```
aq.py Fri Mar 22 16:01:31 2024 1
```

```
1: """ Core mathematics for Additive Quantization (AQ): initialization, reconstruction and beam s
earch"""
    2: import random
    3: from typing import List, Optional, Tuple, Union
    5: import torch
    6: import torch.nn as nn
    7: import torch.nn.functional as F
    8: from torch.utils.checkpoint import checkpoint
    9: from tqdm.auto import trange
   10:
   11: from src.kmeans import find_nearest_cluster, fit_faiss_kmeans, fit_kmeans_1d
   12: from src.utils import ellipsis, maybe_script
   13:
   14:
   15: class QuantizedLinear(nn.Module):
   16:
           def __init__(self, quantized_weight, bias: Optional[nn.Parameter]):
   17:
               super().__init__()
   18:
               self.out_features, self.in_features = quantized_weight.out_features, quantized_weight.
in features
   19:
              self.quantized_weight = quantized_weight
               self.bias = bias
   20:
   21:
               self.use_checkpoint = False
   22:
   23:
           def _forward(self, input: torch.Tensor):
   24:
               return F.linear(input, self.quantized_weight(), self.bias)
   25:
   26:
           def forward(self, input: torch.Tensor):
   27:
               if self.use_checkpoint and torch.is_grad_enabled():
   28:
                   return checkpoint (
   29:
                       self._forward, input, use_reentrant=False, preserve_rng_state=False, determini
sm_check="none"
   30:
   31:
               return self._forward(input)
   32:
   33:
   34: class QuantizedWeight (nn.Module):
   35:
          EPS = 1e-9
   36:
   37:
           def __init__(
   38:
               self,
   39:
   40:
               XTX: torch.Tensor,
   41:
              reference_weight: torch.Tensor,
               in_group_size: int,
   42:
   43:
               out_group_size: int,
   44:
              num_codebooks: int,
   45:
              nbits_per_codebook: int = 8,
               codebook_value_nbits: int = 16,
   46:
   47:
               codebook_value_num_groups: int = 1,
   48:
               scale_nbits: int = 0,
              scale_in_group_size: Optional[int] = None,
   49:
   50:
               scale_out_group_size: Optional[int] = None,
   51:
               straight_through_gradient: Optional[bool] = None,
   52:
               **init_kwargs,
   53:
          ):
              super().__init__()
   54:
   55:
               self.out_features, self.in_features = reference_weight.shape
   56:
               assert self.in_features % in_group_size == 0
   57:
               assert self.out_features % out_group_size == 0
   58:
   59:
               self.out_group_size, self.in_group_size = out_group_size, in_group_size
   60:
               self.num_codebooks = num_codebooks
               self.nbits_per_codebook = nbits_per_codebook
   61:
   62:
               self.codebook_size = codebook_size = 2**nbits_per_codebook
   63:
               self.codebook_value_nbits = codebook_value_nbits
   64:
               self.codebook_value_num_groups = codebook_value_num_groups
   65:
               self.codebook_value_clusters = None
   66:
   67:
               if scale_in_group_size is None:
   68:
                   scale_in_group_size = in_group_size
   69:
               if scale_out_group_size is None:
   70:
                   scale_out_group_size = out_group_size
   71:
               assert scale_out_group_size % out_group_size == 0
   72:
               assert scale_in_group_size % in_group_size == 0
```

```
Fri Mar 22 16:01:31 2024
aq.py
   73:
               self.scale_in_group_size_factor = scale_in_group_size // in_group_size
   74:
               self.scale_out_group_size_factor = scale_out_group_size // out_group_size
   75:
   76:
   77:
               self.scales = self.scales_clusters = self.scales_indices = None
   78:
               if straight_through_gradient is None and scale_nbits > 0:
   79:
                   straight_through_gradient = scale_nbits >= 6
   80:
               self.straight_through_gradient = straight_through_gradient
   81:
               self.scale_nbits = scale_nbits
   82:
   83:
               with torch.no_grad():
   84:
                   weight_groupwise_for_scales = reference_weight.reshape(
   85:
                       self.out_features // scale_out_group_size, scale_out_group_size,
   86:
                       self.in_features // scale_in_group_size, scale_in_group_size
   87:
                   ).swapaxes(1, 2) # [num_out_groups, num_in_groups, out_group_size, in_group_size]
   88:
   89:
                   if scale_nbits > 0:
   90:
                       scales = weight_groupwise_for_scales.norm(dim=(2, 3), keepdim=True) + self.EPS
   91:
                   else:
   92:
                       scales = weight_groupwise_for_scales.flatten(1, -1).norm(dim=-1).view(-1, 1, 1
, 1) + self.EPS
   93:
                   # shape [num_out_groups, num_in_groups, 1, 1] if scale_nbits > 0 else [num_out_gro
ups, num_in_groups, 1, 1]
   94:
                   del weight_groupwise_for_scales
   96:
   97:
                   weight_groupwise = reference_weight.reshape(
   98:
                       self.out_features // out_group_size, out_group_size, self.in_features // in_gr
oup_size, in_group_size
   99:
                   ).swapaxes(1, 2) # [num_out_groups, num_in_groups, out_group_size, in_group_size]
  100:
  101:
                   self.scales_are_lossless = scale_nbits == 0 or scale_nbits >= 16 or (2**scale_nbit
  102:
s >= scales.shape[1])
  103:
                   if self.scales_are_lossless or self.straight_through_gradient:
  104:
                       \# ^-- this checks if scales can be preserved losslessly
  105:
                       self.scales = nn.Parameter(scales, requires_grad=True)
 106:
                   else:
 107:
                       scales_clusters, scales_indices, _ = fit_kmeans_1d(scales.flatten(1, -1), k=2*
*scale_nbits)
  108:
                       self.scales_clusters = nn.Parameter(scales_clusters, requires_grad=True)
  109:
                       self.scales_indices = nn.Parameter(scales_indices, requires_grad=False)
  110:
  111:
                   weight_for_init = (weight_groupwise / self.get_scales()).swapaxes(1, 2).reshape_as
(reference_weight)
                   del weight_groupwise
  112:
  113:
  114:
               codes, codebooks = init_aq_kmeans(
  115:
                   weight_for_init,
  116:
                   num_codebooks=num_codebooks,
  117:
                   out_group_size=out_group_size,
  118:
                   in_group_size=in_group_size,
  119:
                   codebook_size=self.codebook_size,
                   **init_kwargs,
  120:
  121:
               )
  122.
  123:
               self.codebooks = nn.Parameter(
  124:
                   codebooks, requires_grad=True
  125:
                 # [num_codebooks, codebook_size, out_group_size, in_group_size]
  126:
               self.codes = nn.Parameter(codes, requires_grad=False) # [num_out_groups, num_in_grou
ps, num_codebooks]
  127:
           def get_codebooks(self) -> torch.Tensor:
  128:
  129:
               """Get quantization codebooks or reconstruct them from second level quantization (see
codebook_values_nbits)"""
  130:
               if self.codebook_value_nbits >= 16:
  131:
                   return self.codebooks
  132:
               elif 0 < self.codebook_value_nbits < 16:</pre>
                   with torch.no_grad():
  133:
  134:
                       codebooks_dimshuffle = (
  135:
                           self.codebooks.reshape(
  136:
                               self.num_codebooks,
  137:
                               self.codebook_value_num_groups,
  138:
                                self.codebook_size // self.codebook_value_num_groups,
  139:
                               self.out_group_size,
```

```
Fri Mar 22 16:01:31 2024
aq.py
 140:
                               self.in_group_size,
 141:
  142:
                           .permute(0, 1, 3, 4, 2)
                           .flatten(0, -2)
  143:
  144:
                       )
                       self.codebook_value_clusters, _unused, reconstructed_codebooks_dimshuffle = fi
 145:
t_kmeans_1d(
  146:
                           codebooks_dimshuffle,
  147:
                           k=2**self.codebook_value_nbits,
 148:
                           initial_clusters=self.codebook_value_clusters,
 149:
 150:
                       reconstructed_codebooks = (
  151:
                           reconstructed_codebooks_dimshuffle.view(
  152:
                               self.num_codebooks,
 153:
                               self.codebook_value_num_groups,
 154:
                               self.out_group_size,
  155:
                               self.in_group_size,
  156:
                               self.codebook_size // self.codebook_value_num_groups,
 157:
 158:
                           .permute(0, 1, 4, 2, 3)
 159:
                           .reshape_as(self.codebooks)
  160:
                       )
  161:
                   if torch.is_grad_enabled():
                       reconstructed_codebooks = reconstructed_codebooks + (self.codebooks - self.cod
 162:
ebooks.detach())
 163:
                   return reconstructed_codebooks
  164:
               raise NotImplementedError(f"{self.codebook_value_nbits}-bit codebook values are not su
pported")
 165:
           def get_scales(self) -> torch.Tensor:
  166:
               """Get per-channel or per-group quantization scales or reconstruct those scales based
 167:
on scales_nbits"""
              if self.scale_nbits == 0 or self.scales_are_lossless:
 168:
  169:
                   scales = self.scales # scales are not quantized or the quantization is lossless
 170:
               elif self.straight_through_gradient:
  171:
                  with torch.no_grad():
 172:
                       self.scales_clusters, _, dequantized_scales = fit_kmeans_1d(
 173:
                           self.scales.flatten(1, -1), k=2**self.scale_nbits, initial_clusters=self.s
cales_clusters
 174:
  175:
                       dequantized_scales = dequantized_scales.reshape_as(self.scales)
  176:
                   if torch.is_grad_enabled() and self.scales.requires_grad:
 177:
                       dequantized_scales = dequantized_scales + (self.scales - self.scales.detach())
 178:
                   scales = dequantized_scales
  179:
               else: # train scale codebook only
 180:
                   scales = self.scales_clusters.gather(1, self.scales_indices)[:, :, None, None]
 181:
  182:
               if scales.numel() != scales.shape[0]: # group-wise scales (i.e. not 1d scales)
  183:
                   assert scales.ndim == 4
  184:
                   assert scales.shape[2] == scales.shape[3] == 1
  185:
                   # if replicate each scale several times
 186:
                   scales = scales[:, None, :, None, :, :].tile(
 187:
                       1, self.scale_out_group_size_factor, 1, self.scale_in_group_size_factor, 1, 1
  188:
                   ).flatten(2, 3).flatten(0, 1)
 189:
               return scales
 190 •
 191:
           def forward(self, selection: Union[slice, ellipsis, torch.Tensor] = ...):
 192:
  193:
               Differentably reconstruct the weight (or parts thereof) from compressed components
  194:
               :param selection: By default, reconstruct the entire weight. If selection is specified
 this method will instead
  195:
                   reconstruct a portion of weight for the corresponding output dimensions (used for
parallelism).
  196:
                   The indices / slices must correspond to output channels (if out_group_size==1) or
groups (if > 1).
 197:
                   Formally, the indices must be in range [ 0 , self.out_features // self.out_group_s
ize )
 198:
  199:
 200:
               weight = _dequantize_weight(self.codes[selection], self.get_codebooks(), self.get_scal
es()[selection])
  201:
               return weight
  202:
  203:
           @torch.no_grad()
  204:
           def beam_search_update_codes_(
```

```
Fri Mar 22 16:01:31 2024
aq.py
  205:
               self.
  206:
              XTX: torch.Tensor,
  207:
               reference_weight: torch.Tensor,
  208:
  209:
               selection: Union[slice, ellipsis, torch.LongTensor] = ...,
               **kwargs,
  210:
  211:
           ) -> torch:
  212:
  213:
               Update self.codes in-place via beam search so as to minimize squared errors. Return th
e updated codes.
  214:
              :param XTX: pairwise products of input features matmul(X.transpose(), X), shape: [in_f
eatures, in_features]
              :note: if XTX is divided by dataset size, this function will return *mean* squared err
 215:
or
 216:
              :param reference_weight: original weight matrix that is being quantized, shape: [out_f
eatures, in_features]
  217:
              :note: if selection is specified, reference_weight must instead be [num_selected_out_f
eatures, in_features]
  218:
               :param selection: By default, this function updates all codes, If selection specified
. it will instead
  219:
                   update only the codes for a portion of output dimensions (used for parallelism).
  220:
                   The indices / slices must correspond to output channels (if out_group_size==1) or
groups (if > 1).
 221:
                   Formally, the indices must be in range [ 0 , self.out_features // self.out_group_s
ize )
  222:
               :param beam_size: consider up to this many best encoding combinations (this param is p
assed through via kwargs)
               :param kwargs: any additional keyword arguments are forwarded to beam_search_optimal_c
  223:
odes function
  224:
               :returns: the updated codes
  225:
  226:
               if self.scale_out_group_size_factor != 1:
                   assert selection == ..., "todo implement selection with scale_out_group_size"
  227:
               self.codes[selection] = beam_search_optimal_codes(
  228:
  229:
                   XTX=XTX,
  230:
                   reference_weight=reference_weight,
  231:
                   codebooks=self.get_codebooks(),
  232:
                   prev_codes=self.codes[selection],
  233:
                   scales=self.get_scales()[selection],
                   **kwargs,
  234:
  235:
               )
  236:
               return self.codes[selection]
  237:
  238:
           def estimate_nbits_per_parameter(self) -> float:
  239:
               """Calculate the effective number of bits per original matrix parameters"""
  240:
               num_parameters = self.out_features * self.in_features
               group_size = self.out_group_size * self.in_group_size
  241:
  242:
              num_out_groups = self.out_features // self.out_group_size
  243:
              num_in_groups = self.in_features // self.in_group_size
  244:
  245:
               matrix_store = num_parameters // group_size * self.num_codebooks * self.nbits_per_code
book
  246:
  247:
               codebooks_store = self.num_codebooks * self.codebook_size * group_size * self.codebook
value nbits
 248 •
               if self.codebook_value_nbits < 16:</pre>
  249:
                   codebooks_store += (
  250:
                       2**self.codebook_value_nbits * self.num_codebooks * self.codebook_value_num_gr
oups * group_size * 16
  251:
                   )
  252:
  253:
               if self.scale_nbits >= 16 or 2**self.scale_nbits >= num_in_groups: # group-wise scale
s in 16 bit
  254:
                   scale_num_out_groups = num_out_groups // self.scale_out_group_size_factor
  255:
                   scale_num_in_groups = num_in_groups // self.scale_in_group_size_factor
  256:
                   scale_store = self.scale_nbits * scale_num_out_groups * scale_num_in_groups
  257:
               elif 0 < self.scale_nbits < 16: # use scale quantization codebooks
                   scale_store = self.scale_nbits * num_out_groups * num_in_groups
  258:
                   scale_store += num_out_groups * 2**self.scale_nbits * 16
  259:
  260:
               elif self.scale_nbits == 0: # no group-wise scales; use global 1d scales instead
  261:
                   scale_store = num_out_groups * 16
  262:
               else:
  263:
                   assert False
  264:
  265:
               return (matrix_store + codebooks_store + scale_store) / num_parameters
```

```
Fri Mar 22 16:01:31 2024
aq.py
  266:
  267:
           def extra_repr(self) -> str:
  268:
              return f"{self.out_features=}, {self.in_features=}, bits_per_parameter={self.estimate_
nbits_per_parameter()}"
  269:
  270:
  271: @torch.inference_mode()
  272: def beam_search_optimal_codes(
  273:
  274:
          XTX: torch.Tensor,
  275:
          reference weight: torch. Tensor,
  276:
          codebooks: torch.Tensor,
  277:
          prev_codes: torch.IntTensor,
  278:
           scales: Optional[torch.Tensor],
  279:
          beam_size: int,
          dim_rng: Optional[random.Random] = None,
  280:
  281:
          code_penalties: Optional[torch.Tensor] = None,
  282:
          verbose: bool,
  283: ):
  284:
  285:
           :param XTX: pairwise products of input features matmul(X.transpose(), X), shape: [in_featu
res, in_features1
  286:
          :note: if XTX is divided by dataset size, this function will return *mean* squared error
           :param reference_weight: original weight matrix that is being quantized, shape: [out_featu
  287:
res, in_features]
  288:
          :param codebooks: look-up tables of codes, shape: [num_codebooks, codebook_size, out_group
_siz, in_group_size]
  289:
           :param prev_codes: previous-best integer weight codes, shape: [num_out_groups, num_in_grou
ps, num_codebooks]
  290:
          :param scales: weight will be multiplied by this factor, shape = [num_out_groups, num_in_g
roups or 1, 1, 1]
 291:
          :param dim_rng: a source of randomness to (optionally) shuffle the order in which the beam
 search runs
  292:
            None = update dimensions and codebooks in their natural order (0, 1, ..., n)
  293:
            random.Random(optional_seed) = shuffle dimensions at random, optionally using the specif
ied seed
  294:
  295:
           :param beam_size: consider up to this many best encoding combinations
  296:
           :param code_penalties: a pytorch float tensor of shape [num_codebooks, codebook_size]
  297:
              Penalize the beam search objective by code_penalties[m][i] for every use of ith code f
rom mth codebook
           :param verbose: if True, draw a progressbar and periodically print best loss
  298:
  299:
           :return: best quantization codes found, same shape as prev_codes
  300:
  301:
           :intuition: the beam search needs to produce weight codes that minimize MSE error
  302:
           - the codes are of shape [out_features / out_group_size, in_features / in_group_size, num_
codebooksl
  303:
           Out of those three dimensions, out_features is "independent", i.e. changing code in
  304:
  305:
           one output feature does not increase the MSE error for another feature. Therefore,
  306:
          beam search for different output features can run in independently in parallel.
  307:
  308:
          Neither (in_features / in_group_size) nor (num_codebooks) dimension are independent:
           - changing the encoding for one feature can compensate the error from encoding another, OB
  309:
C-stvle
          - for a single weight group, changing code in one codebook can affect the optimal choice i
  310:
n another codebook
  311:
          Therefore, beam search must go in a double loop over (in_features/in_group_size) and (num_
codebooks) dimensions
  312:
  313:
           This leaves one choice: which dimension used for outer loop, and which one goes is in the
inner loop?
  314:
          Due to the nature of beam search, interactions between dimensions of inner loop will be ex
plored better.
  315:
           We chose to use (in_features/in_group_size) in the outer loop and (num_codebooks) for the
inner loop.
  316:
           This is based on an intuition from GPTQ: you can get decent performance by quantizing each
input unit ...
  317:
           ... greedily --- GPTQ does not change quantizations for previously quantized features and
works fine.
 318:
          Therefore, we believe that we can also use a greedy approach to compensate error between i
nput features.
  319:
           In turn, we believe that the codes used to encode the same weights (additively) are more i
nter-dependent.
           This should be treated as an educated guess with no proof and no ablation (as of the time
```

```
Fri Mar 22 16:01:31 2024
aq.py
of writing).
  321:
  322:
           num_out_groups, num_in_groups, num_codebooks = prev_codes.shape
  323:
  324:
           num_codebooks, codebook_size, out_group_size, in_group_size = codebooks.shape
           in_features = num_in_groups * in_group_size
  325:
  326:
          out_features = num_out_groups * out_group_size
  327:
          assert reference_weight.shape == (out_features, in_features)
  328:
          prev_weight = _dequantize_weight(prev_codes, codebooks, scales)
  329:
  330:
           # initialize all beam codes as previous codes - so they can be updated during beam search
  331:
          beam_codes = prev_codes.unsqueeze(0)
  332:
          # beam_codes shape: [current beam_size, num_out_groups, num_in_groups, num_codebooks], ini
tial beam_size = 1
          beam_weights = prev_weight.unsqueeze(0)
  333:
  334:
           # beam_weights shape: [current beam_size, out_features, in_features], initial beam size =
  335:
  336:
           beam_losses = (
  337:
               _channelwise_squared_error(XTX, prev_weight, reference_weight)
  338:
               .reshape(1, num_out_groups, out_group_size)
  339:
               .sum(-1)
  340:
           )
  341:
           # beam_losses shape: [current beam_size, num_out_groups], initial beam_size = 1
  342:
           if code_penalties is not None:
  343:
               # Compute counts for each code in each codebook, initialize regularizer
  344:
               codebook_ids = torch.arange(num_codebooks, device=beam_losses.device).view(1, 1, 1, -1
  345:
              per_channel_regularizers = code_penalties[codebook_ids, beam_codes].sum(dim=(2, 3)) #
 [beam_size, num_out_groups]
  346:
               del codebook_ids
  347:
               beam_losses += beam_losses + per_channel_regularizers
  348:
  349:
           if verbose:
  350:
               progressbar = trange(num_in_groups * num_codebooks)
  351:
  352:
           def _make_range(n: int) -> list:
  353:
               seq = list(range(n))
  354:
               if dim_rng is not None:
  355:
                   dim_rng.shuffle(seq)
  356:
               return seq
  357:
  358:
           for input_group_index in _make_range(num_in_groups):
  359:
               for codebook_index in _make_range(num_codebooks):
  360:
                   ### part 1: compute losses for every possible candidate for one given codebook and
input group.
  361:
                   # Currently, we compute errors for all output features in parallel in a vectorized
 fashion.
  362:
                   best_losses, best_indices = _beam_search_squared_errors(
  363:
                       XTX=XTX,
  364:
                       reference_weight=reference_weight,
  365:
                       codebooks=codebooks.
  366:
                       scales=scales,
  367:
                       beam_losses=beam_losses,
  368:
                       beam_codes=beam_codes,
  369:
                       beam_weights=beam_weights,
  370:
                       input_group_index=input_group_index,
  371:
                       codebook_index=codebook_index,
  372:
                       k best=beam size,
  373:
                       code_penalties=code_penalties,
  374:
                   ) # [current beam_size, codebook_size, num_out_groups]
  375:
  376:
                   # part 2: select beam_size new best codes and re-arrange beam to account for the f
act that ...
  377:
                   # ... sometimes two or more top candidates originate from the same source in previ
ous beam
  378:
                   beam_codes, beam_weights, beam_losses = _beam_search_select_best(
  379:
                       beam_codes=beam_codes,
  380:
                       beam_weights=beam_weights,
  381:
                       codebooks=codebooks,
  382:
                       scales=scales,
  383:
                       input_group_index=input_group_index,
  384:
                       codebook_index=codebook_index,
  385:
                       best_losses=best_losses,
  386:
                       best_indices=best_indices,
```

```
Fri Mar 22 16:01:31 2024
aq.py
  387:
                       beam_size=beam_size,
  388:
  389:
  390:
                   if verbose:
  391:
                       progressbar.update()
                       if (input_group_index * num_codebooks + codebook_index) % verbose != 0:
  392:
  393:
                           continue # if update is an integer, compute metrics every (this many) bea
m search steps
  394:
                       best_loss = beam_losses.min(0).values.sum().item() / out_features
  395:
                       info = f"in_group {input_group_index} / {num_in_groups}
                       info += f" | codebook {codebook_index} / {num_codebooks} "
  396:
  397:
                       if code_penalties is None:
  398:
                           info += f" | loss {best_loss:.10f}"
  399:
                       else: # un-regularize to restore MSE loss, report sparsity rate
  400:
                           codebook_ids = torch.arange(num_codebooks, device=beam_losses.device).view
(1, 1, 1, -1)
  401:
                           best_cand_regularizer = code_penalties[codebook_ids, beam_codes[0]].sum()
/ num_out_groups
  402:
                           del codebook_ids
  403:
                           best_loss = best_loss - best_cand_regularizer # report loss without the
regularizer part
  404:
                           info += f" | loss {best_loss:.5f} | reg {best_cand_regularizer:.5f} | "
  405:
                       del best loss
  406:
                       progressbar.desc = info
  407:
          return beam_codes[0]
  408:
  409:
  410: @maybe_script
  411: def _dequantize_weight(
          codes: torch.Tensor, codebooks: torch.Tensor, scales: Optional[torch.Tensor] = None
  412:
  413: ) -> torch.Tensor:
  414:
           Decode float weights from quantization codes. Differentiable.
  415:
  416:
          :param codes: tensor of integer quantization codes, shape [*dims, num_out_groups, num_in_g
roups, num_codebooks]
  417:
          :param codebooks: tensor of vectors for each quantization code, [num_codebooks, codebook_s
ize, out_group_size, in_group_size]
          :param scales: weight will be multiplied by this factor, must be broadcastble with [*dims,
out_groups, num_in_groups, out_group_size, in_group_size]
  419:
          :return: reconstructed weight tensor of shape [*dims, num_in_groups*group_size]
  420:
  421:
           num_out_groups, num_in_groups, num_codebooks = codes.shape[-3:]
           num_codebooks, codebook_size, out_group_size, in_group_size = codebooks.shape
  422:
  423:
          out_features = num_out_groups * out_group_size
          in_features = num_in_groups * in_group_size
  424:
  425:
          codebook_offsets = torch.arange(
  426:
              0, num_codebooks * codebook_size, codebook_size, device=codes.device
  427:
           ) # shape: [num_codebooks]
          reconstructed_weight_flat = F.embedding_bag(
  428:
  429:
               codes.flatten(0, -2) + codebook_offsets, codebooks.flatten(0, 1).flatten(-2, -1), mode
="sum"
  430:
           ) # [prod(dims) * num_out_groups * num_in_groups, out_group_size * in_group_size]
  431:
  432:
          reconstructed_weight_groupwise = reconstructed_weight_flat.view(
  433:
               list(codes.shape[:-3]) + [num_out_groups, num_in_groups, out_group_size, in_group_size
  434:
  435:
           if scales is not None:
  436:
              reconstructed_weight_groupwise = reconstructed_weight_groupwise.mul(scales)
  437:
           return reconstructed_weight_groupwise.swapaxes(-3, -2).reshape(list(codes.shape[:-3]) + [o
ut_features, in_features])
  438:
  439:
  440: @maybe_script
  441: def _beam_search_squared_errors(
  442:
           XTX: torch.Tensor,
  443:
           reference_weight: torch.Tensor,
  444:
           codebooks: torch.Tensor,
  445:
           scales: Optional[torch.Tensor],
  446:
           beam_losses: torch.Tensor,
  447:
          beam_codes: torch.Tensor,
  448:
          beam_weights: torch.Tensor,
  449:
           input_group_index: int,
  450:
           codebook_index: int,
          k_best: int,
  451:
```

```
Fri Mar 22 16:01:31 2024
aq.py
  452:
           code_penalties: Optional[torch.Tensor] = None,
  453: ) -> tuple[torch.Tensor, torch.Tensor]:
  454:
  455:
           Compute MSE or sum-of-squared-error losses for all possible ways to replace quantization c
odes for one input group
  456:
            and one codebook. Works in parallel for all output-dimension groups.
  457:
  458:
           :param XTX: pairwise products of input features matmul(X.transpose(), X), shape: [in_featu
res, in_features]
  459:
           :note: if both XTX *and* beam_loses are divided by dataset size, this function will return
mean squared error
  460:
           :param reference_weight: original weight matrix that is being quantized, shape: [out_featu
res, in_features1
  461:
           :param codebooks: look-up tables of codes, shape: [num_codebooks, codebook_size, out_group
_size, in_group_size]
           :param scales: weight will be multiplied by this factor, [num_out_groups, num_in_groups, 1
  462:
, 1]
  463:
  464:
           :param beam_losses: sum-of-squared-error for each hypothesis in beam and for each output c
hannel:
  465:
               shape: [beam size, num out groups]
  466:
           :param beam_codes: a tensor with best weight codes, shape: [beam_size, num_out_groups, num
_in_groups, num_codebooks]
  467:
           :param beam_weights: a tensor with de-quantized beam_codes, shape: [beam_size, out_feature
s, in_features]
  468:
           :param input_group_index: an index of one group of in_features that is being re-encoded
  469:
           :param codebook_index: an index of one codebook for that group of features that is being r
e-encoded
  470:
           :return: tuple(Tensor, Tensor) of 3d tensor of shape = [beam_size, k_best, num_out_groups]
  471:
               First one is float tensor of losses of k\_{\rm best} lowest square errors for each beam and o
ut group
  472:
               Second one is int64 tensor of indices of k_best lowest square errors for each beam and
 out_group
  473:
  474:
           :note: The code computes MSE using the square-of-difference expansion
            | | X@W.T - sum_i X@(Bi@Ci).T| | ^2 = | | X@W.T | | ^2 - 2 < X@W.T, sum_i X@(Bi@Ci).T> + | | sum_i X@
  475:
Bi@Ci||^2
  476:
           where X[nsamples,in_features] is calibration data, W[out_features, in_features] is the ref
erence weight,
  477:
              C[num_codebooks, codebook_size, in_features] are learned codebooks (Ci has shape [codeb
ook_size, out_features])
  478:
              B[num_codebooks, out_features, codebook_size] are one-hot encoded indices (quantization
 codes)
  479:
           The formula above uses a single group per output "neuron" and a single group.
  480:
           The algorithm below generalizes the formula for multiple groups and codebooks.
  481:
  482:
           Furthermore, the algorithm does not compute the entire formula. Instead, it begins from so
me baseline loss
  483:
           and computes the change in loss from changing a single code to every possible altearnative
 code.
  484:
           When computing the changed loss, the algorithm only computes the few affected parts of the
 loss formula above.
  485:
           11 11 11
  486:
           num_codebooks, codebook_size, out_group_size, in_group_size = codebooks.shape
  487 •
           beam_size, num_out_groups, num_in_groups, num_codebooks = beam_codes.shape
  488:
           out_features = num_out_groups * out_group_size
  489:
  490:
           input_group_slice = slice(input_group_index * in_group_size, (input_group_index + 1) * in_
group_size)
  491:
  492:
           prev_codes_part = beam_codes[:, :, input_group_index, codebook_index] # [beam_size, num_o
ut_groups]
  493:
  494:
           if scales is not None:
  495:
               scales_part = scales[:, input_group_index % scales.shape[1], :, :] # [num_out_groups,
 1, 1]
  496:
           else:
  497:
               scales_part = torch.empty(0, device=XTX.device)
  498:
           prev_part_dequantized = F.embedding(prev_codes_part, codebooks[codebook_index].flatten(-2,
 -1)).view(
  499:
               beam_size, out_features, in_group_size
  500:
              # previous codes de-quantized
  501:
  502:
           prev_weight_part = prev_part_dequantized
```

```
Fri Mar 22 16:01:31 2024
aq.py
  503:
           if scales is not None:
  504:
               prev_weight_part = (
  505:
                   prev_weight_part.view(beam_size, num_out_groups, out_group_size, in_group_size)
  506:
                   .mul(scales_part)
  507:
                   .view(beam_size, out_features, in_group_size)
  508:
               )
  509:
  510:
           cand_weights = codebooks[codebook_index] # [codebook_size, out_group_size, in_group_size]
 all replacement codes
  511:
  512:
           delta_weight_without_part = reference_weight - beam_weights
  513:
           delta_weight_without_part[:, :, input_group_slice] += prev_weight_part
  514:
  515:
           # dWTXTX is equivalent to < X @ (W - \sum BiCi except current codebook), X @ SOMETHING >
  516:
           dWTXTXg = delta_weight_without_part @ XTX[..., input_group_slice] # [beam_size, out_featu
res, in_group_size]
  517:
           # below: use torch.matmul to compute broadcasted batch matrix multiplication; see matmul d
ocs
  518:
  519:
           XnewBkC_norms_sq = torch.bmm(
  520:
               (cand_weights.flatten(0, 1) @ XTX[input_group_slice, input_group_slice]).view(
  521:
                   codebook_size, 1, out_group_size * in_group_size
  522:
               ),
  523:
               cand_weights.view(codebook_size, out_group_size * in_group_size, 1),
  524:
           ).reshape(
  525:
               codebook_size, 1
  526:
             # [codebook_size, num_out_groups]
  527:
           if scales is not None:
  528:
               XnewBkC_norms_sq = XnewBkC_norms_sq.mul(scales_part.square().reshape(1, num_out_groups
  529:
  530:
           best_losses = torch.empty(
  531:
               (beam_size, k_best, num_out_groups), dtype=XTX.dtype, device=XTX.device
              # shape: [beam_size, k_best, num_out_groups]
  532:
  533:
           best_indices = torch.empty(
  534:
               (beam_size, k_best, num_out_groups),
  535:
               dtype=torch.int64,
  536:
               device=XTX.device,
  537:
  538:
           for beam_id in range(beam_size):
  539:
               dot_products = (
  540:
                   torch.einsum(
  541:
                       "mg, og->mo",
  542:
                       cand_weights.reshape(codebook_size, out_group_size * in_group_size),
  543:
                       dWTXTXg[beam_id].view(num_out_groups, out_group_size * in_group_size),
  544:
                   )
  545:
                   .sub_(
  546:
                       torch.einsum(
  547:
                           "og, og->o",
  548:
                           prev_part_dequantized[beam_id].reshape(num_out_groups, out_group_size * in
_group_size),
  549:
                           dWTXTXg[beam_id].view(num_out_groups, out_group_size * in_group_size),
  550:
                       ).view(1, num_out_groups)
  551:
                   )
  552:
                   .view(codebook_size, num_out_groups)
  553.
  554:
               if scales is not None:
  555:
                   dot_products = dot_products.mul_(scales_part.reshape(1, num_out_groups))
  556:
  557:
               XoldBkC_norms_sq = torch.bmm(
  558:
                   (prev_weight_part[beam_id] @ XTX[input_group_slice, input_group_slice]).view(
  559:
                       num_out_groups, 1, out_group_size * in_group_size
  560:
                   ),
  561:
                   prev_weight_part[beam_id].view(num_out_groups, out_group_size * in_group_size, 1),
  562:
               ).reshape(1, num_out_groups)
  563:
  564:
               # finally, combine them to get MSE
  565:
               candidate squared errors = (
  566:
                   beam_losses[beam_id, None, :] - 2 * dot_products + XnewBkC_norms_sq - XoldBkC_norm
s_sq
  567:
                  # shape: [codebook_size, num_out_groups]
  568:
  569:
               if code penalties is not None:
  570:
                   prev_code_penalties = code_penalties[codebook_index][prev_codes_part[beam_id]] #
```

[codebook size]

```
Fri Mar 22 16:01:31 2024
aq.py
                   candidate_squared_errors[:, :] -= prev_code_penalties[None, :] # refund penalty f
  571:
or the replaced code
  572:
                   candidate_squared_errors[:, :] += code_penalties[codebook_index, :, None] # add p
enalty for new code
  573:
  574:
               best_beam_squared_errors, best_beam_indices = torch.topk(
  575:
                   candidate_squared_errors, k_best, dim=0, largest=False, sorted=False
  576:
               )
  577:
               best_losses[beam_id] = best_beam_squared_errors
  578:
               best_indices[beam_id] = best_beam_indices
  579:
  580:
           return best_losses, best_indices
  581:
  582:
  583: @maybe_script
  584: def _beam_search_select_best(
  585:
           beam_codes: torch.Tensor,
          beam_weights: torch.Tensor,
  586:
  587:
          codebooks: torch.Tensor,
  588:
          scales: Optional[torch.Tensor],
  589:
          input_group_index: int,
  590:
          codebook_index: int,
  591:
          best_losses: torch.Tensor,
  592:
          best_indices: torch.Tensor,
  593:
          beam_size: int,
  594: ) -> Tuple[torch.Tensor, torch.Tensor, torch.Tensor]:
  595:
  596:
          Select top-:beam_size: and reorder beam accordingly, return new beam
  597:
          :param beam_codes: a tensor with best weight codes, shape: [beam_size, num_out_groups, num
_in_groups, num_codebooks]
          :param beam_weights: a tensor with de-quantized beam_codes, shape: [beam_size, out_feature
  598:
s, in_features]
  599:
          :param codebooks: a tensor with look-up tables of codes, shape: [num_codebooks, codebook_s
ize, out_group_size, in_group_size]
  600:
          :param scales: weight will be multiplied by this factor, [num_out_groups, num_in_groups, 1
 1]
  601:
  602:
           :param input_group_index: an index of one group of in_features that is being re-encoded
  603:
           :param codebook_index: an index of one codebook for that group of features that is being r
e-encoded
  604:
           :param best_losses: a 3d tensor of losses of k_best lowest square errors for each beam and
 out group,
  605:
               shape = [beam_size, k_best, num_out_groups]
  606:
           :param best_indices: a 3d tensor of indices of k_best lowest square errors for each beam a
nd out group,
  607:
               shape = [beam_size, k_best, num_out_groups]
  608:
           :param beam_size: how many top hypotheses should be selected
  609:
  610:
           :returns: new (beam_codes, beam_weights, beam_losses)
  611:
  612:
           dtype = best_losses.dtype
  613:
          device = best_losses.device
  614:
          _prev_beam_size, k_best, num_out_groups = best_losses.shape
          _prev_beam_size, out_features, in_features = beam_weights.shape
  615:
           _prev_beam_size, num_out_groups, num_in_groups, num_codebooks = beam_codes.shape
  616:
  617 •
           flat_best = best_losses.flatten(0, 1).topk(dim=0, k=beam_size, largest=False)
  618:
          best_hypo_source_ids = flat_best.indices // k_best
  619:
           arange_out_groups = torch.arange(num_out_groups, device=device)
  620:
           best_hypo_codes = best_indices.flatten(0, 1)[flat_best.indices, arange_out_groups].reshape
  621:
               beam_size, num_out_groups
  622:
           # ^-- shape: [beam_size, num_out_groups]
  623:
  624:
  625:
           # reorder beam codes and weights
  626:
           new_beam_codes = torch.full(
  627:
               size=(len(best_hypo_codes), num_out_groups, num_in_groups, num_codebooks),
  628:
               fill value=-1,
  629:
               dtype=beam_codes.dtype,
  630:
               device=device,
  631:
           ) # [beam_size, num_out_groups, num_in_groups, num_codebooks]
  632:
           new_beam_weights = torch.empty(len(best_hypo_codes), out_features, in_features, dtype=dtyp
e, device=device)
  633:
  634:
           for beam_index in range(len(best_hypo_codes)):
```

```
Fri Mar 22 16:01:31 2024
aq.py
               new_beam_codes[beam_index, :, ...] = beam_codes[best_hypo_source_ids[beam_index, :], a
  635:
range_out_groups, ...]
  636:
              new_beam_codes[beam_index, :, input_group_index, codebook_index] = best_hypo_codes[bea
m_index, :]
               new_beam_weights[beam_index, :, :] = _dequantize_weight(new_beam_codes[beam_index, ...
  637:
], codebooks, scales)
  638:
  639:
           # Note: the code above can be further accelerated by 1) vectorzing loop and ...
  640:
           # ... 2) updating new_beam_weights only for the chosen input group
           return new_beam_codes, new_beam_weights, flat_best.values
  641:
  642:
  643:
  644: @maybe_script
  645: def _channelwise_squared_error(XTX: torch.Tensor, weight: torch.Tensor, reference_weight: torc
h.Tensor):
  646:
  647:
           Compute per-channel squared error between X @ weight_or_weights and X @ reference_weight
  648:
           :param XTX: pairwise products of input features matmul(X.transpose(), X), shape: [in_featu
res, in_features]
          :note: if XTX is divided by dataset size, this function will return *mean* squared error
  649:
  650:
           :param weight: predicted/reconstructed weights of shape [*dims, out_features, in_features]
  651:
           :param reference_weight: reference weight of shape [out_features, in_features]
  652:
           :return: per-channel squared errors of shape [*dims, out_features]
  653:
  654:
           XW_norm_square = torch.matmul(weight[..., :, None, :], (weight @ XTX)[..., :, :, None]).fl
atten(-3)
  655:
           XWreference_norm_square = torch.bmm(reference_weight[:, None, :], (reference_weight @ XTX)
[:, :, None]).flatten(-3)
  656:
          dot_product = torch.matmul((reference_weight @ XTX)[:, None, :], weight[..., :, :, None]).
flatten(-3)
  657:
           return XW_norm_square - 2 * dot_product + XWreference_norm_square
  658:
  659:
  660: @torch.no_grad()
  661: def init_aq_kmeans(
  662:
           reference_weight: torch.Tensor,
  663:
  664:
          num_codebooks: int,
  665:
          out_group_size: int,
  666:
           in_group_size: int,
  667:
           codebook_size: int,
  668:
           verbose: bool = False,
  669:
          use_faiss: bool = False,
  670:
          max_points_per_centroid: Optional[int] = None,
  671:
           max_iter: int = 1000,
  672:
           devices: Optional[List[torch.device]] = None,
  673:
           **kwargs,
  674: ):
  675:
  676:
           Create initial codes and codebooks using residual K-means clustering of weights
  677:
           :params reference_weight, num_codebooks, out_group_size, in_group_size, nbits, verbose: sa
me as in OuantizedWeight
  678:
           :params use_faiss whether to use faiss implementation of kmeans or pure torch
  679:
           :params max_point_per_centorid maximum data point per cluster
  680:
           :param kwargs: any additional params are forwarded to fit_kmeans
  681:
  682:
           out_features, in_features = reference_weight.shape
  683:
           num_out_groups = out_features // out_group_size
  684:
           num_in_groups = in_features // in_group_size
  685:
           weight_residue = (
  686:
               reference_weight.reshape(num_out_groups, out_group_size, num_in_groups, in_group_size)
  687:
               .clone()
  688:
               .swapaxes (-3, -2)
  689:
               .reshape(num_out_groups * num_in_groups, out_group_size * in_group_size)
  690:
  691:
          codebooks = []
  692:
           codes = []
  693:
           if max_points_per_centroid is not None:
  694:
              print("Clustering:", max_points_per_centroid * codebook_size, "points from", weight_re
  695:
sidue.shape[0])
  696:
           for _ in trange(num_codebooks, desc="initializing with kmeans") if verbose else range(num_
  697:
codebooks):
  698:
               if use_faiss:
```

```
Fri Mar 22 16:01:31 2024
                                                 12
aq.py
  699:
                   codebook_i, codes_i, reconstructed_weight_i = fit_faiss_kmeans(
  700:
                       weight_residue,
  701:
                       k=codebook_size,
  702:
                       max_iter=max_iter,
  703:
                       gpu=(weight_residue.device.type == "cuda"),
  704:
                       max_points_per_centroid=max_points_per_centroid,
  705:
                   )
  706:
               else:
  707:
                   chosen_ids = None
                   if max_points_per_centroid is not None:
  708:
  709:
                       chosen_ids = torch.randperm(weight_residue.shape[0], device=weight_residue.dev
ice)[
  710:
                           : max_points_per_centroid * codebook_size
  711:
                       ]
  712:
                   codebook_i, _, _ = fit_kmeans(
  713:
                       weight_residue if chosen_ids is None else weight_residue[chosen_ids, :],
  714:
                       k=codebook_size,
  715:
                       max_iter=max_iter,
  716:
                       devices=devices,
                       **kwargs,
  717:
  718:
  719:
                   codes_i, reconstructed_weight_i = find_nearest_cluster(weight_residue, codebook_i,
 devices=devices)
  720:
  721:
              codes_i = codes_i.reshape(num_out_groups, num_in_groups, 1)
  722:
             codebook_i = codebook_i.reshape(1, codebook_size, out_group_size, in_group_size)
  723:
              weight_residue -= reconstructed_weight_i
  724:
              codes.append(codes_i)
  725:
              codebooks.append(codebook_i)
  726:
              del reconstructed_weight_i
         codebooks = torch.cat(codebooks, dim=0)
  727:
  728:
          codes = torch.cat(codes, dim=-1)
          return codes, codebooks
  729:
```