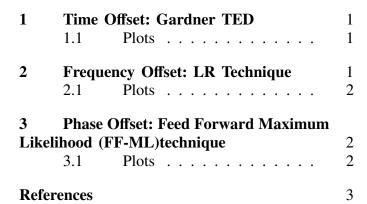
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Digital Synchronization Techniques for Reliable Communication

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Abstract—This manual provides a brief description about the design and implementation of digital synchronization techniques for reliable communication.

1. Time Offset: Gardner TED

Let the *m*th sample in the *r*th received symbol time slot be

$$Y_k(m) = X_k + V_k(m), \quad k = 1, ..., N, m = 1, ..., M.$$
(1.1)

where X_k is the transmitted symbol in the kth time slot and $V_k(m) \sim \mathcal{N}(0, \sigma^2)$. The decision variable for the kth symbol is [1]

$$U_{k} = \frac{1}{N} \sum_{i=1}^{N} Y_{k-i} \left(\frac{M}{2} \right) \left[Y_{k-i+1} \left(M \right) - Y_{k-i} \left(M \right) \right]$$
 (1.2)

A. Plots

Fig. 1 is generated by the following code

https://github.com/gadepall/EE5837/raw/master/synctech/codes/time_sync_offsets.py

and shows the variation of the BER with respect to the SNR with different timing offsets τ for N = 6.

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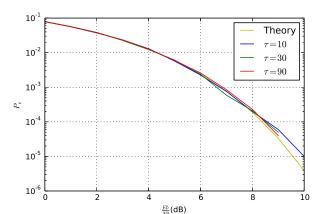


Fig. 1: SNR vs BER for varying τ .

2. Frequency Offset: LR Technique

Let the frequency offset be Δf [2]. Then

$$Y_k = X_k e^{j2\pi\Delta f k M} + V_k, \quad k = 1, ..., N$$
 (2.1)

From (2.1),

$$Y_k X_k^* = |X_k|^2 e^{j2\pi\Delta f k M} + X_k^* V_k \tag{2.2}$$

$$\implies r_k = e^{j2\pi\Delta fkM} + \bar{V}_k \tag{2.3}$$

where

$$r_k = Y_k X_k^*, \bar{V}_k = X_k^* V_k, |X_k|^2 = 1$$
 (2.4)

The autocorrelation can be calculated as

$$R(k) \stackrel{\Delta}{=} \frac{1}{N-k} \sum_{i=k+1}^{N} r_i r_{i-k}^*, 1 \le k \le N-1$$
 (2.5)

Where N is the length of the received signal. For large centre frequency, the following yields a good approximation for frequency offset upto 40 MHz.

$$\Delta \hat{f} \approx \frac{1}{2\pi M} \frac{\sum_{k=1}^{P} \operatorname{Im}(R(k))}{\sum_{k=1}^{P} k \operatorname{Re}(R(k))}, \quad P\Delta f M << 1 \quad (2.6)$$

where *P* is the number of pilot symbols.

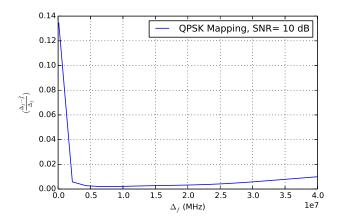


Fig. 2: Error variation with respect to frequency offset.

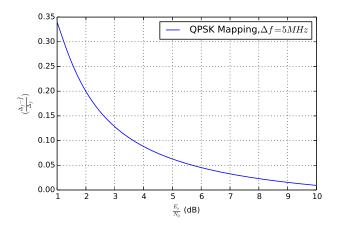


Fig. 3: Error variation with respect to the SNR. $\Delta f = 5$ MHz, Center frequency $f_c = 25$ GHz

A. Plots

The number of pilot symbols is P = 18. The codes for generating the plots are available at

Fig. 2 shows the variation of the error in the offset estimate with respect to the offset Δf when the SNR = 10 dB. Similarly Fig. 3 shows the variation of the error with respect to the SNR for $\Delta f = 5$ MHz.

3. Phase Offset: Feed Forward Maximum Likelihood (FF-ML) technique

Let the phase offset be $\Delta\phi$ [3] . Then for the kth pilot,

$$Y_k = X_k e^{j\Delta\phi_k} + V_k, \quad k = 1, \dots, P$$
 (3.1)

From (3.1),

$$Y_k X_k^* = |X_k|^2 e^{j\Delta\phi_k} + X_k^* V_k \tag{3.2}$$

$$\implies r_k = e^{j\Delta\phi_k} + \bar{V}_k \tag{3.3}$$

where

$$r_k = Y_k X_k^*, \, \bar{V}_k = X_k^* V_k, |X_k|^2 = 1$$
 (3.4)

From (3.3), the estimate for the kth pilot is obtained as

$$\Delta \hat{\phi}_k = \arg\left(r_k\right) \tag{3.5}$$

The phase estimate is then obtained using $\Delta \hat{\phi}_k$ in the following update equation as

$$\Delta \theta_k = \Delta \theta_{k-1} + \alpha SAW \left[\Delta \hat{\phi}_k - \Delta \theta_{k-1} \right]$$
 (3.6)

Where SAW is sawtooth non-linearity

$$SAW[\phi] = \left[\phi\right]_{-\pi}^{\pi} \tag{3.7}$$

and $\alpha \leq 1$. The estimate is then obtained as $\Delta \theta_P$.

A. Plots

Fig. 4 is generated using

https://github.com/gadepall/EE5837/raw/master/synctech/codes/Error vs lp.py

and shows the variation of the phase error in the offset estimate with respect to the pilot symbols when the SNR = 10 dB and α = 0.5.

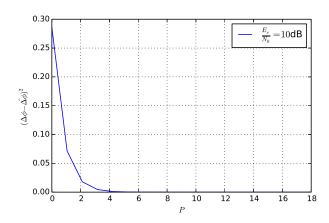


Fig. 4: Phase error variation with respect to pilot symbols

Similarly Fig. 5 generated by

https://github.com/gadepall/EE5837/blob/master/synctech/codes/Error_vs_snr.py

shows the variation of the error with respect to the SNR for pilot symbols P = 18 and $\alpha = 1$.

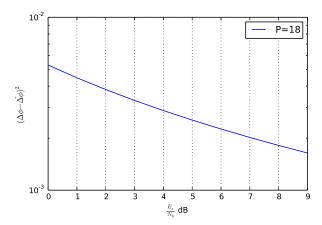


Fig. 5: $\Delta f = 5 \text{ MHz}$

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