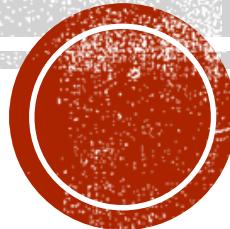


TENSOR COMPLETION FOR ESTIMATING MISSING VALUES IN VISUAL DATA



WHAT IS A TENSOR?

- N-dimensional array
- Can be thought of as a stack of $N m \times n$ matrices
- A color image is a 3-D array
- Three matrices for R G & B
- A color image is a mode 3 Tensor
- A grayscale image is a mode 2 Tensor
- A vector is a mode 1 Tensor
- A scalar is a mode 0 Tensor



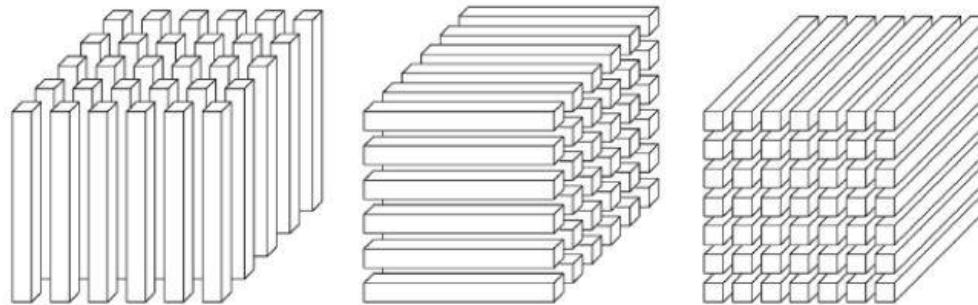
TENSOR DECOMPOSITION

- Most common approach to tensor computations consists of three steps:
 - Turn the tensor into a matrix by *unfolding* it
 - Perform computations on the matrix to gather information about it
 - Infer information about the tensor from the information gathered on the matrix
- Notation:
 - $\mathcal{X} \rightarrow$ 3rd mode tensor,
 - $\mathcal{X}_{(i)} \rightarrow$ unfold tensor along mode *i*
 - $X \rightarrow$ a matrix
- $\mathcal{X} \in \mathbb{R}^{n_1 \times \dots \times n_i} \Rightarrow \mathcal{X} \in \mathbb{R}^{n \times m \times 3}$ For a colored image
- \mathcal{X}_{ijk} refers to the number in row *i*, column *j*, matrix *k*
- Mode-3 tensors have three *fibers*
 - Column, Row, and Tube
- Mode-3 tensors have three *slices*
 - Horizontal, Frontal, and Lateral



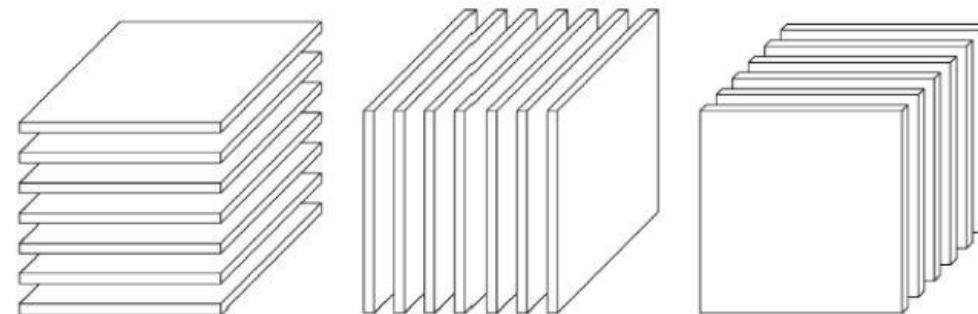
TENSOR DECOMPOSITION

TAMARA G. KOLDA AND BRETT W. BADER



(a) Mode-1 (column) fibers: $\mathbf{x}_{::k}$ (b) Mode-2 (row) fibers: $\mathbf{x}_{i::}$ (c) Mode-3 (tube) fibers: $\mathbf{x}_{ij:}$

Fibers of a 3rd-order tensor.



(a) Horizontal slices: $\mathbf{X}_{i::}$ (b) Lateral slices: $\mathbf{X}_{::j}$ (c) Frontal slices: $\mathbf{X}_{::k}$ (or \mathbf{X}_k)

Slices of a 3rd-order tensor.



TENSOR DECOMPOSITION

- Modal unfolding transforms a mode-3 tensor into a matrix
 - $\mathcal{X}_{(1)} = X \in \mathbb{R}^{n \times (m \cdot p)}$
 - $\mathcal{X}_{(2)} = X \in \mathbb{R}^{m \times (n \cdot p)}$
 - $\mathcal{X}_{(3)} = X \in \mathbb{R}^{p \times (m \cdot n)}$
- Can be implemented using the reshape function in Python

```
▪ def m_unfold(tensor, m):  
    matrix = []  
    if m == 1:  
        matrix = tensor.reshape((tensor.shape[0], tensor.shape[1]*3))  
    if m == 2:  
        matrix = tensor.reshape((tensor.shape[1], tensor.shape[0]*3))  
    if m == 3:  
        matrix = tensor.reshape((3, tensor.shape[0]*tensor.shape[1]))  
return matrix
```



TENSOR DECOMPOSITION

- Use the `fold` function to fold a matrix back into a tensor
 - ```
def fold(matrix, original_shape):
 tensor = matrix.reshape(original_shape)
 return tensor
```
- Can also use the `TensorLy` library's `fold` & `unfold` functions
- Three tensor completion algorithms discussed in this paper:
  - SiLRTC – Simple Low Rank Tensor Completion
  - FaLRTC – Fast Low Rank Tensor Completion
  - HaLRTC – High Accuracy Low Rank Tensor Completion
- All three algorithms use the `fold` and `unfold` operations as well as the `shrinkage` and `truncate` operators as their basic underlying machinery



# TENSOR DECOMPOSITION

- The reason we *unfold* a tensor is so that we may perform Singular Value Decomposition (SVD) on the resulting matrices
  - $X = U\Sigma V^T$  where  $\Sigma = \text{diag}(\sigma_i)$  and  $\sigma_i$  are the singular values of  $X$ 
    - `U, sig, V = numpy.linalg.svd(X(i), full_matrices=False)`
  - Shrinkage operator:
    - $D_\tau(X) = U\Sigma_\tau V^T$  where  $\Sigma_\tau = \text{diag}(\max(\sigma_i - \tau, 0))$
    - `def shrinkage_op(u,v,sig,tau):`  
    `sig_diag = sig - tau`  
    `np.maximum(sig_diag,np.zeros(len(sig)),sig_diag)`  
    `shrink = np.dot(u[:, :], np.dot(np.diag(sig_diag[:]), v[:, :]))`  
    `return shrink`
  - Truncate operator:
    - $T_\tau(X) = U\Sigma_{\bar{\tau}} V^T$  where  $\Sigma_{\bar{\tau}} = \text{diag}(\min(\sigma_i, \tau))$
    - `def truncate_op(u,v,sig,tau):`  
    `t = np.zeros(len(sig))+tau`  
    `np.minimum(sig,t,sig)`  
    `trunk = np.dot(u[:, :], np.dot(np.diag(sig[:]), v[:, :]))`  
    `return trunk`



# SiLRTC ALGORITHM

- **SiLRTC :**

- Input:  $\mathcal{X}$  with  $\mathcal{T}_\Omega$ ,  $\beta_i$ 's, and K
- Output:  $\mathcal{X}$

```
1. for k = 1 to K do:
 1. for i = 1 to n do:
 2. $M_i = \mathbf{D}_{\frac{\alpha_i}{\beta_i}}(\mathcal{X}_{(i)})$
 3. end for
 2. update \mathcal{X} by Equation 17
 3. end for
```

- **Equation 17 :**

- $$\mathcal{X}_{i_1, \dots, i_n} = \begin{cases} \left( \frac{\sum_i \beta_i \text{fold}_i(M_i)}{\sum_i \beta_i} \right)_{i_1, \dots, i_n} & \text{for } (i_1, \dots, i_n) \notin \Omega \\ \mathcal{T}_{i_1, \dots, i_n} & \text{for } (i_1, \dots, i_n) \in \Omega \end{cases}$$



# SILRTC ALGORITHM

- **Implementation:**

```
▪ def SILRTC(X, b, K, Omega):
 a = np.random.dirichlet(np.ones(3), size=1)
 a = a[0,:]
 M = [0, 0, 0]
 for i in range(K):
 X1 = tl.unfold(X, 0)
 X2 = tl.unfold(X, 1)
 X3 = tl.unfold(X, 2)
 u1, s1, v1 = LA.svd(X1, full_matrices=False)
 u2, s2, v2 = LA.svd(X2, full_matrices=False)
 u3, s3, v3 = LA.svd(X3, full_matrices=False)
 U = [u1, u2, u3]
 V = [v1, v2, v3]
 S = [s1, s2, s3]
 for j in range (3):
 M[j] = shrinkage_op(U[j], V[j], S[j], a[j]/b[j], X.shape)
 X = eq_svtm(X, b, M, Omega)
return X
```



# SILRTC ALGORITHM

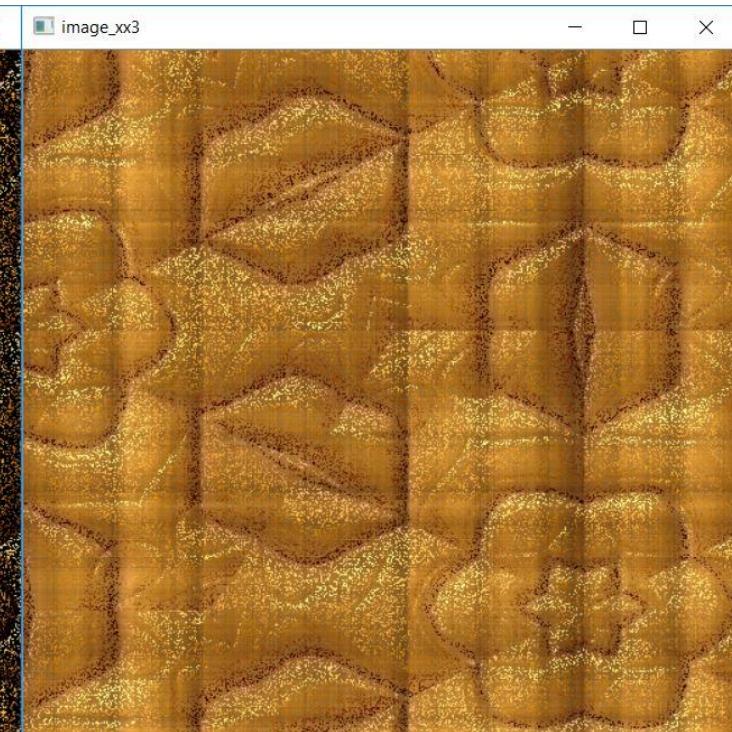
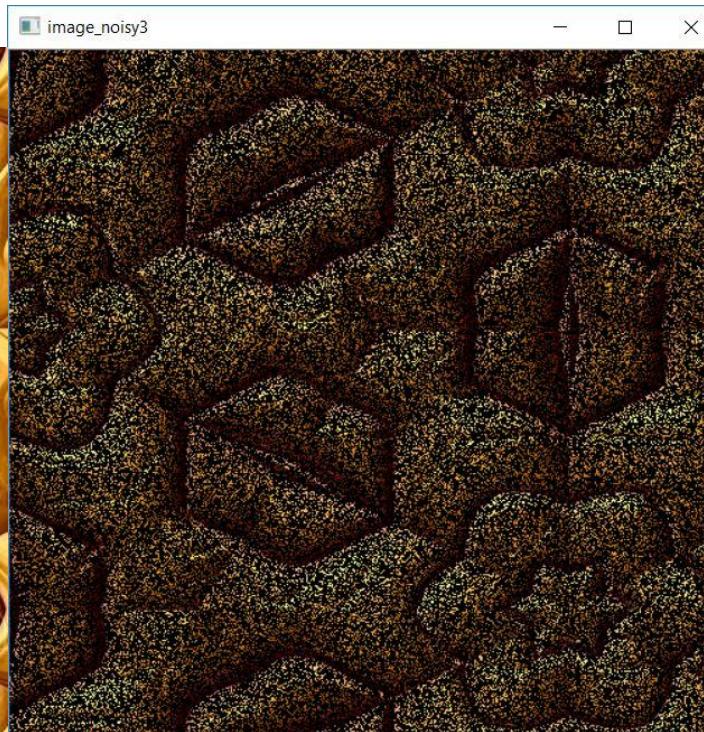
- **Implementation:**

```
▪ def eq_svtn(T, b, M, Omega):
 X = 0
 for i in range(3):
 X += b[i]*tl.fold(M[i], i, T.shape)
 X = X/np.sum(b)
 for i in range(T.shape[0]):
 for j in range(T.shape[1]):
 #if Omega[i, j, :].all() != 0:
 if Omega[i, j, :].any() != 0:
 X[i, j, :] = T[i, j, :]
return X
```



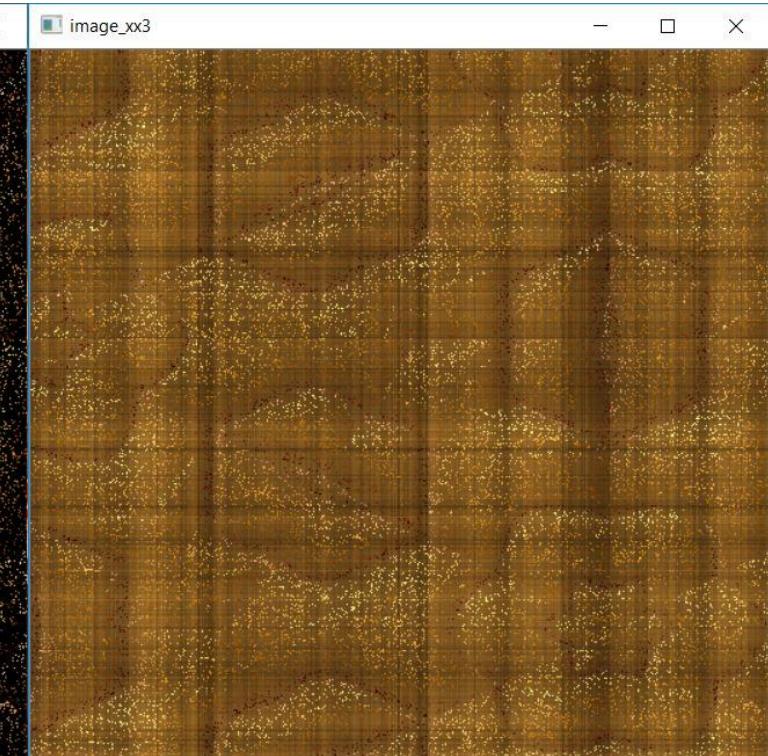
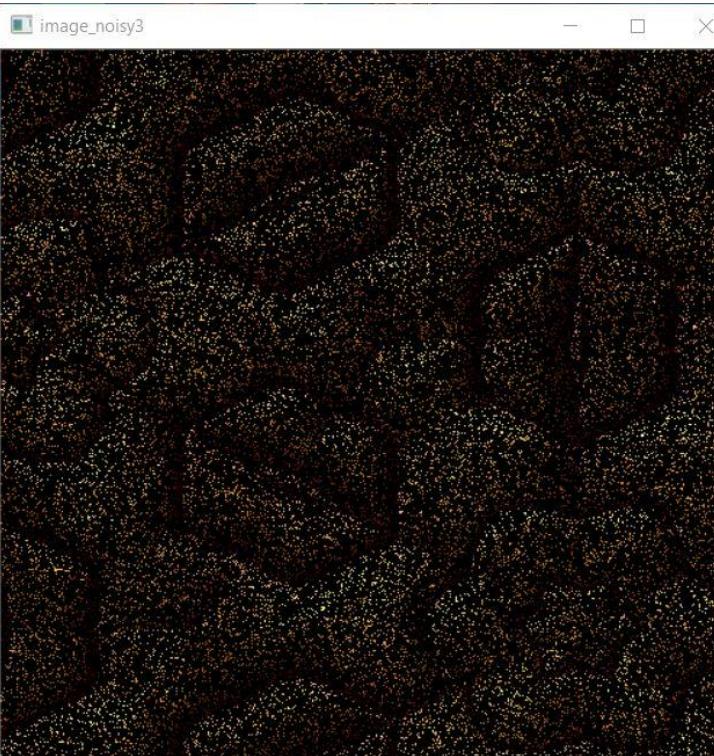
# RESULTS FROM SILRTC

- 512 loops with 75% of pixels missing from the original image:



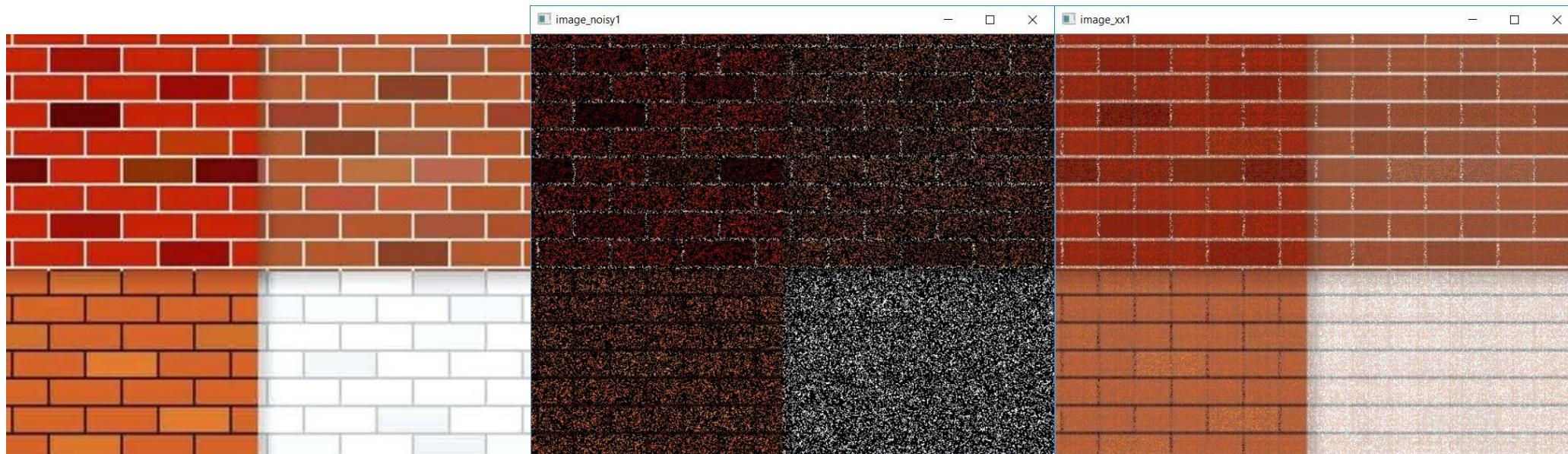
# RESULTS FROM SILRTC

- 512 loops with 90% of pixels missing from the original image:



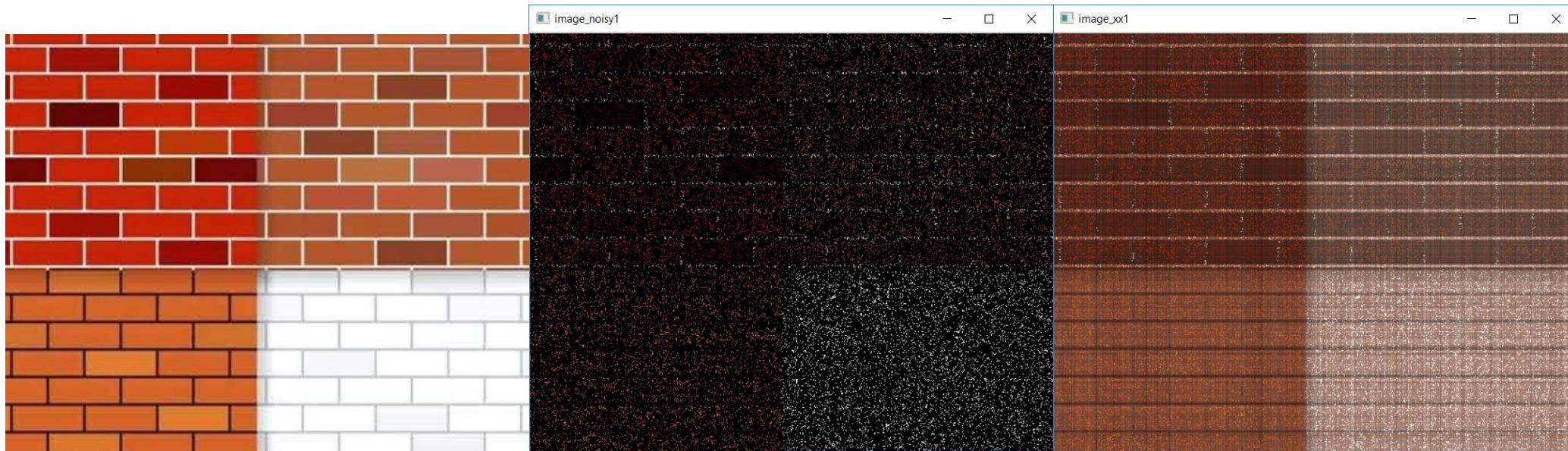
# RESULTS FROM SILRTC

- 512 loops with 75% of pixels missing from the original image:



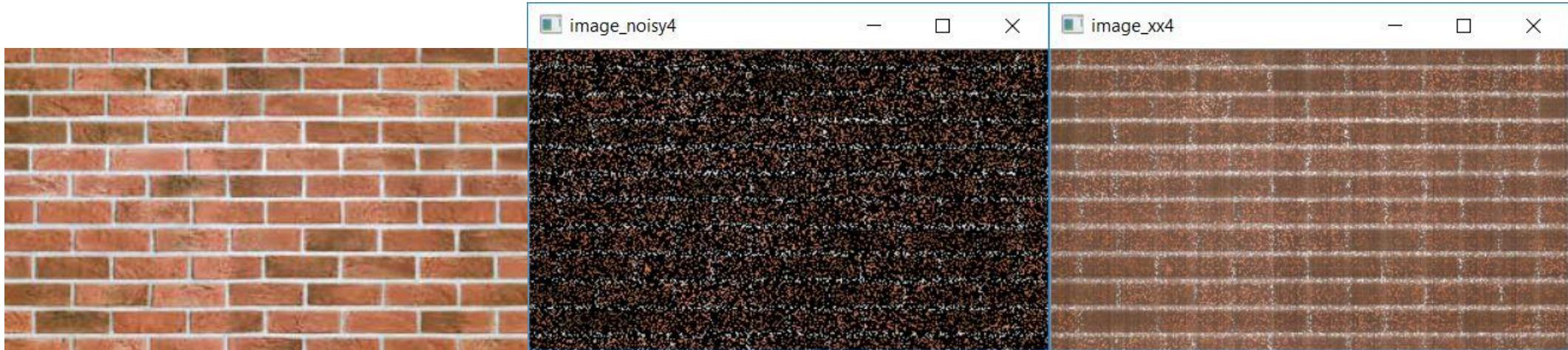
# RESULTS FROM SILRTC

- 512 loops with 90% of pixels missing from the original image:



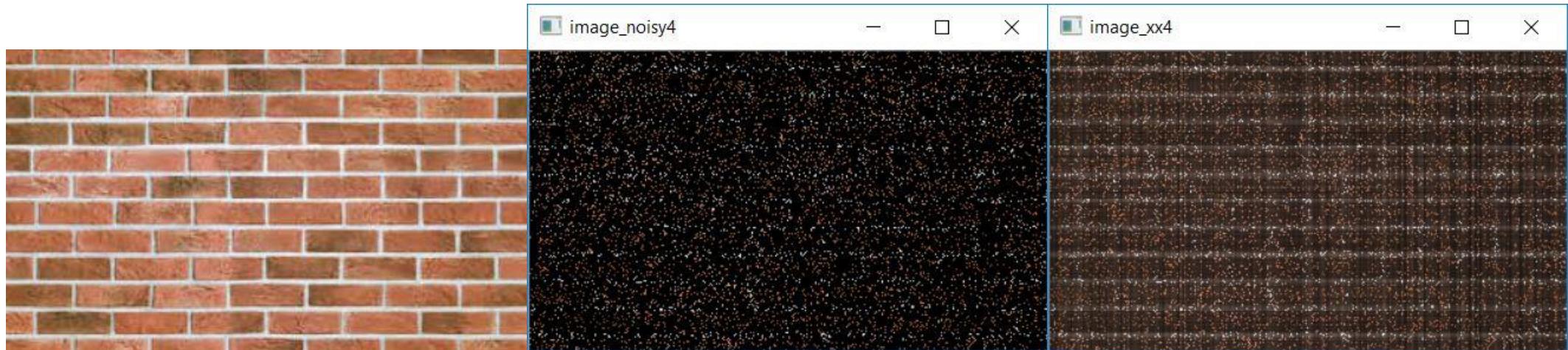
# RESULTS FROM SILRTC

- 512 loops with 75% of pixels missing from the original image:



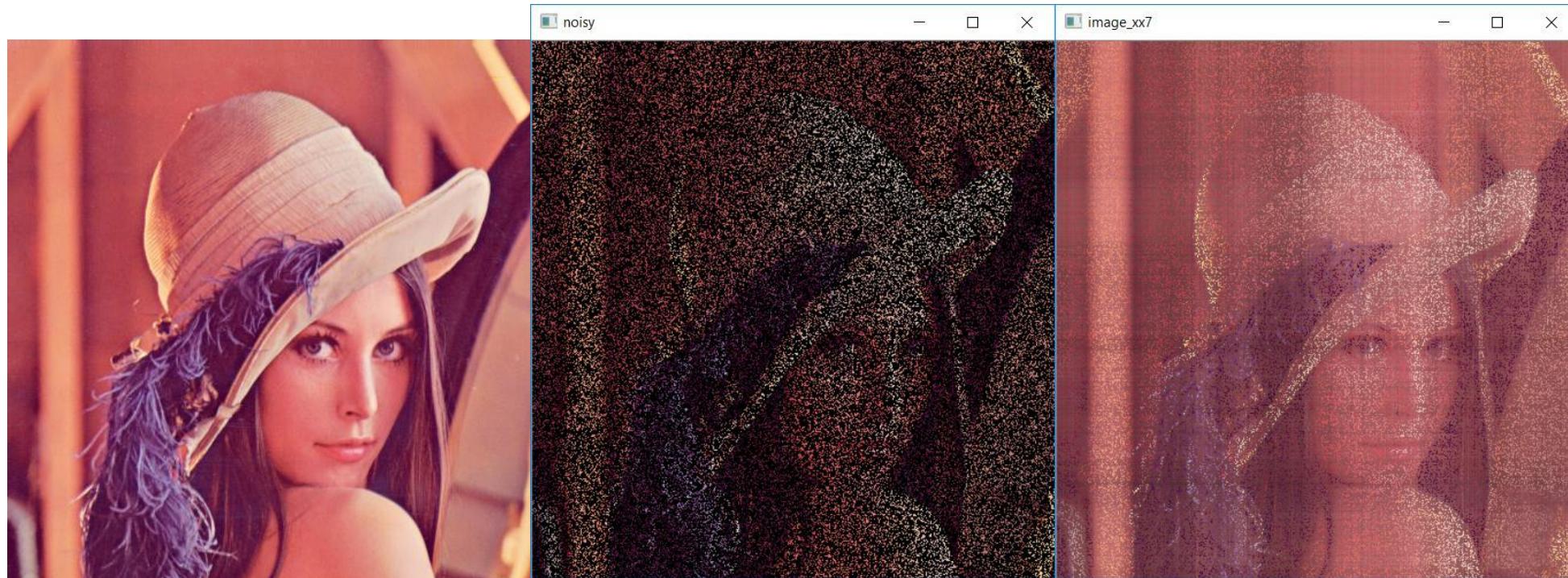
# RESULTS FROM SILRTC

- 512 loops with 90% of pixels missing from the original image:



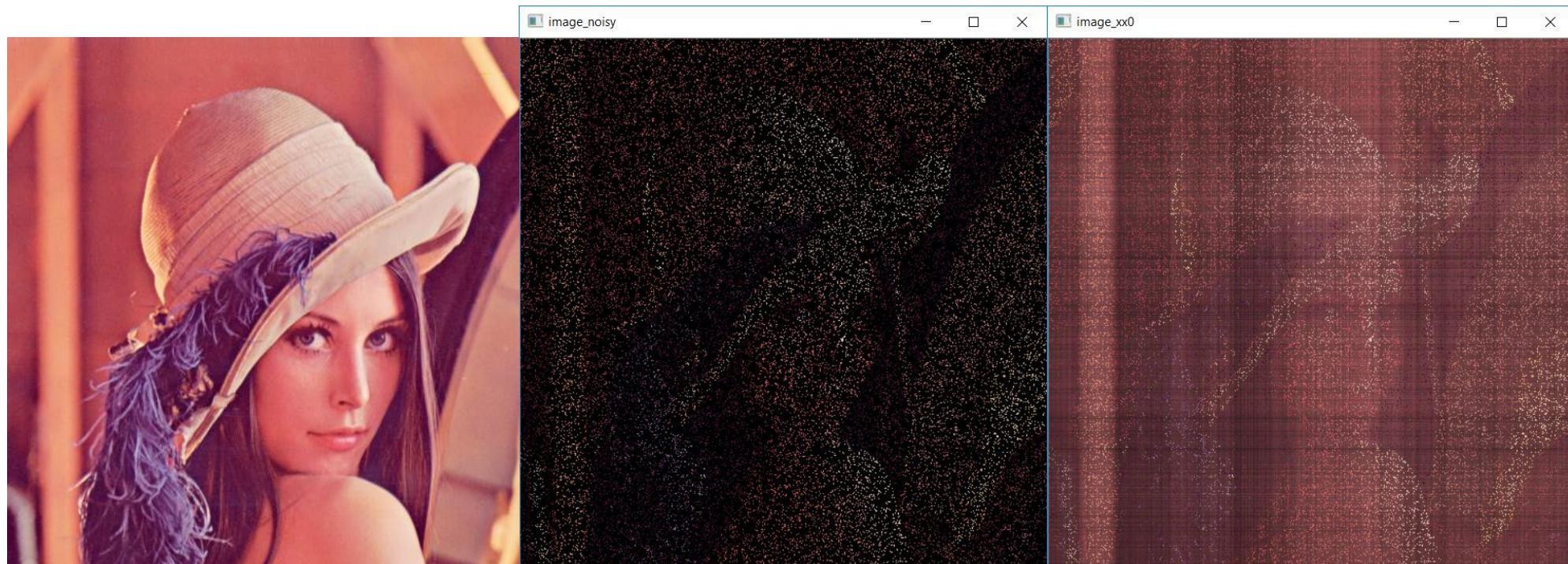
# RESULTS FROM SILRTC

- 512 loops with 75% of pixels missing from the original image:



# RESULTS FROM SILRTC

- 200 loops with 90% of pixels missing from the original image:



# RESULTS FROM SILRTC

- 16 loops with 75% of pixels missing from the original image:



# RESULTS FROM SILRTC

- 16 loops with 90% of pixels missing from the original image:



# RESULTS FROM SILRTC

- 16 loops with 75% of pixels missing from the original image:



# RESULTS FROM SILRTC

- 32 loops with 75% of pixels missing from the original image:

