# FORMAL LANGUAGES AND AUTOMATA THEORY

# **UNIT-I: Finite Automata**

# **Objective:**

To familiarize how to employ deterministic and non-deterministic finite automata. To familiarize how to employ non-deterministic finite automata with  $\epsilon$  transitions and finite automata with outputs.

# **Syllabus:**

Strings, alphabet, language, operations, finite state machine, finite automaton model, transition diagrams, acceptance of strings and languages, deterministic finite automaton and non-deterministic finite automaton, NFA to DFA conversion, NFA with epsilon transitions - significance, equivalence between NFA with and without E transitions, minimization of FSM, equivalence between two FSM's, finite automata with output- Moore and Mealy machines, applications of FA.

# **Learning Outcomes:**

Students will be able to:

- Understand the basic definitions like alphabet, string, language and their operations.
- Understand the model of FA.
- Design DFA and NFA for the given regular language.
- Test the designed DFA and NFA for the set of strings that belongs to L and for the set of strings that doesn't belongs to L.
- Convert NFA to DFA and NFA with epsilon transitions to NFA without Epsilon transitions.
- Minimize the given DFA.
- Test whether the two DFA's are equivalent or not.
- Design Moore and Mealy Machines

# 1.Learning Material

#### 1.1 Alphabet:

An alphabet is a finite, nonempty set of symbols. It is denoted by  $\Sigma$ .

#### Example:

 $\Sigma = \{0, 1\}$  is binary alphabet consisting of the symbols 0 and 1.

 $\Sigma = \{a, b, c ... z\}$  is lowercase English alphabet.

#### 1.1.1Powers of an Alphabet

If  $\Sigma$  is an alphabet, we can express the set of all strings of a certain length from that alphabet by using the exponential notation. It is denoted by  $\Sigma^k$  - the set of strings of length k.

# Example:

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\Sigma^0 = \{\epsilon\}, regardless of what alphabet \Sigma is. \epsilon is the only string of length 0. If \Sigma = \{0, 1\} then, \Sigma^1 = \{0, 1\} \Sigma^2 = \{00, 01, 10, 11\}
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 $\Sigma^3 = \{000, 001, 010, 011, 100, 101, 110, 111\}$ 

The set of all strings over an alphabet  $\Sigma$  is denoted by  $\Sigma^*$ .  $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup ...$ 

For example,  $\{0, 1\}^* = \{\epsilon, 0, 1, 00, 01, 10, 11, 000, \dots\}$ 

The symbol \* is called *Kleene star* and is named after the mathematician and logician Stephen Cole Kleene.

The symbol + is called *Positive closure* i.e.  $\Sigma^+ = \Sigma^1 \cup \Sigma^2 \cup ...$ 

$$\Sigma^* = \Sigma^+ \cup \{ \epsilon \}$$

#### 1.2 String:

A string (or word) is a finite sequence of symbols chosen from some alphabet.

The letters u, v, w, x, y and z are used to denote string.

### Example:

If  $\Sigma = \{a, b, c\}$  then abcb is a string formed from that alphabet.

• The *length* of a string w, denoted  $|\mathbf{w}|$ , is the number of symbols composing the string.

## Example:

The string abcb has length 4.

• The *empty string* denoted by  $\varepsilon$ , is the string consisting of zero symbols. Thus  $|\varepsilon| = 0$ .

## **1.2.1Operations on strings:**

• Concatenation of strings

The concatenation of two strings is the string formed by writing the first, followed by the second, with no intervening space. Concatenation of strings is denoted by °.

That is, if w and x are strings, then wx is the concatenation of these two strings.

#### Example:

The concatenation of dog and house is doghouse.

Let x=0100101 and y=1111 then  $x \circ y=01001011111$ 

• String Reversal

Reversing a string means writing the string backwards.

It is denoted by w<sup>R</sup>

Example:

Reverse of the string abcd is dcba.

If  $w = w^R$ , then that string is called palindrome.

• Substring

A substring is a part of a string.

Example:

If abcd is string then possible substrings are  $\varepsilon$ ,a,b,c,d,ab,bc,cd,abc,bcd are proper substrings for the given string

A *prefix* of a string is any number of leading symbols of that string.

A *suffix* of a string is any number of trailing symbols.

Example:

String abc has prefixes  $\varepsilon$ , a, ab, and abc; its suffixes are  $\varepsilon$ , c, bc, and abc.

A prefix or suffix of a string, other than the string itself, is called a *proper prefix or suffix*.

## 1.3 Language:

A (formal) language is a set of strings of symbols from some one alphabet. It is denoted by L. We denote this language by  $\Sigma^*$ .

• The empty set,  $\emptyset$ , and the set consisting of the empty string  $\{\varepsilon\}$  are languages.

#### Example:

If 
$$\Sigma = \{a\}$$
, then  $\Sigma^* = \{\varepsilon, a, aa, aaa, ...\}$ .  
If  $\Sigma = \{0, 1\}$ , then  $\Sigma^* = \{\varepsilon, 0, 1, 00, 01, 10, 11, 000, ...\}$ .

#### 1.4Operations on languages:

#### • Union

If L1 and L2 are two languages over an alphabet  $\Sigma$ . Then the union of L1 and L2 is denoted by L1 U L2.

## Example:

$$L1=\{0,01,011\}$$
 and  $L2=\{001\}$ , then  $L1 U L2=\{0,01,011,001\}$ 

#### • Intersection

If L1 and L2 are two languages over an alphabet  $\Sigma$ . Then the intersection of L1 and L2 is denoted by L1  $\cap$  L2.

#### Example:

$$L1 = \{0, 01, 011\}$$
 and  $L2 = \{01\}$ , then  $L1 \cap L2 = \{01\}$ 

## • Complementation

*L* is a language over an alphabet  $\Sigma$ , then the complement of L denoted by L<sup>-</sup>, is the language consisting of those strings that are not in L over the alphabet.

## Example:

If 
$$\Sigma = \{a,b\}$$
 and  $L = \{a,b,aa\}$  then  $L = \Sigma^* - L = \{\epsilon,a,b,aa,bb,ab,...\}$ 

#### • Concatenation

Concatenation of two languages L1 and L2 is the language L1 o L2, each element of which is a string formed by combining one string of L1 with another string of L2.

#### Example:

## • Reversal

If L is language, then  $L^R$  is obtained by reversing the corresponding string in L. This operation is similar to the reversal of a string.

$$L^R = \{w^R \mid w \in L\}$$

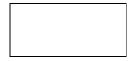
#### Example:

If 
$$L = \{0, 011, 0111\}$$
, then  $L^R = \{0, 110, 1110\}$ 

#### • Kleene Closure

The Kleene closure (or just closure) of L, denoted L\*, is the set

$$L *= \begin{matrix} \infty \\ U & L^i \\ i=0 \end{matrix}$$



and the positive closure of L, denoted L<sup>+</sup>, is the set

$$L^{+} = \begin{array}{c} \infty \\ U \\ i=1 \end{array}$$

That is, L\* denotes words constructed by concatenating any number of words from L.

L+ is the same, but the case of zero words, whose "concatenation" is defined to be  $\varepsilon$ , is excluded. Note that L+ contains  $\varepsilon$  if and only if L does.

## Example:

Let  $L\hat{1} = \{10, 1\}$ Then  $L * = L0 U L1 U L2.... = \{\epsilon, 1, 10, 11, 111, 1111, .... \}$  $L + L1 U L2 U L3... = \{1, 10, 11, 111, 1111... \}$ 

## 1.5 Finite Automaton:

- A finite automaton (FA) consists of a finite set of states and a set of transitions from state to state that occur on input symbols chosen from an alphabet  $\Sigma$ .
- For each input symbol there is exactly one transition out of each state (possibly back to the state itself).
- One state, usually denoted  $q_0$  is the initial state, in which the automaton starts. Some states are designated as final or accepting states.

Formally, a finite automaton is denoted by a 5-tuple ( $\mathbf{Q}, \Sigma, \delta, \mathbf{q}_0, \mathbf{F}$ ), where

- Q is a finite set of states.
- $\sum$  is a finite input alphabet.
- $\delta$  is the transition function mapping Q x  $\Sigma$  to Q i.e.,  $\delta$  (q,a) is a state for each—state—q and input symbol a.
- $qo \in Q$  is the initial state.
- $F \subseteq Q$  is the set of final states. It is assumed here that there may be
- more than one final state.

## Transition Diagram

- A transition diagram is a directed graph associated with an FA in which the vertices of the graph correspond to the states of the FA.
- If there is a transition from state q to state p on input a, then there is an arc labelled a from state q to state p in the transition diagram.

State is denoted by

Transition is denoted by

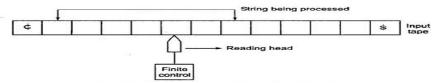
Initial state is denoted by

Final state is denoted by

#### **Transition Table**

A tabular representation in which rows correspond to states, columns correspond to inputs and entries correspond to next states.

## **1.6 Finite Automata Model:**



Block diagram of a finite automaton

The various components are explained as follows:

## (i) *Input tape*:

- The input tape is divided into squares, each square containing a single symbol from the input alphabet ∑.
- The end squares of the tape contain the endmarker  $\phi$  at the left end and the endmarker  $\phi$  at the right end.
- The absence of endmarkers indicates that the tape is of infinite length. The left-to-right sequence of symbols between the two endmarkers is the input string to be processed.

# (ii) Reading head:

- The head examines only one square at a time and can move one square either to the left or to the right.
- For further analysis, we restrict the movement of the R-head only to the right side.
- (iii) *Finite control:* The input to the finite control will usually be the symbol under the R-head, say a, and the present state of the machine, say q, to give the following outputs:
- (a) A motion of R-head along the tape to the next square (in some a null move, i.e. the R-head remaining to the same square is permitted)
- (b) The next state of the finite state machine given by  $\delta(q, a)$ .

# 1.7Acceptance of String by a Finite Automaton:

The FA accepts a string x if the sequence of transitions corresponding to the symbols of x leads from the start state to an accepting state and the entire string has to be consumed, i.e., a string x is accepted by a finite automaton  $M = (Q, \sum, \delta, q_0, F)$ 

if 
$$\delta$$
 (q<sub>0</sub>, x) =q for some q  $\in$  F.

This is basically the acceptability of a string by the final state.

#### Note: A final state is also called an accepting state.

Transition function  $\delta$  and for any two input strings x and y,

function  $\delta$  is given in the form of a transition table. Here  $Q = \{q_0, q_1, q_2, q_3\}, \sum = \{0,1\}, F = \{q_0\}$ . Give the entire sequence of states for the input string 110101.

transition

## **Transition Table**

CAnAn	Input	
State	0	1
 $q_0$	$q_2$	$\mathbf{q}_1$
$\underbrace{q_1}$	q <sub>3</sub>	$\mathbf{q}_0$
$q_2$	q <sub>0</sub>	<b>q</b> <sub>3</sub>
q <sub>3</sub>	q <sub>1</sub>	$q_2$

$$\delta (q_0, 110101) = \delta(q_1, 10101)$$

$$= \delta(q_0, 0101)$$

$$= \delta(q_2, 101)$$

$$= \delta(q_3, 01)$$

$$= \delta(q_2, 1)$$

$$= q_0$$

q<sub>0</sub> is final state, therefore given string is accepted by finite automata.

## 1.8 Deterministic finite automaton:

Formally, a deterministic finite automaton can be represented by a 5-tuple

$$M=(Q, \Sigma, \delta, q_0, F).$$

where

- Q is a finite set of states.
- $\sum$  is a finite input alphabet.
- $\delta$  is the transition function mapping Q x  $\Sigma$  to Q i.e.,  $\delta$  (q,a) is a state for each state q and input symbol a.
- $q_o \in Q$  is the initial state.
- $F \subseteq Q$  is the set of final states. It is assumed here that there may be more than one final state.

#### Steps to design a DFA

- 1. Understand the language for which the DFA has to be designed and write the language for the set of strings starting with minimum string that are accepted by FA.
- 2. Draw transition diagram for the minimum length string.
- 3. Obtain the possible transitions to be made for each state on each input symbol.
- 4. Draw the transition table.

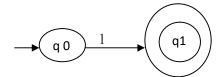
- 5. Test DFA with few strings that are accepted and few strings that are rejected by the given language.
- 6. Represent DFA with tuples.

## **Examples**

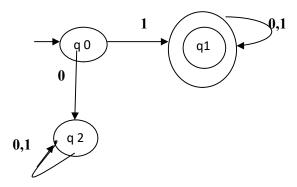
## 1. Design DFA that accepts all strings which starts with '1' over the alphabet {0,1}

**Step 1:** Understand the language for which the DFA has to be designed and write the language for the set of strings starting with minimum string that is accepted by FA.  $L = \{1, 10, 11, 100, 110, 101, 111, \dots\}$ 

**Step 2:** Draw transition diagram for the minimum length string.



**Step 3:** Obtain the possible transitions to be made for each state on each input symbol.



Step 4: Draw the transition table.

	State	Inj	out
		0	1
<b></b>	q 0	$q_2$	$q_1$
	q1	$q_1$	$q_1$
	$q_2$	$q_2$	q <sub>2</sub>

**Step 5:** Test DFA with few strings that are accepted and few strings that are rejected by the given language.

*Case i)* Let  $w=1001 \in L$ 

$$\delta(q_0, 1001) = \delta(q_1, 010)$$

$$=\delta(q_1,10)=\delta(q_1,0)=q_1$$

 $q_1$  is final state and the entire string has been consumed i.e., given string is accepted by DFA.

Case ii) Let w=0001 
$$\notin$$
 L  
 $\delta(q0,0001) = \delta(q2,001)$   
=  $\delta(q2,10)$   
=  $\delta(q2,0)$   
=  $q_2$ 

q<sub>2</sub> is not final state and the entire string has been consumed i.e., given string is rejected by DFA.

**Step 6:** Represent DFA with tuples.

DFA, M= (Q, 
$$\sum$$
,  $\delta$ , qo, F)  
where Q = {q<sub>0</sub>, q<sub>1</sub>, q<sub>2</sub>}  
 $\sum$  = { 0,1 }  
 $\delta$ :  $\delta$ (q<sub>0</sub>,0)=q<sub>2</sub>  
 $\delta$ (q<sub>0</sub>,1)=q<sub>1</sub>  
 $\delta$ (q<sub>1</sub>,0)=q<sub>1</sub>  
 $\delta$ (q<sub>1</sub>,1)=q<sub>1</sub>  
 $\delta$ (q<sub>2</sub>,0)=q<sub>2</sub>  
 $\delta$ (q<sub>2</sub>,1)=q<sub>2</sub>  
q<sub>0</sub> - initial state

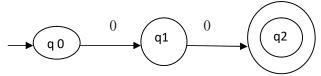
 $F - final state = \{ q_1 \}$ 

## 2. Design DFA that accepts all strings which contains '00' as substring over the alphabet {0,1}

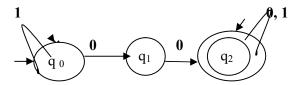
**Step 1:** Understand the language for which the DFA has to be designed and write the language for the set of strings starting with minimum string that is accepted by FA.

 $L = \{00,100,000,001,1100,1000,0100,1001,0001,11000,11100,\dots\}$ 

Step 2: Draw transition diagram for the minimum length string.



**Step 3**: Obtain the possible transitions to be made for each state on each input symbol.



**Step 4:** Draw the transition table.

	State	Inj	out
		0	1
<b></b>	$\mathbf{q}_0$	$q_1$	$q_0$
	q1	q <sub>2</sub>	$\mathbf{q}_0$
	$q_2$	$q_2$	$q_2$

**Step 5:** Test DFA with few strings that are accepted and few strings that are rejected by the given language.

Case i) Let 
$$w = 1001 \in L$$
  
 $\delta(q_0, 1001) = \delta(q_0, 001)$   
 $= \delta(q_1, 01)$   
 $= \delta(q_2, 1)$ 

 $= q_2$ 

It is final state and the entire string has been consumed i.e., given string is accepted by DFA.

$$\begin{split} \delta(q_0, 1011) &= \delta(q_0, 011) \\ &= \delta(q_1, 11) \\ &= \delta(q_0, 1) \\ &= q_0 \\ / \end{split}$$

It is not final state and the entire string has been consumed i.e., given string is rejected by DFA.

**Step 6:** Represent DFA with tuples.

DFA, 
$$M=(Q, \sum, \delta, qo, F)$$

where 
$$Q = \{q_0, q_1, q_2\}$$

$$\sum = \{ 0,1 \}$$

**δ:** 
$$\delta(q_0,0)=q_1$$

$$\delta(q_0,1)=q_0$$

$$\delta(q_1,0)=q_2$$

$$\delta(q_1,1)=q_0$$

$$\delta(q_2,0)=q_2$$

$$\delta(q_2,1)=q_2$$

$$q_0 - \text{initial state}$$

$$F - \text{final state} = \{ q_2 \}$$

## 1.9 Nondeterministic finite automaton (NDFA/NFA):

A nondeterministic finite automaton is a 5-tuple (Q,  $\Sigma$ ,  $\delta$ , qo, F), where

- Q is a finite nonempty set of states;
- $\sum$  is a finite nonempty set of inputs;
- δ is the transition function mapping from Q x ∑ into 2<sup>Q</sup> which is the power set of Q, the set of all subsets of Q;
- $qo \in Q$  is the initial state; and
- $F \subseteq Q$  is the set of final states

## Steps to design a NFA

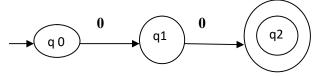
- 1. Understand the language for which the NFA has to be designed and write the language for the set of strings starting with minimum string that is accepted by FA.
- 2. Draw transition diagram for the minimum length string.
- 3. Obtain the possible transitions to be made for each state on each input symbol.
- 4. Draw the transition table.
- 5. Test NFA with few strings that are accepted and few strings that are rejected by the given language.
- 6. Represent NFA with tuples.

#### **Examples:**

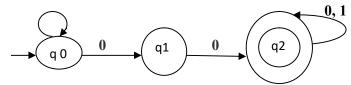
# 1. Design NFA that accepts all strings which contains '00' as substring over the alphabet {0,1}

**Step 1:** Understand the language for which the NFA has to be designed and write the language for the set of strings starting with minimum string that is accepted by FA L={00,100,000,001,0100,1100,1001,0001,1100,11100,....}

**Step 2:** Draw transition diagram for the minimum length string.



**Step 3:** Obtain the possible transitions to be made for each state on each input symbol. **0**, **1** 



**Step 4:** Draw the transition table.

State	Input
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		0	1
<b></b>	$q_0$	$\{q_0,q_1\}$	$q_0$
	q1	q <sub>2</sub>	-
	$q_2$	$q_2$	$q_2$

**Step 5:** Test NFA with few strings that are accepted and few strings that are rejected by the given language.

Case i) Let w=0100 
$$\in$$
 L  
 $\delta(q_0,0100) = \delta(\{q_0,q_1\},100)$   
 $= \delta(q_0,00)$   
 $= \delta(\{q_0,q_1\},0)$   
 $= \{q_0,q_1,q_2\}$ 

q<sub>2</sub> is final state and the entire string has been consumed i.e., given string is accepted by NFA.

Case ii) Let w=1011 
$$\notin$$
 L  
 $\delta(q_0,1011) = \delta(q_0,011)$   
 $= \delta(\{q_0,q_1\},11)$   
 $= \delta(q_0,1)$   
 $= q_0$ 

It is not final state and the entire string has been consumed i.e., given string is rejected by NFA.

**Step 6:** Represent NFA with tuples.

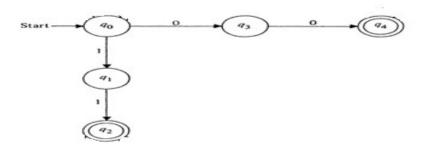
NFA, 
$$M = (Q, \sum, \delta, q_0, F)$$
  
where  $Q = \{q_0, q_1, q_2\}$   
 $\sum = \{0,1\}$   
 $\delta: \delta(q_0,0) = \{q_0,q_1\}$   
 $\delta(q_0,1) = q_0$   
 $\delta(q_1,0) = q_2$   
 $\delta(q_1,1) = \emptyset$   
 $\delta(q_2,0) = q_2$   
 $\delta(q_2,1) = q_2$   
 $q_0$  — initial state  
 $F$  — final state =  $\{q_2\}$ 

2. Design NFA that accepts strings which contains either two consecutive 0's or two consecutive 1's.

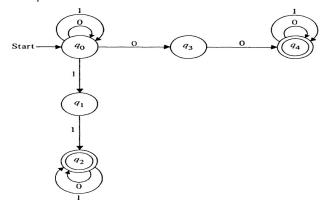
**Step 1:** Understand the language for which the NFA has to be designed and write the language for the set of strings starting with minimum string that is accepted by FA.

 $L = \{00,11,100,001,110,011,111,000,0100,1011,....\}$ 

Step 2: Draw transition diagram for the minimum length string.



**Step 3:** Obtain the possible transitions to be made for each state on each input symbol.



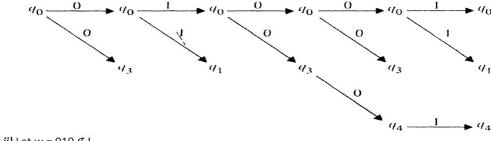
**Step 4:** Draw the transition table.

State	Input		
State	0	1	
— <b>→</b> q <sub>0</sub>	$\{q_0,q_3\}$	$\{q_0,q_1\}$	
q <sub>1</sub>	-	q <sub>2</sub>	
$q_2$	q <sub>2</sub>	q <sub>2</sub>	
q <sub>3</sub>	q4	-	
$q_4$	Q4	q <sub>4</sub>	

**Step 5:** Test NFA with few strings that are accepted and few strings that are rejected by the given language.

Case i) Let the input,  $w = 01001 \in L$ 

After the entire string is consumed, the FA is in state q<sub>4</sub>.As q<sub>4</sub> is the final state, the string is a accepted by FA



Case ii) Let w = 010 
$$\notin$$
 L  
 $\delta(q_0,010) = \delta(\{q_0,q_3\},10)$   
=  $\delta(\{q_0,q_1\},0)$   
=  $\{q_0,q_3\}$ 

There is no path to the final state after the entire string is consumed. So the string is rejected by FA.

Step 6: Represent NFA with tuples.

NFA, M= (Q, 
$$\Sigma$$
,  $\delta$ , qo, F)  
where Q = {q<sub>0</sub>, q<sub>1</sub>, q<sub>2</sub>, q<sub>3</sub>,q<sub>4</sub>}  

$$\Sigma = \{ 0,1 \}$$

$$\delta: \delta(q_0,0) = \{q_0,q_3\}$$

$$\delta(q_0,1) = \{q_0,q_1\}$$

$$\delta(q_1,0) = \emptyset$$

$$\delta(q_1,1) = q_2$$

$$\delta(q_2,0) = q_2$$

$$\delta(q_2,1) = q_2$$

$$\delta(q_3,0) = q_4$$

$$\delta(q_3,1) = \emptyset$$

$$\delta(q_4,0) = q_4$$

$$\delta(q_4,1) = q_4$$

 $q_0$  – initial state

$$F$$
 – final state = {  $q_2,q_4$  }

## 1.10 Language recognizers:

A language recognizer is a device that accepts valid strings produced in a given language. Finite state automata are formalized types of language recognizers.

The language accepted by Finite Automata M designated L(M) is the set  $\{x \mid \delta(q0,x) \text{ is in } F\}$ .

# **1.11 Applications of FA:**

- Used in Lexical analysis phase of a compiler to recognize tokens.
- Used in text editors for string matching.
- Software for designing and checking the behavior of digital circuits.

# 1.12 Limitations of FA:

- FA's will have finite amount of memory.
- The class of languages recognized by FA s is strictly the regular set. There are certain languages which are non regular i.e. cannot be recognized by any FA.

# 1.13 Differences between NFA and DFA:

S.No	NFA	DFA
	A nondeterministic finite automaton	A deterministic finite automaton can be
	is a 5-tuple	represented by a 5-tuple
1	$M=(Q, \Sigma, \delta, q_0, F)$ , where	$M=(Q, \Sigma, \delta, q_0, F)$ , where
	$\delta$ : Q x $\Sigma$ into 2 <sup>Q</sup> .	$\delta$ : Q x $\Sigma$ to Q.
	NFA is the one in which there	DFA is a FA in which there is only
2	exists many paths for a specific	one path for a specific input from
2	input from current state to next	current state to next state.
	state.	
3	NFA is easier to construct.	DFA is more difficult to construct.
4	NFA requires less space.	DFA requires more space.
5	Time required for executing an	Time required for executing an input
5	input string is more.	string is less.

#### **1.14** NFA with ε transitions:

An  $\epsilon$ -NFA is a tuple (Q,  $\Sigma$ ,  $\delta$ , qo, F)

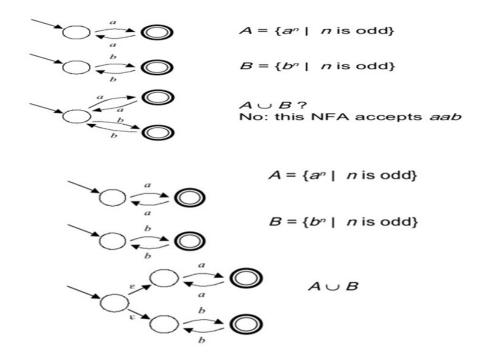
where

- Q is a set of states,
- $\Sigma$  is the alphabet,
- $\delta$  is the transition function that maps each pair consisting of a state and a symbol in  $\Sigma \cup \{\epsilon\}$  to a subset of Q,
- $q_0$  is the initial state,
- $F \subset Q$  is the set of final (or accepting) states.

#### 1.15 Significance of ε-NFA:

It becomes very difficult or many times it seems to be impossible to draw directly NFA or DFA.

# Example:



# 1.16 String acceptance by ε -NFA

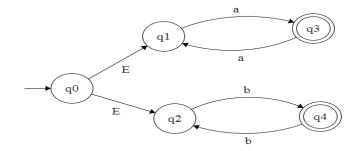


Fig:1

# **Transition Table:**

	<b>Q/</b> ∑	а	b	3
<b></b>	<b>q</b> 0	-	-	{ <b>q</b> <sub>1</sub> , <b>q</b> <sub>2</sub> }
	$\mathbf{q}_1$	<b>q</b> <sub>3</sub>	-	-
	$\mathbf{q_2}$	-	<b>Q</b> 4	-
	<b>q</b> 3	$\mathbf{q}_1$	-	-
	<b>Q</b> <sub>4</sub>	-	$\mathbf{q}_2$	-

#### Example:

Check whether the string 'bbb' is accepted or not for the above automaton.

$$q_0 \xrightarrow{\epsilon} q_2 \xrightarrow{b} q_4 \xrightarrow{b} q_2 \xrightarrow{b} q_4$$

As q4 is the final state, the given string is accepted by the given  $\varepsilon$  –NFA.

#### 1.17 ε –NFA to NFA Conversion:

**Step 1**: Find the  $\varepsilon$ -closure for all states in the given  $\varepsilon$ -NFA.

$$\hat{\delta}(q, \epsilon) = \epsilon$$
-CLOSURE $(q)$ 

 $\epsilon$ -closure (q) denotes the set of all states p such that there is a path from q to p labelled  $\epsilon$ .

**Step 2**: Find the extended transition function for all states on all input symbols for the given  $\varepsilon$ -NFA.

$$δ'$$
 (q,a)= ε-closure( $δ$  ( $δ'$ (q, ε),a))

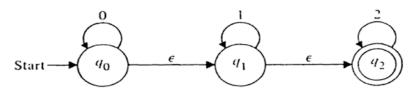
Step 3: Draw the transition table or diagram from the extended transition function (NFA)

**Step 4**: F is the set of final states of NFA, whose  $\epsilon$  -closure contains the final state of  $\epsilon$  - NFA.

**Step 5:** To check the equivalence of  $\epsilon$  -NFA and NFA, the string accepted by  $\epsilon$  -NFA should be accepted by NFA.

#### Example:

1. Convert NFA with  $\epsilon$ -moves into an equivalent NFA without  $\epsilon$ -moves.



Finite automaton with c-moves.

**Step 1**: Find the  $\varepsilon$ -closure for all states in the given  $\varepsilon$ -NFA.

- $\epsilon$  -CLOSURE (q\_0) = {q\_0, q\_1, q\_2}
- $\epsilon$  -CLOSURE (q<sub>1</sub>) = {q1, q<sub>2</sub>}
- $\varepsilon$  -CLOSURE (q<sub>2</sub>) = {q<sub>2</sub>}

**Step 2:** Find the extended transition function for all states on all input symbols for the given  $\varepsilon$ -NFA.

```
δ'(q_0,0) = ε-closure(δ(δ'(q_0, ε),0))
                      = \varepsilon-closure(\delta \{q_0, q_1, q_2\}, 0)
                      = \epsilon-closure(\delta(q_0, 0) \cup \delta(q_1, 0) \cup \delta(q_2, 0))
                       = \varepsilon-closure(q<sub>0</sub> U Ø U Ø)
                      = \{q_0, q_1, q_2\}
     δ'(q_0, 1) = ε-closure(δ(δ'(q_0, ε), 1))
                     = \varepsilon-closure(\delta \{q_0,q_1,q_2\},1)
                     = \varepsilon-closure(\delta (q<sub>0</sub>,1) U \delta(q<sub>1</sub>,1) U \delta(q<sub>2</sub>,1))
                      = \epsilon-closure(Ø U q<sub>1</sub> U Ø)
                     =\{q_1,q_2\}
  δ'(q_0,2) = ε-closure(δ(δ'(q_0, ε),2))
                   = \varepsilon-closure(\delta \{ q_0, q_1, q_2 \}, 2)
                  = \varepsilon-closure(\delta (q<sub>0</sub>,2) U \delta (q<sub>1</sub>,2) U\delta (q<sub>2</sub>,2))
                   = \epsilon-closure(q<sub>2</sub> U Ø)
                  =\{q_2\}
 δ'(q_1,0) = ε-closure(δ(δ'(q_1, ε),0))
                 = \varepsilon-closure(\delta \{q_1, q_2\}, 0)
                 = \varepsilon-closure(\delta (q<sub>1</sub>,0) U\delta (q<sub>2</sub>,0))
                  = \varepsilon-closure(\emptyset)
                 =\{\emptyset\}
 δ'(q_1,1) = ε-closure(δ(δ'(q_1, ε),1))
                 = \varepsilon-closure(\delta \{q_1, q_2\}, 1)
                 = \varepsilon-closure(\delta (q<sub>1</sub>,1) U\delta (q<sub>2</sub>,1))
                  = \varepsilon-closure(q<sub>1</sub>)
                 =\{q_1, q_2\}
\delta (q<sub>1</sub>,2) = \epsilon-closure(\delta (\delta'(q1, \epsilon),2))
              = \varepsilon-closure(\delta {q1, q2},2)
              = \varepsilon-closure(\delta (q1,2) U\delta (q2,2))
              = \varepsilon-closure(q2)
              ={q2}
 \delta (q<sub>2</sub>,0) = ε-closure(\delta (\delta'(q<sub>2</sub>, ε),0))
               = \varepsilon-closure(\delta (q<sub>2</sub>,2))
               = \varepsilon-closure(\emptyset)
               =\{\emptyset\}
\delta (q<sub>2</sub>,1) = ε-closure(\delta (\delta'(q<sub>2</sub>, ε),1))
                 = \varepsilon-closure(\delta (q<sub>2</sub>,1))
               = \varepsilon-closure(\emptyset)
               ={\emptyset}
\delta (q<sub>2</sub>,2) = \epsilon-closure(\delta (\delta'(q<sub>2</sub>, \epsilon),2))
               = \varepsilon-closure(\delta (q<sub>2</sub>,2))
               = \varepsilon-closure(q<sub>2</sub>)
               =\{ q_2 \}
```

Step 3: Draw the transition table or diagram from the extended transition function (NFA)

	State	Inputs		
	Deaco	0	1	2
<b></b>	$q_0$	$\{q_0, q_1, q_2\}$	$\{q_1, q_2\}$	$q_2$
	$\mathbf{q}_1$	Ø	$\{q_1, q_2\}$	$\mathrm{q}_2$
	*q <sub>2</sub>	Ø	Ø	$q_2$

**Step 4**: F is the set of final states of NFA, whose  $\epsilon$  -closure contains the final state of  $\epsilon$  - NFA.

	State		Inputs		
		0	1	2	
<b></b>	q <sub>0</sub>	$\{q_0, q_1, q_2\}$	$\{q_1, q_2\}$	$\mathbf{q}_2$	
	q1	Ø	$\{q_1, q_2\}$	$\mathbf{q}_2$	
	(q2)	Ø	Ø	$\mathrm{q}_2$	

**Step 5:** To check the equivalence of  $\epsilon$  -NFA and NFA, the string accepted by  $\epsilon$  -NFA should be accepted by NFA.

## String acceptance by $\varepsilon$ -NFA:

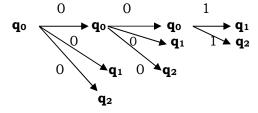
Let w=001

$$\mathbf{q_0} \xrightarrow{0} \mathbf{q_0} \xrightarrow{0} \mathbf{q_0} \xrightarrow{\varepsilon} \mathbf{q_1} \xrightarrow{\varepsilon} \mathbf{q_1} \xrightarrow{\varepsilon} \mathbf{q_2}$$

As q2 is the final state, the string is accepted by the given  $\varepsilon$ -NFA.

# String acceptance by NFA:

If w=001



As q1 and q2 are final states, the string is accepted by the NFA.

#### 1.18 NFA to DFA Conversion:

**Step 1:** First take the starting state of NFA as the starting state of DFA.

**Step 2:** Apply the inputs on initial state and represent the corresponding states in the transition table.

**Step 3:** For each newly generated state, apply the inputs and represent the corresponding states in the transition table.

**Step 4:** Repeat step 3 until no more new states are generated.

**Step 5:** The states which contain any of the final states of the NFA are the final states of the equivalent DFA.

**Step 6:** Represent the transition diagram from the constructed table.

**Step7:** To check the equivalence of NFA and DFA, the string accepted by NFA should be accepted by DFA.

Step 8: Write the tuple representation for the obtained DFA.

**Note:** If the NFA has n states, the resulting DFA may have up to  $2^n$  states, an exponentially larger number, which sometimes makes the construction impractical for large NFAs.

#### Example:

**1.** Construct DFA equivalent to the NFA M=( $\{q_0,q_1\},\{0,1\}, \delta,q_0,\{q_1\}$ ) where  $\delta(q_0,0) = \{q_0,q_1\}$   $\delta(q_0,1) = \{q_1\}$   $\delta(q_1,0) = \emptyset$   $\delta(q_1,1) = \{q_0,q_1\}$ 

**Step 1:** First take the starting state of NFA as the starting state of DFA

	Q/∑	0	1
<b>→</b>	$[q_0]$		

**Step 2:** Apply the inputs on initial state and represent the corresponding states in the transition table.

	Q/∑	0	1
<b>-</b>	$[q_0]$	$[q_0,q_1]$	$[q_1]$

**Step 3:** For each newly generated state, apply the inputs and represent the corresponding states in the transition table.

	Q/∑	0	1
<b>→</b>	$[\mathbf{q}_0]$	$[\mathbf{q}_0,\mathbf{q}_1]$	$[q_1]$
	$[\mathbf{q}_0,\mathbf{q}_1]$	$[\mathbf{q}_0,\!\mathbf{q}_1]$	$[\mathbf{q}_0,\mathbf{q}_1]$
	$[q_1]$	Ø	$[q_0,q_1]$

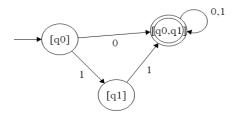
**Step 4:** Stop the procedure as there are no more new states being generated.

**Step 5:** The states which contain any of the final states of the NFA are the final states of the equivalent DFA.

 $q_1$  is the final state in NFA.  $q_1$  is included in the state  $[q_0,q_1]$  and  $[q_1]$ . So  $[q_0,q_1]$  and  $[q_1]$  are the final states of the DFA.

	Q/∑	0	1
<b>-</b>	$[q_0]$	$[\mathrm{q}_0,\!\mathrm{q}_1]$	$[q_1]$
	[q <sub>0</sub> ,q <sub>1</sub> ]	$[\mathrm{q}_0,\!\mathrm{q}_1]$	$[\mathrm{q}_0,\mathrm{q}_1]$
	[q <sub>1</sub> ]	Ø	$[\mathrm{q}_0,\mathrm{q}_1]$

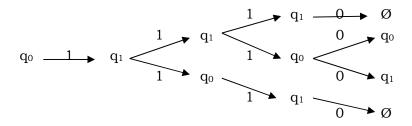
**Step 6:** Represent the transition diagram from the constructed table.



**Step 7:** To check the equivalence of NFA and DFA, the string accepted by NFA should be accepted by DFA.

Let **w=1110** be the string accepted by NFA.

#### Acceptability by NFA:



## Acceptability by DFA:

$$\delta([q_0], 1110) = \delta([q_1], 110) \qquad [q0] \frac{1}{[q1]} \frac{1}{[q0,q1]} \frac{1}{[q0,q1]} \frac{0}{[q0,q1]}$$

$$= \delta([q_0,q_1], 10)$$

$$= \delta([q_0,q_1], 0)$$

$$= [q_0,q_1] \in F$$

Step 8: Write the tuple representation from the obtained DFA.

DFA M' = 
$$(Q, \sum, \delta, q_0, F)$$
  
where Q = { $[q_0], [q_0, q_1], [q_1]$ }

$$\Sigma = \{0, 1\}$$

 $\delta$  - transition function

 $[q_0]$  - initial state  $F = \{[q_0], [q_0,q_1]\}$ 

#### 1.19 Minimization of Finite Automata:

Two states ql and q2 are equivalent (denoted by q1 = q2) if both  $\delta(q1, x)$  and  $\delta(q2, x)$  are final states. or both of them are nonfinal states for all  $x \in \Sigma^*$ .

Two states q1 and q2 are k-equivalent  $(k \ge 0)$  if both  $\delta(q1, x)$  and  $\delta(q2, x)$  are final states or both nonfinal states for all strings x of length k or less. In particular, any two final states are 0-equivalent and any two nonfinal states are also 0-equivalent.

#### Construction of Minimum Automaton:

**Step 1:** (Construction of  $\mathbf{\pi_0}$ ) By definition of 0-equivalence,  $\mathbf{\pi_0} = \{Q_1^0, Q_2^0\}$  where  $Q_1^0$  is the set of all final states and  $Q_2^0 = Q_1^0$ .

**Step 2:** (Construction of  $\pi_{k+1}$  from  $\pi_k$ ).

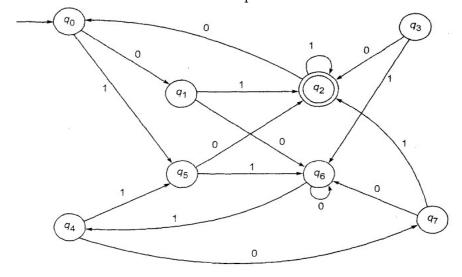
- Let  $Q_{i^k}$  be any subset in  $\pi_k$ . If  $q_1$  and  $q_2$  are in  $Q_{i^k}$ , they are (k + 1)-equivalent provided  $\delta$   $(q_1,a)$  and  $\delta(q_2,a)$  are k-equivalent.
- Find out whether  $\delta$  (q1, a) and  $\delta$  (q2, a) are in the same equivalence class in  $\pi_k$  for every a  $\epsilon \Sigma$ . If so q1 and q2 are (k + 1)-equivalent.
- In this way,  $Q_{i^k}$  is further divided into (k + 1)-equivalence classes. Repeat this for every  $Q_{i^k}$  in  $\pi_k$  to get all the elements of  $\pi_{k+1}$ .

**Step 3:** Construct  $\pi_n$  for n = 1, 2, .... until  $\pi_n = \pi_{n+1}$ .

**Step 4:** (Construction of minimum automaton). For the required minimum state automaton, the states are the equivalence classes obtained in step 3. i.e. the elements of  $\pi_n$  The state table is obtained by replacing a state q by the corresponding equivalence class [q].

#### Example:

Construct a minimum state automaton equivalent to the finite automaton.



#### Solution:

It will be easier if we construct the transition table.

State/Σ	0	1
$\rightarrow q_0$	91	<b>q</b> <sub>5</sub>
$q_1$	$q_6$	$q_2$
$(q_2)$	90	$q_2$
93	92	<b>q</b> 6
$q_4$	<b>q</b> 7	<b>9</b> 5
$q_5$	92	$q_6$
<b>9</b> 6	96	94
<b>9</b> 7	96	$q_2$

**Step 1:** Construction of  $\pi_0$ 

$$\pmb{\pi_0} = \{Q_1{}^0, \; Q_2{}^0 \; \}$$

where 
$$Q_1^0 = F = \{q2\}$$
  $Q_2^0 = Q_1^0$ 

$$Q_2^0 = Q_1^0$$

$$\mathbf{\pi_0} = \{ \{q2\}, \{q0,q1,q3,q4,q5,q6,q7\} \}$$

**Step 2:** The  $\{q2\}$  in  $\pi_0$  cannot be further partitioned. So,  $Q_1^1 = \{q2\}$ . Compare  $q_0$  with  $q_1$ ,  $q_3$ ,  $q_4$ ,  $q_5$ ,  $q_6$  and  $q_7$ .

Consider qo and q $1 \in Q_2^{0.}$ 

- The entries under the 0- column corresponding to go and g1 are g1 and g6; they lie in  $Q_2^0$ .
- The entries under the 1-column are  $q_5$  and  $q_2$ .  $q_2 \in Q_1^0$  and  $q_3 \in Q_2^0$ . Therefore  $q_3 \in Q_2^0$ . and q1 are not 1- equivalent.

Q/∑	0	1
<b>q</b> o	<b>q</b> 1	<b>q</b> 5
$\mathbf{q}_1$	<b>q</b> <sub>6</sub>	$\mathbf{q}_2$

# Consider q0 and q3

Q/∑	0	1
<b>q</b> o	<b>q</b> 1	<b>q</b> 5
<b>q</b> 3	$\mathbf{q}_2$	<b>q</b> 6

The entries under the 0- column corresponding to qo and q3 are q1 and q2; q1  $\epsilon$  Q20 and  $q2 \in Q_1^0$ . The entries under the 1-column are q5 and q6; they lie in  $Q_2^0$ . Therefore qo and q3 are not 1- equivalent

Similarly, go is not 1-equivalent to q5 and q7.

Consider q0 and q4

Q/∑	0	1
qO	q1	q5
q4	q7	q5

- The entries under the 0- column corresponding to qo and q4 are q1 and q7; they lie in  $Q_2^0$ .
- The entries under the 1-column are q5 and q5; they lie in  $Q_2^0$ . Therefore qo and q1 are 1- equivalent.

Similarly, qo is 1-equivalent to q6.

{qo. q4, q6} is a subset in  $\pi_1$ . So,  $Q_2^1 = \{q0,q4,q6\}$ 

- Repeat the construction by considering q1 and anyone of the state's q3, q5, q7. Now, q1 is not 1-equivalent to q3 or q5 but 1-equivalent to q7. Hence,  $Q_3^1 = \{q1,q7\}$ .
- The elements left over in  $Q_2^0$  are q3 and q5. By considering the entries under the 0-column and the 1-column, we see that q3 and q5 are 1-equivalent. So  $Q_4^1 = \{q3, q5\}$ .

Therefore,  $\pi_1 = \{\{q2\}, \{q0, q4, q6\}, \{q1, q7\}, \{q3, q5\}\}\}$ 

**Step 3:** Construct  $\pi_n$  for n = 1, 2, .... until  $\pi_n = \pi_{n+1}$ . Calculate 2-equivalent,  $\pi_2$ .

$$\pi_2 = \{\{q2\}, \{q0,q4\}, \{q6\}, \{q1,q7\}, \{q3,q5\}\}\$$

Similarly calculate 3-equivalent,  $\pi_3$ .

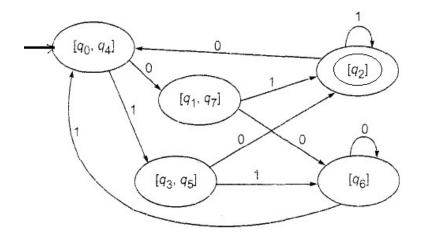
$$\pi_3 = \{\{q2\}, \{q0,q4\}, \{q6\}, \{q1,q7\}, \{q3,q5\}\}\}$$

As  $\pi_2 = \pi_3$ ,  $\pi_2$  gives us the equivalence classes.

Step 4: Construction of minimum automaton.

$$\begin{split} M' &= \left(Q', \{0,1\}, \delta', q_0', F'\right) \\ where \ Q' &= \{[q_2], \ [q_0, \ q_4], \ [q_6], \ [q_1, \ q_7], \ [q_3, \ q_5]\} \\ q_0' &= [q0, \ q4] \\ F' &= [q2] \\ \delta' \ is \ given \ by \end{split}$$

State/Σ	0	1
[q <sub>0</sub> , q <sub>4</sub> ]	[q <sub>1</sub> , q <sub>7</sub> ]	[93. 95]
$[q_1, q_7]$	$[q_6]$	$[q_2]$
$[q_2]$	$[q_0, q_4]$	$[q_2]$
[q <sub>3</sub> , q <sub>5</sub> ]	$[q_2]$	[96]
$[q_6]$	$[q_6]$	[q0, q4]



# 1.20 Equivalence between two FSM's:

Let M and M' be two FSM's over  $\Sigma$ . We construct a comparison table consisting of n+1 columns where n is the number of input symbols.

**Step 1:** 1st column consisting of a pair of states of form (q, q') where q belongs to M and q' belongs M'.

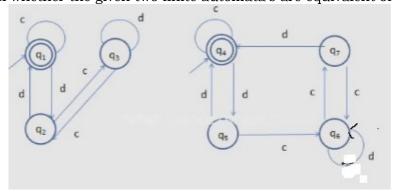
**Step 2:** If (q, q') appears in the same row of  $1^{st}$  column then the corresponding entry in a column (a belongs to  $\Sigma$ ) is (r,r') where (r,r') are pair from q and q' on a.

**Step 3:** A table is constructed by starting with a pair of initial states  $q_0$ ,  $q_0$  of M and M'. We complete construction by considering the pairs in  $2^{nd}$  and subsequent columns which are not in the  $1^{st}$  column.

- (i) if we reach a pair (q,q') such that q is final states of M and q' is non-final state of M' i.e. terminate contruction and conclude that M and M' are not equivalent.
- (ii) if construction is terminated when no new element appears in  $2^{\rm nd}$  and subsequent columns which are not in  $1^{\rm st}$  column. Conclude that M and M' are equivalent.

## Example:

Check whether the given two finite automata's are equivalent or not.



#### Solution:

 $q_1$  is initial state of M1 and  $q_4$  is initial state of M2 ,make them a pair and place it in  $1^{\rm st}$  row of the transition table.

## Comparison table

Q/∑	С	đ
(q <sub>1</sub> ,q <sub>4</sub> )	(q <sub>1</sub> ,q <sub>4</sub> )	(q <sub>2</sub> ,q <sub>5</sub> )
(q <sub>2</sub> ,q <sub>5</sub> )	(q <sub>3</sub> ,q <sub>4</sub> )	

Here q3 is non-final state and q4 is final state.

Therefore, we stop constructing comparison table and conclude that the two given Finite Automata's are not equivalent.

## 1.21 Moore Machine

# A Moore machine is a six tuple (Q, $\sum$ , $\Delta$ , $\delta$ , $q_0$ , $\lambda$ )

where

- Q is a set of states,
- $\Sigma$  is the alphabet,
- $\delta$  is the transition function that maps each pair consisting of a state and a symbol in  $\Sigma$  to Q i.e.  $Q \times \Sigma \to Q$
- q0 is the initial state,
- $\Delta$  is output alphabet
- λ is a mapping from Q to Δ giving the output associated with each state

**Note:** For a Moore machine if the input string is of length n, the output string is of length n + 1. The first output is  $\lambda$  (qo) for all output strings.

#### 1.22 Mealy Machine

## A Mealy machine is a six tuple (Q, $\sum$ , $\Delta$ , $\delta$ , $q_0$ , $\lambda$ )

where

- Q is a set of states,
- $\Sigma$  is the alphabet,
- $\delta$  is the transition function that maps each pair consisting of a state and a symbol in  $\Sigma$  to Q i.e. .Q X  $\Sigma$  -> Q
- $\Delta$  is output alphabet
- q0 is the initial state,
- $\lambda$  maps Q x  $\Sigma$  to  $\Delta$  i.e.,  $\lambda$ (q,a) gives the output associated with the transition from state q on input a

**Note:** In the case of a Mealy machine if the input string is of length n, the output string is also of the same length n.

## Example:

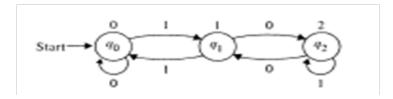
• The given transition diagram is moore machine because each state is associated with output.

• In the below diagram  $q_0$  is representing 0 output,  $q_1$  is is representing 1 output and  $q_2$  is representing 2 output.

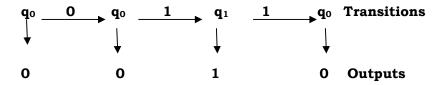
 $\lambda (q_0) = 0$ 

$$\lambda (q_1)=1$$

 $\lambda (q_2)=2$ 



**w=011** the output is **0010** 



# Example:

- The given transition diagram is mealy machine because output depends on present state and present input.
- In the below diagram

 $\lambda (q_{0,0}) = 0$ 

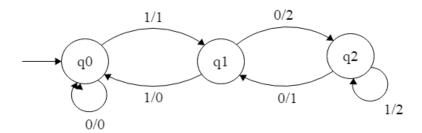
$$\lambda (q_{1,0}) = 2$$

$$\lambda (q_{2,0}) = 0$$

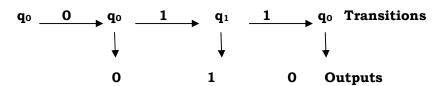
$$\lambda (q_{0}, 1) = 1$$

$$\lambda (q_{1}, 1) = 0$$

$$\lambda (q_{2}, 1) = 2$$

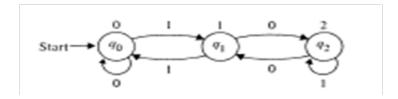


**w=011** the output is **010** 



## Example:

1. Design Moore machine to determine the residue mod 3 for each binary string treated as a binary integer.



**Moore Table** 

	Present	Next	State	Output
	State	0	1	
<b></b>	<b>q</b> o	$\mathbf{q}_0$	<b>q</b> 1	0
	$\mathbf{q}_1$	$\mathbf{q}_2$	$\mathbf{q}_{0}$	1
	$\mathbf{q_2}$	$\mathbf{q}_1$	$\mathbf{q}_2$	2

**Tuple Representation:** 

$$\mathbf{Q} = \{q_0, q_1, q_2\}$$

$$\Delta = \{0, 1, 2\}$$
  $\sum = \{0, 1\}$ 

 $q_0 = \{q_0\}$ 

**δ:** 
$$\delta(q_{0},0) = q_{0}$$

$$\delta(\mathbf{q}_{0,}1)=\mathbf{q}_{1}$$

$$\lambda (q_1)=1$$

$$\delta(q_{1,0}) = q_2$$

$$\delta(\mathbf{q}_{1,}1)=\mathbf{q}_{0}$$

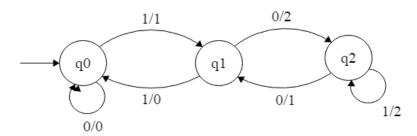
$$\lambda (q_2) = 2$$

$$\delta(q_{2,}0)=q_{1}$$

$$\delta(q_{2}, 1) = q_{2}$$

# Example:

1. Design Mealy machine to determine the residue mod 3 for each binary string treated as a binary integer.



**Mealy Table:** 

	Present	Next	State	Next	State
	State	0	Output	1	Output
<b></b>	<b>q</b> o	$\mathbf{q}_{\mathbf{o}}$	O	$\mathbf{q}_1$	1
	$\mathbf{q}_1$	$\mathbf{q}_2$	2	<b>q</b> o	0

$\mathbf{q}_2$	<b>q</b> 1	1	$\mathbf{q}_2$	2
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# **Tuple Representation:**

$$\mathbf{Q} = \{q_0, q_1, q_2\}$$

$$\Delta = \{0, 1, 2\}$$

$$\Sigma = \{0, 1\}$$

 $q_0 = \{q_0\}$ 

**$$\lambda$$**:  $\lambda$  (q<sub>0</sub>,0)=0

**δ:** 
$$\delta(q_{0,0}) = q_{0}$$

$$\delta(q_{0,1}) = q_{1}$$

$$\lambda (q0,1)=1$$

$$\delta(q_{1,0}) = q_2$$

$$\delta(q_1,1) = q_0$$

$$\lambda (q_1.0)=2$$

$$\delta(q_2,0) = q_1$$

$$\delta(q_2, 1) = q_2$$

$$\lambda (q_1, 1) = 0$$

$$\lambda (q_2,0)=1$$

$$\lambda (q_2, 1) = 2$$

# 1.23 Moore to Mealy Conversion:

If  $M_1$ =  $(Q, \sum, \Delta, \delta, q_0, \lambda)$  is a Moore machine, then there is a Mealy machine  $M_2$  equivalent to  $M_1$ .

#### Procedure:

- Let  $M2 = (Q, \sum, \Delta, \delta, q_0, \lambda')$  and define  $\lambda'$  (q, a) to be  $\lambda$  ( $\delta$  (q, a)) for all states q and input symbols a.
- Then  $M_1$  and  $M_2$  enter the same sequence of states on the same input, and with each transition  $M_2$  emits the output that  $M_1$  associates with the state entered.

#### Example:

Construct a Mealy Machine which is equivalent to the Moore machine given by table below.

Present	Next	te Output	
State	0	1	_
<b>q</b> o	<b>q</b> ₃	$\mathbf{q}_1$	0
$\mathbf{q}_1$	$\mathbf{q}_1$	$\mathbf{q}_2$	1
$\mathbf{q}_2$	$\mathbf{q}_2$	<b>q</b> 3	0
<b>q</b> 3	<b>q</b> ₃	<b>q</b> 0	0

#### Solution:

$$\lambda'$$
 (q, a) to be  $\lambda(\delta$  (q, a))

$$\lambda' (q_0, 0) = \lambda(\delta (q_0, 0))$$

$$= \lambda (q_3)$$

$$= 0$$

$$\lambda' (q_0, 1) = \lambda(\delta (q_0, 1))$$

$$= \lambda (q_1)$$

$$= 1$$

## **Mealy Table:**

Present State	Next State		Next State	
	0	output	1	Output
<b>q</b> o	<b>q</b> 3	0	<b>q</b> 1	1
<b>q</b> 1	$\mathbf{q}_1$	1	$\mathbf{q}_2$	0
<b>q</b> <sub>2</sub>	$\mathbf{q}_2$	0	<b>q</b> 3	0
<b>q</b> 3	<b>q</b> 3	0	<b>q</b> o	0

#### 1.24 Mealy to Moore Conversion:

If  $M_1$ =  $(Q, \Sigma, \Delta, \delta, \lambda, q_0)$  is a Mealy machine, then there is a Moore machine  $M_2$  equivalent to  $M_1$ .

#### Procedure:

- Determine the number of different output associated with qi in the next state column.
- We split qi into different states according to different output associated with it For example:  $q_2$  is associated with two different outputs 0 and 1, so we split  $q_2$  into  $q_{20}$  and  $q_{21}$ .

#### Example:

Construct Moore machine for the given mealy machine.

	Next State				
Present State	a = 0		a = 1		
	State	Output	State	Output	
-> q0	q3	0	q1	1	
q1	q0	1	q3	0	
q2	q2	1	q2	0	
q3	q1	0	q0	1	

#### Solution:

- We get two states (q1 and q2) that are associated with different outputs (0 and 1). so we split both states into  $q_{10}$ ,  $q_{11}$  and  $q_{20}$ ,  $q_{21}$ .
- Whole row of  $q_1$  is copied to  $q_{10}$ ,  $q_{11}$  and whole row of  $q_2$  is copied to  $q_{20}$  and  $q_{21}$  of the sample transition table of mealy machine.
- The outputs of the next state columns of  $q_1$  and  $q_2$  are depend on the previous output. For ex. in the first row,  $q_1$  becomes  $q_{11}$  because the out of  $q_1$  is 1 in the fourth row,  $q_2$  becomes  $q_{21}$  because the output of the  $q_2$  is 1 and in the subsequent column  $q_2$  becomes  $q_{20}$  because the output of  $q_2$  in that column was 0, and so on

	Next	2000	
Present State	a = 0	a = 1	Output
-> q0	q3	q11	1
q10	q <mark>0</mark>	q3	0
q11	0p	q3	1
q20	q21	q20	0
q21	q21	q20	1
q3	q10	q0	0

# 1.25 Applications of FA:

- Used in Lexical analysis phase of a compiler to recognize tokens.
- Used in text editors for string matching.
  - Software for designing and checking the behavior of digital circuits.