it can be used in two ways:

- $\exists f \in C. f \notin A \land \exists \theta \subseteq f \ finite, \theta \in A \Rightarrow A \ not \ r. \ e.$
- $\exists f \in C. f \in A \land \forall \theta \subseteq f \ finite, \theta \notin A \Rightarrow A \ not \ r. \ e.$

### **Second Recursion Theorem**

The Second Recursion Theorem says that: for all functions  $f : \mathbb{N} \to \mathbb{N}$ , if f is total and computable then there is  $e \in \mathbb{N}$  such that  $\varphi_e = \varphi_{f(e)}$ .

## **Exercises**

#### **URM-Machines**

This kind of exercises was mainly present only inside partial exams.

- The exercise gives us a variant of the normal URM model which these basic instructions:
  - o zero Z(n), which sets the content of register  $R_n$  to zero:  $r_n \leftarrow 0$
  - o successor S(n), which increments by 1 the content of register  $R_n: r_n \leftarrow r_n + 1$
  - o transfer T(m, n), which transfers the content of register  $R_m$  into  $R_n$ , which  $R_m$  staying untouched:  $r_n \leftarrow r_m$
  - o conditional jump: J(m, n, t), which compares the content of register  $R_m$  and  $R_n$ , so:
    - if  $r_m = r_n$  then jumps to  $I_t$  (jumps to t-th instruction)
    - otherwise, it will continue with the next instruction
- We have to prove the inclusion of the computable sets in both ways
  - o From modified URM to normal URM
  - o From normal URM to modified URM
- Define  $\mathcal{C}$  for URM-machine and  $\mathcal{C}'$  (for example) the set of the model you have to show
- First step is showing  $\mathcal{C}' \subseteq \mathcal{C}$ 
  - o Not necessarily the new machine is more powerful, infact it may be even less powerful
  - Informally, we simply can code the "new" instruction/s in normal URM machine using a routine of some existing instructions (jump/transfer/successor/jump)
    - lacktriangledown This is typically done considering say i the index of an unused register by the program and a subroutine
  - Formally, we prove  $C' \subseteq C$  showing that, for each number of arguments k and for each program P using both sets of instructions we can obtain a URM program P' which computes the same function i.e. such that  $f_{P}^{\prime(k)} = f_{P}^{(k)}$
  - The proof goes on by induction on the number of instructions h
    - (h = 0), usually trivial, it's already a URM program
    - $(h \rightarrow h + 1)$ , basically I will describe the logic
      - Describe as j for instance the index of instruction you want to replace and l(P) the length of computed program
      - We can build a program P'' using a register not referenced in P, for instance  $q = \max\{\rho(P), k\} + 1$  ( $\rho$  is the largest unused register)
      - Show that for the whole length of program, the jump to the subroutine can successfully replace the instruction wanted
    - The program P'' is s.t.  $f_{P''}^{(k)} = f_P^{(k)}$  and it contains h instructions. By inductive hypothesis, there exists a URM program P' s.t.  $f_{P'}^{(k)} = f_P^{(k)}$ , which is the desired program

- Second step is showing  $\mathcal{C} \subseteq \mathcal{C}'$ 
  - o The usual question is if inclusion holds both ways or if it is strict
  - o If this second part does not hold, then it is not strict
- Usually, this is similar to the one before, but this time around, instructions of normal URM have to be encoded using only the new machine
  - o This one follows, if formally, exactly the same steps as before

#### Smn-theorem

- Give a function of two arguments g(x, y)
  - o Define a case for set definition
  - o Define a case for otherwise
- In this case, with smn-theorem exercises, it helps creating a function s.t.
  - o the domain is where the values exist
    - so, the positive case condition is the domain or less than the domain and has to include that case inside condition
  - o the codomain is the output we want to reach
    - after having written the cases, we see if the output/the computable function respects said condition
- It is computable, since it is defined by cases
- By the smn-theorem, there is  $s: \mathbb{N} \to \mathbb{N}$   $s.t. \forall x, y \in \mathbb{N}$ 
  - Write  $\phi_{s(x)}(y) = g(x, y)$  and rewrite the function defined initially again
- As observed above
  - o  $W_{s(x)}$  = domain given by definition
    - $W_x = \{y \mid g(x,y) \downarrow\}$
  - o  $E_{s(x)}$  = codomain given by definition
    - $E_x = \{g(x,y) \mid condition \ of \ defined \ case\}$

In case you have  $E_{k(n)}$  and  $W_{k(n)}$  inside the function definition (just notation here, folks, the concept holds the same way, you simply have n in place of x):

- simply use a function f(n, x)
- by the smn theorem, there is a total computable function  $k: \mathbb{N} \to \mathbb{N}$   $s.t. \phi_{k(n)}(x) = f(n,x) \ \forall n,x \in \mathbb{N}$
- As observed above
  - o  $W_{k(x)}$  = domain given by definition
  - o  $E_{k(x)}$  = codomain given by definition

### Primitive recursive functions

- Write the  $\mathbb{PR}$  class definition present above
- Carefully read the problem definition and write it using a combination of known functions

Consider, just for reference, these basic functions are primitive recursive functions:

- sum x + y

$$h: N^2 \to N, h(x, y) = x + y$$

$$\begin{cases} h(x, 0) = x = f(x) & f(x) = x \\ h(x, y + 1) = h(x, y) + 1 = g(h(x, y)) & g(x, y, z) = z + 1 \end{cases}$$

- product x \* y

$$h': N^2 \to N, h'(x, y) = x * y$$
 
$$x \cdot 0 = 0$$
 
$$f(x) = 0$$
 
$$g(x, y, z) = z + y$$

- exponential  $x^y$ 

$$\begin{array}{lll} x^0 = 1 & & h(x,0) = 1 & & f(x) = 1 \\ x^{y+1} = x^y \cdot x & h(x,y+1) = h(x,y) \cdot x & g(x,y,z) = z \cdot x \end{array}$$

- predecessor y-1

$$\begin{array}{ll} 0 \doteq 1 = 0 & h(0) = 0 & f \equiv \underline{0} \\ (x+1) \doteq 1 = x & h(x+1) = x & g(y,z) = y \end{array}$$

- difference 
$$x \dot{-} y = \begin{cases} x - y & x \geqslant y \\ 0 & \text{otherwise} \end{cases}$$

$$\begin{array}{ll} x \doteq 0 = x & f(x) = x \\ x \doteq (y+1) = (x \doteq y) \doteq 1 & g(x,y,z) = z \doteq 1 \end{array}$$

$$- \quad \text{sign} \quad sg(x) = \begin{cases} 0 & x = 0 \\ 1 & x > 0 \end{cases}$$

$$\begin{array}{ll} sg(0)=0 & f\equiv\underline{0}\\ sg(x+1)=1 & g(y,z)=1 \end{array}$$

- negative sign (or complement sign)

$$\bar{sg}(x) = \begin{cases} 1 & x = 0 \\ 0 & x > 0 \end{cases}$$

$$\begin{array}{ll} sg(0)=0 & f\equiv\underline{0}\\ sg(x+1)=1 & g(y,z)=1 \end{array}$$

- minimum

$$min(x, y) = x \div (x \div y);$$

- maximum

$$max(x,y) = (x - y) + y;$$

- remainder

$$rm(x,y) = \begin{cases} y \mod x & x \neq 0 \\ y & x = 0 \end{cases}$$

$$rm(x,0) = 0$$

$$rm(x,y+1) = \begin{cases} rm(x,y) + 1 & rm(x,y) + 1 \neq x \\ 0 & \text{otherwise} \end{cases}$$

$$= (rm(x,y) + 1) \cdot sq((x - 1) - rm(x,y))$$

- quotient, 
$$qt(x,y) = y \text{ div } x \text{ (convention } qt(0,y) = y)$$
, we define:

$$\begin{split} qt(x,y+1) &= \begin{cases} qt(x,y)+1 & rm(x,y)+1=x\\ qt(x,y) & \text{otherwise} \end{cases} \\ &= qt(x,y)+sg((x \div 1) \div rm(x,y)) \end{split}$$

For completeness sake, always assume the sum and product are bounded, so they are primitive recursive (bounded sum and product)

- You define the function of the problem as a combination of base case and recursive case of the base functions and also some like the ones presented here

## Functions and computability

- In this case, consider the function are total
  - o So, they have to define and handle all cases by definition

We have different choices to follow:

- diagonalization (subsection ahead)
- use a known non computable function, like  $\chi_K$ 
  - o conditions are dependent on exercise, here reported just as an example

$$f(x) = \begin{cases} 0, & \text{if } x \le 1\\ \chi_K(x), & \text{otherwise} \end{cases}$$

- $\circ$  the general structure would be using  $\chi_K$  somewhere, it can be both on positive/otherwise case
- sometimes, it happens that we use functions and subfunctions

$$\theta(x) = \begin{cases} f(x), & \text{general condition (e.g. if } x < x_0) \\ \uparrow, & \text{otherwise} \end{cases}$$

$$f(x) = \begin{cases} \theta(x), & \text{general condition (e.g. if } x < x_0) \\ value (e.g. 0, k), & \text{otherwise} \end{cases}$$

 since the subfunction is finite, the function is too, and one can write it as a computable function

### Diagonalization

- In this case, there are notable total non-computable functions; the function is built to differ from its own values by recursion
- We then say  $f(x) \neq \phi_x(x)$  since this holds by construction (just use the problem conditions replacing f(x) with  $\phi_x(x)$ )

Consider (conditions are dependent on exercise, here reported just as an example):

$$g(x) = \begin{cases} \phi_x(x) + 1, & x \in W_x \\ 0, & otherwise \end{cases}$$

More generally, it might be something like:

$$f(x) = \begin{cases} something \ involving \ \phi_x(x), & x \in W_x \\ 0, & otherwise \ (so, x \notin W_x) \end{cases}$$

The good proof (extended by this) would be:

- f is total by construction
- f is not computable since  $\forall x \in N, f(x) \neq \phi_x(x)$ 
  - o infact, if  $\phi_x(x) \downarrow$  then  $f(x) \neq something involving <math>\phi_x(x) \neq \phi_x(x)$
  - o if  $\phi_x(x) \uparrow$  then  $f(x) = 0 \neq \phi_x(x)$
- the specified exercise property holds

Consider the following notable examples from the course:

OBSERVATION 10.4. There exists a total non-computable function  $f: \mathbb{N} \to \mathbb{N}$  defined by

$$f(n) = \begin{cases} \varphi_n(n) + 1 & \text{if } \varphi_n(n) \downarrow \\ 0 & \text{if } \varphi_n(n) \uparrow \end{cases}$$

f is not computable because it differs from all computable functions. In fact

- if  $\varphi_n(n) \downarrow$ , then  $f(n) = \varphi_n(n) + 1 \neq \varphi_n(n)$
- if  $\varphi_n(n) \uparrow$ , then  $f(n) = 0 \neq \varphi_n(n)$

 $\mathbf{SO}$ 

$$\forall n \ f \neq \varphi_n$$

Observation 10.5. There are infinitely many total non-computable functions of the following shape

$$f(n) = \begin{cases} \varphi_n(n) + k & n \in W_n \\ k & n \notin W_n \end{cases}$$

EXERCISE 10.6. Let  $f: \mathbb{N} \to \mathbb{N}$ ,  $m \in \mathbb{N}$ . Show that there exists a non-computable function  $g: \mathbb{N} \to \mathbb{N}$  such that

$$g(x) = f(x) \quad \forall x < m$$

Idea: use a "translated diagonal":

$$g(x) = \begin{cases} f(x) & x < m \\ \varphi_{x-m}(x) + 1 & x \geqslant m \text{ and } x \in W_{x-m} \\ 0 & x \geqslant m \text{ and } x \notin W_{x-m} \end{cases}$$

q is not computable since  $q(x+m) \neq \varphi_x(x+m)$  for all x, so

$$\forall x \ g \neq \varphi_x$$

Another approach is to define g in the following way

$$g(x) = \begin{cases} f(x) & x < m \\ \varphi_x(x) + 1 & x \ge m \text{ and } x \in W_x \\ 0 & x \ge m \text{ and } x \notin W_x \end{cases}$$

because each function appears infinitely many times in the enumeration, and skipping the first m-1 steps does not create any problem. Formally

$$\forall x \geqslant m \quad g \neq \varphi_x$$

so for all y

$$\forall y \; \exists x \geqslant m \; \varphi_y = \varphi_x$$

 Other cases, more similar to what we saw in the course, involve multiple cases, usually three, with small variations of the condition but with the same concept

$$f(x) = \begin{cases} \frac{x}{2}, & x \text{ even} \\ \phi_{\frac{x-1}{2}}(x) + 1, & \text{if } x \text{ odd and } x \in W_{\frac{x-1}{2}} \\ 0, & \text{otherwise} \end{cases}$$

The same observations about using  $\phi_x$  hold.

### Recursiveness of sets

### Rice-Shapiro

- We use this one if A is saturated
  - $\circ$  This usually happens when the exercises gives  $W_x$ ,  $E_x$  or both of them
  - o A set is saturated if there is a non-trivial property (finitely characterizable)
  - $\circ \quad A = \{x \in \mathbb{N} \mid \phi_x \in \mathcal{A}\} \text{ and } \mathcal{A} = \{f \mid ...\}$
  - You replace  $W_x$  with dom(f) and  $E_x$  with cod(f) (also  $\phi_x(x)$  with f(x))
- This way, we show A and  $\overline{A}$  are not r.e.
  - O This may not always be the case; sometimes a set is saturated, but the set is r.e. (it means you can write a semicharacteristic function  $\chi_A$ )
    - In this case, if A is r.e.  $\overline{A}$  is not r.e (hence not recursive)
    - Conversely, if  $\overline{A}$  is r.e., A not r.e. (hence not recursive)
- Applying the definition it means either:
  - o we have a function which is in the set but a finite subfunction not in the set
  - o we have a function which is not in the set but a finite subfunction which is in the set
- Usually, we use id and  $\emptyset$ 
  - o identity = defined for all natural numbers
    - if you use this one, possibly you have a function inside/not inside the set
  - o always undefined function = undefined for all natural numbers
    - this one is often used as a subfunction to prove is inside the complement
    - many other times, it can simply be a function inside the normal set
- Sometimes, one can use the constant 1 function (or constant 0)
- It usually works showing you have (as above, but replace f with a logically correlated function to the exercise definition of specified set)
  - o  $f \notin \mathcal{A}$ , but  $\theta \in \mathcal{A}$
  - o  $f \in \mathcal{A}$ , but  $\theta \notin \mathcal{A}$
- This usually holds for both sets
  - o If both sets are not r.e. they are not recursive either

#### There are the following implications:

- if A is r.e. but not recursive, also  $\overline{A}$  is not r.e. (also not recursive, otherwise they would be both recursive)
- if A is recursive, then  $\chi_A$  is computable. We have  $\overline{A}$  is r.e. and:
  - o if  $K \leq_m \overline{A}$ , then  $\overline{A}$  is not recursive
  - o if  $\chi_{\overline{A}}$  is computable then  $\overline{A}$  is recursive
- If A r.e., then  $\overline{A}$  is not if A is r.e., it means  $sc_A$  exists, but is not recursive
- If  $\overline{A}$  r.e. then A is not if  $\overline{A}$  is r.e., it means  $sc_{\overline{A}}$  exists, but is not recursive

#### Side note (important):

- One can show a set is not recursive by using Rice's theorem
  - o This occurs when the set is saturated and maybe is r.e. but we ask if it is recursive
  - Then, you use  $e_0 \in id/\mathbf{1}$  and  $e_i \in \emptyset$  to prove  $e_0 \in A$ ,  $e_1 \notin A$  hence  $A \neq \emptyset$ ,  $\mathbb{N}$ 
    - for example  $e_0$  s.t.  $\phi_{e_0}=id/\mathbf{1}$  or  $e_1s.t.$   $\phi_{e_1}=\emptyset$

Usually, if the set is not r.e. it is also not recursive.

#### Reduction

#### To note:

- $K \leq_m A$ : to prove a set is not recursive
- $\overline{K} \leq_m A$ : to prove a set is not r.e.
- We use this one if A is not recursive  $(K \leq_m A)$ 
  - o usually something like  $g(x,y) = \begin{cases} y \ (or \ value), \ x \in K \\ \uparrow. \ other$
  - a variant with the same meaning is  $g(x,y) = \begin{cases} 1 \text{ (or value)}, & x \in W_x \\ & \uparrow, \text{ otherwise} \end{cases}$  sometimes, consider there is also:  $g(x,y) = \begin{cases} \phi_x(x), & x \in W_x \\ & \uparrow, \text{ otherwise} \end{cases}$
  - - this one occurs in case of both domain and codomain over index x
  - it is computable and thus, by the smn theorem, we deduce that there is a total computable function  $s: \mathbb{N} \to \mathbb{N}$  such that, for each  $x, y \in \mathbb{N}$ ,  $g(x, y) = \phi_{s(x)}(y)$
- It can be shown to be the correct reduction function
  - o if  $x \in K$ ,  $\phi_{S(x)}(y) = g(x,y) = y$  (or value)  $\forall y \in \mathbb{N}$ . Therefore  $S(x) \in W_{S(x)} = \mathbb{N}$  and  $\phi_{s(x)}(s(x)) = s(x)$ . Therefore,  $s(x) \in A$ 
    - the function here is the value; if we had  $y^2$  it would have been  $(s(x))^2$
  - o if  $x \notin K$ ,  $\phi_{s(x)}(y) = \overline{g(x,y)} \uparrow \forall y \in N$ . Therefore  $s(x) \notin W_{s(x)} = \emptyset$  and so  $s(x) \notin A$
- Note: if  $K <_m A$ , then A usually is r.e.
- We can also use the complement of the same set  $(\overline{K} \leq_m A)$ 
  - o usually something like  $g(x,y) = \begin{cases} y \ (or \ value, usually \ 0), \ \neg H(x,x,y) \\ \uparrow, \ otherwise \end{cases}$

  - it is computable since we have  $g(x,y) = value * sc_K(x)$  and thus, by the smn theorem, we deduce that there is a total computable function  $s: \mathbb{N} \to \mathbb{N}$  such that, for each  $x, y \in \mathbb{N}$ ,  $g(x, y) = \phi_{s(x)}(y)$
- It can be shown to be the correct reduction function
  - o if  $x \in \overline{K}$ ,  $\phi_{S(x)}(y) = g(x,y) = y$  (or value)  $\forall y \in \mathbb{N}$ . Also, we can say H(x,x,y) is false  $\forall y \in \mathbb{N}$ . Therefore  $s(x) \in W_{s(x)} = \mathbb{N}$  and  $\phi_{s(x)} \big( s(x) \big) = s(x)$ . Therefore,  $s(x) \in A$
  - o if  $x \notin \overline{K}$ ,  $\phi_{S(x)}(y) = g(x,y) \uparrow \forall y \in \mathbb{N}$ . Also, we can say H(x,x,y) is true  $\forall y \in \mathbb{N}$ Therefore  $s(x) \notin W_{s(x)} = \emptyset$  and so  $s(x) \notin A$
- If this reduction from complement holds, A is not r.e.
- It can also happen  $\overline{K} \leq_m \overline{A}$  and so  $\overline{A}$  is not r.e.
- If both are valid (so  $\overline{K} \leq_m A$  and  $\overline{K} \leq_m \overline{A}$ ), both sets  $(A, \overline{A})$  are not r.e.

#### Second Recursion Theorem

### Show there exist an index s.t. function is total/computable

- Give the theorem definition
- Give a function of two arguments g(x, y) for instance defined by cases
  - o case for the normal condition
  - o case for otherwise
- Since it is defined by cases, it's computable (since it is total, holds)
- By the smn-theorem, there exists a total computable function  $s: N \to N$   $s.t. \phi_{s(x)}(y) = g(x,y)$
- By the Second Recursion Theorem, there exists  $e \in \mathbb{N}$  such that  $\phi_e = \phi_{s(e)}$
- You use the function previously defined and replace g(x,y) with  $\phi_e(y) = \phi_{s(e)}(y) = g(e,y)$ 
  - o inside the function, replace x with e
- You conclude since you fixed the point in which all the conditions you posed hold (simply use second recursion theorem definition)

#### Show there exist an index s.t. function is not computable

- Give the theorem definition
- Note the function is computable but it is usually total, so you have say  $\phi_x \neq \phi_{h(x)}$
- By the Second Recursion Theorem, there exists  $e \in \mathbb{N}$  such that  $\phi_e 
  eq \phi_{s(e)}$
- So, the original function cannot be computable

#### Show that a set A is not saturated

- Give the theorem definition
- Give a function of two arguments g(x, y) for instance defined by cases
  - o case for the normal condition
  - o case for otherwise
- Since it is defined by cases, it's computable
- By the smn-theorem, there exists a total computable function  $s: N \to N$  s. t.  $\phi_{s(x)}(y) = g(x,y)$
- By the Second Recursion Theorem, there exists e such that  $\phi_e = \phi_{s(e)}$
- You use the function previously defined and replace g(x,y) with  $\phi_e(y) = \phi_{s(e)}(y) = g(e,y)$ 
  - o inside the function, replace x with e
- Now, just take  $e' \neq e$  such that  $\phi'_e = \phi_e$  (which exists since there are infinitely many indices for the same computable function)
- So, we have e in A and  $e' \notin A$  So, A is not saturated