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## Hatcher Algebraic Topology

### 1 Chapter 0: Some Underlying Geometric Notions

#### 1.1 Summary sections

Every few pages I'll try to summarize what I read.

We start with the equivalence problem in mathematics. In the case of algebraic topology, the equivalence problem concerns when 2 spaces (resp., 2 maps) are homotopy equivalent (resp, homotopic). BUT WHAT DOES THAT MEAN??!!

Suppose we have that a space  $X$  deformation retracts onto its subspace  $A$ . Let  $f_t : X \rightarrow X$  be the family of maps of the deformation retraction. Let  $i : A \rightarrow X$  be the inclusion map and let  $r : X \rightarrow A$  be the retraction map ( $f_1$ ). Notice that  $r \circ i = \text{id}$  (EQUALS THE IDENTITY ON  $A$ ) since we know that  $r|_A$  is the identity on  $A$  (by definition of a retraction) and thus first including  $A$  into  $X$ , then applying  $r$  will just give us the identity. On the other hand,  $i \circ r$  is NOT simply equal to the identity, because while  $r$  maps all points in  $X$  to  $A$ , it does NOT act as the identity on points in  $X \setminus A$ . Points in  $X \setminus A$  will still be mapped to points in  $A$ , and then applying the inclusion map doesn't change anything. It does however mean that  $i \circ r : X \rightarrow X$  is not the identity. HOWEVER,  $i \circ r$  is HOMOTOPIC to the identity map of  $X$ . Why??? Consider  $F(t, x) = f_t(x)$ , our homotopy. Notice that  $F(0, x) = f_0(x) = \text{id}_X$  by definition of a homotopy. On the other hand,  $F(1, x) = f_1(x) = i \circ r$  since  $i \circ r = r$ . Therefore  $i \circ r \simeq \text{id}_X$ . Since  $r : X \rightarrow A$  and there exists a map  $i : A \rightarrow X$  such that  $r \circ i \simeq \text{id}$  and  $i \circ r \simeq \text{id}$ , then  $X$  and  $A$  are homotopy equivalent.

#### 1.2 Homotopy and Homotopy Type

Basic idea: homotopy is a broader sense of homeomorphism for thinking of two spaces as "equivalent." Example given in the beginning: consider a bold letter inside of a block outline

of that letter. We can consider sliding the points on the block outline inside via straight lines such that at time 0 the points on the block outline remain on the block outline, and at time 1 the points on the block outline are now at a point on the bold letter inside. Actually for every time  $t$  between 0 and 1 we can consider the map  $f_t : X \rightarrow X$  (where  $X$  is the space enclosed by the block outline) such that  $f_0$  is the identity map,  $f_1(X) = A$  describes the final locations of the points in  $X$  (which is a subspace  $A$ , in our example the bold letter which is a subspace of the block letter), and such that  $f_t$  restricted to  $A$  is always  $A$ , for all  $t$  (the points in the space we're trying to map to never move!!). Each  $f_t(x)$  gives the position of some point  $x \in X$  at time  $t$ . These maps give rise to the following definition:

**Definition 1.** A **deformation retraction** of a space  $X$  onto a subspace  $A$  is a family of maps  $f_t : X \rightarrow X, t \in I$  such that  $f_0 = \text{id}$  (the identity map),  $f_1(X) = A$ , and  $f_t$  restricted to  $A$  is the identity for all  $t$ . The family  $f_t$  should be continuous in the sense that the associated map  $X \times I \rightarrow X, (x, t) \mapsto f_t(x)$  is continuous.

**Definition 2.** Let  $f : X \rightarrow Y$  be a continuous map between spaces, and consider the quotient space  $(X \times I) \sqcup Y / \sim$  where the points  $(x, 0)$  are identified with  $f(x) \in Y$ . This space is called the **mapping cylinder**  $M_f$ .

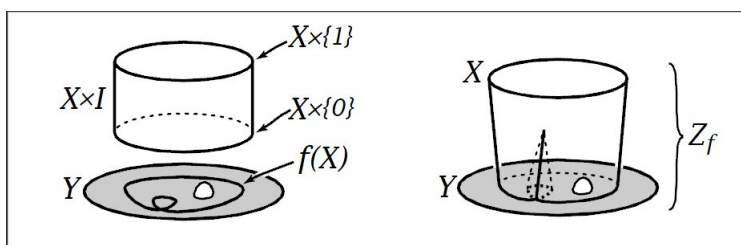


Figure 1: Picture courtesy of Hatcher Algebraic Topology

Let  $\pi : (X \times I) \sqcup Y \rightarrow M_f$  be the quotient map. Then we can equip  $M_f$  with a quotient topology, where open sets  $V$  in  $M_f$  are precisely those such that  $\pi^{-1}(V)$  is open in  $(X \times I) \sqcup Y$ .

**Example 1.** Let  $X = S^1$ , let  $i : S^1 \rightarrow \mathbb{C}$  be the inclusion map. Then we can visualize the mapping cylinder as a complex plane with a cylinder literally stuck on top.

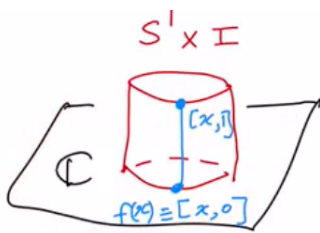


Figure 2: Professor Cooper's drawing

**Lemma 1.1.** A mapping cylinder  $M_f$  deformation retracts to  $Y$ .

*Proof.* Essentially we wish to squash the cylinder flat in a continuous way. We have two kinds of points in  $M_f$ . We can take the equivalence class of a point in  $X \times I$ . Such a point is an ordered pair  $(x, s) \in X \times I$ . The other kind of point in the mapping cylinder is the equivalence class of a point  $y \in Y$ .

We wish to define a homotopy from the identity map on the mapping cylinder to the map  $r$ . We need to define the time  $t$  maps for each of our possible points in  $M_f$ . We define  $f_t([(x, s)]) = [(x, ts)]$ . Thus when  $t = 1$ , we have the identity map, and when  $t = 0$ , we have  $[(x, 0)] = f(x) \in Y$ . On the other hand,  $f_t([y]) = [y]$ , so that  $f_t$  is always the identity on  $[y] \in M_f$ . We see then that  $f_1 = \text{id}_{M_f}$ ,  $f_0 = r$  (the retraction map  $r : (X \times I) \sqcup Y \rightarrow Y$ ), and the restriction of  $f_t$  to  $Y$  is the identity for all  $t$ .

All that's left to check is that  $F$  is continuous. We need to use the definition of quotient topology. Let  $V \subseteq Y$  be open. We need to check that  $F^{-1}(V)$  is open in  $M_f$ . Well  $F^{-1}(V)$  is open in  $M_f$  if and only if  $\pi^{-1}(F^{-1}(V))$  is open in  $(X \times I) \sqcup Y$ , where  $\pi$  is the quotient map. **FINISH THIS LATER!!** □

**Definition 3.** A **homotopy** is a family of maps  $f_t : X \rightarrow Y$ ,  $t \in I$  such that the map  $F : X \times I \rightarrow Y$ ,  $F(x, t) = f_t(x)$  is continuous.

What does it mean to be continuous in 2 variables? If we change  $x$  a little bit and change  $t$  a little bit, the image point moves a small amount. Equivalently, a map is continuous iff preimage of open sets is open. So take an open set  $V$  in  $Y$ , consider its preimage  $F^{-1}(V)$  in  $X \times I$ . We know by the product topology that a set will be open in  $X \times I$  if it is a (arbitrary union of finite intersections of sets) of the form  $p^{-1}(V_1)$  where  $V_1$  is an open set in  $X$  and  $p : X \times I \rightarrow X$  is the projection, or of the form  $q^{-1}(V_2)$  where  $V_2$  is an open set in  $I$  and  $q : X \times I \rightarrow I$  is the projection map.

**Definition 4.** Two maps  $f_0, f_1 : X \rightarrow Y$  are **homotopic** if there exists a homotopy between them. That is, we say  $f_0 \cong f_1$  if there exists a continuous map  $F : X \times I \rightarrow Y$  such that  $F(x, 0) = f_0(x)$  and  $F(x, 1) = f_1(x)$ .

**Lemma 1.2.** Homotopy is an equivalence relation.

*Proof.* Using  $F(x, t) = f(x)$  we see that  $f \simeq f$ , so  $\simeq$  is reflexive. To see that  $\simeq$  is symmetric, let  $f \simeq g$ . Then there exists  $F : X \times I \rightarrow Y$  such that  $F(x, 0) = f(x)$  and  $F(x, 1) = g(x)$ . Define  $G(x, t) = F(x, 1 - t)$ . Then  $G(x, 0) = F(x, 1) = g(x)$  and  $G(x, 1) = F(x, 0) = f(x)$ , which shows that  $g \simeq f$ . Finally, to see that  $\simeq$  is transitive, suppose  $f \simeq g$  via  $F(x, t)$  and  $g \simeq h$  via  $G(x, t)$ . Define  $H(x, t)$  by  $H(x, t) = F(x, 2t)$ ,  $0 \leq t \leq \frac{1}{2}$ , and  $H(x, t) = G(x, 1 - 2t)$ ,  $\frac{1}{2} \leq t \leq 1$ . (My instinct was to define  $H(x, t) = (1 - t)F(x, t) + tG(x, t)$ . HOWEVER, because we are mapping between arbitrary topological spaces, we don't necessarily have a well-defined vector space structure, so it's unclear what addition would even mean.)

Then  $H(x, 0) = F(x, 0) = f(x)$  and  $H(x, 1) = G(x, 1) = h(x)$ , and it is well-defined at  $\frac{1}{2}$ . We also need to check that the map is **continuous** at  $t = \frac{1}{2}$ . This is done via the pasting lemma (see below). Essentially, because we know that  $F(x, t)$  is continuous at  $t = \frac{1}{2}$  (by definition of homotopy) and the map  $(x, t) \mapsto (x, 2t)$  is continuous, then the composition of the maps,

$F(x, 2t)$  is continuous on  $X \times [0, \frac{1}{2}]$ . Similarly,  $G(x, 1 - 2t)$  is continuous on  $X \times [\frac{1}{2}, 1]$ . Finally, since  $F(x, 2t)$  and  $G(x, 1 - 2t)$  agree on  $X \times \{\frac{1}{2}\} = (X \times [0, \frac{1}{2}]) \cap (X \times [\frac{1}{2}, 1])$ , and since  $X \times [0, \frac{1}{2}]$ ,  $X \times [\frac{1}{2}, 1]$  are both closed sets, the pasting lemma gives us that  $H$  is continuous at  $X \times \frac{1}{2}$ .  $\square$

### Lemma 1.3. put pasting lemma here

**Definition 5.** A function is **nullhomotopic** if homotopic to a constant map.

**Example 2.** Given  $f, g : X \rightarrow \mathbb{R}^n$  (NOTE: NEED TO MAP INTO EUCLIDEAN SPACE!!), the **straight line homotopy** is  $F : X \times I \rightarrow \mathbb{R}^n$ ,  $F(x, t) = tf(x) + (1-t)g(x)$ . Then  $F(x, 0) = g(x)$  and  $F(x, 1) = f(x)$ . So  $f \simeq g$ . WHAT! Any two maps from a space into Euclidean space are HOMOTOPIC! So really everything is equivalent to a constant map!! But this only works because  $\mathbb{R}^n$  is a vector space.

**Example 3.** Let  $S^1 = \{z \in \mathbb{C} : |z| = 1\}$  be the unit circle in the complex plane. Given  $n \in \mathbb{Z}$ , define  $f_n : S^1 \rightarrow S^1$  by  $f_n(z) = z^n$ . So  $(\cos \theta, \sin \theta) \mapsto (\cos n\theta, \sin n\theta)$ . So the circle gets mapped around itself  $n$  times (either forwards or backwards depending on if  $n$  is pos or neg). If  $n = 0$ , we get the constant map  $(\cos \theta, \sin \theta) \mapsto (1, 0)$ . Later we will show that  $f_m \simeq f_n \iff m = n$ . Later we will also show that any continuous map from the circle to the circle is homotopic to  $f_n$  for some  $n$ .

**Definition 6.** A **retraction** of  $X$  onto  $A$  is a map  $r : X \rightarrow X$  such that  $r(X) = A$  and  $r|_A = \text{id}$ .

**Remark 1.4.** We can think of deformation retraction as a homotopy from the identity map to the retraction map of  $X$  onto  $A$ . This is because a deformation retraction of  $X$  onto  $A$  is a family of maps (like a homotopy!)  $f_t : X \rightarrow X$  such that  $f_0 = \text{id}$  and  $f_1 = r$ , the retraction of  $X$  onto  $A$ . We define the family in the deformation retraction to be continuous, and thus it really is a homotopy between the two maps  $f_0$  and  $f_1$ .

**Remark 1.5.** If a space deformation retracts onto a point, then that space must be path-connected (think about why- what a deformation retraction onto a point implies). But not every path-connected space contains a deformation retraction onto a point! Consider block letters with a hole in the middle (like the letter  $A$ ). These are path-connected, but cannot deformation retract onto a point.

**Example 4.** Let  $r : S^1 \rightarrow \{1\}$ . Then  $r$  is a retraction (notice  $r(S^1) = \{1\}$  and  $r(\{1\}) = \{1\}$ ) but does NOT come from a deformation retraction. WHY? Later we will see that a deformation retraction is a homotopy equivalence, so if we could deformation retract a circle onto a point, we would have that  $S^1$  is homotopy equivalent to a point... The proof this is not possible requires a topological invariant which we don't have right now.

**Example 5.** let  $r : \mathbb{C} \setminus \{0\} \rightarrow S^1$  by  $r(xe^{i\theta}) = e^{i\theta}$  for  $x > 0$ . Then  $r$  is a retraction (if  $z \in S^1$ , then  $z = e^{i\theta}$  for some  $\theta$ , so it gets mapped to itself). We can get a homotopy from the identity map on  $\mathbb{C} \setminus \{0\}$  (punctured complex plane) to  $r$  via  $F(xe^{i\theta}, t) = ((1-t)x + t)e^{i\theta}$ . When  $t = 0$  we get  $F(xe^{i\theta}, 0) = xe^{i\theta}$ . When  $t = 1$ , we get  $F(xe^{i\theta}, 1) = e^{i\theta} = r(e^{i\theta})$ . When  $x = 1$  (i.e., when  $xe^{i\theta}$  is on the unit circle), we get that  $F(e^{i\theta}, t) = e^{i\theta}$ , so indeed  $f_t|_{S^1}$  is the identity for

all  $t \in I$ , and  $F$  is a deformation retraction. BUT!  $F$  is NOT a straight line homotopy... notice that we only have a straight line homotopy type situation in the radial direction.

**Example 6.** Let  $X$  be a circle with a line sticking out of it. Let  $A$  be the subspace corresponding to the circle. Then we can deformation retract  $X$  into  $A$  by pushing the line inside to a point.

**Example 7.** There does NOT exist a retraction  $r : [0, 1] \rightarrow \{0, 1\}$ . Why? Such a retraction would have to be continuous. But a continuous map maps connected spaces to connected spaces— while  $[0, 1]$  is connected,  $\{0, 1\}$  is disconnected.

**Definition 7.** If a homotopy whose restriction to a subspace  $A$  of  $X$  gives the identity map regardless of  $t$ , we call it a **homotopy relative to  $A$** . In this case, we have  $F(a, t) = a$  for all  $t \in I$ .

**Definition 8.** If  $f : X \rightarrow Y$  is a cts map and  $g : Y \rightarrow X$  is such that  $g \circ f \simeq \text{id}_X$ , we say that  $g$  is the **homotopy inverse** of  $f$ . A map  $f : X \rightarrow Y$  is called a **homotopy equivalence** if there is a map  $g : Y \rightarrow X$  such that  $f \circ g \simeq \text{id}$  and  $g \circ f \simeq \text{id}$ . So essentially a map is a homotopy equivalence if it has some "inverse" under homotopy. If  $X$  and  $Y$  have a homotopy equivalence between them, they have the same **homotopy type**.

**Definition 9.** A space is **contractible** if it is homotopy equivalent to a point.

**Lemma 1.6.** A deformation retraction is a homotopy equivalence (that is, if a space  $X$  deformation retracts onto a subspace  $A$ , then  $X$  and  $A$  are homotopy equivalent).

*Proof.* Suppose  $A \subseteq X$  and  $r : X \rightarrow A$  is a deformation retraction. Then there exists a homotopy  $F : X \times I \rightarrow X$  such that  $f_0 = \text{id}_X$ ,  $f_1 = r$ , and for all  $t$ ,  $f_t|_A = \text{id}_A$ . Let  $i : A \rightarrow X$  be the inclusion map. Then  $r \circ i = \text{id}_A$  and  $i \circ r = r = f_1 \simeq f_0 = \text{id}_X$ . So  $r \circ i \simeq \text{id}_A$  and  $i \circ r \simeq \text{id}_X$ , which means  $i$  and  $r$  are homotopy inverses of each other, which makes  $r$  a homotopy equivalence.  $\square$

**Example 8.** A tree (from graph theory) is contractible. Just take each edge and squish it into a point, each time reducing the number of edges, until you're left with just an interval, which (via the constant map) can be deformation retracted to a point, which would make the original tree homotopy equivalent to the point (via the lemma).

Claim:  $X$  and  $Y$  are homotopy equivalent (have the same homotopy type) iff there exists a third space  $Z$  containing both  $X$  and  $Y$  as deformation retracts.

*Proof.* Suppose  $X$  and  $Y$  are homotopy equivalent under  $f : X \rightarrow Y$ . Let  $Z = M_f$ . We wish to show that  $M_f$  deformation retracts to both  $X$  and  $Y$ . We showed above that  $M_f$  deformation retracts to  $Y$ . To see that it deformation retracts to  $X$ , we can define **FINISH THIS LATER**  $\square$

## 1.3 Cell Complexes

### 1.3.1 Quotient Topology

We first need to review some stuff about quotient topologies.

A quotient construction gives us a way to construct topologies from old topologies. For instance, if we take a square and identify opposite edges, we get a torus. Identifying points is the same as giving an equivalence relation on a space.

Thus: given a space  $X$  and an equivalence relation  $\sim$ , the quotient set  $X/\sim$  inherits a topology. What is that topology? Let  $q : X \rightarrow X/\sim$  be a map. The quotient topology is the finest topology (aka largest) on  $X/\sim$  for which  $q$  is continuous. Explicitly, a set  $U \subseteq X/\sim$  is open in the quotient topology iff  $q^{-1}(U)$  is open in  $X$ .

**Claim:** This is a topology.

*Proof.* Since  $q^{-1}(\emptyset) = \emptyset$  which is open in  $X$ , then  $\emptyset$  is open in  $X/\sim$ . Similarly,  $q^{-1}(X/\sim) = X$  is open in  $X$  since  $q$  is continuous, so  $X/\sim$  is open in  $X/\sim$ . Finally, since the preimage of a union (resp. intersection) is a union (resp. intersection) of preimages, then unions of open sets are open in  $X/\sim$  and finite intersections are open in  $X/\sim$ .  $\square$

### 1.3.2 CW Complexes

A **CW complex** is a space built out of smaller spaces iteratively by a process of attaching cells.

Anything homeomorphic to the disk  $D^k = \{x \in \mathbb{R}^k : |x| \leq 1\}$  is a  $k$ -cell.  $D^1$  is an interval. We need to attach the cell to the existing space such that the boundary of the  $k$ -cell is GLUED to the space.

**Definition 10.** **Attaching a  $k$ -cell to a space  $X$ .** Let  $D^k$  be a  $k$ -cell. We write  $X \sqcup D^k$ . We need a continuous map  $\varphi$  from the boundary of the  $k$ -cell to  $X$  which we can use to identify the boundary of the  $k$ -cell with certain points of  $X$ . Explicitly, we define  $\sim$  by  $z \sim \varphi(z)$  for  $z \in \partial D^k$ . Then attaching a  $k$ -cell means taking the space  $(X \sqcup D^k)/\sim$ .

The map  $\varphi$  is really important!! It could completely change what the resulting space looks like. For example, if we let  $X$  be two disjoint points and  $D^1$  be the interval  $[-1, 1]$ , then we can consider two different ways of attaching the boundary of  $D^1$  to  $X$ . The first way is by taking  $\varphi$  to be the identity map, and then we have just an interval attached between the points. The other way is by taking  $\varphi$  to be a constant map, sending both boundary points of  $D^1$  to one of the two points of  $X$ , and thus the resulting space would be one point with a circle attached to it (the circle would be the interval  $D^1$  with both endpoints attached to the point) next to a disjoint point of  $X$ . Then clearly these two spaces are quite different because one of them