#### PushDown Automaton

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<sup>&</sup>lt;sup>1</sup>Mostly based on Nicholas Mainardi's material, enriched by few additional examples. 

## An extended computation model

#### Pushdown Automata

- Finite state automata are a handy model, but are not able to count an arbitrary number of items
- Idea: add a simple memory to the computation model: a stack
- The stack is a Last-In-First-Out memory (LIFO)
- The read operation on the memory erases the value (pop operation)
- The resulting automaton is known as a PushDown Automaton (PDA)
- For this computation model the non-determinism enhances the computation capability

#### **Formalization**

#### Definition

- A recognizer PDA is formally defined as a 7-tuple  $(\mathbf{Q}, \mathbf{I}, \Gamma, \delta, q_0, \mathbf{F}, Z_0)$ , where:
  - Q is the set of states of the automata
  - I is the alphabet of the input string which will be checked
  - $\bullet$   $\Gamma$  is the alphabet of the symbols on the stack
  - $\delta: \mathbf{Q} \times (\mathbf{I} \cup \epsilon) \times \Gamma \mapsto \mathbf{Q} \times \Gamma^*$  the transition function
  - $q_0 \in \mathbf{Q}$  the (unique) initial state from where the automaton starts
  - ullet  ${f F}\subseteq {f Q}$  the set of final accepting states of the automaton
  - $\bullet$   $Z_0$  is the symbol which indicates the bottom of the stack

#### Structure

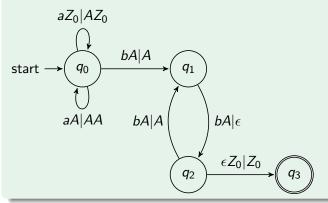
#### Advantages

- The main advantage of a PDA is that it is able to count letters
- The transition function relies on reading both a symbol from the input and a symbol from the stack to perform a transition
- Nondeterminism takes place when two transitions have both the same input and the same stack symbol as a trigger (from the same state)...
- ... or with  $\epsilon$ -transitions, as always

## A first attempt

## $L = a^n b^{2n}, n \ge 1$

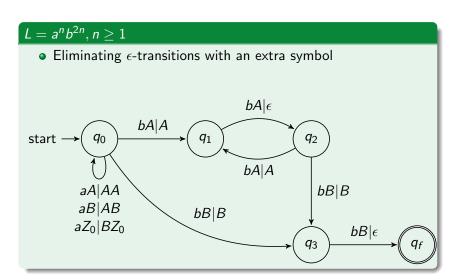
• To obtain a synthetic notation, the convention is to denote on an arc <input><stack> | <stack> ··· <stack>



PDA Exercises

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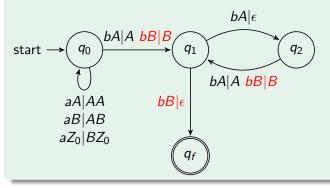
## A first attempt



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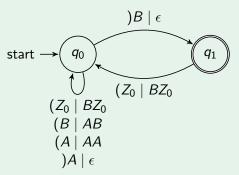
- Eliminating  $\epsilon$ -transitions with an extra symbol
- $q_1$  and  $q_3$  can be merged in a single state ...



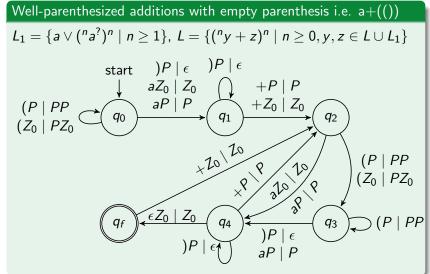
## Three Classic Languages Recognized By PDA

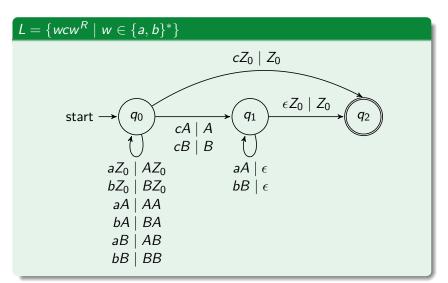
### Well-parenthesized empty expressions

Examples:  $((()))(), ()(), (()()) \in L. ((), (, )(, \epsilon \notin L.$ 

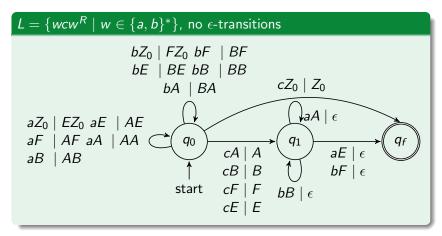


## Three Classic Languages Recognized By PDA





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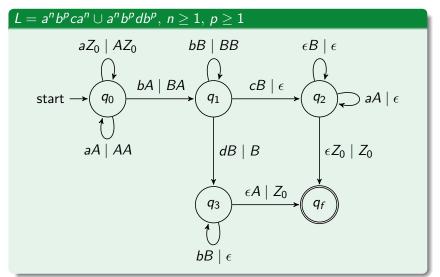


Stack symbols E, F are used to show how to avoid  $\epsilon$ -transitions to go from  $q_1$  to  $q_f$ , but they are not strictly necessary



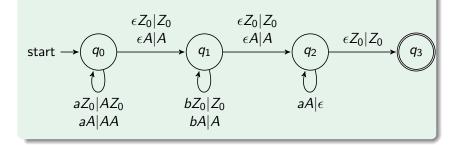
PDA Based Transducers

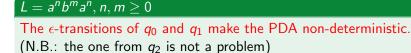
## Is It Always Possible To Remove $\epsilon$ -transitions?

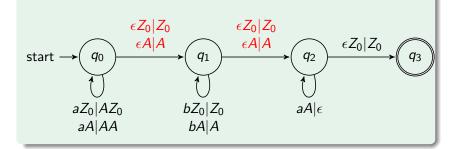


## $L = a^n b^m a^n, n, m \ge 0$

Naive idea: for each *a*, push *A* on the stack, ignore the *b*s, match the last *a*s with the *A*s on the stack.





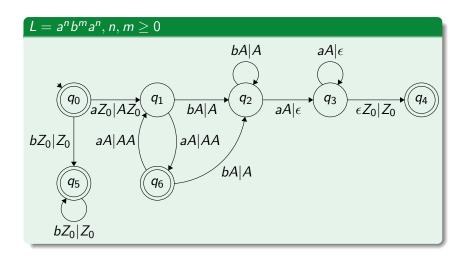


$$L = a^n b^m a^n, n, m \ge 0$$

We notice how this language includes the following cases:

- $\epsilon$  (i.e. n = m = 0)
- $b^+$  (i.e.  $n = 0, m \neq 0$ )
- $a^{2n}$  (i.e.  $n \neq 0, m = 0$ )
- $L_1 = a^n b^m a^n, n, m > 0$  (i.e.  $n \neq 0, m \neq 0$ )

We have to check for all of them at the same time.



Strategy (same of FSA): complete the  $\delta$  function introducing error states, then swap final and non final states

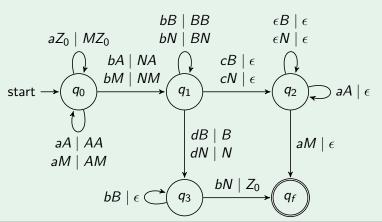
Complementing PDA

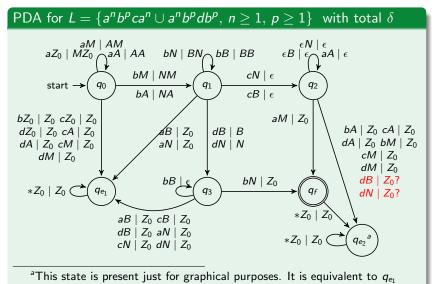
#### Difficulties Due to $\epsilon$ -transitions

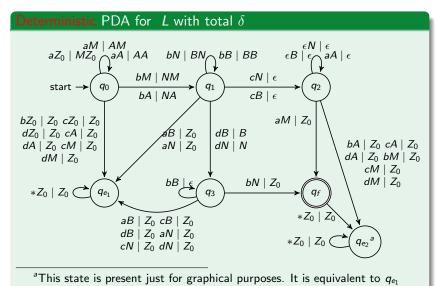
- **1** What if there is a loop with  $\epsilon$ -transitions which pile characters in the stack?  $\rightarrow$  The automaton may not stop!
  - → it is always possible to make the PDA acyclic, that is no infinite cycles of  $\epsilon$ -transitions
- **2** What if from a final state  $q_f$  there is an  $\epsilon$ -transition to a state  $q_i \notin F$  (or vice versa)?  $\rightarrow$  Suppose the PDA stops in  $q_f$  when a string s is accepted. When we perform the complement,  $q_f \notin F$  while  $q_i \in F$ , thus s is accepted by the complement PDA too by performing an additional  $\epsilon$ -transitions to  $q_i$ 
  - → Luckily, removing these kind of sequences is always possible
- Beware of introducing non-determinism while completing the  $\delta$  function...

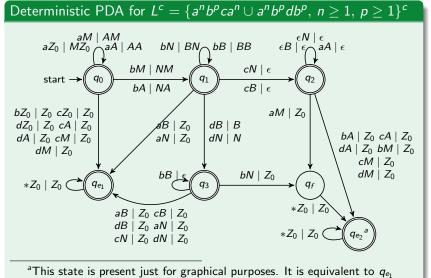
#### Removing troublesome $\epsilon$ -moves

 $\epsilon$ -moves to  $q_f$  must be removed before applying the complement









#### **Formalization**

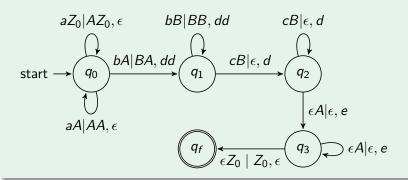
#### Definition

- A transducer PDA is formally defined as a 9-tuple  $(\mathbf{Q}, \mathbf{I}, \Gamma, \delta, q_0, \mathbf{F}, Z_0, \mathbf{O}, \eta)$ , where:
  - Q is the set of states of the automata
  - I is the alphabet of the input string which will be checked
  - ullet  $\Gamma$  is the alphabet of the symbols on the stack
  - $\delta : \mathbf{Q} \times (\mathbf{I} \cup \epsilon) \times \Gamma \mapsto \mathbf{Q} \times \Gamma^*$  the transition function
  - $q_0 \in \mathbf{Q}$  the (unique) initial state from where the automaton starts
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  - $\bullet$   $Z_0$  is the symbol which indicates the bottom of the stack
  - O the output alphabet (may coincide with I)
  - $\eta: \mathbf{Q} \times (\mathbf{I} \cup \epsilon) \times \Gamma \mapsto \mathbf{O}^*$  the transduction function

## A simple transducer

# $au(a^kb^hc^h)=d^{3h}e^k, h, k\geq 1$

- Hint: "one b is worth two d" is a nice strategy
- Notation convention:<input><stack> | <stack> <stack>,< output >

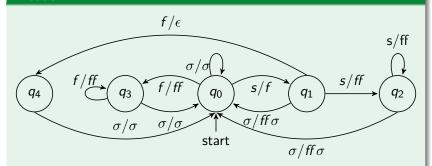


# Suppose we want to encode/decode Jovanotti's words, with dash used as words separator:

- Encoding: replace each s with a f (e.g., sasso  $\rightarrow$  faffo).
- However, with such a replacement, during decoding we cannot know if an f corresponds to an s or an f.
- Instead, consider this encoding:  $f^n \mapsto f^{2n}$  and  $s^n \mapsto f^{2n+1}$ . In this way, an even number of f denotes a sequence of f, while an odd number denotes a sequence of s
- Note that the pair fs cannot appear, as well as  $ss^+f$  and  $sff^+$  (at least in Italian words).
- There is still to deal with the sequence sf: we can just replace it with a single f
- The language is thus  $L = \{(x.-)^+ \mid \neg \exists y, z(x = y.fs.z \lor y = y.ss^+f.z \lor x = y.sff^+.z)\}$



# Encoder



- Symbol  $\sigma$  denotes an arbitrary letter, except for s and f.
- A final state to recognize at the end of a word is missing to avoid a lot of crossing edges. This state has:
  - same outgoing transitions as q<sub>0</sub>
  - same ingoing transitions as  $q_0$ , with  $\sigma$  being replaced by –

## Jovanotti's Words

#### Decoder

Determining the translation of a sequence of f into a sequence of s or a sequence of f is equivalent to determine the parity of the length of the sequence of f in the encoded message

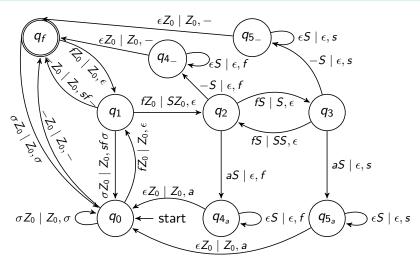


While determining the parity can be done with a FSA, we need to store the number of f or s characters to be written once the parity has been determined



We need a PDA based transducer!





We use additional states  $q_{4_b}, q_{4_c}, \ldots$  (resp.  $q_{5_b}, q_{5_c}, \ldots$ ), not depicted, to store the character to be written after sequence of f (resp. s).