

Consider an ordered sequence of tokens, $\tau = (\tau_1, \tau_2, \dots, \tau_n)$. A parse of this sequence provides, under one interpretation, a nested partitioning of the tokens, e.g. either

$$\{ \{ \text{The cat} \} \{ \text{sat} \{ \text{on} \{ \text{the mat} \} \} \} \} \},$$

Figure 1: Representing the parse as a bracketing of the tokens.

or

$$\underline{\underline{\text{The cat}}} \quad \underline{\underline{\text{sat on the mat}}} \quad .$$

Figure 2: Representing the parse as a collection of token sub-sequences.

Under another interpretation, the parse forms a tree of nodes, e.g.

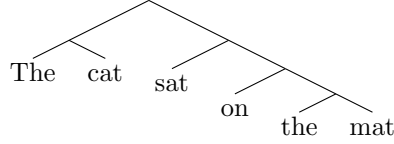


Figure 3: Representing the parse as a tree of nodes.

Thus, a parse tree can be characterised by a set of nodes and a set of relations or rules linking these nodes. The parse nodes are divided into leaf nodes and derived nodes. A *leaf* node represents all of the known information about a token, including the position of that token in the token sequence. A *derived* node represents a combination of a contiguous sub-sequence of leaf and/or derived nodes.

In order to define node contiguity, first observe from Figure 3 that each node ν spans some contiguous sub-sequence of tokens, e.g. $(\tau_i, \tau_{i+1}, \dots, \tau_{i+k})$. Let $\beta(\nu)$ be the index of the first token in the sub-sequence, e.g. $\beta(\nu) = i$, and let $\varepsilon(\nu)$ be the index of the last token, e.g. $\varepsilon(\nu) = i + k$. Then the *span* of node ν is defined as the set of token indices

$$\sigma(\nu) = \{j \in \mathcal{I}_n \mid \beta(\nu) \leq j \leq \varepsilon(\nu)\},$$

where $\mathcal{I}_n = \{1, 2, \dots, n\}$. Hence, for two arbitrary nodes ν_1 and ν_2 to be said to be *non-overlapping*, their spans must be mutually exclusive, i.e. obey $\sigma(\nu_1) \cap \sigma(\nu_2) = \{\}$. Furthermore, for ν_1 and ν_2 to be *adjacent*, their spans must further obey either $\beta(\nu_1) = \varepsilon(\nu_2) + 1$ or $\beta(\nu_2) = \varepsilon(\nu_1) + 1$. Finally, an arbitrary sequence $(\nu_1, \nu_2, \dots, \nu_m)$ of nodes is *contiguous* if and only if

$$\beta(\nu_{i+1}) = \varepsilon(\nu_i) + 1, \forall i \in \mathcal{I}_{m-1}.$$

Continuing now from previous remarks, a parse tree \mathcal{P} may be thought of as a set of node combination rules of the form

$$\nu_1 \nu_2 \dots \nu_m \xrightarrow{\rho} \nu .$$

In order to be a valid rule, the sequence $\pi(\rho) = (\nu_1, \nu_2, \dots, \nu_m)$ of so-called *predecessor* nodes must be contiguous, such that the resulting derived node $\delta(\rho) = \nu$ has span

$$\sigma(\nu) = \bigcup_{i=1}^m \sigma(\nu_i) ,$$

where $\beta(\nu) = \beta(\nu_1)$ and $\varepsilon(\nu) = \varepsilon(\nu_m)$. In effect, the predecessor nodes partition the tokens spanned by ν into mutually exclusive sub-sequences.

Taken together, the set \mathcal{P} of rules forms a parse of the whole token sequence, i.e.

$$\exists \rho \in \mathcal{P}, \sigma(\delta(\rho)) = \mathcal{I}_n .$$

Furthermore, the rules must partition the token sequence into a tree of nested sub-sequences, i.e.

$$\forall \rho_1 \in \mathcal{P}, \forall \rho_2 \in \mathcal{P} \setminus \{\rho_1\}, \sigma(\delta(\rho_1)) \cap \sigma(\delta(\rho_2)) \neq \{\} \Rightarrow \sigma(\delta(\rho_1)) \subset \sigma(\delta(\rho_2)) \text{ or } \sigma(\delta(\rho_2)) \subset \sigma(\delta(\rho_1)) .$$