



The University of Texas at Austin  
Aerospace Engineering  
and Engineering Mechanics  
*Cockrell School of Engineering*

COMPUTATIONAL  
HYDRAULICS GROUP  
THE UNIVERSITY OF TEXAS AT AUSTIN

# Coupled atmospheric, hydrodynamic, and hydrologic models for simulation of complex phenomena

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Defense: PhD in Engineering Mechanics

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# Introduction

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# Overview

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- Strongly coupled 2D and 3D shallow water and transport models
  - Theory
  - Applications
- Weakly coupled atmospheric, shallow water, and diffusive wave models
  - Application: Hindcasting flooding from Hurricane Harvey

# Primitive equations

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- Partial differential equations governing flows in the atmosphere and oceans
- Obtained from Reynolds-averaged Navier-Stokes by using scaling arguments and Boussinesq assumption
- Solve for 3D velocities ( $u, v, w$ ) & depth ( $h$ )/surface elevation ( $\eta$ )
- Apply in case of temperature/salinity variations (baroclinicity)
- Constituent transport equations are additionally included

# Primitive / 3D Shallow water equations

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$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} = 0$$

$$\frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} + v \frac{\partial u}{\partial y} + w \frac{\partial u}{\partial z} + \frac{1}{\rho_0} \left( \frac{\partial p}{\partial x} \right) - fv - \frac{1}{\rho_0} (\nabla \cdot \mathbf{T}_x) = 0$$

$$\frac{\partial v}{\partial t} + u \frac{\partial v}{\partial x} + v \frac{\partial v}{\partial y} + w \frac{\partial v}{\partial z} + \frac{1}{\rho_0} \left( \frac{\partial p}{\partial y} \right) + fu - \frac{1}{\rho_0} (\nabla \cdot \mathbf{T}_y) = 0$$

$$p = p_a + \int_z^\eta g \rho dz$$

+ Boundary and initial conditions

# 2D Shallow water equations

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- Partial differential equations governing flows in rivers, estuaries and oceans
- Solve for 2D velocities ( $\bar{u}, \bar{v}$ ) & depth ( $h$ )/surface elevation( $\eta$ )
- Apply where water is well-mixed (density variations are negligible)
- Constituent transport equations may be additionally included

# 2D Shallow water equations

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$$\frac{\partial h}{\partial t} + \frac{\partial h\bar{u}}{\partial x} + \frac{\partial h\bar{v}}{\partial y} = 0$$

$$\frac{\partial h\bar{u}}{\partial t} + \frac{\partial h\bar{u}\bar{u}}{\partial x} + \frac{\partial h\bar{v}\bar{u}}{\partial y} + \frac{\partial}{\partial x} \left( \frac{1}{2} gh^2 \right) - fh\bar{v} - \nabla \cdot \left( \frac{h}{\rho_0} \bar{T}_x \right) + \left( gh \frac{\partial b}{\partial x} + S_x \right) = 0$$

$$\frac{\partial h\bar{v}}{\partial t} + \frac{\partial h\bar{u}\bar{v}}{\partial x} + \frac{\partial h\bar{v}\bar{v}}{\partial y} + \frac{\partial}{\partial y} \left( \frac{1}{2} gh^2 \right) + fh\bar{u} - \nabla \cdot \left( \frac{h}{\rho_0} \bar{T}_x \right) + \left( gh \frac{\partial b}{\partial y} + S_y \right) = 0$$

+ Boundary and initial conditions

# 2D/1D Diffusive wave equations

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- Partial differential equations governing overland/surface flow in watersheds
- Solve for 2D/1D velocities  $(\bar{u}, \bar{v})/(\bar{u})$  and depth ( $h$ )
- Apply in case of gentle land slope and low Froude number  
 $(Fr = U/\sqrt{gh} \ll 1)$
- Constituent transport equations may be additionally included

# 2D/1D Diffusive wave equations

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## 2D DW EQUATIONS

$$\frac{\partial h}{\partial t} + \frac{\partial h\bar{u}}{\partial x} + \frac{\partial h\bar{v}}{\partial y} = 0$$

$$g \frac{\partial h}{\partial x} + g \frac{\partial b}{\partial x} + S_x = 0$$

$$g \frac{\partial h}{\partial y} + g \frac{\partial b}{\partial y} + S_y = 0$$

+ Boundary and initial conditions

## 1D DW EQUATIONS

$$\frac{\partial h}{\partial t} + \frac{\partial h\bar{u}}{\partial s} = 0$$

$$g \frac{\partial h}{\partial s} + g \frac{\partial b}{\partial s} + S_s = 0$$

+ Boundary and initial conditions

# 3D/2D Advection-diffusion equations

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- Partial differential equations governing transport of constituents in a fluid
- Solve for 2D depth-averaged or 3D concentrations ( $\bar{c}, c$ )
- 3D transport equations required to capture baroclinicity, i.e., transport of salinity and temperature that affect density
- 2D depth-averaged equations not suitable for baroclinicity

# 3D/2D Advection-diffusion equations

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3D transport equations:

$$\frac{\partial c}{\partial t} + \mathbf{u} \cdot \nabla c - \nabla \cdot (\bar{\mathbf{D}}_{3D} \nabla c) = 0$$

2D depth-averaged transport equations:

$$\frac{\partial h\bar{c}}{\partial t} + \bar{\mathbf{u}} \cdot \nabla_{2D}(h\bar{c}) - \nabla \cdot (\bar{\mathbf{D}}_{2D} \nabla_{2D}(h\bar{c})) = 0$$

+ Boundary and initial conditions

# Objective: Coupling

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THE BEST OF ALL WORLDS

(OR THE WORST IF YOU DO NOT USE IT PROPERLY!)

# Objectives

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- Strongly coupled 2D and 3D shallow water and transport models
  - Theory
  - Test cases & applications
- Weakly coupled atmospheric, shallow water, and diffusive wave models
  - Application: Hindcasting flooding from Hurricane Harvey

# Motivation: 2D-3D SW, Trans. coupling

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## 2D SW MODELS

- Variety of ways to include wetting and drying; much easier than implementing in 3D
- Not applicable in baroclinic flows involving vertical mixing

## 3D SW MODELS

- Only a few  $\sigma$ -coordinate based 3D SW models have wetting and drying; extremely complicated and computationally expensive
- Can capture baroclinic flows and vertical mixing accurately

# Motivation: 2D SW - 2D/1D DW coupling

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## 2D SHALLOW WATER

- Applicable for flow in oceans
- Computationally expensive:  
Extremely small mesh size and  
time step required for flood  
simulations

## 2D DIFFUSIVE WAVE

- Applicable for overland flow in  
watersheds
- Computationally cheaper:  
Can be coupled to  
groundwater/infiltration for  
flood simulations

# Why couple different models?

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- Allows simulating complex phenomena that individual models may not be able to handle
- Computationally cheaper when simplified models are used where appropriately
- Saves time, effort, and money involved in developing new models
- Verification and validation are partly inherited

# Models

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# Atmospheric model: NAM – Primitive Eq.

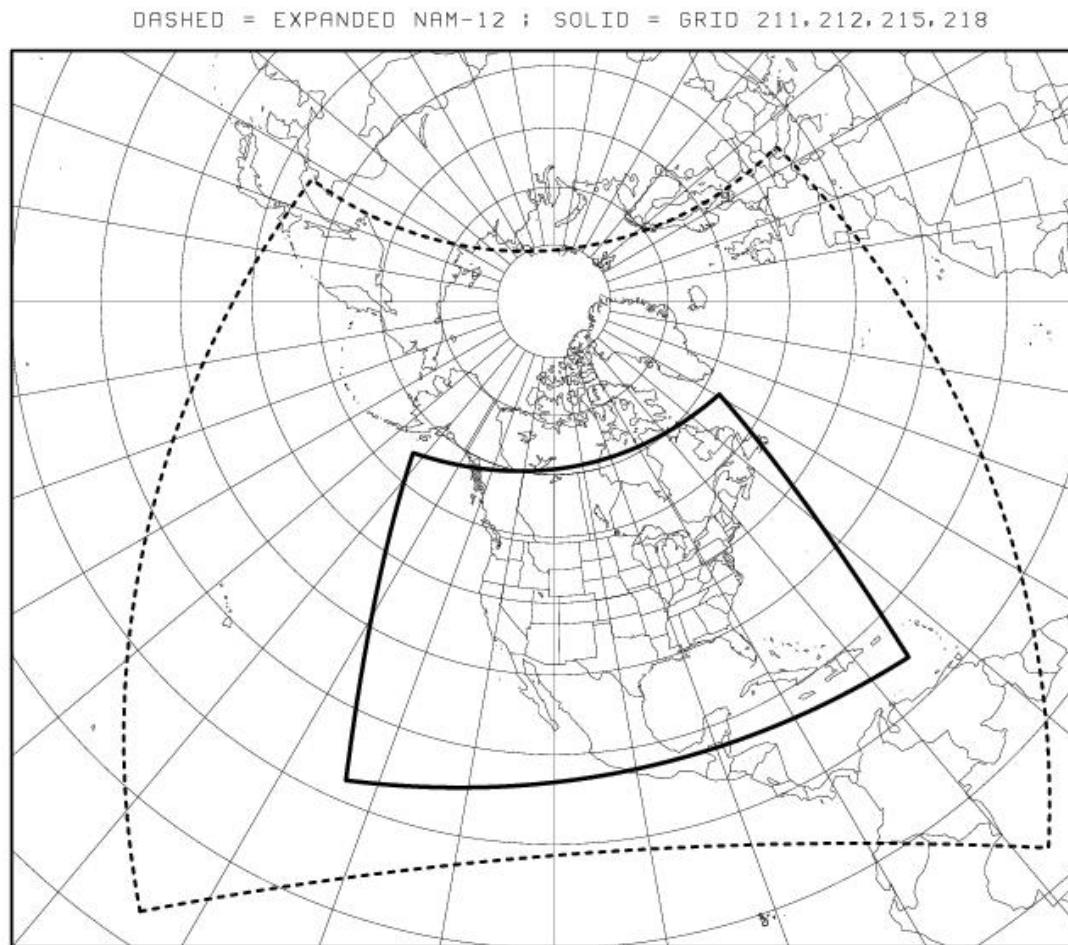
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## North American Mesoscale Forecast System (NAM) [1]

- Atmospheric model run by NCEP, NOAA
- Primitive equations, with non-hydrostatic effects and temperature transport
- NAM forecasts in *grib2* format available for download every 6 hours
- Contiguous over the United States (CONUS) domain, 12 *km* grid

# NAM: CONUS domain

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NAM 12 km Lambert Conformal CONUS domain (solid line) [2]

# Hydrodynamic model: AdH – 3D/2D SW

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## Adaptive Hydraulics (AdH) [3]

- Software developed by ERDC, written in C programming language
- 3D and 2D shallow water (SW) and transport equations, among others
- Semi-discrete finite element method based code with SUPG stabilization
- First and second order implicit time stepping – backward difference formulas

[3] C. J. Trahan, G. Savant, R. C. Berger, M. Farthing, T. O. McAlpin, L. Pettey, **G. K. Choudhary**, and C. N. Dawson. *Formulation and application of the adaptive hydraulics three-dimensional shallow water and transport models*. Journal of Computational Physics, 374:47-90, 2018.

# Hydrologic model: GSSHA – 2D/1D DW

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## Gridded Surface Subsurface Hydrologic Analysis (GSSHA) [4]

- Software developed by ERDC, written in C++ programming language
- 2D and 1D diffusive wave (DW) and transport equations, among others
- Finite volume method based code
- Explicit time-stepping

# Approaches to coupling

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# Strong/algebraic coupling

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- Solve a monolithic coupled system of equations once every time step
- Guarantees solution continuity at all times
- Guarantees conservation across coupling interface at all times
- Best used when:
  - Models are implemented within a single software
  - Access to source code is available, and significant modifications are permitted
  - Compatible discretization and time-stepping methods have been used

# Weak/flux coupling

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- Iterate between separate subsystems within each time step
- Allows subcycling, i.e., different models using different time step sizes
- Discontinuity in either solution or flux across coupling interface
- Best used when:
  - Models are implemented in different software
  - Little to no modification of source code allowed
  - Incompatible discretization and time-stepping methods are being used

# Summary

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- Atmospheric model: NAM, solution includes wind and rainfall
- 3D, 2D Shallow water models: AdH, solves for  $\{h, \mathbf{u}\}/\{h, \bar{\mathbf{u}}\}$
- 3D, 2D Transport models: AdH, solves for  $\{c\}/\{\bar{c}\}$
- 2D, 1D Diffusive wave models: GSSHA, solves for  $\{h, \mathbf{q} = h\bar{\mathbf{u}}\}$
- Objectives:
  - 2D, 3D shallow water and transport coupling: Strong/algebraic
  - Atmospheric, shallow water, diffusive wave coupling: Weak/flux

# 2D-3D Coupled SWE: Theory

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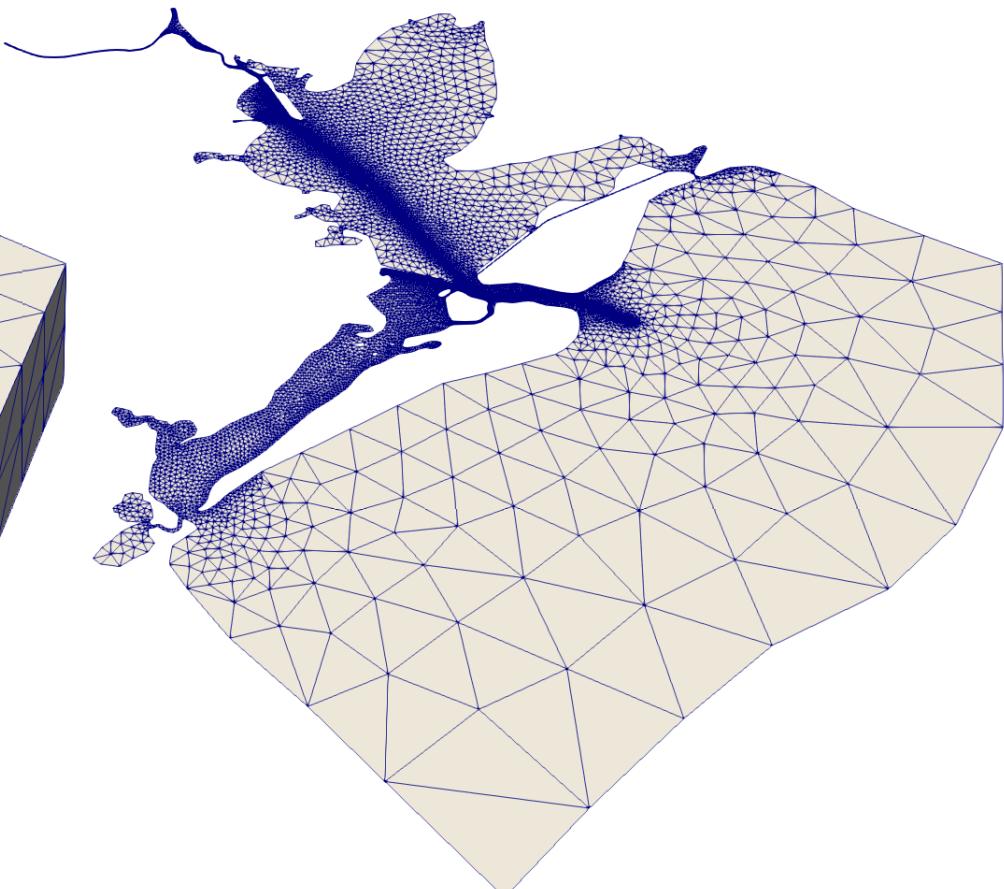
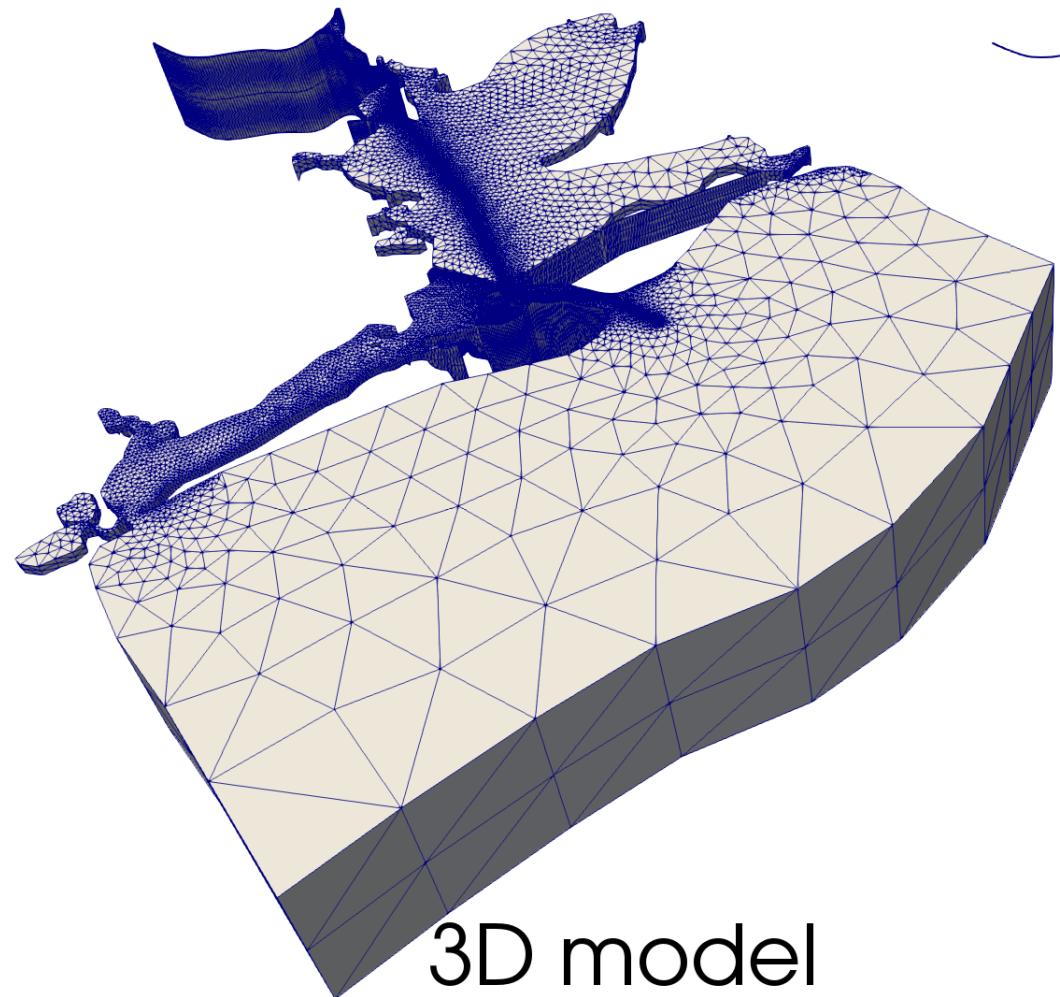
# Adaptive Hydraulics – SW models

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- AdH 2D SW and transport models:
  - Unstructured mesh
  - Linear triangular elements
- AdH 3D SW and transport models:
  - Semi-structured mesh: Unstructured in horizontal ( $x, y$ ) directions extruded in the  $z$  direction, so that nodes are aligned vertically
  - Linear tetrahedral elements and bilinear wedge elements

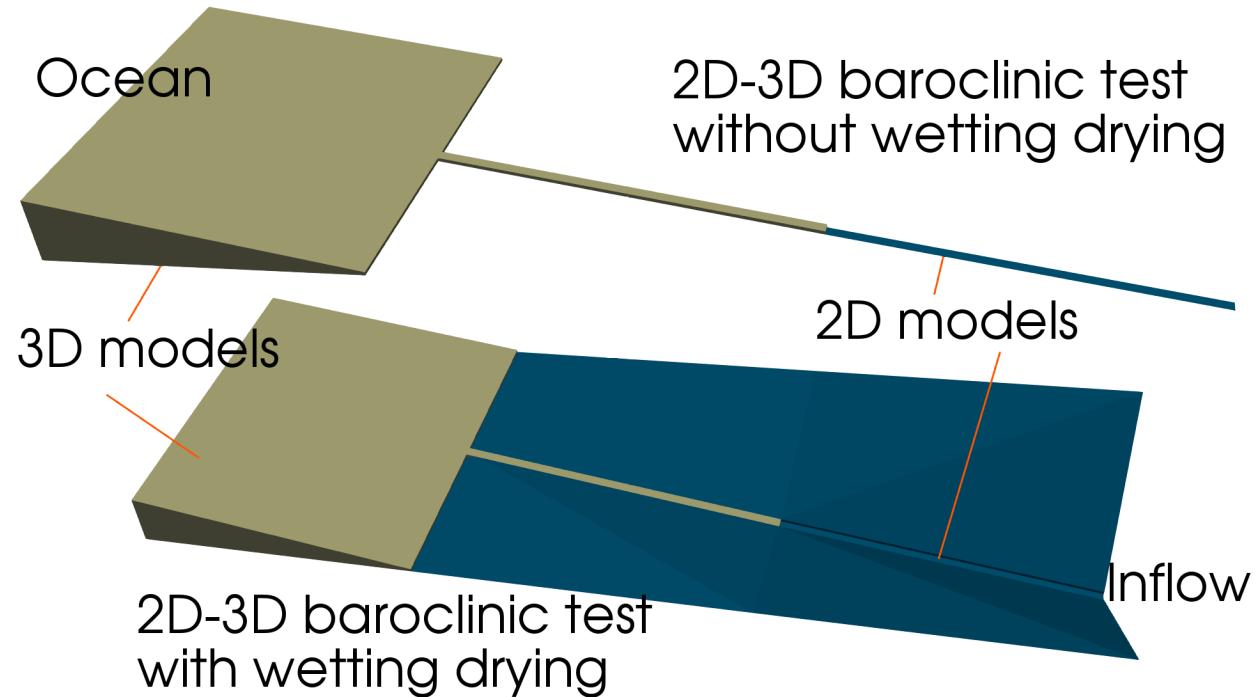
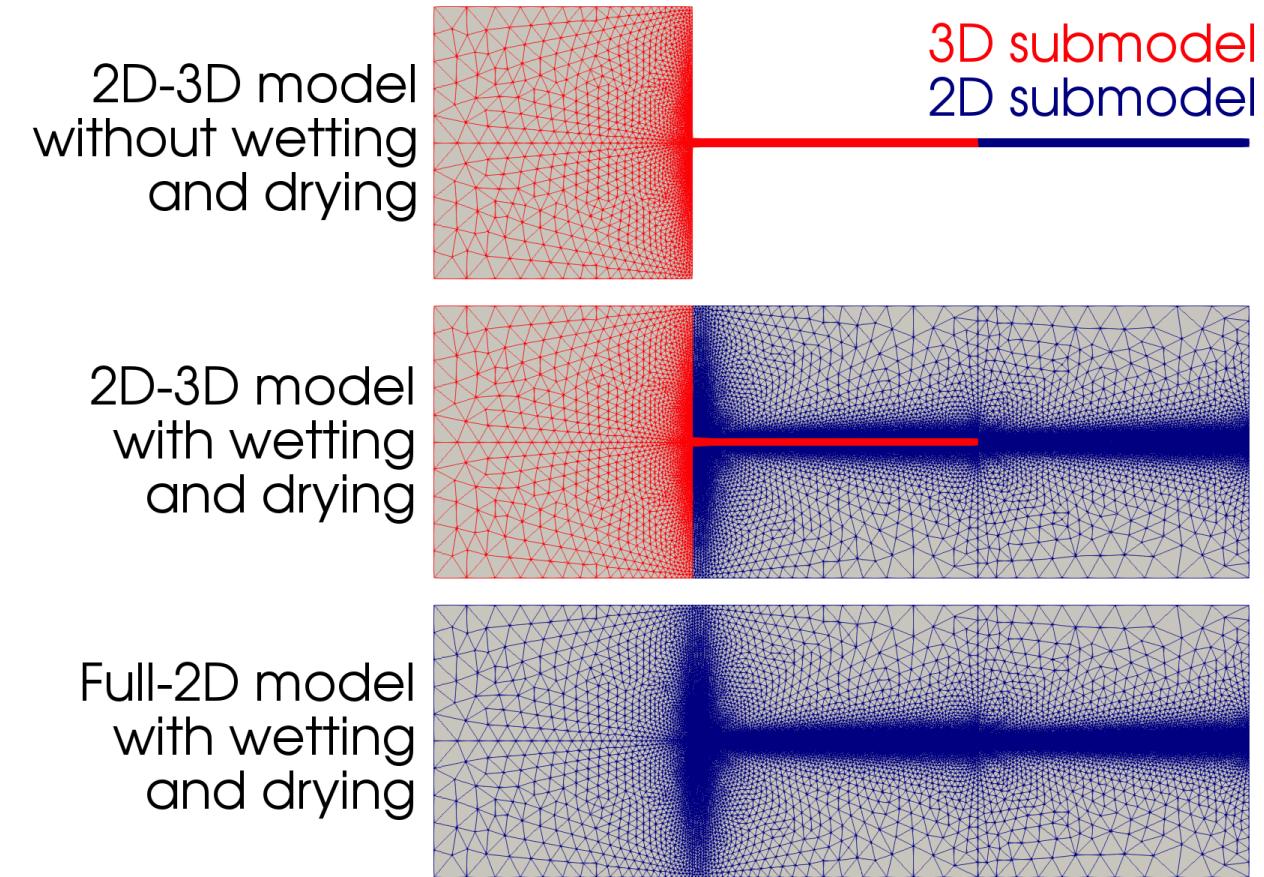
# AdH Shallow water models

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# Goal: 2D-3D Estuaries

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# Strong coupling

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- Assumptions:
  - Conformity: Require interface nodes, faces, edges to be aligned vertically
  - Interface placed in a region where physics is governed by 2D SW equations
- Method: Modify trial and test functions at the 2D-3D interface
  - One trial/test function per coupled column of interface nodes
- Result:
  - Generates a single coupled system of nonlinear equations
  - Solution continuity, and mass and momentum conservation at all times

# Strong 2D-3D Coupling

Interface Nodes:

$$\mathcal{J}^{2D} = \{1_{2D}, 2_{2D}, 3_{2D}\}$$

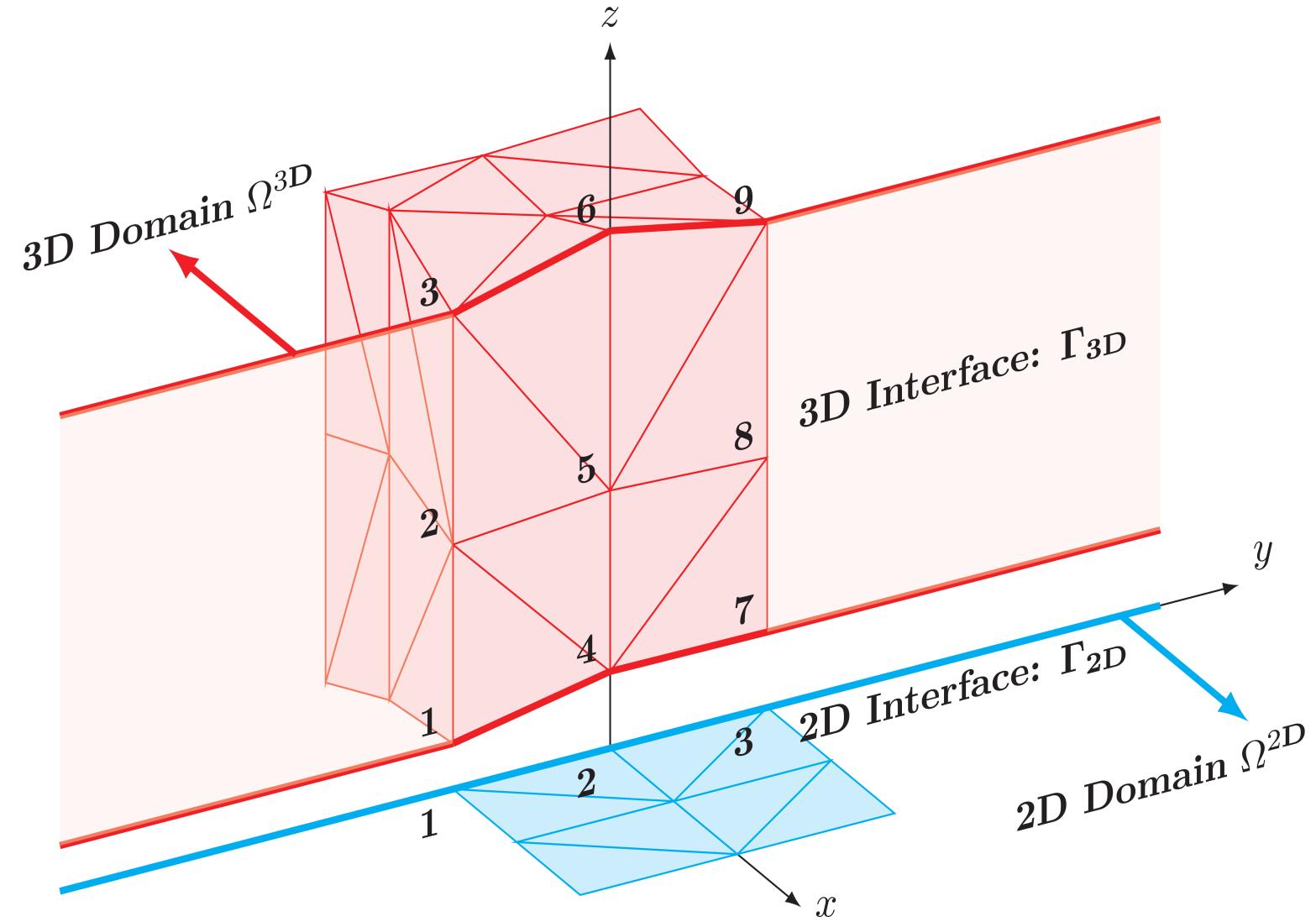
$$\mathcal{J}^{3D} = \{1_{3D}, 2_{3D}, 3_{3D}, 4, \dots, 9\}$$

Coupled Node Columns:

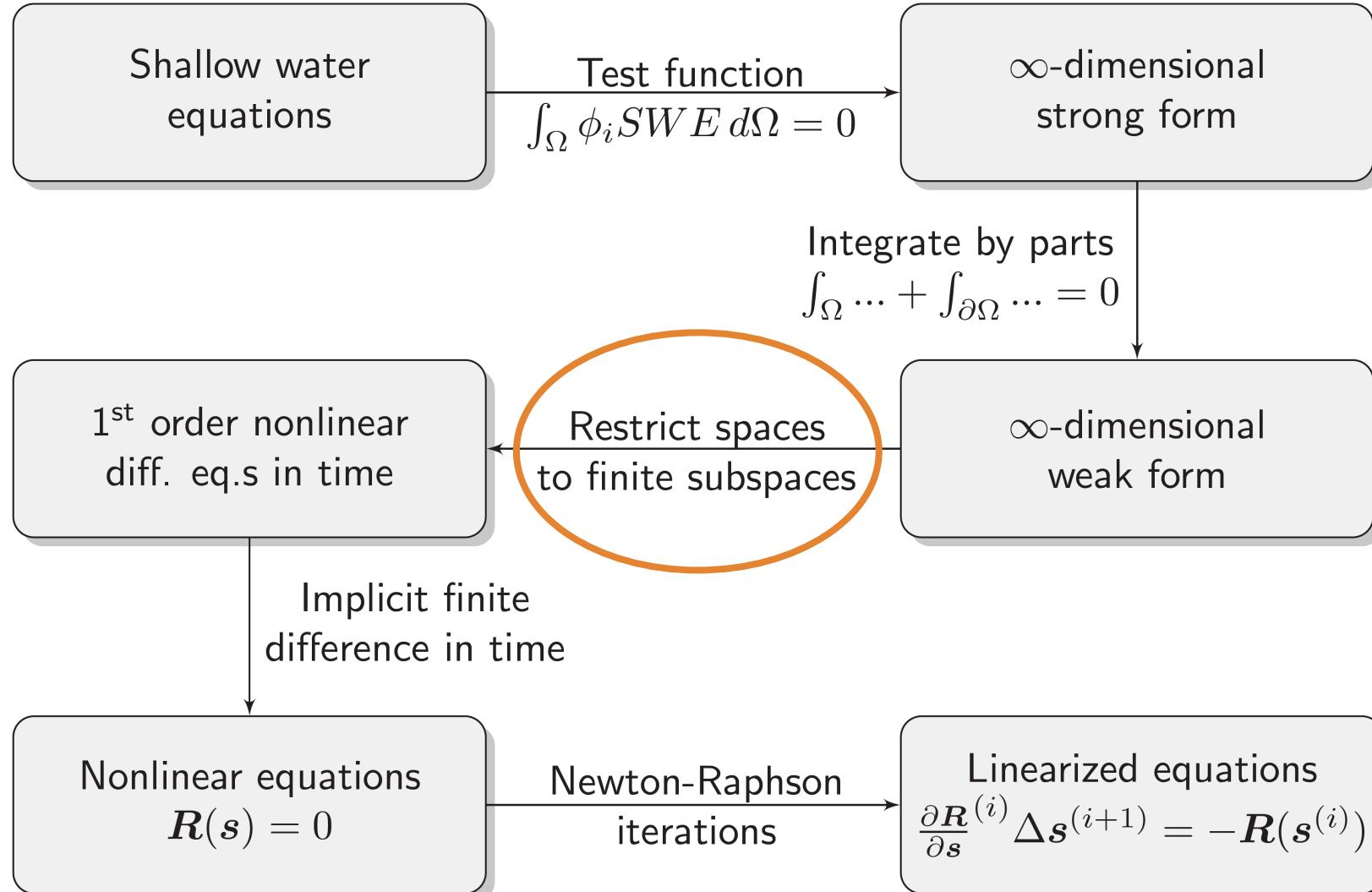
$$\mathcal{C}(1_{2D}) = \{1_{3D}, 2_{3D}, 3_{3D}\}$$

$$\mathcal{C}(2_{2D}) = \{4, 5, 6\}$$

$$\mathcal{C}(3_{2D}) = \{7, 8, 9\}$$



# Semi-discrete finite element method



# Strong 2D-3D Coupling

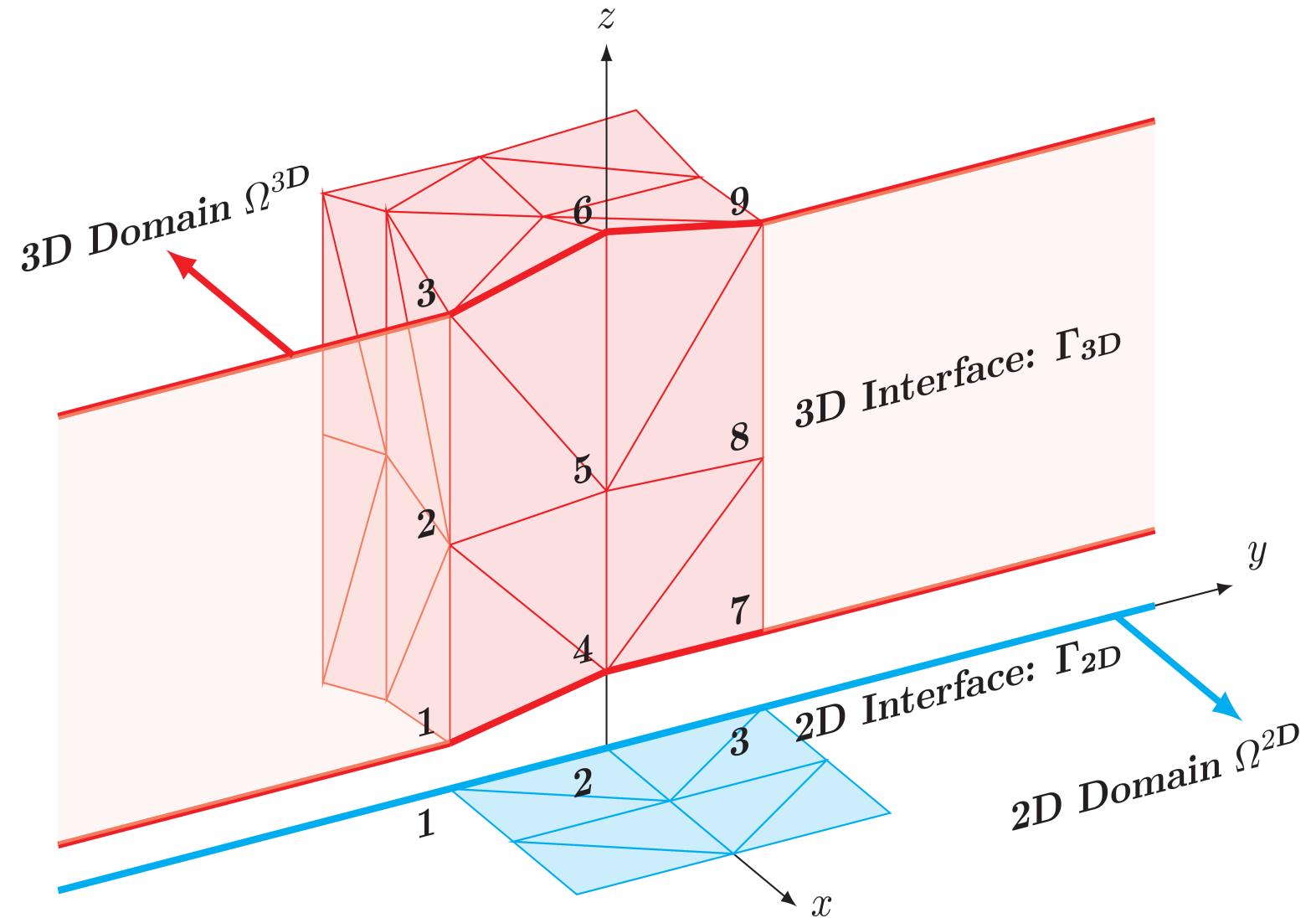
New trial functions ( $\phi$ ):

$$\phi_1 = \phi_{1_{2D}} \cup (\phi_{1_{3D}} + \phi_{2_{3D}} + \phi_{3_{3D}})$$

$$\phi_2 = \phi_{2_{2D}} \cup (\phi_4 + \phi_5 + \phi_6)$$

$$\phi_3 = \phi_{3_{2D}} \cup (\phi_7 + \phi_8 + \phi_9)$$

Test functions: Analogous



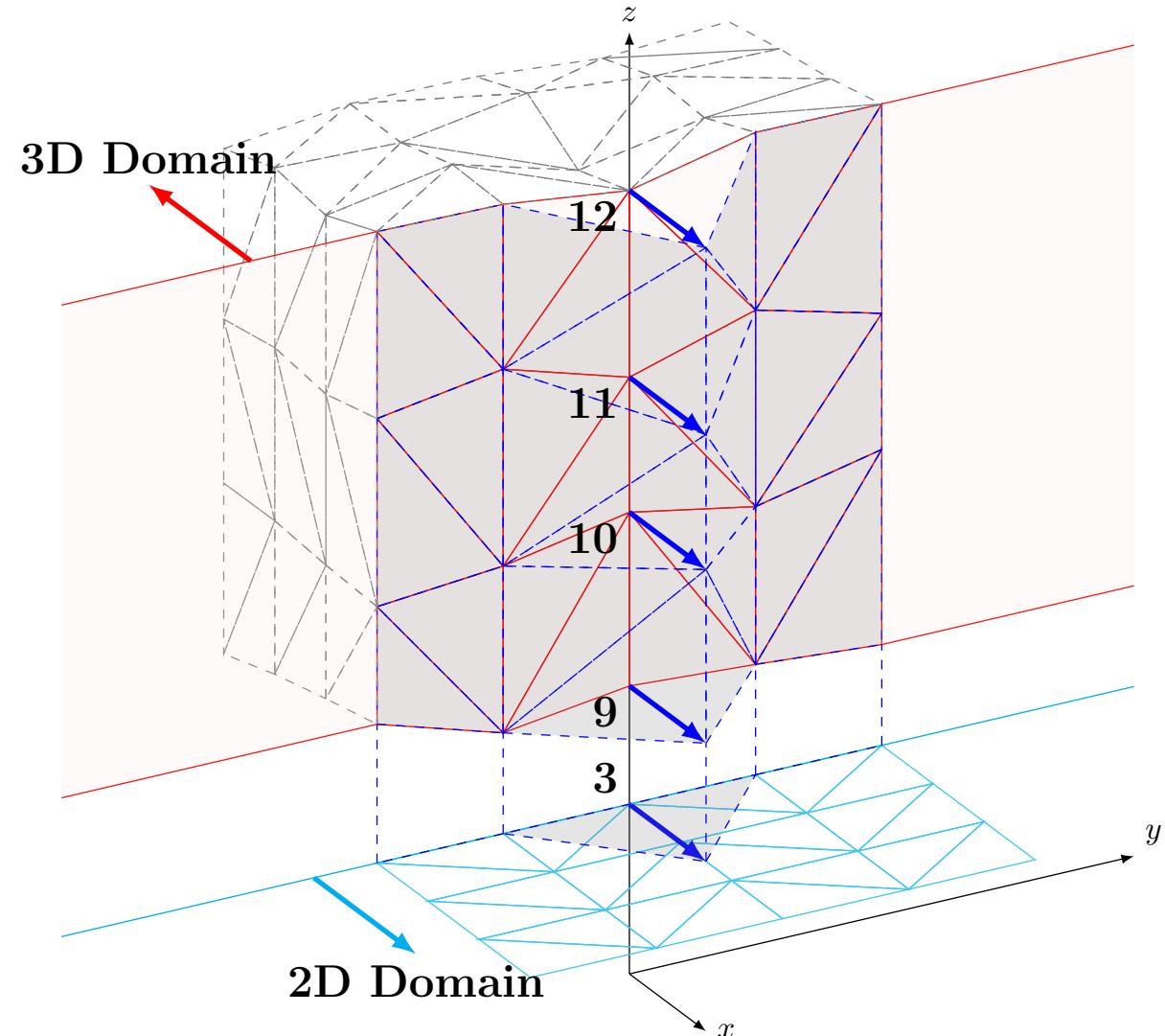
# Strong 2D-3D Coupling

New trial functions ( $\phi^{CPL}$ ):

$$\phi_3^{CPL} = \phi_3^{2D} \cup \sum_{i=9}^{i=12} \phi_i^{3D}$$

Or equivalently,

$$\phi_3^{CPL}(x) = \begin{cases} \phi_3^{2D}(x), & x \in \Omega^{2D} \\ \sum_{i=9}^{i=12} \phi_i^{3D}(x), & x \in \Omega^{3D} \end{cases}$$



# 2D-3D Coupled SWE: Verification

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SMALL AMPLITUDE SLOSH TEST CASE  
REFERENCE [5]

# Verification – small amplitude slosh test

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- Domain:  $\Omega = (0, L) \times (0, B) \times (-H, 0)$ 
  - $L = 25.6\text{km}, B = 6.4\text{km}, H = 82.5\text{m}$ , no friction, no viscosity
- Boundary conditions:
  - No-flow across all vertical boundaries
- Initial conditions:
  - Water at rest, i.e.,  $\mathbf{u}(x, y, z, 0) = 0\text{m/s}$
  - Depth perturbation: Cosine wave of amplitude  $a_\eta = 0.01\text{m}$ , and wave-length  $2L$ :

$$h(x, y, 0) = H + a_\eta \cos(\pi x/L)$$

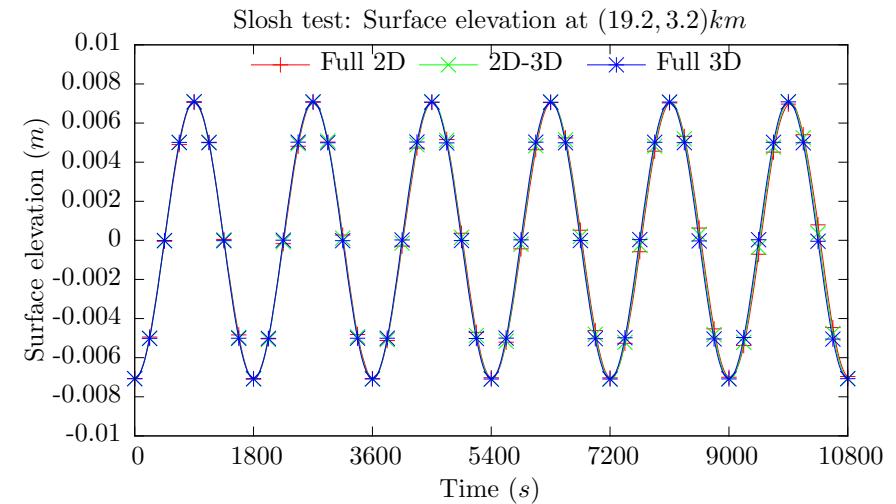
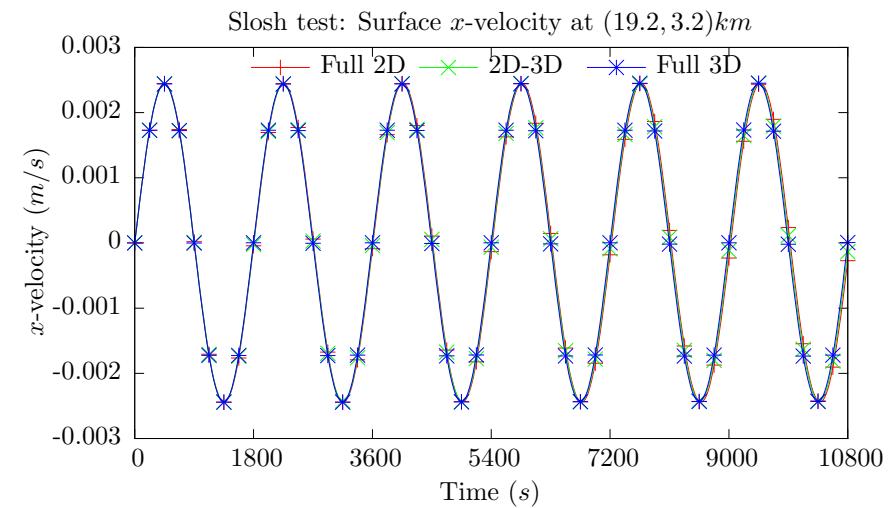
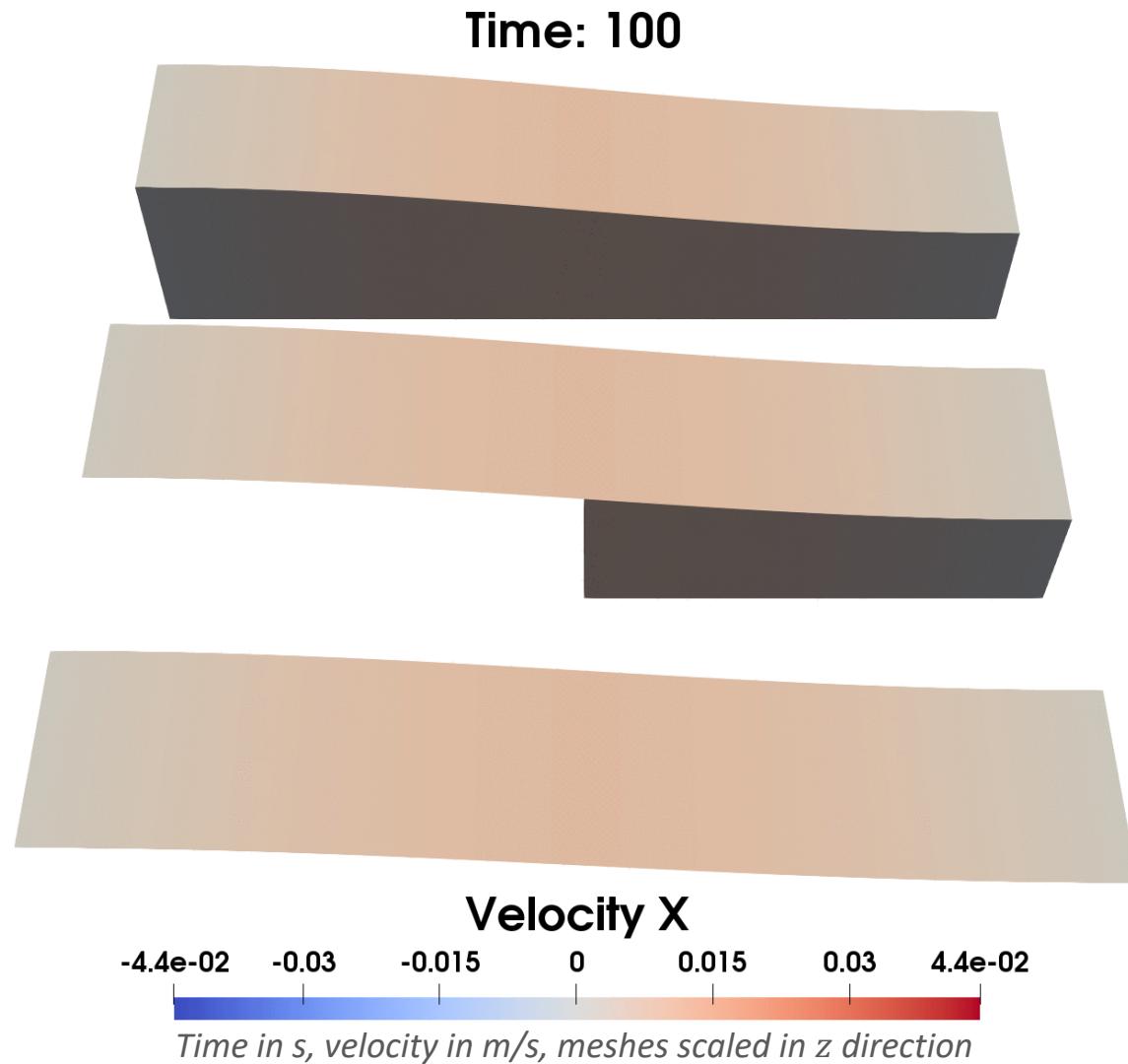
# Verification – small amplitude slosh test

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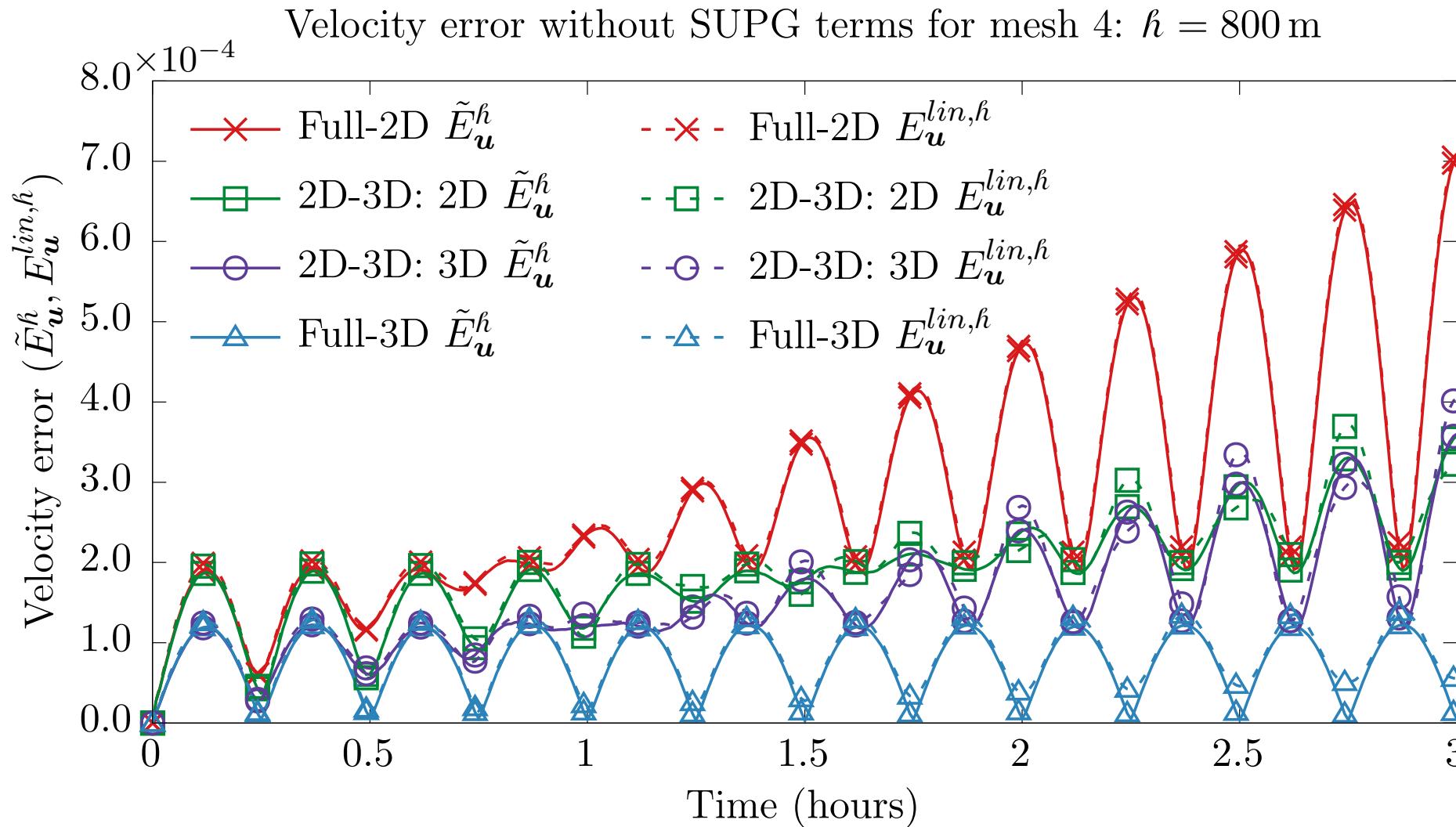
- Analytical solution to linearized SW equations available: Sinusoidal oscillations
- Not the true solution to the full nonlinear SW equations
- Comparison with full-2D and full-3D solutions and the analytical solution
- Comparison against finest mesh solution, mesh size  $\hbar = 50m$ ,  $\Delta t = 1s$
- Convergence analysis with  $\hbar = \{6400, 3200, 1600, 800, 400, 200, 100\}m$   
and  $\Delta t = \{30, 15, 10, 6, 3, 1\}s$
- Errors:  $E^{lin,\hbar}$  against analytical solution, and  $\tilde{E}^{\hbar}$  against fine mesh solution

# Verification – slosh test case

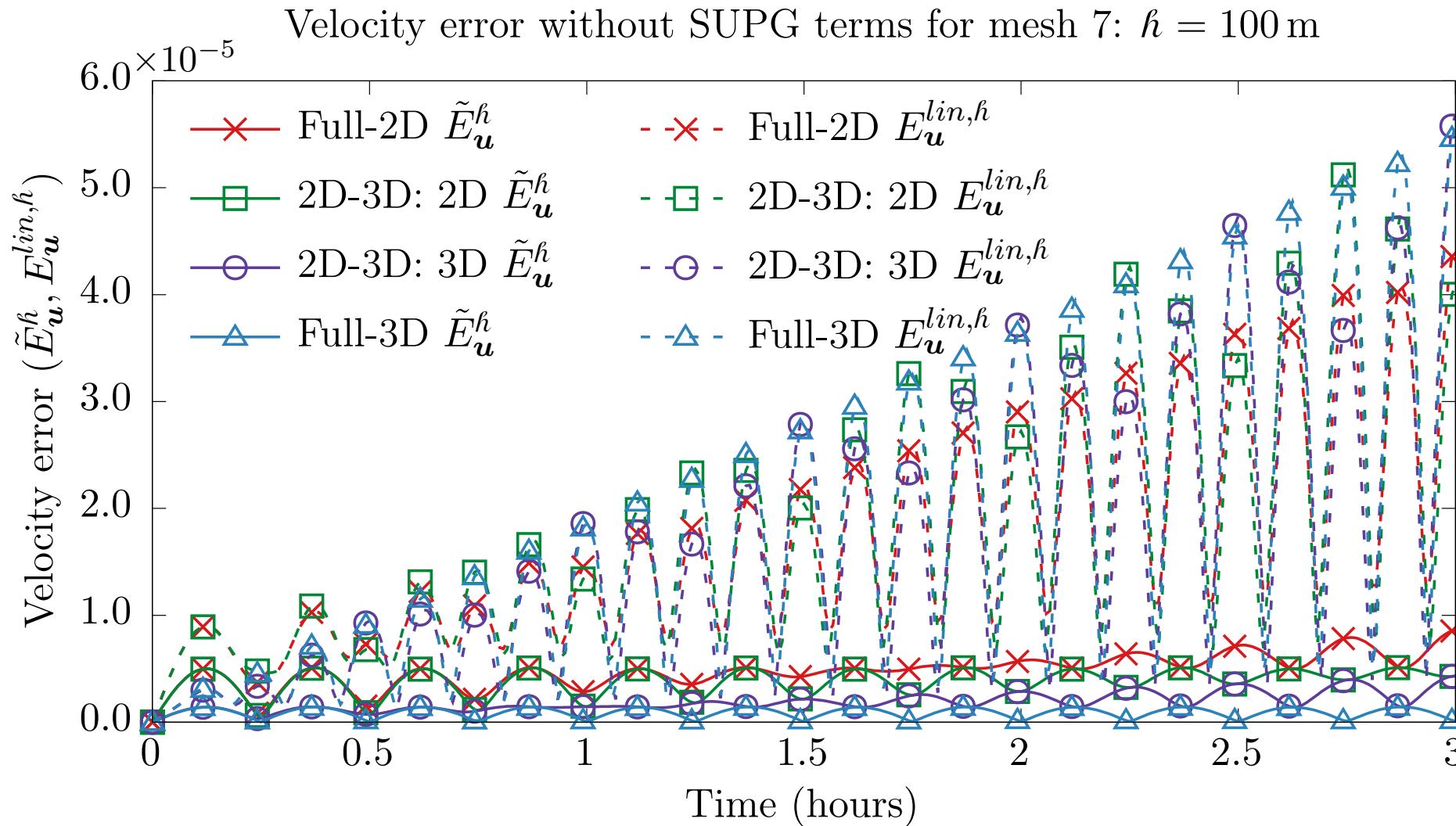
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# Velocity error: Coarse mesh



# Velocity error: Fine mesh



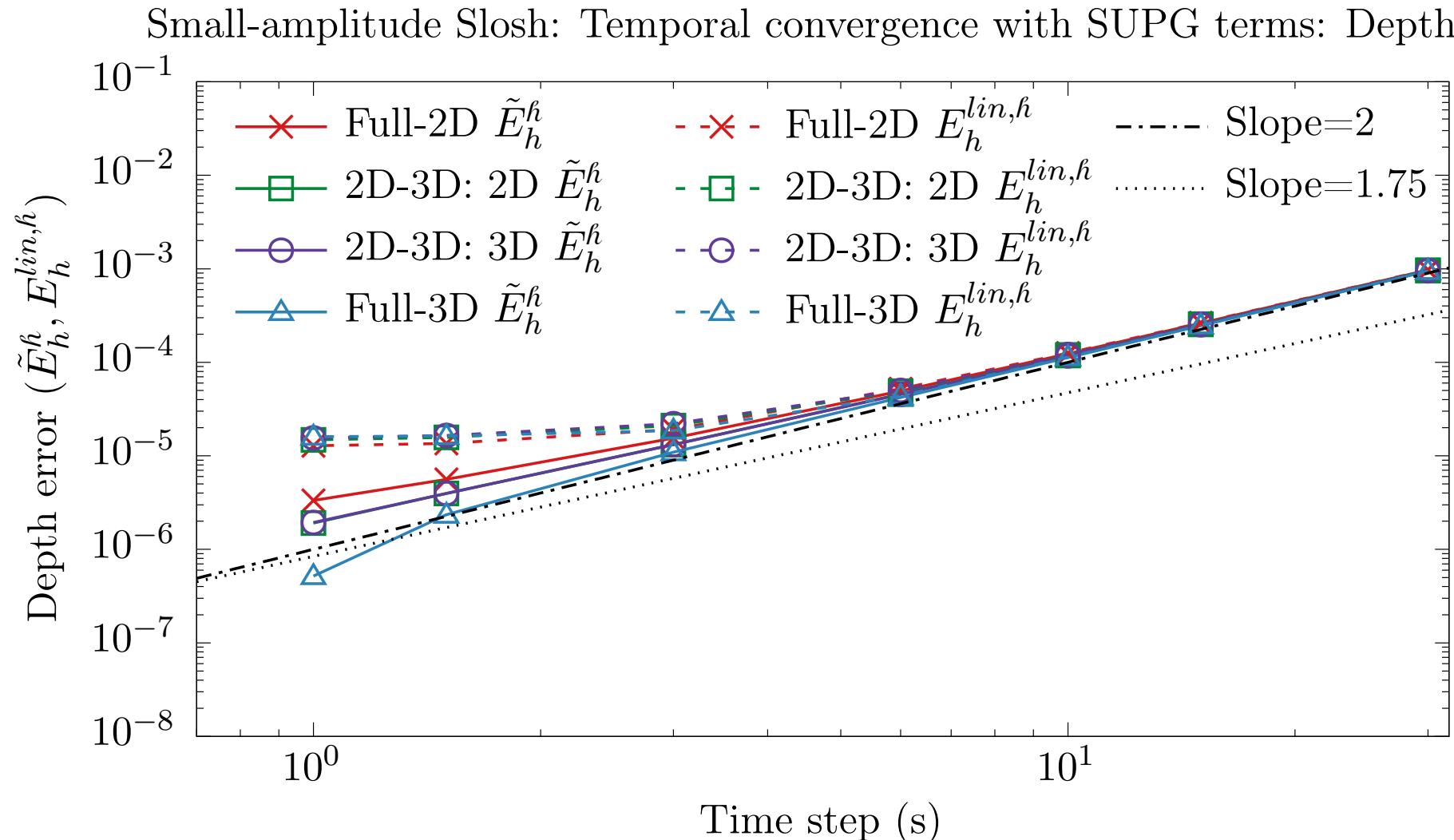
# Temporal Convergence

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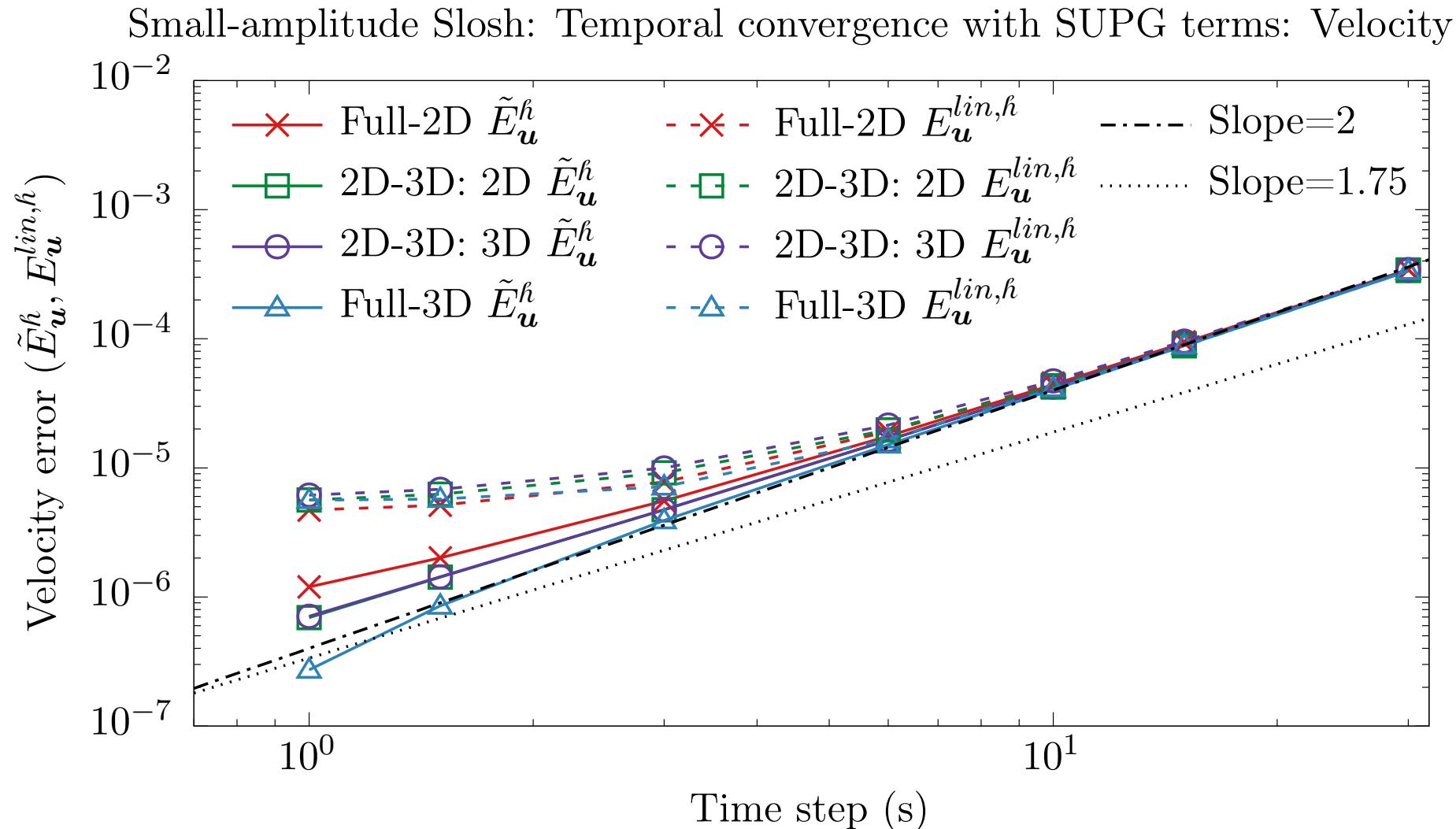
SMALL AMPLITUDE SLOSH TEST CASE

REFERENCE [5]

# Temporal convergence with SUPG terms



# Temporal convergence with SUPG terms



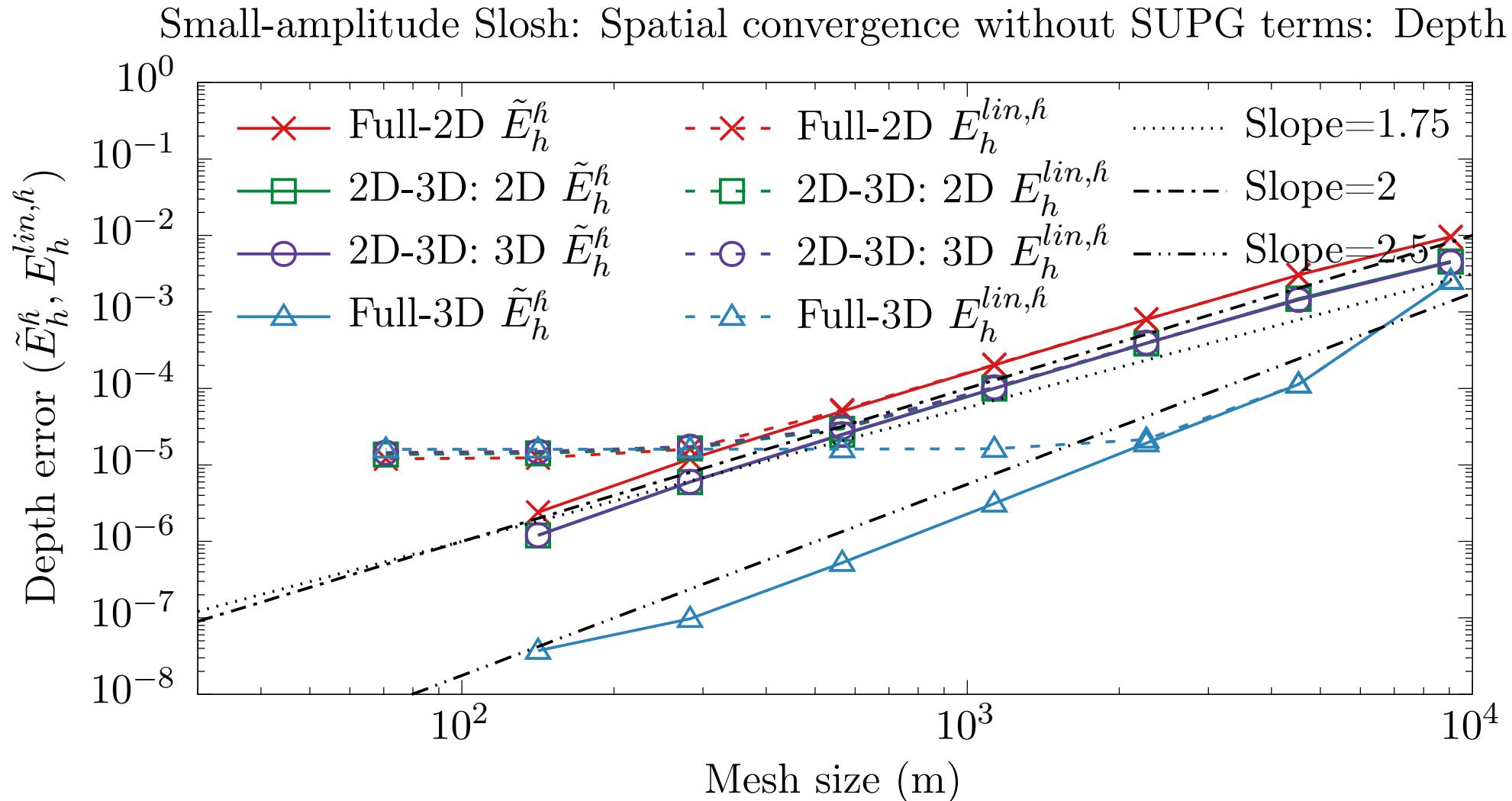
# Spatial Convergence

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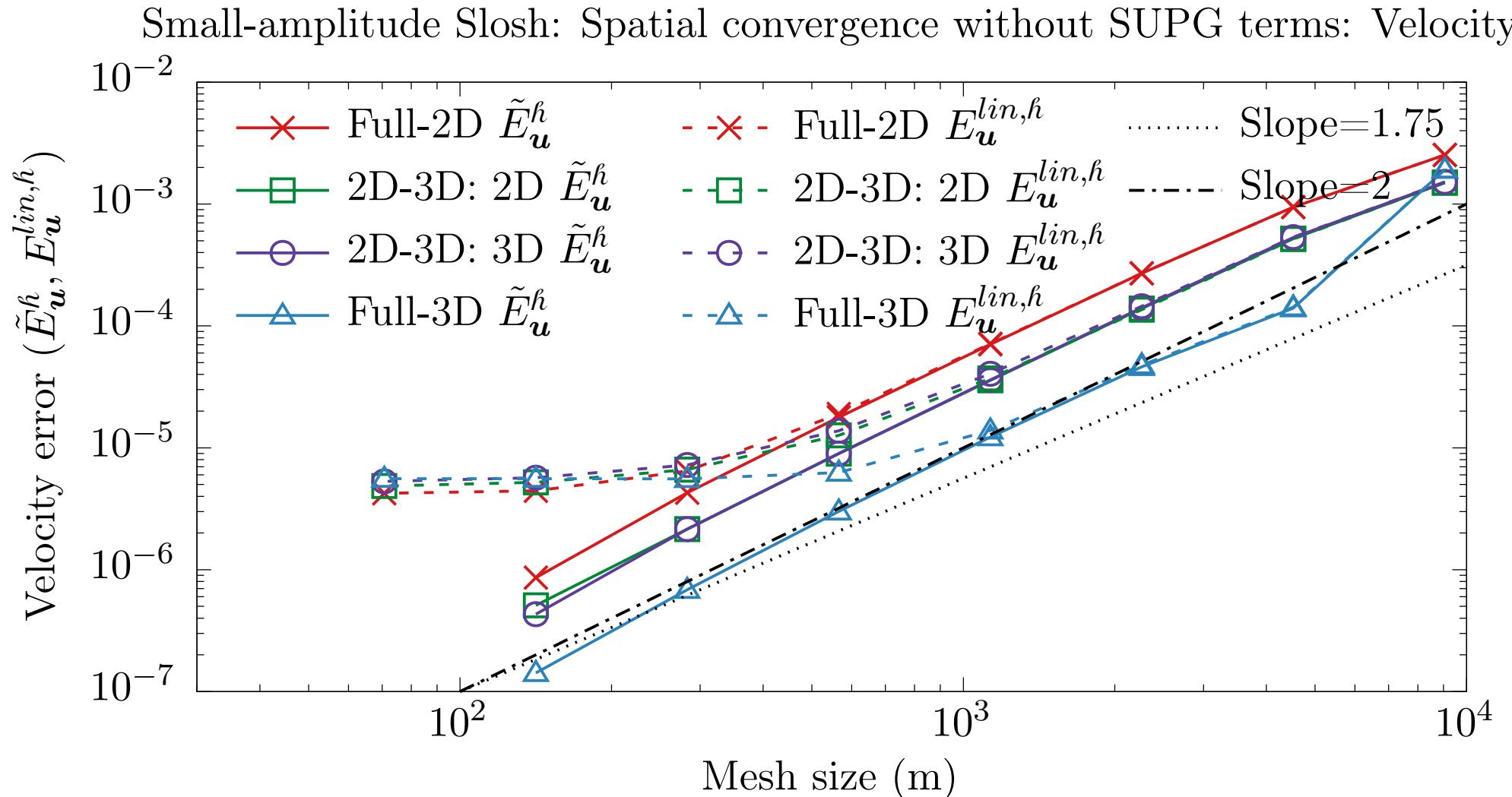
SMALL AMPLITUDE SLOSH TEST CASE

REFERENCE [5]

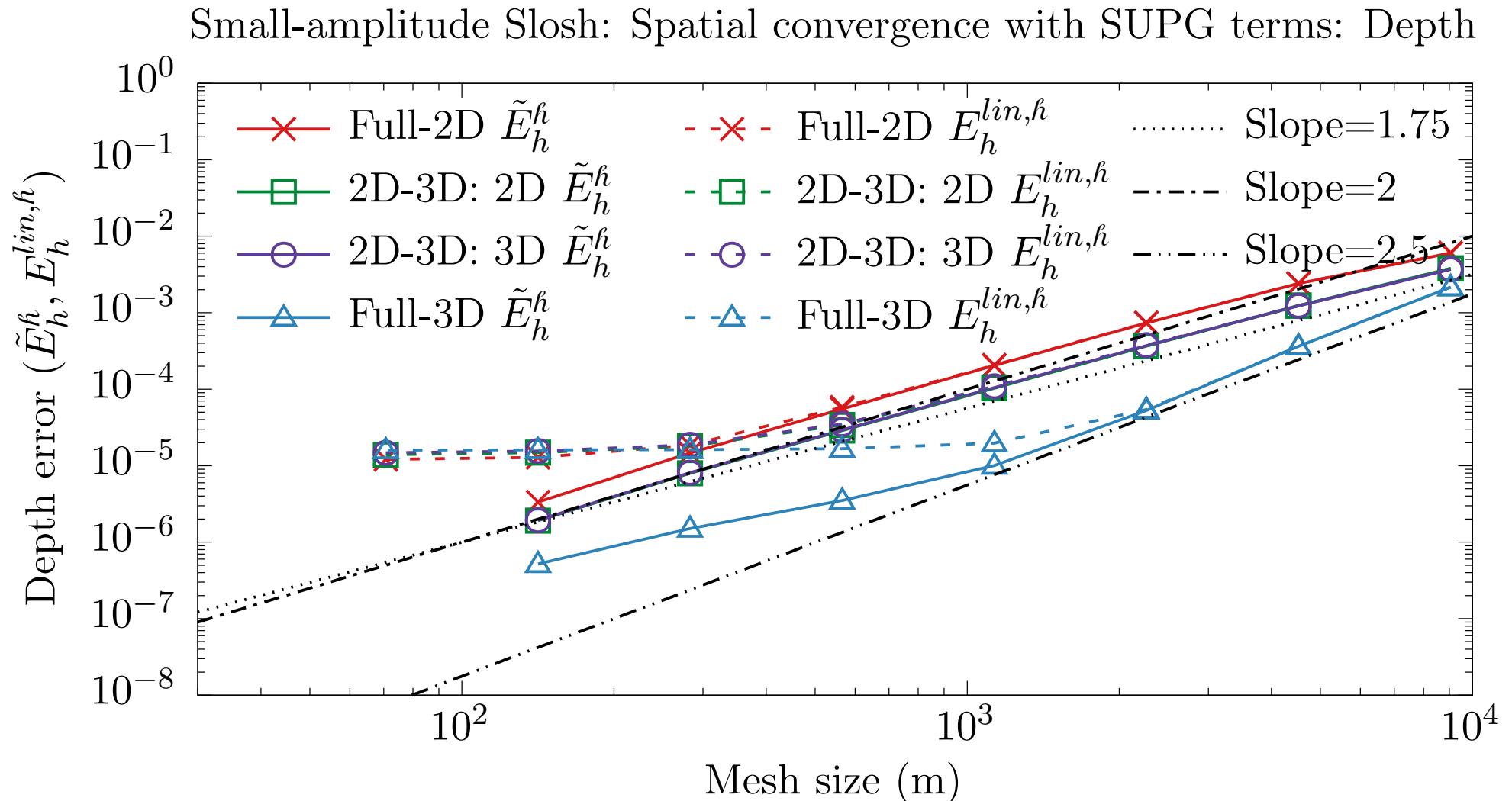
# Spatial convergence without SUPG terms



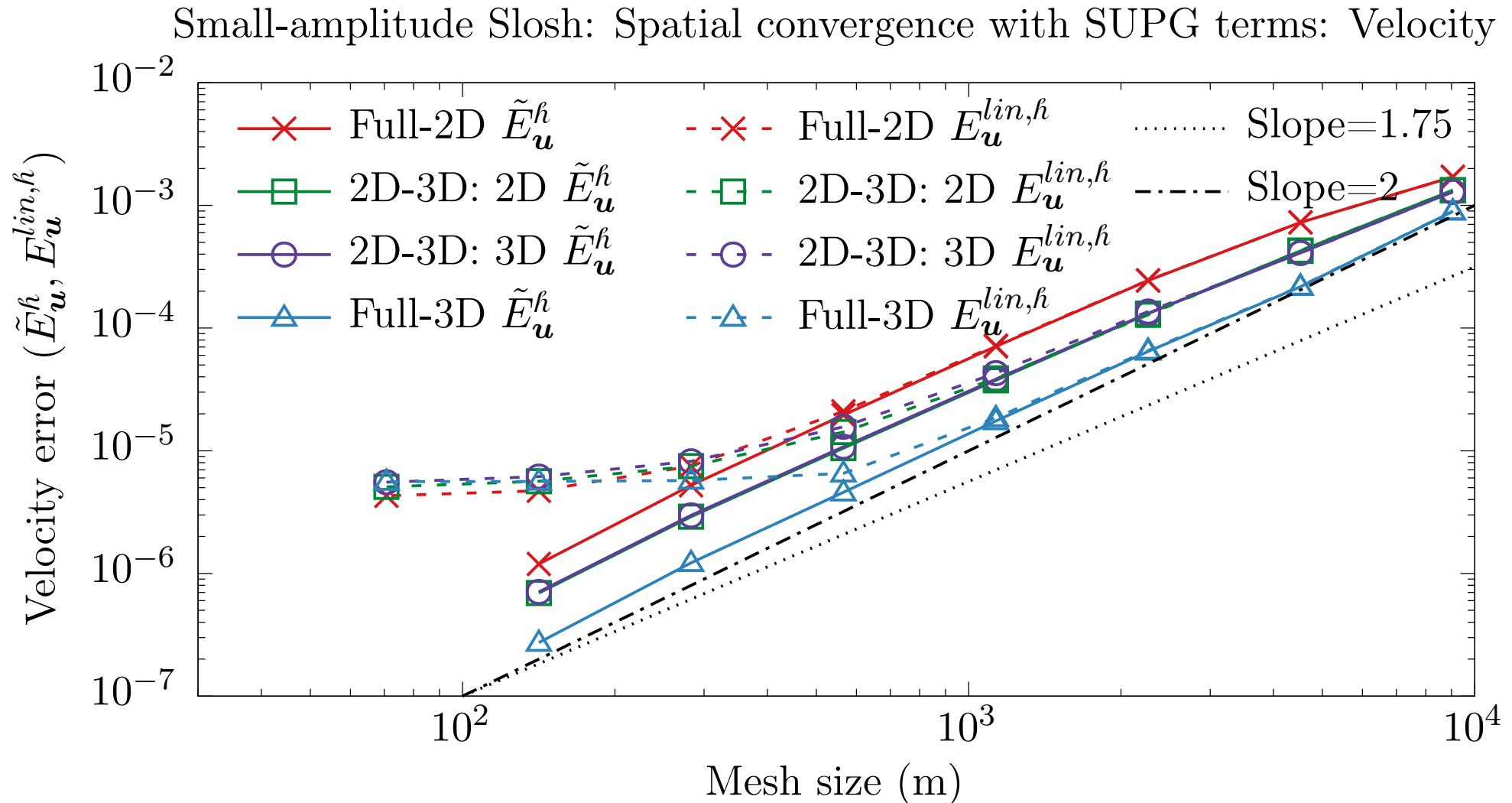
# Spatial convergence without SUPG terms



# Spatial convergence with SUPG terms



# Spatial convergence with SUPG terms



# Spatial Convergence

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LARGE AMPLITUDE SLOSH TEST CASE

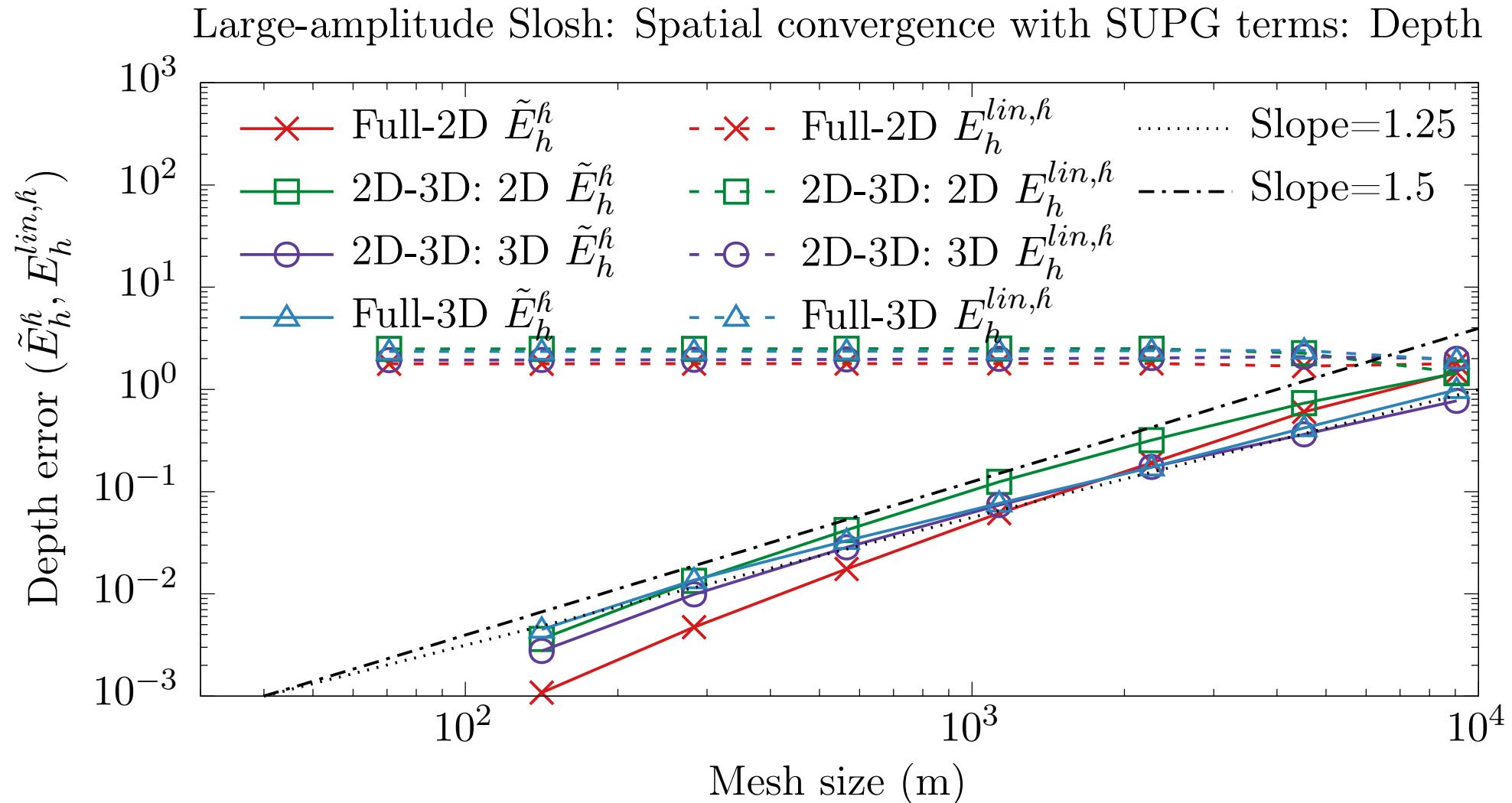
REFERENCE [5]

# Verification – large amplitude slosh test

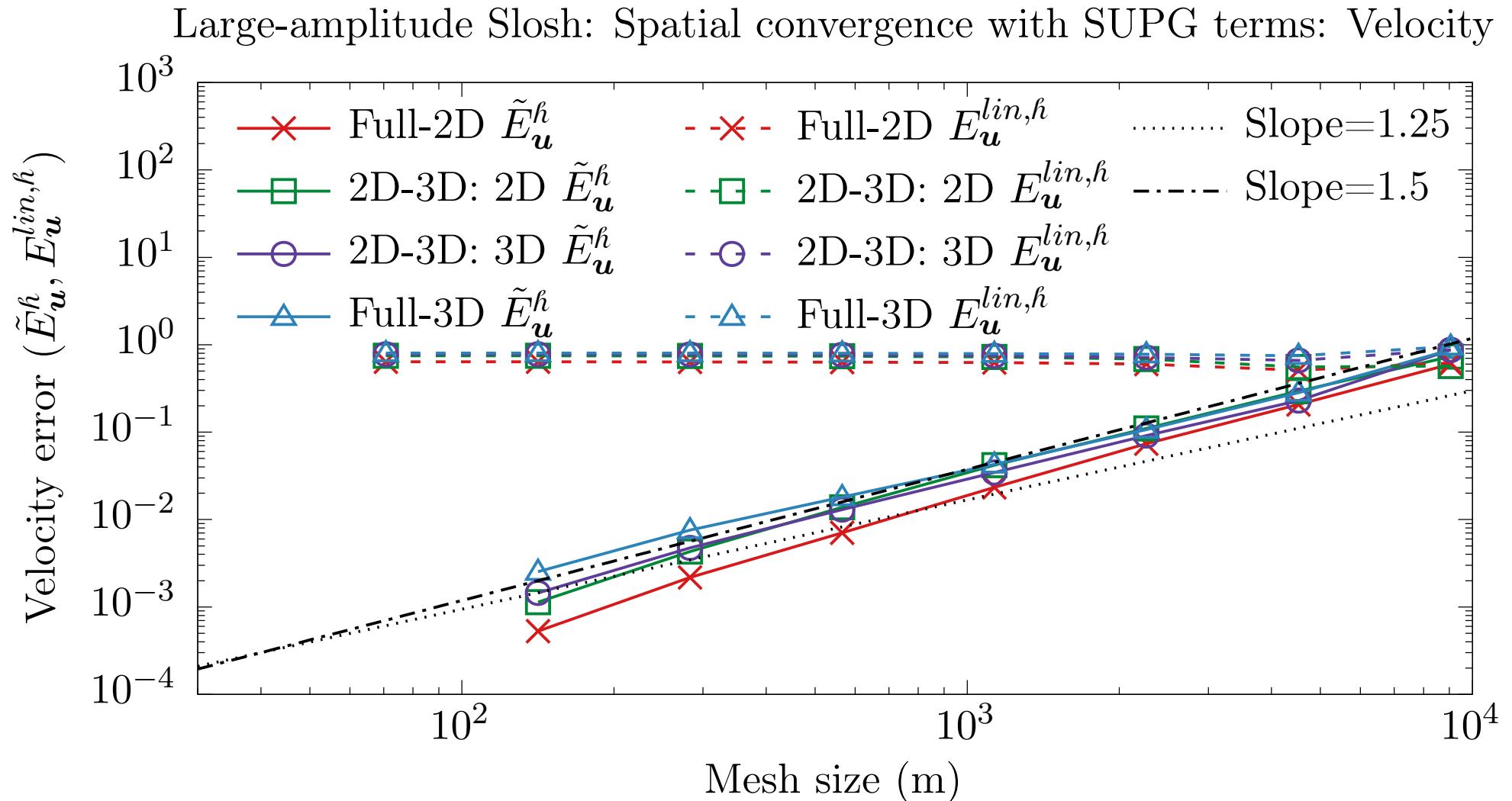
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- Everything same as before, except depth perturbation amplitude increased to  $a_\eta = 10.0m$  from  $0.01m$
- Advection dominated case
- Analytical solution no longer applies
- Expected convergence rate according to [6, 7] is 1.5

# Convergence: Large amplitude, SUPG



# Convergence: Large amplitude, SUPG

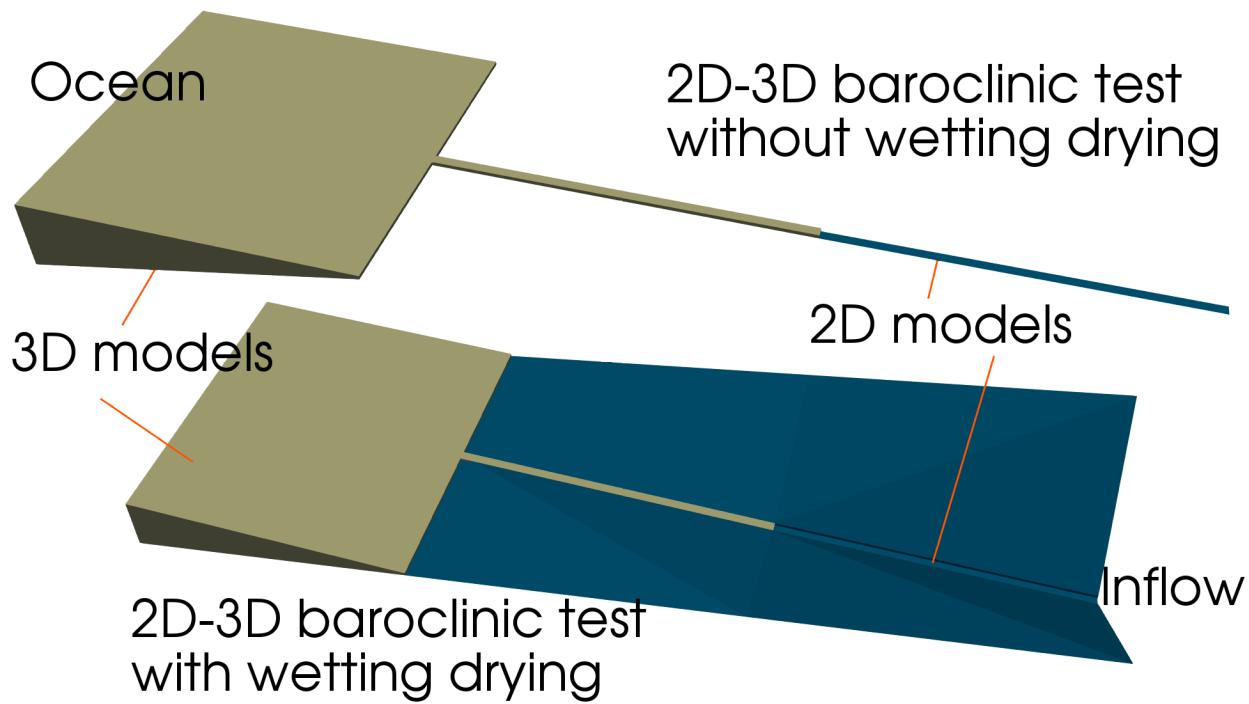
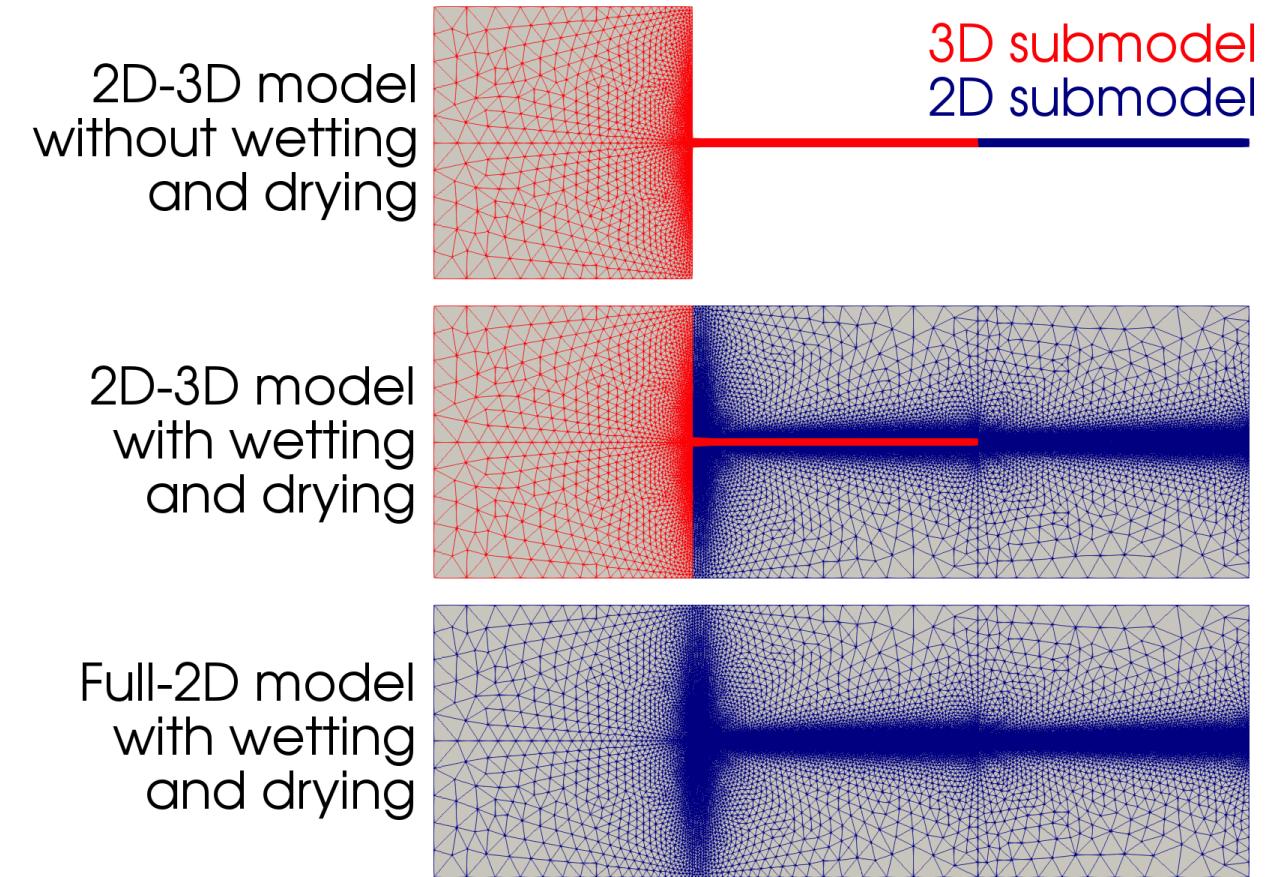


# Application

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IDEALIZED ESTUARY WITH BAROCLINICITY AND WETTING-DRYING

# Idealized estuary – models



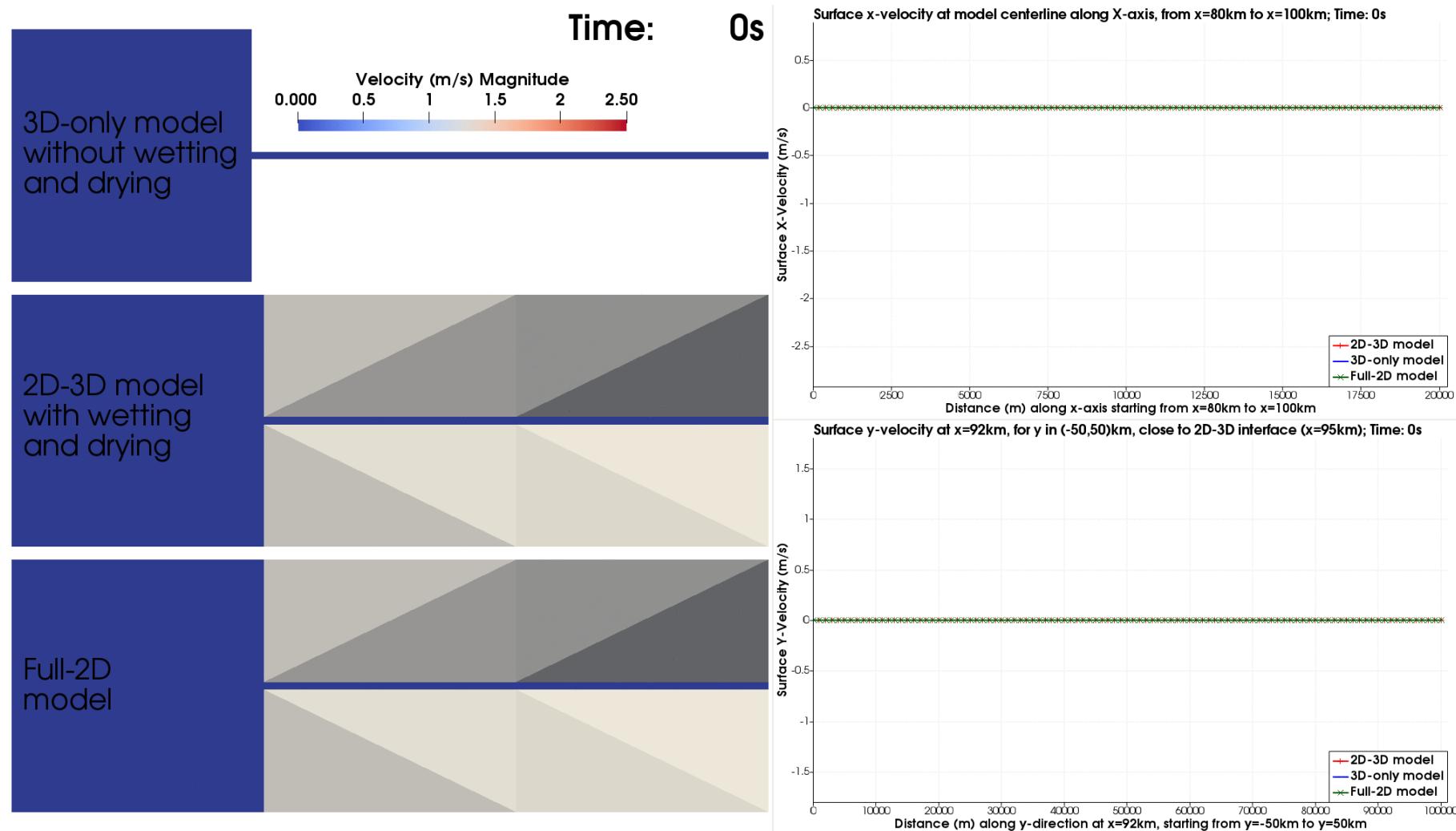
# Idealized estuary - BC/IC

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- Boundary conditions:
  - Ocean surface elevation specified:  $\eta = 0.5m(1 - \cos 2\pi t/T)$ , where  $T = 1 \text{ day}$
  - Salinity specified at western deep ocean boundary, set to 35‰
  - Inflow of  $29800m^3/s$ , salinity 1‰ in east specified, and no-flow everywhere else
- Initial conditions:
  - Water at rest, i.e.,  $\mathbf{u}(x, 0) = 0m/s$
  - Flat water surface, i.e.,  $\eta(x, 0) = 0m$
  - Constant salinity, i.e.,  $c(x, 0) = 35\text{\textperthousand}$

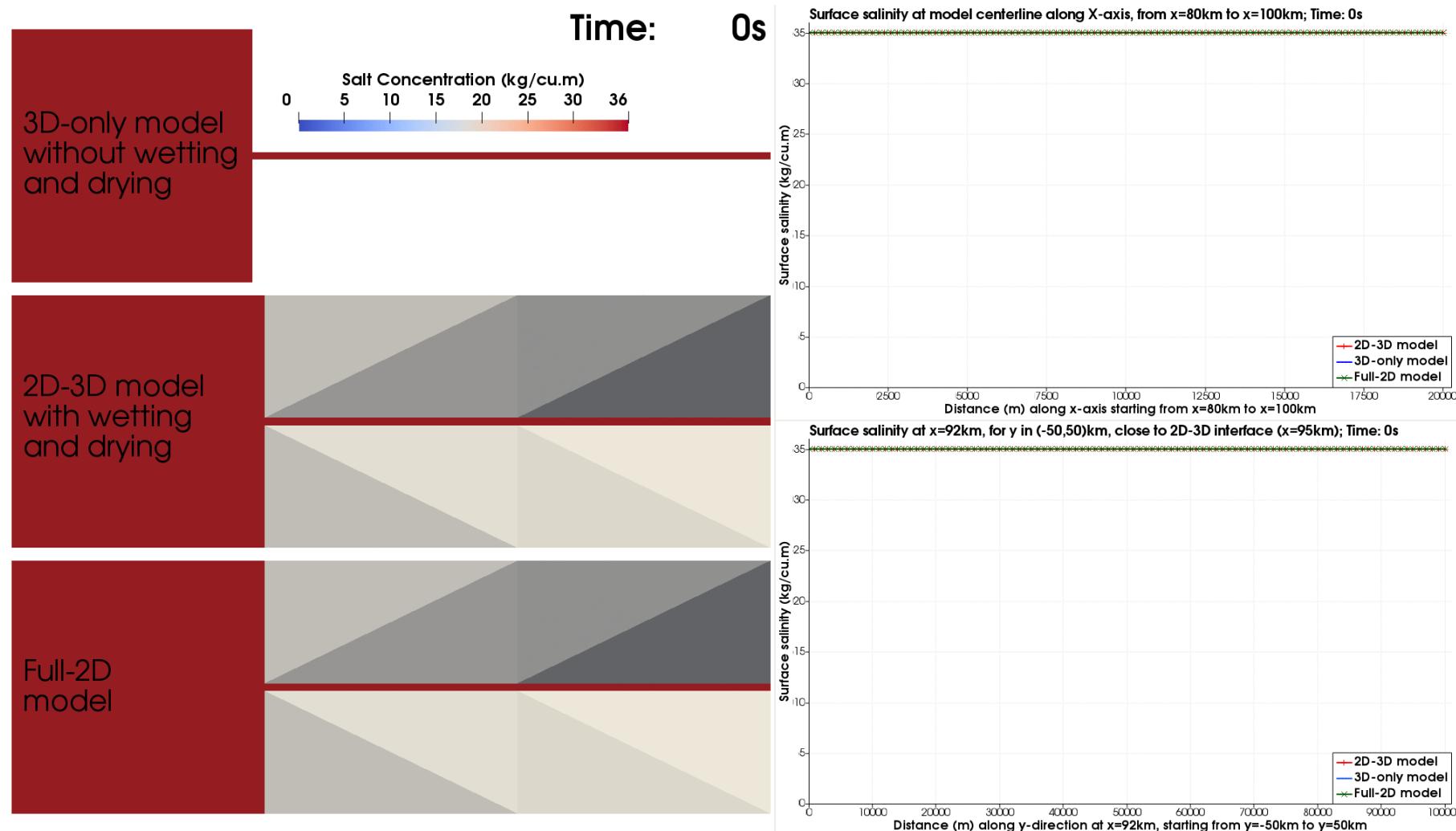
# Idealized estuary – surface velocity

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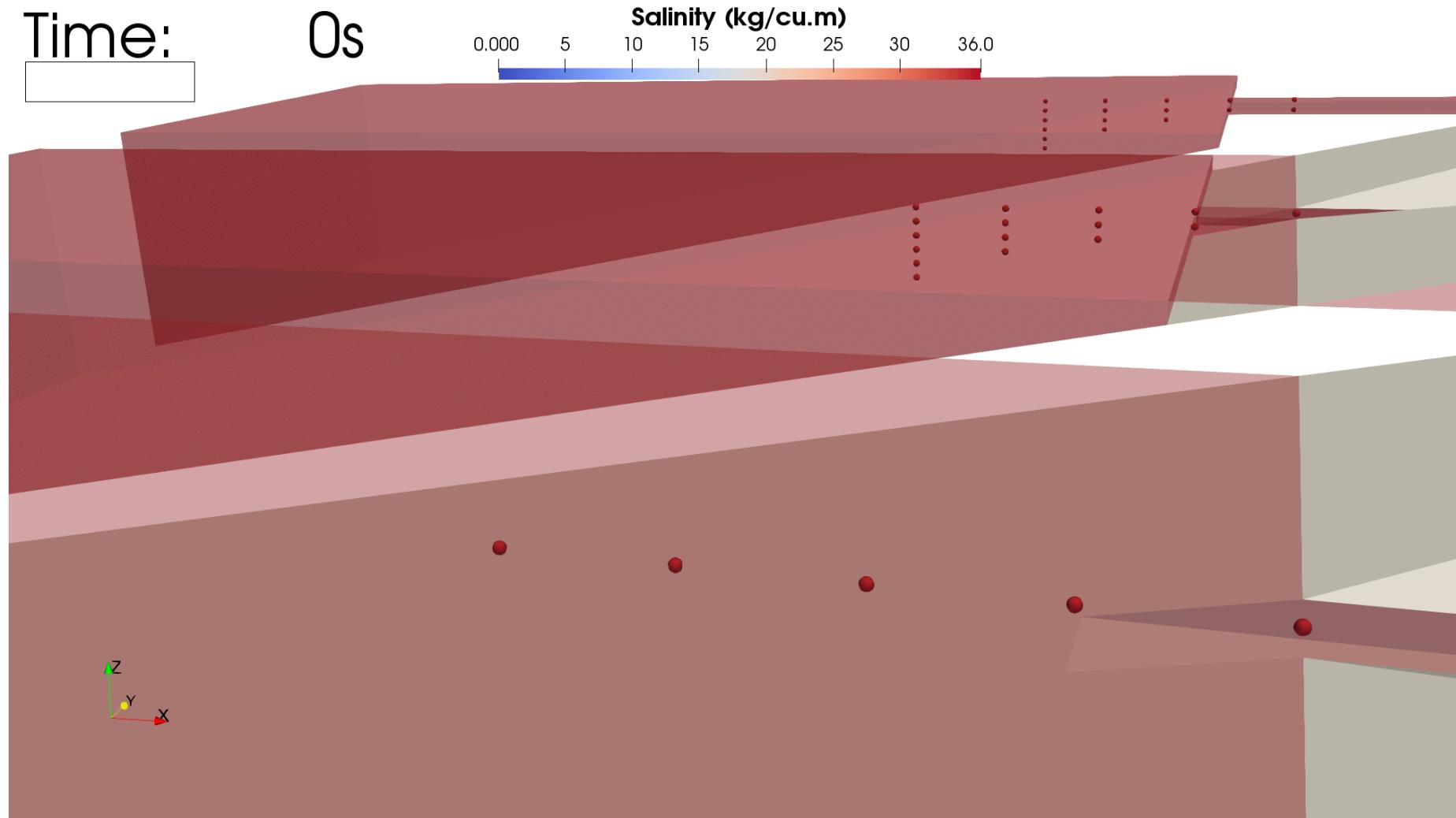
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# Idealized estuary – surface salinity



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# Wetting-drying + Baroclinic mixing



# 3D Atmospheric, 2D SW, 2D DW coupled models: Application

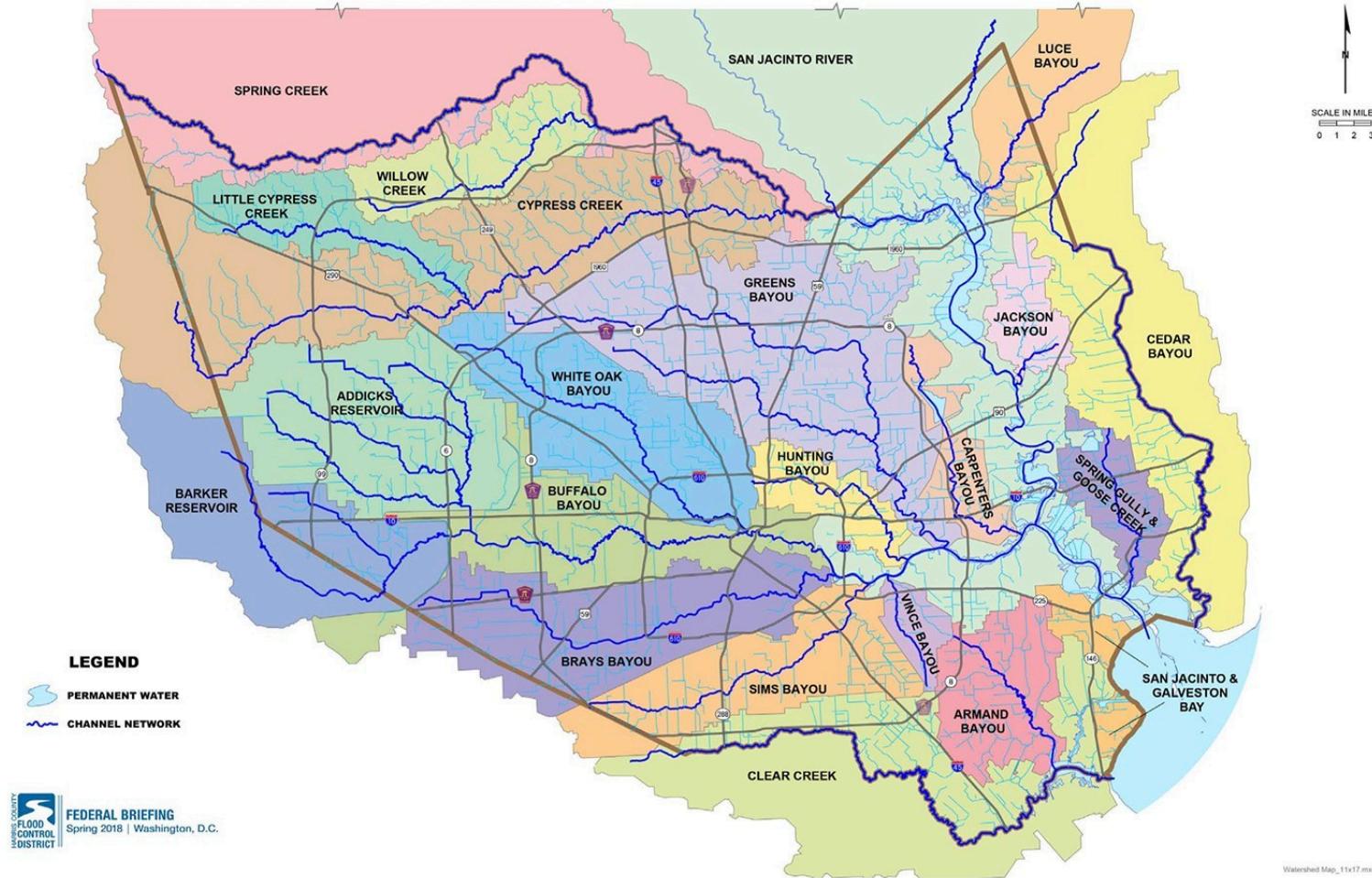
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HURRICANE HARVEY, AUGUST 2017

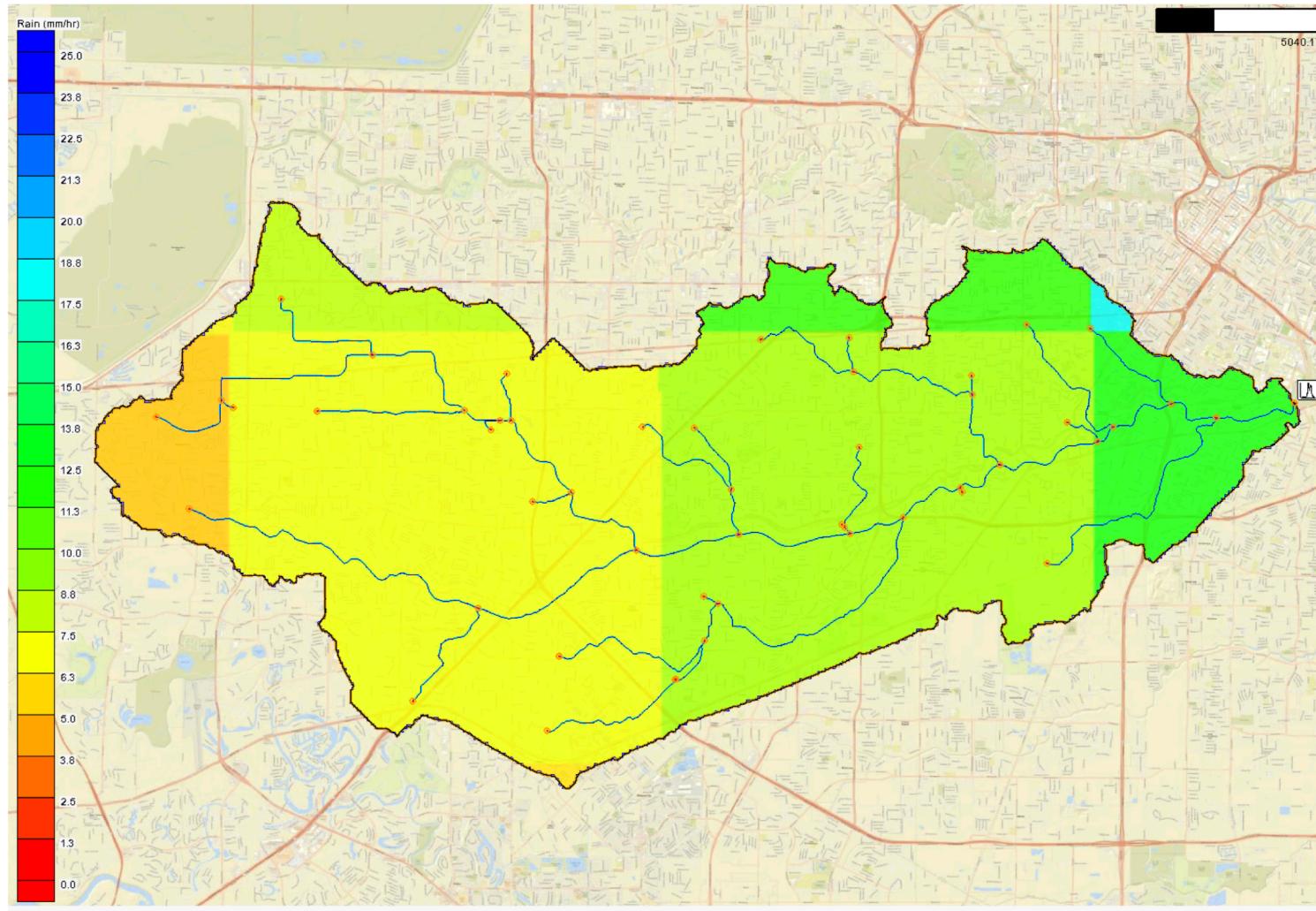
ONE OF THE COSTLIEST HURRICANES TO HIT THE US

# Harris County Watersheds

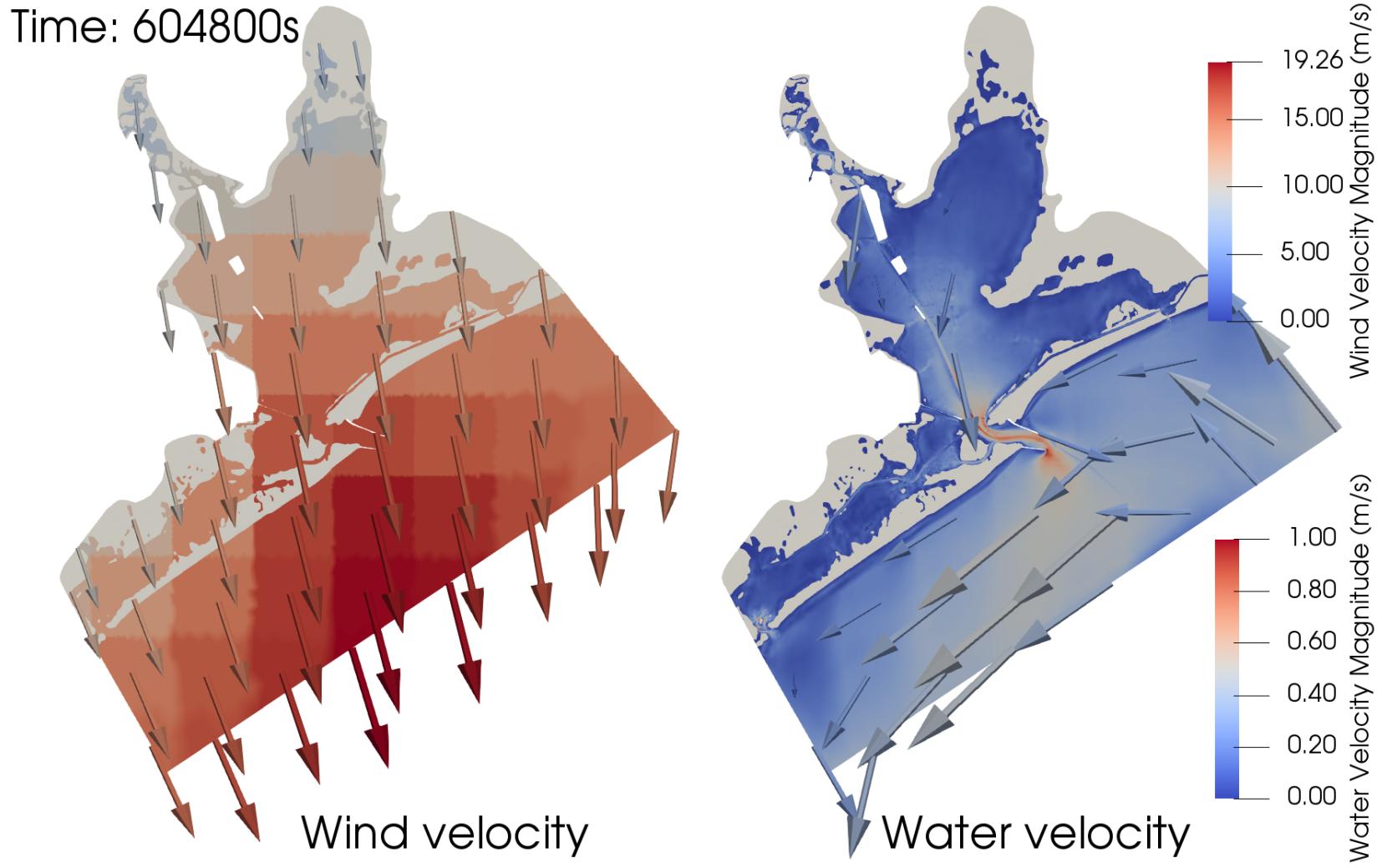
## Harris County Watersheds



# Brays Bayou Watershed model

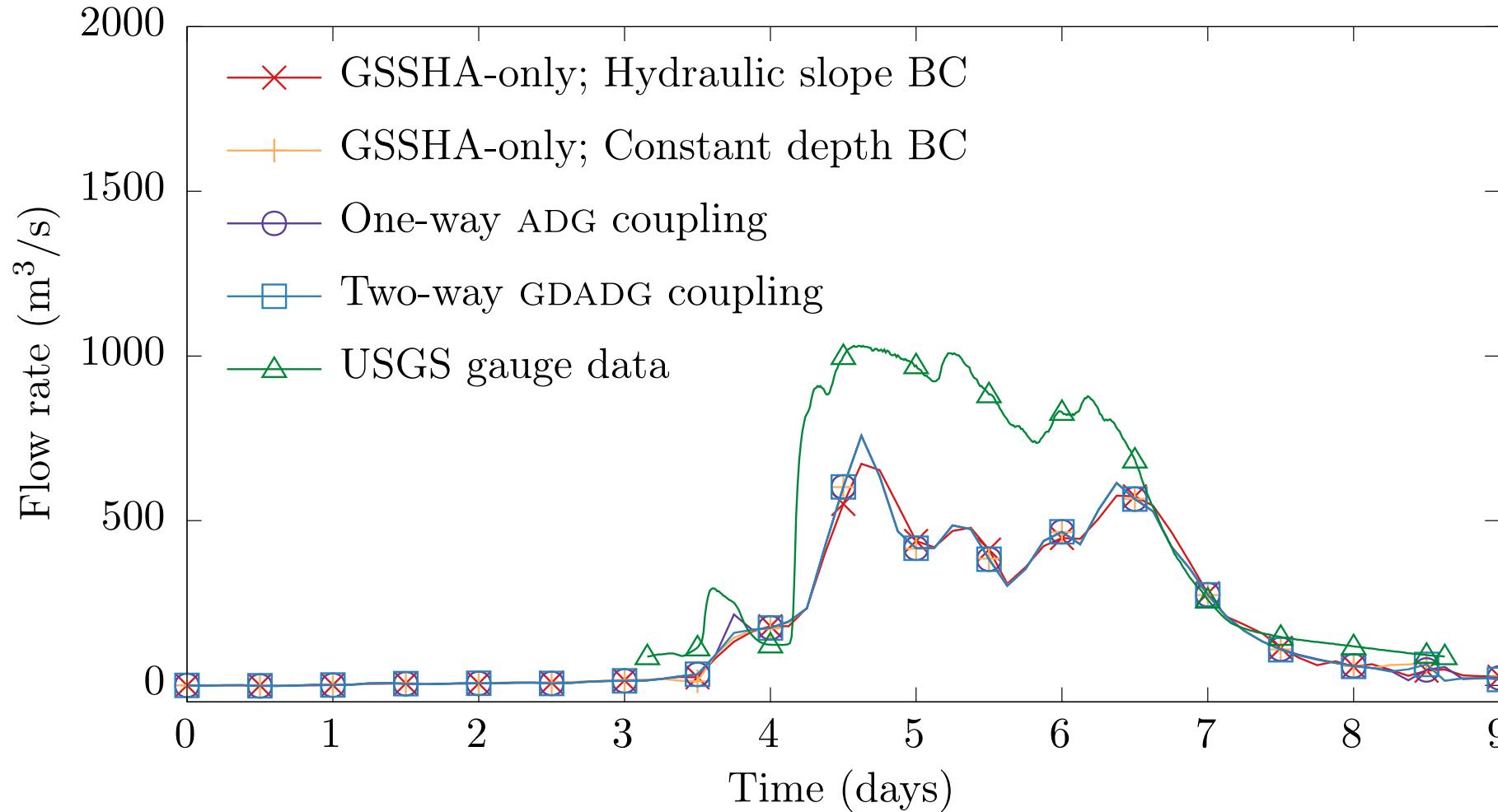


# Galveston Bay model

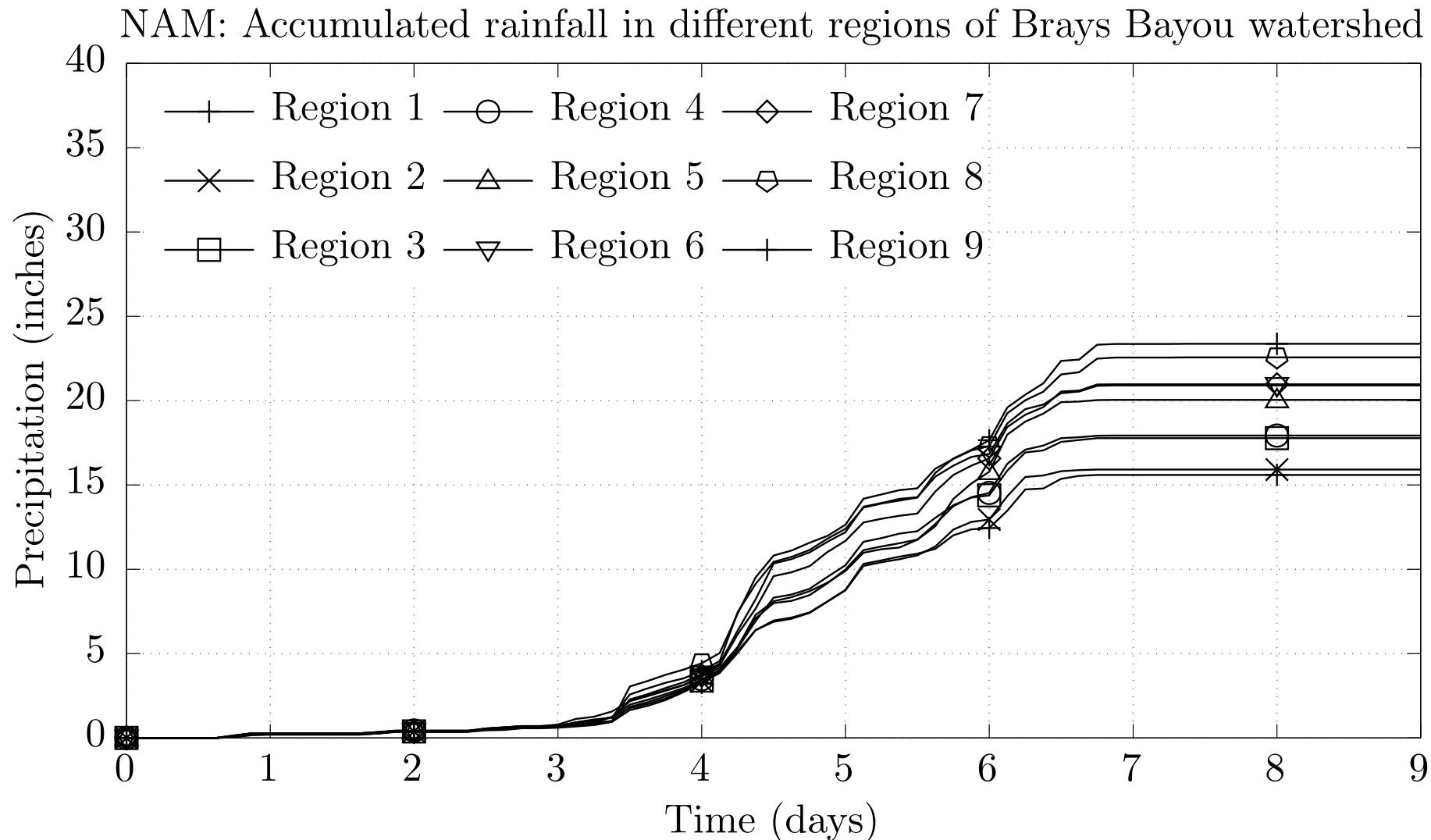


# NAM-AdH-GSSHA coupling

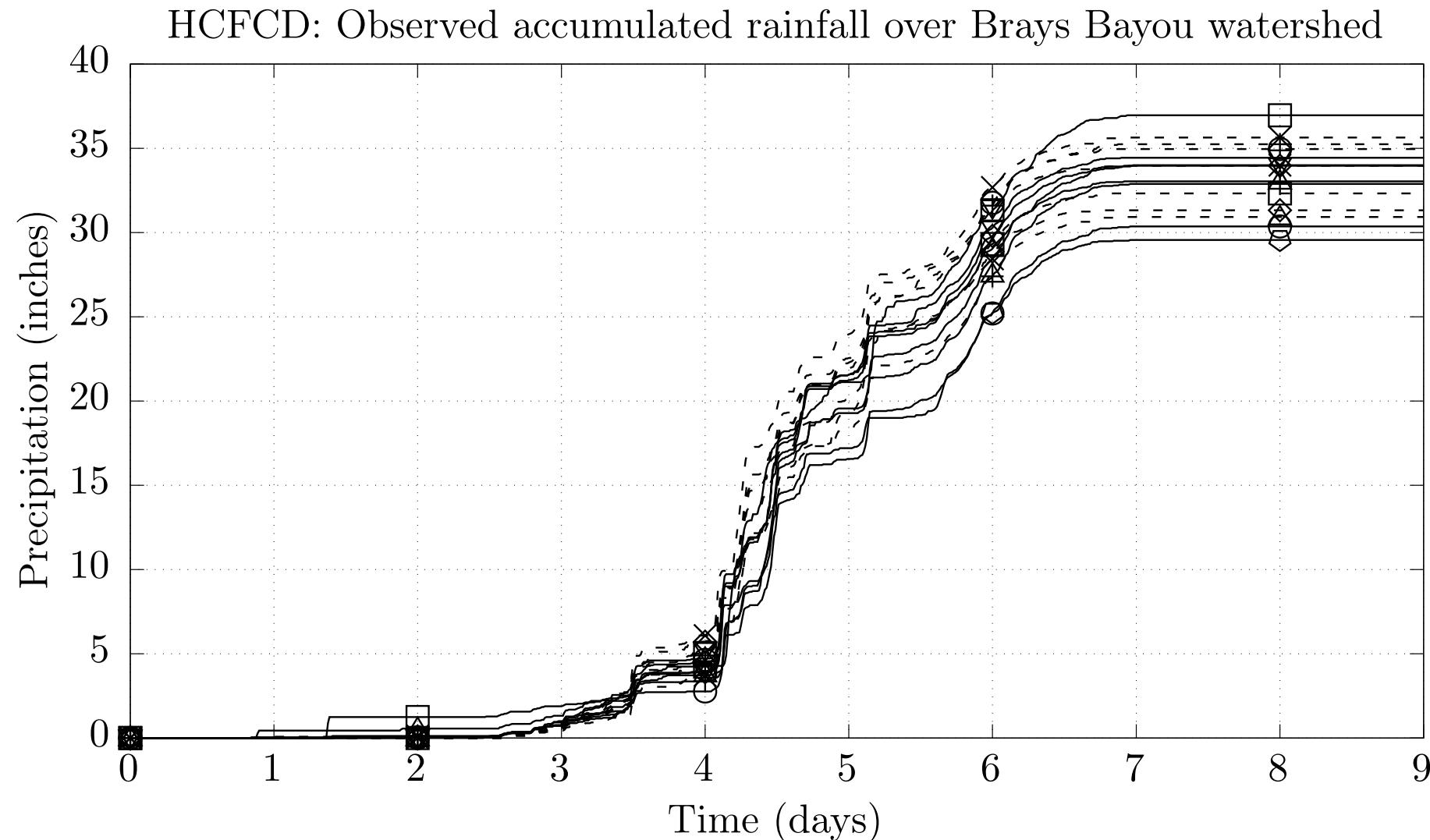
NAM: Outflow hydrograph at Brays Bayou at MLK Jr. Blvd, Houston, TX



# NAM: Rainfall during Harvey

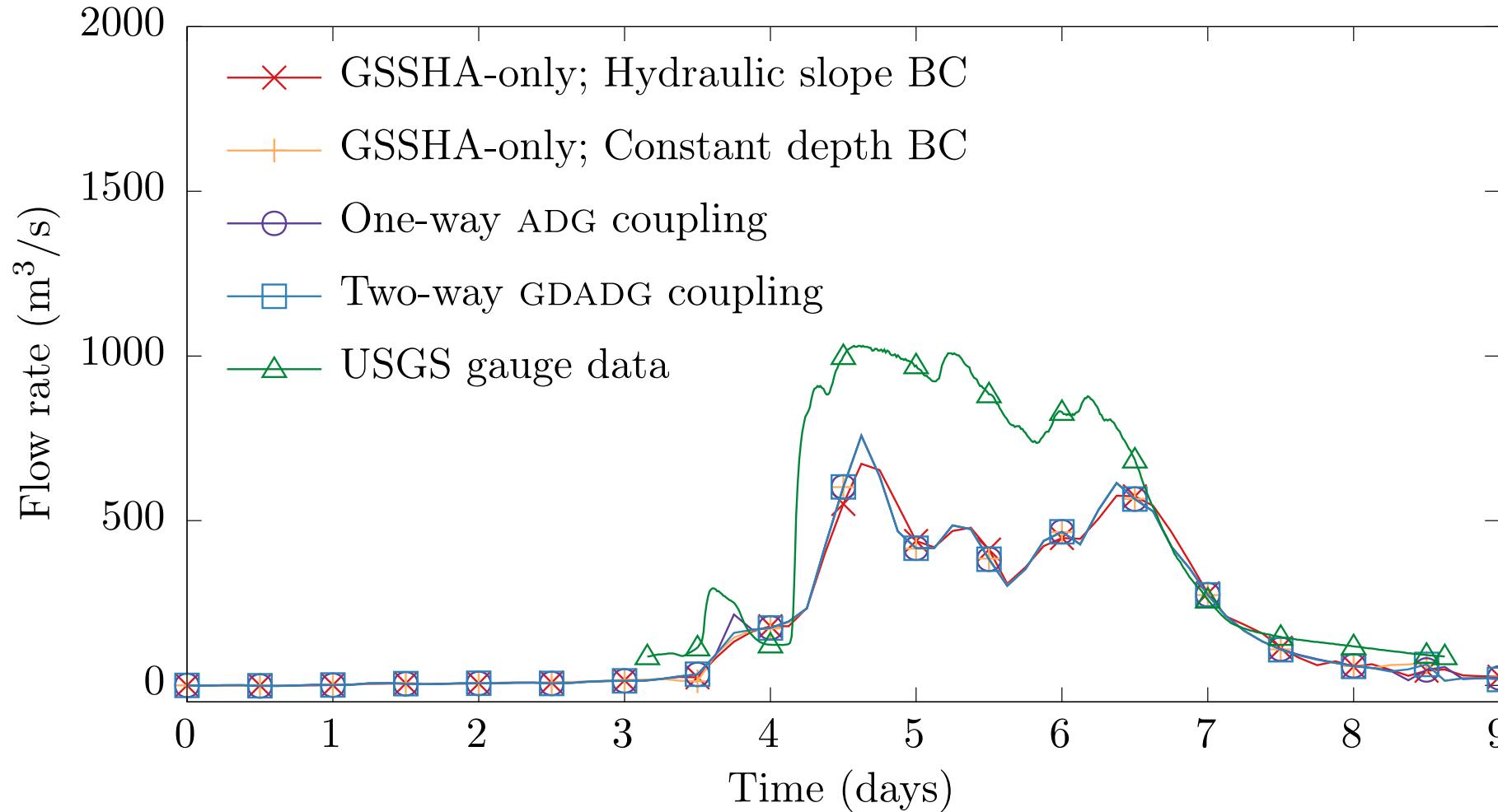


# HCFCD: Observed rainfall during Harvey



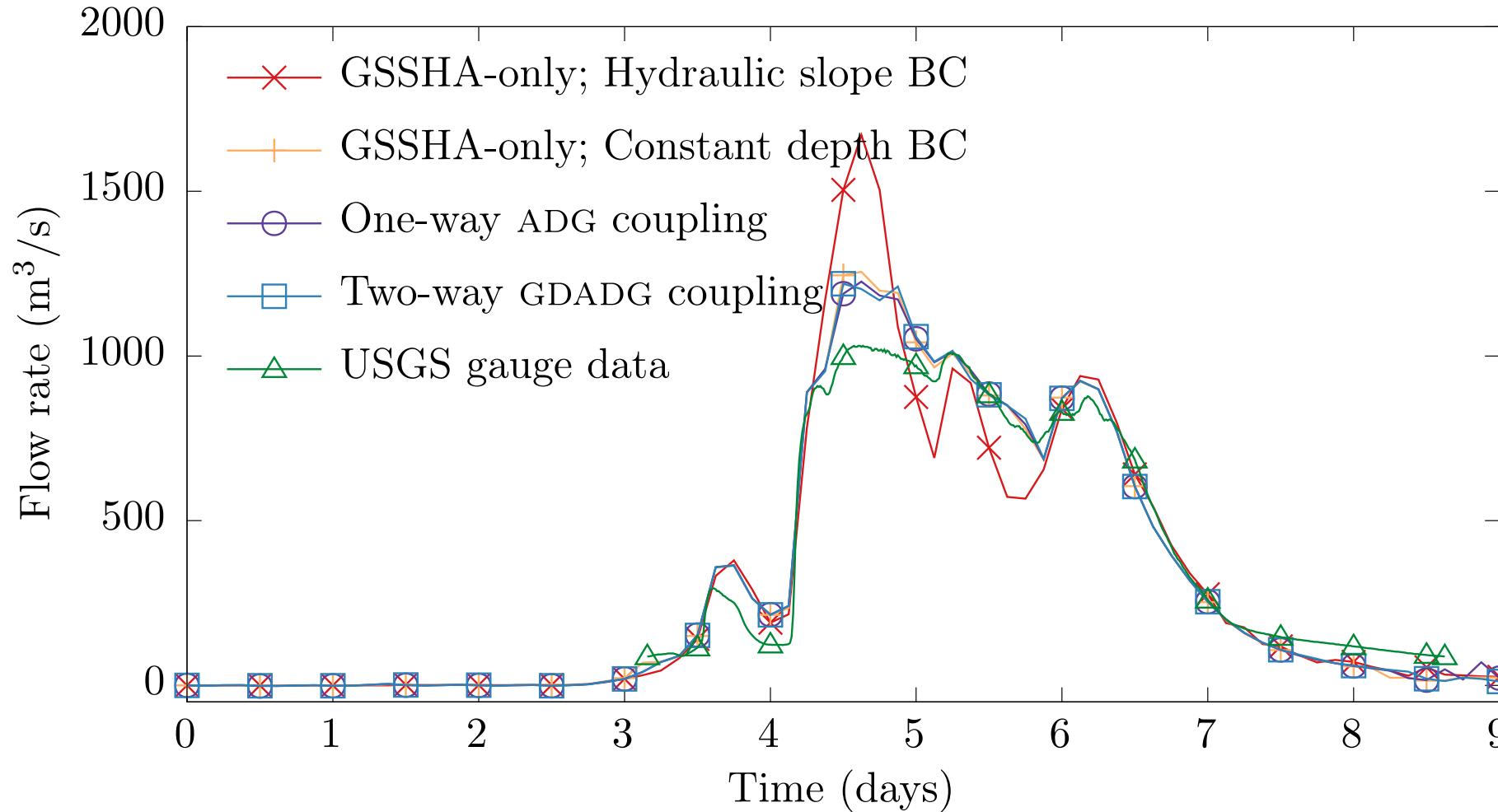
# NAM-AdH-GSSHA coupling

NAM: Outflow hydrograph at Brays Bayou at MLK Jr. Blvd, Houston, TX



# Observations-AdH-GSSHA coupling

HCFCD: Outflow hydrograph at Brays Bayou at MLK Jr. Blvd, Houston, TX



# Conclusion

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# Conclusions

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- 2D-3D strong coupling of shallow water and transport models
- Temporal convergence rates in line with theory: Optimal rate of 2
- Spatial convergence rates in line with theory:
  - Optimal rate of 2 for negligible advection slosh test case
  - Suboptimal rate of 1.25-1.5 for advection-dominated slosh test case
- 2D-3D coupled model solutions lie ‘between’ solely 2D and 3D ones

# Conclusions

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- Coupled models are not just viable, but needed
- 2D-3D coupled solution lies ‘between’ 2D and 3D solutions
  - Salinity results of 3D submodels  $\approx$  3D-only models
  - Wetting-drying in 2D submodels  $\approx$  full-2D models
- 2D SW models coupled to 2D/1D DW models, driving by one-way coupling from an atmospheric model
  - More work needed: better atmospheric model, more BCs, and more V&V

# Future work

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- More validation test cases OR theoretical guarantee needed
- How is the solution affected by the location and orientation of the coupling interface?
- Dynamically moving coupling interface to switch regions to run 3D SW, 2D SW, or 2D DW
- 3D SW coupled to 2D SW coupled to 2D/1D coupled DW to 2D GW, all driven by one-way coupling from an atmospheric model

## Acknowledgement

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expressed in this material are those of the author(s)  
and do not necessarily reflect the views of the DoD HPCMP

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- Most important of all, parents Kalpana and Krishna, brother Abhinav and sister Supriya, without whom I would not be here

# References

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# Thank You!

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# Additional Slides

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# Proof summary

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CONSERVATION OF MASS/MOMENTUM ACROSS 2D-3D INTERFACE

# Strong 2D-3D Coupling

Interface Nodes:

$$\mathcal{J}^{2D} = \{1_{2D}, 2_{2D}, 3_{2D}\}$$

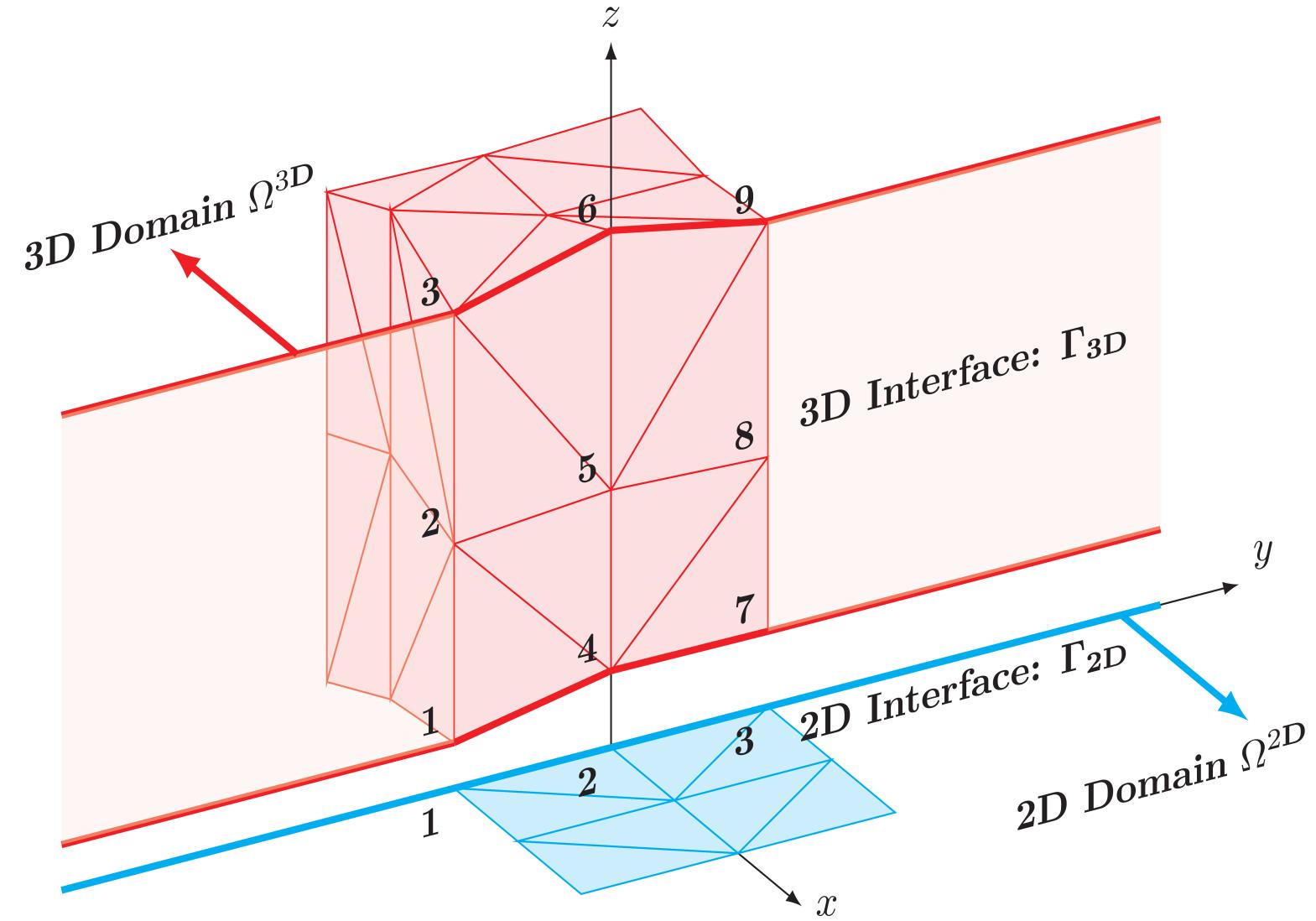
$$\mathcal{J}^{3D} = \{1_{3D}, 2_{3D}, 3_{3D}, 4, \dots, 9\}$$

Coupled Node Columns:

$$\mathcal{C}(1_{2D}) = \{1_{3D}, 2_{3D}, 3_{3D}\}$$

$$\mathcal{C}(2_{2D}) = \{4, 5, 6\}$$

$$\mathcal{C}(3_{2D}) = \{7, 8, 9\}$$



# Example: Mass conservation

---

- Condition for mass conservation, for coupled node column  $\{2^{2D}, 4, 5, 6\}$ :

- To prove: 
$$\int_{\Gamma^{2D}} \phi_{2_{2D}} h \bar{\mathbf{u}} \cdot \mathbf{n}_{2D} d\Gamma^{2D} = - \sum_{i=4}^6 \int_{\Gamma^{3D}} \phi_i \mathbf{u} \cdot \mathbf{n}_{3D} d\Gamma^{3D}$$

- Proof uses: 
$$(h, \bar{u}, \bar{v}) \Big|_{\Gamma^{2D}} = (h, u, v) \Big|_{\Gamma^{3D}}$$
 ... (choice of trial function)

$$\phi_{2_{2D}} \Big|_{\Gamma^{2D}} = (\phi_4 + \phi_5 + \phi_6) \Big|_{\Gamma^{3D}} \text{ ... (extrusion + conformity)}$$

$$\mathbf{n}_{2D} \Big|_{\Gamma^{2D}} = - \mathbf{n}_{3D} \Big|_{\Gamma^{3D}} \text{ ... (no gaps in the interface)}$$

- Trivial after this. Momentum conservation likewise.

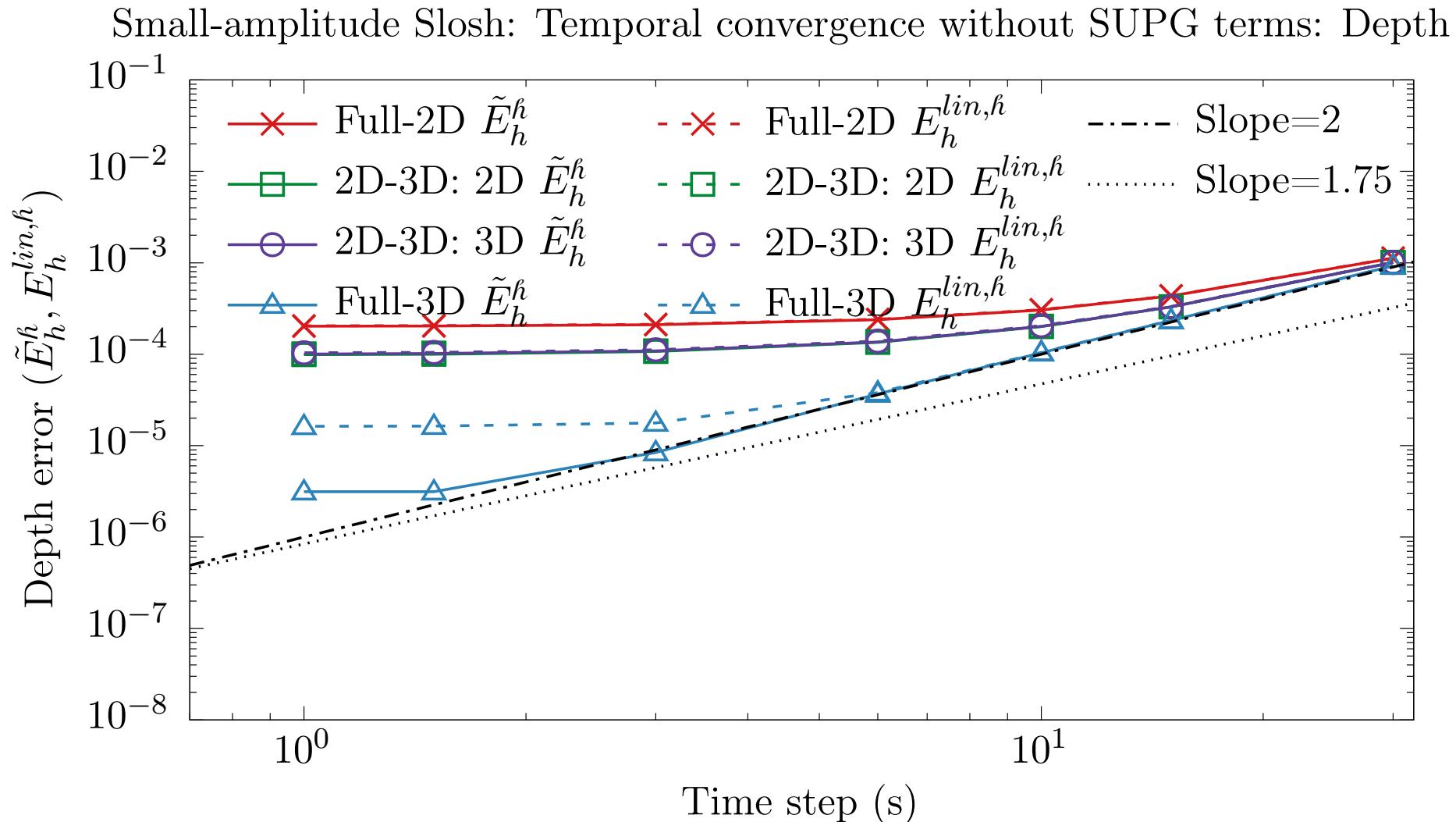
# Temporal Convergence

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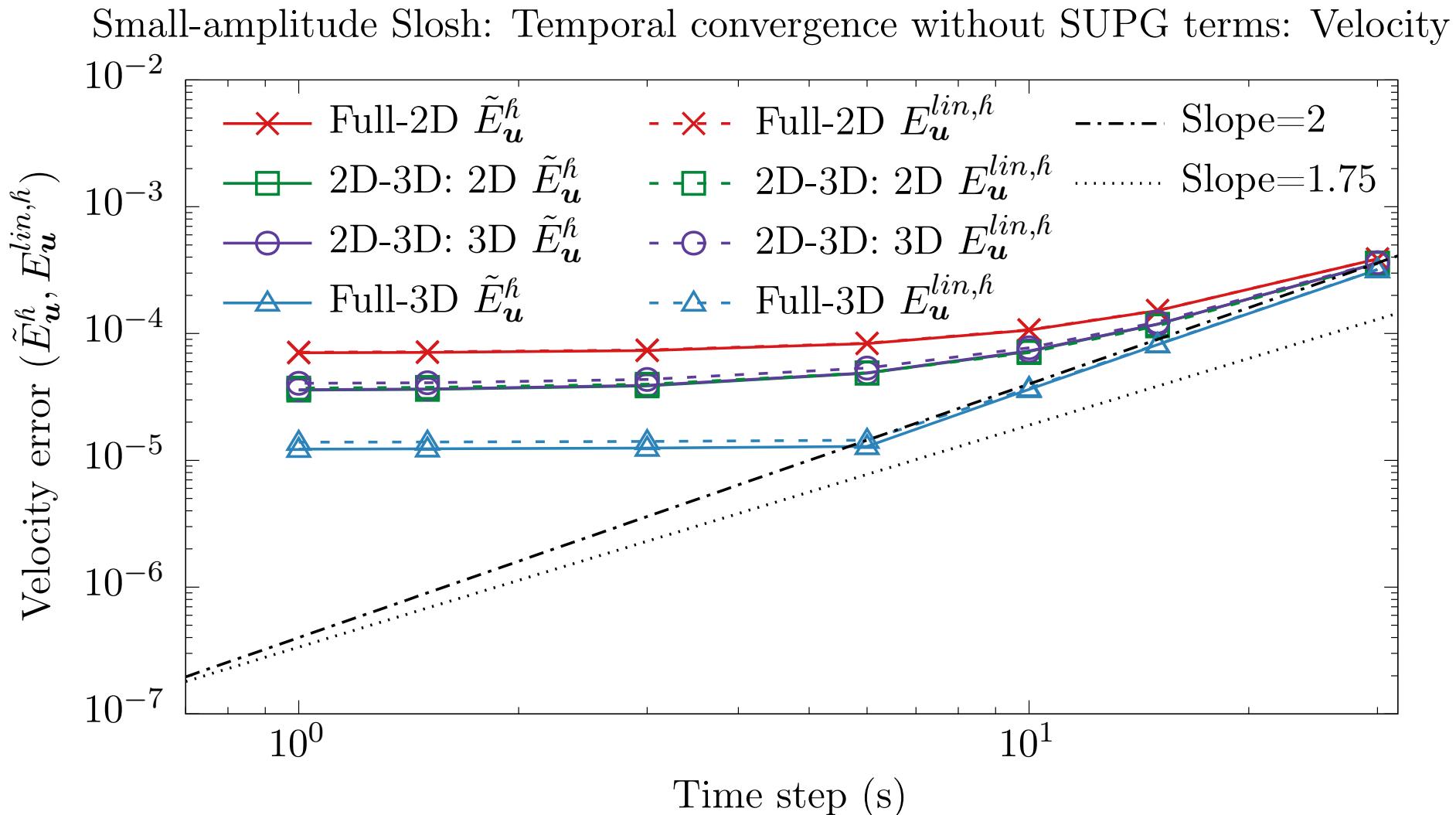
SMALL AMPLITUDE SLOSH TEST CASE

REFERENCE [1]

# Temporal convergence without SUPG terms



# Temporal convergence without SUPG terms



# Spatial Convergence

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SMALL AMPLITUDE SLOSH TEST CASE

REFERENCE [1]

# 2D-3D Coupled SWE: Verification

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BAROCLINIC LOCK EXCHANGE TEST CASE

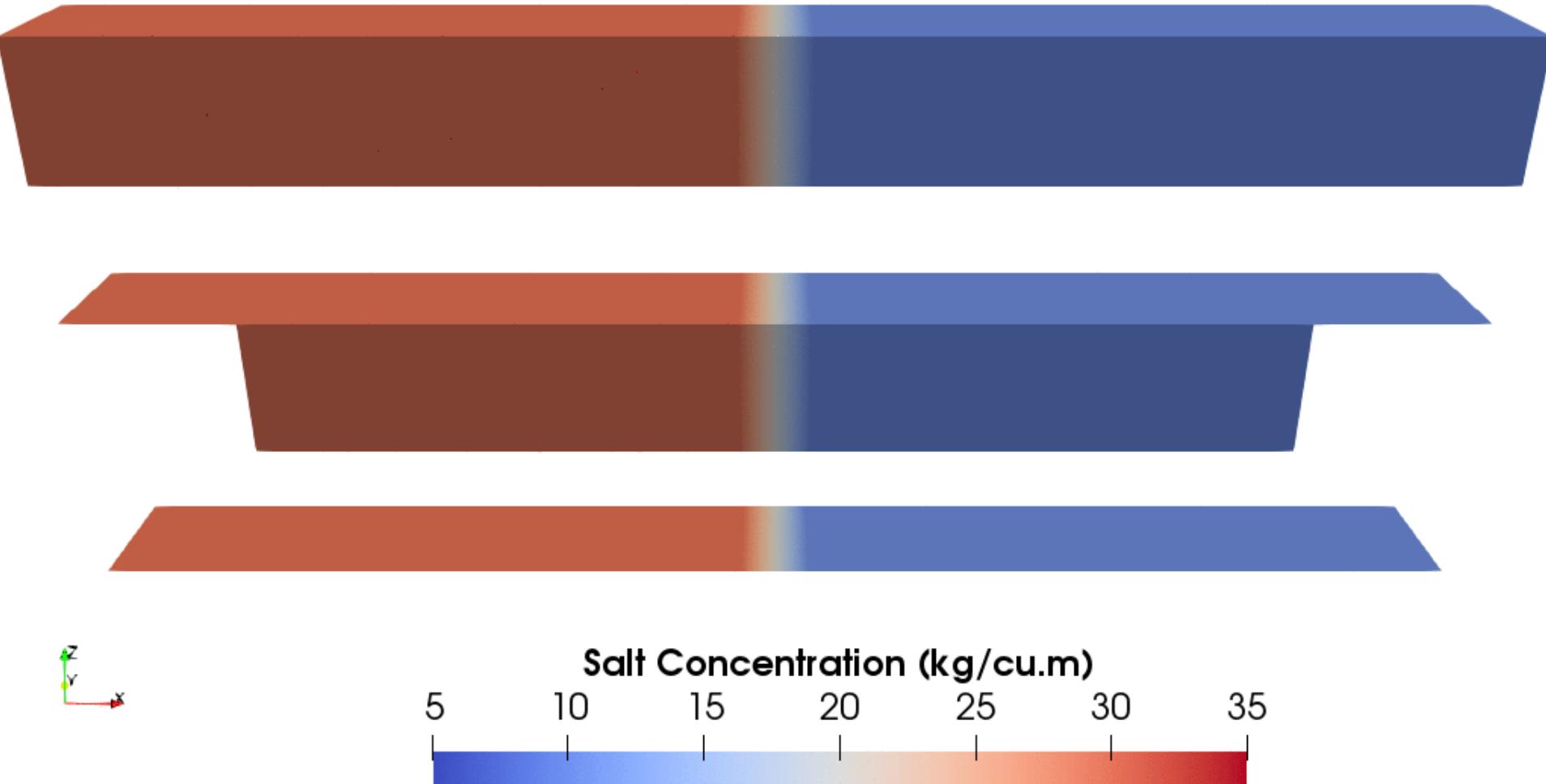
# Lock exchange test

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- Domain:  $\Omega = (0, L) \times (0, B) \times (-H, 0)$ 
  - $L = 2m, B = 0.2m, H = 0.2m$ ; simulation time 48s
- Boundary conditions:
  - No-flow across all vertical boundaries
- Initial conditions:
  - Water at rest, i.e.,  $\mathbf{u}(x, y, z, 0) = 0m/s$
  - Constant water depth, i.e.,  $h(x, y, 0) = H = 0.2m$
  - Salinity discontinuity at the center; 30‰ in one half, and 10‰ in the other

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# Lock exchange test



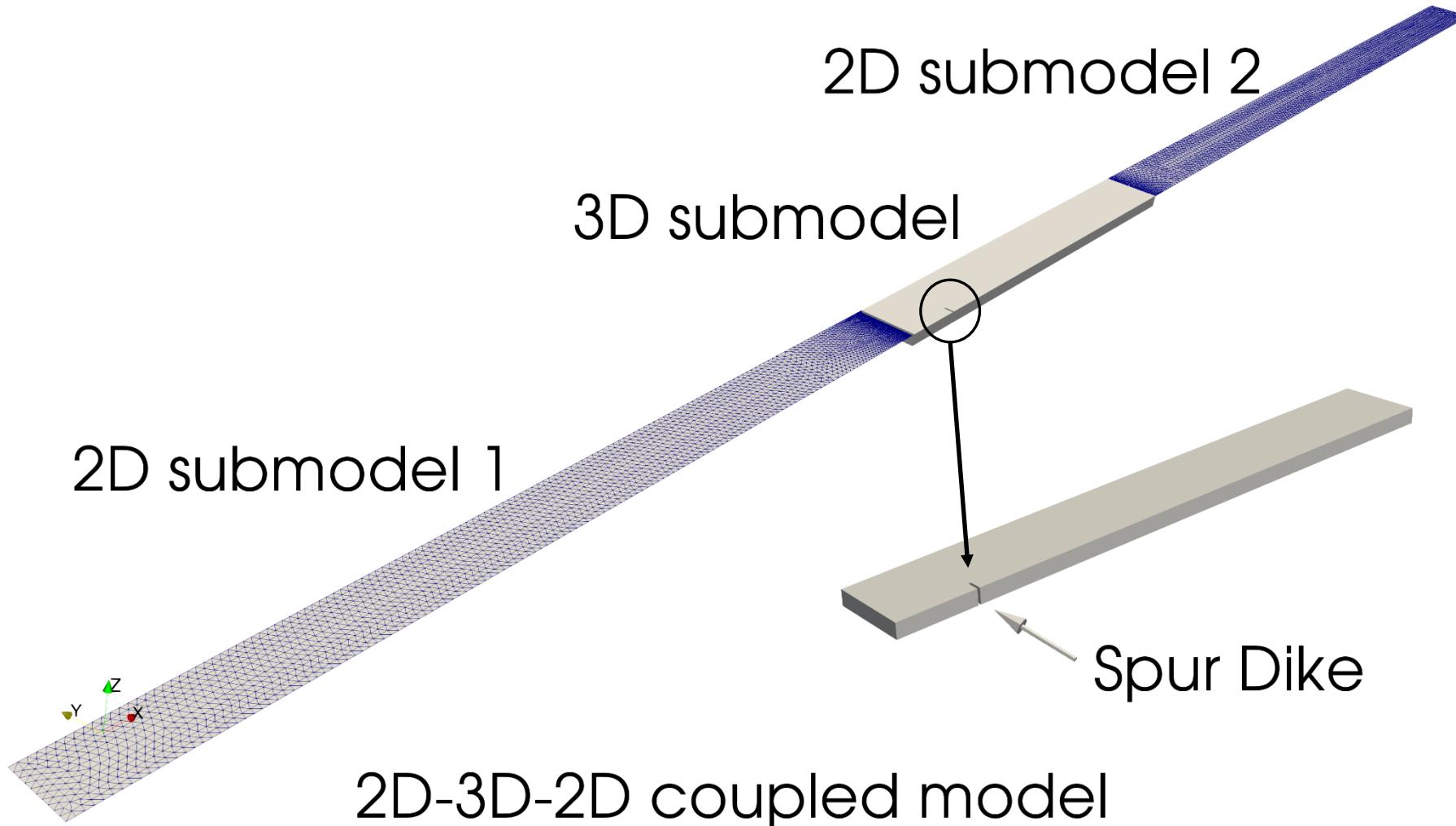
# Validation

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EMERGENT SPUR DIKE IN A RECTANGULAR CHANNEL  
REFERENCE [4]

# Model

---



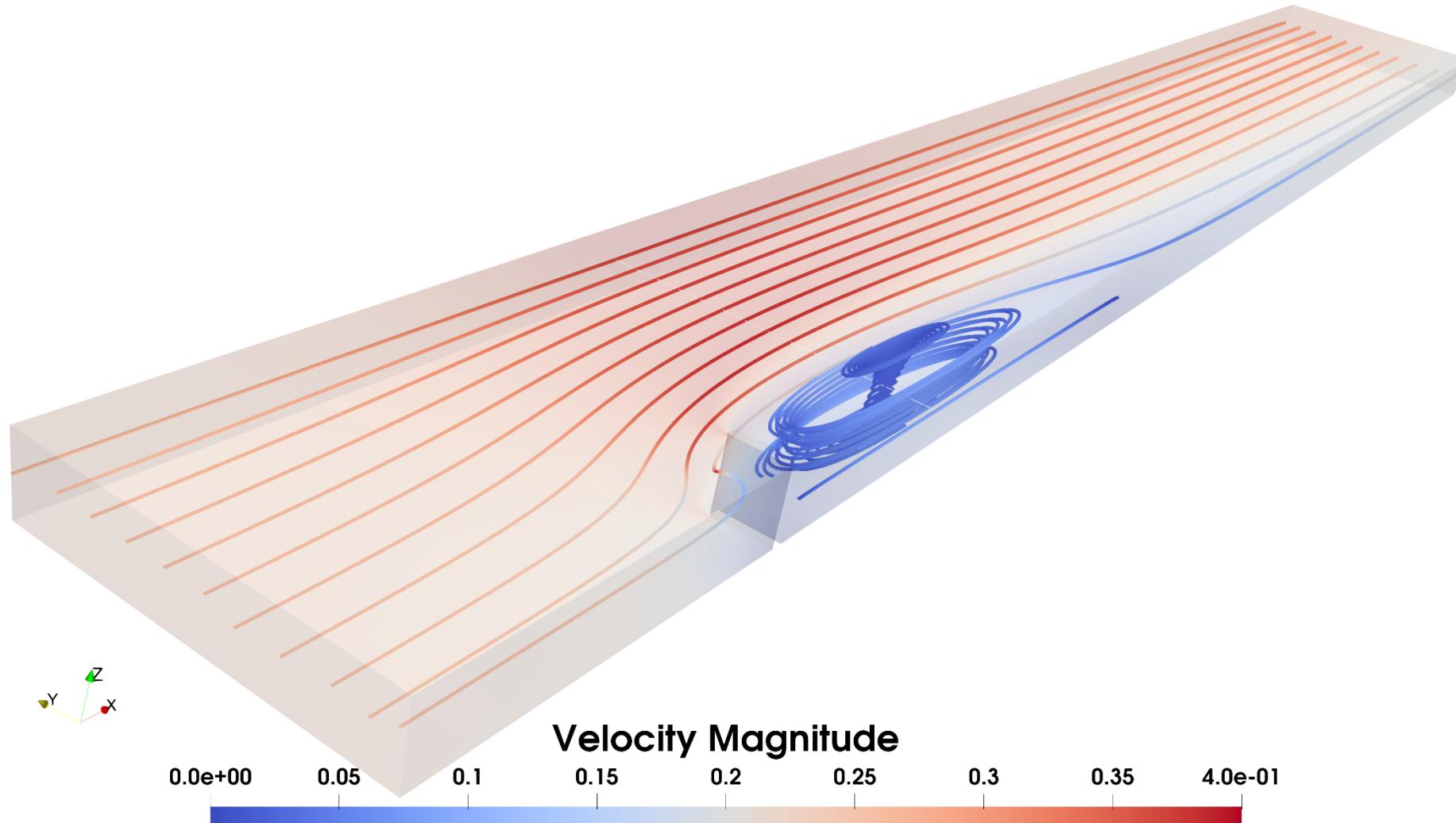
# Validation – emergent spur dike

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- Domain:  $\Omega = (0, 37)m \times (0, 0.92)m \times (-0.189, 0)m$
- Dike location:  $(14.00, 14.03)m \times (0, b = 0.152)m \times (-0.189, \infty)m$
- Boundary conditions:
  - No-flow across North and South vertical boundaries
  - Inflow from East boundary, flow rate  $Q(t) = 0.0453m^3/s$
  - Water depth fixed at the West boundary,  $h(L, y, 0) = 0.189m$
- Initial conditions:
  - Water at rest and flat water surface, i.e.,  $\mathbf{u}(x, y, z, 0) = 0m/s$ ,  $\eta(x, y, 0) = 0m$

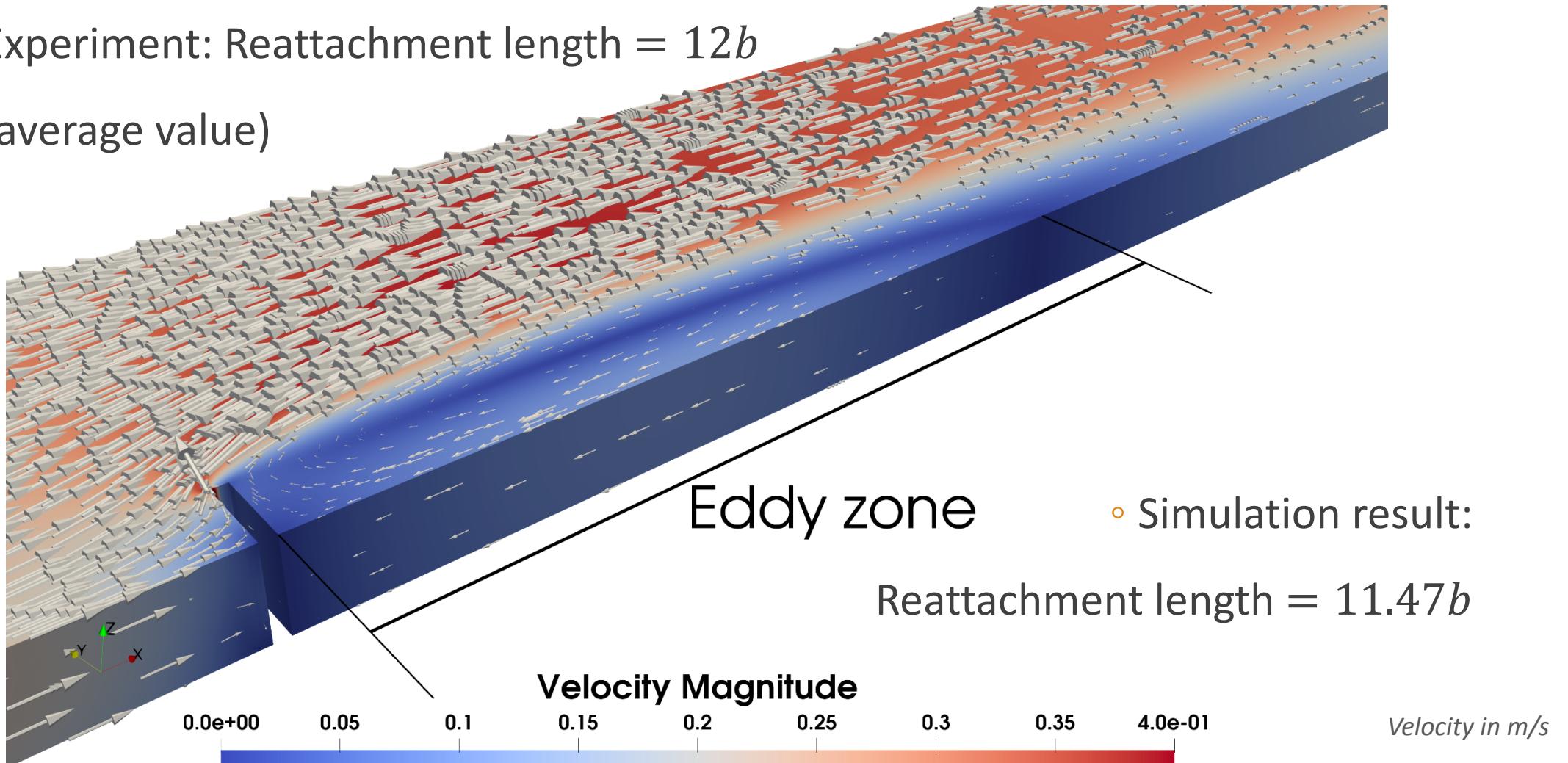
# Streamlines

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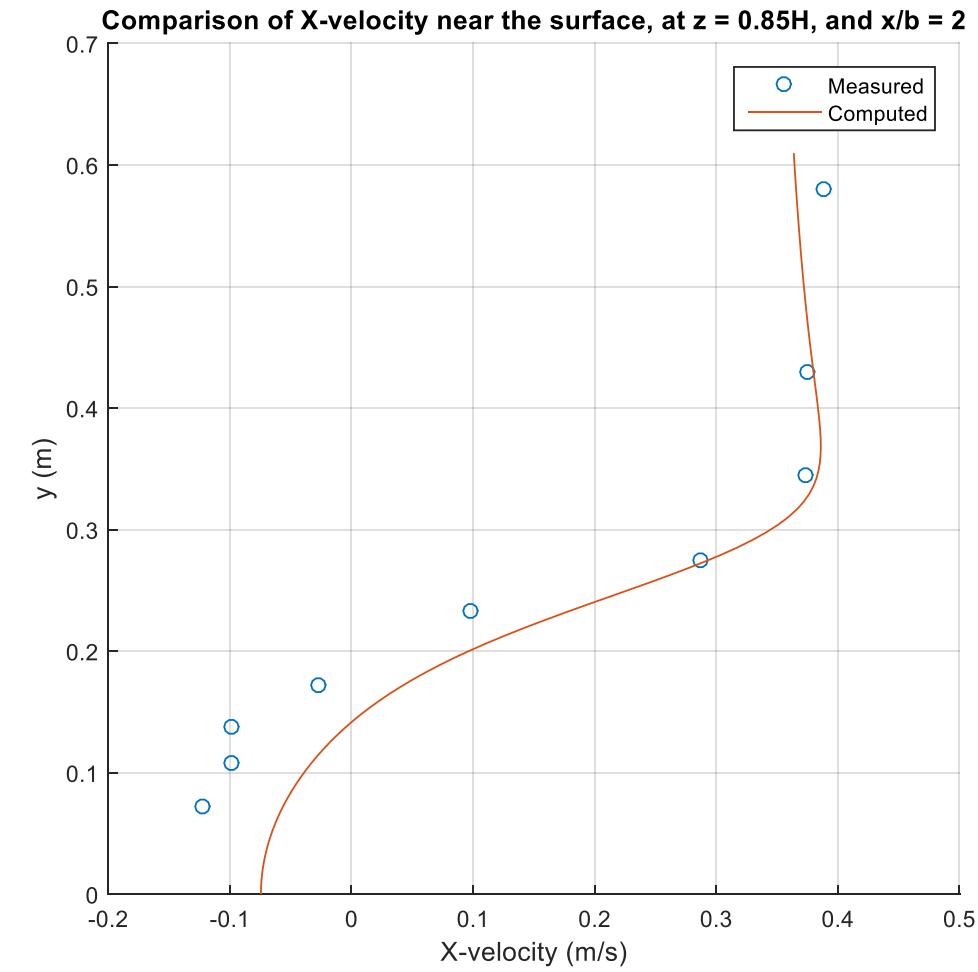
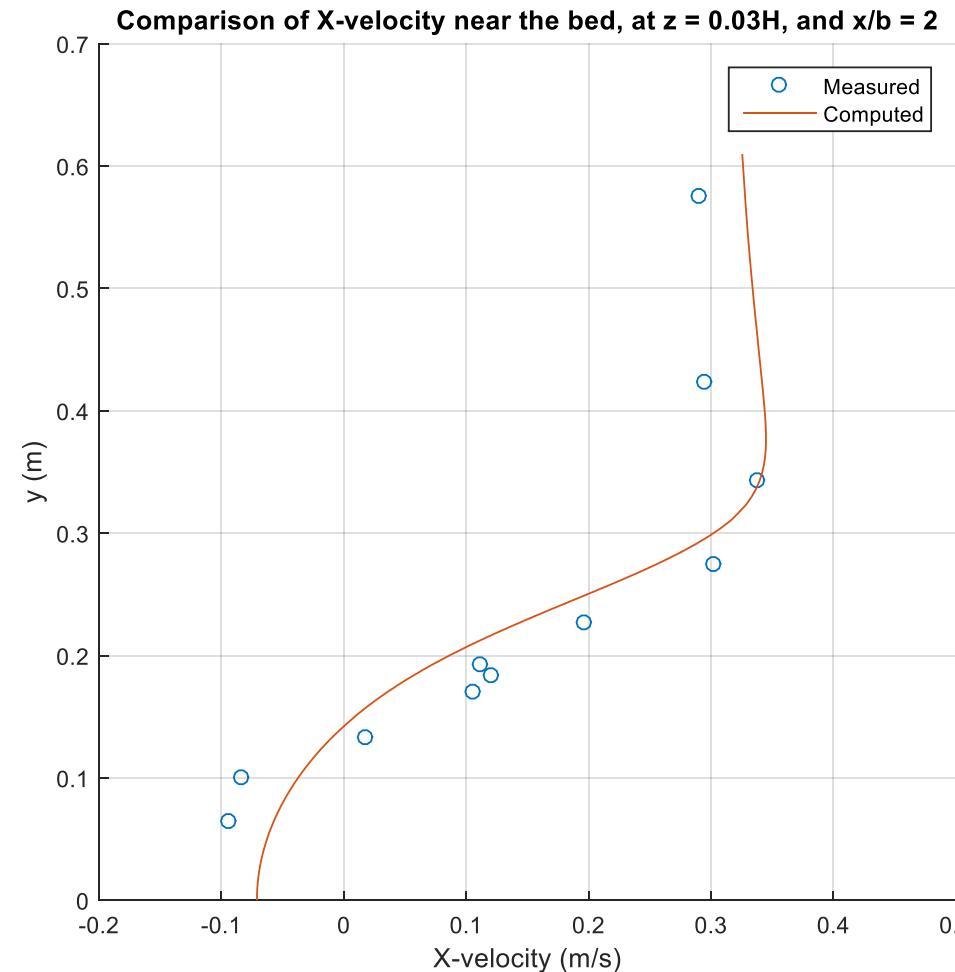


# Validation - reattachment length

- Experiment: Reattachment length =  $12b$   
(average value)



# Surface $x$ -velocity profiles near the dike



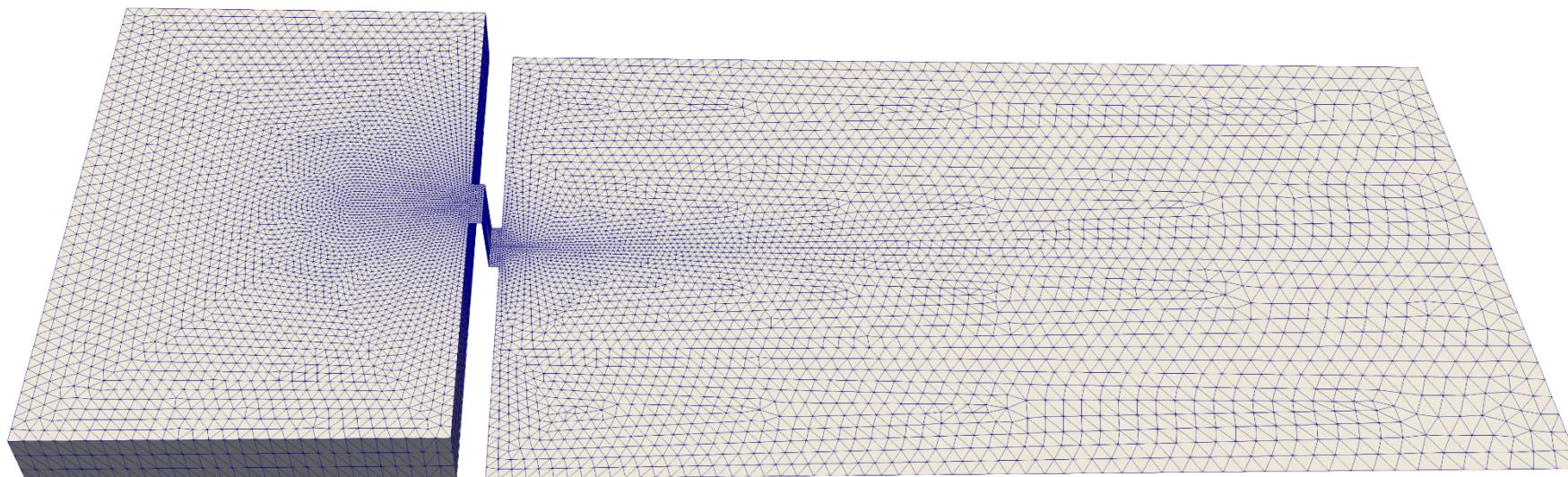
# Validation

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PARTIAL-BREACH DAM-BREAK SCENARIO  
REFERENCE [2]

# Model

---



3D submodel

2D submodel



2D-3D coupled model

# Validation – dam break scenario

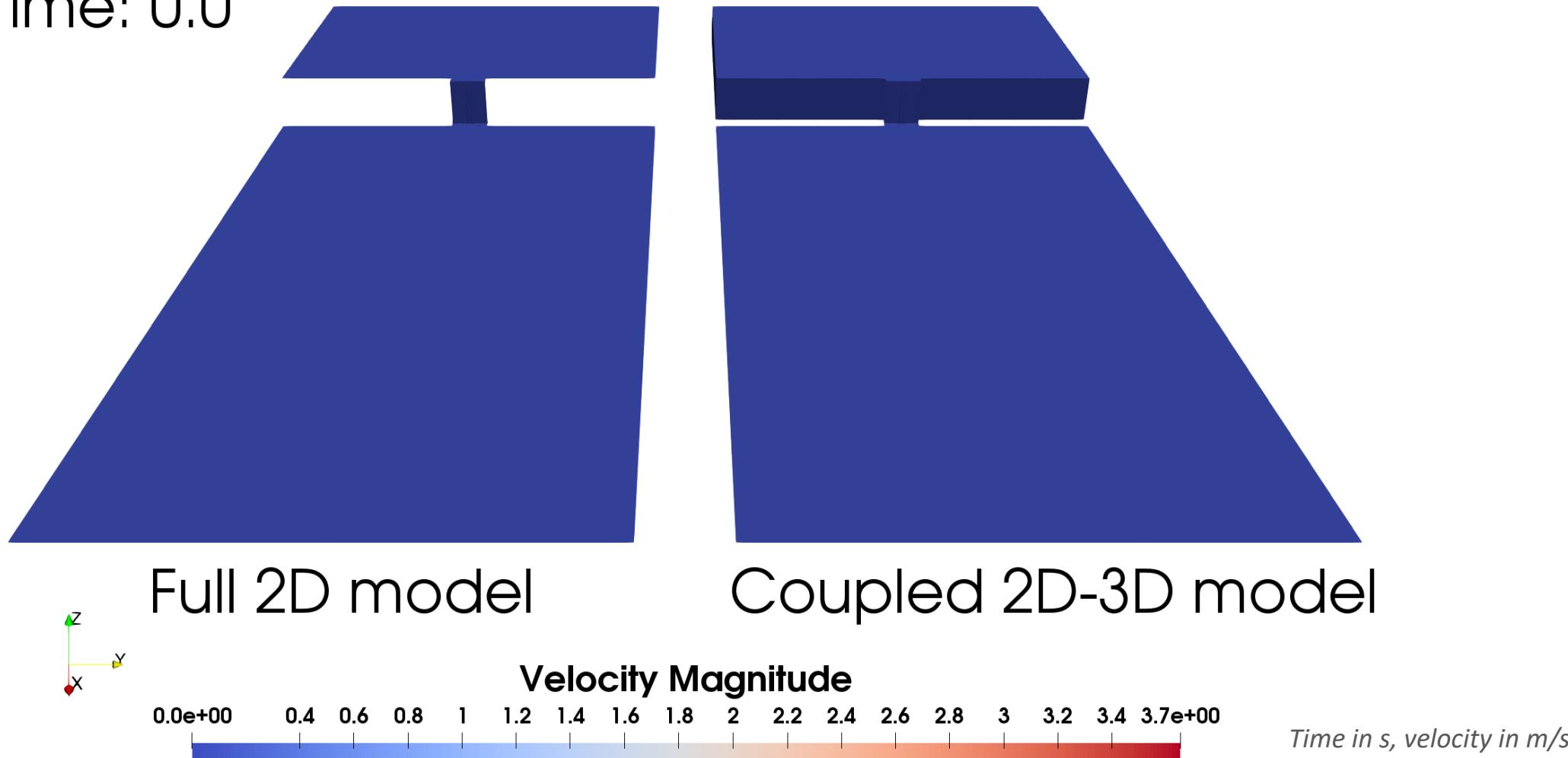
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- Domain:  $\Omega = (-3, 8.15)m \times (-2.15, 2.15)m$
- Dam:  $(-0.15, 0.15)m \times (-2.15, 2.15)m$
- Gate:  $(-0.0015, 0.0015)m \times (-0.2, 0.2)m$
- Boundary conditions:
  - No-flow across all boundaries
- Initial conditions:
  - Upstream of gate: water at rest and flat water surface with depth  $h(x, y, 0) = 0.5m$
  - Downstream of gate: dry bed

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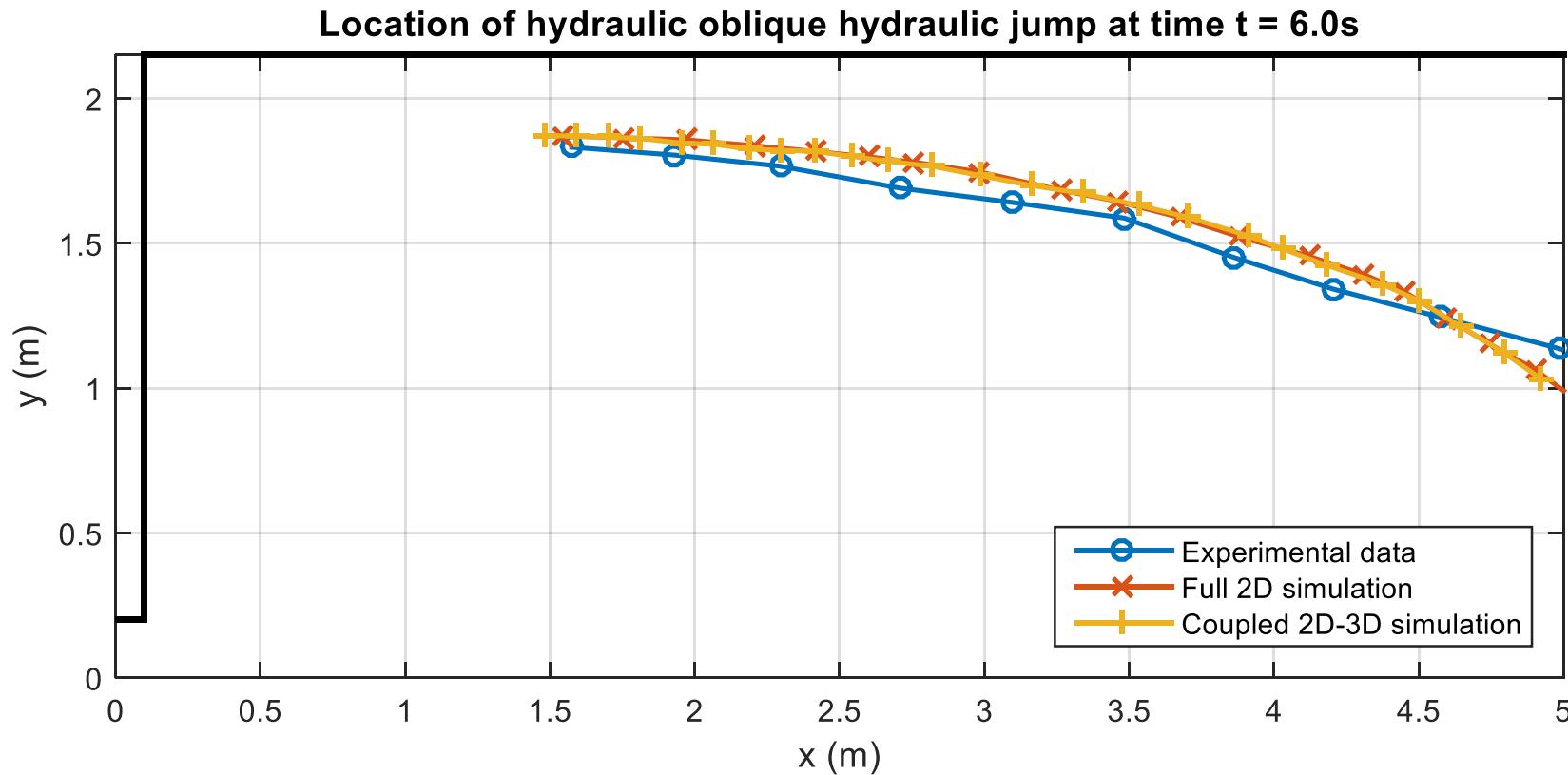
# Dam break simulation

Time: 0.0



# Hydraulic jump

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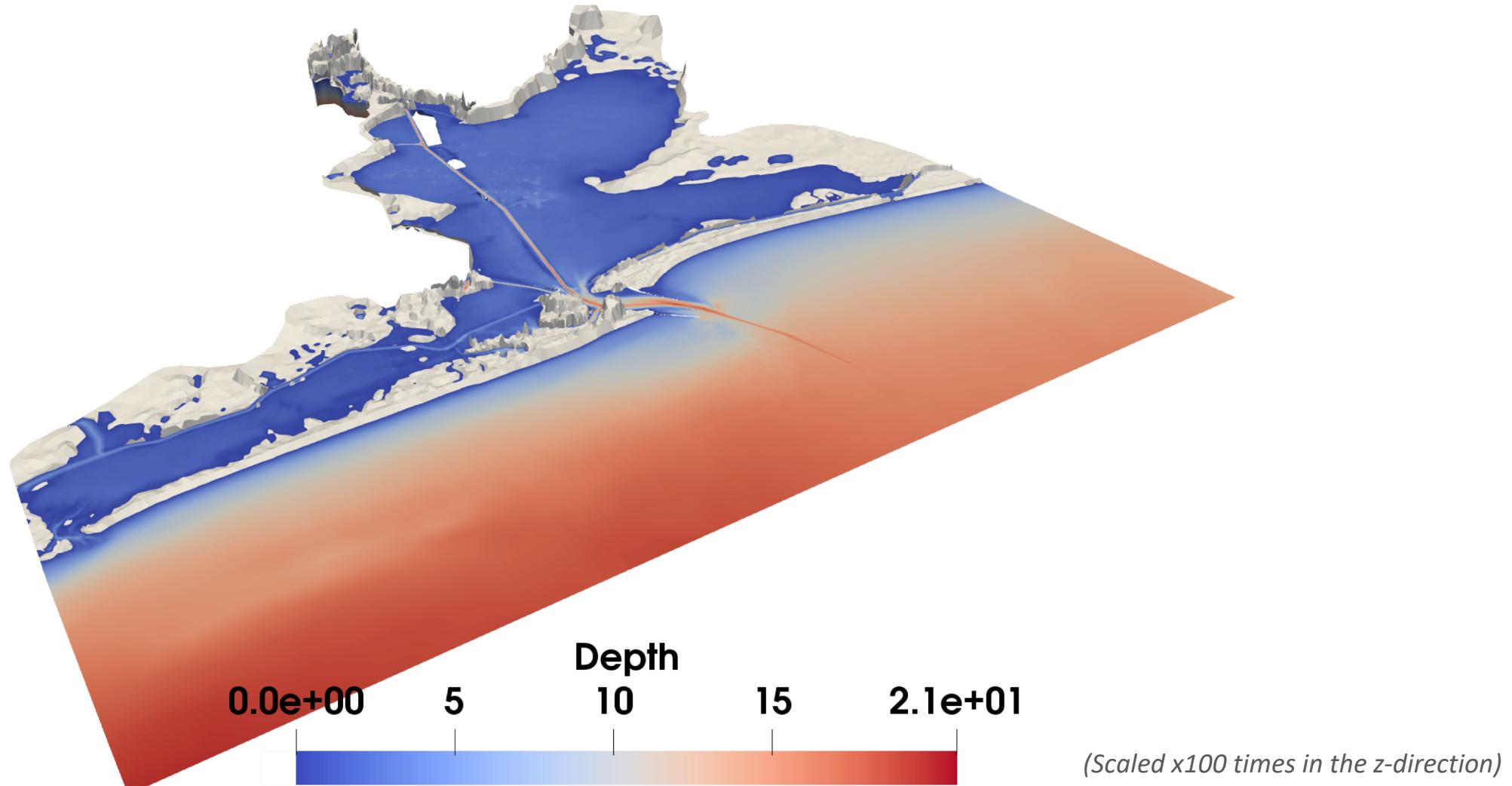
# Application

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GALVESTON BAY

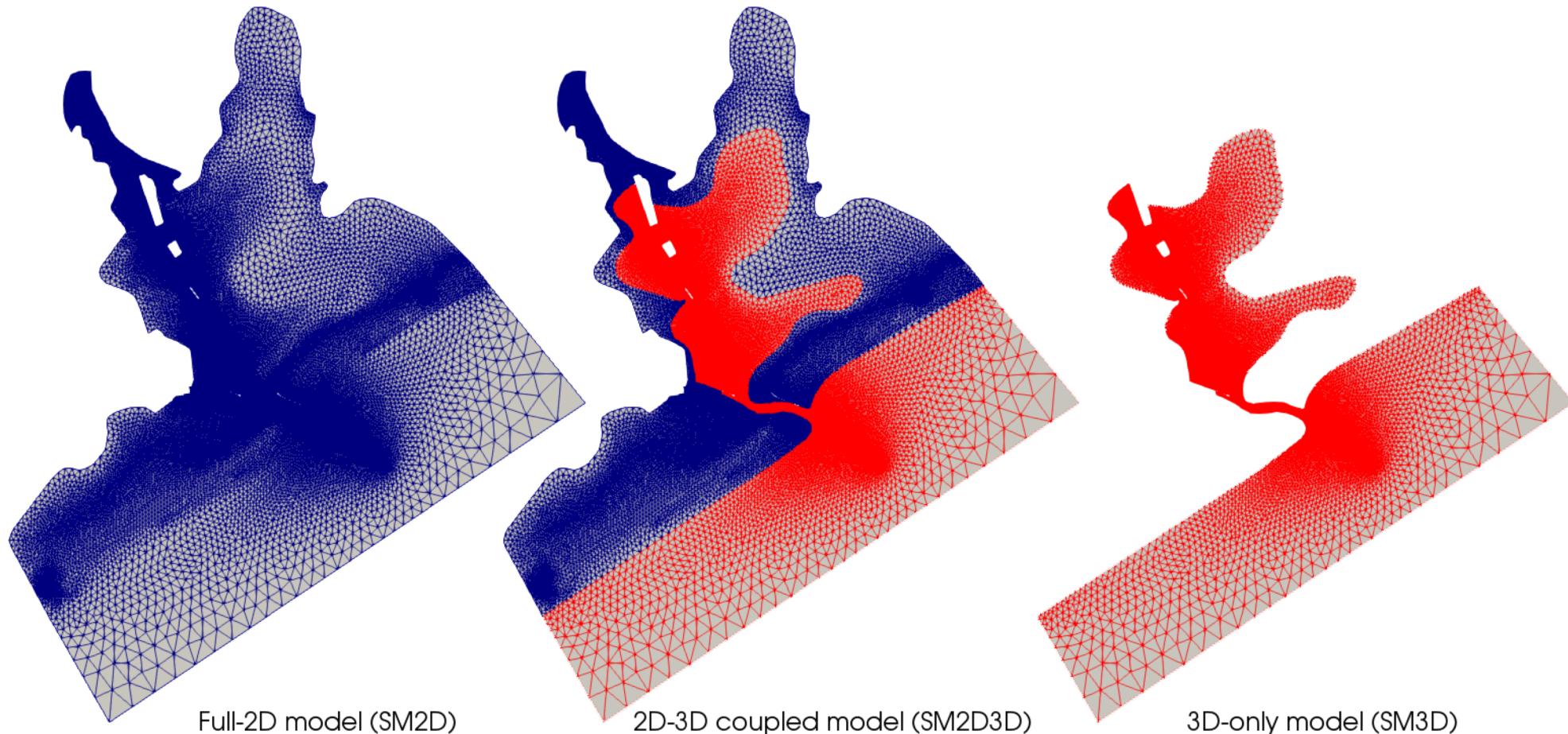
# Galveston Bay - Bathymetry

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# Galveston Bay - meshes

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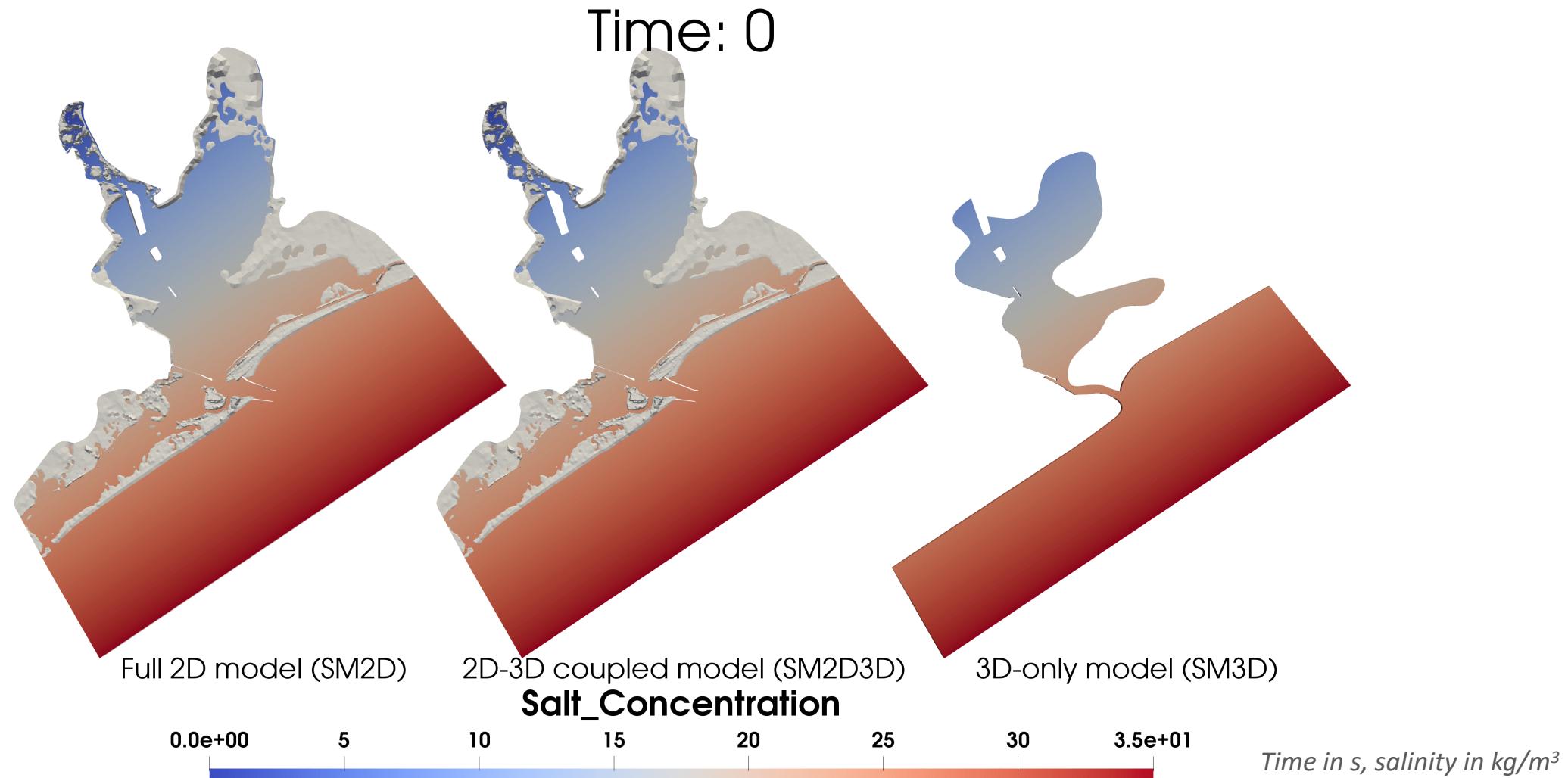
# Galveston Bay - BC/IC

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- Boundary conditions:
  - Ocean surface elevation specified:  $\eta = 0.5m(1 - \cos 2\pi t/T)$ , where  $T = 1 \text{ day}$
  - Salinity specified at deep ocean, set to 35‰
  - No-flow everywhere else
- Initial conditions:
  - Water at rest, i.e.,  $\mathbf{u}(x, y, z, 0) = 0 \text{ m/s}$
  - Flat water surface, i.e.,  $\eta(x, y, z, 0) = 0 \text{ m}$
  - Salinity distribution specified

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# Galveston Bay – surface salinity



# Thank You!

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