

# Exam 2

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**Problem 1.** A function  $f : \mathbb{C} \rightarrow \mathbb{C}$  is defined by  $f(z) = z^8$ . Find the fixed points of  $f$ . Use your calculations to find the real linear and quadratic factors of the polynomial  $p(z) = z^7 - 1$ .

*Solution.* The fixed points of  $f$  are the solutions to the equation

$$f(z) - z = z^8 - z = z(z^7 - 1) = 0.$$

Thus, the fixed points of  $f$  are  $z = 0$  and the 7-th roots of unity, i.e. the points  $z = e^{2\pi ki/7}$  for  $k = 0, 1, \dots, 6$ .

Note that for  $z, \alpha \in \mathbb{C}$ , we have that

$$(z - \alpha)(z - \bar{\alpha}) = z^2 - \bar{\alpha}z - \alpha z + \alpha\bar{\alpha} = z^2 - 2\operatorname{Re}(\alpha)z + |\alpha|^2$$

is a polynomial with real coefficients.

Using the 7-th roots of unity, we can obtain the following factorization of  $p(z)$ :

$$p(z) = \prod_{k=0}^6 (z - e^{2\pi ki/7}).$$

Let  $\alpha_k = e^{2\pi ki/7}$ . From the previous note, the real quadratic factors of  $p(z)$  are obtained by multiplying each factor  $(z - \alpha_k)$  with  $(z - \bar{\alpha}_k)$ , if  $\alpha_k$  and  $\bar{\alpha}_k$  are both roots of  $p(z)$ . For  $k = 1, \dots, 6$ , we have that  $\alpha_k$  is a root of  $p(z)$  and

$$\bar{\alpha}_k = e^{-2\pi ki/7} = e^{2\pi(7-k)i/7} = \alpha_{7-k},$$

which is also a root of  $p(z)$ . Therefore, the real linear and quadratic factors of  $p(z)$  are given by

$$\begin{aligned} p(z) &= (z - \alpha_0)(z - \alpha_1)(z - \alpha_6)(z - \alpha_2)(z - \alpha_5)(z - \alpha_3)(z - \alpha_4) \\ &= (z - 1)(z - \alpha_1)(z - \bar{\alpha}_1)(z - \alpha_2)(z - \bar{\alpha}_2)(z - \alpha_3)(z - \bar{\alpha}_3) \\ &= (z - 1)(z^2 - 2\operatorname{Re}(\alpha_1)z + 1)(z^2 - 2\operatorname{Re}(\alpha_2)z + 1)(z^2 - 2\operatorname{Re}(\alpha_3)z + 1), \end{aligned}$$

where  $\operatorname{Re}(\alpha_k) = \cos(2\pi k/7)$ .

□

**Problem 2.** Let  $K_c$  be the filled-in Julia set of  $f_c(z) = z^2 + c$ .

- Find the fixed points and the period 2 points of  $f_{-6}$ .
- Show that  $2\sqrt{2} \in K_{-6}$  and find another point in  $K_{-6}$ , distinct from those found so far.
- Do any of the points you have found lie in the Julia set of  $f_{-6}$ ?
- Is  $-6 \in \mathcal{M}$  where  $\mathcal{M}$  is the Mandelbrot set?

*Solution.* a. The fixed points of  $f_{-6}$  are the solutions to

$$f_{-6}(z) - z = z^2 - z - 6 = 0.$$

Thus, the fixed points of  $f_{-6}$  are  $z_0 = 3$  and  $z_1 = -2$ . The period 2 points are the solutions to

$$f_{-6}^2(z) - z = (z^2 - 6)^2 - z - 6 = 0$$

that are also not fixed points of  $f_{-6}$ . Factoring  $f_{-6}^2(z) - z$ , we see that

$$f_{-6}^2(z) - z = (z - 3)(z + 2)(z^2 + z - 5).$$

Thus, the period 2 points of  $f_{-6}$  are the solutions to  $z^2 + z - 5 = 0$ , i.e. the period 2 points of  $f_{-6}$  are

$$z_2 = \frac{-1 - \sqrt{21}}{2}, \quad z_3 = \frac{-1 + \sqrt{21}}{2}.$$

- Recall that for a polynomial  $p(z)$  with  $\deg(p) > 1$ , the filled-in Julia set of  $p(z)$  is the set of all points that do not converge to  $\infty$  under iteration of  $p$ .

Note that  $2\sqrt{2}$  is an eventual fixed point of  $f_{-6}$ . We see that  $f_{-6}^2(2\sqrt{2}) = -2$  so that  $f_{-6}^k(2\sqrt{2}) = -2$  for  $k > 2$ . This implies that  $2\sqrt{2}$  does not converge to  $\infty$  under iteration of  $f_{-6}$  so that  $2\sqrt{2}$  is in the filled-in Julia set of  $f_{-6}$ , i.e.  $2\sqrt{2} \in K_{-6}$ .

For reasons similar to those listed above, we see that  $-3$  is an eventual fixed point of  $f_{-6}$ , i.e.  $f_{-6}(-3) = 3$ , so that  $-3 \in K_{-6}$ .

- For a polynomial  $p(z)$  with  $\deg(p) > 1$ , the Julia set of  $p(z)$  is the boundary of the basin of attraction of  $\infty$ .

Since all of the points listed do not converge to  $\infty$  under iteration of  $f_{-6}$ , we see that none of the listed points belong to the Julia set of  $f_{-6}$ .

- The definition of the Mandelbrot set is the set of all  $c \in \mathbb{C}$  such that the orbit of 0 is bounded under iteration by  $f_c$ . For  $f_{-6}$ , we see that  $f_{-6}(0) = -6$  and  $f_{-6}^2(0) = 30$ . By a previously proven escape criterion, since  $|30| > 2$  and  $|30| > |-6|$ , we have that  $f_{-6}^n(0) \rightarrow \infty$  as  $n \rightarrow \infty$ . This implies that the orbit of 0 is not bounded under iteration by  $f_{-6}$ . Therefore, we must have that  $-6 \notin \mathcal{M}$ .

□

**Problem 3.** Let  $f(z) = z^2 + c$ . Find the values of  $c$  so that  $z = i$  is a period 2 point. Find the fixed points in each case and determine their stability. Is  $c \in \mathcal{M}$ ?

*Solution.*

□

**Problem 4.** Show that the function  $H(z) = \frac{z-i}{z+i}$  gives a conjugacy between the Newton map  $N_{f_1}$  of  $f_1(z) = z^2 + 1$  and the function  $f_0(z) = z^2$ . Deduce the Julia set of  $N_{f_1}$  and show that it is chaotic on its Julia set.

*Solution.*

□

**Problem 5.** Let  $p(z)$  be a polynomial of degree  $d > 1$  with Newton function

$$N_p(z) = z - \frac{p(z)}{p'(z)}.$$

- a. If  $p(\alpha) = 0$  and  $p'(\alpha) \neq 0$ , show that  $\alpha$  is a fixed point of multiplicity two for  $N_p$ , i.e. there is a rational function  $k(z) = m(z)/n(z)$  with  $n(\alpha) \neq 0$  and  $N_p(z) - \alpha = (z - \alpha)^2 k(z)$ .
- b. If  $p(\alpha) = 0$ ,  $p'(\alpha) \neq 0$ , and  $p''(\alpha) = 0$ , show that  $\alpha$  is a fixed point of multiplicity three for  $N_p$ .

*Solution.*

□

**Problem 6.** a. Show that for  $p_\alpha(z) = z(z-1)(z-\alpha)$ , the Newton function  $N_{p_\alpha}$  has a critical point where  $z = (\alpha+1)/3$ .

b. For what values of  $\alpha$  does  $p_\alpha$  satisfy  $p(\alpha) = 0$ ,  $p'(\alpha) \neq 0$ , and  $p''(\alpha) = 0$ ?

*Solution.*

□

**Problem 7.** Let  $0 < \mu < \lambda < 1$  and let  $h : [0, 1] \rightarrow [0, 1]$  be a homeomorphism with  $h \circ L_\mu(x) = L_\lambda \circ h(x)$  for all  $x \in [0, 1]$ .

- a. Show that  $h$  is orientation-preserving.
- b. Show that  $h(x) + h(1 - x) = 1$  for all  $x \in [0, 1]$ . Deduce that  $h(1/2) = 1/2$ .
- c. Show that  $h(\mu/4) = \lambda/4$  and  $h(x) > x$  for  $0 < x < 1/2$  and  $h(x) < x$  for  $1/2 < x < 1$ .

*Solution.*

□

**Problem 8.** Prove that if  $f_c(z) = z^2 + c$  has an attracting periodic point, then  $c \in \mathcal{M}$ , the Mandelbrot set.

*Solution.*

□