#### 1

# Digital Modulation Techniques

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1

2

3

# **CONTENTS**

BPSK
 Coherent BFSK

3 Maximum Likelihood: Higher Order Modulation

**4 QPSK** 4

5 M-PSK 5

Abstract—The manual frames the problems of receiver design and performance analysis in digital communication as applications of probability theory.

Download all codes in this manual from

svn co https://github.com/gadepall/comm/trunk/modulation/manual/codes

### 1 BPSK

1.1. The *signal constellation diagram* for BPSK is given by Fig. 1.1. The symbols  $s_0$  and  $s_1$  are equiprobable.  $\sqrt{E_b}$  is the energy transmitted per bit. Assuming a zero mean additive white gaussian noise (AWGN) with variance  $\frac{N_0}{2}$ , obtain the symbols that are received.

**Solution:** The possible received symbols are

$$y|s_0 = \sqrt{E_b} + n {(1.1.1)}$$

$$y|s_1 = -\sqrt{E_b} + n (1.1.2)$$

where the AWGN  $n \sim \mathcal{N}\left(0, \frac{N_0}{2}\right)$ .

1.2. From Fig. 1.1 obtain a decision rule for BPSK. **Solution:** The decision rule is

$$y \underset{s_1}{\stackrel{s_0}{\gtrless}} 0$$
 (1.2.1)

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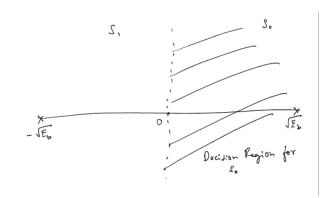


Fig. 1.1

1.3. Find the PDFs of  $y|s_0$  and  $y|s_0$ Solution:  $y|s_0$  is Gaussian with

$$E\left[y|s_0\right] = \sqrt{E_b} \tag{1.3.1}$$

$$var(y|s_0) = \frac{N_0}{2},$$
 (1.3.2)

$$\implies p(y|s_0) = \frac{1}{\sqrt{2\pi}} \exp\left\{-\frac{\left(y - \sqrt{E_b}\right)^2}{\frac{N_0}{2}}\right\}$$
(1.3.3)

Similarly,

$$p(y|s_1) = \frac{1}{\sqrt{2\pi}} \exp\left\{-\frac{(y + \sqrt{E_b})^2}{\frac{N_0}{2}}\right\}$$
 (1.3.4)

1.4. Obtain (1.2.1) using the MAP criterion. **Solution:** According to the MAP rule,

$$\hat{s} = \max_{s \in \{s_0, s_1\}} p(s|y) \tag{1.4.1}$$

$$\implies p(s_0|y) \underset{s_1}{\stackrel{s_0}{\gtrless}} p(s_1|y) \tag{1.4.2}$$

Using Bayes' rule,

$$p(s_0|y) = \frac{p(y|s_0) p(s_0)}{p(y)}$$
(1.4.3)

$$p(s_1|y) = \frac{p(y|s_1)p(s_1)}{p(y)}$$
(1.4.4)

which, upon substituting in (1.4.2), yields

$$\frac{p(y|s_0) p(s_0)}{p(y)} \underset{s_1}{\overset{s_0}{\ge}} \frac{p(y|s_1) p(s_1)}{p(y)}$$
(1.4.5)

$$p(y|s_0) \underset{s_1}{\overset{s_0}{\gtrless}} p(y|s_1)$$
 (1.4.6)

: the symbols are equiprobable. Substituting from and in

$$\frac{1}{\sqrt{2\pi}} \exp\left\{-\frac{\left(y - \sqrt{E_b}\right)^2}{\frac{N_0}{2}}\right\}$$

$$\stackrel{s_0}{\underset{s_1}{\gtrless}} \frac{1}{\sqrt{2\pi}} \exp\left\{-\frac{\left(y + \sqrt{E_b}\right)^2}{\frac{N_0}{2}}\right\} \quad (1.4.7)$$

$$\implies \left(y + \sqrt{E_b}\right)^2 \underset{s_1}{\stackrel{s_0}{\gtrless}} \left(y - \sqrt{E_b}\right)^2 \qquad (1.4.8)$$

or, 
$$y \underset{s_1}{\stackrel{s_0}{\gtrless}} 0$$
 (1.4.9)

after some algebra.

1.5. Using the decision rule in Problem 1.2, obtain an expression for the probability of error for BPSK.

**Solution:** Since the symbols are equiprobable, it is sufficient if the error is calculated assuming that a 0 was sent. This results in

$$P_e = \Pr(y < 0|s_0) = \Pr(\sqrt{E_b} + n < 0)$$
 (1.5.1)  
=  $\Pr(-n > \sqrt{E_b}) = \Pr(n > \sqrt{E_b})$  (1.5.2)

since *n* has a symmetric pdf. Let  $w \sim \mathcal{N}(0, 1)$ . Then  $n = \sqrt{\frac{N_0}{2}}w$ . Substituting this in (1.5.2),

$$P_e = \Pr\left(\sqrt{\frac{N_0}{2}}w > \sqrt{E_b}\right) = \Pr\left(w > \sqrt{\frac{2E_b}{N_0}}\right)$$
(1.5.3)

$$=Q\left(\sqrt{\frac{2E_b}{N_0}}\right) \tag{1.5.4}$$

where

$$Q(x) \stackrel{\triangle}{=} \Pr(w > x), x \ge 0. \tag{1.5.5}$$

$$= \frac{1}{\pi} \int_0^{\frac{\pi}{2}} e^{-\frac{x^2}{2\sin^2\theta}} d\theta$$
 (1.5.6)

The PDF of  $w \sim \mathcal{N}(0, 1)$  is given by

$$p_w(x) = \frac{1}{\sqrt{2\pi}} \exp\left(-\frac{x^2}{2}\right), -\infty < x < \infty$$
(1.5.7)

and the complementary error function is defined as

$$\operatorname{erfc}(x) = \frac{2}{\sqrt{\pi}} \int_{x}^{\infty} e^{-t^2} dt.$$
 (1.5.8)

1.6. Show that

$$Q(x) = \frac{1}{2}\operatorname{erfc}\left(\frac{x}{\sqrt{2}}\right) \tag{1.6.1}$$

**Solution:** From (1.5.5)

$$Q(x) = \Pr(w > x), x \ge 0$$
 (1.6.2)

$$= \frac{1}{\sqrt{2\pi}} \int_{x}^{\infty} e^{-\frac{t^2}{2}} dt.$$
 (1.6.3)

$$= \frac{1}{\sqrt{\pi}} \int_{\frac{x}{\sqrt{2}}}^{\infty} e^{-y^2} dy. \quad \left(t = \sqrt{2}y\right) \quad (1.6.4)$$

resulting in

1.7. Verify the bit error rate (BER) plots for BPSK through simulation and analysis for 0 to 10 dB. **Solution:** The following code

yields Fig. 1.7

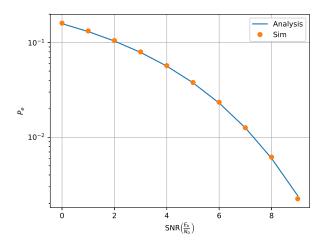


Fig. 1.7

## 2 Coherent BFSK

2.1. The signal constellation for binary frequency shift keying (BFSK) is given in Fig. 2.1. Obtain

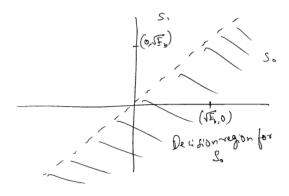


Fig. 2.1

the equations for the received symbols.

**Solution:** The received symbols are given by

$$\mathbf{y}|s_0 = \begin{pmatrix} \sqrt{E_b} \\ 0 \end{pmatrix} + \begin{pmatrix} n_1 \\ n_2 \end{pmatrix}, \tag{2.1.1}$$

and

$$\mathbf{y}|s_1 = \begin{pmatrix} 0\\\sqrt{E_b} \end{pmatrix} + \begin{pmatrix} n_1\\n_2 \end{pmatrix},\tag{2.1.2}$$

where  $n_1, n_2 \sim \mathcal{N}\left(0, \frac{N_0}{2}\right)$ . and  $\mathbf{y} = \begin{pmatrix} y_1 \\ y_2 \end{pmatrix}$ .

2.2. Obtain a decision rule for BFSK from Fig. 2.1. **Solution:** The decision rule is

$$y_1 \underset{s_1}{\stackrel{s_0}{\gtrless}} y_2$$
 (2.2.1)

2.3. The multivariate Gaussian distribution is defined as

$$p_{\mathbf{x}}(x_1, \dots, x_k) = \frac{1}{\sqrt{(2\pi)^k |\Sigma|}} \exp\left\{-\frac{1}{2} (\mathbf{x} - \boldsymbol{\mu})^T \boldsymbol{\Sigma}^{-1} (\mathbf{x} - \boldsymbol{\mu})\right\}$$
(2.3.1)

where  $\mu$  is the mean vector,  $\Sigma = E\left[ (\mathbf{x} - \mu) (\mathbf{x} - \mu)^T \right]$  is the covariance matrix and  $|\Sigma|$  is the determinant of  $\Sigma$ . Show that the PDF of the *bivariate* Gaussian is

$$p(x,y) = \frac{1}{2\pi\sigma_x\sigma_y} \frac{1}{\sqrt{1-\rho^2}} \exp\left[-\frac{1}{2(1-\rho^2)}\right]$$

$$\times \left\{ \frac{(x-\mu_x)^2}{\sigma_x^2} + \frac{(y-\mu_y)^2}{\sigma_y^2} - \frac{2\rho(x-\mu_x)(y-\mu_y)}{\sigma_x\sigma_y} \right\}$$
(2.3.2)

where

$$\boldsymbol{\mu} = \begin{pmatrix} \mu_x \\ \mu_y \end{pmatrix}, \boldsymbol{\Sigma} = \begin{pmatrix} \sigma_x^2 & \rho \sigma_x \sigma_y \\ \rho \sigma_x \sigma_y & \sigma_y^2 \end{pmatrix}$$
 (2.3.3)

For equiprobable symbols, the MAP criterion is defined as

$$p(\mathbf{y}|s_0) \underset{s_1}{\overset{s_0}{\gtrless}} p(\mathbf{y}|s_1) \tag{2.3.4}$$

2.4. Use (2.3.2) in (2.3.4) to obtain (2.2.1).

**Solution:** According to the MAP criterion, assuming equiprobably symbols,

$$p(\mathbf{y}|s_0) \underset{s_1}{\overset{s_0}{\gtrless}} p(\mathbf{y}|s_1) \tag{2.4.1}$$

2.5. Derive and plot the probability of error. Verify through simulation.

**Solution:** Given that  $s_0$  was transmitted, the received symbols are

$$\mathbf{y}|s_0 = \begin{pmatrix} \sqrt{E_b} \\ 0 \end{pmatrix} + \begin{pmatrix} n_1 \\ n_2 \end{pmatrix}, \tag{2.5.1}$$

From (2.2.1), the probability of error is given by

$$P_e = \Pr(y_1 < y_2 | s_0) = \Pr(\sqrt{E_b} + n_1 < n_2)$$
(2.5.2)

$$= \Pr\left(n_2 - n_1 > \sqrt{E_b}\right) \tag{2.5.3}$$

Note that  $n_2 - n_1 \sim \mathcal{N}(0, N_0)$ . Thus,

$$P_e = \Pr\left(\sqrt{N_0}w > \sqrt{E_b}\right) \tag{2.5.4}$$

$$= \Pr\left(w > \sqrt{\frac{E_b}{N_0}}\right) \tag{2.5.5}$$

$$\implies P_e = Q\left(\sqrt{\frac{E_b}{N_0}}\right) \tag{2.5.6}$$

where  $w \sim \mathcal{N}(0, 1)$ . The following code plots the BER curves in Fig. 2.5

- 3 MAXIMUM LIKELIHOOD: HIGHER ORDER MODULATION
- 3.1. In higher order modulation, the number of possible symbols can be M > 2. Suggest a model for the received vector.

**Solution:** The model can be expressed as

$$\mathbf{y} = \mathbf{s} + \mathbf{n} \tag{3.1.1}$$

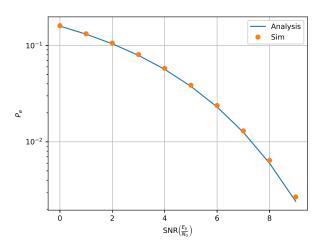


Fig. 2.5

where

$$\mathbf{y} = \begin{pmatrix} y_1 \\ y_2 \\ \dots \\ y_N \end{pmatrix}, \tag{3.1.2}$$

$$\mathbf{s} \in \{\mathbf{s}_0, \mathbf{s}_1 \dots \mathbf{s}_M\}, \tag{3.1.3}$$

$$\mathbf{n} \sim \mathcal{N}\left(0, \frac{N_0}{2}\mathbf{I}\right)$$
 (3.1.4)

3.2. Find  $p(\mathbf{y}|\mathbf{s})$ .

**Solution:** For (3.1.1), the parameters in (2.3.1)

$$\mu = \mathbf{s} \tag{3.2.1}$$

$$\Sigma = \frac{N_0}{2}\mathbf{I} \tag{3.2.2}$$

$$\implies p(\mathbf{y}|\mathbf{s}) = \frac{1}{(\pi N_0)^{\frac{1}{2}}} e^{-\frac{\|\mathbf{y}-\mathbf{s}\|^2}{N_0}}$$
 (3.2.3)

3.3. Extending (1.4.2) and (1.4) for arbitrary M

$$\hat{\mathbf{s}} = \max_{\mathbf{s} \in \{\mathbf{s}_0, \mathbf{s}_1\} \dots \mathbf{s}_M} p\left(\mathbf{s} | \mathbf{y}\right)$$
 (3.3.1)

$$\implies \hat{\mathbf{s}} = \max_{\mathbf{s} \in \{\mathbf{s}_0, \mathbf{s}_1 \dots \mathbf{s}_M\}} p(\mathbf{y}|\mathbf{s}) \tag{3.3.2}$$

which is known as the maximum likelihood decision. Using (3.2.3), show that (3.3.2) reduces to the minimum distance criterion

$$\hat{\mathbf{s}} = \min_{\mathbf{s} \in \{\mathbf{s}_0, \mathbf{s}_1, \dots, \mathbf{s}_M\}} ||\mathbf{y} - \mathbf{s}||$$
 (3.3.3)

**Solution:** Using (3.2.3), (3.3.2) can be ex- 4.3. Show that (4.2.1) is convex.

pressed as

$$\hat{\mathbf{s}} = \max_{\mathbf{s} \in \{\mathbf{s}_0, \mathbf{s}_1 \dots \mathbf{s}_M\}} \frac{1}{(\pi N_0)^{\frac{1}{2}}} e^{-\frac{||\mathbf{y} - \mathbf{s}||^2}{N_0}}$$
(3.3.4)

$$= \min_{\mathbf{s} \in \{\mathbf{s}_0, \mathbf{s}_1 \dots \mathbf{s}_M\}} \frac{\|\mathbf{y} - \mathbf{s}\|^2}{N_0}$$
 (3.3.5)

$$= \min_{\mathbf{s} \in \{\mathbf{s}_0, \mathbf{s}_1, \dots, \mathbf{s}_M\}} ||\mathbf{y} - \mathbf{s}||^2$$
 (3.3.6)

yielding (3.3.3).

4 QPSK

4.1. Let

$$\mathbf{y} = \mathbf{s} + \mathbf{n} \tag{4.1.1}$$

where  $s \in \{s_0, s_1, s_2, s_3\}$  and

$$\mathbf{s}_0 = \begin{pmatrix} \sqrt{E_s} \\ 0 \end{pmatrix}, \mathbf{s}_1 = \begin{pmatrix} 0 \\ \sqrt{E_s} \end{pmatrix}, \tag{4.1.2}$$

$$\mathbf{s}_2 = \begin{pmatrix} -\sqrt{E_s} \\ 0 \end{pmatrix}, \mathbf{s}_3 = \begin{pmatrix} 0 \\ -\sqrt{E_s} \end{pmatrix}, \quad (4.1.3)$$

$$E[\mathbf{n}] = \mathbf{0}, E\left[\mathbf{n}\mathbf{n}^{T}\right] = \frac{N_0}{2}\mathbf{I}$$
 (4.1.4)

4.2. Using (3.3.3), show that the MAP decision for detecting  $s_0$  results in

$$|y_2| < y_1 \tag{4.2.1}$$

Sketch this region.

**Solution:** From (3.3.3),  $\mathbf{s}_0$  is chosen if

$$\|\mathbf{y} - \mathbf{s}_0\|^2 < \|\mathbf{y} - \mathbf{s}_1\|^2$$
 (4.2.2)

$$\|\mathbf{y} - \mathbf{s}_0\|^2 < \|\mathbf{y} - \mathbf{s}_2\|^2$$
 (4.2.3)

$$\|\mathbf{y} - \mathbf{s}_0\|^2 < \|\mathbf{y} - \mathbf{s}_3\|^2$$
 (4.2.4)

 $\|\mathbf{s}_i\|^2 = \sqrt{E_s}$ , the above conditions can be simplified to obtain the region

$$\left(\mathbf{s}_0 - \mathbf{s}_1\right)^T \mathbf{y} > 0 \tag{4.2.5}$$

$$(\mathbf{s}_0 - \mathbf{s}_2)^T \mathbf{v} > 0 \tag{4.2.6}$$

$$(\mathbf{s}_0 - \mathbf{s}_3)^T \mathbf{y} > 0 \tag{4.2.7}$$

Substituting from (4.1.2), in the above and eliminating  $E_s$ , the desired region is

$$\begin{pmatrix} 1 & -1 \end{pmatrix} \mathbf{y} > 0 \tag{4.2.8}$$

$$(1 \quad 0)\mathbf{y} > 0 \tag{4.2.9}$$

$$(1 \quad 1)\mathbf{y} > 0$$
 (4.2.10)

yielding (4.2.1).

4.4. Express  $Pr(\hat{\mathbf{s}} = \mathbf{s}_0 | \mathbf{s} = \mathbf{s}_0)$  in terms of  $y_1, y_2$ . **Solution:** From (4.2.1) and (4.1.2),

$$\Pr(\hat{\mathbf{s}} = \mathbf{s}_0 | \mathbf{s} = \mathbf{s}_0)$$

$$= \Pr(|y_2| < y_1 | y_1 = \sqrt{E_s}, y_2 = 0) \quad (4.4.1)$$

4.5. Let

$$X = n_2 - n_1, (4.5.1)$$

$$Y = -n_2 - n_1, (4.5.2)$$

where  $\mathbf{n} = \begin{pmatrix} n_1 \\ n_2 \end{pmatrix}$ .

Show that  $\dot{X}, \dot{Y} \sim \mathcal{N}(0, N_0)$ .

4.6. The correlation coefficient of X, Y is defined as

$$\rho = \frac{E\left[ (X - \mu_x) \left( Y - \mu_y \right) \right]}{\sigma_x \sigma_y} \tag{4.6.1}$$

X and Y are said to be uncorrelated if  $\rho = 0$ Show that X and Y are uncorrelated. Verify this 4.10. Verify the above through simulation. numerically.

**Solution:** From (4.1.4),

$$\mu_{x} = E[X] = 0 \tag{4.6.2}$$

$$\mu_{v} = E[Y] = 0 \tag{4.6.3}$$

$$\implies \rho = E[XY] = E[(n_2 - n_1)(-n_2 - n_1)]$$
= 0 (4.6.4)

upon substituting from (4.5.1) and (4.5.2).

4.7. Show that X and Y are independent, i.e.

$$p_{XY}(x, y) = p_X(x)p_Y(y).$$
 (4.7.1)

**Solution:** Use (4.6.4) in (2.3.2) to get (4.7.1). Uncorrelated Gaussians are independent.

4.8. Show that

$$\Pr\left(\hat{\mathbf{s}} = \mathbf{s}_0 | \mathbf{s} = \mathbf{s}_0\right) = \Pr\left(X < \sqrt{E_s}\right) \Pr\left(Y < \sqrt{E_s}\right). \tag{4.8.1}$$

**Solution:** From (4.4.1) and (4.1.1)

$$\Pr(\hat{\mathbf{s}} = \mathbf{s}_0 | \mathbf{s} = \mathbf{s}_0)$$

$$= \Pr(|n_2| < \sqrt{E_s} + n_1) \quad (4.8.2)$$

which can be expressed as

$$\Pr\left(n_2 < \sqrt{E_s} + n_1, -n_2 > \sqrt{E_s} + n_1\right)$$
 (4.8.3)

$$= \Pr\left(X < \sqrt{E_s}, Y < \sqrt{E_s}\right) \quad (4.8.4)$$

$$= \Pr\left(X < \sqrt{E_s}\right) \Pr\left(Y < \sqrt{E_s}\right) \quad (4.8.5)$$

after some algebra, using the fact that X, Y are independent.

4.9. Show that

$$\Pr(\hat{\mathbf{s}} = \mathbf{s}_0 | \mathbf{s} = \mathbf{s}_0) = \left(1 - Q\left(\sqrt{\frac{E_s}{N_0}}\right)\right)^2$$
 (4.9.1)

**Solution:** From ,

$$\Pr(X > \sqrt{E_s}) = \Pr(Y > \sqrt{E_s}) = Q\left(\sqrt{\frac{E_s}{N_0}}\right)$$
(4.9.2)

yielding (4.9.1).

**Solution:** This is shown in Fig. 4.10 through the following code.

codes/qpsk.py

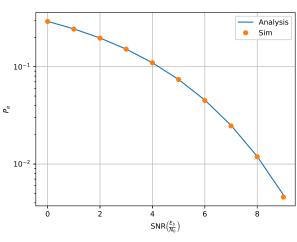


Fig. 4.10

4.11. Modify the above script to obtain the probability of symbol error.

5.1. Consider a system where  $\mathbf{s}_i = \begin{pmatrix} \cos\left(\frac{2\pi i}{M}\right) \\ \sin\left(\frac{2\pi i}{M}\right) \end{pmatrix}, i =$  $0, 1, \dots M - 1$ . Let

$$\mathbf{y}|s_0 = \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} = \begin{pmatrix} \sqrt{E_s} + n_1 \\ n_2 \end{pmatrix}$$
 (5.1.1)

where  $n_1, n_2 \sim \mathcal{N}\left(0, \frac{N_0}{2}\right)$ . Substituting

$$y_1 = R\cos\theta \tag{5.1.2}$$

$$y_2 = R\sin\theta \tag{5.1.3}$$

show that the joint pdf of  $R, \theta$  is

$$p(R,\theta) = \frac{R}{\pi N_0} \exp\left(-\frac{R^2 - 2R\sqrt{E_s}\cos\theta + E_s}{N_0}\right) \qquad \therefore Q(0) = \frac{1}{2}.$$
(5.1.4) 5.5. Find the decision region for the symbol  $\mathbf{s}_0$ .

5.2. Show that

$$\lim_{\alpha \to \infty} \int_0^\infty (V - \alpha) e^{-(V - \alpha)^2} dV = 0$$
 (5.2.1)

$$\lim_{\alpha \to \infty} \int_0^\infty e^{-(V-\alpha)^2} dV = \sqrt{\pi} \quad (5.2.2)$$

5.3. Using the above, show that

$$\int_0^\infty V \exp\left\{-\left(V^2 - 2V\sqrt{\gamma}\cos\theta + \gamma\right)\right\} dV$$
$$= e^{-\gamma\sin^2\theta}\sqrt{\gamma\pi}\cos\theta \quad (5.3.1)$$

for large values of  $\gamma$ .

**Solution:** The integrand in (5.3.1) can be expressed as

$$Ve^{-(V^{2}-2V\sqrt{\gamma}\cos\theta+\gamma)}$$

$$= \{(V - \sqrt{\gamma}\cos\theta) + (\sqrt{\gamma}\cos\theta)\}$$

$$\times e^{-(V - \sqrt{\gamma}\cos\theta)^{2}}e^{-\sqrt{\gamma}\sin^{2}\theta}$$

$$\implies \int_{0}^{\infty} Ve^{-(V^{2}-2V\sqrt{\gamma}\cos\theta+\gamma)}dV$$

$$= e^{-\sqrt{\gamma}\sin^{2}\theta}$$

$$\times \left\{\int_{0}^{\infty} (V - \sqrt{\gamma}\cos\theta)e^{-(V - \sqrt{\gamma}\cos\theta)^{2}}d\theta\right\}$$

$$+ \int_{0}^{\infty} (\sqrt{\gamma}\cos\theta)e^{-(V - \sqrt{\gamma}\cos\theta)^{2}}d\theta$$

$$(5.3.2)$$

yielding (5.3.1) from (5.2.1) and (5.2.2)

5.4. Find a compact expression for

$$I = 1 - \sqrt{\frac{\gamma}{\pi}} \int_{-\frac{\pi}{M}}^{\frac{\pi}{M}} e^{-\gamma \sin^2 \theta} \cos \theta \, d\theta \qquad (5.4.1)$$

**Solution:** The above integral can be expressed

$$I = 1 - 2\sqrt{\frac{\gamma}{\pi}} \int_0^{\frac{\pi}{M}} e^{-\gamma \sin^2 \theta} \cos \theta \, d\theta \qquad (5.4.2)$$

$$=1-2\left\{Q\left(0\right)-Q\left(\sqrt{2\gamma}\sin\frac{\pi}{M}\right)\right\} \quad (5.4.3)$$

$$=2Q\left(\sqrt{2\gamma}\sin\frac{\pi}{M}\right)\tag{5.4.4}$$

5.6. Show that

$$P_{e|\mathbf{s}_0} = 2Q\left(\sqrt{2\left(\frac{E_s}{N_o}\right)}\sin\frac{\pi}{M}\right)$$
 (5.6.1)

5.7. Verify the SER through simulation.