

Professional Qualifications and Independence Analysis of Directors

2020/3/31

Criteria Name	Meet One of the Following Professional Qualification Requirements, Together with at Least Five Years Work Experience			Independence Criteria(Note)												Number of Other Public Companies in Which the Individual is Concurrently Serving as an Independent Director
	An Instructor or Higher Position in a Department of Commerce, Law, Finance, Accounting, or Other Academic Department Related to the Business Needs of SCSB in a Public or Private Junior College, College or University	A Judge, Public Prosecutor, Attorney, Certified Public Accountant, or Other Professional or Technical Specialist Who has Passed a National Examination and been Awarded a Certificate in a Profession Necessary for the Business of SCSB	Have Work Experience in the Areas of Commerce, Law, Finance, or Accounting, or Otherwise Necessary for the Business of SCSB	1	2	3	4	5	6	7	8	9	10	11	12	
Hung-Ching Yung	-	-	v	v	-	-	-	v	v	-	-	v	-	v	v	-
Stephen Ching-Yen Lee	-	-	v	v	-	-	v	v	v	-	v	v	v	v	v	-
Yih-Pin Chen	-	-	v	v	-	v	v	v	v	-	-	v	v	v	v	-
Yung-San Lee	v	-	v	v	v	v	v	v	v	v	v	v	v	v	v	-
Lincoln Chu-Kuen Yung	-	-	v	v	-	-	-	-	v	v	v	v	-	v	v	-
Kar-Wah Cheng	-	-	v	v	-	v	v	v	v	v	-	v	v	v	v	-
John Con-Sing Yung	-	-	v	-	-	-	-	-	v	-	-	v	-	v	-	-
George Chao-Chi Gu	-	-	v	v	-	v	v	v	v	v	v	v	v	v	v	-
Yi-Jen Chiou	-	-	v	-	-	v	v	v	v	v	-	v	v	v	v	-
Shan-Chung Chen	-	-	v	-	-	v	v	v	v	v	-	v	v	v	v	-
Miles King-Hu Hsieh	-	v	v	v	v	v	v	v	v	v	v	v	v	v	v	1
Mu-Tsai Chen	-	-	v	v	v	v	v	v	v	v	v	v	v	v	v	-

Note: Please tick the corresponding boxes if the directors have been any of the following during the two years prior to being elected or during the term of office.

- Not an employee of SCSB or any of its affiliates.
- Not a director or supervisor of SCSB or its affiliates (however, this restriction does not apply to such an individual who also holds a concurrent position of an independent director appointed by SCSB and its parent company, subsidiary, or subsidiaries under the same parent company in accordance with the Securities and Exchange Act or the laws of the country in which the affiliation is located).
- Not a director or supervisor of SCSB or any of its affiliates. Not applicable in cases where the person is an independent director of SCSB, its parent company, or any subsidiary in which SCSB holds, directly or indirectly, more than 50% of the voting shares.
- Not a manager listed in (1), or a spouse, relative of second degree or closer, or direct blood relative of third degree or closer to any person listed in (2) or (3).
- Not a director, supervisor, or employee who directly holds 5% or more of the shares issued by SCSB, or is a top-5 shareholder, or is a corporate shareholder who acts as SCSB's director or supervisor designated in accordance with Article 27, Paragraph 1 or Paragraph 2 of the Company Act (however, this restriction does not apply to such an individual who also holds a concurrent position of an independent director appointed by SCSB and its parent company and subsidiary, or subsidiaries under the same parent company in accordance with the Securities and Exchange Act or the laws of the country in which the affiliation is located).
- Not a director, supervisor or employee of another company in which more than half of the shares held by directors or those with voting rights are controlled by the same person (however, this restriction does not apply to such an individual who also holds a concurrent position of an independent director appointed by SCSB and its parent company, subsidiary, or subsidiaries under the same parent company in accordance with the Securities and Exchange Act or the laws of the country in which the company is located).
- Not a director, supervisor or employee who concurrently holds or is the spouse of the holder of an equivalent position of chairman or president in another company or institution (however, this restriction does not apply to such an individual who also holds a concurrent position of an independent director appointed by SCSB and its parent company, subsidiary or subsidiaries under the same parent company in accordance with the Securities and Exchange Act or the laws of the country in which the affiliation is located).
- Not a director, supervisor, or manager, or a shareholder holding 5% or more of the shares of a specific company or institution that has financial or business relationship with SCSB (however, this restriction does not apply to such an individual who also holds a concurrent position of an independent director in a specific company or institution that holds no less than 20% and no more than 50% of the shares issued by SCSB, appointed by SCSB and its parent company, subsidiary or subsidiaries of the same parent company in accordance with the Securities and Exchange Act or the laws of the

country in which the affiliation is located).

9. Not a professional who provides audits or commercial, legal, financial, accounting, or other related services with compensation totaling no more NT\$500,000 within the past two years to SCSB or its affiliates, nor is an owner, partner, director, supervisor, or manager, or the spouse of any of the above, of a sole proprietorship, partnership, company, or organization that provides such services to SCSB or its affiliates. However, this restriction does not apply to a member of a remuneration committee, take-over bid review committee, or special committee of merger and acquisition that exercises its duties in accordance with relevant provisions in the Securities and Exchange Act and Business Mergers and Acquisitions Act.
10. Not having a marital relationship, or a relative within the second degree of kinship to any other director of SCSB.
11. Not been a person of any conditions defined in Article 30 of the Company Act.
12. Not a governmental, juridical person or its representative as defined in Article 27 of the Company Act.