

# PIOA Simulations

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Given a PIOA  $P$ , let  $\text{Tr}_P$  be the set of all valid transitions of  $P$ : transitions  $s \xrightarrow{a} t$  such that  $t \in \text{supp}(\text{tr } s \ a)$ . Given a task  $T$  and a state  $s$  such that  $T$  is enabled in  $s$ , write  $Ts$  to be the induced distribution on  $\text{Tr}_P$ . (By input enabledness, we may also write  $is$  for any input action  $i$  to be the corresponding induced distribution.) Lift this to execution fragments  $\alpha$  such that  $T$  is enabled in  $\text{lstate } \alpha$  in the obvious way. Then, lift this to distributions of execution fragments  $\mu$ , by sampling  $\alpha$  from  $\mu$ , and returning  $T \alpha$  if  $T$  is enabled in  $\text{lstate } \alpha$ , and  $\alpha$  otherwise. (Note by this definition,  $T \mu$  is equal to  $\text{apply}(T, \mu)$ .)

Given a task sequence  $\sigma$ , say that  $\sigma$  is disabled in  $s$  if all tasks in  $\sigma$  are disabled in  $s$ , and that  $\sigma$  is enabled in  $s$  if there exists a task in  $\sigma$  enabled in  $s$ .

Given two comparable (not necessarily closed) task-structured PIOAs  $P$  and  $P'$ , a state simulation from  $P$  to  $P'$  is a tuple of functions  $\phi_S : S_P \rightarrow S_{P'}$ ,  $\phi_T : \text{Tr}_P \rightarrow \text{Frag}_{P'}$ ,  $C : \text{Task}_P \rightarrow \text{Task}_{P'}^*$  such that:

1.  $\phi_S \text{ start}_P = \text{start}_{P'}$
2. for all  $t \in \text{Tr}_P$ ,  $\phi_S(\text{fstate } t) = \text{fstate } (\phi_T t)$
3. for all  $t$ ,  $\phi_S(\text{lstate } t) = \text{lstate } (\phi_T t)$
4. for all  $t$ ,  $\text{tr } t = \text{tr } (\phi_T t)$
5. for all  $s$  and tasks  $T$ ,  $T$  is enabled in  $s$  implies  $C(T)$  is enabled in  $\phi_S s$
6. for all tasks  $T$  and states  $s$  such that  $a \in T$  is enabled in  $s$ ,  $\mathcal{D}(\phi_T)(Ts) = \mathcal{D}(\phi_T)(as) = C(T) (\phi_S s)$
7. for all input actions  $i$ ,  $\phi_t(s \xrightarrow{i} s') = (\phi_S s) \xrightarrow{i} (\phi_S s')$ .

**Theorem 1.** *If there exists a state simulation from  $P$  to  $P'$  then  $P \leq_0 P'$ .*

The above is proved in two parts:

**Lemma 1** (Soundness). *If  $P$  and  $P'$  are closed and there exists a state simulation from  $P$  to  $P'$ , then there exists a simulation from  $P$  to  $P'$ .*

**Lemma 2** (Compositionality). *If there exists a state simulation from  $P$  to  $P'$ , then for all compatible environments  $E$ , there exists a state simulation from  $P||E$  to  $P'||E$ .*

# 1 Soundness

Let  $(\phi_S, \phi_T, C)$  be a state simulation from  $P$  to  $P'$ . Define  $\bar{\phi} : \text{Frag}_P \rightarrow \text{Frag}_{P'}$  such that  $\bar{\phi}(s) = \phi_S s$ , and otherwise inductively by

$$\bar{\phi} \alpha = \begin{cases} \phi_T (s \xrightarrow{a} s') & \text{if } \alpha = s \xrightarrow{a} s' \\ (\bar{\phi} \alpha') \frown (\phi_T (\text{lstate } \alpha' \xrightarrow{a} s)) & \text{if } \alpha = \alpha' \xrightarrow{a} s \end{cases}$$

Define the simulation relation  $R \subseteq \mathcal{D}(\text{Exec } P) \times \mathcal{D}(\text{Exec } P')$  to be  $\mu R \eta$  iff  $\mathcal{D}(\bar{\phi})(\mu) = \eta$ . Observe that  $\phi_T$  preserves traces, so the trace condition for  $R$  holds. Also, observe the start condition for  $R$  holds, by the definition of a state simulation.

Now, we must verify that the step condition for  $R$  holds. Choose the task correspondence  $C$  to be that in the state simulation. Lift  $C$  to operate on task sequences, by concatenating each image. Suppose that  $\mu R \eta$  (i.e., that  $\mathcal{D}(\bar{\phi}) \mu = \eta$ ),  $\mu$  is consistent with  $\sigma$ ,  $\eta$  is consistent with  $C(\sigma)$ . Then we must verify that for all  $T$ ,  $\mathcal{D}(\bar{\phi})(T \mu) = C(T) \eta$ ; that is, we must show that  $\mathcal{D}(\bar{\phi})(T \mu) = C(T) \mathcal{D}(\bar{\phi}) \mu$ .

**Lemma 3.** *For all execution fragments  $\alpha$  such that  $T$  is enabled in  $\text{lstate } \alpha$ ,  $\mathcal{D}(\bar{\phi})(T \alpha) = C(T)(\bar{\phi} \alpha)$ .*

The above follows from condition 6 in the definition of a state simulation.

**Lemma 4.** *For all distributions  $\mu$  of execution fragments,  $\mathcal{D}(\bar{\phi})(T \mu) = C(T) (\mathcal{D}(\bar{\phi}) \mu)$ .*

*Proof.* Write  $\mu = \sum_i p_i \alpha_i$ . Then,

$$T \mu = \sum_i p_i \cdot \begin{cases} T \alpha_i & \text{if } T \text{ is enabled in } \text{lstate } \alpha_i \\ \alpha_i & \text{otherwise} \end{cases}$$

thus

$$\mathcal{D}(\bar{\phi})(T \mu) = \sum_i p_i \begin{cases} \mathcal{D}(\bar{\phi})(T \alpha_i) & \text{if } T \text{ is enabled in } \text{lstate } \alpha_i \\ \bar{\phi} \alpha_i & \text{otherwise} \end{cases}$$

By conditions 3, 5 and 6 in the state simulation, this is equal to

$$\sum_i p_i \begin{cases} C(T)(\bar{\phi} \alpha_i) & \text{if } C(T) \text{ is enabled in } \phi_S(\text{lstate } \alpha_i) = \text{lstate } \bar{\phi} \alpha_i \\ \bar{\phi} \alpha_i & \text{otherwise} \end{cases}$$

which is equal to  $C(T) (\mathcal{D}(\bar{\phi}) \mu)$ . □

## 2 Compositionality

Let  $(\phi_S, \phi_T, C)$  be a state simulation from  $P$  to  $P'$ , and let  $E$  be compatible with  $P$  and  $P'$ . Then, define the following state simulation from  $P||E$  to  $P'||E$ :

$$\begin{aligned}\phi'_S(s, t) &= (\phi_S \ s, t) \\ \phi'_T((s, t) \xrightarrow{a} (s', t')) &= \begin{cases} \text{lift}_t^{t'}(\phi_T(s \xrightarrow{a} s')) & \text{if } a \text{ is enabled in } s \\ (\phi_S \ s, t) \xrightarrow{a} (\phi_S \ s', t') & \text{otherwise} \end{cases} \\ C'T &= \begin{cases} C(T) & \text{if } T \in \mathbf{Task}_P \\ T & \text{otherwise} \end{cases}\end{aligned}$$

where  $\text{lift}_t^{t'}$   $\alpha$  lifts each transition in  $\text{Tr}_{P'}$  to a transition in  $\text{Tr}_{P'||E}$ , such that  $t$  is the initial state of  $E$  and  $t'$  is the final state. This is always possible, since we know that  $(s, t) \xrightarrow{a} (s', t')$  and that  $\phi_T$  only schedules hidden actions in  $P'$ , except possibly a single external action which must be enabled in  $t$ .

We must now verify the conditions for  $(\phi'_S, \phi'_T, C')$  to be a state simulation. Conditions 1-5 are immediate.

For condition 7, compute that

$$\begin{aligned}\phi'_T((s, t) \xrightarrow{i} (s', t')) &= \text{lift}_t^{t'} \phi_T(s \xrightarrow{i} s') \text{ by input enabledness} \\ &= \text{lift}_t^{t'}(\phi_S \ s) \xrightarrow{i} (\phi_S \ s') \text{ by condition 7 on } \phi_T \\ &= (\phi_S \ s, t) \xrightarrow{i} (\phi_S \ s', t') \\ &= \phi'_S(s, t) \xrightarrow{i} \phi'_S(s', t').\end{aligned}$$

Now, we need to verify that for all tasks  $T \in \mathbf{Task}_{P||E}$  and joint states  $(s, t)$  such that  $T$  is enabled in  $(s, t)$ ,

$$\mathcal{D}(\phi'_T)(T(s, t)) = C'(T)(\phi'_S \ (s, t)).$$

If  $T \in \mathbf{Task}_P$  and  $Ts$  induces an action  $a$  such that  $a$  is enabled in  $t$ , then we need to check that

$$\mathcal{D}(\phi'_T)(a(s, t)) = C(T)(\phi_S \ s, t).$$

Since actions operate separately on each component, we get that  $a(s, t) = \sum_i \sum_j p_i p'_j(s, t) \xrightarrow{a} (s_i, t_j)$ , so that

$$\begin{aligned}\mathcal{D}(\phi'_T)(\sum_i \sum_j p_i p'_j(s, t) \xrightarrow{a} (s_i, t_j)) &= \sum_i \sum_j p_i p'_j \phi'_T((s, t) \xrightarrow{a} (s_i, t_j)) \\ &= \sum_j p'_j \mathcal{D}(\text{lift}_t^{t_j}) \sum_i \phi_T(s \xrightarrow{a} s_i) \\ &= \sum_j p'_j \mathcal{D}(\text{lift}_t^{t_j}) C(T)(\phi_S \ s) \\ &= C(T)(\phi_S \ s, t).\end{aligned}$$

If  $a$  is not enabled in  $t$ , then similarly compute that

$$\begin{aligned}\mathcal{D}(\phi'_T)(\sum_i p_i(s, t) \xrightarrow{a} (s_i, t)) &= \mathcal{D}(\text{lift}_t^t) \sum_i p_i \phi_T(s \xrightarrow{a} s_i) \\ &= \mathcal{D}(\text{lift}_t^t) C(T)(\phi_S s) \\ &= C(T)(\phi_S s, t).\end{aligned}$$

If  $T \in \mathbf{Task}_E$  and  $Tt$  induces an action  $a$  such that  $a$  is enabled in  $s$ , we must check that

$$\mathcal{D}(\phi'_T)(T(s, t)) = T(\phi_S s, t).$$

As above, write  $a(s, t) = \sum_i \sum_j p_i p'_j(s, t) \xrightarrow{a} (s_i, t_j)$  so that

$$\begin{aligned}\mathcal{D}(\phi'_T)(\sum_i \sum_j p_i p'_j(s, t) \xrightarrow{a} (s_i, t_j)) &= \sum_i \sum_j p_i p'_j \phi'_T((s, t) \xrightarrow{a} (s_i, t_j)) \\ &= \sum_i \sum_j p_i p'_j \text{lift}_t^{t_j} \phi_T(s \xrightarrow{a} s_i).\end{aligned}$$

Now, since  $a$  arises from a task in  $E$ ,  $a$  must be an input action for  $s$ , thus we get

$$\begin{aligned}\sum_i \sum_j p_i p'_j \text{lift}_t^{t_j} \phi_T(s \xrightarrow{a} s_i) &= \sum_i \sum_j p_i p'_j \text{lift}_t^{t_j} (\phi_S s) \xrightarrow{a} (\phi_S s_i) \\ &= \sum_i \sum_j p_i p'_j (\phi_S s, t) \xrightarrow{a} (\phi_S s_i, t_j) \\ &= T(\phi_S s, t).\end{aligned}$$

Finally, if  $a$  is not enabled in  $s$ , write  $a(s, t) = \sum_j p'_j(s, t) \xrightarrow{a} (s, t_j)$  so that

$$\begin{aligned}\mathcal{D}(\phi'_T)(\sum_j p'_j(s, t) \xrightarrow{a} (s, t_j)) &= \sum_j p'_j \phi'_T((s, t) \xrightarrow{a} (s, t_j)) \\ &= \sum_j p'_j((s, t) \xrightarrow{a} (s, t_j)) \text{ since } a \text{ is disabled in } s \\ &= T(\phi_S s, t).\end{aligned}$$