

# Quivers supporting graded twisted Calabi-Yau algebras

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# Calabi-Yau algebras

Let  $A$  be an algebra over an algebraically closed, characteristic zero field  $\Bbbk$ . Let  $A^e = A \otimes_{\Bbbk} A^{op}$  be the **enveloping algebra** of  $A$ .

The algebra  $A$  is said to be **twisted Calabi-Yau (CY)** of (global) dimension  $d$  if  $A$  has a finite resolution by finitely-generated projectives in  $A^e\text{-MOD}$  (*homologically smooth*) and

$$\mathrm{Ext}_{A^e}^i(A, A^e) \cong \begin{cases} 0 & i \neq d \\ A^{\mu_A} & i = d \end{cases}$$

where  $\mu_A$  is the Nakayama automorphism of  $A$ .

$A$  is Calabi-Yau if  $\mu_A = \mathrm{id}_A$ .

# CY algebras in nature



- A connected ( $\mathbb{N}$ -)graded algebra is twisted CY if and only if it is Artin-Schelter regular [Reyes-Rogalski-Zhang].
- Ore extensions of twisted CY algebras are twisted CY [Liu-Wang-Wu].
- Normal extensions of CY algebras are CY [Chirvasitu-Kanda-Smith].

# CY algebras in nature

- Skew group algebras  $A \# G$  where  $A = \mathbb{k}[x_1, \dots, x_n]$  and  $G \subset SL_n(A)$  finite are CY [Iyama-Reiten]. Additional results for  $A$   $p$ -Koszul CY [Wu-Zhu] and/or  $H$  an involutory CY Hopf [Liu-Wu-Zhu].
- Mutations (in the sense of Fomin-Zelevinsky) of CY algebras are CY [Vitoria, Zeng].
- Others: dimer models, NCCRs,...

# Vacualgebras

Let  $Q = (Q_0, Q_1)$  be a finite quiver and  $\mathbb{k}Q$  its path algebra. For a path  $p \in \mathbb{k}Q$ , denote by  $s(p)$  the **source** of  $p$  and by  $t(p)$  the **target** of  $p$ .

Given an automorphism  $\sigma$  of  $Q_0$ , we say  $\omega = \sum c_k p_k \in \mathbb{k}Q$  is a  **$\sigma$ -twisted superpotential** if  $\omega$  is closed under cyclic permutation and  $s(p_k) = \sigma(t(p_k))$  for all  $k$  with  $c_k \neq 0$ . When  $\sigma = \text{id}|_{Q_0}$ ,  $\omega$  is said to be a **superpotential**.

For each  $a \in Q_1$  we define the derivation operator  $\delta_a$  on paths  $p$  via the rule  $\delta_a p = a^{-1}p$  where  $a^{-1}p = 0$  if  $s(p) \neq a$ .

# Vacualgebras

An algebra of the form  $\mathbb{k}Q/(\partial_a \omega : a \in Q_1)$  where  $\omega$  is a (twisted) superpotential is said to be a **vacualgebra**.

Theorem (Bocklandt, Reyes-Rogalski)

*If  $A$  is graded twisted CY of global dimension 3, then  $A$  is a vacualgebra on a strongly connected quiver with homogeneous superpotential.*

Question

*Which quivers support such algebras?*

# CY algebras of finite growth

Let  $A = \mathbb{k}Q/(\partial_a\omega : a \in Q_1)$  be graded twisted CY of global dimension 3 with  $|Q_0| = n$  and  $\deg(\omega) = s$ .

The **adjacency matrix** of a quiver  $Q$  is

$$(M_Q)_{ij} = \# \text{ of arrows from vertex } i \text{ to } j.$$

The **matrix polynomial** of  $Q$  is defined to be

$$p_Q(t) = I_n - M_Q t + M_Q^T P t^{s-1} - P t^s$$

where  $P$  is the permutation matrix (of the vertices) determined by the Nakayama automorphism  $\mu_A$ .

The **matrix-valued Hilbert-series** of  $A$  is  $H_A(t) = 1/p_Q(t)$ .

# CY algebras of finite growth

## Theorem (Reyes-Rogalski)

Suppose  $A = \mathbb{k}Q/(\partial_a \omega : a \in Q_1)$  is graded twisted CY of global dimension 3 and finite GK dimension. Let  $P$  be the permutation matrix corresponding to the Nakayama automorphism  $\mu_A$ .

- Every coefficient matrix in  $H_A(t)$  is nonnegative.
- Every root of  $p_Q(t)$  is a root of unity.
- $M_Q$  and  $P$  commute.
- If  $P = I$  and  $M_Q$  is normal, then either
  - $\deg(\omega) = 3$  and  $\rho(M_Q) = 3$  or
  - $\deg(\omega) = 4$  and  $\rho(M_Q) = 2$ .

Moreover,  $\text{GKdim}(A) = \text{the multiplicity of } 1 \text{ as a root of } p_Q(t)$ .

# Questions

## Question

*Which quivers have adjacency matrices with a good Hilbert series?*

## Question

*Of the quivers in the last question, which quivers have superpotentials that produce CY algebras of global dimension 3 and finite GK dimension?*

## 2-vertex quivers ( $P = I$ )

Suppose  $A = \mathbb{k}Q/(\partial_a \omega : |Q_0| = 2, a \in Q_1)$  is graded twisted CY of global dimension 3 and finite GK dimension. Define

$$\theta(M_Q) := \left. \frac{d}{dt} \det(p_Q(t)) \right|_{t=1}.$$

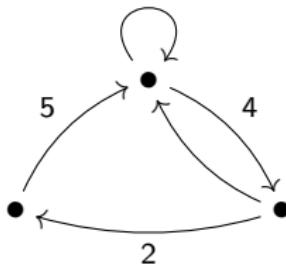
Then  $\theta(M_Q) = 0$  when  $\text{GKdim}(A) < \infty$ . Write  $M_Q = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ . Since  $\theta(M_Q) = (b - c)^2$ , then  $b = c$  and so  $M_Q$  is symmetric.

If  $\deg(\omega) = 3$ , then  $M_Q = \begin{pmatrix} 1 & 2 \\ 2 & 1 \end{pmatrix}$  or  $\begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix}$ .

If  $\deg(\omega) = 4$ , then  $M_Q = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$  or  $\begin{pmatrix} 0 & 2 \\ 2 & 0 \end{pmatrix}$ .

## Bad example

Let  $Q$  be the quiver.



The Hilbert series corresponding to  $Q$  suggests polynomial growth for a degree 3 superpotential.

However, a Gröbner basis argument shows that  $Q$  has no such superpotential.

## 3-vertex quivers

### Proposition (G-Rogalski)

*Let  $A \cong \mathbb{k}Q/(\partial_a\omega : a \in Q_1)$  where  $A$  is graded twisted Calabi-Yau of global dimension 3 and finite GK dimension with  $\deg(\omega) = 3$  or 4. The number of loops at each vertex of  $Q$  does not exceed  $6 - \deg(\omega)$ .*

To prove this, we use the **Golod-Shafarevich inequality**: If  $B$  is a quadratic algebra with  $n$  generators and  $d$  relations, then

$$H_B(t) \geq |(1 - nt + dt^2)^{-1}|.$$

## 3-vertex quivers ( $P = I$ )

Suppose  $A = \mathbb{k}Q/(\partial_a \omega : |Q_0| = 3, a \in Q_1, \deg(\omega) = 3)$  is graded twisted CY of global dimension 3 and finite GK dimension.

The matrix polynomial of  $Q$ ,

$$p_Q(t) = I_3 - M_Q t + M_Q^T t^2 - I_3 t^3,$$

is antipalindromic. Hence, roots appear in inverse pairs.

By the hypothesis on GK dimension, 1 is a root of  $p_Q(t)$  of multiplicity at least 3.

Hence,  $\det(p_Q(t)) = (1 - t)^3 r(t)$  where

$$r(t) = \prod_{i=1}^3 (1 - k_i t + t^2), \quad k_i \in \mathbb{R}, |k_i| \leq 2.$$

## 3-vertex quivers ( $P = I$ )

Write  $M_Q = [m_{ij}]_{i,j=0\dots 3}$  and set

$$\lambda = \sum m_{ii}, \quad \beta = \sum_{i>j} m_{ii}m_{jj}, \quad \gamma = \sum_{i>j} m_{ij}m_{ji}.$$

Setting  $\det(p_Q(t)) = (1-t)^3 r(t)$  we find,

$$\lambda = k_1 + k_2 + k_3 + 3,$$

$$\gamma = \beta - (k_1 k_2 + k_1 k_3 + k_2 k_3) - 2\lambda + 3.$$

For every choice of  $m_{ii}$ , we can find the maximum possible value  $m$  of  $\gamma$ .  
Then solve for the  $k_i$  for every choice of  $\gamma$ ,  $0 \leq \gamma \leq m$ .

## 3-vertex quivers ( $P = I$ ) - Example

Suppose  $Q$  has three loops at each of at least two vertices. This determines  $\beta$  and  $\lambda$ . The maximum value of  $\gamma$  is 0. Thus,

$$M_Q = \begin{pmatrix} 3 & a & 0 \\ 0 & 3 & b \\ c & 0 & \alpha \end{pmatrix}, \quad \alpha \leq 3.$$

Then  $\theta(M_Q) = 0$  implies  $a = 0$  or  $a(\alpha - 3) + 3bc = 0$ . Hence  $\alpha < 3$ .

We can now check that the Hilbert series has negative entries unless  $\alpha = 0$ ,  $a = b = c = 1$ . This matrix can be checked directly to find that it has roots not lying on the unit circle.

## 3-vertex quivers ( $P = I$ ) - Example

Suppose  $Q$  has no loops. Then the maximum value of  $\gamma$  is 3. One can show as before that no good matrices exist when  $\gamma > 0$ . Write,

$$M_Q = \begin{pmatrix} 0 & a & 0 \\ 0 & 0 & b \\ c & 0 & 0 \end{pmatrix}.$$

The Hilbert series corresponding to  $Q$  is good if and only if  $(a, b, c) = (3x, 3y, 3z)$  where  $(x, y, z)$  is a solution to Markov's equation:  $x^2 + y^2 + z^2 = 3xyz$ .

### Question

*Do all such quivers have good superpotentials?*

**YES!**

# Mutations

Let  $Q$  be a quiver without loops or oriented 2-cycles and fix a vertex  $v$ .

## Steps:

- 1 For every pair of arrows  $a, b \in Q_1$  with  $t(a) = v$  and  $s(b) = v$ , create a new arrow  $[ba] : s(a) \rightarrow t(b)$ .
- 2 Reverse each arrow with source or target at  $v$ .
- 3 Remove any maximal disjoint collection of oriented 2-cycles.

The resulting quiver  $\tilde{Q}$ , is called a **mutation** of  $Q$ . There is also a method to mutate the corresponding superpotential.

By a result of Vitoria, two vacualgebras related by mutation are derived equivalent. Thus, if one is the CY, so is the other by a recent result of Zeng.

# Mutations

## Theorem (G-Rogalski)

*The triple  $(3x, 3y, 3z)$  is a solution to Markov's equation if and only if the corresponding quiver can be obtained from the quiver with adjacency matrix*

$$\begin{pmatrix} 0 & 3 & 0 \\ 0 & 0 & 3 \\ 3 & 0 & 0 \end{pmatrix}$$

*by successive mutations.*

These mutations provide the only examples of non-normal matrices whose quivers support a good superpotential (in the 3-vertex case).

## 3-vertex quivers ( $P = I$ )

### Theorem (G-Rogalski)

Let  $A = \mathbb{k}Q/(\partial_a\omega : a \in Q_1, |Q_0| = 3)$  be graded twisted CY of global and GK dimension 3 with  $\deg(\omega) = 3$  or 4. If  $P = I$ , then  $M_Q$  is one the matrices below.

$$\deg(\omega) = 3 : \quad \begin{pmatrix} 2 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{pmatrix}, \begin{pmatrix} 2 & 1 & 1 \\ 1 & 1 & 0 \\ 1 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 & 1 \\ 1 & 2 & 0 \\ 1 & 0 & 2 \end{pmatrix}, \begin{pmatrix} 0 & a & 0 \\ 0 & 0 & b \\ c & 0 & 0 \end{pmatrix},$$

$$\deg(\omega) = 4 : \quad \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 & 1 \\ 1 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 0 \end{pmatrix}.$$

The indeterminates  $a, b, c$  are positive integers satisfying the equation  $a^2 + b^2 + c^2 = abc$ .

## 3-vertex quivers ( $P = I$ )

All quivers in the above theorem except for

$$\begin{pmatrix} 1 & 1 & 1 \\ 1 & 2 & 0 \\ 1 & 0 & 2 \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 0 \end{pmatrix}$$

are McKay quivers for some group action on an AS regular algebra in  $6 - \deg(\omega)$  variables.

### Question

*Are there (twisted) CY algebras on the other quivers?*

## 3-vertex quivers ( $P = I$ ) - Remaining Quivers

Let  $Q$  be the quiver with adjacency matrix

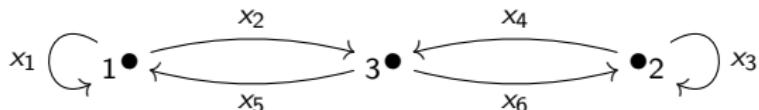
$$M_Q = \begin{pmatrix} 1 & 1 & 1 \\ 1 & 2 & 0 \\ 1 & 0 & 2 \end{pmatrix}.$$

Let  $Q'$  be the quiver with adjacency matrix  $M_Q - I$ . Then  $Q'$  supports a twisted CY algebra  $B$  of global and GK dimension 2 [Reyes-Rogalski].

It follows that  $A = B[t]$  is a twisted graded CY algebra supported on  $Q$  with global and GK dimension 3, and  $\deg(\omega) = 3$ .

## 3-vertex quivers ( $P = I$ ) - Remaining Quivers

Let  $Q$  be the quiver below.



Set  $A = \mathbb{k}Q/(\partial_a \omega : a \in Q_1)$  with

$$\omega = \frac{1}{2}x_1^4 + x_1^2x_2x_5 + x_2x_5x_2x_5 + x_2x_6x_4x_5 + x_4x_6x_4x_6 + x_3^2x_4x_6 + \frac{1}{2}x_3^4.$$

Then  $\Omega = x_1^2 + x_2x_5 + x_5x_2 + x_4x_6 + x_6x_4 + x_3^2 +$  is a normal element in  $A$  and  $B = A/(\Omega)$  is a twisted CY algebra of global and GK dimension 2 [Reyes-Rogalski].

Thus,  $A$  is a graded twisted CY algebra supported on  $Q$  with global and GK dimension 3, and  $\deg(\omega) = 4$ .

## 2-vertex quivers ( $P \neq I$ )

### Proposition (G-Rogalski)

Let  $A \cong \mathbb{k}Q/(\partial_a\omega : a \in Q_1, |Q_0| = 2)$  be graded twisted CY of global and GK dimension 3 with  $\deg(\omega) = 3$  or 4. If  $P = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  is the matrix corresponding to the Nakayama automorphism of  $A$ , then  $M_Q$  is one of the following:

$$\deg(\omega) = 3 : \left( \begin{smallmatrix} 1 & 2 \\ 2 & 1 \end{smallmatrix} \right), \left( \begin{smallmatrix} 2 & 1 \\ 1 & 2 \end{smallmatrix} \right), \left( \begin{smallmatrix} 0 & 3 \\ 3 & 0 \end{smallmatrix} \right),$$

$$\deg(\omega) = 4 : \left( \begin{smallmatrix} 1 & 1 \\ 1 & 1 \end{smallmatrix} \right).$$

# 3-vertex quivers ( $P \neq I$ )

## Proposition (G-Rogalski)

Let  $A \cong \mathbb{k}Q/(\partial_a\omega : a \in Q_1, |Q_0| = 3)$  be graded twisted CY of global dimension 3 and finite GK dimension with  $\deg(\omega) = 3$  or 4. If

$$P = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}$$

is the matrix corresponding to the Nakayama automorphism of  $A$ , then  $M_Q$  is one of the following:

$$\deg(\omega) = 3 : \begin{pmatrix} 2 & 1 & 0 \\ 0 & 2 & 1 \\ 1 & 0 & 2 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 2 \\ 2 & 1 & 0 \\ 0 & 2 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 2 & 1 \\ 1 & 0 & 2 \\ 2 & 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix},$$

$$\deg(\omega) = 4 : \begin{pmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 1 \\ 1 & 1 & 0 \\ 0 & 1 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 2 & 0 \\ 0 & 0 & 2 \\ 2 & 0 & 0 \end{pmatrix}.$$

# 3-vertex quivers ( $P \neq I$ )

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# Thank You!