

Sector-Resolved Measurement in a Scalar Refractive Gravity Framework: Unique Geometry, Pointer Fixing, and a Laboratory Discriminator

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We show that in a scalar refractive gravity framework (a “ ψ -field” replacing curved spacetime), superposed mass distributions source *one* geometry, resolving the Penrose paradox of multiple spacetimes. Quantum evolution remains unitary under a self-adjoint Hamiltonian in that geometry. Because cavity photons and atomic transitions *couple unequally* to ψ (cavities track $c_1 = c e^{-\psi}$; atomic transitions either cancel leading ψ contributions or acquire a uniform fractional shift), meter states acquire ψ -dependent phases while the system does not (or does so differently), fixing the pointer basis and driving decoherence by environment coupling. We emphasize: *we do not derive outcome selection or the Born rule*. The concrete corollary is a laboratory test:

$$\frac{\Delta R}{R} = \xi \frac{\Delta \Phi}{c^2}, \quad \xi \equiv \alpha_{\text{ph}} - \alpha_{\text{at}},$$

where α_{ph} and α_{at} parameterize photon and atomic ψ -response at leading order. General Relativity (GR) implies $\xi = 0$ (sector equality); any ψ -framework with sector asymmetry implies $\xi \neq 0$. On Earth this lever arm is 1.1×10^{-14} per 100 m — within reach of modern metrology.

NOTATION

We use $\delta\psi$ for infinitesimal/local changes (e.g. perturbation theory at a single location) and $\Delta\psi$ for finite differences between two altitudes. The gravitational potential difference satisfies $\Delta\psi = 2 \Delta\Phi/c^2$.

INTRODUCTION

The quantum measurement problem has resisted a fully satisfactory resolution. Standard approaches (Copenhagen, many-worlds, de Broglie–Bohm) either invoke collapse, branches, or nonlocal hidden variables. Penrose sharpened this by pointing out that a quantum superposition of mass distributions should gravitationally source multiple geometries, which is inconsistent with a single wavefunction evolving unitarily [1, 2].

In a scalar refractive gravity approach, one posits a universal refractive index $n = e^{\psi(x)}$ such that the one-way speed of light is $c_1(x) = c e^{-\psi(x)}$. Matter accelerations follow $\mathbf{a} = \frac{c^2}{2} \nabla \psi$. The field obeys a nonlinear sourcing equation,

$$\nabla \cdot \left[\mu(|\nabla \psi|/a_*) \nabla \psi \right] = -\frac{8\pi G}{c^2} (\rho - \bar{\rho}), \quad (1)$$

with a monotone crossover function μ . Under standard conditions for quasilinear elliptic PDEs, this has a unique solution for a given source. The key claim becomes: *any superposition sources exactly one ψ* .

We develop the following chain:

- (1) Superpositions \rightarrow one ψ geometry.
- (2) Quantum evolution in that ψ background is unitary.
- (3) Cavities (photons) and atoms can respond differently to ψ .

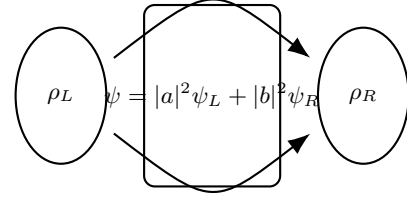


FIG. 1. Superposed densities source a single refractive field ψ ; this mirrors how quantum expectation values source classical fields, e.g. $\langle j^\mu \rangle$ sourcing electromagnetism in semiclassical QED.

- (4) This sectoral asymmetry pins the pointer basis; under generic environment coupling, the system decoheres in that basis.
- (5) The falsifiable corollary: $\Delta R/R = \xi \Delta\Phi/c^2$ with $\xi = \alpha_{\text{ph}} - \alpha_{\text{at}}$.
- (6) We do *not* derive the Born rule or single-outcome selection — that remains interpretational.

ONE ψ FOR SUPERPOSITIONS

Let $\hat{\rho}(x)$ be the mass density operator in the quantum state $|\Psi\rangle$. Define the effective classical source

$$\rho_{\text{eff}}(x) = \langle \Psi | \hat{\rho}(x) | \Psi \rangle.$$

If $|\Psi\rangle = a|L\rangle + b|R\rangle$, then $\rho_{\text{eff}} \approx |a|^2 \rho_L + |b|^2 \rho_R$. Inserting this into Eq. (1) yields a unique ψ (given monotonic μ), with no geometric branching.

Thus the Penrose objection (superpositions \Rightarrow multiple geometries) is neutralized: only one ψ ever exists, determined by the expectation density.

QUANTUM EVOLUTION IN A ψ BACKGROUND

The single-particle Hamiltonian is

$$\hat{H} = -\frac{\hbar^2}{2m} \nabla \cdot (e^{-\psi} \nabla) + m\Phi, \quad \Phi = -\frac{c^2}{2}\psi, \quad (2)$$

which is self-adjoint with respect to the natural inner product; evolution $i\hbar\partial_t|\Psi\rangle = \hat{H}|\Psi\rangle$ is unitary with a conserved probability current.

Atomic ψ -response: constant vs gradient effects

Tiny gradient effects. On Earth, $\nabla\psi = 2g/c^2 \approx 2.2 \times 10^{-16} \text{ m}^{-1}$. Over an atomic size $a_0 \sim 5 \times 10^{-11} \text{ m}$, the variation $\delta\psi \lesssim 10^{-26}$. Perturbations from spatial variation (both in the kinetic operator and in $m\Phi(\mathbf{x})$) therefore produce *level-dependent* fractional shifts $\lesssim 10^{-26}$, utterly negligible compared to the $\sim 10^{-14}$ altitude lever arm of our experiment.

Constant ψ_0 : uniform fractional scaling (hydrogenic example). Write $\psi(\mathbf{x}) = \psi_0 + \delta\psi(\mathbf{x})$ with $\delta\psi$ neglected. Then

$$\hat{H} \approx e^{-\psi_0} \left(-\frac{\hbar^2}{2m} \nabla^2 \right) + V_{\text{EM}} + m\Phi_0, \quad \Phi_0 = -\frac{c^2}{2}\psi_0.$$

The common shift $m\Phi_0$ cancels in all transition frequencies. Treat the kinetic prefactor as a small perturbation $\delta\hat{H} = -\psi_0\hat{T}$ with $\hat{T} = -\frac{\hbar^2}{2m}\nabla^2$. For Coulomb binding, the virial theorem gives $\langle\hat{T}\rangle_n = -E_n$ (with $E_n < 0$). First-order perturbation theory yields

$$\delta E_n = \langle n|\delta\hat{H}|n\rangle = -\psi_0\langle\hat{T}\rangle_n = \psi_0 E_n,$$

so each bound energy acquires the *same fractional shift* $\delta E_n/E_n = \psi_0$. For transitions,

$$\frac{\delta\omega_{ab}}{\omega_{ab}} \equiv \frac{\delta(E_a - E_b)}{E_a - E_b} = \frac{\psi_0(E_a - E_b)}{E_a - E_b} = \psi_0,$$

confirming uniformity for transition frequencies at leading order. We denote this atomic leading-order coefficient by $\alpha_{\text{at}} = +1$ in this minimal, kinetic-only model.¹

¹ More general atoms (non-Coulombic potentials, relativistic and QED corrections) preserve the conclusion that a constant multiplicative change of the kinetic operator induces a uniform first-order fractional shift across transitions, modulo small state-dependent corrections; the uniformity follows from virial-type relations for homogeneous potentials. If electromagnetic parameters co-vary with ψ in matter such that V_{EM} picks up compensating factors, the net α_{at} can be reduced or nulled ($\alpha_{\text{at}} \simeq 0$); the experiment measures $\xi = \alpha_{\text{ph}} - \alpha_{\text{at}}$.

Cavity photons and ψ

For a rigid cavity of length L and longitudinal mode index $q \in \mathbb{N}$, the resonance is

$$f_{\text{cav}} = \frac{q c_1}{2L}, \quad c_1 = c e^{-\psi}.$$

Thus

$$\frac{\delta f_{\text{cav}}}{f_{\text{cav}}} = -\delta\psi \quad \Rightarrow \quad \alpha_{\text{ph}} = -1,$$

assuming L is fixed by rigid body mechanics at the relevant precision (elastic/thermal effects bounded as systematics).

Sector coefficients and what the experiment measures

Summarizing the leading-order fractional responses for a small local change $\delta\psi$:

$$\frac{\delta f_{\text{cav}}}{f_{\text{cav}}} = \alpha_{\text{ph}} \delta\psi, \quad \frac{\delta f_{\text{at}}}{f_{\text{at}}} = \alpha_{\text{at}} \delta\psi.$$

In the kinetic-only hydrogenic estimate above, $\alpha_{\text{at}} = +1$. If electromagnetic material parameters co-vary with ψ within solids and atoms such that internal energies receive compensating factors, α_{at} can be suppressed ($\alpha_{\text{at}} \simeq 0$ in an ideal nondispersive cancellation). Our proposed observable is the *difference*

$$\xi \equiv \alpha_{\text{ph}} - \alpha_{\text{at}}.$$

GR enforces sector equality and hence $\xi = 0$. Any inequivalence gives $\xi \neq 0$. The experiment measures ξ directly.

POINTER BASIS: OPERATIONAL (METER-CHOSEN)

Let system S (atom) and meter M (cavity photons) interact and then couple to the environment E . The total Hamiltonian reads

$$\hat{H}_{\text{tot}} = \hat{H}_S[\psi] + \hat{H}_M[\psi] + \hat{H}_{SM} + \hat{H}_{ME}.$$

Because \hat{H}_M imprints ψ -dependent phases on meter states with coefficient α_{ph} while \hat{H}_S imprints with (possibly different) α_{at} , the *meter* determines the pointer basis in which decoherence occurs. If one instead engineered an atomic (matter-sector) meter, the pointer basis would follow the corresponding atomic eigenstates. This is fully standard in environment-induced superselection: the apparatus/environment coupling selects the basis.

INFLUENCE FUNCTIONAL PROOF OF DECOHERENCE

We give the reduced density matrix evolution explicitly.

Setup. Initial state

$$|\Psi_0\rangle = \sum_i c_i |s_i\rangle \otimes |m_0\rangle \otimes |E_0\rangle.$$

Under unitary evolution $U(t)$ generated by \hat{H}_{tot} , the state at time t can be written (in a standard pre-measurement model) as

$$|\Psi(t)\rangle = \sum_i c_i |s_i\rangle \otimes |m_i(t)\rangle \otimes |E_i(t)\rangle,$$

where $|m_i(t)\rangle = e^{-i\hat{H}_M^{(i)}t/\hbar}|m_0\rangle$ and $|E_i(t)\rangle$ incorporate the M - E interactions conditioned on branch i .

Reduced state and influence functional. Tracing out ME ,

$$\rho_S^{ij}(t) = c_i c_j^* \mathcal{F}_{ij}(t), \quad \mathcal{F}_{ij}(t) \equiv \langle m_j(t) | m_i(t) \rangle \langle E_j(t) | E_i(t) \rangle.$$

Because \hat{H}_M depends on ψ with coefficient α_{ph} while \hat{H}_S depends with α_{at} , distinct branches $i \neq j$ accumulate different meter phases

$$\langle m_j(t) | m_i(t) \rangle \sim \exp\left\{ \frac{i}{\hbar} \int_0^t dt' \Delta H_M^{(ij)}[\psi(t')] \right\},$$

with $\Delta H_M^{(ij)}$ proportional to $(\alpha_{\text{ph}} - \alpha_{\text{at}}) \delta\psi$ through the S - M coupling. Coupling to E induces damping of off-diagonal terms; in the Born-Markov limit one obtains

$$|\langle E_j(t) | E_i(t) \rangle| \approx \exp(-\Gamma t),$$

for some decoherence rate Γ set by M - E couplings. Hence

$$|\mathcal{F}_{ij}(t)| \rightarrow 0 \quad (i \neq j),$$

and

$$\rho_S(t) \approx \sum_i |c_i|^2 |s_i\rangle \langle s_i|.$$

We stress: this *does not* derive selection of one outcome or the Born rule; it shows suppression of interference in a basis fixed by sector response and apparatus coupling.

EXPERIMENTAL COROLLARY: ALTITUDE SLOPE

At a single location, a small local change $\delta\psi$ gives

$$\frac{\delta R}{R} \equiv \frac{\delta(f_{\text{cav}}/f_{\text{at}})}{(f_{\text{cav}}/f_{\text{at}})} = (\alpha_{\text{ph}} - \alpha_{\text{at}}) \delta\psi \equiv \xi \delta\psi.$$

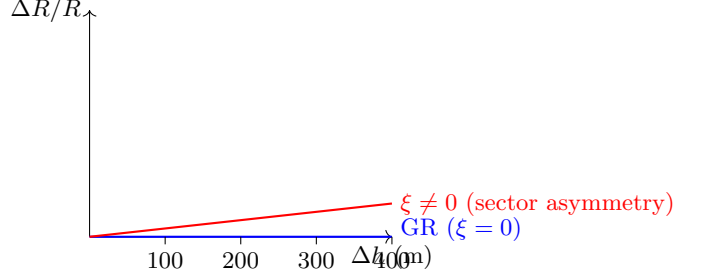


FIG. 2. Predicted cavity-atom slope: null (GR, $\xi = 0$) vs non-null ($\xi \neq 0$). Linear-gradient model is valid over $\lesssim 400$ m; curvature corrections are $< 10^{-18}$ and negligible at present precision.

Between two altitudes separated by Δh on Earth,

$$\Delta\psi = \frac{2\Delta\Phi}{c^2} \approx \frac{2g\Delta h}{c^2},$$

so the finite change is

$$\frac{\Delta R}{R} = \xi \frac{\Delta\Phi}{c^2}.$$

With $g\Delta h/c^2 \approx 1.1 \times 10^{-14}$ per 100 m, a nonzero ξ produces a clean, linear slope; $\xi = 0$ gives a strict null. Modern cavities (stability 10^{-16} – 10^{-17}) and optical clocks ($< 10^{-18}$) make this test feasible.

RELATION TO EXISTING EXPERIMENTS AND WHY THIS IS UNTESTED

High-precision redshift tests comparing *atom vs atom* clocks have reached fractional 10^{-17} over 33–40 cm [3]. Transportable optical lattice clocks have compared sites separated by ~ 100 –450 m [4]. Ultra-stable cavities (room-temperature and cryogenic) reach 10^{-16} – 10^{-17} linewidths and underpin state-of-the-art optical clocks [5, 6]. Searches for ultralight dark matter also compare cavity and atomic references, but probe *temporal* modulations, not an *altitude* slope [7].

To our knowledge, a *stationary, two-altitude, sector-resolved* measurement of $f_{\text{cav}}/f_{\text{at}}$ reporting a geometry-locked slope at the $10^{-14}/100$ m level has not been published. This likely reflects practical priorities (atomic-to-atomic comparisons for timekeeping) rather than impossibility: the required components are standard. A dedicated protocol with dispersion bounds, orientation flips, and hardware swaps would decisively determine ξ .

CONCLUSION

This framework eliminates the Penrose paradox by enforcing one geometry, shows how the pointer basis is fixed

by sector response (operationally, by the meter), and reduces a conceptual tension to a falsifiable, binary discriminator. We make no claim to derive outcome selection. The experiment measures $\xi = \alpha_{\text{ph}} - \alpha_{\text{at}}$ via a height-dependent slope of $f_{\text{cav}}/f_{\text{at}}$ at $\sim 10^{-14}/100\text{ m}$. *Independent of whether $\alpha_{\text{at}} \approx 0$ (material co-variance) or $\alpha_{\text{at}} \approx +1$ (kinetic-only scaling), the key discriminator is ξ : any $\xi \neq 0$ signals physics beyond GR's sector equality.*

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