**4.3.11v2**

**CBREM Organization/Neighborhood User Group Profile Form**

*A* ***user group*** *is a group of people who harvest from (graze), use or maintain rangelands within a specific geographical location such as in one valley or around one water point. They may or may not be formally organized. If they are formally organized, fill out the CBREM Organization portion of this form in addition to the User Group portion. A rangeland user is anyone who makes consumptive or non-consumptive use of the rangelands. This form has been designed to gather information about any user group that makes use of a particular geographic area of rangelands.*

***A CBREM organization*** *is one or more user groups with rules, policies or guidelines about rangelands/pastures, some of which users have decided for themselves.*

*The purpose of this form is to consolidate information from each CBREM Organization/User Group into one form for data entry. Some information is identical to that included in the CBREM Organization/User Group Leader Questionnaire or Interview. For other questions, the research team must evaluate the preponderance of the qualitative evidence (from interviews and focus groups) and code their response accordingly.*

Soum:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Bag:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name of User Group (*Give the formal name of the user group if it has one. If the user group does not have a formal name, the field researcher must assign a name to each user group):*\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Is this user group also a CBREM organization? No Yes

If yes, Name and contact information for CBREM Organization Leader:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date data collected for this form:

Name of persons filling out this form:

Name(s) of person(s) with whom discussions were held:

Location of discussions:

Name of geographic features associated with the rangeland used by this user group or CBREM organization:

Sketch map of the rangeland area used by this User Group/CBREM organization (designate seasonal pasture areas for each season, water sources, roads other key features):

Provide a brief narrative about this group?

**1. History of the Group (From CBRM/Neighborhood Focus Group and Leader Interview)**

*Provide a general description of this user group organization.*

*Where do they live?*

*………………………………………………………..……………………………………………………….………………………………………………   
………………………………………………………..……………………………………………………….………………………………………………*

*What resources do they use?*

*………………………………………………………..……………………………………………………….………………………………………………   
………………………………………………………..……………………………………………………….………………………………………………*

*Distance between households?*

*………………………………………………………..……………………………………………………….………………………………………………   
………………………………………………………..……………………………………………………….………………………………………………*

*Do they live here year-round of seasonally?*

*………………………………………………………..……………………………………………………….………………………………………………   
………………………………………………………..……………………………………………………….………………………………………………*

When this user group was formed (year)?

*………………………………………………………..……………………………………………………….………………………………………………   
………………………………………………………..……………………………………………………….………………………………………………*

What was the most important reason for forming this group? (List)

*………………………………………………………..……………………………………………………….………………………………………………   
………………………………………………………..……………………………………………………….………………………………………………*

How has this group changed over time?

*………………………………………………………..……………………………………………………….………………………………………………   
………………………………………………………..……………………………………………………….………………………………………………*

**2. Group Characteristics (*From CBRM/Neighborhood Leader Questionnaire*)**

2.1 What is the nature of this group?

1. Other\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

|  |  |  |
| --- | --- | --- |
|  | No | Yes |
| 1. Informal organization (**GO TO 2.9**) | 0 | 1 |
| 1. Organized as a cooperative | 0 | 1 |
| 1. Organized as a NGO | 0 | 1 |
| 1. Organized as a PUG | 0 | 1 |
| 1. Organized as a herder group | 0 | 1 |
| 1. Organized as a nukhurlul | 0 | 1 |
| 1. Organized as a for-profit organization (business) | 0 | 1 |
| 1. Organized as a family or clan | 0 | 1 |
| 1. Other\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | 0 | 1 |

2.2 At the time of its origin did most members of the CBREM organization:

|  |  |  |
| --- | --- | --- |
|  | No | Yes |
| 1. Belong to the same extended family group(s) or clans? | 0 | 1 |
| 1. Live in the same geographic neighborhood for most of the year? | 0 | 1 |
| 1. Live in the same geographic neighborhood for certain seasons of the year (specify\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)? | 0 | 1 |
| 1. Already cooperate on livestock production activities? | 0 | 1 |
| 1. Already cooperate on livestock processing | 0 | 1 |
| 1. Already cooperate on livestock marketing activities? | 0 | 1 |
| 1. Already coordinate seasonal movements and use of seasonal pasture areas? | 0 | 1 |

2.3i Does this CBREM organization have legal status?

0 No 1 Yes

2.4i Was this CBREM organization formed with assistance from an external entity (regional, national or international NGO or donor)?

0 No (Go to 2.7) 1 Yes

2.5i If yes, does this organization continue to receive financial support from this entity at the present time?

0 No (list last year of support\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)

1 Yes

2.6i If yes, does this organization continue receive technical support from this entity at the present time?

0 No (list last year of support\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)

1 Yes

2.7[[1]](#footnote-1) Does this CBREM organization or Neighborhood group have rules about who may join?

0 No

1 Yes (describe\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)

2.8 How many individuals of each of the following types belong to the CBREM organization?

|  |  |  |  |
| --- | --- | --- | --- |
|  | Total | Male | Female |
| Adults (>16 years) |  |  |  |
| Children (<16 years) |  |  |  |

2.9 How many livestock of each type are owned by individuals in the entire neighborhood or CBREM organization?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Total | Camels | Cattle | Horses | Sheep | Goats |
|  |  |  |  |  |  |

2.10 How many members of this user group do not own livestock?

……………………………………………………………………………………………………………………………………………………………….

2.11 Name the ethnic groups in the user group and the number of individuals of each ethnic group (e.g. Khalkha, Darkhad, Buriat, Khazah)

……………………………………………………………………………………………………………………………………………………………….

2.12 Given the local definition of wealth, is there a difference in wealth among households in the user group/CBREM? Among your members, how many households would fall in to each of these wealth categories: wealthy/well-off; average; poor; very poor.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | Wealthy | Average | Poor | Very Poor |
| Number of Households |  |  |  |  |

2.13 Briefly describe what each of these wealth levels means (how local people characterize each):

Wealthy: ………………………………………………………………………………………………………………………

Average: ………………………………………………………………………………………………………………………

Poor: …………………………………………………………………………………………………………………………….

Very Poor: ……………………………………………………………………………………………………………………

2.14 How many single-woman headed households belong to this group? ……………………………………………

2.15 How many individuals have completed secondary (high) school? ……………………………………………

2.16 How many individuals have completed college? ……………………………………………

2.17 What additional occupations or income streams do people in this user group possess? For example, do they engage in small-scale farming or gardening, hunting, Ninja mining, trading?

……………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………

2.18 What is the most frequent kind of house lived in by members of the user group?

1. ger (seasons\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)
2. wooden building (seasons\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)
3. cement or stone building (seasons\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)
4. other type of house\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

2.19 What is the second most frequent kind of house lived in by members of this user group?

1. ger (seasons\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)
2. wooden building (seasons\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)
3. cement or stone building (seasons\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)
4. other type of house\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

2.20[[2]](#footnote-2) How many people in this user group have secondary occupations other than herder?

2.21iiHow many people in this user group have secondary occupations other than herder?

2.22ii How many people in this user group have full-time jobs other than herder?

2.23ii How many people in this user group work in the soum center?

2.24ii How many people in this user group work outside of this soum?

**3. Rangeland Tenure (Rights to Pasture)**

**3.1** What is thesize (ha) of the officially designated grazing territory for this CBREM organization? Or the estimated size of the informally designated territory used by this Neighborhood user group?

……………………………………………………………………………..

**3.2 (Insert table from Leader Questionnaire)**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | Winter Pastures | Spring Pastures | Dzud Reserve  Pastures | Degraded Pastures[[3]](#footnote-3) | Hay-cutting Field[[4]](#footnote-4) | Hand  Wells | Mechanical/  Motorized Wells | Springs |
| A. What is the nature of rights to these resources? | 1.Informal, customary  2.Formal use contract  3.Formal possession contract | 1.Informal, customary  2.Formal use contract  3.Formal possession contract | 1.Informal, customary  2.Formal use contract  3.Formal possession contract | 1.Informal, customary  2.Formal use contract  3.Formal possession contract | 1.Informal, customary  2.Formal use contract  3.Formal possession contract | 1.Informal, customary  2.Formal use contract  3.Formal possession contract | 1.Informal, customary  2.Formal use contract  3.Formal possession contract | 1.Informal, customary  2.Formal use contract  3.Formal possession contract |
| B. Who holds these rights? | 1. An individual person  2.A household  3.A khot ail (all members together)  4.Informal User group  5.A CBREM organization  6.Other | 1. An individual person  2.A household  3.A khot ail (all members together)  4.Informal User group  5.A CBREM organization  6.Other | 1. An individual person  2.A household  3.A khot ail (all members together)  4.Informal User group  5.A CBREM organization  6.Other | 1. An individual person  2.A household  3.A khot ail (all members together)  4.Informal User group  5.A CBREM organization  6.Other | 1. An individual person  2.A household  3.A khot ail (all members together)  4.Informal User group  5.A CBREM organization  6.Other | 1. An individual person  2.A household  3.A khot ail (all members together)  4.Informal User group  5.A CBREM organization  6.Other | 1. An individual person  2.A household  3.A khot ail (all members together)  4.Informal User group  5.A CBREM organization  6.Other | 1. An individual person  2.A household  3.A khot ail (all members together)  4.Informal User group  5.A CBREM organization  6.Other |
| C. For each of these resources, when can those who hold the rights exclude others? | 1. Other herders during normal conditions?  2.Other herders during a dzud?  3.Other herders during a drought?  4.A company wants to mine the area?  5.Informal “Ninja” miners want to dig for gold?  6.A company wants to build a tourist camp  7.National government declares a park | 1. Other herders during normal conditions?  2.Other herders during a dzud?  3.Other herders during a drought?  4.A company wants to mine the area?  5.Informal “Ninja” miners want to dig for gold?  6.A company wants to build a tourist camp  7.National government declares a park | 1. Other herders during normal conditions?  2.Other herders during a dzud?  3.Other herders during a drought?  4.A company wants to mine the area?  5.Informal “Ninja” miners want to dig for gold?  6.A company wants to build a tourist camp  7.National government declares a park | 1. Other herders during normal conditions?  2.Other herders during a dzud?  3.Other herders during a drought?  4.A company wants to mine the area?  5.Informal “Ninja” miners want to dig for gold?  6.A company wants to build a tourist camp  7.National government declares a park | 1. Other herders during normal conditions?  2.Other herders during a dzud?  3.Other herders during a drought?  4.A company wants to mine the area?  5.Informal “Ninja” miners want to dig for gold?  6.A company wants to build a tourist camp  7.National government declares a park | 1. Other herders during normal conditions?  2.Other herders during a dzud?  3.Other herders during a drought?  4.A company wants to mine the area?  5.Informal “Ninja” miners want to dig for gold?  6.A company wants to build a tourist camp  7.National government declares a park | 1. Other herders during normal conditions?  2.Other herders during a dzud?  3.Other herders during a drought?  4.A company wants to mine the area?  5.Informal “Ninja” miners want to dig for gold?  6.A company wants to build a tourist camp  7.National government declares a park | 1. Other herders during normal conditions?  2.Other herders during a dzud?  3.Other herders during a drought?  4.A company wants to mine the area?  5.Informal “Ninja” miners want to dig for gold?  6.A company wants to build a tourist camp  7.National government declares a park |

3.3 Do rules exist to regulate the timing of grazing in different seasonal pasture areas?

1. yes, informal rules (customs, unwritten rules)
2. yes, formal rules (formally agreed upon and written rules, soum decrees, management plans. etc.)
3. no rules

3.4 What is the level of compliance with rules about the timing of grazing?

1. everyone follows them (>90%)
2. most people follow them (>75%)
3. about half the people follow them
4. few people follow them
5. no one follows them

3.5 Do rules exist to regulate the number of livestock that may graze in different areas?

1. yes, informal rules (Go to 3.6)
2. yes, formal rules (Go to 3.6)
3. no rules (Go to 3.7)

3.6 What is the level of compliance with rules about the number of livestock?

1. everyone follows them
2. most people follow them
3. about half the people follow them
4. few people follow them
5. no one follows them

3.7 Do rules exist to regulate the type of livestock that may graze in different areas?

1. yes, informal rules
2. yes, formal rules
3. no rules

3.8 What is the level of compliance with rules about the type of livestock?

1. everyone follows them
2. most people follow them
3. about half the people follow them
4. few people follow them
5. no one follows them

3.9 Do rules exist to regulate use of hay-cutting areas?

1. yes, informal rules
2. yes, formal rules
3. no rules

3.10 What is the level of compliance with rules about use of hay-cutting areas?

1. everyone follows them
2. most people follow them
3. about half the people follow them
4. few people follow them
5. no one follows them

3.11 Do rules exist to regulate use of wells?

1. yes, informal rules
2. yes, formal rules
3. no rules

3.12 What is the level of compliance with rules about well use?

1. everyone follows them
2. most people follow them
3. about half the people follow them
4. few people follow them
5. no one follows them

3.13 Please list any other formal or informal rules about grazing or pasture management and indicate if they are informal or formal rules.

…………………………………………………………………………………………………………………………………………………………

…………………………………………………………………………………………………………………………………………………………

3.14 Who participated in making the rules?

…………………………………………………………………………………………………………………………………………………………

…………………………………………………………………………………………………………………………………………………………

3.15 How many members of the CBREM organization/User group know about the rules of the organization/user group? (*The researcher should talk with a number of members of the organization prior to answering this question. This answer should reflect the results of the researcher’s general survey among users, not only the opinion of the leaders of the organization or neighborhood).*

1. No one
2. A few people
3. Half the people
4. Almost everyone
5. Everyone

3.16 In the researcher’s estimation are the rules used by the user group easy for herders to understand:

1. No, very complex, difficult to understand
2. Relatively complex, but can be understood through learning and experience
3. Yes, easily understood

3.17 Are the rules generally seen as fair?

0 No 1 Yes

3.18 Are the rules respected as legitimate? (*Legitimate means rules that are recognized and accepted as rightful and respected as law.*)

0 No 1 Yes

3.19 Is it clear what behavior constitutes breaking the rules?

0 No 1 Yes

3.20 Are the rules flexible in dealing with times of emergency or unusual problems facing particular members of the user group?

0 No 1 Yes

3.21 Who monitors compliance with the rules? (*If different rules are monitored by different people, please indicate who monitors which rules*)

* 1. Informal monitoring by other users
  2. Formal monitoring by users who are also monitors
  3. Formal monitoring by non-users (specify who\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)
  4. No monitoring
  5. Other (please describe)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

3.22 What are the punishments/consequences for breaking the rules? (*If different rules are monitored by different people, please indicate who monitors which rules*)

* 1. Other herders talk to/scold the rule-breaker
  2. Group leader talks to/scolds the rule-breaker
  3. Government representative (e.g. bag leader, soum staff) talks to/scolds the rule-breaker
  4. Rule-breaker shunned socially/gossiped about by other herders
  5. Rule-breaker is fined (amount of fines)
  6. Rule-breaker loses resource access rights
  7. Other punishment (specify\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)
  8. No punishment or consequence for rule breaking

3.23 Who is responsible for carrying out the punishments?

* 1. Other herders, the community as a whole
  2. CBRM leader
  3. Bag leader
  4. Soum administration
  5. Other person (specify\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)
  6. No one is responsible for punishments\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

3.24 Do people other than the group members graze the pastures used/possessed by the user group/CBREM organization? (If NO, go to 4.1)

0 No 1 Yes

3.25 Where do the non-members who grazed these pastures come from (*Circle all that apply, and write names of specific bags, soum and aimags*)?

* 1. Same bag
  2. Different bag but the same soum (list bag and neighborhood location\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)
  3. Another aimag (list aimag and soum name(s)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)

3.26 Under what circumstances do non-members graze group pastures (*circle all that apply*)?

* 1. Part of their regular, seasonal movement
  2. During dzud
  3. During drought
  4. Other circumstances\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

3.27 If herders came from another soum, did that soum’s government seek permission or make formal arrangements for the incoming herders?

0 No 1 Yes (describe arrangements made\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)

3.28 How were these arrangements monitored and enforced?

………………………………………………………………………………………………………………………………………………………..

3.29 How did the presence of herders and livestock from another soum affect local pastures, livestock and households?

………………………………………………………………………………………………………………………………………………………..

3.30 Do herders and livestock from this CBREM/Neighborhood group graze outside of their own territory?

0 No 1 Yes (please specify WHERE they grazed and under what circumstances)

**4. Activities and Outcomes**

4.1 Which of the following list of activities do members of the group engage in together? Are there other activities (unlisted) that they do? What is the role of the CBRM group or neighborhood in this? (*This information should be obtained from the CBRM/Neighborhood Leader Interview and the CBRM/Neighborhood Focus Group.* For questions 4.1.1 try to obtain information on each activity or practice through the combination of focus group, leader interview and leader questionnaire. If no information is obtained, circle no data. If 4.1.1 is “yes” answer 4.1.2.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | Does the group engage in this activity? | | | CBRM Group Role | | | |
| Yes | No | No data | Facilitate  Informal Agreement/Coordination | Make Formal Agreement | Other | No Role |
| 1. Manage pastures together (*plan when and where to graze)* | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Collectively set aside and protect reserve winter and spring pastures together | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Collectively set aside and protect dzud reserve pastures | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Manage well use among members | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Repair or maintain a well or wells together | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Restore or maintain a spring together (*e.g. by fencing, cleaning, etc.*) | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Make regular seasonal movements together (determine when to move?) | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Make otor movements in emergencies together | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Determined when certain pastures can be grazed and when they must be rested | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Determine how many livestock can graze in certain pastures (or how much forage can be removed) | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Determined the species or age class of animals that can use certain areas (*e.g. to be used only by baby animals, or only by cattle*) | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Monitor pasture use (*e.g. for out of season grazing*) | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Sanction people who misuse pastures (*e.g. who graze out of season*) | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Monitor pasture conditions (ecological monitoring) | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Determined when and how to use specific water sources (e.g. wells, springs) | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Determined when to use or how to harvest other plant resources (e.g. goyo, firewood) | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Determined when, how or how much wildlife may be harvested. | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Made rules or guidelines about any other type of natural resource. | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Repair and maintain shelters and corrals together | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Harvest hay together | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Prepare hand fodder together | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Built fences to protect pasture, hay or fodder | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Planted hay or fodder | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Built fences to protect springs or streams (water sources) | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Shear sheep, comb cashmere or other fiber harvesting together | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Slaughter animals together | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Make felt together | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Cooperate in marketing or selling animal products | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Distribute income from sale of livestock or animal products | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Sold hay or fodder harvested by the group | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Distributed income from sale of hay or fodder sold | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Share equipment (e.g. tractor, truck) | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Lend each other money | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Lend livestock to each other | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Exchange livestock (surug) | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Arbitrated disputes among members/users | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Interacted with soum, aimag or other government | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Interacted with other external organizations (donors, NGOs) | 1 | 0 | 2 | 1 | 2 | 3 | 0 |
| 1. Interacted with technical professionals (veterinarians, pasture experts, etc.) | 1 | 0 | 2 | 1 | 2 | 3 | 0 |

4.2 What other activities has the group undertaken to improve livelihoods of group members? (*Cumulative list from CBRM org/Neighborhood leader interview and focus group*)

…………………………………………………………………………………………………………………………………………………………………

4.3 What kinds of activities had the group undertaken to improve knowledge, skills, civic engagement, or social equity of/among group members? (*Cumulative list from CBRM org/Neighborhood leader interview and focus group*)

…………………………………………………………………………………………………………………………………………………………………

…………………………………………………………………………………………………………………………………………………………………

4.4 Overall, what changed in the environment do members of the organization perceive as a result of the organization’s activities? (Rely on the preponderance of evidence from interviews and focus group)

* 1. Major improvements (positive changes)
  2. Minor improvements
  3. No changes
  4. Minor declines (negative changes)
  5. Major declines

4.5 Overall, what changes in local economic conditions (e.g. household income, marketing opportunities, and employment opportunities) do members of the organization perceive as a result of the organization’s activities? (Rely on the preponderance of evidence from interviews and focus group)

* 1. Major improvements (positive changes)
  2. Minor improvements
  3. No changes
  4. Minor declines (negative changes)
  5. Major declines

4.6 Overall, what changed in local social conditions (e.g. trust, cooperation, etc.) do members of the organization perceive as a result of the organization’s activities? (Rely on the preponderance of evidence from interviews and focus group)

* 1. Major improvements (positive changes)
  2. Minor improvements
  3. No changes
  4. Minor declines (negative changes)
  5. Major declines
  6. Is anyone in the community worse off today because of the group’s activities?

0 No 1 Yes (explain why\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)

4.8 Does this organization do any socio-economic monitoring?

0 No 1 Yes

**5. Conflicts**

5.1 During the past 2 years have individuals in this group faced any issues that created conflict within the group?

0 No 1 Yes

5.2 Has the level of conflict within the group changed in recent times? If so, has it increased or decreased?

* 1. Increased
  2. Stayed the same
  3. Decreased

5.3 During the past year, would you say the level of conflict within the group has been:

* 1. Disruptive of normal activities
  2. Disruptive upon occasions.
  3. Channeled in ways that are not disruptive of normal activities
  4. No conflict

5.4 How was the conflict within the group managed or resolved?

…………………………………………………………………………………………………………………………………………………………………

…………………………………………………………………………………………………………………………………………………………………

5.5 During the past 2 years have there been any conflicts between this group and herders from other neighborhoods, bags, and soum or CBREM organizations?

0 No 1 Yes

5.6 Has the level of conflict with people outside the group changed in recent times? If so, has it increased or decreased?

* 1. Increased
  2. Stayed the same
  3. Decreased

5.7 During the past year, would you say the level of conflict within people outside the group has been:

* 1. Disruptive of normal activities
  2. Disruptive upon occasions.
  3. Channeled in ways that are not disruptive of normal activities
  4. No conflict

5.8 How was the conflict with people outside the group managed or resolved?

…………………………………………………………………………………………………………………………………………………………………

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**6. Leadership**

6.1 Based on the interviews, focus groups, and leader questionnaire the research team should characterize the quality of leadership of this CBREM organization/Neighborhood User Group in terms of the following criteria.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Criteria | High/Positive/Strong | Medium/Neutral | Low/Negative/Weak | Insufficient Information to Evaluate |
| 1. Stability | 3 | 2 | 1 | 0 |
| 1. Diversity | 3 | 2 | 1 | 0 |
| 1. Experience & skill of leaders | 3 | 2 | 1 | 0 |
| 1. Dynamism & vision of leaders | 3 | 2 | 1 | 0 |
| 1. Honesty & transparency of leaders | 3 | 2 | 1 | 0 |
| 1. Accountability of leaders to group members | 3 | 2 | 1 | 0 |
| 1. Relationship of leaders to members | 3 | 2 | 1 | 0 |
| 1. Relationship of leaders to local government | 3 | 2 | 1 | 0 |
| 1. Relationship of leaders to other (non-group) community members | 3 | 2 | 1 | 0 |
| 1. Relationship of leaders to other community organizations | 3 | 2 | 1 | 0 |
| 1. Overall quality of leadership | 3 | 2 | 1 | 0 |

6.2 What level of acceptance and legitimacy does the leadership have among the group members?

1. The leaders are openly accepted and everyone recognizes their legitimacy to represent their interests
2. The leaders and accepted by the majority of the members; the majority recognize their legitimacy
3. The leaders are accepted by a monitoring of the members; leaders have little legitimacy
4. The leaders are not accepted and do not have legitimacy within the membership

6.3 What level of acceptance and legitimacy does the leadership have among the members of the broader community?

* 1. The leaders are openly accepted and everyone recognizes their legitimacy to represent their interests
  2. The leaders and accepted by the majority of the community members; the majority recognize their legitimacy
  3. The leaders are accepted by a monitoring of the community members; leaders have little legitimacy
  4. The leaders are not accepted and do not have legitimacy within the community

**7. Group-level Social Capital**

7.1 Based on the aggregate responses to 4.1, and observations of how participants in the focus group interact, rate the overall level of trust, reciprocity and cooperation among members of this group.

* 1. High—group members interact in many ways, including both economic and management issues, and demonstrate high levels of reciprocity, cooperation and trust
  2. Moderate—group members interact and cooperate on some activities, but may not have sufficient trust to engage in economic cooperation. Demonstrate moderate levels of reciprocity, cooperation and trust, or rank high on one or two of these criteria and lower on the other(s).
  3. Low—group members interact less often, undertake relatively few cooperative activities, and reciprocity and trust are uneven among members.
  4. Very low/absent—group members seldom interact, do not cooperate, and demonstrate uniformly low levels of trust and reciprocity among members.

**8. Knowledge Exchange, Learning and Adaptation (Mainly from focus groups and leader interview)**

8.1 Members of this group have participated in formal knowledge exchange activities (workshops, trainings etc.)

0 No 1 Yes

8.2 This group has organized, sponsored, or led formal knowledge exchange activities/events.

0 No 1 Yes

8.3 This group facilitates informal knowledge exchange through regular group meetings or other gatherings.

0 No 1 Yes

8.4 Based on the Leader and Member focus groups, the research team should rate the group on the following criteria.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Criteria | High/Positive/Strong | Medium/Neutral | Low/Negative/Weak | Insufficient Information to Evaluate |
| 1. Valuing and use of traditional knowledge | 3 | 2 | 1 | 0 |
| 1. Valuing and use of scientific and technical knowledge | 3 | 2 | 1 | 0 |
| 1. Developing plans for the future (instead of reacting to opportunities) | 3 | 2 | 1 | 0 |
| 1. Reacting to changing circumstances | 3 | 2 | 1 | 0 |
| 1. Reflecting on an learning from experiences | 3 | 2 | 1 | 0 |

8.5 Please list all specific examples of learning or adaptation that members or leaders discussed in interviews or focus groups (post code).

…………………………………………………………………………………………………………………………………………………………………

…………………………………………………………………………………………………………………………………………………………………

**9. Institutional Linkages**

9.1 Based on the CBREM Leader and Member focus groups, and Soum Leader Interviews, the research team should rate the group on the following criteria.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Criteria | High/Positive/Strong | Medium /Neutral | Low/Negative/Weak | Insufficient Information to Evaluate |
| 1. Working relationship with local government | 3 | 2 | 1 | 0 |
| 1. Provide inputs to local government policy | 3 | 2 | 1 | 0 |
| 1. Actual influence on local government policy or decisions | 3 | 2 | 1 | 0 |
| 1. Provide inputs to regional government policy | 3 | 2 | 1 | 0 |
| 1. Actual influence on regional government policy | 3 | 2 | 1 | 0 |
| 1. Provide inputs to national government policy | 3 | 2 | 1 | 0 |
| 1. Actual influence on national government policy | 3 | 2 | 1 | 0 |
| 1. Level of engagement with other local CBREM organizations | 3 | 2 | 1 | 0 |
| 1. Level of engagement with other non-CBREM organizations in the community | 3 | 2 | 1 | 0 |
| 1. Interactions with scientific or technical institutions (e.g. research institutes, universities, etc.) | 3 | 2 | 1 | 0 |
| 1. Interactions with regional, national or international NGOs or donors | 3 | 2 | 1 | 0 |

**10. Governance and Organizational Function (From CBREM Leader Questionnaire, Interviews and Focus Group)**

10.1 Does the organization have a written statement of its mission and objectives (by-laws)?

0 No 1 Yes

10.2 If yes, what was the process of developing this statement? If possible, please attach a copy of the by-laws to this form.

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…………………………………………………………………………………………………………………………………………………………………

10.3 If yes, who wrote most of this statement?

* 1. Local users with the help of some external authorities
  2. Government officials (local, regional or national)
  3. A local NGO
  4. A national NGO
  5. An international NGO
  6. A development agency
  7. A parent organization of the local organization
  8. Other (describe)

10.4 Are the rules of this organization based on an original set of rules provided by a government or development agency or NGO?

0. No

1. Yes, the rules are identical to many other CBREM organizations

2. Yes, but there is considerable variation among different CBREM organizations

10.5 If this organization has a written mission statement, objectives and or by-laws, do members or officials have the power to change the statement for the organization?

1. Neither members nor officials have the power to change it
2. Only officials have the power to change it
3. Only members have the power to change it
4. Members and officials together have the power to change it
5. Members and officials together with government officials have the power to change it
6. Other ……………………………………………………

10.6 If the statement can be changes, which rule must be used to change it?

* 1. Simple majority
  2. Extraordinary majority
  3. Unanimity
  4. Decision may be organization executive or council

10.7 If this organization has a written mission statement, objectives and or by-laws, has the statement ever been changed?

0 No 1 Yes

10.8 How are most of the leaders of this neighborhood or CBREM organization selected?

* 1. Elected by members in elections held regularly
  2. Elected by members in elections held irregularly (including consensual mechanisms)
  3. Inherited
  4. Appointed by a local leader
  5. Appointed by local government
  6. Appointed by national or regional government
  7. Other\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

10.9 How often do the leaders of this neighborhood or CBREM organization meet?

* 1. Once a week
  2. Twice a month
  3. Once a month
  4. Once every three months
  5. Once every six months
  6. Once a year
  7. Every other year
  8. Not regularly scheduled

10.10 Is it possible for members to remove a leader of this CBREM organization?

0 No 1 Yes

10.11 Can an external or higher level authority remove leaders of this CBREM organization?

0. No

1. Yes, with complaints from other members

2. Yes, at the discretion of the external or higher level authority

3. Yes, but only with substantial evidence about wrongdoing of the executive

10.12 How are most leaders of this CBREM organization paid?

|  |  |  |
| --- | --- | --- |
|  | Үгүй | Тийм |
| * 1. Receive no pay or material compensation | 0 | 1 |
| OR The primary source of their pay or material compensation is: |  |  |
| 1. Funds from the general budget of the CBREM organization | 0 | 1 |
| 1. Allocated extra shares of rangeland products (hay, fodder?) | 0 | 1 |
| 1. Obligations to the CBREM organization are reduced | 0 | 1 |
| 1. Receive voluntary contributions from other members | 0 | 1 |
| 1. Funds from local or external government | 0 | 1 |
| 1. Funds from a development agency or donor organization | 0 | 1 |
| 1. Other\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | 0 | 1 |

10.13 On average, what is the education level of the leaders of this CBREM organization?

* 1. Very low
  2. Low
  3. Average
  4. High
  5. Very high

10.14 Do any leaders of this CBREM organization hold leading positions in other collective or governmental bodies? (e.g. Bag leader)

0 No 1 Yes (If yes, state positions held\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_).

**General Membership of the CBREM Organization**

10.15 Are meetings held in which all members of the CBREM organization are eligible to participate?

0 No 1 Yes

10.16 How frequently are these meetings organized?

* 1. Once a week
  2. Twice a month
  3. Once a month
  4. Once every three months
  5. Once every six months
  6. Once a year
  7. Every other year
  8. Not regularly scheduled

10.17 How well are these meetings attended?

* 1. Few members attend
  2. About half the members attend
  3. Almost all members attend

10.18 If someone from your neighborhood willing to join to your group, what is the membership process?

…………………………………………………………………………………………………………………………………………………………………

…………………………………………………………………………………………………………………………………………………………………

10.19 Did you have any case when someone from your group canceled their membership from your group?

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…………………………………………………………………………………………………………………………………………………………………

**Records and Supervision**

10.20 Are any kinds of records maintained by the CBREM organization?

0 No 1 Yes

10.21 If yes, are the following kinds of records maintained by the CBREM organization or submitted to any higher authority? (*Circle all that apply. Write N/A if it does not apply. Blank cells will be treated as unknown.)*

|  |  |  |
| --- | --- | --- |
|  | Records are maintained | Records are not maintained |
| 1. Records of identities of officers | 1 | 0 |
| 1. Records of meetings and resolutions in meetings | 1 | 0 |
| 1. Records of incomes and expenditures of the CBREM org. | 1 | 0 |
| 1. Records of contributions in kind (labor, hay) or cash | 1 | 0 |
| 1. Records of ecological monitoring | 1 | 0 |
| 1. Records of the amount of hay or fodder harvested | 1 | 0 |
| 1. Records of the distribution of hay or fodder among members | 1 | 0 |
| 1. Records of the number of livestock grazed by members | 1 | 0 |
| 1. Records of the location and timing of grazing | 1 | 0 |
| 1. Records about rule-breaking | 1 | 0 |
| 1. Records about punishment of rule-breakers | 1 | 0 |
| 1. Records about conflicts between the CBREM organization with individuals or other organizations | 1 | 0 |
| 1. Records about loans taken by organization | 1 | 0 |
| 1. Records about loans given by organization | 1 | 0 |
| 1. Records about loan repayment | 1 | 0 |

* 1. If records are maintained, are they available for examination by others?

0. No, only available to officials of the organization

* 1. Yes, available to the general public
  2. Yes, but only to members of the organization
  3. Yes, but only to officials of the parent organization
  4. Yes, but only to government officials

10.23 If the organization maintains records of its accounts, are the records audited? (*Audit means a formal examination of financial records by a competent and neutral person or organization to determine honesty and correctness of record keeping.)*

0 No 1 Yes

10.24 Are the activities of the organization supervised by a higher organization?

0. No

1. Yes, by the parent organization

2. Yes , by officials appointed by the government

3. Yes, other (describe)

**Group structure and organization**

10.25 Describe the structure of your group (steering committee, treasurer, etc)

…………………………………………………………………………………………………………………………………………………………………

…………………………………………………………………………………………………………………………………………………………………

10.26 Describe the roles and responsibilities that is undertaken by the key members

…………………………………………………………………………………………………………………………………………………………………

…………………………………………………………………………………………………………………………………………………………………

10.27 Describe the roles of other members of the group

…………………………………………………………………………………………………………………………………………………………………

…………………………………………………………………………………………………………………………………………………………………

10.28 Does the organization use guards to protect pastures or other resources?

0. No

1. Yes, paid guards

2. Yes, volunteer guards

10.29 What natural resources you have guards for to protect?

|  |  |  |
| --- | --- | --- |
|  | No | Yes |
| 1. Seasonal pasture | 0 | 1 |
| 1. Spring, river, lake | 0 | 1 |
| 1. Well | 0 | 1 |
| 1. Salt licks | 0 | 1 |
| 1. Other | 0 | 1 |

10.30 If there are paid guards, how are they paid?

|  |  |  |
| --- | --- | --- |
|  | No | Yes |
| a) Through collections from members | 0 | 1 |
| b) Through wages from the organization’s general fund |  |  |
| c) Through fines collected from individuals | 0 | 1 |
| d) Through funds received from outside government | 0 | 1 |
| e) Through funds received from a development agency | 0 | 1 |
| f) Through in-kind contributions of hay, fodder, labor, etc. | 0 | 1 |
| g) Other means (describe) | 0 | 1 |

10.31 How are guards selected to protect pastures, hay fields or other resources?

1. By election
2. By appointment
3. By lottery
4. On basis of group discussion
5. Voluntary

10.32 How many guards are on duty at different times or seasons of the year?

|  |  |  |
| --- | --- | --- |
|  | No | Yes |
| * 1. Summer? | 0 | 1 |
| * 1. Fall? | 0 | 1 |
| * 1. Winter? | 0 | 1 |
| * 1. Spring | 0 | 1 |

**Resource Mobilization and Account Keeping**

10.33 What were the major sources of financial support for the CBREM organization in the most recent year (circle all sources that apply)

|  |  |  |
| --- | --- | --- |
| a) Voluntary contributions of money | No | Yes |
| b) Membership fees | 0 | 1 |
| c) Payments in place of labor | 0 | 1 |
| d) Fines | 0 | 1 |
| e) Interest on loans made by the organization | 0 | 1 |
| f) National or regional government | 0 | 1 |
| g) Local (soum) government | 0 | 1 |
| h) Development agency | 0 | 1 |
| i) Sale of hay, fodder or other rangeland product | 0 | 1 |
| j) Taxes (government taxes that are returned to/shared with the organization) | 0 | 1 |
| k) Special levies | 0 | 1 |
| l) Aid from external NGOs | 0 | 1 |
| m) Aid from local NGOs | 0 | 1 |
| n) Foreign government | 0 | 1 |
| o) Other | 0 | 1 |

10.34 Which of the above is the single most important source of financial support for the organization?

……………………………………………………………………………………………………………………………………………………..………………………………….…………………………….

10.35 What is the total financial budget of the organization for the most recent year that data are available?

……………………………………………………………………………………………………………………………………………………..………………………………….…………………………….

10.36 What percentage of the total financial budget is the single most important financial support for this organization?

……………………………………………………………………………………………………………………………………………………..………………………………….…………………………….

10.37 What is the “most recent year” for which this information is available?

……………………………………………………………………………………………………………………………………………………..………………………………….…………………………….

10.38 In total, how many person-days of labor were contributed to the activities of the organization in the above year?

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10.39 On average over the past 5 years, what is the single most important financial source for the organization?

[See same list as above]

……………………………………………………………………………………………………………………………………………………..………………………………….…………………………….

10.40 Over the past 5 years, what is the average amount of the single most important financial source for the organization?

……………………………………………………………………………………………………………………………………………………..………………………………….…………………………….

10.41 If the organization did not receive any funds from external agencies and had to rely on contributions from members, or other funds raised locally, could it support all its expenditures?

1. No, it could not meet its expenses without funds received from external sources
2. Yes, it could support itself with a combination of sales of products and contributions from members
3. Yes, it could support itself by product sales alone
4. Yes, it could support itself by membership contributions alone

10.42 What is the largest item on which the organization spends its income?

* 1. Salaries of officials
  2. Salaries of hired staff
  3. Account keeping
  4. Court cases
  5. Fees paid to specialized staff or contractors
  6. Expenses of the community (e.g. school building)
  7. Monitoring the resource
  8. Guarding the resource (pastures, hay fields)
  9. Maintaining the resource (fertilizer)
  10. Improving the resource (planting, irrigating, fencing)
  11. Travel (e.g. to market livestock or products, attend training, etc.)
  12. Equipment or machinery (describe use)

10.43 Does the group determine how the organization spends or earns income?

0 No 1 Yes

10.44 Based on the interviews, focus groups, and leader questionnaire the research team should characterize the quality of participation of this CBREM organization/Neighborhood User Group in terms of the following criteria.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Criteria | High/Positive/Strong | Medium/Neutral | Low/Negative/Weak | Insufficient Information to Evaluate |
| 1. Meeting attendance | 3 | 2 | 1 | 0 |
| 1. Information before decision | 3 | 2 | 1 | 0 |
| 1. Discussion, consultation & debate | 3 | 2 | 1 | 0 |
| 1. Dissemination of results of decision | 3 | 2 | 1 | 0 |
| 1. Number of women, young and poor people who participate | 3 | 2 | 1 | 0 |
| 1. Level of participation by prosperous people | 3 | 2 | 1 | 0 |
| 1. Positive participation by prosperous people | 3 | 2 | 1 | 0 |
| 1. Overall level of participations | 3 | 2 | 1 | 0 |
| 1. Overall quality of participation | 3 | 2 | 1 | 0 |

10.45 Based on the interviews, focus groups, and leader questionnaire the research team should characterize the culture this CBREM organization/Neighborhood User Group in terms of the following criteria.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Criteria | High/Positive/Strong | Medium/Neutral | Low/Negative/Weak | Insufficient Information to Evaluate |
| 1. Knowledge of rules and policies | 3 | 2 | 1 | 0 |
| 1. Ease of understanding rules & policies | 3 | 2 | 1 | 0 |
| 1. Fairness of rules and policies | 3 | 2 | 1 | 0 |
| 1. Clarity of what constitutes breaking the rules | 3 | 2 | 1 | 0 |
| 1. Member participation in making rules | 3 | 2 | 1 | 0 |
| 1. Record keeping | 3 | 2 | 1 | 0 |
| 1. Carrying out stated rules and policies | 3 | 2 | 1 | 0 |
| 1. Problems with misuse of group supplies, property | 1 | 2 | 3 | 0 |
| 1. Conflict resolution mechanisms | 3 | 2 | 1 | 0 |

10.46 Based on the interviews, focus groups, and leader questionnaire the research team should characterize the capacity CBREM organization/Neighborhood User Group in terms of the following criteria.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Criteria | High/Positive/Strong | Medium/Neutral | Low/Negative/Weak | Insufficient Information to Evaluate |
| 1. Carrying out specialized activities like ecological monitoring | 3 | 2 | 1 | 0 |
| 1. Preparing financial reports | 3 | 2 | 1 | 0 |
| 1. Reacting to changing circumstances | 3 | 2 | 1 | 0 |
| 1. Developing plans for the future | 3 | 2 | 1 | 0 |
| 1. Reflecting on and learning from experiences | 3 | 2 | 1 | 0 |
| 1. Mobilizing internal community resources | 3 | 2 | 1 | 0 |
| 1. Mobilizing external resources | 3 | 2 | 1 | 0 |
| 1. Mobilizing or making use of external technical assistance and scientific knowledge | 1 | 2 | 3 | 0 |

1. Five questions starting from 2.3 to 2.7 were added to a revised version of Organization Profile used for the second half of data collection taken place in 2012. Hence, for the groups, whose data were collected using the early version of the instrument, these variables have missing coding (-999 or -99) in SPSS dataset. [↑](#footnote-ref-1)
2. Five questions starting from 2.20 to 2.24 were excluded in the revised version of Organization Profile used for the second half of data collection taken place in 2012. Hence, for the groups, whose data were collected using the revised version of the instrument, these variables have missing coding (-999 or -99) in SPSS dataset.

   [↑](#footnote-ref-2)
3. This variable was erroneously translated into Mongolian as “degraded pastures”. The initial English version was “Fall pastures” [↑](#footnote-ref-3)
4. This variable was also erroneously translated into Mongolian as “barren areas”. Although it was corrected in the revised version of Organization Profile used for the second half of data collection in 2012, there are many responses assuming barren areas in their localities. [↑](#footnote-ref-4)