

Unit- 3

2D, 3D Transformations and Projections

Transformation means changing some graphics into something else by applying rules. We can have various types of transformations such as translation, scaling up or down, rotation, shearing, etc. When a transformation takes place on a 2D plane, it is called 2D transformation.

Transformations play an important role in computer graphics to reposition the graphics on the screen and change their size or orientation.

Homogenous Coordinates

To perform a sequence of transformation such as translation followed by rotation and scaling, we need to follow a sequential process –

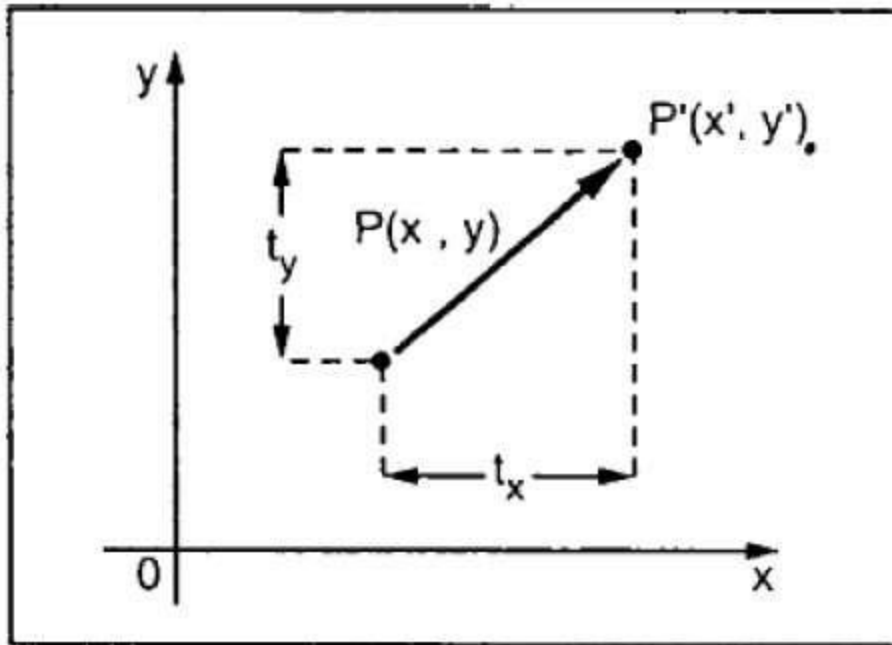
- Translate the coordinates,
- Rotate the translated coordinates, and then
- Scale the rotated coordinates to complete the composite transformation.

To shorten this process, we have to use 3×3 transformation matrix instead of 2×2 transformation matrix. To convert a 2×2 matrix to 3×3 matrix, we have to add an extra dummy coordinate W.

In this way, we can represent the point by 3 numbers instead of 2 numbers, which is called Homogenous Coordinate system. In this system, we can represent all the transformation equations in matrix multiplication. Any Cartesian point $P(X, Y)$ can be converted to homogenous coordinates by $P' (X_h, Y_h, h)$.

Translation

A translation moves an object to a different position on the screen. You can translate a point in 2D by adding translation coordinate (t_x , t_y) to the original coordinate X, Y to get the new coordinate X', Y' .



From the above figure, you can write that –

$$X' = X + t_x$$

$$Y' = Y + t_y$$

The pair (t_x , t_y) is called the translation vector or shift vector. The above equations can also be represented using the column vectors.

$$P = \begin{bmatrix} X \\ Y \end{bmatrix} \quad P' = \begin{bmatrix} X' \\ Y' \end{bmatrix} \quad T = \begin{bmatrix} t_x \\ t_y \end{bmatrix} \quad P' = P + T$$

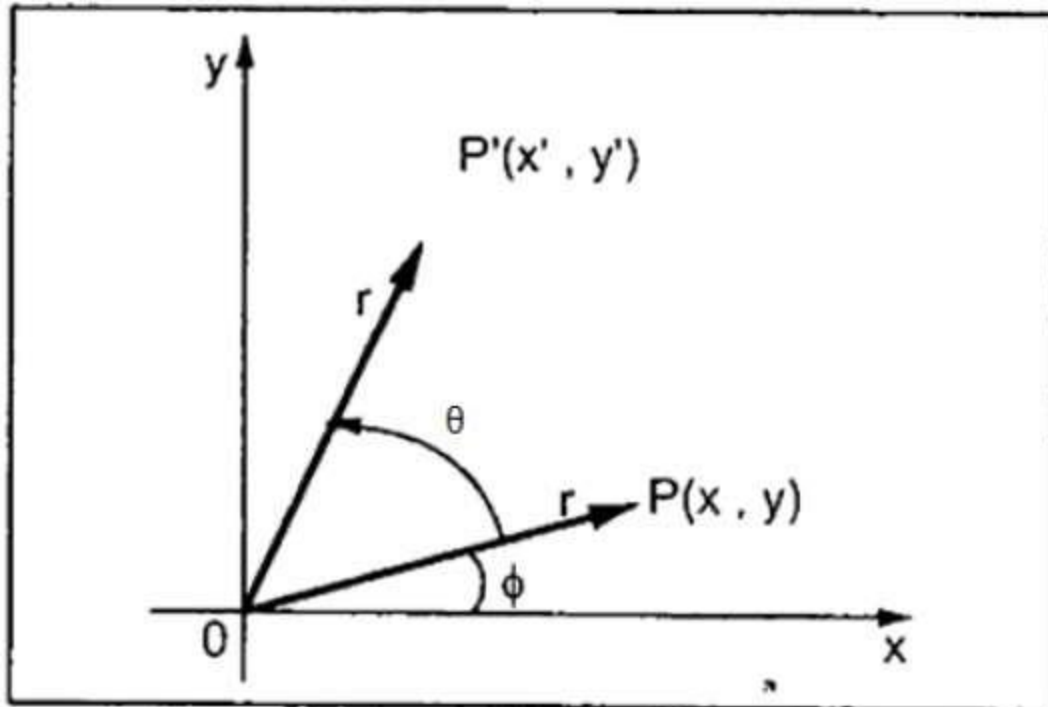
We can write it as –

$$P' = P + T$$

Rotation

In rotation, we rotate the object at particular angle θ from its origin. From the following figure, we can see that the point $P(x, y)$ is located at angle ϕ from the horizontal X coordinate with distance r from the origin.

Let us suppose you want to rotate it at the angle θ . After rotating it to a new location, you will get a new point $P' X', Y'$.



Using standard trigonometric the original coordinate of point $P(x, y)$ can be represented as –

$$X = r \cos \phi \dots (1) \quad X = r \cos \phi \dots (1)$$

$$Y = r \sin \phi \dots (2) \quad Y = r \sin \phi \dots (2)$$

Same way we can represent the point $P' X', Y'$ as –

$$x' = r \cos(\phi + \theta) = r \cos \phi \cos \theta - r \sin \phi \sin \theta \dots (3) \quad x' = r \cos(\phi + \theta) = r \cos \phi \cos \theta - r \sin \phi \sin \theta \dots (3)$$

$$y' = r \sin(\phi + \theta) = r \cos \phi \sin \theta + r \sin \phi \cos \theta \dots (4) \quad y' = r \sin(\phi + \theta) = r \cos \phi \sin \theta + r \sin \phi \cos \theta \dots (4)$$

Substituting equation 1 & 2 in 3 & 4 respectively, we will get

$$x' = x \cos \theta - y \sin \theta \quad x' = x \cos \theta - y \sin \theta$$

$$y' = x \sin \theta + y \cos \theta \quad y' = x \sin \theta + y \cos \theta$$

Representing the above equation in matrix form,

$$[X' Y'] = [X Y] \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \text{ OR } [X' Y'] = [X Y] \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix}$$

R

$$P' = P \cdot R$$

Where R is the rotation matrix

$$R = \begin{bmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{bmatrix} R = \begin{bmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{bmatrix}$$

The rotation angle can be positive and negative.

For positive rotation angle, we can use the above rotation matrix. However, for negative angle rotation, the matrix will change as shown below –

$$\begin{aligned} R &= \begin{bmatrix} \cos(-\theta) & -\sin(-\theta) \\ \sin(-\theta) & \cos(-\theta) \end{bmatrix} R = \begin{bmatrix} \cos(-\theta) & \sin(-\theta) \\ -\sin(-\theta) & \cos(-\theta) \end{bmatrix} \\ &= \begin{bmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{bmatrix} (\because \cos(-\theta) = \cos\theta \text{ and } \sin(-\theta) = -\sin\theta) = \begin{bmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{bmatrix} (\because \cos(-\theta) = \cos\theta \text{ and } \sin(-\theta) = -\sin\theta) \end{aligned}$$

Scaling

To change the size of an object, scaling transformation is used. In the scaling process, you either expand or compress the dimensions of the object. Scaling can be achieved by multiplying the original coordinates of the object with the scaling factor to get the desired result.

Let us assume that the original coordinates are X, Y , the scaling factors are (S_x, S_y) , and the produced coordinates are X', Y' . This can be mathematically represented as shown below –

$$X' = X \cdot S_x \text{ and } Y' = Y \cdot S_y$$

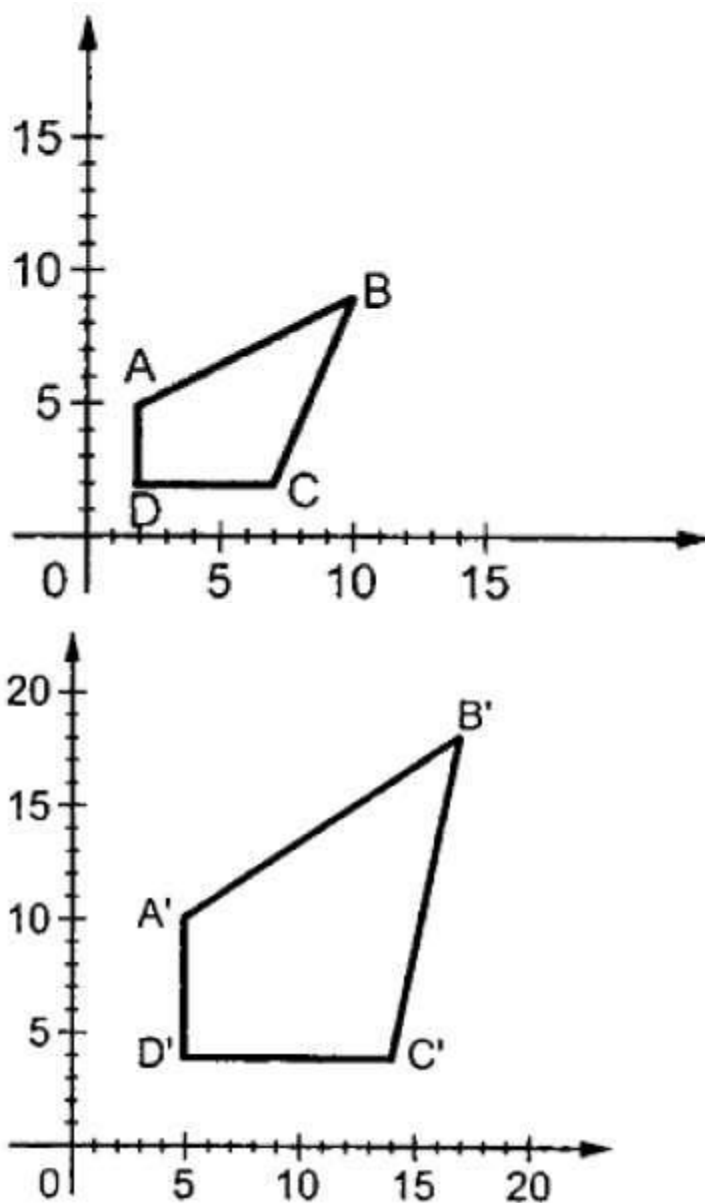
The scaling factor S_x, S_y scales the object in X and Y direction respectively. The above equations can also be represented in matrix form as below –

$$\begin{bmatrix} X' \\ Y' \end{bmatrix} = \begin{bmatrix} X \\ Y \end{bmatrix} \begin{bmatrix} S_x & 0 \\ 0 & S_y \end{bmatrix} \begin{bmatrix} X' \\ Y' \end{bmatrix} = \begin{bmatrix} X \\ Y \end{bmatrix} \begin{bmatrix} S_x & 0 \\ 0 & S_y \end{bmatrix}$$

OR

$$P' = P \cdot S$$

Where S is the scaling matrix. The scaling process is shown in the following figure.

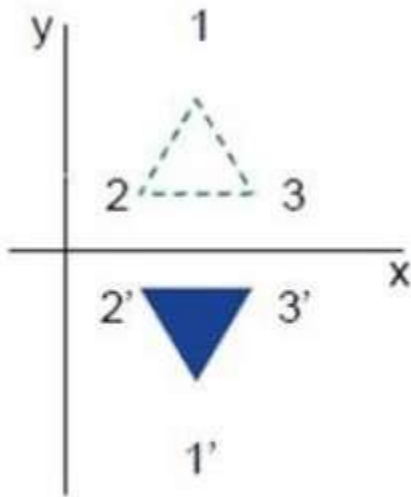


If we provide values less than 1 to the scaling factor S , then we can reduce the size of the object. If we provide values greater than 1, then we can increase the size of the object.

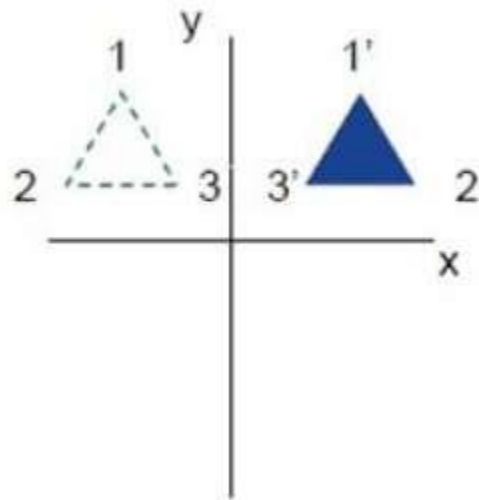
Reflection

Reflection is the mirror image of original object. In other words, we can say that it is a rotation operation with 180° . In reflection transformation, the size of the object does not change.

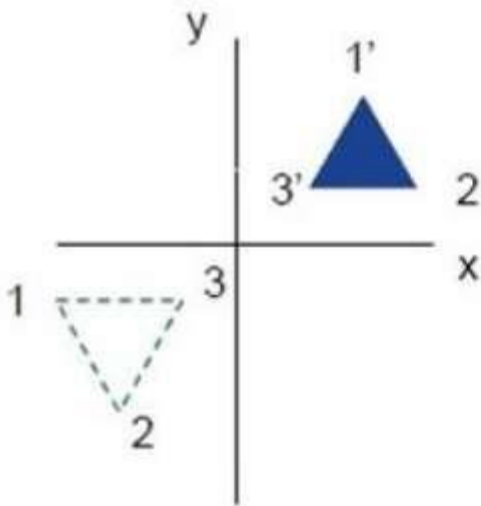
The following figures show reflections with respect to X and Y axes, and about the origin respectively.



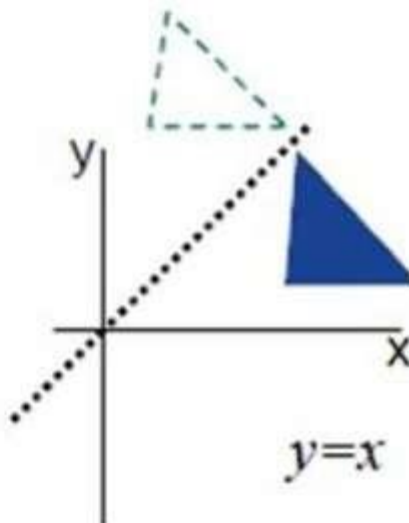
(a)



(b)



(c)



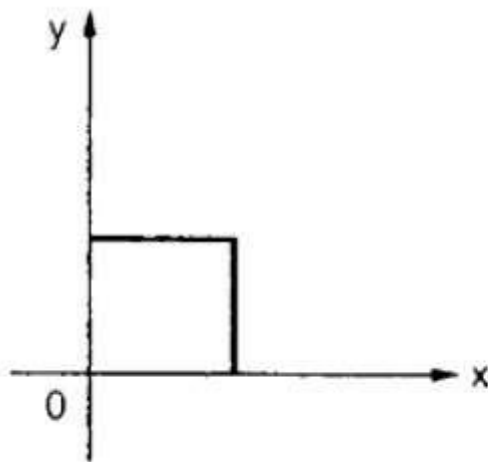
(d)

Shear

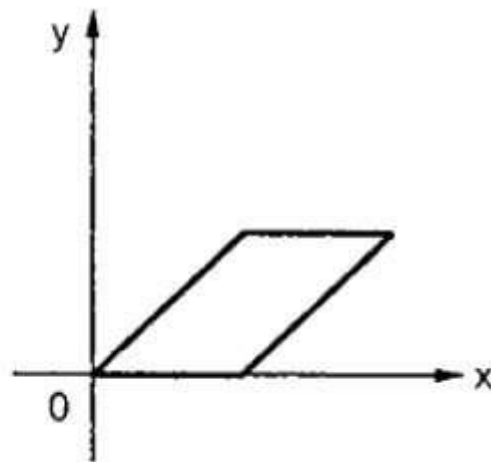
A transformation that slants the shape of an object is called the shear transformation. There are two shear transformations X-Shear and Y-Shear. One shifts X coordinates values and other shifts Y coordinate values. However; in both the cases only one coordinate changes its coordinates and other preserves its values. Shearing is also termed as Skewing.

X-Shear

The X-Shear preserves the Y coordinate and changes are made to X coordinates, which causes the vertical lines to tilt right or left as shown in below figure.



(a) Original object



(b) Object after x shear

The transformation matrix for X-Shear can be represented as –

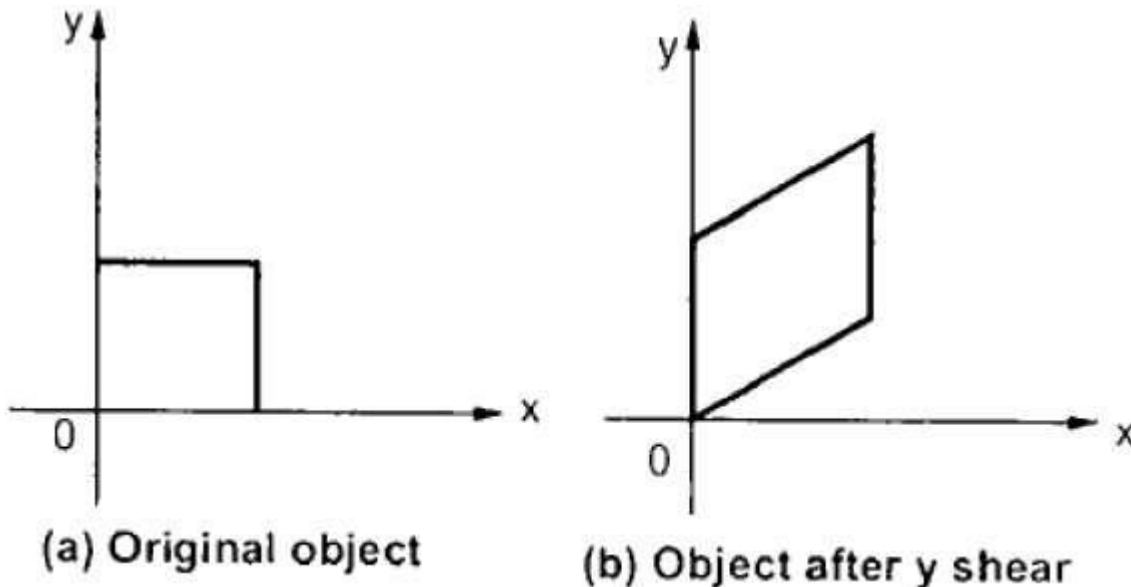
$$X_{sh} = \begin{bmatrix} 1 & shx & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad X_{sh} = [1 \ shx \ 0 \ 0 \ 1 \ 0 \ 0 \ 0 \ 1]$$

$$Y' = Y + Shy \cdot X$$

$$X' = X$$

Y-Shear

The Y-Shear preserves the X coordinates and changes the Y coordinates which causes the horizontal lines to transform into lines which slopes up or down as shown in the following figure.



The Y-Shear can be represented in matrix form as –

$$Y_{sh} = \begin{bmatrix} 1 & sh_y & 0 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix} \quad Y_{sh} = \begin{bmatrix} 1 & 0 & sh_y & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix}$$

$$X' = X + Sh_x \cdot Y$$

$$Y' = Y$$

Composite Transformation

If a transformation of the plane T_1 is followed by a second plane transformation T_2 , then the result itself may be represented by a single transformation T which is the composition of T_1 and T_2 taken in that order. This is written as $T = T_1 \cdot T_2$.

Composite transformation can be achieved by concatenation of transformation matrices to obtain a combined transformation matrix.

A combined matrix –

$$[T][X] = [X] [T1] [T2] [T3] [T4] \dots [Tn]$$

Where $[T_i]$ is any combination of

- Translation
- Scaling
- Shearing
- Rotation
- Reflection

The change in the order of transformation would lead to different results, as in general matrix multiplication is not cumulative, that is $[A] \cdot [B] \neq [B] \cdot [A]$ and the order of multiplication. The basic purpose of composing transformations is to gain efficiency by applying a single composed transformation to a point, rather than applying a series of transformation, one after another.

For example, to rotate an object about an arbitrary point (X_p, Y_p) , we have to carry out three steps –

- Translate point (X_p, Y_p) to the origin.
- Rotate it about the origin.
- Finally, translate the center of rotation back where it belonged.

3D Transformation:

In the 2D system, we use only two coordinates X and Y but in 3D, an extra coordinate Z is added. 3D graphics techniques and their application are fundamental to the entertainment, games, and computer-aided design industries. It is a continuing area of research in scientific visualization.

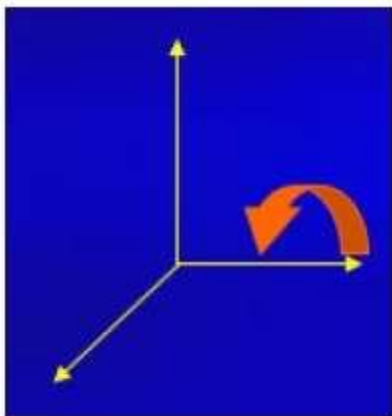
Furthermore, 3D graphics components are now a part of almost every personal computer and, although traditionally intended for graphics-intensive software such as games, they are increasingly being used by other applications.

Rotation

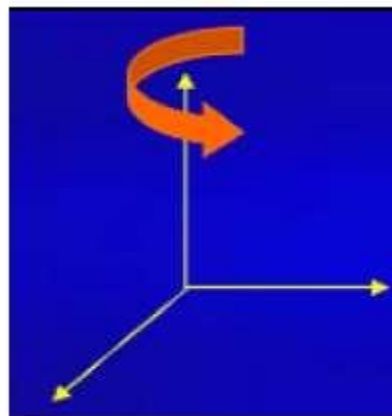
3D rotation is not same as 2D rotation. In 3D rotation, we have to specify the angle of rotation along with the axis of rotation. We can perform 3D rotation about X, Y, and Z axes. They are represented in the matrix form as below –

$$R_x(\theta) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos\theta & -\sin\theta & 0 \\ 0 & \sin\theta & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} R_y(\theta) = \begin{bmatrix} \cos\theta & 0 & \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} R_z(\theta) = \begin{bmatrix} \cos\theta & -\sin\theta & 0 & 0 \\ \sin\theta & \cos\theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

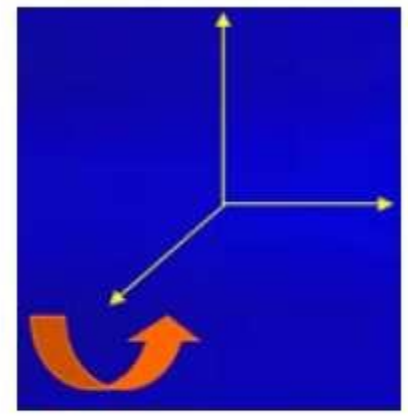
The following figure explains the rotation about various axes –



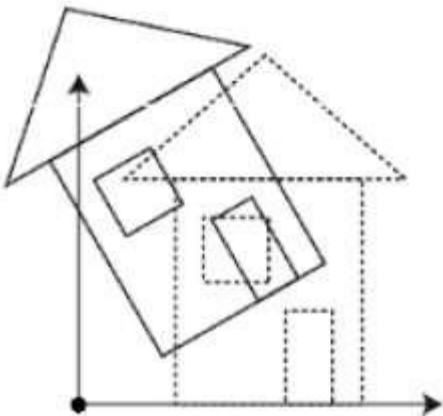
Rotation about x-axis



Rotation about y-axis

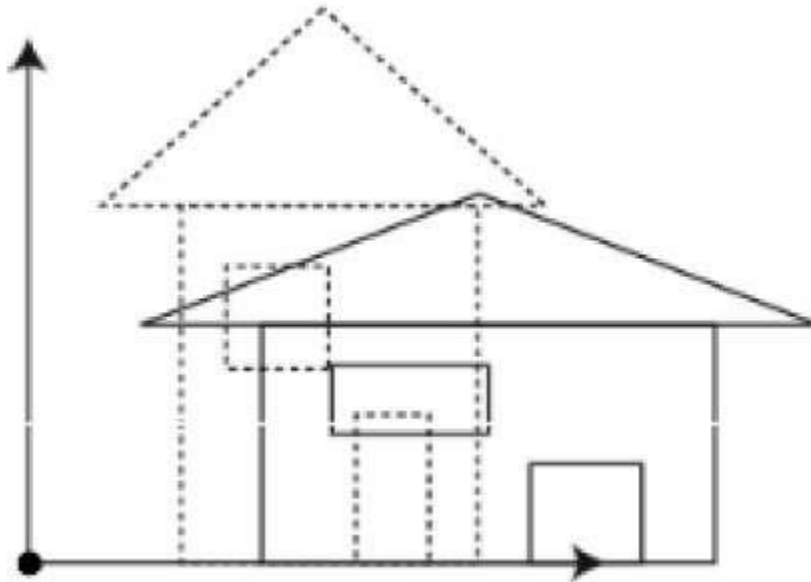


Rotation about z-axis



Scaling

You can change the size of an object using scaling transformation. In the scaling process, you either expand or compress the dimensions of the object. Scaling can be achieved by multiplying the original coordinates of the object with the scaling factor to get the desired result. The following figure shows the effect of 3D scaling –



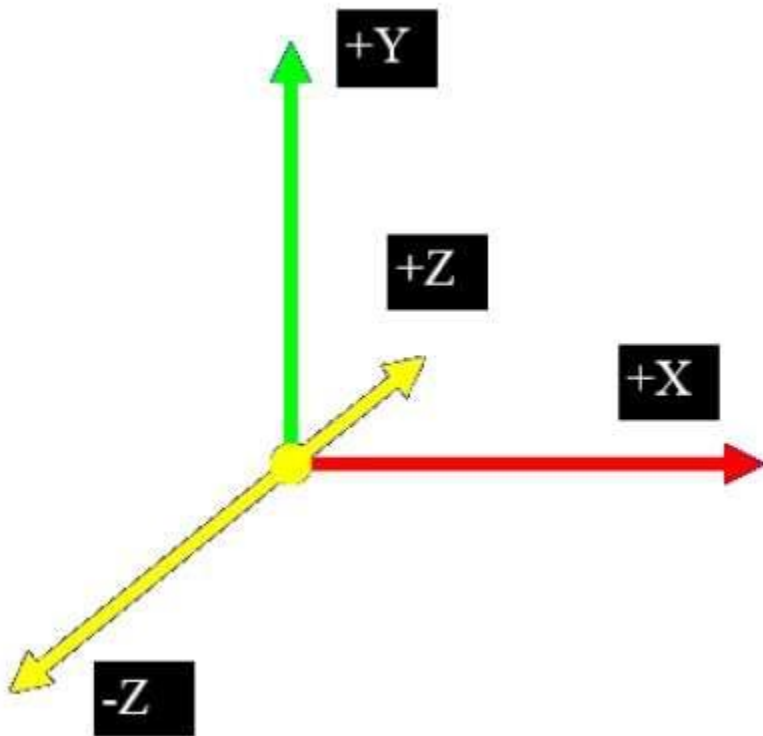
In 3D scaling operation, three coordinates are used. Let us assume that the original coordinates are X, Y, Z , scaling factors are (S_x, S_y, S_z) respectively, and the produced coordinates are X', Y', Z' . This can be mathematically represented as shown below –

$$S = \begin{bmatrix} S_x & 0 & 0 & 0 \\ 0 & S_y & 0 & 0 \\ 0 & 0 & S_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$P' = P \cdot S$$

$$[X' \ Y' \ Z' \ 1] = [X \ Y \ Z \ 1] \begin{bmatrix} S_x & 0 & 0 & 0 \\ 0 & S_y & 0 & 0 \\ 0 & 0 & S_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$= [X.S_x \ Y.S_y \ Z.S_z \ 1]$$



Transformation Matrices

Transformation matrix is a basic tool for transformation. A matrix with $n \times m$ dimensions is multiplied with the coordinate of objects. Usually 3×3 or 4×4 matrices are used for transformation. For example, consider the following matrix for various operation.

$T = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ t_x & t_y & t_z & 1 \end{bmatrix}$	$S = \begin{bmatrix} S_x & 0 & 0 & 0 \\ 0 & S_y & 0 & 0 \\ 0 & 0 & S_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$	$Sh = \begin{bmatrix} 1 & sh_x^y & sh_x^z & 0 \\ sh_y^x & 1 & sh_y^z & 0 \\ sh_z^x & sh_z^y & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$
Translation Matrix	Scaling Matrix	Shear Matrix
$R_x(\theta) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos\theta & -\sin\theta & 0 \\ 0 & \sin\theta & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$	$R_y(\theta) = \begin{bmatrix} \cos\theta & 0 & \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$	$R_z(\theta) = \begin{bmatrix} \cos\theta & -\sin\theta & 0 & 0 \\ \sin\theta & \cos\theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$
Rotation Matrix		

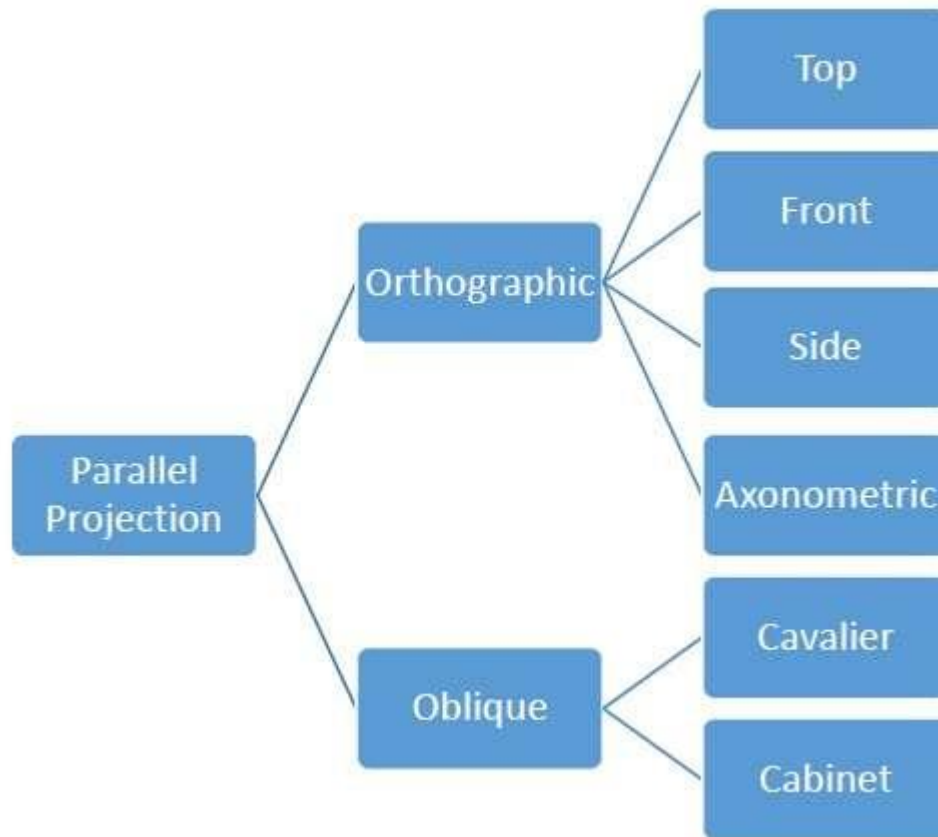
Projection

Parallel Projection

Parallel projection discards z-coordinate and parallel lines from each vertex on the object are extended until they intersect the view plane. In parallel projection, we specify a direction of projection instead of center of projection.

In parallel projection, the distance from the center of projection to project plane is infinite. In this type of projection, we connect the projected vertices by line segments which correspond to connections on the original object.

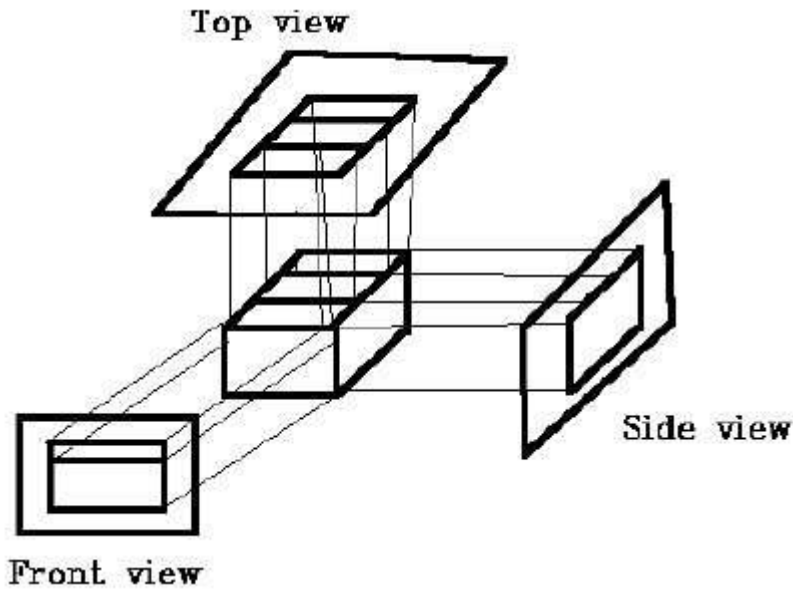
Parallel projections are less realistic, but they are good for exact measurements. In this type of projections, parallel lines remain parallel and angles are not preserved. Various types of parallel projections are shown in the following hierarchy.



Orthographic Projection

In orthographic projection the direction of projection is normal to the projection of the plane. There are three types of orthographic projections –

- Front Projection
- Top Projection
- Side Projection

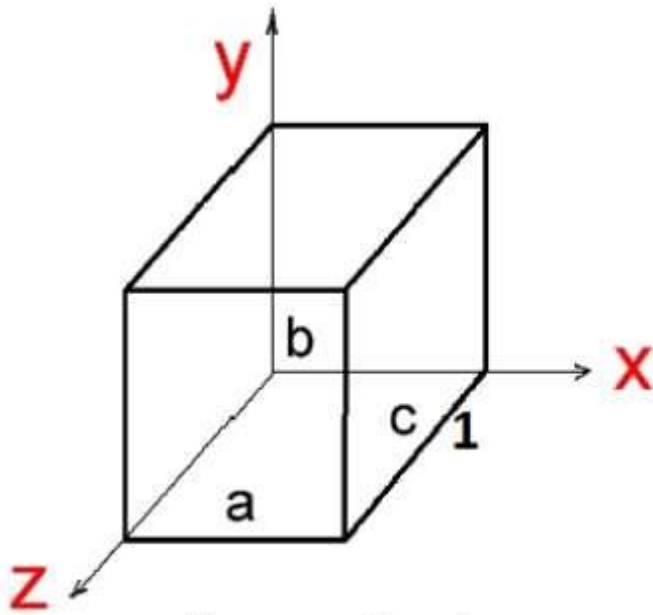


Oblique Projection

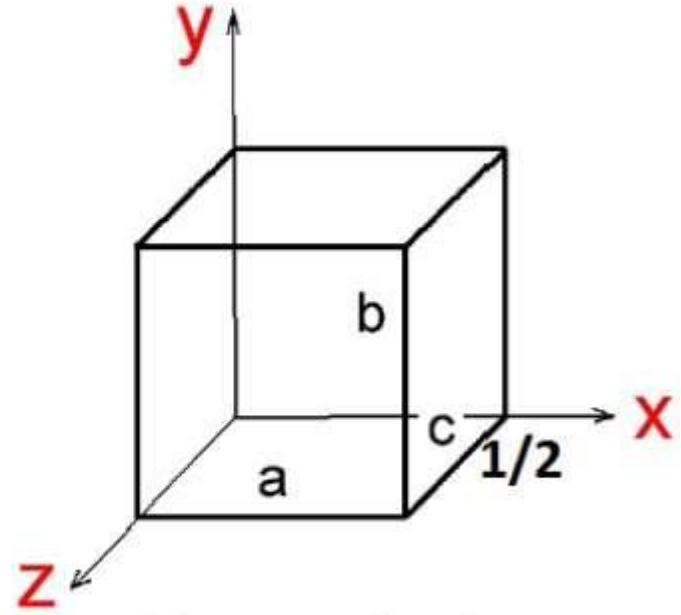
In oblique projection, the direction of projection is not normal to the projection of plane. In oblique projection, we can view the object better than orthographic projection.

There are two types of oblique projections – **Cavalier** and **Cabinet**. The Cavalier projection makes 45° angle with the projection plane. The projection of a line perpendicular to the view plane has the same length as the line itself in Cavalier projection. In a cavalier projection, the foreshortening factors for all three principal directions are equal.

The Cabinet projection makes 63.4° angle with the projection plane. In Cabinet projection, lines perpendicular to the viewing surface are projected at $\frac{1}{2}$ their actual length. Both the projections are shown in the following figure –



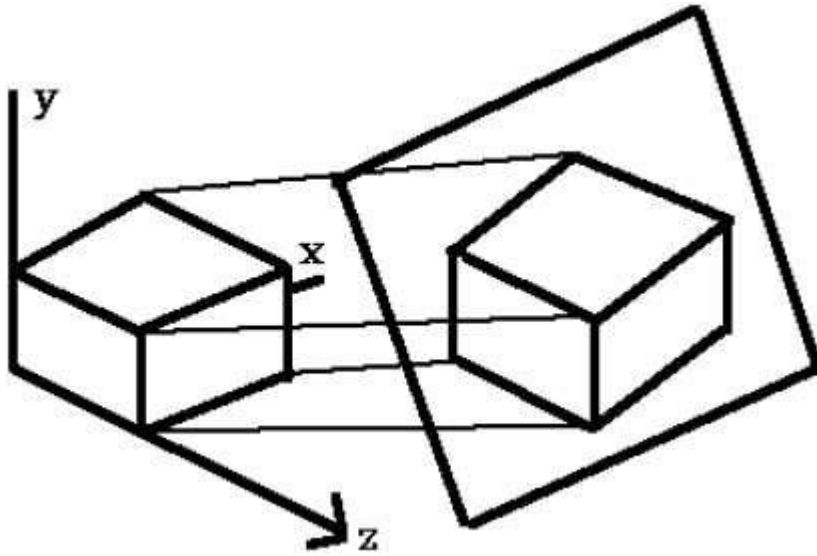
Cavalier Projection



Cabinet Projection

Isometric Projections

Orthographic projections that show more than one side of an object are called **axonometric orthographic projections**. The most common axonometric projection is an **isometric projection** where the projection plane intersects each coordinate axis in the model coordinate system at an equal distance. In this projection parallelism of lines are preserved but angles are not preserved. The following figure shows isometric projection –

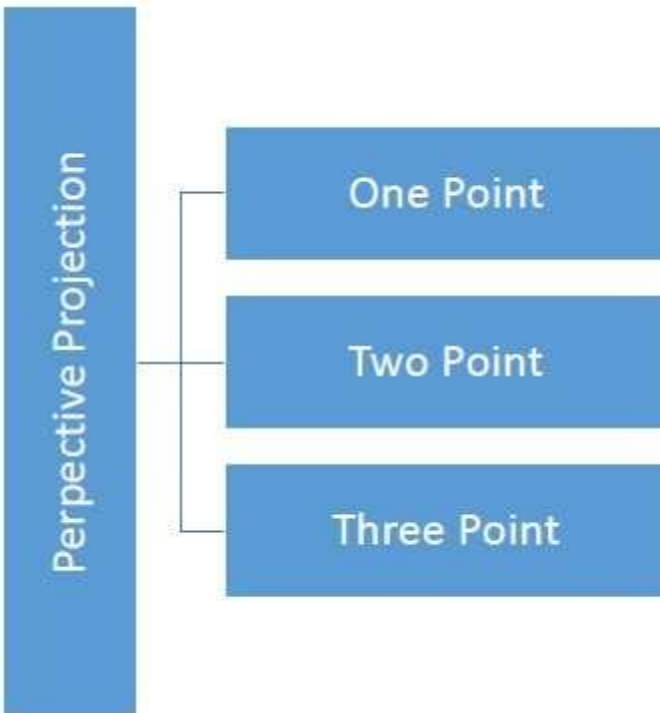


Perspective Projection

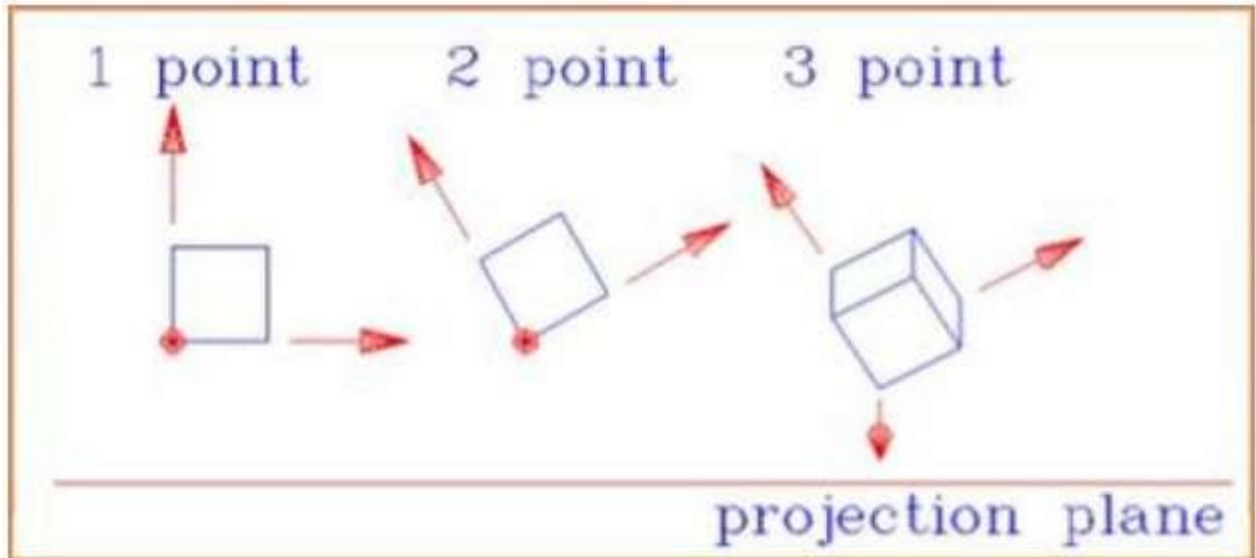
In perspective projection, the distance from the center of projection to project plane is finite and the size of the object varies inversely with distance which looks more realistic.

The distance and angles are not preserved and parallel lines do not remain parallel. Instead, they all converge at a single point called center of projection or projection reference point. There are 3 types of perspective projections which are shown in the following chart.

- One point perspective projection is simple to draw.
- Two point perspective projection gives better impression of depth.
- Three point perspective projection is most difficult to draw.



The following figure shows all the three types of perspective projection –

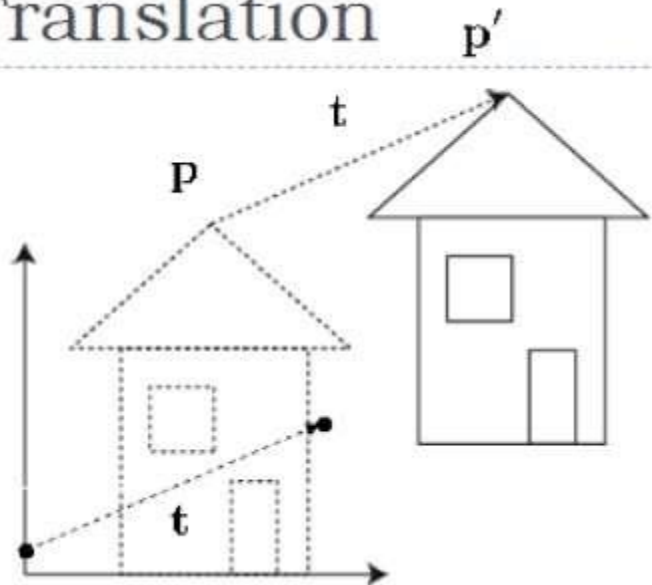


Translation

In 3D translation, we transfer the Z coordinate along with the X and Y coordinates. The process for translation in 3D is similar to 2D translation. A translation moves an object into a different position on the screen.

The following figure shows the effect of translation –

Translation



A point can be translated in 3D by adding translation coordinate (t_x, t_y, t_z) to the original coordinate X, Y, Z to get the new coordinate X', Y', Z' .