

# Polyhedral combinatorics – Lecture 12

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## Definition: *Randomized protocol*

- $A, B$  finite sets assigned to Alice and Bob respectively

A **randomized protocol** is a rooted binary tree such that:

1. Each node of the tree has type  $A$  or  $B$
  2. Each node  $v$  of type  $A$  has functions  $p_{0,v}, p_{1,v} : A \rightarrow [0, 1]$  such that  $p_{0,v}(i) + p_{1,v}(i) \leq 1$ .
  3. Each node  $v$  of type  $B$  has functions  $q_{0,v}, q_{1,v} : B \rightarrow [0, 1]$  such that  $q_{0,v}(j) + q_{1,v}(j) \leq 1$ .
  4. Each leaf  $v$  of type  $A$  has a nonnegative vector  $\Lambda_v$  of size  $|A|$ .
  5. Each leaf  $v$  of type  $B$  has a nonnegative vector  $\Lambda_v$  of size  $|B|$ .
- Nowhere is it mentioned that vertices of type  $A$  have vertices of type  $B$  as children and vice versa, but this seems implicit from the context.

An **execution** of the protocol on input  $(i, j) \in A \times B$  is a random path from the root.

- It descends to the left child of an internal node  $v$  with probability  $\begin{cases} p_{0,v}(i) & v \text{ is of type } A \\ q_{0,v}(j) & v \text{ is of type } B \end{cases}$  and to its right child with probability  $\begin{cases} p_{1,v}(i) & v \text{ is of type } A \\ q_{1,v}(j) & v \text{ is of type } B \end{cases}$ .
- The execution stops at  $v$  with probability  $\begin{cases} 1 - p_{0,v}(i) - p_{1,v}(j) & v \text{ is of type } A \\ 1 - q_{0,v}(i) - q_{1,v}(j) & v \text{ is of type } B \end{cases}$ .
- If an execution stops at a node  $v$ , the **value** of the execution is  $\begin{cases} 0 & v \text{ is an internal node} \\ \Lambda_v(i) & v \text{ is a leaf of type } A \\ \Lambda_v(j) & v \text{ is a leaf of type } B \end{cases}$ .
- For a fixed input  $(i, j) \in A \times B$ , the value of the execution is a random variable.
- Transitioning from a node  $A$  to the left or right child corresponds to Alice sending 0 or 1 respectively, and symmetrically for  $B$ .

The **communication complexity** of the protocol is the maximum number of bits exchanged over all  $(i, j)$ , or equivalently, the height of the tree.

## Problem: *Computing a matrix in expectation*

- For a given matrix  $M$  and a protocol outputting  $X$ , the goal is to get  $\mathbb{E}[X_{i,j}] = M_{i,j}$  for all entries.
- The **communication complexity**  $cc_+(M)$  of the protocol is the maximum number of bits exchanged.

## Theorem:

$$\lceil \log \text{rk}_+(M) \rceil = cc_+(M)$$

*Proof.*

- ≤ – Assume we have a protocol computing  $X$  with  $\mathbb{E}[X_{xy}] = M_{xy}$  with complexity  $c$ .
- Each node  $v$  of the protocol has a corresponding traversal probability matrix  $P_v \in \mathbb{R}_+^{A \times B}$  with  $\forall (x, y) \in A \times B : P_v(x, y) = P[\text{execution on input } (x, y) \text{ goes through } v]$ . Let  $v_1, \dots, v_k$  be the nodes of type  $A$  on the unique path  $P$  from the root  $r$  to the parent of  $v$ . Let  $w_1, \dots, w_l$  be the nodes of type  $B$  on this path. Then

$$P_v(x, y) = \prod_{i \in [k]} p_{\alpha_i, v_i}(x) \prod_{j \in [l]} q_{\beta_j, w_j}(y),$$

where  $\alpha_i = \begin{cases} 1 & \text{path goes into right subtree of } v_i \\ 0 & \text{path goes into left subtree of } v_i \end{cases}$  and similarly for  $\beta_j$ . Hence  $P_v$  is a rank one matrix of the form  $a_v b_v$  for  $a_v$  column vector of size  $|A|$  and  $b_v$  row vector of size  $|B|$ .

- Let  $L_X, L_Y$  be the sets of leaves of types  $A$  and  $B$  respectively. Let  $\Lambda_v$  denote the vector of values at a leaf  $v \in L_X \cup L_Y$ . Since the protocol computes  $\mathbb{E}[X_{xy}] = M_{xy}$ , we have

$$M(x, y) = \sum_{v \in L_X} \Lambda_v(x) P_v(x, y) + \sum_{w \in L_Y} P_w(x, y) \Lambda_w(y).$$

Thus

$$M = \sum_{v \in L_X} (\Lambda_v \circ a_v) b_v + \sum_{w \in L_Y} a_w (b_w \circ \Lambda_w)$$

where  $\circ$  denotes the hadamard (element-wise) product. Hence we can express  $M$  as a sum of at most  $|L_X \cup L_Y| \leq 2^c$  nonnegative rank one matrices and therefore  $\text{rank}_+(M) \leq 2^c$ .

- $\geq$
- Denote  $r := \text{rk}_+(M)$  and let  $A \in \mathbb{R}_+^{m \times r}$ ,  $B \in \mathbb{R}_+^{r \times n}$  be such that  $M = AB$ . WLOG we can assume that the maximum row sum of  $A$  is 1, as we can rescale  $B$  appropriately.
  - Alice knows a row index  $i$  and Bob knows a column index  $j$ . Together, they want to compute  $\mathbb{E}[X_{ij}] = M_{ij}$  by exchanging as few bits as possible.
  - 1. Alice selects a column index  $k \in [r]$  according to the probabilities in row  $i$  of  $A$ . She sends this index to Bob.
  - 2. Bob outputs entry of  $B_{kj}$ .
  - With probability  $1 - \delta_i$ , where  $\delta_i := \sum_k A_{ik} \leq 1$ , Alice does not send any index to Bob and the computation stops with implicit output zero.
  - This randomized protocol computes  $X$  with  $\mathbb{E}[X_{ij}] = M_{ij}$ , since for the input  $(i, j)$ , the expected value is  $\sum_{k \in [r]} A_{ik} B_{kj} = M_{ij}$ . Moreover, the complexity of the protocol is precisely  $\lceil \log r \rceil$ .

□

**Corollary:**

- $P \neq \emptyset$  polytope that is not a point
- $S$  its associated slack matrix

$$\lceil \log \text{xc}(P) \rceil = \text{cc}_+(S)$$

*Proof.*

- Follows from the previous theorem and Yannakis' theorem.

□

## 1 Perfect matching polytope

**Definition:** *Perfect matching polytope*

$$\begin{aligned} P_{PM} &:= \text{conv}\{\chi^{E'} \mid E' \subseteq E \text{ perfect matching}\} \\ &= \{x \in \mathbb{R}^{|E|} \mid \forall v \in V : \sum_{v \in e} x_e = 1, x_e \geq 0, \forall U \in V, |U| \text{ odd: } \sum_{e \in \delta(U)} x_e \geq 1\} \\ &\quad \text{or } \{x \in \mathbb{R}^{|E|} \mid \forall v \in V : \sum_{v \in e} x_e = 1, x_e \geq 0\} \text{ for bipartite graphs.} \end{aligned}$$

**Note:** *Perfect matching polytope*

- Complexity is exponential.
- Looking at a vertex and its neighbors, we have a polyhedral cone called the **vertex figure**.
- Vertex figures of perfect matching polytope have small extension complexity.