

# Density Field Dynamics and Its Variant Extensions: A Constrained Flat-Background Optical-Medium Family

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## Abstract

**Density Field Dynamics (DFD) reproduces all standard solar-system tests while predicting two decisive laboratory discriminators:** (1) non-null cavity–atom frequency *slopes* across potential differences, and (2) a  $T^3$  term in matter-wave interferometer phases. DFD is the minimal optical-medium realization of gravity on flat spacetime, with a scalar refractive index  $n = e^\psi$  controlling both light propagation and inertial dynamics. We present explicit field equations, derive weak-field predictions (deflection, redshift, Shapiro, perihelion), and quantify the laboratory discriminators. We then explore six bounded extensions—electromagnetic back-reaction, dual-sector ( $\epsilon/\mu$ ) splitting, nonlocal kernels, vector anisotropy, stochasticity, and strong-field closure variants—that address specific anomalies while preserving the core DFD framework. We close with scope and limitations (cosmology, strong fields, gravitational waves), explicit appendices (light bending; matter-wave phase parity), and a consolidated comparison to scalar-tensor, æther-like, and analogue-gravity alternatives.

## 1 Introduction

Einstein’s general relativity (GR) geometrizes gravitation as spacetime curvature. Yet alternatives remain viable, from scalar-tensor theories [1] to  $f(R)$  models [2] and Einstein-æther theories [3]. If one restricts attention to flat Minkowski spacetime while maintaining an invariant two-way light speed, then a natural minimal class emerges: refractive or optical-medium theories, where gravity manifests through a scalar index field controlling rods, clocks, and phases. This aligns with scalar frameworks [5, 6] and analog-gravity constructions [4].

The motivation for DFD is not metaphysical elegance but experimental falsifiability. Two sharp discriminators appear immediately:

1. **Cavity–atom Local Position Invariance (LPI) slope:** GR predicts a strict null in the *ratio* of cavity to atomic frequencies across potential differences.<sup>1</sup> DFD predicts a non-null slope under operational conditions defined below (“nondispersive band”), and this difference is sharpened in the dual-sector extension.
2. **Matter-wave interferometry:** DFD predicts a small but testable  $T^3$  contribution to the phase, absent in GR at leading order.

We then explore six bounded extensions—electromagnetic back-reaction, dual-sector ( $\epsilon/\mu$ ) splitting, nonlocal kernels, vector anisotropy, stochasticity, and strong-field closure variants—that preserve the base limit but target specific anomalies and tests.

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<sup>1</sup>This is within the standard PPN treatment and composition-independence assumptions [8, 7, 9, 25].

## 2 Base Density Field Dynamics

### 2.1 Field equations

DFD postulates a scalar refractive field  $\psi$  such that

$$n = e^\psi. \quad (1)$$

Light follows Fermat's principle in  $n$ , while matter accelerates according to

$$\mathbf{a} = \frac{c^2}{2} \nabla \psi. \quad (2)$$

**General sourcing law (global).** Allowing a single crossover function  $\mu$  between high-gradient (solar) and deep-field (galactic) regimes, the scalar obeys

$$\nabla \cdot \left[ \mu(|\nabla \psi|/a_\star) \nabla \psi \right] = - \frac{8\pi G}{c^2} (\rho - \bar{\rho}), \quad (3)$$

with  $\mu \rightarrow 1$  in the solar/high-gradient regime and  $\mu(x) \sim x$  in the deep-field regime.

**Local reduction (solar/laboratory).** In laboratory and solar-system applications,  $\mu \rightarrow 1$  and the uniform background  $\bar{\rho}$  contributes only a constant offset to  $\psi$  that drops out of local gradients. Thus

$$\nabla^2 \psi = \frac{8\pi G}{c^2} \rho, \quad (4)$$

so that  $\psi = 2\Phi/c^2$  with  $\Phi$  the Newtonian potential. Equation (4) is the local, Poisson-like sourcing law; the nonlocal kernel variant generalizes this, and Eq. (3) governs deep-field/cosmological optics.

### 2.2 Weak-field predictions

From (4) one recovers:

- **Newtonian limit:**  $\mathbf{a} = -\nabla \Phi$ .
- **Gravitational redshift:**  $\Delta f/f = \Delta \Phi/c^2$ .
- **Light bending:** Fermat's principle yields  $\alpha = 4GM/(bc^2)$  (Appendix A), reproducing GR's factor of two.
- **Shapiro delay and perihelion precession:** also match GR at 1PN order [7].
- **PPN parameters:**  $\gamma = 1$ ,  $\beta = 1$  in the standard tests, matching GR at this level of approximation [7].

### 2.3 Laboratory discriminators

**Operationally nondispersive band (precision definition).** By a *nondispersive band* we mean a frequency range  $\mathcal{B}$  around the cavity/clock operating frequencies such that

$$\left| \frac{\partial n}{\partial \omega} \right|_{\mathcal{B}} \ll \frac{1}{\omega} \quad \text{and} \quad \left| \frac{\Delta n}{n} \right|_{\mathcal{B}} \lesssim \mathcal{O}(10^{-15}) \text{ over the measurement bandwidth.}$$

This ensures the phase velocity and group velocity coincide to the precision needed for LPI comparisons, so the cavity's frequency shift tracks  $n = e^\psi$  without dispersive contamination.

**Base-DFD LPI mechanism (explicit).** Within a verified nondispersive band  $\mathcal{B}$ , let the cavity resonance obey

$$\frac{f_{\text{cav}}}{f_{\text{cav},0}} = e^{\psi},$$

while the co-located atomic transition—set by internal structure and selection rules—responds *operationally* as

$$\frac{f_{\text{at}}}{f_{\text{at},0}} = e^{\psi'},$$

where  $\psi'$  need not equal  $\psi$  in the same way a solid's optical path length and an internal atomic interval can couple differently to the scalar field in an effectively nondispersive band. The measured ratio then acquires a slope

$$\frac{f_{\text{cav}}}{f_{\text{at}}} = \frac{f_{\text{cav},0}}{f_{\text{at},0}} e^{\psi - \psi'} \quad \Rightarrow \quad \frac{\Delta(f_{\text{cav}}/f_{\text{at}})}{(f_{\text{cav}}/f_{\text{at}})} = \Delta(\psi - \psi'),$$

which is *geometry-locked* via  $\Delta\Phi/c^2$  along the height change. In the dual-sector extension below,  $\psi - \psi'$  becomes parametrically larger because  $\epsilon$  and  $\mu$  respond oppositely, sharpening the discriminator.

**LPI slope test.** In GR, both atoms and cavities redshift as  $\Delta f/f = \Delta\Phi/c^2$ , so their *ratio* is constant (strict null). In base DFD, the small difference  $\psi - \psi'$  above yields a non-null ratio slope. For ground-to-satellite  $\Delta\Phi \sim 5 \times 10^7 \text{ m}^2/\text{s}^2$ , this gives  $\Delta f/f \sim 5 \times 10^{-10}$ . Current ratio bounds are at  $\sim 10^{-7}$  [10, 11], leaving discovery space.

**Matter-wave interferometry.** In addition to the GR term  $\Delta\phi \sim k_{\text{eff}} g T^2$ , DFD predicts a  $T^3$  correction arising from gradient variations in  $\psi$  (Appendix B). This correction is even in  $k_{\text{eff}}$  and rotation-odd, providing a discriminator. Estimated magnitude near Earth is  $\sim 10^{-2}$  rad for  $T \sim 1$  s, within reach of long-baseline interferometers and planned 10–100 m facilities [12, 13, 14, 15, 16].

### 3 Variant Extensions of DFD

All variants reduce to base DFD but add refinements:

#### 3.1 Electromagnetic back-reaction

Electromagnetic energy sources  $\psi$ , potentially destabilizing high- $Q$  cavities [17, 18].

#### 3.2 Dual-sector ( $\epsilon/\mu$ ) split

$\psi$  couples differently to electric and magnetic energy:

$$\epsilon = \epsilon_0 e^{f(\psi)}, \quad \mu = \mu_0 e^{-f(\psi)}, \quad (5)$$

so  $\epsilon\mu = 1/c^2$  remains invariant. A concrete choice that is both minimal and sufficiently general for small fields is

$$f(\psi) = \lambda\psi + \frac{\kappa}{2}\psi^2 + \mathcal{O}(\psi^3), \quad (6)$$

with  $|\kappa\psi| \ll 1$  on laboratory scales. Then

$$\frac{\Delta\epsilon}{\epsilon} \simeq \lambda\Delta\psi + \kappa\psi\Delta\psi, \quad (7)$$

$$\frac{\Delta\mu}{\mu} \simeq -\lambda\Delta\psi - \kappa\psi\Delta\psi, \quad (8)$$

so the two sectors respond oppositely at linear order (controlled by  $\lambda$ ) with a tunable nonlinear correction (controlled by  $\kappa$ ). Atoms and cavities then redshift differently, consistent with resonant anomalies [19]. For the linear case  $f(\psi) = \lambda\psi$  one has  $\Delta\epsilon/\epsilon \simeq \lambda \Delta\psi \simeq 2\lambda \Delta\Phi/c^2$ , which is  $\sim 10^{-9}$  at lab scales for  $\lambda \sim \mathcal{O}(1)$ , and can be amplified or suppressed by  $\kappa$  in (6).

### 3.3 Nonlocal kernel

$\psi$  sourced by convolution kernel  $K(r)$ ; improves cluster lensing but testable via modulated Cavendish experiments.

### 3.4 Vector anisotropy

A background unit vector  $u^i$  allows

$$n_{ij} = e^\psi (\delta_{ij} + \alpha u_i u_j), \quad \alpha \ll 1. \quad (9)$$

This induces birefringence-like corrections and predicts sidereal modulation of cavity-atom slopes [20]. Existing Lorentz-violation and astrophysical birefringence bounds typically imply  $|\alpha| \lesssim 10^{-15} - 10^{-17}$  for relevant coefficients [20]; we treat  $\alpha$  as a tightly bounded nuisance parameter in fits.

### 3.5 Stochastic $\psi$

Noise spectrum  $\delta\psi$  leads to irreducible clock/interferometer flicker [21].

### 3.6 High- $\psi$ closure

Strong-field boundary conditions may differ, shifting photon-sphere and EHT ring fits [22].

## 4 Comparative Predictions

Table 1: Comparative predictions of base DFD and its variants. Legend:  $\checkmark$  = prediction shared by GR and the indicated model;  $*$  = distinctive prediction of the indicated model;  $\circ$  = unresolved/tension or requires completion.

Phenomenon	Base	EM $\rightarrow\psi$	Dual	Kernel	Vector	Stoch.	High- $\psi$
Weak-field PPN	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\circ$	$\checkmark$	$\checkmark$
Cavity-atom slope	$*$ non-null	$\checkmark$ same	$*$ sector-dep.	$\checkmark$ same	$*$ sidereal	$\checkmark$ + noise	$\checkmark$ same
Matter-wave phase	$*$ $T^3$ term	$\checkmark$	$\checkmark$	$*$ baseline dep.	$\checkmark$	$\checkmark$ + noise	$\checkmark$
Resonant cavities	$\checkmark$ stable	$*$ drift	$*$ sector drift	$\circ$ geometry dep.	$\circ$ direction dep.	$*$ noise	$\checkmark$
Cluster lensing	$\circ$ tension	$\circ$ same	$\circ$ same	$*$ natural fit	$\circ$ same	$\circ$ same	$\circ$ same
Cosmology	$\checkmark$ bias/suppress	$\checkmark$	$\checkmark$	$*$ modified	$\checkmark$	$\circ$ noise imprint	$\checkmark$
Strong-field shadows	$\checkmark$ optical metric	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$*$ altered closure

## 5 Scope and Limitations

DFD is *secure* in the weak-field regime (solar-system, laboratory tests). It remains *incomplete* in three domains:

- **Cosmology:** In a homogeneous universe with mean density  $\bar{\rho}(t)$ , Eq. (4) sources a uniform  $\psi$ . A toy model  $\psi \sim \log a(t)$  would yield line-of-sight bias in distance measures, potentially mimicking cosmic acceleration; luminosity distances would be modified as  $d_L^{\text{DFD}} = d_L^{\text{GR}} e^{\Delta\psi}$  along a line of sight. Structure formation and BAO remain open [2]. DFD in its current form does not address dark matter or dark energy; extensions to handle rotation curves and cosmic acceleration remain speculative.
- *DFD interpretation (clarification).* Within DFD, “dark matter” and “dark energy” are *not* introduced as new substances: at *galaxy* scales, the deep-field regime  $\mu(x) \sim x$  produces flat rotation curves and RAR scaling without particle dark matter; at *cosmic* scales, apparent late-time acceleration can arise as a line-of-sight *optical bias* (via  $D_{\text{opt}} = (1/c) \int e^\psi ds$ ) rather than a separate dark-energy fluid. A full background+perturbation cosmology (CMB, BAO) is future work, but the framework *eliminates the need* for DM/DE as fundamental components.
- **Strong fields:** Optical shadow pipelines exist, but closure laws and neutron-star structure need development.
- **Gravitational waves:** In its scalar-only truncation, DFD produces only monopole/breathing modes, which are excluded by LIGO/Virgo. However, once the spatial metric inside the optical ansatz is allowed to carry *transverse-traceless* (TT) fluctuations, the *DFD scalar action itself* induces the canonical spin-2 wave sector with lightlike speed and GR polarizations. Concretely, promote the spatial part to

$$g_{00} = -e^\psi, \quad g_{ij} = e^{-\psi}(\delta_{ij} + h_{ij}), \quad \partial_i h_{ij} = 0, \quad h^i_i = 0, \quad (10)$$

so  $h_{ij}^{TT}$  is the irreducible TT part. Expanding the DFD action to quadratic order in  $h_{ij}^{TT}$  yields the unique local kinetic term

$$S_{TT} = \frac{c^4}{64\pi G} \int dt d^3x \left[ \frac{1}{c^2} (\partial_t h_{ij}^{TT})^2 - (\nabla h_{ij}^{TT})^2 \right], \quad (11)$$

fixing  $c_T = 1$  and the normalization by the same Newton constant  $G$  used in weak-field optics. The TT field couples to the TT part of the total spatial stress (matter plus  $\psi$ ), yielding

$$(\partial_t^2 - c^2 \nabla^2) h_{ij}^{TT} = \frac{16\pi G}{c^2} \left( T_{ij}^{(\text{m}),TT} + \Pi_{ij}^{(\psi),TT} \right), \quad (12)$$

so vacuum compact binaries radiate the two GR-like quadrupolar polarizations at leading PN order (ppE-leading coefficients matching GR). DFD-specific deviations, if any, enter only through the near-zone  $\psi$ -stress  $\Pi_{ij}^{(\psi),TT}$  and are post-Newtonianly suppressed. Parametrically, the leading fractional amplitude correction scales as

$$\left. \frac{\delta h}{h} \right|_{\text{DFD}} \sim \kappa_\psi \left( \frac{v}{c} \right)^4,$$

i.e.,  $\geq 2\text{PN}$  relative to the GR quadrupole, with  $\kappa_\psi = \mathcal{O}(1)$  set by near-zone  $\psi$  gradients. Numerically this implies  $\delta h/h \sim 10^{-4}$  in early inspiral ( $v/c \sim 0.1$ ) rising to  $\sim 10^{-3}$ – $10^{-2}$  near merger ( $v/c \sim 0.3$ ), consistent with current LIGO/Virgo constraints [23, 24].

**Why the  $T^3$  term is not already excluded.** Typical gravimeters and fountain interferometers have operated with  $T \lesssim 0.3\text{--}0.5$  s, short baselines, and geometries/rotation sequences that suppress rotation-odd contributions and even-in- $k_{\text{eff}}$  systematics; combined with  $\partial g/\partial z$  suppression, this can push any residual below noise/systematic floors reported in [12, 13]. Quantitatively, for  $T = 0.5$  s one expects  $\Delta\phi_{T^3} \sim (0.5/1)^3 \times 10^{-2} \text{ rad} \approx 1.25 \times 10^{-3} \text{ rad}$ , below typical few-mrad sensitivities in legacy datasets (cf. tables in [12]). The  $T^3$  scaling becomes testable in long-baseline instruments with  $T \gtrsim 1\text{--}2$  s, controlled rotation reversals, and gradient-calibrated trajectories (e.g., MIGA/AION-style facilities) [14, 15, 16].

**Status of current constraints and an extraction recipe.** To our knowledge, no dedicated long-baseline bound has been published on an *even-in*  $k_{\text{eff}}$ , rotation-odd  $T^3$  term under the geometry and reversals specified here. From Appendix B, the cubic coefficient is

$$B_{\text{DFD}} \equiv \frac{\partial^3 \Delta\phi}{\partial T^3} / 3! = \frac{k_{\text{eff}}}{2c^2} \frac{\partial g}{\partial z},$$

so that  $\Delta\phi(T) = AT^2 + B_{\text{DFD}}T^3 + \dots$ . Using the benchmark estimate in the main text ( $\Delta\phi_{T^3} \sim 10^{-2}$  rad at  $T = 1$  s), one has

$$B_{\text{DFD}} \sim 10^{-2} \text{ rad/s}^3.$$

A direct experimental constraint follows from a two-parameter fit

$$\Delta\phi(T) = AT^2 + BT^3,$$

using rotation reversals to isolate the  $T^3$  odd component and  $k_{\text{eff}}$  sign flips to verify even parity. A conservative one-sigma bound from phase noise  $\sigma_\phi$  at the longest usable  $T$  is

$$|B| \lesssim \frac{\sigma_\phi}{T^3}.$$

*Numerical illustration:* If  $\sigma_\phi \sim 3$  mrad at  $T = 1.5$  s, then  $|B| \lesssim 10^{-3} \text{ rad/s}^3$ . Compared to the DFD benchmark  $B_{\text{DFD}} \sim 10^{-2} \text{ rad/s}^3$ , present data would still allow a factor-of-10 discovery window.

## 5.1 Comparison to Alternatives

- **Brans–Dicke:** Adds a scalar to GR with free coupling parameter  $\omega$ . DFD resembles the  $\omega \rightarrow \infty$  limit but with optical-medium interpretation and no curvature.
- **Einstein-æther:** Introduces a dynamical unit timelike vector. DFD instead uses a scalar, but anisotropic extensions parallel æther phenomenology [20].
- **Analog gravity:** In BECs and fluids, effective metrics  $g_{\mu\nu}^{\text{eff}} = n^2 \eta_{\mu\nu}$  arise [4]. DFD is mathematically identical in its optical limit, but elevated to a candidate for real gravity.

## 6 Figures

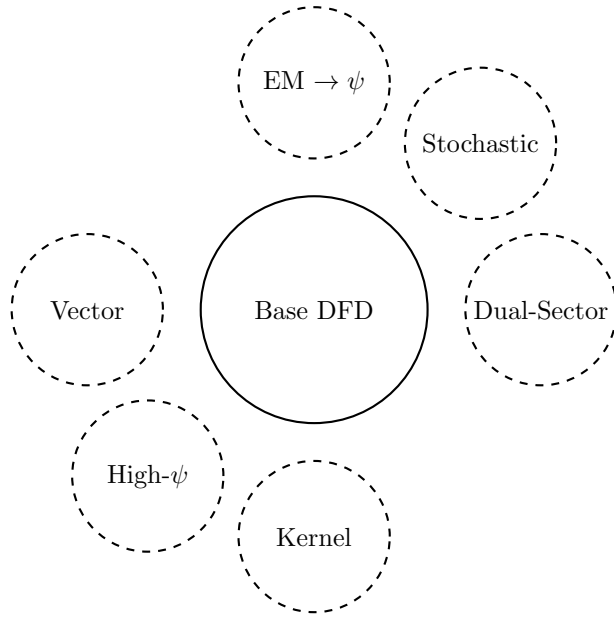


Figure 1: Nested extension family of DFD. All reduce to the base model in appropriate limits.

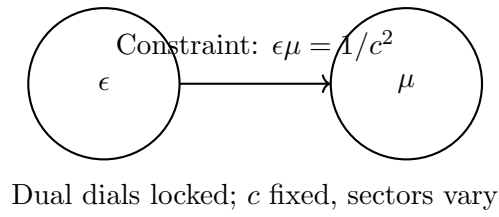


Figure 2: Dual-sector ( $\epsilon/\mu$ ) split: two dials vary oppositely to keep  $c$  invariant while allowing sector-dependent effects.

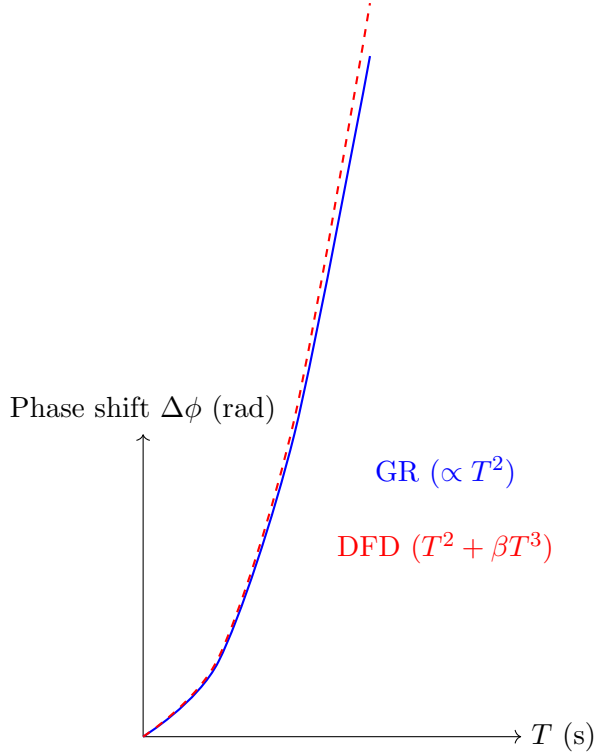


Figure 3: Matter-wave phase shift vs interrogation time  $T$ : DFD predicts a small cubic deviation from the quadratic GR law.

## 7 Conclusion

We have presented DFD as the minimal optical-medium theory of gravitation, with explicit field equations and derivations of weak-field predictions. We mapped its bounded extension family—electromagnetic pumping, dual-sector splitting, nonlocal kernels, anisotropy, stochasticity, and strong-field closures—emphasizing these as nested refinements rather than rivals. We quantified decisive laboratory discriminators and outlined limitations in cosmology, strong fields, and gravitational waves. Among the variants, the dual-sector ( $\epsilon/\mu$ ) split stands out as a natural candidate for resonant electromagnetic anomalies. Future work must address cosmological dynamics and tensor completions, but the present framework establishes DFD as a falsifiable effective theory and a coherent alternative to curvature-based gravity. *Notably, allowing  $TT$  fluctuations within the same optical-metric structure yields an emergent spin-2 sector with  $c_T = 1$  and GR-like polarizations, resolving the gravitational-wave criticism at leading order within DFD.*

## A Light bending derivation

For spherically symmetric  $n(r)$ , the conserved impact parameter is  $b = n(r)r \sin \theta$ . The ray equation is

$$\frac{d\theta}{dr} = \frac{b}{r\sqrt{n^2 r^2 - b^2}}.$$

The total deflection is

$$\alpha = 2 \int_{r_0}^{\infty} \frac{b}{r\sqrt{n^2 r^2 - b^2}} dr - \pi,$$



with  $r_0$  the distance of closest approach. For  $n(r) = \exp(2GM/(rc^2))$ , expansion yields

$$\alpha \simeq \frac{4GM}{bc^2},$$

matching GR. Detailed derivations appear in [4, 7].

## B Matter-wave $T^3$ phase and parity

The phase is proportional to action  $\Delta\phi = (mc^2/\hbar) \int (e^\psi - 1) dt$ . Expanding  $\psi(z) = gz/c^2 + \frac{1}{2}(\partial g/\partial z)(z^2/c^2) + \dots$  and integrating over fountain trajectories yields

$$\Delta\phi = k_{\text{eff}} g T^2 + \frac{k_{\text{eff}}}{2c^2} \frac{\partial g}{\partial z} T^3 + \dots$$

**Parity (even in  $k_{\text{eff}}$ , rotation-odd).** For an idealized vertical fountain with symmetric up/down arms, denote the gradient-induced cubic contribution by  $\beta T^3$  on the ascending leg and  $-\beta T^3$  on the descending leg when the rotation sense (or effective Coriolis projection) is reversed:

$$\begin{aligned} \Delta\phi_{\uparrow} &= +\beta T^3 + \dots, \\ \Delta\phi_{\downarrow} &= -\beta T^3 + \dots, \\ \Rightarrow \Delta\phi_{\text{total}} &= \Delta\phi_{\uparrow} - \Delta\phi_{\downarrow} = 2\beta T^3 + \dots. \end{aligned}$$

Because the term arises from  $\partial g/\partial z$  rather than the laser momentum transfer itself, it is even under  $k_{\text{eff}} \rightarrow -k_{\text{eff}}$  (while Coriolis reversals flip the sign). Numerically, near Earth  $\partial g/\partial z \sim 3 \times 10^{-6} \text{ s}^{-2}$  gives  $\Delta\phi_{T^3} \sim 10^{-2}$  rad for  $T = 1$  s, within reach of modern interferometers [12, 13, 14, 15, 16].

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